

MASARYK UNIVERSITY  
FACULTY OF INFORMATICS



# Custom Roslyn Tool for Static Code Analysis

MASTER'S THESIS

**Zuzana Dankovčíková**

Brno, Spring 2017

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*This is where a copy of the official signed thesis assignment and a copy of the Statement of an Author is located in the printed version of the document.*

## **Declaration**

Hereby I declare that this paper is my original authorial work, which I have worked out on my own. All sources, references, and literature used or excerpted during elaboration of this work are properly cited and listed in complete reference to the due source.

Zuzana Dankovčíková

**Advisor:** Bruno Rossi PhD

## **Acknowledgement**

TODO: This is the acknowledgement...

# Abstract

TODO: This is the abstract ...

## Keywords

roslyn, C#, compilers, code review, .NET compiler platform, Kentico, analyzer, code fix..., ...

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# 1 Introduction

TODO...

## 2 Compilers

As per [dragon-book], a compiler is a program that can read a program in a *source* language and translate it into a semantically equivalent program in a *target* language while reporting any errors detected in the translation process. The compiler may sometimes rely on other programs. For example, *preprocessor* is responsible for collecting the source code to be fed to the compiler by expanding shorthands (macros) into source language statements.

The compilation process can be divided into two parts: *analysis* and *synthesis*; commonly referred to as *front end* and *back end* of the compiler.

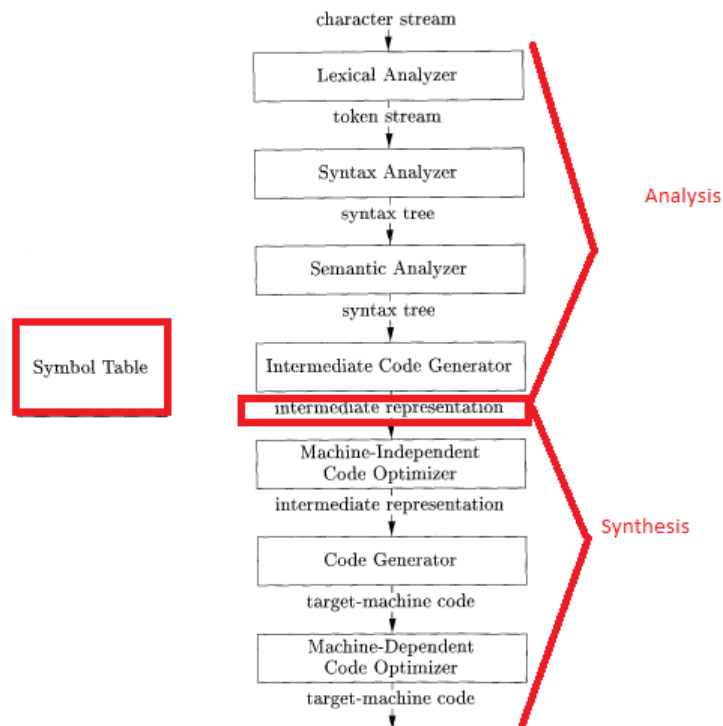
The purpose of the analysis part is to break up the source program into chunks and build up a grammatical structure that it corresponds to, based on the source language grammar. This structure is subsequently transformed into an intermediate representation of the source program. Along the way, the compiler collects information about the program and stores it into a data structure called *symbol table*. If any errors in syntax or semantics are encountered, analysis part shall inform the programmer about the problem. Otherwise, both intermediate representation and symbol table are passed to the synthesis part where they are used for the construction of the target program.

The two main steps of compilation process internally consist of different phases as shown in Figure 2.1. Each phase transforms one representation of source language into another, and passes it to the following phase while working with the symbol table during the process. In synthesis phase, an optional machine-independent optimizations can take place and are done on the top of intermediate representation. After target machine code is generated, additional machine-dependent code optimizations are performed.

For the purpose of this thesis, mainly the analysis part is relevant and following section will elaborate on its respective phases.

### 2.1 Lexical analysis

The compilation process starts with *lexical analysis* or *scanning*. The scanner transforms stream of characters of the source program, as

Figure 2.1: TODO: Phases of the compiler [**dragon-book**]

written by the programmer, into the series of meaningful sequences called *lexemes*. Most programming languages allow for an arbitrary number of white spaces to be present in the source text to aid readability. However, white spaces, similarly as comments, are unimportant for the target code generation itself, and thus the lexical analyzer is responsible for discarding them completely.

In order to be able to correctly recognize the lexeme, lexical analyzer may need to read ahead. For example, in C-like languages if the scanner sees < character, it cannot decide whether it is a lexeme for "*less than*" operator or it is a part of "*less than or equal to*" lexeme. In order to do that, it needs to read ahead and see if the following character is = or not. Reading ahead is usually implemented with an input buffer which the lexical analyzer can read from and push back to. The use of buffer also boosts the performance as fetching block of characters is more efficient as fetching one at a time [**dragon-book**].

The lexical analyzer typically uses regular expressions to identify the lexemes and for each lexeme, it outputs a *token* (or *token object*) of the form

$$\langle \text{token-name}, \text{attribute-name} \rangle. \quad (2.1)$$

For an input sequence

$$\text{total} = 42 + \text{base} * \text{interest} \quad (2.2)$$

the scanner output could be

$$\langle \text{id}, 0 \rangle \langle = \rangle \langle \text{num}, 1 \rangle \langle + \rangle \langle \text{id}, 2 \rangle \langle * \rangle \langle \text{id}, 3 \rangle \quad (2.3)$$

Lexemes can be divided into logical groups such as identifiers, relational operators, arithmetical operators, constants or keywords as seen in the example above. The scanner often uses regular expressions to identify tokens.

Each identifier (*id*) has an attribute which points to the entry of the symbol table, where information about identifier name, type or position in the source text is stored. Similar holds for constants like "42" in the example. In the (2.3) example, the assignment and addition symbols do not have attributes but different representation can be used, such as  $\langle \text{bin-op}, 2 \rangle$ . In this case, *bin-op* would denote it is a binary operator and number two would be a pointer to symbol table with all symbols for binary operations while the second index suggests that it represents an addition.

## 2.2 Syntax Analysis

The stream of token objects along with partially populated symbol table is an input for the subsequent compiler phase – *syntax analysis* or *parsing*. The parser has to verify that the sequence of token names can be produced by the grammar for the source language and for a well-formed program, it shall output a *syntax tree* or often referred to as an abstract syntax tree (AST)<sup>1</sup>.

