TA Office Hour after class

周次	月	星期一	Sar	198	全型	5 型·	2 ₽>	星期日
2	²⁰²⁰ 九	21		23	24	25	26	27 改上周五课程 (补10月2日) 原排课程 停上
		28	29	30				
3					1 原排课程调到 10月10日进行	2 原排课程调到 9月27日进行	3 原排课程 停上	4 原排课程 停上
4	+	5	6	7	8	9	10 改上周四课程 (补10月1日) 原排课程 停上	11

There will be no class for this Thursday (Oct.1)

The next class will be on Oct 5th.

We will have three classes for next week

Oct. 5th, Oct. 8th and Oct. 10th.

Review of the previous lesson

- Four basic counting principles
 - Addition
 - Multiplication
 - Substraction
 - Division
- Permutation and combination?
 - If the order does matter:
 - Permutation: $P(n,r) = \frac{n!}{(n-r)!}$
 - If the order doesn't matter:
 - Combination: $C(n,r) = \frac{n!}{r!} \frac{(n-r)!}{(n-r)!}$
- Ordered arrangement
 - Without repeating any objects, distinct: P(n,r)
 - Circular permutation

$$\frac{P(n,r)}{r} = \frac{n!}{r(n-r)!}.$$

Example

• If
$$S = \{3 \text{ a}, 2 \text{ b}, 4 \text{ c}\}$$

$$x_1 + x_2 + x_3 + x_4 = 20$$

$$x_1 \ge 3, x_2 \ge 1, x_3 \ge 0$$
 and $x_4 \ge 5$

-8-combinations of S? $y_1 = x_1 - 3$, $y_2 = x_2 - 1$, $y_3 = x_3$ and $y_4 = x_4 - 5$

$$y_1 + y_2 + y_3 + y_4 = 20 - 3 - 1 - 5 = 11$$

8-combinations

$$-{2 a, 2 b, 4 c}$$

$$-{3 a, 1 b, 4 c}$$

$$-{3 a, 2 b, 3 c}$$

$$x_1 + x_2 + x_3 + x_4 = 20$$

$$x_1 \le 5, x_2 \le 10, x_3 \le 11, and x_4 \le 8$$

Complicated!

Inclusion-exclusion principle in Chapter 6

Chapter 6

The Inclusion-Exclusion Principle and Applications

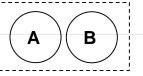
Addition rule

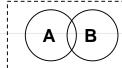
[Addition Rule] Assume that event A can happen in m ways, event B can happen in n ways. Event A or B can happen in m + n ways.

Language of set theory

If
$$|A| = m$$
, $|B| = n$, $A \cap B = \emptyset$, then $|A \cup B| = m + n$

0





Introduction to Inclusion-Exclusion Principle

$$\overline{A} = \{ x \mid x \in U \perp x \notin A \}$$

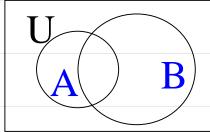
• If both A and B are subsets of U, the complement

(a)
$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

(b)
$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

• [De Morgan's Law]





Introduction to Inclusion-Exclusion Principle

A generalization of De Mogan's law: Suppose A_1, A_2, \dots, A_n are subsets of U

$$So (a)\overline{A_1 \cup A_2 \cup \cdots \cup A_n} = \overline{A_1} \cap \overline{A_2} \cap \cdots \cup \overline{A_n}$$

$$(b)\overline{A_1 \cap A_2 \cap \cdots \cap A_n} = \overline{A_1} \cup \overline{A_2} \cup \cdots \cup \overline{A_n}$$

