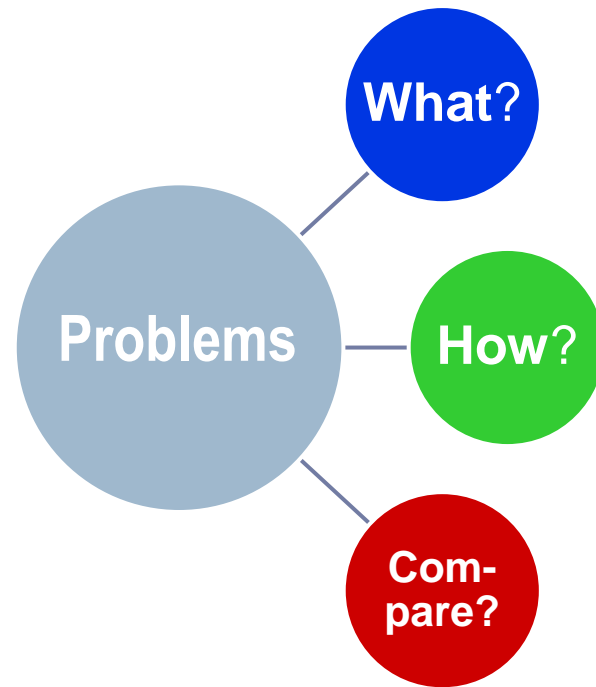
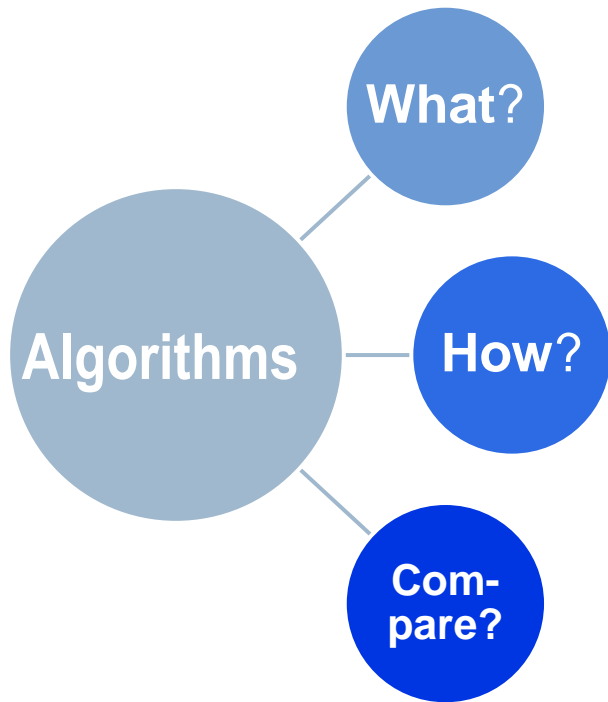


Complexity

Department of Computer Science, Tsinghua University

Complexity



Algorithm Complexity

- ▶ Let $T_A(n)$ = the computational cost for solving an problem instance of size n by algorithm A .
 - ▶ Shorted as $T(n)$

```
1  candidate = NIL;
2  count = 0;
3  for  $i = 1$  to  $n$ ;
4      if  $count == 0$ ;
5          candidate =  $A[i]$ ;
6          if  $candidate == A[i]$ ;
7              count =  $count + 1$ ;
8          else count =  $count - 1$ ;
```



Pre-requisite

```
Type Exponentiation(Type x, int n) // n is even.
{
    int m = n; Type power = 1, z = x;
    while (m > 0) {
        while (!(m%2)) {
            m /= 2; z *= z;
        }
        m--; power *= z;
    }
    return power;
}
```

q=0;

Hint

q=q+1;

Hint: $b_k b_{k-1} \cdots b_1$ is the binary representation of n , $x^n = x^{\sum_{q=0}^k b_q 2^q} = (x)^{b_0} * x^{2b_1} * x^{4b_2} * \dots * x^{2^k b_k}$

What?

- ▶ **Not Enough!** Different instances of the same size may lead to very different computational costs.

Worst-case running time : (usually)

- $T(n)$ = the maximum running time of an algorithm on any input of size n .

Average-case running time: (sometimes)

- $T(n)$ = the expected running time of an algorithm over all inputs of size n .
- Need assumption of statistical distribution of inputs.

Best-case running time: (rare)

- $T(n)$ = the minimum running time of an algorithm on any input of size n .
-

How?