---

1. The AST is an intermediate representation of source program in which each interior node represents an operation (programming construct) with the children of the node representing the arguments of that operation. As opposed to *parse syntax tree*, in which interior nodes are nonterminals of the grammar, ASTs are more lightweight and they might omit some nodes which exist purely as a result of grammar's production rules [secure-programming-with-sca].



Figure 2.2: Abstract Syntax Tree

The resulting AST for the token stream generated in (2.2) is depicted in Figure 2.2. The tree shows how multiplication precedence rule of the language's grammar was applied on the expression.

The syntax analyzer uses a context free grammar (CFG) to form the syntax tree. The CFG is defined by a 4-tuple consisting of:

**Terminals** – token names (first component of the token) as obtained from the previous compilation step.

**Nonterminals** – syntactic variables that help to impose the hierarchical structure of the language and represent set of strings.

**Start symbol** – a special nonterminal which set of strings represents the language generated by the grammar.

**Productions** – rules that specify how nonterminals can be rewritten to sequences of zero or more terminal and nonterminal symbols.

An example of a production denoting the construction of a while-cycle would be

$$stmt \rightarrow \mathbf{while} \ ( \ expr \ ) \ \{ \ stmt \ \}, \quad (2.4)$$

where nonterminals *stmt* and *expr* stand for a statement and expression respectively (defined further by other productions). Symbols in bold represent terminals of the grammar – open and close parenthesis, and curly braces, while keyword.



### 2.2.1 Error Handling

There are several types of errors that can be encountered during the compilation process:

- **lexical errors** such as misspelling the identifier name,
- **syntactic errors** like missing semicolon,
- **semantic error** for example incorrect number of function arguments,
- **logical errors** that do not really prevent the program from compiling but can indicate possible mistakes (for instance using the assignment operator = instead of the comparison operator == in condition of an if-statement).

It's parser responsibility to report the presence of potential syntactic error and recover from the error in order to continue with syntactic analysis and be able to detect any subsequent errors. There are two main strategies for the error recovery [**dragon-book**]

#### Panic-Mode Recovery

In this method, after parser encounters an error, it searches for a *synchronizing token* (usually delimiters such as semicolon or close brace) and until found, all the symbols are thrown away one by one. Even though panic-mode recovery often discards significant amount of input while searching for the synchronization token, it is guaranteed not to end up in an infinite loop.

#### Phrase-Level Recovery

Another approach the parser can take to recover from an erroneous input is to try to perform a local correction. This can be achieved by replacing the prefix of the following input by some tokens that would enable syntactic analyzer to continue parsing. A prime example of phrase-level recovery is inserting a missing semicolon or replacing comma with a semicolon. Even though this technique is very powerful, as it can cope with all possible problems in the input, it might lead to infinite loops (e.g. always inserting symbols ahead of the current symbol).

## 2.3 Semantic Analysis

While syntax analysis is able to check the conformance of the program to the grammar of the source language, it is not an ultimate tool. Some language rules cannot be implied by CFG and an additional step is needed to ensure semantic consistency. To do this, the semantic analyzer uses the AST and the information from symbol tables collected in previous phases. While working, it can also add more details about symbols or even modify the AST.

A vital part of semantic analysis for any statically typed language<sup>2</sup> is *type checking*. Semantic analyzer has to ensure, that each operator is applied to matching operands. For example, a multiplication operator can be called with either a pair of integers or a pair of floating-point numbers, that also implies the result of the operation. If the semantic analyzer encounters an expression where multiplication is used with numbers of different types, it must perform a type conversion called *coercion*. To coerce the integer into floating-point representation it may be necessary to alter the AST and insert an additional node to explicitly state that integer should be treated as floating-point [**dragon-book**].

Semantic analyzer utilizes the information from symbol table to perform all sorts of other checks, to prevent semantic errors such as:

- **wrong arguments** – number and types of arguments applied to a function call,
- **multiple declaration** – variable with the same name declared more than once in one scope,
- **undeclared variable** – usage of a variable before its declaration.

## 2.4 Intermediate Code Generation

The semantic analysis is followed by the *intermediate code generation* which completes the front end part of the compilation process. Depending on the specific compiler implementation, the *intermediate representation (IR)* that is the result of this phase can take different

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2. In statically typed language, type errors are reported by compiler during translation process, whereas in dynamically typed programming languages conversions between incompatible types are only discovered during runtime and can cause program failure.

forms. The IR should be easy to produce and easy to translate into the target machine code. For some compilers, the IR may be the abstract syntax tree itself.

Together with symbol table, IR is passed to back end part of compiler – synthesis, where machine independent optimizations can be performed. These contain *control flow analysis* where control flow graph is constructed and utilized in subsequent *data flow analysis*. As a result of these optimizations, compiler might remove dead code from the IR or perform other optimizations that will lead to shorter and more efficient target code.

The following chapters on static code analysis and .NET compiler platform will build upon fundamentals presented here and show how these concepts are relevant when considering the implementation of a static code analyzer.

## 3 Static Code Analysis

Static code analysis refers to a process of assessing the program based on its form, structure, content and documentation and reasoning over its possible behaviours without actually executing the code. The aim of static analysis is to check the compliance to specific rules and identify parts of the program that might lead to possible vulnerabilities. The term static code analysis is mostly used when speaking of an automated tool. In contrast, *code inspections* or *code reviews* are performed by humans and can benefit from using static code analysis tools [oswap-sca, ppt-sca].

### 3.1 Source Code vs. Compiled Code Analysis

There are two different approaches when analyzing a program by an automated tool: analyzing the source code (as seen by the compiler), and analyzing the compiled code – either some form of byte code<sup>1</sup> or an executable.

Sometimes it might be very complicated, or even infeasible, to obtain the actual source code of the program to be analyzed and the only possibility is to analyze the executable code. When the tool is looking at a compiled version of the program, the ambiguity of how the source will be translated by the compiler is removed and thus the analyzer does not need to guess.

However, analyzing compiled code can be very complex. Even if the tool manages to decode the binary, it lacks the original type information. Moreover, the optimizations performed by the compiler obscure the original meaning of the program and making sense of semantics out of implementation may be unattainable. Likewise, if the error is found, reporting it to the programmer can be challenging since there is not always a clear mapping from binary back to source.

Although the above-mentioned complications speak clearly against analyzing binaries, the situation is different when analyzing byte code formats (such as Java bytecode), where the type and debugging

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1. An intermediate representation of a program, also known as "portable code", which is often for just-in-time compilation by interpreters.

information is present. The following sections will discuss the theory behind the static code analysis.