Proof: Use mathematical induction

$$\overline{A_1 \cup A_2 \cup ... \cup A_n} = \overline{A_1} \cap \overline{A_2} \cap ... \cap \overline{A_n} \text{ is correct}$$
so
$$\overline{A_1 \cup A_2 \cup ... \cup A_n \cup A_{n+1}} = \overline{(A_1 \cup ... \cup A_n) \cup A_{n+1}}$$

$$= \overline{(A_1 \cup A_2 \cup ... \cup A_n \cap \overline{A_{n+1}})}$$

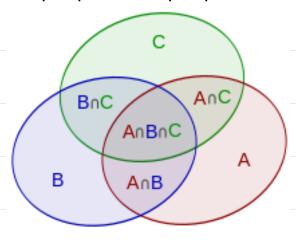
$$= \overline{A_1} \cap \overline{A_2} \cap ... \cap \overline{A_n} \cap \overline{A_{n+1}}$$
So the theorem is also correct for n+1

Inclusion-Exclusion principle

Calculate the number of elements in the union of finite set A and B. $|A \cup B| = |A| + |B| - |A \cap B|$



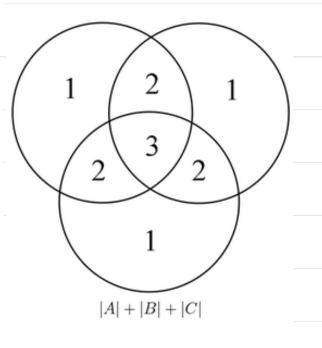
$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B|$$
$$-|A \cap C| - |B \cap C| + |A \cap B \cap C|$$

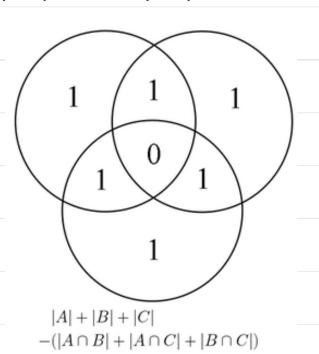


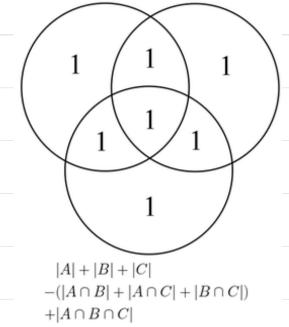
Inclusion-Exclusion Principle

Theorem:
$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B|$$

 $-|A \cap C| - |B \cap C| + |A \cap B \cap C|$







BnC

В

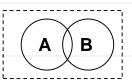
AnBnC

AnB

AnC

Inclusion-Exclusion Principle

Inclusion-Exclusion Principle



- Inclusion and Exclusion Principle
- The idea of inclusion-exclusion is:
 - Ignore duplications at first and include all objects which are in it.
 - Then exclude the duplications.
 - Finally the result has no repetitions and no losses.





Inclusion-Exclusion principle

$$\begin{aligned} \left| A_{1} \bigcup A_{2} \bigcup ... \bigcup A_{n} \right| &= \sum_{i=1}^{n} \left| A_{i} \right| - \sum_{i=1}^{n} \sum_{j>i} \left| A_{i} \cap A_{j} \right| \\ &+ \sum_{i=1}^{n} \sum_{j>i} \sum_{k>j} \left| A_{i} \cap A_{j} \cap A_{k} \right| - ... \\ &+ (-1)^{n-1} \left| A_{1} \cap A_{2} \cap ... \cap A_{n} \right| \end{aligned}$$

$$|\overline{A}| = N - |A|, \quad |\overline{A_1} \cap \overline{A_2} \cap ... \cap \overline{A_n}| = N - |A_1 \cup A_2 \cup ... \cup A_{n-1} \cup A_n|$$

$$= N - \sum_{i=1}^n |A_i| + \sum_{i=1}^n \sum_{j>i} |A_i \cap A_j| \quad -\sum_{i=1}^n \sum_{j>i} \sum_{k>j} |A_i \cap A_j \cap A_k| + ...$$

$$+ (-1)^n |A_1 \cap A_2 \cap ... \cap A_n|$$

How to prove it?

