

Divide and Conquer-1

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Review

- ▶ **Incremental Approach**

- ▶ Solving the problem by incrementally growing the solution.

- ▶ **Correctness**

- ▶ Setup loop invariant (mathematical induction).

- ▶ **Efficiency**

- ▶ Determined by loops, easy to be optimized by compilers.

- ▶ **Design**

- ▶ Pre-condition, Post-condition
- ▶ Loop invariant inspired by post-condition can help your algorithm design as well.



Design Paradigm

Pro.:

- ▶ D&C is another powerful technique for algorithm design.
- ▶ D&C algorithms are **recursive** in nature, and can be analyzed using recurrences and the master method.

Paradigm:

- 1. Divide** the problem (instance) into smaller problems of the **same** problem.
- 2. Conquer** the subproblems by solving them **recursively** and **solve them directly** if they are small enough.
- 3. Combine** subproblem solutions to solve the problem.



Design Paradigm

Paradigm:

- 1. Divide** the problem (instance) into subproblems.
- 2. Conquer** the subproblems by solving them recursively.
- 3. Combine** subproblem solutions to solve the problem.

DC (P)

```
1 if  $P$  is not small enough
2     Divide  $P$  into subproblems  $P_1, P_2, \dots, P_m$ ;
3      $S_1 = \text{DC}(P_1); S_2 = \text{DC}(P_2); \dots; S_m = \text{DC}(P_m);$ 
4     Combine solutions  $S_1, S_2, \dots, S_m$  to  $S$ ;
5 else  $S = \text{solve}(P);$ 
6 return  $S$ ;
```

$$T(n) = \sum_{i=1}^m T(|P_i|) + D(n) + C(n)$$



Example 1: Merge Sort



initial sequence



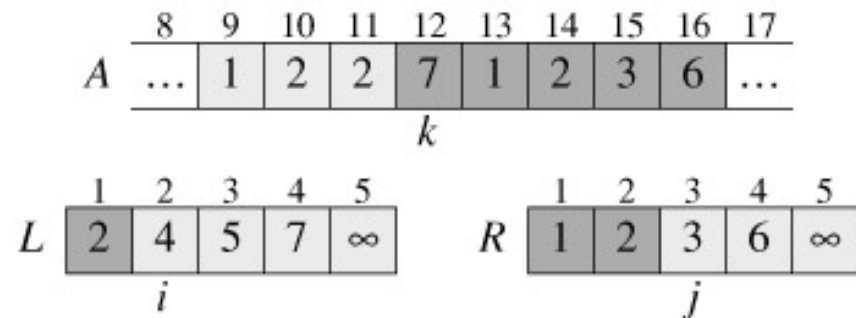
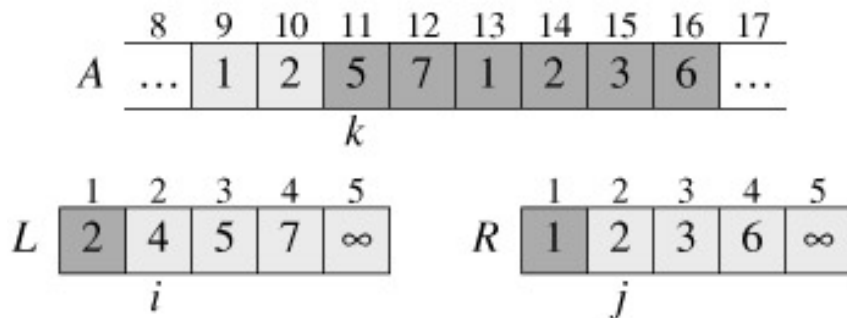
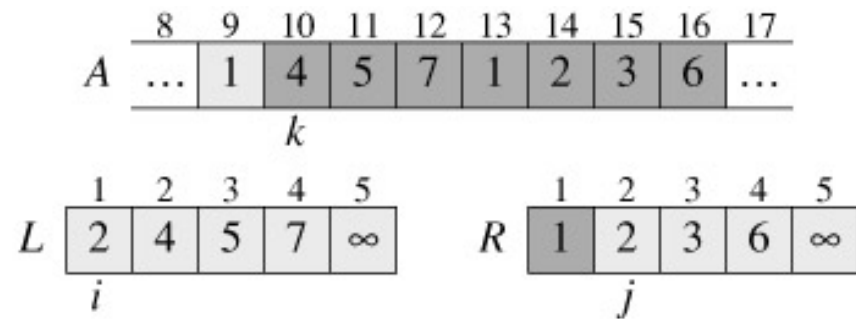
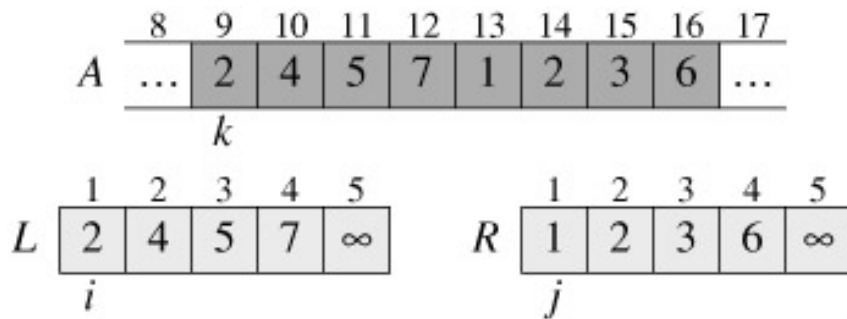
sorted sequence



