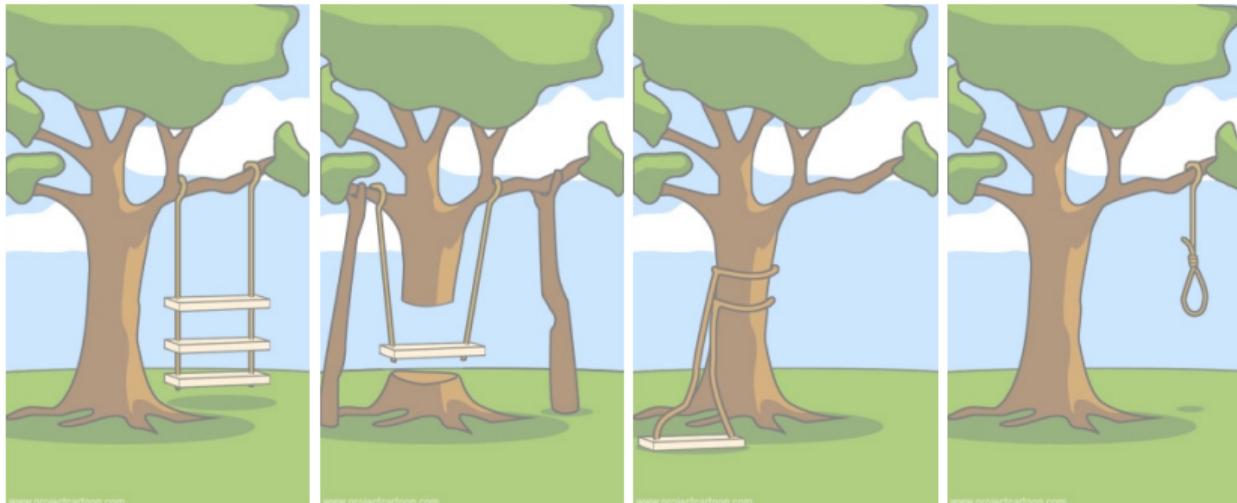




# Software Engineering

8. Testing | Thomas Thüm | January 14, 2021

# Software Testing



how the customer  
explained it

how the analyst  
designed it

how the programmer what the beta testers  
implemented it received

# Lecture Overview

1. Quality Assurance
2. White-Box Testing
3. Black-Box Testing

## Quality Assurance



**Andy Hunt**

"No one in the brief history of computing has ever written a piece of perfect software. It's unlikely that you'll be the first."



**Donald Trump (May 2020)**

"If we didn't do any testing, we would have very few cases."

# Software Quality

## Quality

Quality is the entirety of properties and characteristics of a product or process that indicate adequacy with respect to given requirements.

[Ludewig and Licher]

## Quality Assurance (Qualitätssicherung)

Quality assurance are all activities with the goal to improve the quality.