- ▶ Measure the running time?
 - ▶ Implemented by different people, language, compiler...
 - ▶ Different: architecture, operating systems...
- ▶ Need to compare on an ideal platform or model:
 - ▶ Human, language, machine-independent
 - ▶ Describe algorithms directly and precisely



RAM

- ▶ RAM Model = Random Access Machine
 - ▶ Each instruction takes a constant amount of time.
 - ▶ Read/Write Memory cell | Arithmetic | Comparison | goto | call
 - ▶ No concurrent operations
- ▶ Why RAM model
 - ▶ Simplification and abstraction of computing platform
 - ▶ Assess the efficiency of algorithms by running time
 - ▶ **Machine-independent time, to compare the efficiency of algorithms**
 - ▶ Running time \propto # of basic operations

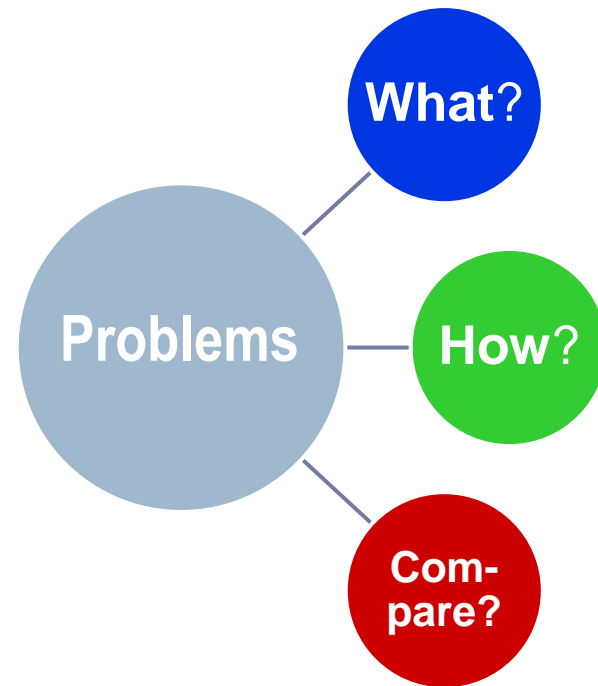
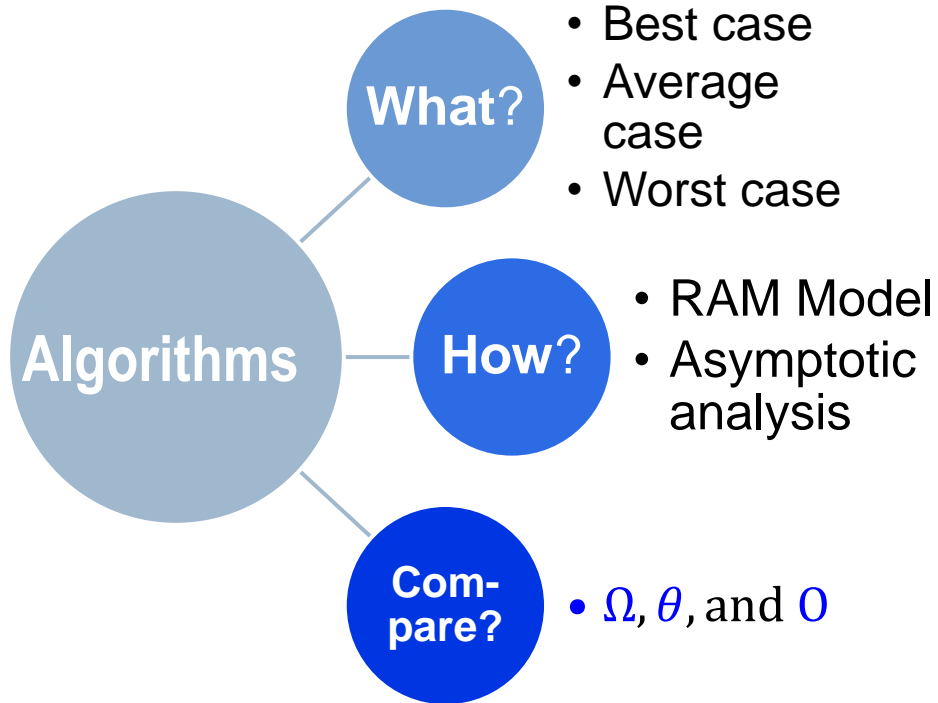


Asymptotic Analysis

- ▶ We are more interested in **big enough** problems.
 - ▶ **Asymptotic Analysis**: when the problem size is big enough, how does computational cost grow? Look at the **growth** of $T(n)$ as n is growing bigger.
- ▶ Can be used to compare the complexity of two algorithms: “When n gets large enough, a $\theta(n^2)$ algorithm **always** beats a $\theta(n^3)$ algorithm.”