- 1. Divide:** *equally partition the array into two sub-arrays. (trivial)*
 - 2. Conquer:** *recursively sort two sub-arrays.*
 - 3. Combine:** *merge two sorted sub-arrays into one sorted array. (linear time)*
-



Merge



Merge

MERGE (A, p, q, r)

1 $n_1 = q - p + 1$

2 $n_2 = r - q$

3 //create arrays $L[1..n_1 + 1]$
and $R[1..n_2 + 1]$

4 **for** $i = 1$ **to** n_1

5 $L[i] = A[p + i - 1]$

6 **for** $j = 1$ **to** n_2

7 $R[j] = A[q + j]$

8 $L[n_1 + 1] = \infty$

9 $R[n_2 + 1] = \infty$

10 $i = 1$

11 $j = 1$

12 **for** $k = p$ **to** r

13 **if** $L[i] \leq R[j]$

14 $A[k] = L[i]$

15 $i = i + 1$

16 **else** $A[k] = R[j]$

17 $j = j + 1$

D&C Algorithm

MERGE-SORT(A, p, r)

```
1  if  $p < r$ 
2       $q = \lfloor (p + r)/2 \rfloor$ 
3      MERGE-SORT( $A, p, q$ )
4      MERGE-SORT( $A, q + 1, r$ )
5      MERGE( $A, p, q, r$ )
```