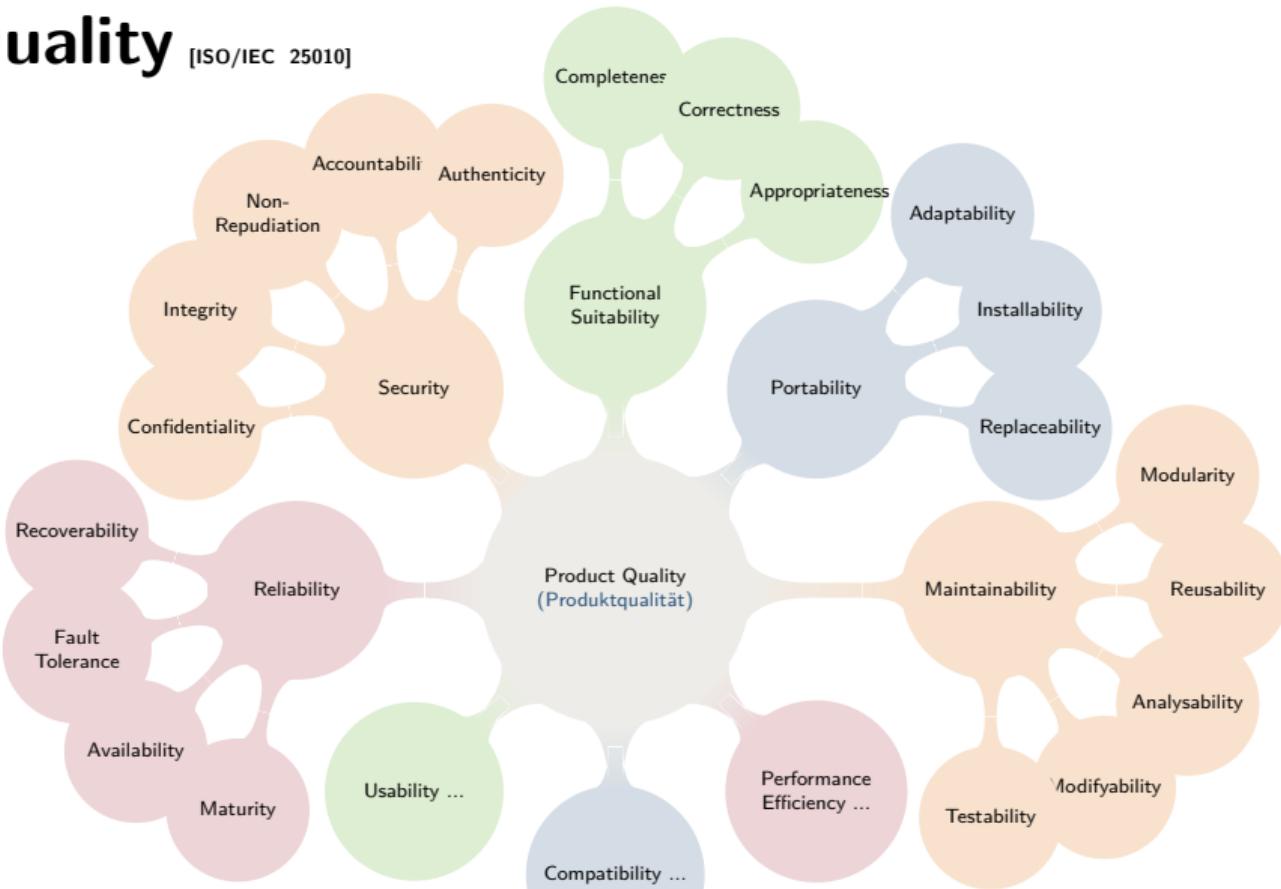
[Ludewig and Licher]

## Expectations on Quality

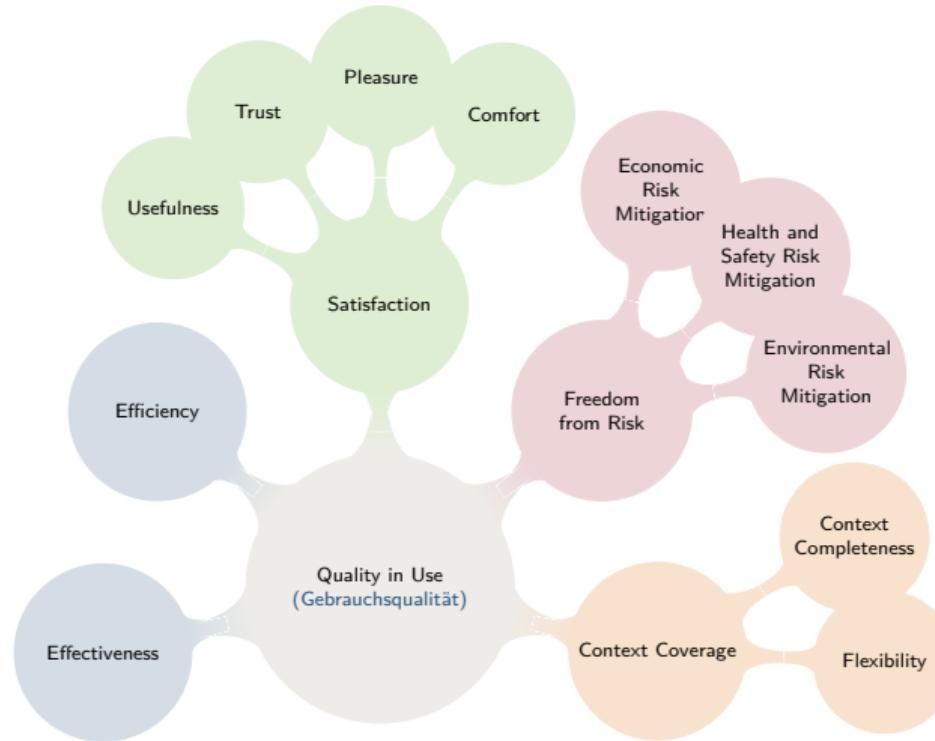
[Sommerville]