Inclusion-Exclusion Principle

$$\mid \overline{A_1} \cap \overline{A_2} \mid \stackrel{1}{+} \mid S \mid - \mid A_1 \mid - \mid A_2 \mid + \mid A_1 \cap A_2 \mid$$

Calculate the number of elements that are in neither A_1 nor A_2 .

If x is in neither
$$A_1 \text{ nor } A_2$$

$$1 \quad 1-0-0+0 = 1$$

If x is in A1 but not A2

$$0 \mid 1-1-0+0 = 0$$

If x is in A2 but not A1

$$0 \mid 1-0-1+0 = 0$$

If x is in A2 and A1

Two sides are equal

$$(x+y)^m = \mathbb{C}(m,0)x^m + \mathbb{C}(m,1)x^{m-1}y + \dots + \mathbb{C}(m,m)y^m$$

If $x=1$, $y=-1$: $0 = \mathbb{C}(m,0) - \mathbb{C}(m,1) + \dots + (-1)^m \mathbb{C}(m,m)$

Calculate the number of elements that no property holds.
$$| + \cdots + (-1)^m \sum_{i=1}^m |A_i \cap A_j| - \sum_{i=1}^m |A_i \cap A_j \cap A_k|$$

$$| + \cdots + (-1)^m \sum_{i=1}^m |A_i \cap A_i \cap A_j| - \sum_{i=1}^m |A_i \cap A_j \cap A_k|$$

$$| + \cdots + (-1)^m \sum_{i=1}^m |A_i \cap A_i \cap A_j \cap A_k|$$

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$$| + \cdots \cap A_i \cap A_i \cap A_i \cap A_i \cap A_k|$$

$$| + \cdots \cap A_i \cap A$$

Two sides are equal, calculate the number of elements which satisfies no property in the same way.

INCLUSION EXCLUSION

"One of the most useful principles of enumeration in discrete probability and combinatorial theory is the celebrated principle of inclusion—exclusion.

When skillfully applied, this principle has yielded the solution to many a combinatorial problem."

Examples

Eg Calculate the number of permutations of a,b,c,d,e,f,g which don't contain ace or df.

Solution: Permutations of 7 letters: |S| = 7!

Assume A is the permutation set in which ace is an element: |A|=5!, B is the permutation set in which df is an element: |B|=3!, A\OB is the number of permutations contain ace and df: $|A\cap B|=4!$.

$$|\overline{A} \cap \overline{B}| = |\overline{A \cup B}| = S - |A| - |B| + |A \cap B|$$

$$= 7! - 5! - 6! + 4!$$

Examples

Eg Calculate the number of multiples of 3 or 5 from 1 to 500.

Solution: Let A be the set of multiples of 3 from 1 to 500.

B is the set of multiples of 5 from 1 to 500.

$$|A| = \left\lfloor \frac{500}{3} \right\rfloor = 166, |B| = \left\lfloor \frac{500}{5} \right\rfloor = 100;$$
 $|A \cap B| = \left\lfloor \frac{500}{15} \right\rfloor = 33$

The number of multiples of 3 or 5 is:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

= 166 + 100 - 33 = 233



Eg Calculate the number of multiples of 6 or 8 from 1 to 500.



В 135

(c) 145

D 126

- **Eg** Calculate the number of multiples of 6 or 8 from 1 to 500.
- [500/6]+[500/8]-[500/**24**]

Examples

Find the number of integers between 1 and 1000, inclusive, which are divisible by none of 5, 6, and 8.

Let P₁ be the property that an integer is divisible by 5, P₂ the property that an integer is divisible by 6, and P₃ the property that an integer is divisible by 8.