## 3.2 How It Works

There are many tools for static code analysis and each can analyze different flaws in the program. However, for a majority of them, the basic structure looks the same, as depicted in Figure 3.1.

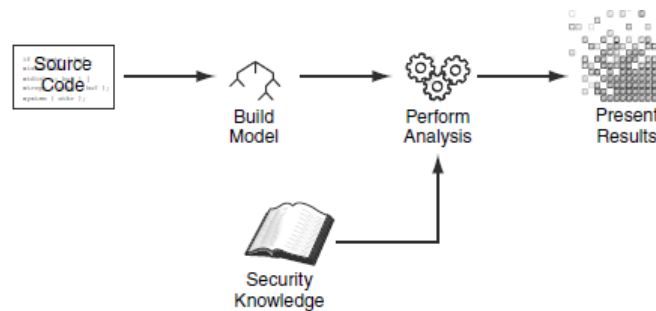


Figure 3.1: The process of static analysis [secure-programming-sca]

### 3.2.1 Build a Model

In order to analyze the program, the analysis tool must first understand it. Therefore, the initial task is to *create a structured model* that represents the source code. This model has a lot in common with the AST and symbol tables that were discussed in Chapter 2. In fact, model building phase of static code analyzers closely mimics the front end part of the compilation process, executing lexical analysis, parsing and semantic analysis.

### 3.2.2 Perform the analysis

After obtaining the model, the next step is to perform the actual analysis. Many different algorithms can be applied in this step and it is common that they are combined into one solution. The approaches are often derived from techniques used by the compilers, specifically:

### Control Flow

In order to explore different execution paths that can take place when the program is executed, the static code analysis tool can construct a *control flow graph* on the top of the AST. The nodes of the graph represent basic blocks – sequences of program instructions that will be all executed once block is entered. The edges between basic blocks represent different paths that the program can take depending on matched conditions. Any back edges in the graph signal potential loops in the program execution.

### Tracking Data Flow

Data flow analysis is used to examine how data passes through the program. Compilers utilize data flow analysis when doing code optimizations in order to remove unreachable code and allocate registers. An example of how data flow analysis can be used by static analysis tools is to check that memory is always freed only once – function `free(p)` was called at most once with the address stored in pointer `p`.

### Taint Analysis

According to [oswap-sca], taint analysis attempts to identify variables containing possibly tainted user input using data flow analysis technique. If these variables are used as arguments to vulnerable functions without being sanitized first, the tool reports their usage as vulnerable. The taint propagation analysis is particularly relevant for security analysis, a prime example being the detection of a potential SQL injection.

#### 3.2.3 Rules

As stated in [sca-for-security]: “...if a rule hasn’t been written yet to find a particular problem, the tool will never find that problem.” This implies that the rules that specify what the static analysis tool should report are just as important (or even more important) as the heuristics and algorithms implemented by the tool. Best tools for static code analysis externalize the rule set in order to easily add, remove or alter the rules, without modifying the tool itself.

### 3.2.4 Report the results

An often overlooked part of the static analysis is the result reporting. The **[coverity-sca]** asserts, that if a programmer cannot understand the output of the static analysis, the results are effectively useless as misunderstood explanation ends up with error being ignored or, worse, interpreted as a false positive.

As discussed in **[security-programming-sca]**, good static analysis tool should provide means of *grouping and sorting* the results, *suppressing the unwanted results* (either directly in the code with pragmas or code annotations or alternatively in a configuration file) and mainly *explaining the results*. Every issue that is detected by the tool should provide a short title followed by a detailed description of the problem, severity of the issue, recommendations on how the problem can be fixed and possible further references to the topic. The tool can additionally provide a confidence level estimating the likelihood that the finding is really correct.

## 3.3 What problems can be solved by SCA

There are different types of problems the static analysis tool can tackle. This section enumerates some of the categories applicable to static code analysis, as listed in **[secure-programming-sca]**.

### Type checking

The integral part of every compiler for statically typed language. Rules are typically implied by the language itself.

### Style checking

The style checker defines rules for spacing, naming, commenting and general program structure that affect mostly the readability and the maintainability of the program.

### Program understanding

These tools aim to provide a high-level program understanding beneficial mainly for larger codebases. They are most effective when in-

egrated into the IDEs where they can support "go to declaration" or "find all references" features or even automatic program refactorings such as renaming or extracting a variable.

#### Bug Finding

The purpose of these type of static analyzers is to point out common mistakes in the code. They report warnings in parts of program that are compliant with the language specification but might not express the programmer's intent, such as ignoring the return value of a function call.

Special type of bug finding checker is *security review*, where specific vulnerabilities found in the source code are reported. Security review searches for possible exploitations like buffer overflow or tainted inputs.

### 3.4 Advantages

One of the key factors that advocate the use of tools for static analysis, is how early in the development process they can be applied. As opposed to dynamic testing, static code analysis can be performed on unfinished or even uncompileable code. The longer the defect stays in the system, the more damage it can cause and the higher are the costs of fixing it. As stated in **[code-complete]**, the costs of fixing a defect introduced during construction of a program are 10-times higher if detected during system testing and 10 to 25-times higher in production, than it would be to fix it while still in development. Therefore, it is desirable to detect bugs as early as possible, which is where static code analysis can be leveraged.

Static inspections detect symptoms together with causes whereas testing only points out the symptoms with further effort required to find the source of the problem before it can be fixed **[code-complete]**.

Manual code inspections can be very time-consuming and require high level of expertise from the reviewer. Static code analysis help to make the code review process more efficient by checking for well-known flaws which do not have to be considered during code review.



Another advantage of automated code analysis is repeatability and scalability. Code analysis tool can be part of Continuous Integration<sup>2</sup> (CI) process and can be also integrated to programming IDEs<sup>3</sup>.

As such, they are a great for programmers who get instant feedback and learn more about mistakes they made. The tools enforce higher code quality and guidelines compliance. As a result, the code should be more consistent, maintainable and easier to debug.

## 3.5 Disadvantages

The Rice's theorem [**direct-proofs-of-rices-theorem**] says, that any non-trivial question about program's semantics is undecidable. As a consequence, there will never be a static analysis tool able to answer all the questions perfectly. The tools can produce *false positives* (a problem which does not actually exist is reported) and *false negatives* (the program contains a problem, but it was not reported by the tool).

Prevailing complaints against static analysis tools concern false positives. A long list of false positives means real bugs can be overlooked and programmers can eventually lose trust in the tool.

Worse, from the security perspective, are false negatives. Not only the bug was not found and might cause future problems, but they also provide a false sense of security to the programmers.