“Because of their previous experiences with buggy, unreliable software, users sometimes have low expectations of software quality. They are not surprised when their software fails. When a new system is installed, users may tolerate failures because the benefits of use outweigh the costs of failure recovery. However, as a software product becomes more established, users expect it to become more reliable. [...] If a software product or app is very cheap, users may be willing to tolerate a lower level of reliability. [...] Customers may be willing to accept the software, irrespective of problems, because the costs of not using the software are greater than the costs of working around the problems.”

# Product Quality [ISO/IEC 25010]



# Quality in Use [ISO/IEC 25010]



## ⚠ ERROR

IF YOU'RE SEEING THIS, THE CODE IS IN WHAT  
I THOUGHT WAS AN UNREACHABLE STATE.

I COULD GIVE YOU ADVICE FOR WHAT TO DO.  
BUT HONESTLY, WHY SHOULD YOU TRUST ME?  
I CLEARLY SCREWED THIS UP. I'M WRITING A  
MESSAGE THAT SHOULD NEVER APPEAR, YET  
I KNOW IT WILL PROBABLY APPEAR SOMEDAY.

ON A DEEP LEVEL, I KNOW I'M NOT  
UP TO THIS TASK. I'M SO SORRY.



NEVER WRITE ERROR MESSAGES TIRED.

# Software Testing

## Software Testing

[Sommerville]

“Testing is intended to show that a program does what it is intended to do and to discover program defects before it is put into use.”

## Validation Testing

[Sommerville]

“Demonstrate to the developer and the customer that the software meets its requirements.”

## Defect Testing

[Sommerville]

“Find inputs or input sequences where the behavior of the software is incorrect, undesirable, or does not conform to its specification.”

## V&V

[Boehm 1979]

“**Validation**: Are we building the right product?

**Verification**: Are we building the product right?”

## Stages of Testing

[Sommerville]

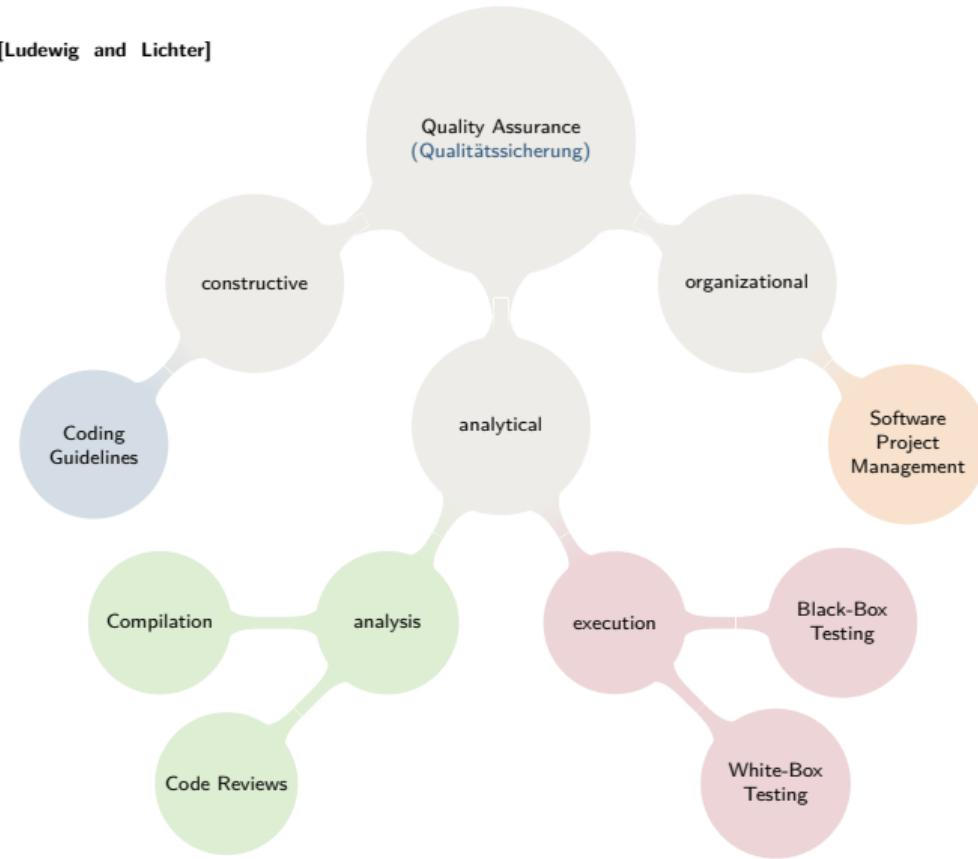
1. “**Development testing**, where the system is tested during development to discover bugs and defects”
2. “**Release testing**, where a separate testing team tests a complete version of the system before it is released to users”
3. “**User testing**, where users or potential users of a system test the system in their own environment”