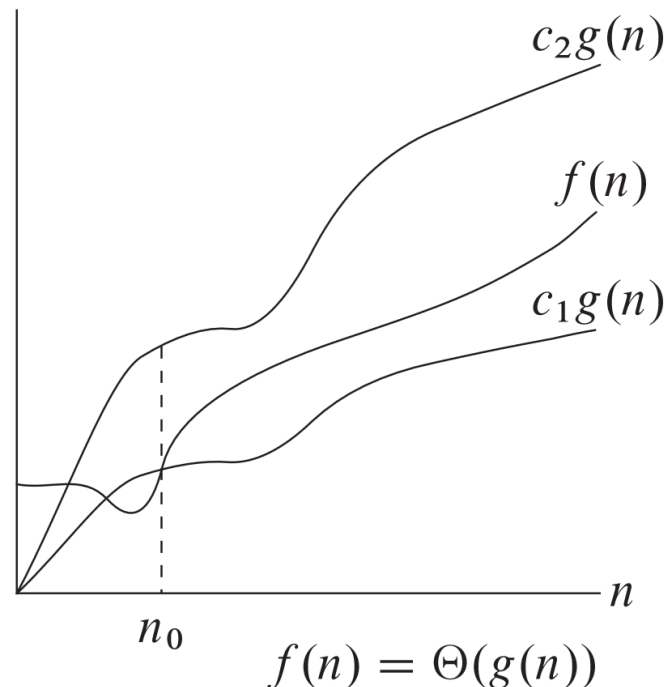
Complexity



Θ -notation

► Θ -notation

$f(n) = \theta(g(n))$, iff there exist positive constants c_1 , c_2 , and n_0 such that $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$ for all $n \geq n_0$



Θ-notation

- ▶ Example: $f(n) = \frac{1}{2}n^2 - 3n$, $g(n) = n^2$
- ▶ Show that $f(n) = \theta(g(n))$
- ▶ We need to show that there exist positive constants c_1 , c_2 , and n_0 such that
 - ▶ $c_1n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2n^2$ for all $n \geq n_0$.



Θ-notation

- ▶ **Asymptotic notation in equations**

- ▶ $T(n) = 2n^2 + 3n + 1 = 2n^2 + \theta(n) = \theta(n^2)$

- ▶ **Transitivity**

- ▶ $f(n) = \theta(g(n))$ and $g(n) = \theta(h(n))$ imply $f(n) = \theta(h(n))$

- ▶ **Reflexivity**

- ▶ $f(n) = \theta(f(n))$

- ▶ **Symmetry**

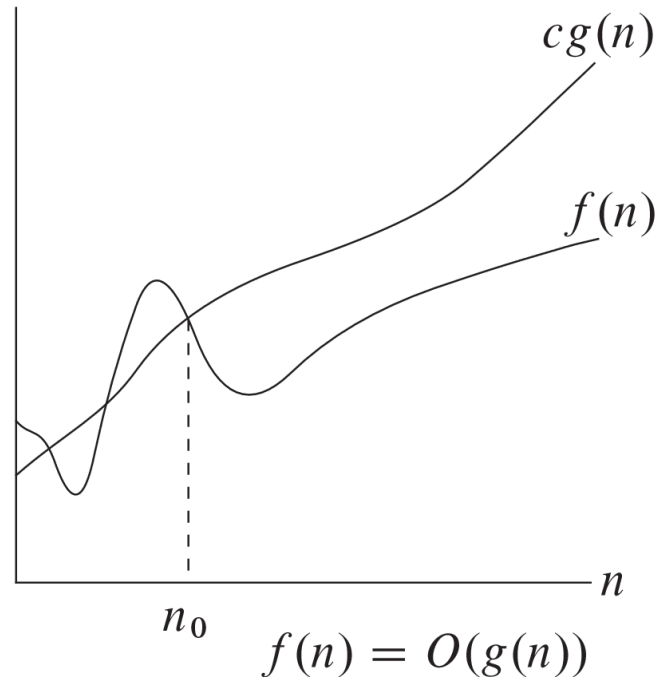
- ▶ $f(n) = \theta(g(n)) \iff g(n) = \theta(f(n))$