$$T(n) = 2T(n/2) + \Theta(n)$$

subproblems



Subproblem size

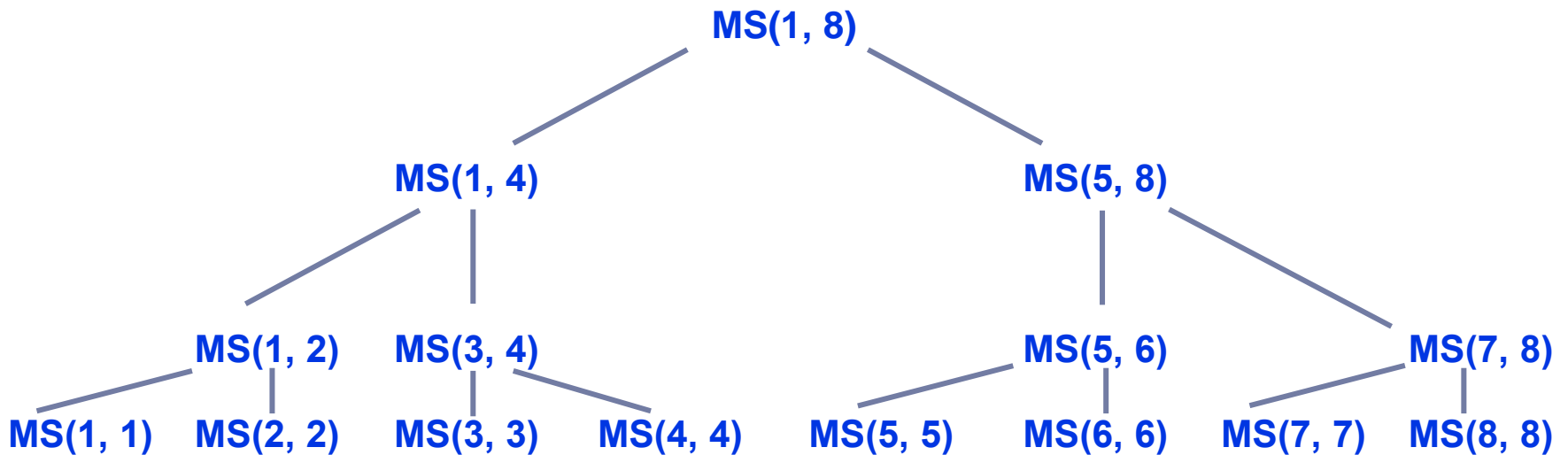
Time for the
divide and
combine steps



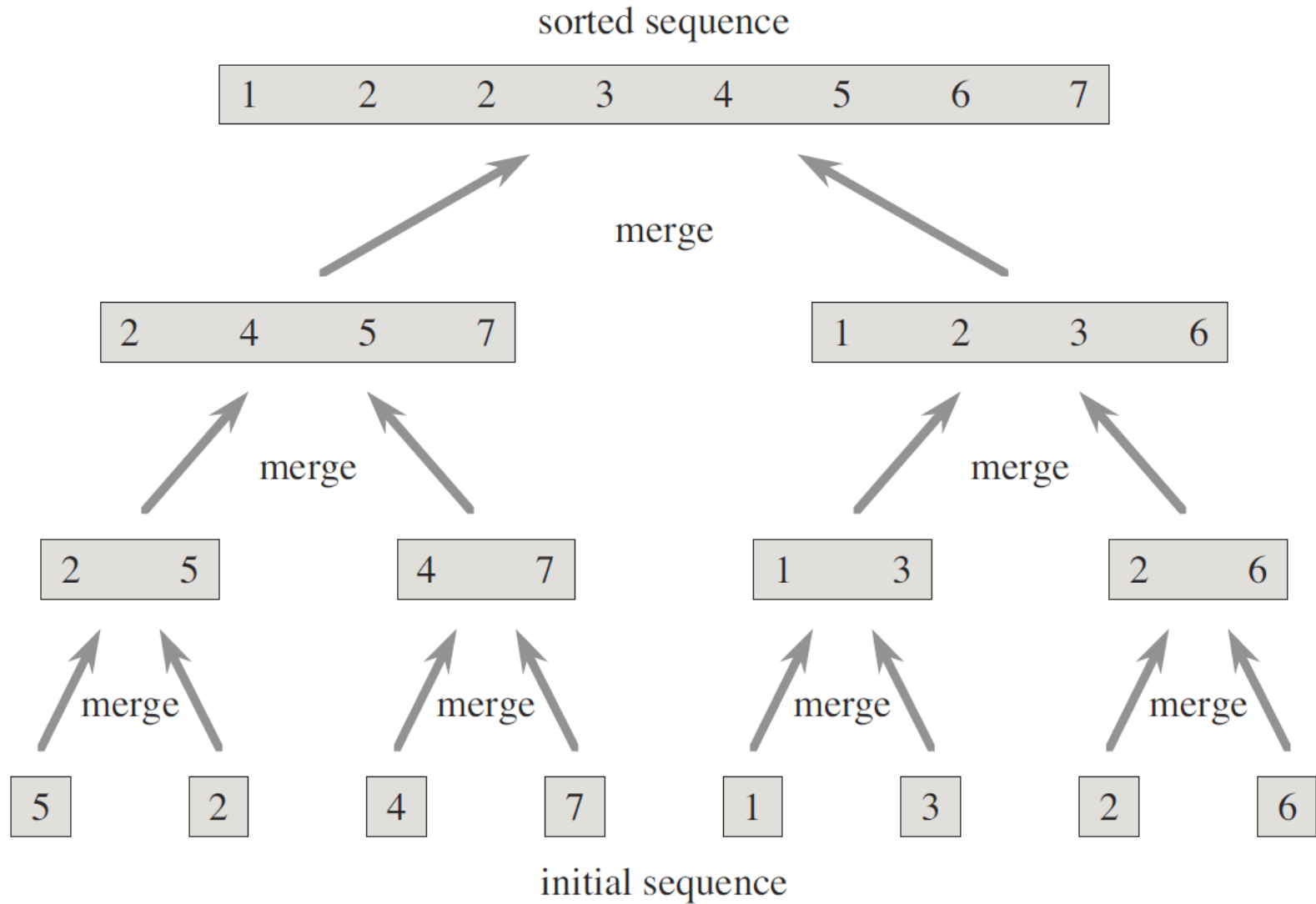
D&C Algorithm

MERGE-SORT(A, p, r)

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4      MERGE-SORT( $A, q + 1, r$ )
5      MERGE( $A, p, q, r$ )
```



D&C Algorithm



In-class Exercise

► Inversion:

- Let $A[1..n]$ be an array of n distinct numbers. If $i < j$ and $A[i] > A[j]$, then the pair (i, j) is called an inversion of A .
- Use global variable *count* to denote the number of inversions. How to modify function MERGE to compute *count*?

MERGE (A, p, q, r)