As presented earlier in this chapter, a vast majority of code inspection tools must build a model of the source program in order to be able to analyze it. This requires duplication of compiler's logic, which itself is fairly complicated, and there is no guarantee that the tool interprets the source exactly the same as the compiler does. Moreover, for the authors of the tool, it means the parsing logic has to be always up to date with the language version in use.

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2. Process in which developers contribute regularly (multiple times a day) into a shared repository where the code is continuously being verified by an automated build and suite of automated tests.

3. Integrated Development Environment

## 3.6 Static Code Analysis Tools available on .NET platform

Even if imperfect, static analysis tools are still valuable asset in software development process. This section presents tools for static code analysis that are commonly used on the .NET platform.

### 3.6.1 FxCop

FxCop is a free tool by Microsoft for analyzing managed code assemblies (targeting .NET Framework) for conformance to .NET Framework Design Guidelines<sup>4</sup> in areas such as design, localization, performance, security, naming or portability.

It includes more than 200 predefined checks and a possibility to add custom rules using FxCop SDK<sup>5</sup>. It is available in two forms: fully featured application with graphical user interface and a command line tool that is easily integrated to automated build process.

### 3.6.2 StyleCop

Another tool by Microsoft is an open source project StyleCop<sup>6</sup> for analyzing C# code for conformance to style and consistency with .NET Framework Design Guidelines. Unlike FxCop, StyleCop analysis is performed on the source code, which enables it to look for a different set of style violations. The rules are divided into categories such as documentation, naming, ordering, spacing and readability.

Some of the rules are: placing the opening curly brace on a new line, spaces around binary operators, method names starting with an upper-case. The tool is configurable and development team can specify its own style to be checked, for example, to enforce spaces over tabs. It is available either a Visual Studio extension or a NuGet package that can be installed to the project.

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4. [https://msdn.microsoft.com/en-us/library/ms229042\(v=vs.110\).aspx](https://msdn.microsoft.com/en-us/library/ms229042(v=vs.110).aspx)

5. Software Development Kit

6. <https://github.com/StyleCop/StyleCop>

### 3.6.3 CodeRush Classic

CodeRush Classic<sup>7</sup> is a solution-wide static code analysis tool for Visual Studio by vendor DevExpress. It enhances the IDE with more advanced features like assembly decompilation, automated code generation, advanced code selection, code formatting and cleanup. The tool focuses on developer's productivity by not only finding bugs but also providing an automated way of fixing them.

It provides an API enabling developers to extend the basic functionality with 3rd party plugins such as spell checker or copy project. The CodeRush Classic provides static analysis not only for .NET languages, but also for JavaScript, HTML and XML.

### 3.6.4 Resharper

Very similar to CodeRush, ReSharper is a Visual Studio extension for .NET developers by Jet Brains<sup>8</sup>. It analyzes code quality of C#, Visual Basic, ASP.NET, JavaScript, TypeScript, CSS, HTML and XML. For each of these languages it is possible to define code style and formatting to make the tool compatible with the coding standards followed by a development team.

ReSharper provides hundreds of quick-fixes that solve discovered problems and has support for automated solution-wide refactorings. On the top of static analysis there are additional plugins for performance (dotTrace) and memory (dotMemory) profiling, test runner and code coverage tool (dotCover) or .NET decompiler and assembly browser (dotPeek).

### 3.6.5 Analyzers Written with Roslyn

The tools described above have one aspect in common – they all need to parse the code before they can analyze it. The cost of maintaining a custom C# parser and keep it up to date with every new language version is fairly difficult, inefficient, and of course, costly.

With the release of new .NET Compiler Platform (Roslyn), which is discussed in detail in the following chapter, the need for parsing C#

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7. <https://www.devexpress.com/Products/CodeRush/>

8. <https://www.jetbrains.com/resharper/>

and Visual Basic sources is eliminated and tools that build upon this platform can concentrate solely on analysis itself.

Some vendors, like Jet Brains, who invested years of development into the creation of the tools, claim **[resharper-and-roslyn-qa]**, it does not pay off to rewrite the whole program so that it uses new Microsoft compiler. Not only would it take an enormous effort to rewrite all the functionality to use new framework, but they would risk destabilizing the product and losing years of optimizations and testing. Moreover, ReSharper is multilingual tool whereas .NET Compiler platform "only" provides C# and Visual Basic parsers.

Other companies, such as DevExpress with CodeRush<sup>9</sup> or Microsoft with StyleCop Analyzers, decided to use this new approach. The effects on the Visual Studio performance were immediate, since solution does not have to be parsed by the tool, nor duplicate syntax trees stored. As a result, the load times and memory consumption were significantly lowered.

The Roslyn APIs also gave rise to more open source projects dealing with static code analysis, such as CodeCracker<sup>10</sup>. As challenging as it was in the past, static code analysis is now rather easy, thanks to powerful analysis APIs. Following chapter takes a look at the .NET Compiler Platform and how it can be used to perform the static code analysis.

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9. CodeRush Classic refers to the version before Roslyn

10. <https://code-cracker.github.io/>

## 4 The .NET Compiler Platform

In the .NET world, the compiler used to be a black box that given the file paths to the source text, produced an executable. This perception was changed in 2015 when Microsoft introduced the .NET Compiler Platform (commonly referred to as "Project Roslyn").

Not only have been compilers for both Visual Basic and C# rewritten into the entirely managed code<sup>1</sup>, they also expose the internals of the compiler pipeline via a public .NET API<sup>2</sup>. This makes them a platform (also known as *compiler-as-a-service*) with rich code analysis APIs that can be leveraged by developers to perform analysis, code generation or dynamic compilation in their own programs [**roslyn-succinctly**]. Those can be then easily integrated into the Visual Studio all without the hard work of duplicating compilers' parsing logic.

This chapter will take a look at how the Roslyn API layers are structured, how the original source code is represented by the compiler and how developers can build tools upon the compiler's API. Note, that although Roslyn provides equivalent APIs for both VisualBasic and C#, this thesis only focuses on the latter since it is relevant for the practical part of the thesis.

### 4.1 The Compiler Pipeline

Roslyn compilers expose an API layer that mirrors the traditional compiler pipeline (see 4.1). Instead of a single process of generating the target program, each compilation step is treated as a separate component [**roslyn-overview**]:

- **Parse phase** consists of *lexical analysis (scanner)* and *syntactic analysis (parser)*. First, the lexical analyzer processes the stream of characters from the source program and groups them into meaningful sequences called *lexemes*. Those are subsequently

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1. The term managed code refers to program source code written in one of high-level programming languages available for use with Microsoft .NET Framework, and require a Common Language Runtime virtual machine in order to be executed.