Big-O notation

- ▶ Big-O notation

- ▶ $f(n) = O(g(n))$ iff **there exist** positive constants c and n_0 , such that $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$



little-o notation

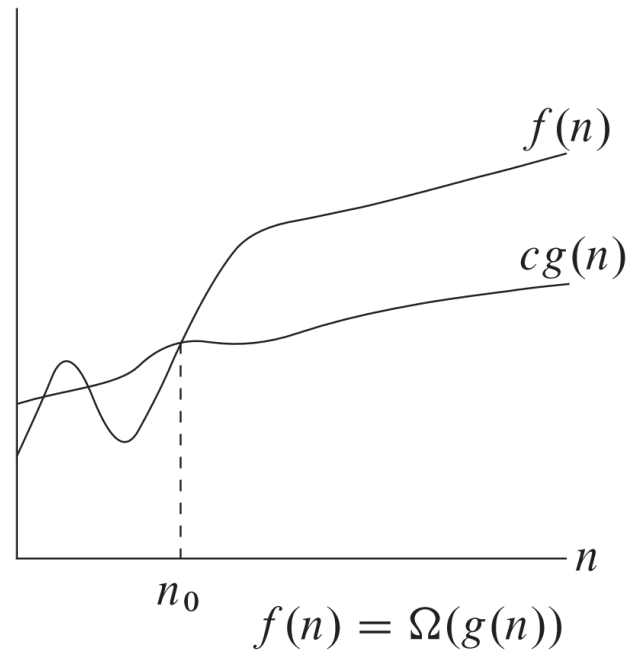
- ▶ Little-o notation (asymptotic strict upper bound)
 - ▶ $f(n) = o(g(n))$ iff **for any** positive constant $c > 0$, there exists a constant $n_0 > 0$, such that $0 \leq f(n) < cg(n)$ for all $n \geq n_0$.
- ▶ For example:
 - ▶ $2n = o(n^2)$ but $2n^2 \neq o(n^2)$
- ▶ Relation to limit:
 - ▶ $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$



Big-Ω notation

- ▶ Big-Ω notation

- ▶ $f(n) = \Omega(g(n))$ iff there exist positive constants c and n_0 , such that $0 \leq cg(n) \leq f(n)$ for all $n \geq n_0$