Let S be the set consisting of the first 1000 positive integers. For i=1, 2, 3 let A_i be the set consisting of those integers in S with property P_i . We wish to find the number of integers in $|\overline{A_1} \cap \overline{A_2} \cap \overline{A_3}|$

$$|A_1| = \left\lfloor \frac{1000}{5} \right\rfloor = 200 \qquad |A_2| = \left\lfloor \frac{1000}{6} \right\rfloor = 166 \qquad |A_3| = \left\lfloor \frac{1000}{8} \right\rfloor = 125$$

lcm(5,6)=30, lcm(5,8)=40, lcm(6,8)=24

$$|A_{1} \cap A_{2}| = \left\lfloor \frac{1000}{30} \right\rfloor = 33 \qquad |A_{1} \cap A_{3}| = \left\lfloor \frac{1000}{40} \right\rfloor = 25 \qquad |A_{2} \cap A_{3}| = \left\lfloor \frac{1000}{24} \right\rfloor = 41$$

$$|\text{Icm}(5,6,8) = 120 \qquad |A_{1} \cap A_{2} \cap A_{3}| = \left\lfloor \frac{1000}{120} \right\rfloor = 8$$

$$|\overline{A_{1}} \cap \overline{A_{2}} \cap \overline{A_{3}}| = 1000 - (200 + 166 + 125) + (33 + 25 + 41) - (8) = 600 \quad 1$$

Special case for IEP

$$\left| \overline{A_1} \cap \overline{A_2} \cap \dots \cap \overline{A_m} \right| = \left| S \right| - \sum |A_i| + \sum |A_i \cap A_j| - \sum |A_i \cap A_j \cap A_k|$$

$$+ \dots + (-1)^m \sum |A_1 \cap A_2 \cap \dots \cap A_m|$$

Assume that the size of the set $A_{i1} \cap A_{i2} \cap ... \cap A_{ik}$ that occurs in the IEP depends only on k and not on which k sets are used in the intersection. Then

$$\left| \overline{A_1} \cap \overline{A_2} \cap \dots \cap \overline{A_m} \right| = a_0 - \binom{m}{1} a_1 + \binom{m}{2} a_2 - \binom{m}{3} a_3$$

$$+ \dots + (-1)^k \binom{m}{k} a_k + \dots + (-1)^m a_m$$

where $a_k = |A_{i1} \cap A_{i2} \cap ... \cap A_{ik}|$. This is because the kth summation that occurs in the IEP contains C(m, k) summands each equal to a_k .

Example

- How many integers between 0 and 99,999 (inclusive) have digits 2, 5 and 8?
- Let S be the integers between 0 and 99,999.
- P_1 (resp., P_2 and P_3) be the property that an integer does not contain the digit 2 (resp., 5 and 8).
- Let A_i be the set consisting of those integers in S with property P_i. We wish to count the number of integers in

$$|\overline{A_1} \cap \overline{A_2} \cap \overline{A_3}|$$

$$\left| \overline{A_1} \cap \overline{A_2} \cap \dots \cap \overline{A_m} \right| = a_0 - \binom{m}{1} a_1 + \binom{m}{2} a_2 - \binom{m}{3} a_3$$

$$+ \dots + (-1)^k \binom{m}{k} a_k + \dots + (-1)^m a_m$$
How many integers between 0 and 99,999 (inclusive) have

- How many integers between 0 and 99,999 (inclusive) have digits 2, 5 and 8?
- S is the 5-permutations of the multiset with 10 elements

$$-\{\infty\{0\},\infty\{1\},\infty\{2\},...,\infty\{9\}\}$$

• resp., A_i is the 5-permutations of the multiset with 9 elements

$$- \{\infty\{0\}, \infty\{1\}, \infty\{3\}, \infty\{4\}, \infty\{5\}, \infty\{6\}, \infty\{7\}, \infty\{8\}, \infty\{9\}\}\$$

$$-\{\infty\{0\},\infty\{1\},\infty\{2\},\infty\{3\},\infty\{4\},\infty\{6\},\infty\{7\},\infty\{8\},\infty\{9\}\}$$

$$= \{\infty\{0\}, \infty\{1\}, \infty\{2\}, \infty\{3\}, \infty\{4\}, \infty\{5\}, \infty\{6\}, \infty\{7\}, \infty\{9\}\}\}$$

•
$$a_k = |A_{i1} \cap A_{i2} \cap \ldots \cap A_{ik}|$$
.

