

# C & A

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## *Chap. II*

# Permutation and Combination

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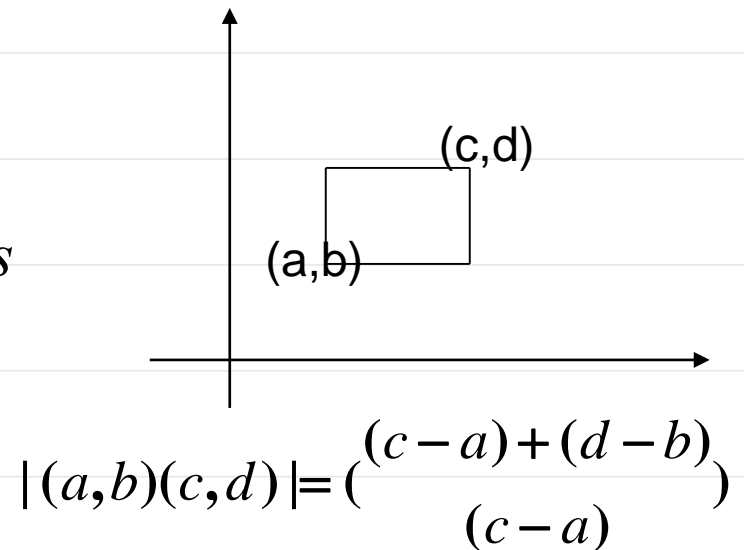
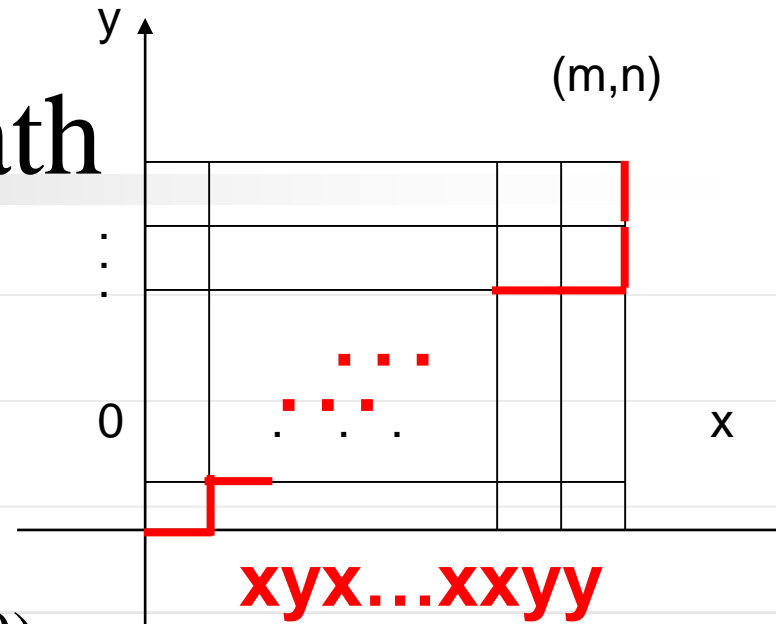
# Review of the previous lesson

- Four basic counting principles
  - Addition
  - Multiplication
  - Subtraction
  - Division
- Permutation and combination?
  - If the order **does** matter:
    - **Permutation:**  $P(n,r) = \frac{n!}{(n-r)!}$
  - If the order **doesn't** matter:
    - **Combination:**  $C(n,r) = \frac{n!}{r!(n-r)!}$
- Ordered arrangement
  - Without repeating any objects, **distinct:**  $P(n,r)$
  - **Circular permutation**