Ω , θ , and O

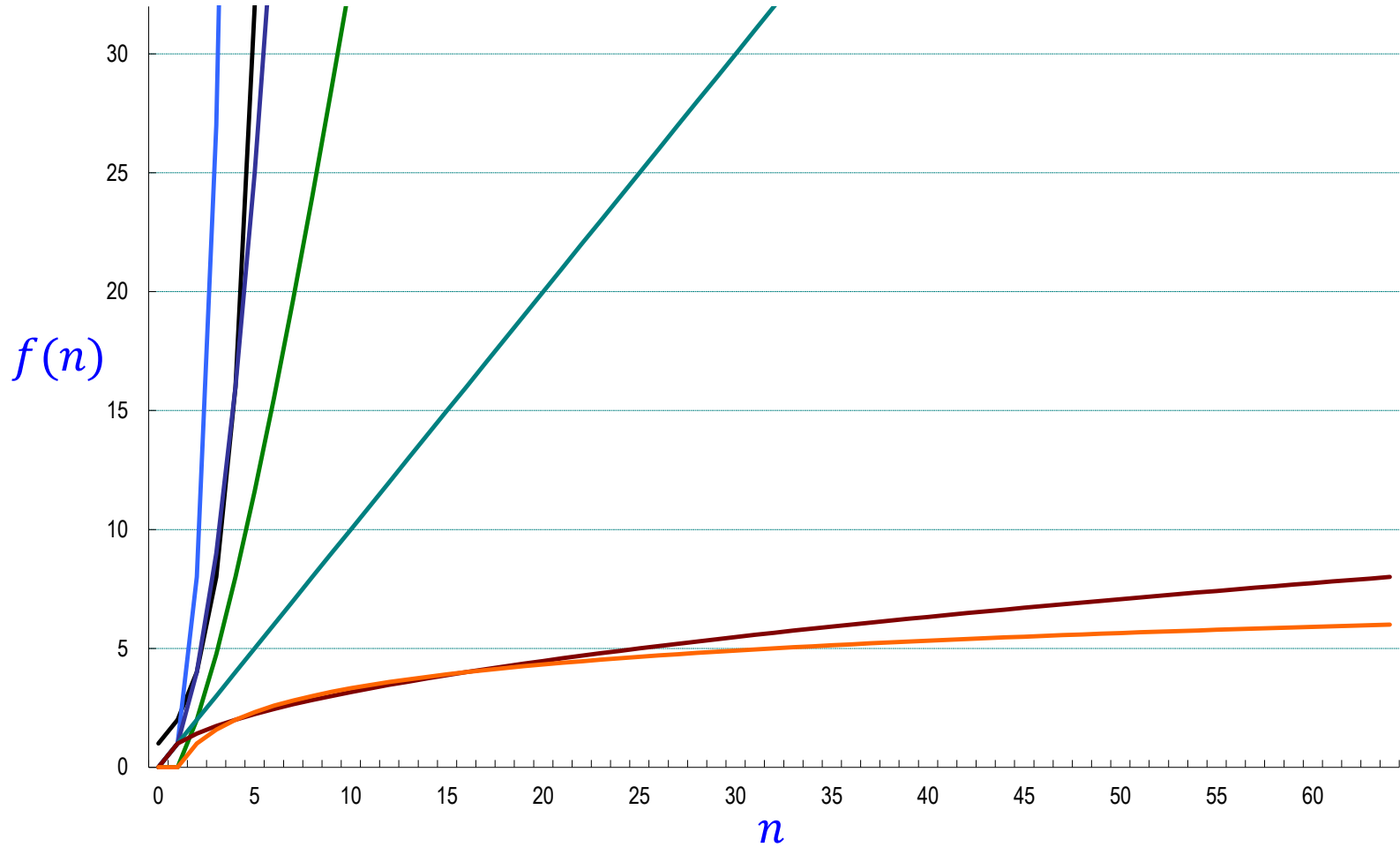
- ▶ The running time of A is $\Omega(g(n))$ means *no matter what particular input of size n is chosen for each value of n* , the running time on that input is at least a constant times $g(n)$, for sufficiently large n .
- ▶ In-class exercise: True or False? when you are talking about the **best-case/average-case/worst-case** of an algorithm A
 - ▶ The best-case running time of A is $\Omega(g(n))$ implies the running time of A is $\Omega(g(n))$.
 - ▶ The best-case running time of A is $O(g(n))$ implies the running time of A is $O(g(n))$.
 - ▶ The worst-case running time of A is $\Omega(g(n))$ implies the running time of A is $\Omega(g(n))$.
 - ▶ The worst-case running time of A is $O(g(n))$ implies the running time of A is $O(g(n))$.
- ▶ The running time of A is $\Theta(g(n))$ implies?

Speed of Growth

- ▶ Constant function
 - ▶ $2 = \Theta(1)$, $2020 = \Theta(1)$, $2020^{2020} = \Theta(1)$
- ▶ Logarithm $\theta(\log_a n) = \theta(\lg n) = \theta(\ln n)$
 - ▶ *Irrelevant to constant bases*
 - ▶ $\forall n > 0$, $\log_a n = \log_a b \times \log_b n = \theta(\log_b n)$
 - ▶ \forall constant $a, b > 0$, $\frac{\ln a}{\ln b} = \log_a b = \theta(1)$
 - ▶ *Irrelevant to constant exponents of n*
 - ▶ \forall constant $c > 0$, $\lg n^c = c \times \lg n = \theta(\lg n)$
- ▶ Polynomial function
 - ▶ $a_k n^k + a_{k-1} n^{k-1} + \dots + a_1 n + a_0 = \theta(n^k)$, $a_k > 0$
- ▶ Exponential function
 - ▶ $\forall c > 1$, $n^c = O(2^n)$ from $O(n^c)$ to $O(2^n)$, big step!



Speed of Growth



Annotate on each line from the following functions: $\lg n, \sqrt{n}, n, n \lg n, n^2, n^3, 2^n$

Hierarchy of Complexity

$O(1)$	constant	You are lucky!	Basic operations to data structures
$O(\lg n)$	logarithm	Close to constant, and not rare	Binary Search, insertion/deletion in a dictionary
$O(n)$	linear	A good goal	Traversal of tree, graph
$O(n \lg n)$		Quite often	Sorting, Huffman code
$O(n^2)$	quadratic	Pair-wise operations of input	Dijkstra Algorithm
$O(n^3)$	cubic	Often seen in DP	Floyd-Warshall
$O(n^c)$	polynomial	P problem = solvable by a polynomial algorithm	
$O(2^n)$	Exponential	Trivial algorithms to many NP optimization problems	
...		...	