```
1   $n_1 = q - p + 1$ 
2   $n_2 = r - q$ 
3  //create arrays  $L[1..n_1 + 1]$ 
   and  $R[1..n_2 + 1]$ 
4  for  $i = 1$  to  $n_1$ 
5       $L[i] = A[p + i - 1]$ 
6  for  $j = 1$  to  $n_2$ 
7       $R[j] = A[q + j]$ 
8   $L[n_1 + 1] = \infty$ 
9   $R[n_2 + 1] = \infty$ 
10  $i = 1$ 
11  $j = 1$ 
12 for  $k = p$  to  $r$ 
13     if  $L[i] \leq R[j]$ 
14          $A[k] = L[i]$ 
15          $i = i + 1$ 
16     else  $A[k] = R[j]$ 
17          $j = j + 1$ 
```

Design Points

▶ Correctness:

- ▶ **Tip1:** the divide step: subproblems must be the **SAME** problem.
- ▶ **Tip2:** the divide and combine steps (**incremental**): can be verified by loop invariant analysis.
- ▶ **Tip3:** the whole D&C algorithm: mathematical induction on the recursive structure.

▶ Efficiency:

- ▶ number of subproblems
- ▶ size of subproblems
- ▶ time for the divide and combine steps.



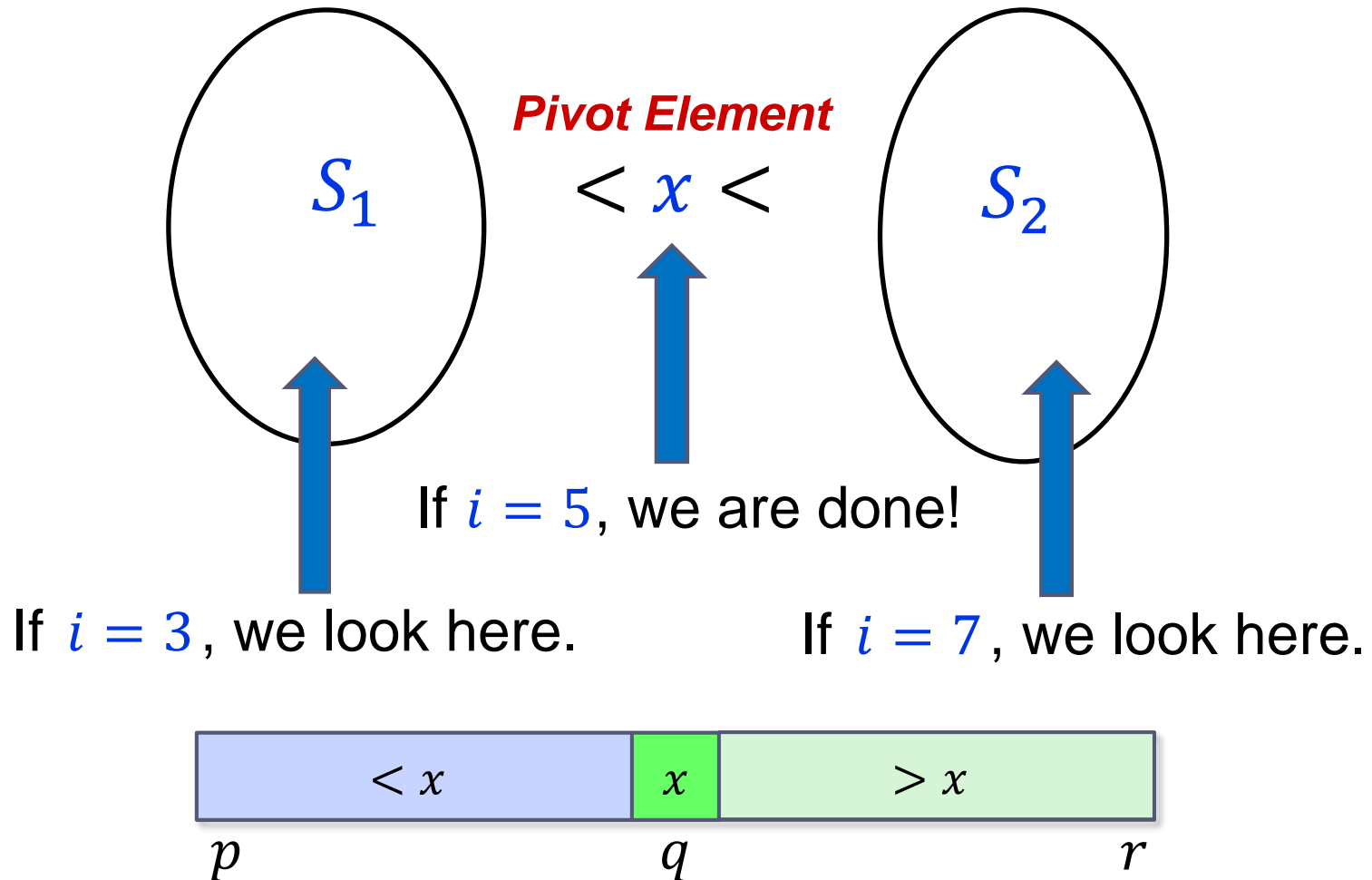
Order Statistics

- ▶ Find the i^{th} order statistic
- ▶ **Input:** Given an array A of n distinct numbers and an integer i , with $1 \leq i \leq n$.
- ▶ **Output:** The element $x \in A$ that is larger than exactly $i - 1$ other elements of A .
- ▶ Partition the input array differently!



Example 2: SELECT

Suppose S_1 has 4 elements, S_2 has 6 elements:



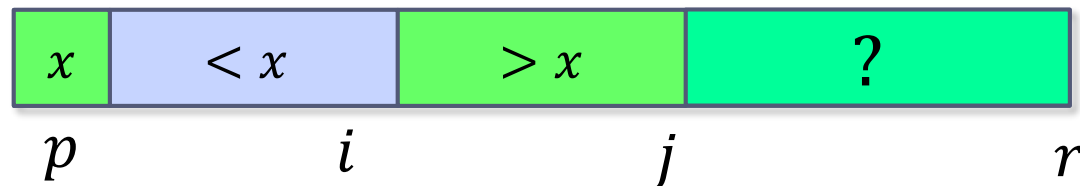
Partition

PARTITION (A, p, r) $\triangleright A[p..r]$