“In **manual testing**, a tester runs the program with some test data and compares the results to their expectations. [...] In **automated testing**, the tests are encoded in a program that is run each time the system under development is to be tested.”

[Sommerville]

# Quality Assurance

[Ludewig and Licher]



# Code Reviews

- Idea: improve quality by asking other programmers for feedback
- Typically applied with quality checklist
- Quality criteria: functionality, comprehensibility, maintainability, coding guidelines, design patterns, ...
- Reviewer selection: based on familiarity with code, availability, expertise

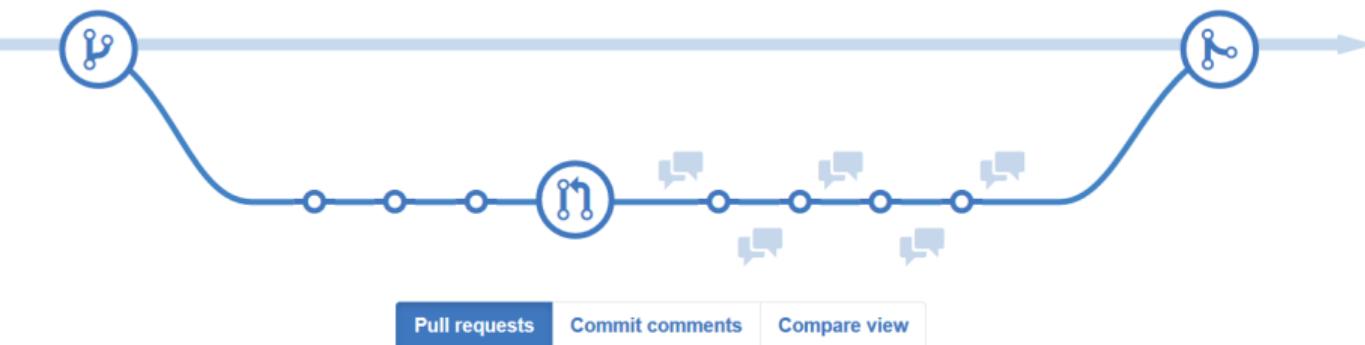
- Cannot be done by yourself
- Reviewers need programming experience and knowledge of the code (mutual feedback)
- Feedback should be timely and constructive
- Only changes reviewed, not too many



# Code Reviews on Github

## Collaborative code review.

Code review is an essential part of the GitHub workflow. After creating a branch and making one or more commits, a Pull Request starts the conversation around the proposed changes. Additional commits are commonly added based on feedback before merging the branch.