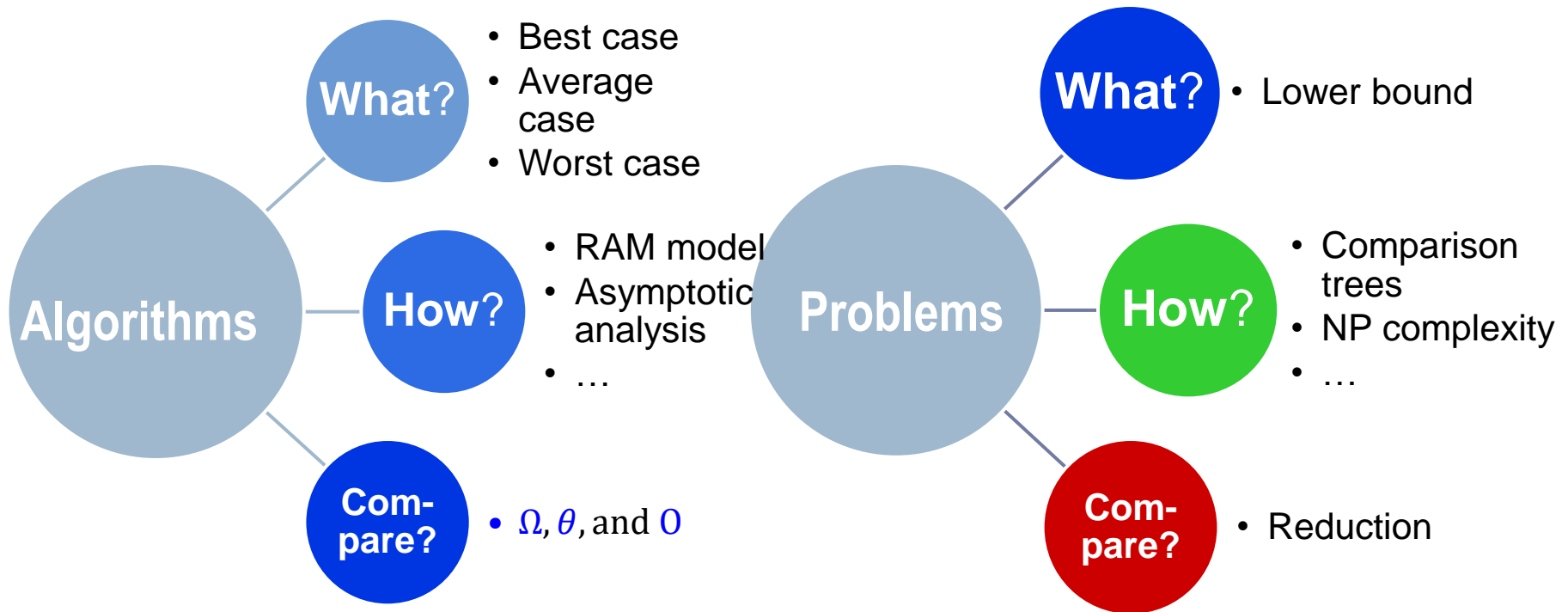


Problem Complexity

- ▶ Problem complexity vs. Algorithm complexity
 - ▶ How do you know your algorithm is the fastest one for a problem?
- ▶ Given a problem and a function $f(n)$, if $f(n)$ is the lower bound of *any* algorithm that solves the problem *in the worst case*, we call $f(n)$ is **the lower bound of the problem**.
 - ▶ Ideal case: both $f(n)$ and the algorithm achieving $f(n)$ are known.