$$\frac{P(n, r)}{r} = \frac{n!}{r(n-r)!}.$$

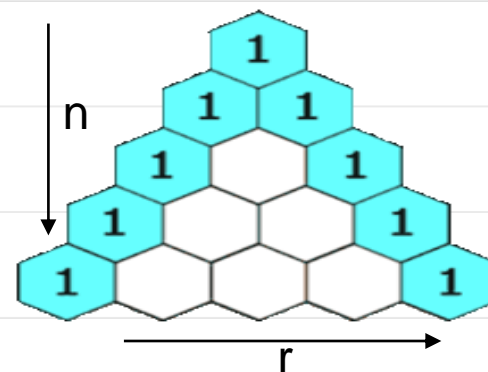
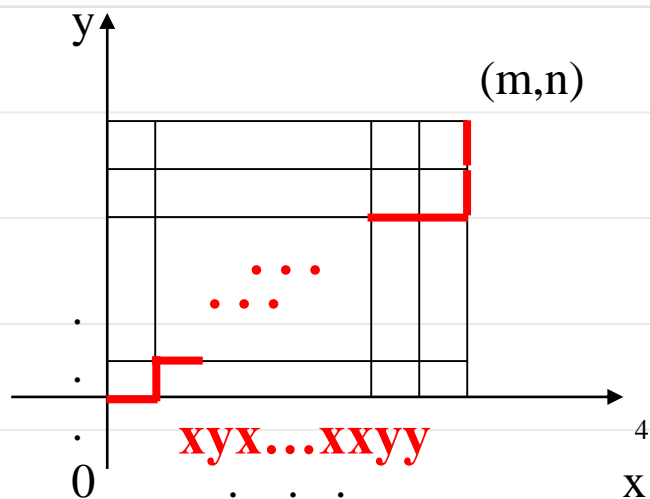
# Lattice Path

- A path composed of connected horizontal and vertical line segments, each passing between adjacent lattice points.
- How many lattice paths from  $(0,0)$  to  $(m,n)$  ?
- **One-one correspondence**
  - Each path  $(0,0) \rightarrow (m,n)$
  - Arrangement with  $m$  'x's and  $n$  'y's
  - $C(m+n, m)$



# Models of Combination

- Lattice - path:
- Walk along the positive directions of x-axis or y-axis from (0,0) to (m,n), 1 unit per step, there are  $C(m+n,n)$  routes.

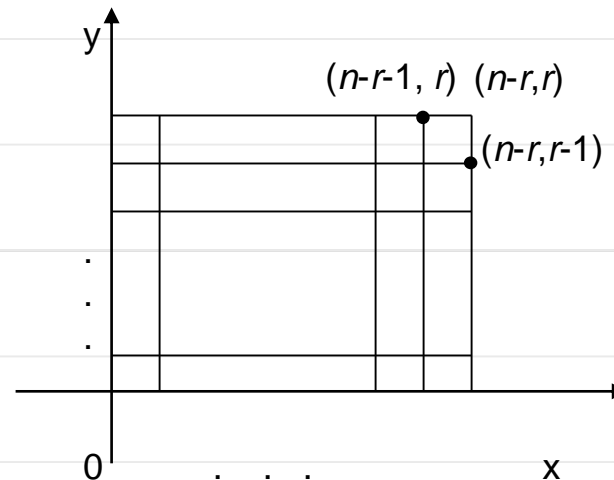
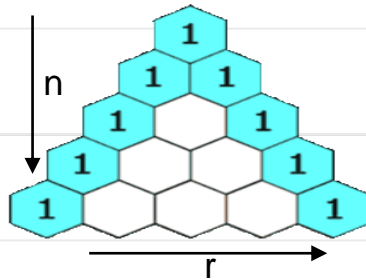


The  $n^{\text{th}}$  row,  $k^{\text{th}}$  column :  $C(n,k)$   
Coefficients of  $(a+b)^n$

$$C(n,r) = C(n-1,r) + C(n-1,r-1)$$

# Combinatorial Identities

- $C(n, r) = C(n-1, r) + C(n-1, r-1)$



- Left hand side: All lattice paths from  $(0,0)$  to  $(n-r,r)$
- Right hand side:
  - $(0,0)$  to  $(n-r-1,r)$
  - $(0,0)$  to  $(n-r,r-1)$

# Pascal's formula

- For all integers  $n$  and  $r$  with  $1 \leq r \leq n-1$ .