# Modulo in Different Programming Languages

## Overview by Torsten Curdt:

| Language   | 13 mod 3 | -13 mod 3 | 13 mod -3 | -13 mod -3 |
|------------|----------|-----------|-----------|------------|
| C          | 1        | -1        | 1         | -1         |
| Go         | 1        | -1        | 1         | -1         |
| PHP        | 1        | -1        | 1         | -1         |
| Rust       | 1        | -1        | 1         | -1         |
| Scala      | 1        | -1        | 1         | -1         |
| Java       | 1        | -1        | 1         | -1         |
| Javascript | 1        | -1        | 1         | -1         |
| Ruby       | 1        | 2         | -2        | -1         |
| Python     | 1        | 2         | -2        | -1         |

## Perform Example Code Review

```
/**  
 * Computes the remainder of the  
 * Euclidean division of a by b. In  
 * contrast to the Java version a % b,  
 * the output will always be positive.  
 * Throws ArithmeticException when b is  
 * equal to 0.  
 *  
 * @param a dividend  
 * @param b divisor != 0  
 * @return remainder r with 0 <= r < b  
 */  
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```

# Quality Assurance

## Lessons Learned

- What is quality, quality assurance, product quality, quality in use?
- Terms: software testing, validation/defect testing, validation/verification, development/release/user testing, manual/automated testing, constructive/analytical/organizational quality assurance
- Code reviews
- Further Reading: Sommerville, Chapter 8 Software Testing  
Ludewig and Licher, Chapter 5  
(Software-Qualität) and Chapter 13  
(Software-Qualitätssicherung und -Prüfung)

## Practice

- Think of a change to the modulo method (cf. previous slide) that would be classified as error or warning by the Java compiler
- Do a code review of the modulo method (i.e., comment on possible improvements)
- Submit your results in Moodle and inspect other submissions:  
<https://moodle.uni-ulm.de/mod/moodleoverflow/discussion.php?d=2127>

# Lecture Contents

## 1. Quality Assurance

Software Quality

Product Quality

Quality in Use

Software Testing

Quality Assurance

Code Reviews

Code Reviews on Github

Modulo in Different Programming Languages

Lessons Learned

## 2. White-Box Testing

## 3. Black-Box Testing

## White-Box Testing



**Edsger W. Dijkstra (1972)**

“Program testing can be a very effective way to show the presence of bugs, but it is hopelessly inadequate for showing their absence.”

# Test Cases

(Testfälle)

## Systematic Test

[Ludewig and Licher]

A systematic test is a test, in which

1. the setup is defined,
2. the inputs are chosen systematically,
3. the results are documented and evaluated by criteria being defined prior to the test.

## Test Case

[Ludewig and Licher]

In a test, a number of test cases are executed, whereas each test case consists **input values** for a single execution and **expected outputs**. An **exhaustive test** refers a test in which the test cases exercise all the possible inputs.

## Exhaustive Testing in Practice?