2. Application Programming Interface

processed by the *syntax analyzer* that creates a tree-like structure of tokens based on the language grammar [**dragon-book**].

- **Symbols and metadata phase** where named symbols are generated based on the declarations from the source and imported metadata.
- **Bind phase** in which the identifiers from the source code are matched to their respective symbols.
- **Emit phase** where all the gathered information is used to emit an assembly.

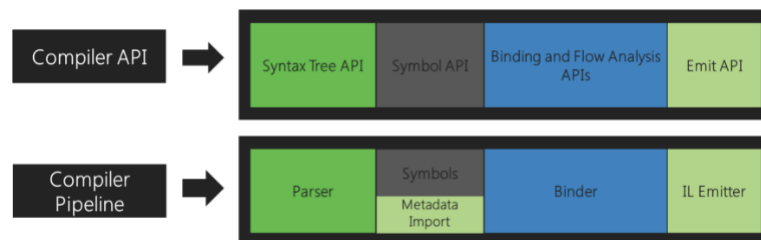


Figure 4.1: Compiler pipeline [**roslyn-overview**]

In each phase, the .NET Compiler Platform creates an object model containing gathered information and exposes it through the API in form of .NET objects. These objects are also used internally by Visual Studio<sup>3</sup> to support basic IDE functionality. For instance **syntax tree**, that is the result of the parse phase, is used to support formatting and colorizing the code in the editor. The result of the second phase – **hierarchical symbol table**, is the basis for *Object browser* and *Navigate to* functionality. Binding phase is represented as an **object model that exposes the result of the semantic analysis** and is utilized in *Find all references* or *Go to definition*. Finally, the Emit phase produces the Intermediate Language (IL) byte codes and is also used for *Edit and Continue* feature [**roslyn-overview**].

3. The new generation of Visual Studio leveraging from the Roslyn compiler are called vNext and first one was VS 2015.

## 4.2 The .NET Compiler Platform's Architecture

The Roslyn's architecture consists of two main layers - Compiler and Workspaces APIs, and one secondary layer - Features API, as seen on Figure 4.2.

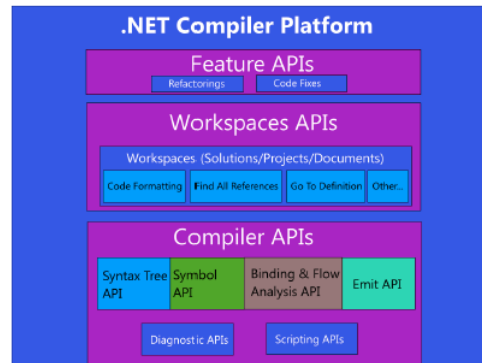


Figure 4.2: .NET Compiler Platform Architecture [roslyn-succinctly]

One of the key concepts of .NET Compiler Platform is immutability. The compiler exposes hundreds of types that represent all information about source code from Project and Document to SyntaxTrees with almost all of those types being immutable. This means, that once created, the object cannot change. In order to alter it in any way, new instance must be created, either manually, or from an existing instance by applying one of many `With()` methods that the API provides.

The immutability enables the compiler to perform parallel work without need to create duplicate objects or apply any locks on them. This concept is useful for the command line compiler but it is considered extremely important for IDEs where it enables for one document to be handled by multiple analyzers in parallel.

### 4.2.1 The Compiler APIs

As discussed in the previous section, the Compiler APIs offer an object model representing the results of syntactic and semantic analysis produced by the respective phases of the compiler pipeline. Moreover, it also includes an immutable snapshot of a single compiler invocation, along with assembly references, compiler options, and source files.

This layer is agnostic of any Visual Studio components, and as such can be used in stand-alone applications as well. There are two separate, though very similar, APIs for Visual Basic and C#, each providing functionality tailored for specific language nuances.

### Diagnostic APIs

Apart from parsing code and producing an assembly, the compiler is also capable of raising diagnostics, covering everything from syntax to semantics, and report them as errors, warnings or information messages [**roslyn-succinctly**]. This is achieved through the compilers' Diagnostics APIs that allow developers to effectively plug-in to compiler pipeline, analyze the source code using the exposed object models, and surface custom diagnostics along with those defined by the compiler itself. These APIs are integrated to both MSBuild<sup>4</sup> and Visual Studio (2015 and newer), providing seamless developer experience. The practical part of this thesis relies on Diagnostic APIs to provide custom diagnostics and the details will be discussed in Chapter 5.

### Scripting APIs

As a part of the compiler layer, Microsoft team has introduced new Scripting APIs that can be used for executing code snippets. These APIs were not shipped with .NET Compiler Platform 1.0 and are part of v2.0.0 RC3<sup>5</sup>.

#### 4.2.2 Workspaces APIs

Workspace represents a collection of solutions, projects, and documents. It provides a single object model containing information about the projects in a solution and their respective documents; exposes all configuration options, assembly and inter-project dependencies, and provides access to syntax trees and semantic models. It is a start-

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4. The Microsoft Build Engine <https://github.com/Microsoft/msbuild>

5. Release candidate 3, as per <https://github.com/dotnet/roslyn/wiki/Scripting-API-Samples> [26-02-2017].



ing point for performing code analysis and refactorings over entire solutions.

Although it is possible to use the `Workspace` outside of any host environment, the most common use case is an IDE providing an instance of `Workspace` that corresponds to the open solution. Since the instances of `Solution` are immutable, the host environment must react to every event (such as user key stroke) with an update of the `CurrentSolution` property of the `Workspace`.

#### 4.2.3 Feature APIs

This layer relies on both compiler and workspaces layers and is designed to provide API for offering code fixes and refactorings. Features APIs were also utilized while working on the practical part of this thesis.

### 4.3 Syntax Tree

As mentioned in the previous sections, the product of the syntactic analysis is a syntax tree. It enables developers to work with the code in a managed way instead of working against plain text. Syntax trees are used for both analysis and refactorings, where the new code is generated either manually or as a modified version of the existing tree. While being immutable, syntax trees are thread-safe and analysis can be done in parallel.