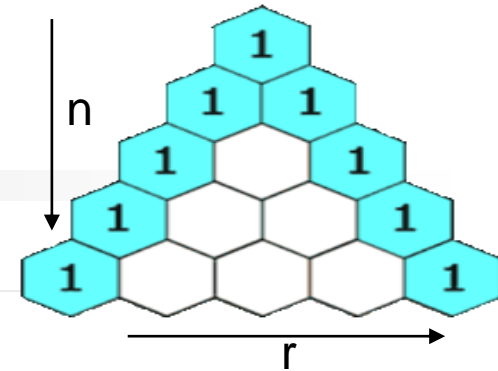
$$C(n,r) = C(n-1,r) + C(n-1,r-1)$$

- **Proof:** choose  $a_1, a_2, \dots, a_r$  from  $[1, n]$
- Partition the combinations by with or without 1
  - With 1, other  $r-1$  numbers from  $n-1$  integers:  $C(n-1, r-1)$
  - Without 1,  $r$  numbers from  $n-1$  integers:  $C(n-1, r)$
- Combining two parts:  $C(n,r) = C(n-1,r) + C(n-1,r-1)$

# Can you provide the proof for the following formula?

$$2^m = C(m,0) + C(m,1) + \dots + C(m,m)$$

# Pascal' formula



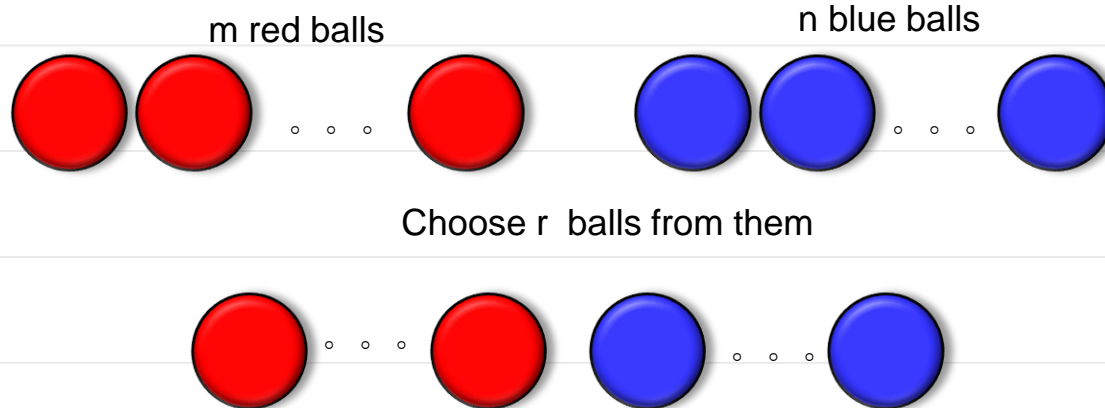
The  $n^{\text{th}}$  row,  $k^{\text{th}}$  column :  $C(n,k)$   
Coefficients of  $(a+b)^n$

- JiaXian/Yanghui Triangle
- $(x+y)^m = C(m,0)x^m + C(m,1)x^{m-1}y + \dots + C(m,m)y^m$ 
  - The coefficient of  $x^a y^{m-a}$  means how many ways to choose a 'x' from m 'x' and the other m-a elements should be 'y':  $C(m,a)$
- If  $x=y=1$ , then
- $2^m = C(m,0) + C(m,1) + \dots + C(m,m)$



# Chu-Vandermonde Identity

- $C(m+n, r) = C(m, 0)C(n, r) + C(m, 1)C(n, r-1) + \dots + C(m, r)C(n, 0)$
- $C(m, 0)C(n, r) + C(m, 1)C(n, r-1) + \dots + C(m, r)C(n, 0)$