```
1   $x = A[p]$      $\triangleright$  pivot =  $A[p]$ 
2   $i = p$ 
3  for  $j = p + 1$  to  $r$ 
4      if  $A[j] < x$ 
5           $i = i + 1$ 
6          exchange ( $A[i], A[j]$ )
7  exchange ( $A[p], A[i]$ )
8  return  $i$ 
```

i : pointing to the last
value that is smaller
than $A[p]$.

invariant:



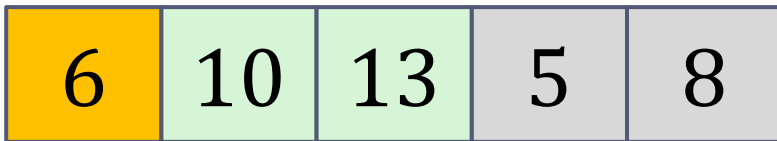
Exercise



i j



i \longrightarrow j



i \longrightarrow j



\longrightarrow j



\longrightarrow j



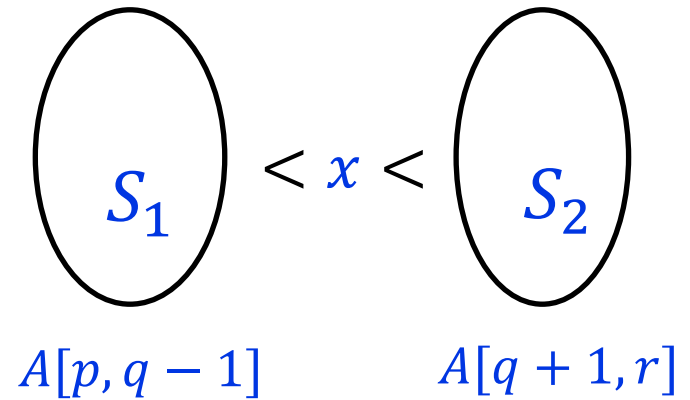
\longrightarrow j



SELECT

SELECT (A, p, r, i)