It is important to point out, that in a same way the compiler constructs a syntax tree from the source text, it is also possible to round-trip back to the text representation. Thus, the source information is always preserved in full fidelity. This means that every piece of information from source must be stored somewhere within the tree, including comments, whitespaces or end-of-line characters, which is a major difference to the general concept of compilers discussed in Chapter 2

Figure 4.3 shows a syntax tree of an invocation expression as obtained from `Syntax Visualizer`<sup>6</sup> extension available in Visual Studio. This tool is useful for understanding how Roslyn represents particular

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6. <https://roslyn.codeplex.com/wikipage?title=Syntax%20Visualizer>

language constructs and is widely utilized whenever one needs to analyze the code. Following sections explain what are the main building blocks of such syntax tree, referring to Figure 4.3

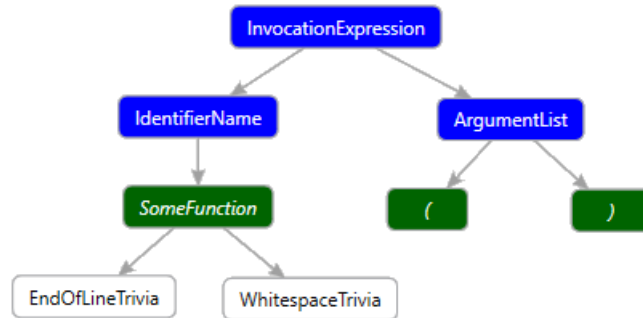


Figure 4.3: Syntax tree of an invocation expression

### Syntax Nodes

Syntax nodes (blue color) are non-terminal nodes of a syntax tree, meaning they always have at least one other node or token as a child. Nodes represent syntactic constructs of a language such as statements, clauses or declarations. Each type of node is represented by a single class deriving from `SyntaxNode`. Apart from common properties `Parent`, `ChildNodes` and utility methods like `DescendantNodes`, `DescendantTokens`, or `DescendantTrivia`, each subclass exposes specific methods and properties. As shown in Figure 4.3, `InvocationExpression` has two properties, `IdentifierName` and `ArgumentList` both of which are `SyntaxNodes` themselves.

### Syntax Tokens

As opposed to nodes, syntax token (green color) represent terminals of the language grammar, such as keywords, punctuation, literals and identifiers. For the sake of efficiency, `SyntaxToken` is implemented as a value type (C# structure) and there is only one for all kinds of tokens. To be able to tell them apart, tokens have `Kind` property. For example, `SomeFunction` is of kind `IdentifierName`, whereas `"("` character is `OpenParenToken`.

## Syntax Trivia

In order to enable refactoring features, syntax trees must also store information about whitespaces, comments and preprocessor directives that are insignificant for compilation process itself. This information is represented by another value type – `SyntaxTrivia` (white color). Trivia are not really parts of the tree itself, rather they are properties of tokens accessible by their `LeadingTrivia` and `TrailingTrivia` collections.

## 4.4 Semantics of the Program

As explained in Chapter 2, even though syntax trees are enough to describe the proper form of the program (compliance to the language grammar), they cannot enforce all language rules, for example, type checking. In order to tell whether a method is called with the right number of arguments, or operator is applied to operands of the right type, it's inevitable to introduce semantics.

Its one of the core compiler's responsibilities to populate symbol tables with information about all elements and their properties from the source program. Attributes such as identifier name, type, allocated storage, scope; or for method names the number and types of arguments and their return values; are all stored in order to be utilized later when producing intermediate language.

## Symbols

In .NET Compiler Platform, a single entry of a symbol table is represented by a class deriving from `ISymbol`. The symbol represents every distinct element (namespace, type, field, property, event, method or parameter) either declared in the source code or imported as metadata from a referenced assembly. Each specific symbol has its own methods and properties often directly referring to other symbols. For example `IMethodSymbol` has a `ReturnType` property specifying what is the type symbol the method returns.

### Compilation

An important immutable type, that represents everything needed to compile a C# (or Visual Basic) program is a `Compilation`. It contains all source files, compiler options and assembly references. `Compilation` provides convenient ways to access any discovered symbol. For instance, it is possible to access the entire hierarchical symbol table rooted by global namespace, or look up type symbols by their common metadata names.

### Semantic Model

When analyzing a single source file of a compilation, all its semantic information is available through a *semantic model*. The `SemanticModel` object can answer many questions such as:

- What symbol is declared at the specific location in the source?
- What is the result type of an expression?
- What symbols are visible from this location?
- What diagnostics are reported in the document?

This makes semantic model very useful when performing static code analysis concerned with more than just syntax.

## 4.5 Analyzers and Code Refactorings

[3 pages?]

TODO nuget vs Vsix TODO reusing the instances of analyzers -  
stateful vs stateless

## 5 Implementation of Custom Analyzers

Kentico Software is an IT company based in Brno, developing an all-in-one solution for web content management, e-commerce and online marketing using the ASP.NET<sup>1</sup> architecture. The leading product is Kentico CMS (Content Management System) that has been on the market since 2004 with 11th version currently being developed.

Over the course of almost 13 years, the solution grew to an enormous extent. To give an overview, the current version of the Kentico CMS solution contains XY projects and a total of YZ C# documents. A lot of knowledge was accumulated with many internal utilities developed and guidelines created. Many features, such as globalization, were developed in-house first, only to be added as an integral part of the .NET Framework a few years later.

The size of the company grew to more than 80 developers working on one product. It became significantly harder to share all the knowledge about the internal best practices and the process of onboarding new employees was challenging. With the increasing complexity of the project, the main focus of the code reviews was shifted to the general architecture and inspection of how the new code influences the other parts of the system, in order not to break anything. The manual and often repetitive tasks of checking the compliance with the conventions and correct use of the API during the code review became very tiresome and dragged the focus of the reviewer away from the higher perspective on the code. This led to a need for an automated tool that would take care of the repetitive checks that needed to be done by the reviewer.

### 5.1 The Original BugHunter

BugHunter is a simple console application developed at Kentico that searches for violations of internal rules and best practices in the CMS solution. Given the file path to the solution folder, the BugHunter performs the checks and outputs the results to a file. Each issue found is reported with a short message plus additional information on its

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1. TODO

location in the code (source file and line number). It contains various checks not, only for C#, but also a few for JavaScript (like no skipped tests allowed), ASPX<sup>2</sup> (preferred usage of Kentico controls to default ones) and XML files.

Following sections explain what were the downsides of the old BugHunter and why an advanced tool was needed.

### 5.1.1 Need for Semantic Analysis

The biggest disadvantage of the previous BugHunter is that the tool performs the checks purely by string comparison. It does not build any model of the analyzed code and therefore possesses no knowledge about the semantics.