# *Examples*

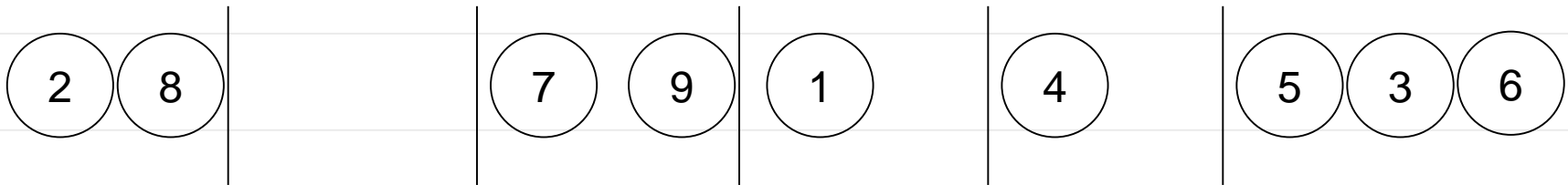
**Q: There are 6 gates. How many ways to arrange 9 people to enter the gates in sequence?**

The arrangement can be expressed as

XXffXXfXfXXX

Where X means somebody and f means the doorframe of gates.

$X \in \{1, 2, 3, \dots, 9\}$  Five “f”s are same.



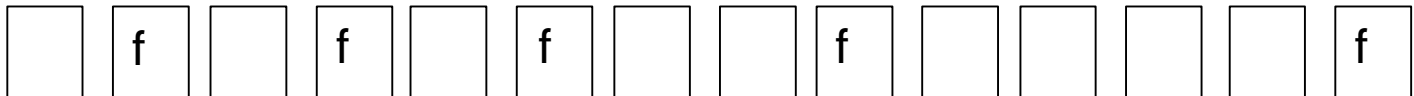
# Examples

XXf<sub>a</sub>f<sub>b</sub>XXf<sub>c</sub>Xf<sub>d</sub>Xf<sub>e</sub>XXX

**A1:** Each doorframe can be labeled.

- The number of permutation of labels is 5!
- The permutations for 14 elements are 14!.
- The total number of arrangements without labels is

$$14!/5!=726485760$$



**A2:** There are 14 positions for all the elements.

Choose 5 positions for “f”s:  $C(14,5)$

9 people can choose all the other positions: 9!

The total number of arrangements is

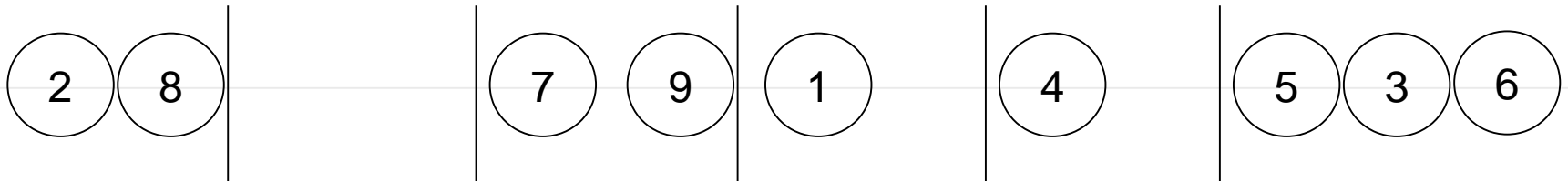
$$C(14,5)9! = 14!*9!/5!/9!=14!/5!=726485760$$

# Examples

**A3:** We can arrange 9 people step by step

- The 1<sup>st</sup> people has 6 choices;
- The 2<sup>nd</sup> people has 7 choices
  - The same gate with 1<sup>st</sup> people: before 1 or after 1
  - The other 5 gates
- The 3<sup>rd</sup> people has 8 choices;
- .....
- The 9<sup>th</sup> people has 14 choices.
- Totally, the number of arranges are

$$6*7*8*....*14 = 14!/5! = 726485760$$



# Permutations of multi-sets

- If  $S$  is a multiset, an  *$r$ -permutation* of  $S$  is an ordered arrangement of  $r$  objects of  $S$ . If  $|S| = n$ , then an  $n$ -permutation of  $S$  will also be called a permutation of  $S$ .
  - $S = \{2 \cdot a, 1 \cdot b, 3 \cdot c\}$
  - 4-permutation of  $S$ :  $acbc, cacc$
- Let  $S$  be a multiset with objects of  $k$  different types where each has an infinite repetition number. Then the number of  $r$ -permutations of  $S$  is  $k^r$ .
  - $S = \{\infty \cdot 0, \infty \cdot 1, \infty \cdot 2\}$
  - The number of 4-permutation of  $S$ :  $3^4$

The number of permutations of the letters in the word “MISSISSIPPI” is ( ).