```
1  if  $p == r$ 
2      return  $A[p]$ 
3   $q = \text{PARTITION}(A, p, r)$ 
4   $k = q - p + 1$ 
5  if  $i == k$ 
6      return  $A[q]$ 
7  elseif  $i < k$ 
8      return SELECT ( $A, p, q - 1, i$ )
9  else return SELECT ( $A, q + 1, r, i - k$ )
```



Initial call: **SELECT**($A, 1, n, i$)

Worst-case

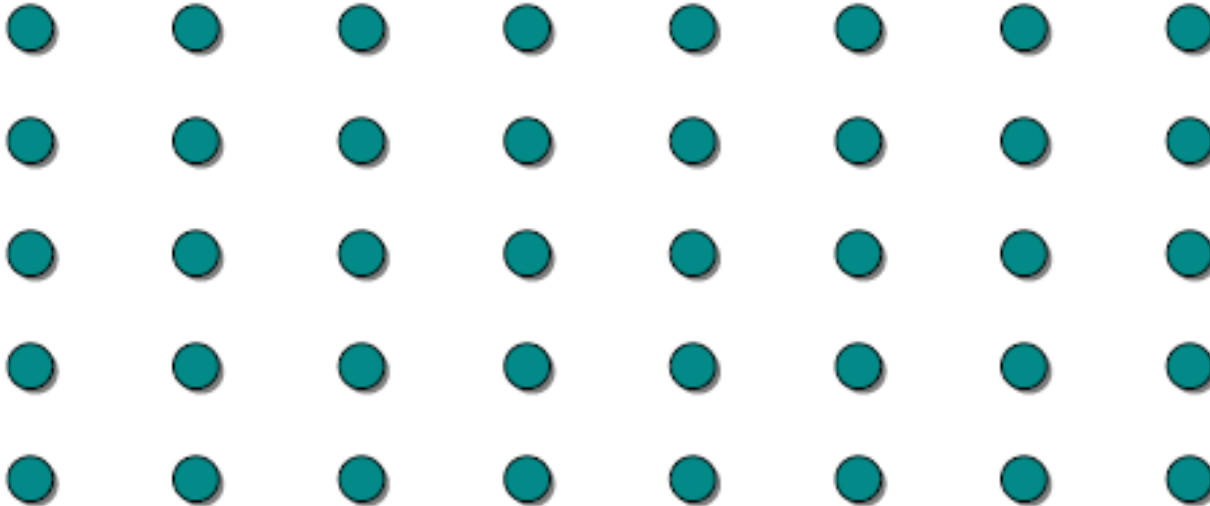
- The input array is sorted.
- Partition around the min or max element.
- One side of the partition always has no elements.

$$\begin{aligned}T(n) &= T(n - 1) + cn \\&= T(n - 2) + c(n - 1) + cn \\&= \dots \\&= c \sum_{i=1}^n i \\&= \theta(n^2)\end{aligned}$$

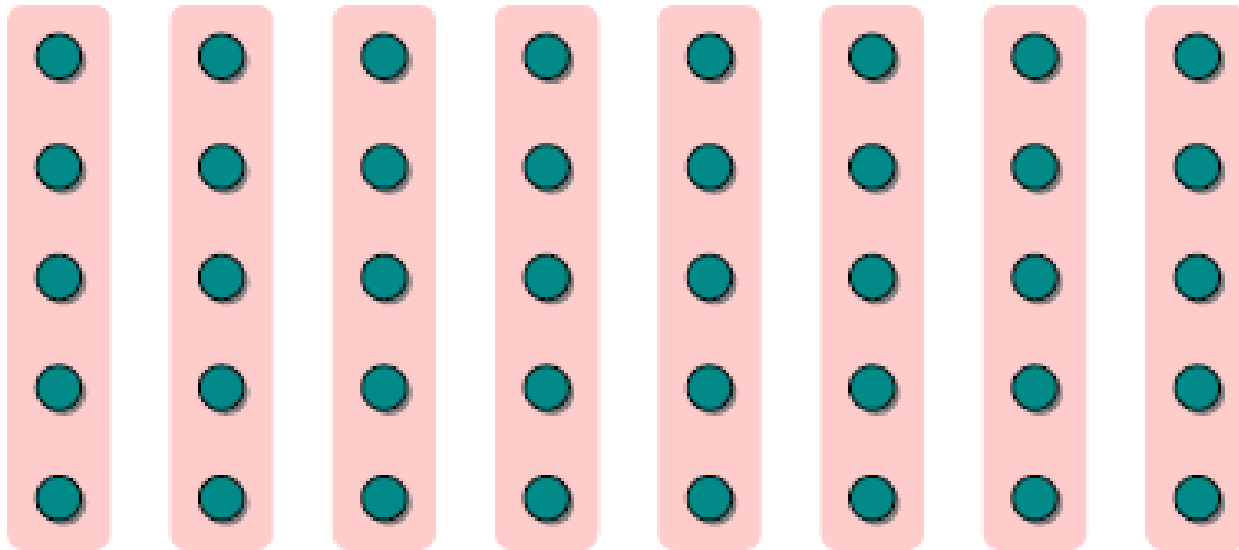
(arithmetic series)



Choosing the pivot



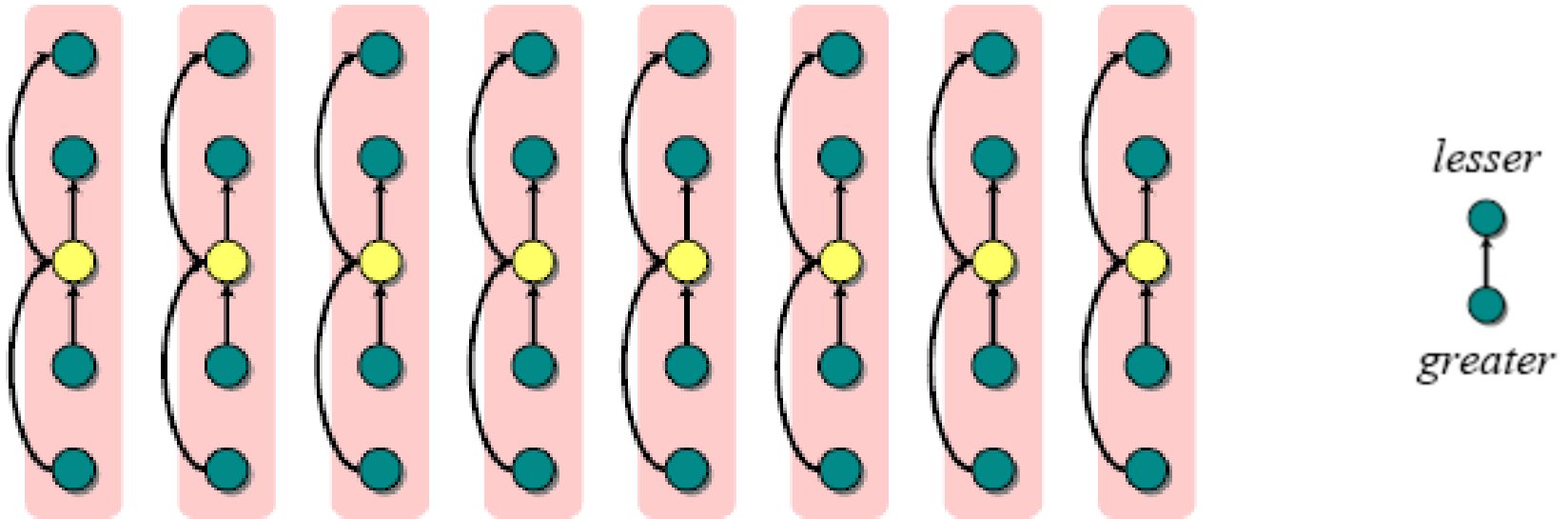
Choosing the pivot



1.1 Divide the n elements into groups of 5.

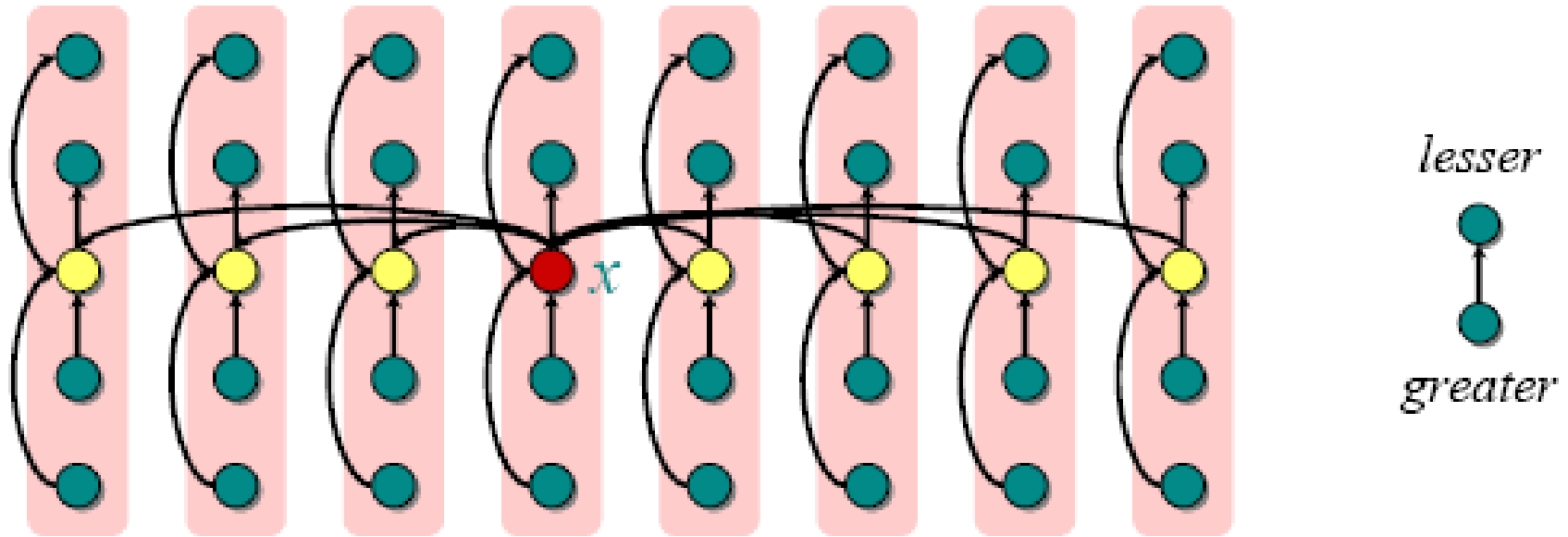


Choosing the pivot



1.2 Divide the n elements into groups of 5. Find the median of each 5-element group by insertion sort and picking the median (yellow) from the sorted list.