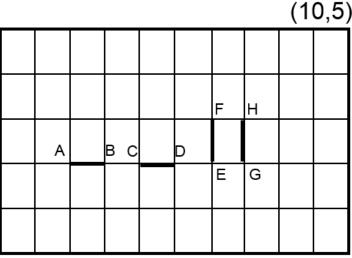
$$-a_0 = 10^5, a_1 = 9^5, a_2 = 8^5, a_3 = 7^5$$

• the answer is $10^5 - 3 \times 9^5 + 3 \times 8^5 - 7^5$

Applications of Inclusion-Exclusion principals

Eg Lattice Path with barriers:

How many paths go from (0,0) to (10,5) without passing AB, CD, EF, GH?
The coordinates of the points are A(2,2),B(3,2),C(4,2),D(5,2),E(6,2),F(6,3),G(7,2),H(7,3)



Solution: Total number of paths:C(15,5)

Paths that pass AB: $|A_1| = C(2+2,2)C(7+(0,0))$

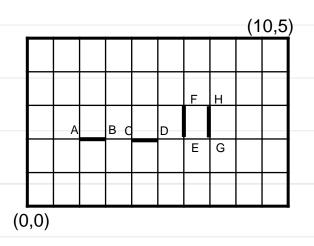
Paths that pass CD: $|A_2| = C(4+2,2)C(5+3,3)$;

Paths that pass EF: $|A_3| = C(8,2)C(6,2)$;

Paths that pass GH: $|A_4| = C(9,2)C(5,2)$;

Applications of Inclusion-Exclusion Principle

Paths that pass AB, CD: $|A_1 \cap A_2| = C(4, 2) C(8, 3)$; Paths that pass AB, EF: $|A_1 \cap A_3| = C(4, 2) C(6, 2)$; Paths that pass AB, HG: $|A_1 \cap A_4| = C(4, 2) C(5, 2)$; Paths that pass CD, EF: $|A_2 \cap A_3| = C(6, 2) C(6, 2)$; Paths that pass CD, HG: $|A_2 \cap A_4| = C(6, 2) C(5, 2)$; Paths that pass EF, HG: $|A_3 \cap A_4| = 0$; $|A_1 \cap A_2 \cap A_3 \cap A_4| = 2049$



Paths that pass AB, CD, EF: $|A_1 \cap A_2 \cap A_3| = \mathbb{C}(4,2) \, \mathbb{C}(6,2) \, ;$ Paths that pass AB, CD, HG: $|A_1 \cap A_2 \cap A_4| = \mathbb{C}(4,2) \, \mathbb{C}(5,2) \, ;$ $|A_2 \cap A_3 \cap A_4| = 0$ $|A_1 \cap A_2 \cap A_3 \cap A_4| = 0$

Applications

- Combinations with repetition
- Derangements
- Permutations with forbidden positions

Combinations with repetition

- Unordered arrangements
 - Without repeating any object: C(n,r)
 - With repetition of objects permitted (infinite repetition).

$$x_1 + x_2 + x_3 + x_4 = 15$$

 $0 \le x_1 \le 5, 0 \le x_2 \le 10, 0 \le x_3 \le 11, and 0 \le x_4 \le 8$



Eg Calculate the number of non-negative integer roots of $x_1+x_2+x_3=15$

Limitation is: $0 \le x_1 \le 5$, $0 \le x_2 \le 6$, $0 \le x_3 \le 7$.