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作答

# ***Finite Repetition Numbers***

Let  $S$  be a multiset with objects of  $k$  different types with finite repetition numbers  $n_1, n_2, \dots, n_k$ , respectively. Let the size of  $S$  be  $n = n_1 + n_2 + \dots + n_k$ . Then the number of permutations of  $S$  equals

$$\frac{n!}{n_1! n_2! \cdots n_k!}.$$

Specially, when  $k=2$

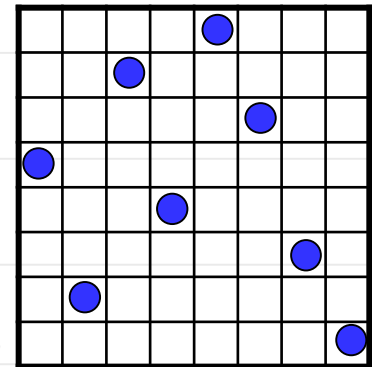
$$\frac{n!}{n_1! n_2!} = \frac{n!}{n_1! (n - n_1)!} = \binom{n}{n_1}$$

The number of permutations of the letters in the word "MISSISSIPPI" is  $\frac{11!}{1!4!4!2!}$ .

$$1 \bullet M, 4 \bullet S, 4 \bullet I, 2 \bullet P \quad \frac{11!}{1!4!4!2!}.$$

# Examples

- How many possibilities are there for 8 non-attacking rooks on an 8-by-8 chessboard?
- (1) The rooks are indistinguishable for one another;
- The coordinates of rooks: only 1 rook for each row/column
  - (1,5) (2,3), (3,6),(4,1),(5,4),(6,7),(7,2),(8,8)
  - 8-permutations of  $\{1,2\dots 8\}$ :  $8!$
- (2) We have 8 distinguished rooks;
  - $8!$  Color arrangement for each rook arrangement:
  - Total:  $8! \cdot 8!$
- (3) We have 1 red rook, 3 blue rooks and 4 yellow rooks
  - Divided with the repetitions:  $8! \cdot 8! / (1!3!4!)$





# ***Combinations of Multisets***

- If  $S$  is a multiset, then an *r-combination* of  $S$  is an unordered selection of  $r$  of the objects of  $S$ . Thus an *r-combination* is itself a multiset, a submultiset of  $S$ .
- Example. If  $S = \{2 \text{ a}, 1 \text{ b}, 3 \text{ c}\}$ 
  - 3-combinations of  $S$  are  $\{2 \text{ a}, 1 \text{ b}\}$ ,  $\{2 \text{ a}, 1 \text{ c}\}$ ,  $\{1 \text{ a}, 1 \text{ b}, 1 \text{ c}\}$ ,  $\{1 \text{ a}, 2 \text{ c}\}$ ,  $\{1 \text{ b}, 2 \text{ c}\}$ ,  $\{3 \text{ c}\}$ .
- How to count the number of  $r$ -combinations of a multiset?

# ***r-combinations***

**Theorem:** Let  $S$  be a multiset with objects of  $k$  different types where each has an **infinite repetition number**. Then the number of  $r$ -combinations of  $S$  equals