Choosing the pivot



2. Recursively **SELECT** the **median** x of the $[n/5]$ group medians to be the pivot.

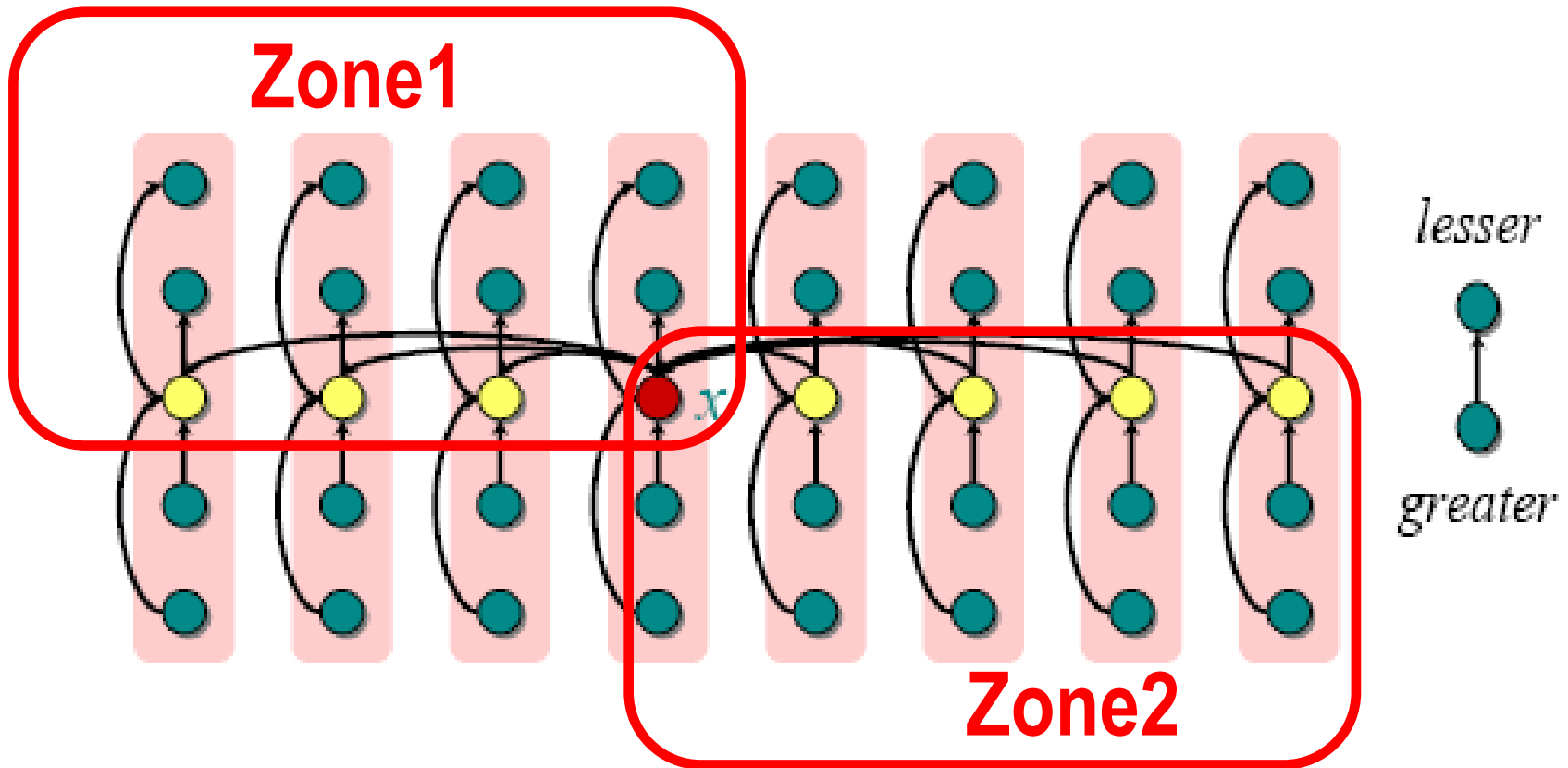
Linear-time SELECT

SELECT (A, p, r, i)

1. **if** $(r - p + 1) < 100$ **return** DirectSelect(A, p, r, i)
 2. Divide the elements in $A[p..r]$ into groups of 5.
Find the median (group medians) of each 5-element group by insertion sort and save them in B .
 3. $x = \text{SELECT}(B, 1, \lfloor \frac{n}{5} \rfloor, \lfloor \frac{n}{10} \rfloor)$
 4. $q = \text{PARTITION}(A, p, r, x)$
 5. $k = q - p + 1$
 6. **if** $i == k$
 7. **return** $A[q]$
 8. **else** $i < k$
 9. **return** SELECT($A, p, q - 1, i$)
 10. **else return** SELECT($A, q + 1, r, i - k$)
-



Analysis



Partition the input array around x into S_1, S_2 , whose sizes are at most $7\lfloor n/10 \rfloor$.

Recurrence

$$T(n) \leq$$

SELECT (A, p, r, i)

$O(n)$

1. Divide the elements in $A[p..r]$ into groups of 5.
Find the median (group medians) of each 5-element group by insertion sort and save them in B .

$T(n/5)$

$O(n)$

2. $x = \text{SELECT}(B, 1, \lfloor \frac{n}{5} \rfloor, \lfloor \frac{n}{10} \rfloor)$

3. $q = \text{PARTITION}(A, p, r, x)$

$T(7n/10)$

4. **if** $i == k$ **return** $A[q]$
 else $i < k$
 return $\text{SELECT}(A, p, q - 1, i)$
 else return $\text{SELECT}(A, q + 1, r, i - k)$

Group Discussion

- ▶ Q1: **Implementation:** When can D&C algorithms be implemented iteratively? And when recursively?
- ▶ Q2: **Efficiency:** How to design D&C algorithms in order to achieve linear algorithms?



Summary

- ▶ D&C is another powerful technique for algorithm design.
- ▶ D&C algorithms are *recursive* in nature, and can be analyzed using recurrences and the master method.
- ▶ D&C algorithms can be implemented iteratively or recursively.
- ▶ The *efficiency* of D&C algorithms depends on the number of subproblems, the size of subproblems, and time for the divide and combine steps.