This causes an enormous number of false negatives. A typical example would be the tool trying to prevent the access to `Cookies` property of the `HttpRequest` object. The old BugHunter check looks something like this:

```
if(line.Contains("Request.Cookies")){// report an error}
```

If the programmer uses the most common approach<sup>3</sup> of accessing the `Cookies` collection on the `Request` object, everything is fine and the BugHunter correctly reports the problem. However, it has no means of detecting other possible accesses to the property. If the `Request` object is passed to a function as an argument, or stored in a variable, the accesses of the cookies collection will not be detected by BugHunter.

There are other possibilities that can lead to false negatives when using just primitive string comparisons. For example, in C# it would be perfectly okay to put an arbitrary number of whitespace characters between the object, the dot token, and the property being accessed. This can be solved by using a bit more complicated regular expression, but it still covers only a fraction of use cases. Things like using variable or even alias usings<sup>4</sup> will still be missed by the tool. The only way to solve this is to introduce the semantics into the analysis which is a

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2. TODO

3. Accessing the static property of the class that is handling the request.

4. [https://msdn.microsoft.com/en-us/library/aa664765\(v=vs.71\).aspx](https://msdn.microsoft.com/en-us/library/aa664765(v=vs.71).aspx)

relatively easy task for new Roslyn analyzers developed as part of this thesis.

### 5.1.2 Ease of Use

As mentioned in the Chapter 3, the key to success of a static code analysis tool is the ease of use. This means that running the tool should not be complicated and interpreting the results should be straightforward. If this is not the case, programmers will not use the tool or they will ignore the results it produces.

With the original BugHunter, developer had to run the separate application that took for about 30 seconds. Then he or she had to analyze the results before going back to the IDE in order to look up the reported issues and fix them.

In a discussion with developers at Kentico, they all admitted, that more often then not, they submit the changes to the version control system without running the BugHunter locally.

The console application is run by the build server itself as part of the continuous integration. If the BugHunter detects any issues, the developer who caused them is notified by an email and must fix them as part of the no-warning policy. This process prolongs the time it takes to finish the implementation and generates a demand for a more developer friendly solution. Since Roslyn analyzers are part of the Visual Studio IDE, programmers get an instant feedback and see the warnings before submitting the code without the need to run an external application.

### 5.1.3 Suppressing the Results

Another downside of the previous solution was the way certain portions of the code were excluded from the analysis. Since the BugHunter is a console application and the CMS solution is completely independent of it, the configuration had to be placed in BugHunter itself. This was deemed as not transparent, as it was not clear, why in one file certain code was okay whereas in the other it was reported as an issue, without taking a look into a huge configuration file.

Moreover, the only way to exclude a certain piece of code from the analysis was to put the whole file in which it appeared to the



configuration of the rule to be suppressed. This way of configuration lacked the granularity and was opaque.

On the other hand, Roslyn provides numerous ways to turn off a certain rule for a project, a file, or even a line of code. More information on which approach was taken when deploying the analyzers can be found in the `!!!!TODO !!!!!`.

Following sections will present the new version of BugHunter analyzers implemented as part of this thesis using new Roslyn compiler platform.

### 5.2 Analyzer Categories

The `Category` property of the `Microsoft.CodeAnalysis.DiagnosticDescriptor` class provides a way for semantically distinguishing the analyzers and giving an additional information on what type of problem the particular category is concerned with. The original BugHunter did not group the checks into the semantical categories but was structured rather by the technology the check was concerned with (C#, ASPX, JavaScript, web parts, etc.).

Therefore, one of the first tasks before the implementation were to determine which checks are suitable candidates for refactoring into new Roslyn analyzers, and subsequently to group them into categories by the type of task they performed. Basically, all the checks that were not obsolete and were concerned with C# internal guidelines were rewritten. The following sections briefly describe how the categories were defined and what they represent.

#### 5.2.1 Abstraction over Implementation

Analyzers in this category check that there is no "hard reference" on third party libraries in the code. Violation of this rule would require clients to use a particular version of the library which might collide with references on other components they are using, resulting in so called "dependency hell"<sup>5</sup>. Therefore, in CMS solution the hard references are replaced with a thin layer of interfaces and adapters.

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5. <https://devnet.kentico.com/articles/referencing-multiple-versions-of-the-same-assembly-in-a-single-application>

### 5.2.2 CMS API Guidelines

These analyzers impose internal guidelines on the CMS API usage. They forbid the direct access to database from presentation layer (`ConnectionHelperExecutequeryAnalyzer`), point out usages of methods that may have a safer alternative (`WhereLikeMethodAnalyzer` or `ValidationHelperGetAnalyzer`) and guide developers to use constants as arguments where possible (`EventLogArgumentsAnalyzer`).

### 5.2.3 CMS API Replacements

In Kentico CMS solution there are many helpers that encapsulate the traditional .NET API and might provide an extended functionality. For example, determining the current browser of the user can be done by accessing the `Browser` property of the `HttpRequest` object. However, if this attempt fails there are still other ways to resolve it. Analyzer detects access to members that have an equivalent in CMS API and suggest a code fix.

### 5.2.4 CMS Base Classes

Similar to the previous category, the analyzers search for classes that inherit from standard .NET classes and suggest internal alternatives.

### 5.2.5 String and Culture

This category of analyzers makes sure that string comparison (`Equals()`, `Compare`, `IndexOf` and their variants) and manipulation methods (`ToLower()`, `ToUpper()`) are always used with the overload specifying `StringComparison` or `CultureInfo` parameter explicitly. This is vital for an application like CMS that is used with culture specific data. For more information on what issues can be caused when not following this rule, like the "*Turkish-I problem*", see **[best-practices-for-using-strings-in-dot-net]** ([https://msdn.microsoft.com/en-us/library/dd465121\(v=vs.110\).aspx](https://msdn.microsoft.com/en-us/library/dd465121(v=vs.110).aspx)).

### 5.3 Architecture

The main solution with new Roslyn analyzers (BugHunter.sln) consists of 6 projects within 2 folders: TODO - Do leave the Vsix project there or delete???

TODO - replace with a screenshot???

**Analyzers folder** with Roslyn analyzers

- BugHunter.Core
- BugHunter.Analyzers
- BugHunter.Web.Analyzers

**Tests folder** with tests:

- BugHunter.TestUtils
- BugHunter.Core.Test
- BugHunter.Analyzers.Test
- BugHunter.Web.Analyzers.Test

TODO Describe each project.. why 2 for analyzers - nuget distribution

The projects with analyzers use the categories also for folder structure with each folder containing separate subfolders for analyzers and code fixes respectively.