$$\binom{r+k-1}{r} = \binom{r+k-1}{k-1}.$$

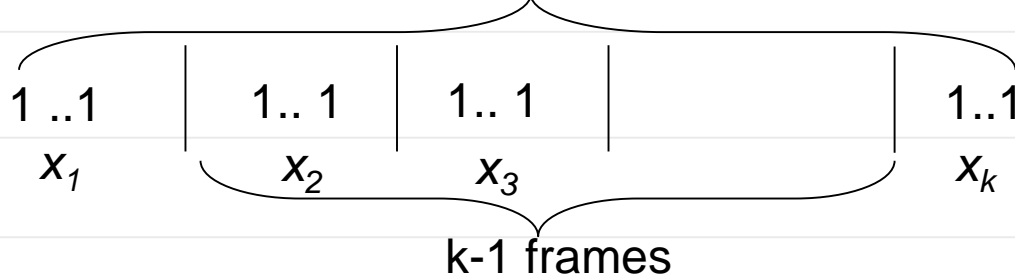
**Proof.** Suppose that  $S = \{\infty \cdot a_1, \infty \cdot a_2, \dots, \infty \cdot a_k\}$

any  $r$ -combination of  $S$  is  $\{x_1 \cdot a_1, x_2 \cdot a_2, \dots, x_k \cdot a_k\}$ , where  $x_1, x_2, \dots, x_k$  are nonnegative integers with  $x_1 + x_2 + \dots + x_k = r$ .

The number of  $r$ -combinations of  $S$  equals the number of solutions of the equation

$x_1 + x_2 + \dots + x_k = r$  where  $x_1, x_2, \dots, x_k$  are nonnegative integers

The number of '1's is  $r$



$$\frac{(k-1+r)!}{r!(k-1)!} = \binom{r+k-1}{r} = \binom{r+k-1}{k-1}$$

# Examples

- A bakery toasts 8 varieties of doughnuts. If a box of doughnuts contains 1 dozen how many different boxes can you buy?
- A: 12 combinations of a multiset with objects of 8 types, each having an infinite repetition number.
  - 12-combination of  $S = \{\infty \cdot 1, \infty \cdot 2, \dots, \infty \cdot 8\}$

$$\binom{r+k-1}{r} = \binom{12+8-1}{12} = \binom{19}{12}.$$

$x_1 + x_2 + \dots + x_k = r$  where  $x_1, x_2, \dots, x_k$  are nonnegative integers

$$\binom{r+k-1}{r} = \binom{r+k-1}{k-1}.$$

- What is the number of integral solutions of the equation  $x_1 + x_2 + x_3 + x_4 = 20$ , in which

$$x_1 \geq 3, x_2 \geq 1, x_3 \geq 0 \text{ and } x_4 \geq 5$$

- We introduce the new variables

$$y_1 = x_1 - 3, y_2 = x_2 - 1, y_3 = x_3 \text{ and } y_4 = x_4 - 5$$

$$y_1 + y_2 + y_3 + y_4 = 20 - 3 - 1 - 5 = 11$$

- $y_i$  is nonnegative, and  $x_i$  satisfies the constraints.
- The number of nonnegative solution of the

$$\text{equation is } \binom{r+k-1}{r} = \binom{11+4-1}{11} = \binom{14}{11}.$$

# Putting balls into boxes

Putting 5 balls into 4 boxes:

- 1) 5 **different** balls, 4 different boxes, the number of balls inside a box is not limited, allows empty box, and contains total \_\_\_\_ different solutions.  
【Please calculate the exact number】
- 2) 5 **identical** balls, 4 different boxes, allows empty box, and contains total \_\_\_\_ different solutions.  
【Please calculate the exact number】

5 identical balls, 4 different boxes, allows empty box, and contains total \_\_\_\_\_ different solutions.

- ☐ A 1024
- ☒ B  $C(8,3)$
- ☐ C  $C(8,4)$
- ☐ D  $C(9,4)$

5 identical balls, 4 different boxes, allows empty box, and contains total \_\_\_\_ different solutions.