Solution: The number of non-negative integer root of $x_1+x_2+...+x_n=b$ is C(n+b-1,b)

The number of non-negative roots of $x_1+x_2+x_3=15$ without limitation is C(15+3-1,15)=C(17,2)

Assume A1 is the solution when $x_1 \ge 6$, $y_1 + 6 + x_2 + x_3 = 15$ |A1| = C(9+3-1,9) = C(11,2)

Assume A2 is the solution when $x_2 \ge 7$, $x_1 + y_2 + 7 + x_3 = 15$ |A2| = C(8+3-1,8) = C(10,2)

Assume A3 is the solution when $x_3 \ge 8$, $x_1 + x_2 + y_3 + 8 = 15$

$$|A3| = C(7+3-1,7) = C(9,2)$$

Eg Calculate the number of non-negative solutions of $x_1+x_2+x_3=15$, Limitation is: $0 \le x_1 \le 5$, $0 \le x_2 \le 6$; $0 \le x_3 \le 7$

Solution: Without limitation, $x_1+x_2+x_3=15$ has C(15+3-1,15)=C(17,2) nonnegative solutions.

$$|A1| = C(9+3-1,9) = C(11,2)$$

$$|A2| = C(8+3-1,8) = C(10,2)$$

$$|A3| = C(7+3-1,7) = C(9,2)$$

$$A1 \cap A2: \ y_1 + 6 + y_2 + 7 + x_3 = 15 \ |A1 \cap A2| = C(2+3-1,2) = C(4,2)$$

$$A1 \cap A3: \ y_1 + 6 + x_2 + y_3 + 8 = 15 \ |A1 \cap A3| = C(1+3-1,1) = C(3,1)$$

$$A2 \cap A3: \ x_1 + y_2 + 7 + y_3 + 8 = 15 \ |A2 \cap A3| = 1$$

$$A1 \cap A2 \cap A3: \ y_1 + 6 + y_2 + 7 + y_3 + 8 = 15; \ |A1 \cap A2 \cap A3| = 0$$

$$|A1 \cap A2 \cap A3| = C(17,2) - C(11,2) - C(10,2) - C(9,2)$$

+C(4,2)+C(3,1)+1=10

Determine the number of 10-combinations of the multiset $T = \{3\{a\}, 4\{b\}, 5\{c\}\}.$

29

Combinations with repetition

- Example 1: Determine the number of 10-combinations of the multiset T = {3{a}, 4{b}, 5{c}}.
- Hint: Let $T^* = {\{\infty\{a\}, \infty\{b\}, \infty\{c\}\}}$, P_1 (resp., P_2 , and P_3) be the property that a 10-combination of T^* has more than 3 a's (resp., 4 b's and 5 c's) and A_1 (resp., A_2 and A_3) be the 1—combinations of T^* which have property P_1 (resp., P_2 and P_3). We wish to determine the size of the set

$$\begin{aligned} \left| \overline{A_1} \cap \overline{A_2} \cap \overline{A_3} \right| &= |S| - (|A_1| + |A_2| + |A_3|) \\ &+ (|A_1 \cap A_2| + |A_1 \cap A_3| + |A_2 \cap A_3|) \\ &- (|A_1 \cap A_2 \cap A_3|) \end{aligned}$$