```
boolean a, b, c;  
int i, j;
```

bla(a,b,c) has  $2^3 = 8$  possible inputs  
blub(i,j) has  $(2^{32})^2 = 2^{64} \approx 10^{19}$  inputs

- assuming  $10^9$  test cases can be executed in 1 second (cf. CPU with more than 1 GHz)
- exhaustive test of blub takes  $\approx 585$  years
- testing for a day would cover less than 0.0005 % of the inputs

How to test thousands of such methods several times a day?

# Test-Case Design

(Testfallentwurf)

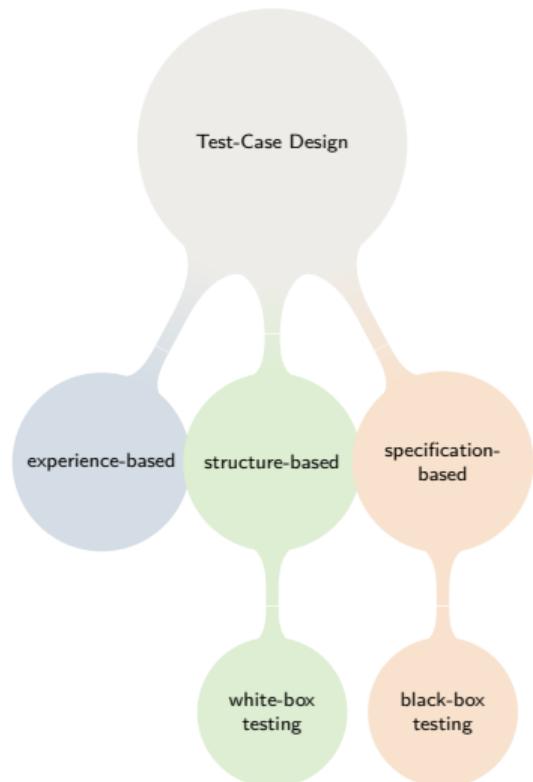
## Goal

[Ludewig and Licher]

Detect a large number of failures with a low number of test cases. A test case (execution) is **positive**, if it detects a failure, and **successful** if it detects an unknown failure.

## An ideal test case is ... [Ludewig and Licher]

- representative: represents a large number of feasible test cases
- failure sensitive: has a high probability to detect a failure
- non-redundant: does not check what other test cases already check



# White-Box Testing

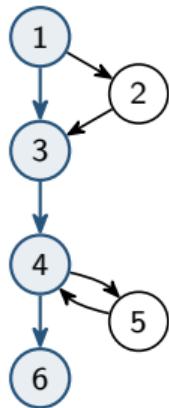
(Strukturtest)

## White-Box Testing

[Ludewig and Licher]

- inner structure of test object is used
- idea: coverage of structural elements
- code translated into control flow graph
- specific test case (concrete inputs)
  - derived from logical test case (conditions)
  - derived from path in control flow graph

```
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```



# Coverage Criteria

(Überdeckungskriterien)

## Coverage Criteria

[Ludewig and Licher]

1. statement coverage ([Anweisungsüberdeck.](#)):  
all statements are executed for at least one  
test case
2. branching coverage ([Zweigüberdeckung](#)):  
statement coverage and for each branching  
statement all branches have been exercised
3. term coverage ([Termüberdeckung](#)):  
branching coverage and terms ( $n$ ) used in a  
branching statement are combined  
exhaustively ( $2^n$ ) (simplified)

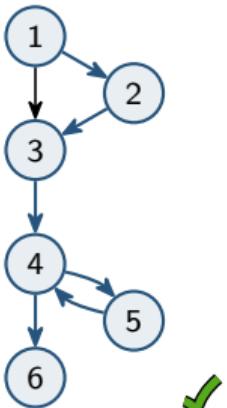
## In Practice

100% statement coverage not feasible in presence  
of dead code or some unreachable error handling

100% term coverage not feasible for certain  
dependencies between choices: `even() || odd()`

## Statement Coverage for Modulo Example

```
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```



### First Test Case

path: 1, 2, 3, 4, 5, 6

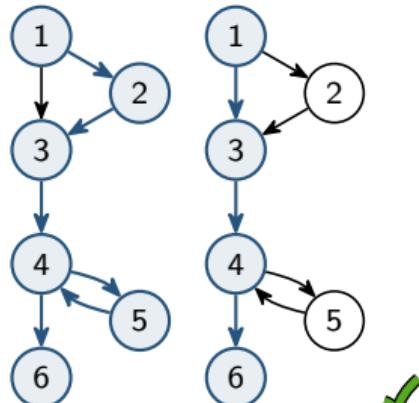
logical test case:  $b < 0 \wedge a > -b \wedge a + b \leq -b$

specific test case:  $a = 5, b = -3$

expected result:  $m = 2$

## Branching Coverage for Modulo Example