Main concern are ApiReplacement and General (string) and Internal ApiGuidelines -> need for analyzing members of certain types

#### 5.3.1 CMS API Replacement Analyzers

Example + why

For Member

define strategies for SimpleMemberAccessExpression and ConditionalAccessExpression analysis grouped by strategy for ApiReplacement-ForMemberAnalyzer - this one is used as strategy in all relevant analyzers and is configured via ApiReplacementConfig class defining

Rule, ForbiddenMembers and ForbiddenTypes.... use examples... tell how it is important not to access semantic model straight away and do basic analysis on syntax first how strategies are extendable, when new language feature is presented how they are configurable to use any DiagnosticFormatter desired  
UML

### 5.3.2 For Method

more involved analysis.. MethodInvocationAnalyzer used by ApiReplacementForMethodAnalyzer... callback on SyntaxKind.InvocationExpression use mostly MethodInvocationAnalyzer and leverage its template method pattern, to dig deeper into analysing where the method was invoked or who it is invoked - which overload is called, is it using predefined constants instead of plain string etc... (limitations)

UML it is easy to access semantic model first and analyze the IMethodSymbol... however.. point out how many times this callback is invoked and how many times SemanticModel would have been queried... therefore very simple semantic for getting rid of cases that do not have to be analyzed further... tell about the possible cases of InvocationExpression - nested SimpleMemberAccess.. first MemberBindingExpression, or IdentifierName... always show a graph... if it could be skipped based on syntax - invoked method name is for sure none of the forbidden member names, skip, otherwise, analyze further based on semantics

TEMPLATE METHOD - used not only for straightforward API replacements but also for conformance to API usage guidelines - therefore need to be configurable - explain that the logic of 'forbidden member and type' is the same

!!!!do some benchmarking how this heuristic helped with the performance!!!! show graph

Note that although very similar but this template method was not used for StringAndCulture analyzers as it was more complicated than actually needed. Some performance gain as we do not need to configure the forbidden types from the outside, we know string is always the ReceiverType and it is a sealed class and thus no need to analyze its parents, interfaces etc. Invocation is analyzed if it supplied the correct arguments... StringComparison or CultureInfo

### 5.3.3 CodeFixes

Why How - CMSApiReplacements - pretty straightforward, although some limitations in conditional accesses that do not have the codefix ... analyze why this is hard, can talk about the tree structure SystemIO does not have a codefix as this would be extremely tough to implement not a clear 1 to 1 Lucene easy, then things like not using the DB layer from Presentation is not clear how to fix and it is needed for developer to change the design of the solution to avoid this API guidelines have mostly easy fixes - suggesting different usages/overloads/methods

### 5.3.4 Tests

concerns about strings everywhere - attempts to use nuget with kentico libraries - many problems - could not be portable, nuget size was enormous - some wierd feeling of recurrision... no other libraries seem to have been experiencing similair issues..

so libs are only referenced in tests - explain why this is important (when creating a compilation - metadata references need to be added) measures taken to eliminate any typos - could not pass the test if the source code itself is not compilable - this is for example how the ever present bug in original BH was discovered Response vs Request.Redirect() !!! would not be possible without nuget and additional effor to make all tests compilable

tests not only for BH but of course for all other helpers/utils/extensions (- how to actually know we are looking for right things and did not misspell something -> tests always reference current version of Kentico.Libraries (NuGet package). If there is any typo in either source code of test files or analyzers, the tests will fail (needed to manually turn this feature on since the boilerplate generated by Microsoft project did not support this))

no fixes for api replacement when conditional access is used, why.. link the issue on gitub for roslyn refactorings mentioning this

## 5.4 Roslyn as SDK and Its Usage – Considerations and Remarks

Changing versions ... not really well documented Only issues on GH provide some insight e.g. SkipGeneratedCodeAnalysis or RunAnalyzersInParallel - some discussions on GitHub but not clear outcomes, sometimes proposition does not match the actual implementation and it is generally hard to find some best practices on how to do stuff and it is still a pretty young tech... moreover the examples are not really updated according to new versions of the API so one struggles with implementing something on his own only to find a few weeks later that it was added or it is considered for the next milestone

many useful things are marked as internal in the source code and there are many issues on GH to make them public

current version used is v.1.3.X and for this on VS with Update 3 is needed... had to be installed on all build boxes to accommodate BH deployment

... version v2 was released after the implementation was finished and it was considered although it was agreed we will not use it as it required VS2017 and nobody is happy about it.. talk about some cons...

( - ConfigureGeneratedCodeAnalysis - big boost when roslyn API allowed to opt out for analyzer to be run on generated code. Before, heuristics had to be performed by analyzers themselves on every call-back <https://github.com/dotnet/roslyn/issues/6998> <https://github.com/dotnet/roslyn/>

TODO Concerns about the performance of the tool - introduce following chapter

## 6 Measuring and Optimizing the Performance

Why - how many nodes, where are callbacks... Measurements from roslyn - number of projects, documents, nodes... show table, reference to code that produces it (shall be included in IS)... why people are not using resharper etc.dd

<https://msdn.microsoft.com/en-us/magazine/dn879356.aspx> 18/10/2016

A key point to note is that a top-level action registered with any Register method should never stash any state in instance fields on the analyzer type. Visual Studio will reuse one instance of that analyzer type for the whole Visual Studio session to avoid repeated allocations. Any state you store and reuse is likely to be stale when analyzing a future compilation and could even be a memory leak if it keeps old syntax nodes or symbols from being garbage collected.

Why tool needs to be super-fast (refer to chapter 4 where this should have been said)

Talk about /ReportAnalyzer switch of MSBuild process (csc.exe)

How the performance of the slowest analyzers (SystemIO, BaseChecks) was improved

Talk about how analyzers deployment influenced the build time

Questionnaires sent to development team, feedback from senior developers

## 7 Conclusion

- what issue it solved - what is the current status of the project - how it helped the development teams... - how to maintain the tool, - how easy it is to add new analyzers,
  - talk about kentico selling it's source code, so after stabilization, BH vcan also be available for them as nuget package and aid with the development practices



## **A Source Codes in IS**

## **B Questionnaires**

TODO...

## C Deployment and Versioning

[2 pages] talk about the old configuration of the BH, and how this one maps to Roslyn version (suppression pragmas vs suppression files, some analyzers look at the filepath or file extension directly.. some checks like SystemIO had to be suppressed - level set to none in rule-set file - for files that it did not make sense for... helpers that wrap the "forbidden" functionality were marked with pragma statements around the whole class so that it is clearly visible in code)