10-combinations of the multiset $T = \{3\{a\}, 4\{b\}, 5\{c\}\}.$

- S: |S|=C(10+3-1,10)=66
- A1:consists of all 10-conbinations of T* in which a occurs at least 4 times.
 - The number of 10-combinations in A_1 equals the number of 6-combinations of T^* .
 - |A1| = C(6+3-1,6) = 28
- A2:consists of all 10-conbinations of T* in which b occurs at least 5 times.
 - |A2| = C(5+3-1,5) = 21
- A3:consists of all 10-conbinations of T* in which c occurs at least 6 times.
 - |A3| = C(4+3-1,4) = 15
- A1 \cap A2:consists of all 10-conbinations of T* in which *a* occurs at least 4 times and *b* occurs at least 5 times.
 - $|A1 \cap A2| = C(1+3-1,1) = 3$
- A1\(\triangle A3:\) consists of all 10-conbinations of T* in which a occurs at least 4 times and c occurs at least 6 times.
 - $|A1 \cap A3| = 1$
- $A2 \cap A3$: $-|A2 \cap A3| = 0$ $|\overline{A_1} \cap \overline{A_2} \cap \overline{A_3}| = 66 - (28 + 21 + 15) + (3 + 1 + 0) - (0) = 6$
- $A1 \cap A2 \cap A3$:
 - $|A1 \cap A2 \cap A3| = 0$

Derangements

- A derangement of $\{1, 2, ..., n\}$ is a permutation $i_1 i_2 ... i_n$ of $\{1, 2, ..., n\}$ such that $i_1 \neq 1, i_2 \neq 2, ..., i_n \neq n$ (i.e., no integer is in its natural position).
- We denote by D_n the number of derangements of $\{1, 2, ..., n\}$.
- For n = 1, there are no derangements. $D_1 = 0$
- For n = 2, the only derangement is 2 1. $D_2=1$
- For n =3, there are two derangements: $D_3=2$ 2 3 1 and 3 1 2.
- For n = 4, there are 9 derangements: D_4 =9 2143, 2341, 2413, 3142, 3412, 3421, 4123, 4312, 4321.

Examples

- At a party there are *n* men and *n* women. In how many ways can the *n* women choose male partners for the first dance? How many ways are there for the second dance if everyone has to change partners?
- Answer: for the first dance there are *n!* possibilities.
- For the second dance, the number of possibilities is D_n .

Formulas for Counting D_n

- For $n \ge 1$ $D_n = n! (1 \frac{1}{1!} + \frac{1}{2!} \frac{1}{3!} + \dots + (-1)^n \frac{1}{n!})$
- Proof: A *derangement* of $\{1, 2, ..., n\}$ is a permutation $i_1 i_2 ... i_n$ of $\{1, 2, ..., n\}$ such that $i_1 \neq 1, i_2 \neq 2, ..., i_n \neq n$ (i.e., no integer is in its natural position).
- Let S be the set of all n! permutations
- Let $P_j(j=1,2,...n)$ be the property that, in a permutation, j is in its natural position.
- Let A_j denote the set of permutations with property $P_j(j=1,2,...n)$ $D_n = |\overline{A_1} \cap \overline{A_2} \cap \cdots \cap \overline{A_m}|$

$$D_n = n!(1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + (-1)^n \frac{1}{n!})$$

- S is the set of all n! permutations
 - -|S|=n!
- A_j is the set of permutations with property $P_j(j=1,2,...n)$ that j is in its natural position
 - $|A_i| = (n-1)!$
- $A_i \cap A_j$ is the set of permutations that i and j is in their natural positions
 - $|A_i \cap A_i| = (n-2)!$
- $a_k = |\mathbf{A}_{i1} \cap \mathbf{A}_{i2} \cap \ldots \cap \mathbf{A}_{ik}|$.
 - $a_k = (n-k)!$
 - $\{i1,i2,...,ik\}$ is a k-combination of $\{1,2...,n\}$

$$\left| \overline{A_1} \cap \overline{A_2} \cap \dots \cap \overline{A_n} \right| = n! - C(n,1)(n-1)! \frac{C(n,i)(n-i)! = \frac{n!}{(n-i)!i!}(n-i)! = \frac{n!}{i!}}{(n-i)!i!}$$

$$+C(n,2)(n-2)!-\cdots-\pm C(n,n)1!$$

$$= n!(1 - \frac{1}{1!} + \frac{1}{2!} - \dots \pm \frac{1}{n!})$$

Todo List

- HW Sheet
- No Preclass video
- Next class(Oct.5th)
 - Pigeonhole principle