```
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```



### First Test Case

path: 1, 2, 3, 4, 5, 4, 6

logical test case:  $b < 0 \wedge a > -b \wedge a + b \leq -b$

specific test case:  $a = 5, b = -3$  and  $m = 2$

### Second Test Case

path: 1, 3, 4, 6

logical test case:  $b \geq 0 \wedge 0 \leq a \leq b$

specific test case:  $a = 0, b = 5$  and  $m = 0$

## Term Coverage for Modulo Example

```
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```

logical test case:  $b < 0 \wedge a > -b \wedge a + b \leq -b$

specific test case:  $a = 5, b = -3$  and  $m = 2$  ✓

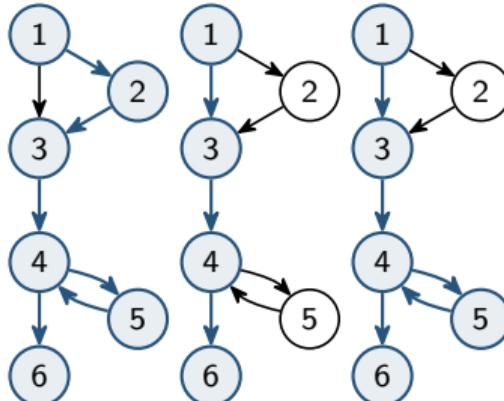
logical test case:  $b \geq 0 \wedge 0 \leq a \leq b$

specific test case:  $a = 0, b = 5$  and  $m = 0$  ✓

path: 1, 3, 4, 5, 4, 6

logical test case:  $b \geq 0 \wedge a < 0 \wedge 0 \leq a + b \leq b$

specific test case:  $a = -2, b = 5$  and  $m = 3$  ✓



$\neg(m < 0)$  and  $m > b$  ✓

$\neg(m < 0)$  and  $\neg(m > b)$  ✓

$m < 0$  and  $m > b$  impossible\* ✓

$m < 0$  and  $\neg(m > b)$  ✓

\* see third part of the lecture

# White-Box Testing

## Lessons Learned

- Systematic test, exhaustive testing
- Test case: representative, failure sensitive, non-redundant
- Test-case design:  
experience-/structure-/specification-based
- Coverage in white-box testing:  
statement/branching/term coverage
- Further Reading: Ludewig and Lichter,  
Chapter 19 ([Programmtest](#))

## Practice

- Watch screencast on how to do white-box testing with JUnit
- Implement a subtraction in the calculator and update the white-box tests accordingly:  
<https://github.com/tthuem/2020WS-SWT-Calculator/tree/testinglecturev1>
- Submit your code changes and tests in Moodle:  
<https://moodle.uni-ulm.de/mod/moodleoverflow/discussion.php?d=2128>

# Lecture Contents

## 1. Quality Assurance

## 2. White-Box Testing

Test Cases

Test-Case Design

White-Box Testing

Coverage Criteria

Statement Coverage

Branching Coverage

Expression Coverage

Lessons Learned

## 3. Black-Box Testing

## Black-Box Testing

# Black-Box Testing

[Ludewig and Licher]

## Motivation

- source code not always available (e.g., outsourced components, obfuscated code)
- specific test cases derived from logical ones using arbitrary values
- specification not incorporated so far (only for expected results)
- invalid inputs not tested
- errors are not equally distributed

## Black-Box Testing (Funktionstest)

- test-case design based on specification
- source code and its inner structure is ignored (assumed as a black-box)

## 1. Equivalence Class Testing

- idea: classify inputs and outputs into equivalence classes
- assumption: equivalent test cases detect the same faults, one test case is sufficient

## 2. Boundary Testing

- extension of equivalence class testing
- goal: use experience (e.g., off-by-one errors)
- for every equivalence class: consider smallest, typical, and largest value

## In Practice

- often combinations of white-box and black-box testing
- more techniques with requirements or design

# Equivalence Class Testing

## JavaDoc Specification for Modulo Example