Problem Complexity



Reduction \propto

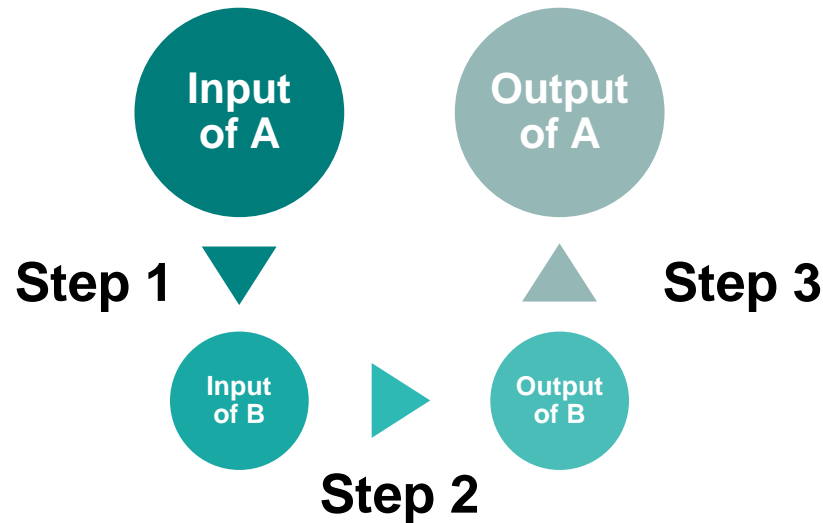
- ▶ Reduction is a way to compare the complexity of two problems.
- ▶ Given two problems A and B , we reduce A to B as follows:
 - ① Convert the input of A into a suitable input to problem B .
 - ② Solve problem B .
 - ③ Convert the output of B into a correct solution to problem A .

In order to achieve a $g(n)$ time reduction, Steps 1 and 3 must be performed in $\theta(g(n))$ time. We say problem A can be reduced to problem B in $g(n)$ time, and denote it by

$$A \propto_{g(n)} B$$



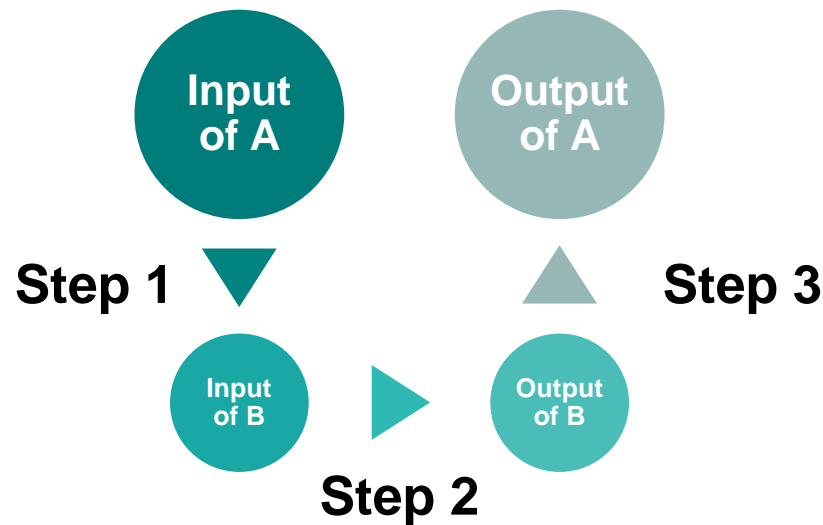
$$A \propto_{g(n)} B$$



- ▶ For example: solving linear equations \propto_c solving quadratic equations.
 - ▶ For any linear equation $ax + b = 0$, we can transform it to $0x^2 + ax + b = 0$ in constant time, whose solution provides a solution to $ax + b = 0$.
- ▶ Homework: Majority Element \propto_n Sorting.



$$A \propto_{g(n)} B$$



- ▶ Suppose A has a lower bound of $\Omega(f(n))$ and $g(n) = o(f(n))$, then $A \propto_{g(n)} B$ implies B has a lower bound of $\Omega(f(n))$ as well.
 - ▶ Otherwise, we can construct a more efficient algorithm to solve A by following the reduction procedure.
-



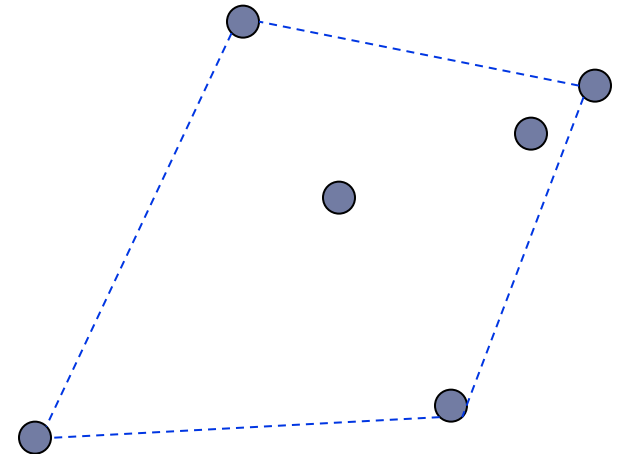
$SORTING \propto_n$ Convex Hull

► Sorting:

- Input: a sequence of n numbers
 $\langle a_1, a_2, \dots, a_n \rangle$
- Output: a permutation of the input sequence $\langle a'_1, a'_2, \dots, a'_n \rangle$ such that $a'_1 \leq a'_2 \leq \dots \leq a'_n$

► Convex Hull (p1029):

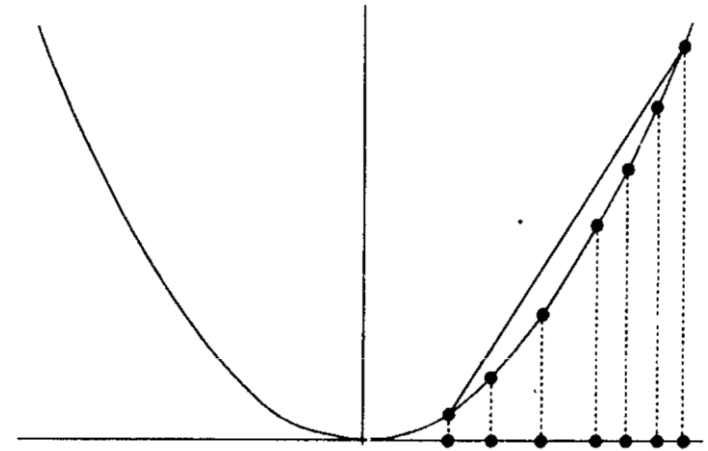
- The convex hull of a set Q of points is the smallest convex polygon P for which each point in Q is either on the boundary of P or in its interior.



$SORTING \propto_n \text{Convex Hull}$

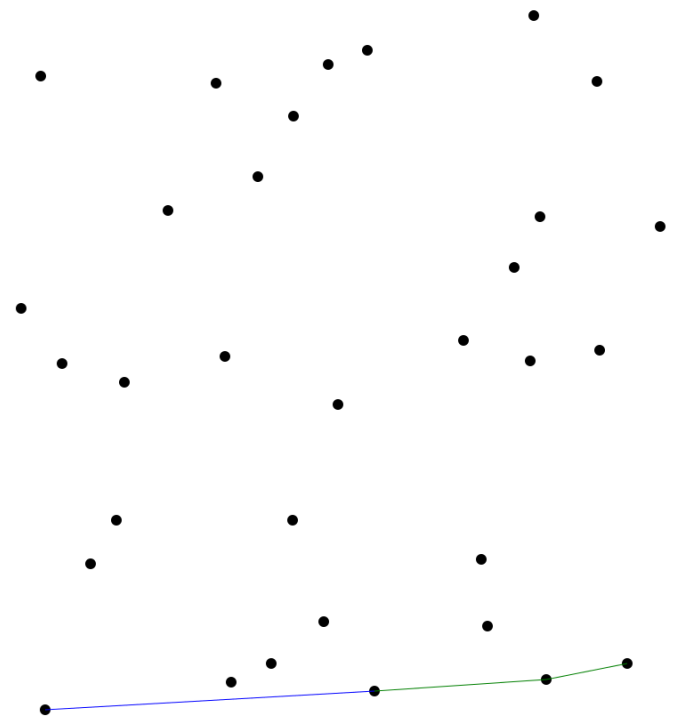
► Reduction:

- **Step1:** For a sequence of n numbers $\langle a_1, a_2, \dots, a_n \rangle$, construct n points in the two dimensional plane $\langle (a_1, a_1^2), (a_2, a_2^2), \dots, (a_n, a_n^2) \rangle$, which is an input of the Convex Hull problem.
- **Step2:** Using any algorithm for the Convex Hull problem to solve the constructed instance, the output will be a list of the constructed points sorted by their x-coordinates.
- **Step3:** Traversing the list and outputting the first coordinate of each point gives the correct output of the sorting problem.



Graham's Scan

- ▶ We know the sorting problem (comparison-based) has a lower bound of $\Omega(n \lg n)$.
- ▶ *$SORTING \propto_n \text{Convex Hull}$*
- ▶ The Convex Hull problem has a lower bound of $\Omega(n \lg n)$.
- ▶ The famous Graham's Scan algorithm achieves this lower bound.



Credit:

<http://courses.washington.edu/css503>

Summary