Explanation:

It is equivalence to find the solution number of

$$x_1 + x_2 + x_3 + x_4 = 5,$$

$$x_1, x_2, x_3, x_4, x_5 \geq 0.$$

$$C_{n+r-1}^r = C_{4+5-1}^5 = C_8^5 = C_8^3 = 56$$

# Example

- If  $S = \{3 \text{ a}, 2 \text{ b}, 4 \text{ c}\}$ 
  - 8-permutations of  $S$ ?
  - 8-combinations of  $S$ ?
- 8-permutations
  - $\{2 \text{ a}, 2 \text{ b}, 4 \text{ c}\}$ :  $8!/(2!2!4!)=420$
  - $\{3 \text{ a}, 1 \text{ b}, 4 \text{ c}\}$ :  $8!/(3!1!4!)=280$
  - $\{3 \text{ a}, 2 \text{ b}, 3 \text{ c}\}$ :  $8!/(3!2!3!)=560$
  - Total:  $420+280+560 = 1260$



# Example

- If  $S = \{3 \text{ a}, 2 \text{ b}, 4 \text{ c}\}$ 
  - 8-permutations of  $S$ ?
  - 8-combinations of  $S$ ?

$$x_1 + x_2 + x_3 + x_4 = 20$$

$$x_1 \geq 3, x_2 \geq 1, x_3 \geq 0 \text{ and } x_4 \geq 5$$

$$y_1 = x_1 - 3, y_2 = x_2 - 1, y_3 = x_3 \text{ and } y_4 = x_4 - 5$$

$$y_1 + y_2 + y_3 + y_4 = 20 - 3 - 1 - 5 = 11$$

- 8-combinations
  - $\{2 \text{ a}, 2 \text{ b}, 4 \text{ c}\}$
  - $\{3 \text{ a}, 1 \text{ b}, 4 \text{ c}\}$
  - $\{3 \text{ a}, 2 \text{ b}, 3 \text{ c}\}$
  - Total: 3

$$x_1 + x_2 + x_3 + x_4 = 20$$

$$x_1 \leq 5, x_2 \leq 10, x_3 \leq 11, \text{ and } x_4 \leq 8$$

**Complicated!**

**Inclusion-exclusion principle  
in Chapter 6**

# Summary

Sample	Order counts?	Repetition allowed?	Name	Number of ways
Choose 3 balls and put them in a box	No	No	r-combination	$C(m,r)$
People in a line	Yes	No	r-permutation	$P(m,r)$
Arrangement of fruits	No	Yes	r-combination of multi-sets	$C(m+r-1,r)$
4-letter word	Yes	Yes	r-permutation of multi-sets	$m^r$

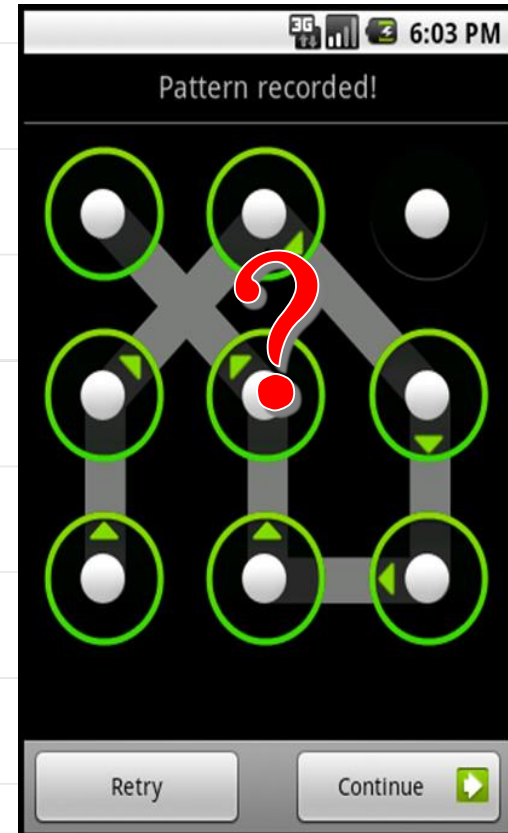
# ***To Do Homework***

- Homework sheet
- Pre-class video on RainClass room
  - Generating Permutations
  - OJ task 1: Cellphone passwords (Due on Oct.5)

# Is your cell phone password safe?



# Which type is better?



OJ task 1: Cellphone passcode

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# Thanks