```
/**  
 * Computes the remainder of the  
 * Euclidean devision of a by b. In  
 * contrast to the Java version  $a \% b$ ,  
 * the output will always be positive.  
 * Throws ArithmeticException when b is  
 * equal to 0.  
 *  
 * @param a dividend  
 * @param b divisor != 0  
 * @return remainder r with  $0 \leq r < b$   
 */  
public static int modulo(int a, int b) {
```

## Equivalence Classes

- input a:  $a < 0, a \geq 0$
- input b:  $b < 0, b \geq 0$
- output:  $m = 0, m > 0, \text{exception}$

## Test Cases

|                 | TC1 | TC2 | TC3       |
|-----------------|-----|-----|-----------|
| $a < 0$         | X   |     |           |
| $a \geq 0$      |     | X   | X         |
| $b < 0$         | X   |     |           |
| $b > 0$         |     | X   |           |
| $b = 0$         |     |     | X         |
| $m = 0$         | X   |     |           |
| $m > 0$         |     | X   |           |
| exception       |     |     | X         |
| input a         | -3  | 1   | 2         |
| input b         | -3  | 2   | 0         |
| expected output | 0   | 1   | exception |
| result          | 0 ✓ | 1 ✓ | timeout ✘ |

# Boundary Testing

## Test Cases

|                 | TC1 | TC2 | TC3       | TC4    | TC5        | TC6       | TC7    |
|-----------------|-----|-----|-----------|--------|------------|-----------|--------|
| $a < 0$         | X   |     |           | min    | max        |           |        |
| $a \geq 0$      |     | X   | X         |        |            | min       | max    |
| $b < 0$         | X   |     |           | max    |            | min       |        |
| $b > 0$         |     | X   |           |        | max        |           |        |
| $b = 0$         |     |     | X         |        |            |           |        |
| $m = 0$         | X   |     |           | X      |            | X         | X      |
| $m > 0$         |     | min |           |        | max        |           |        |
| exception       |     |     | X         |        |            |           |        |
| input a         | -3  | 1   | 2         | minInt | -1         | 0         | maxInt |
| input b         | -3  | 2   | 0         | -1     | maxInt     | minInt    | 1      |
| expected output | 0   | 1   | exception | 0      | maxInt-1   | 0         | 0      |
| result          | 0 ✓ | 1 ✓ | timeout ✗ | 0 ✓    | maxInt-1 ✓ | timeout ✗ | 1 ✗    |

## Detected Faults in Modulo Example

```
public static int modulo(int a, int b) {  
    if (b < 0) { // 1  
        b *= -1; // 2  
    }  
    int m = a; // 3  
    while (m < 0 || m > b) { // 4  
        m += m < 0 ? b : -b; // 5  
    }  
    return m; // 6  
}
```

✖ TC3: infinite loop for  $b = 0$ , missing exception compared to JavaDoc

✖ TC6:  $b$  remains negative as `-Integer.MIN_VALUE == Integer.MIN_VALUE` and the loop condition is fulfilled for any integer

✖ TC7: indicates that  $m > b$  in the loop condition should be fixed to  $m \geq b$

## Improved Modulo Example

```
/**  
 * Computes the remainder of the  
 * Euclidean division of a by b. In  
 * contrast to the Java version  $a \% b$ ,  
 * the output will always be positive.  
 * Throws ArithmeticException when b is  
 * equal to 0.  
 *  
 * @param a dividend  
 * @param b divisor != 0  
 * @return remainder r with  $0 \leq r < b$   
 */  
public static int modulo(int a, int b) {  
    int m = a % b;  
    return m < 0 ? m + b : m;  
}
```

Passes All Test Cases



# Reasons for Positive Test Cases

## Reasons for Positive Test Cases

- actual fault
- wrong test case (input and expected results do not match)
- interaction with other programs/libraries
- fault in the compiler
- fault in the operating system / device drivers
- fault in the hardware or hardware defect
- not enough memory
- does not halt (cf. undecidability of the halting problem)
- bitflip due to cosmic ray
- ...



### Brian Kernighan (1978)

“Everyone knows that debugging is twice as hard as writing a program in the first place. So if you’re as clever as you can be when you write it, how will you ever debug it?”

# Black-Box Testing

## Lessons Learned

- Black-box testing: equivalence class testing and boundary testing
- Even systematic testing cannot ensure finding all faults
- Further Reading: Ludewig and Licher, Chapter 19 ([Programmtest](#))

## Practice

- Watch screencast on how to do black-box testing with JUnit
- Extend the black-box tests of the calculator for the subtraction (or another operation):  
<https://github.com/tthuem/2020WS-SWT-Calculator/tree/testinglecturev2>
- Submit your changed code and tests in Moodle:  
<https://moodle.uni-ulm.de/mod/moodleoverflow/discussion.php?d=2129>

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3. Black-Box Testing
  - Black-Box Testing
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  - Boundary Testing
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  - Lessons Learned