

# Java Card (FIPS 140-2 Non-Proprietary Level 3 Validation )

## Security Policy X Develop Target

*Release1.2*

*Firmware Version: 32 53*

*Hardware Version: 46 43*

TAISYS

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### *Document History*

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# 1. INTRODUCTION

This is a non-proprietary FIPS 140-2 Security Policy for Taisys Technologies' JUISE-S2 v1.0 contact/contactless module, hereafter denoted **the Module**. The Module, validated to FIPS 140-2 overall Level 3, is a single chip secure controller module implementing the Global Platform operational environment, this Policy forms a part of the submission package to the validating lab.

The Module is a smart card platform, intended for use only as a platform for vendors to develop applets, ultimately for use by US Federal agencies. The loading of non-validated firmware within the validated cryptographic module invalidates the module's validation.

FIPS 140-2 (Federal Information Processing Standards Publication 140-2) specifies the security requirements for a cryptographic module protecting sensitive information. Based on four security levels for cryptographic modules this standard identifies requirements in eleven sections. For more information about the standard visit [www.nist.gov/cmvp](http://www.nist.gov/cmvp)

The product meets the overall requirements applicable to Level 3 security for FIPS 140 2.

| Security Requirements Section             | Level |
|---|-------|
| Cryptographic Module Specification        | 3     |
| Cryptographic Module Ports and Interfaces | 3     |
| Roles and Services and Authentication     | 3     |
| Finite State Machine Model                | 3     |
| Physical Security                         | 3     |
| Operational Environment                   | N/A   |
| Cryptographic Key Management              | 3     |
| EMI/EMC                                   | 3     |
| Self-Tests                                | 3     |
| Design Assurance                          | 3     |
| Mitigation of Other Attacks               | N/A   |
| Overall Level of Certification            | 3     |

Table 1 - Module Compliance Levels

The Module implementation is compliant with:

- [ISO 7816] Parts 1-4
- ETSI 102 613 UICC – Contactless Front-end (CLF)
- ETSI 102 622 UICC – Host Control Interface (HCI)
- [JavaCard] API 3.0.4
- [JavaCard] RE 3.0.4
- [JavaCard] VM 3.0.4
- [GlobalPlatform] Card Spec 2.2.1

## 1.1. abbreviation

|       |  |
|-------|--|
| AES   | Advanced Encryption Standard                         |
| ADM   | Administrator  |
| API   | Application Programming Interface                    |
| BIP   | Bearer Independent Protocol                          |
| CBC   | Cipher Block Chaining                                |
| CLF   | Contactless Front-end                                |
| CMAC  | Cipher-based message authentication code             |
| CMVP  | Certified Measurement and Verification Professional  |
| CO    | Crypto Officer                                       |
| CSP   | cryptographic service provider                       |
| CVL   | Component Validation                                 |
| DES   | Data Encryption Standard                             |
| DRBG  | deterministic random bit generator                   |
| ECB   | Electronic Codebook Book                             |
| ECDSA | Elliptic Curve Digital Signature Algorithm           |
| FIPS  | Federal Information Processing Standards Publication |
| FSM   | Finite State Machine                                 |
| GP    | GlobalPlatform                                       |
| HCI   | Host Control Interface                               |
| HMAC  | Hash-based message authentication code               |
| JUISE | JAVA UICC SIMoME EMV.                                |

|        |                                   |
|--------|-----------------------------------|
| LIB    | Library                           |
| OTA    | Over-the-air programming          |
| PIN    | Personal Identification Number    |
| RE     | Runtime Environment               |
| RSA    | Rivest Shamir Adelman             |
| SHA    | Secure Hash Algorithm             |
| SIMoME | SIM opportunity Mobile            |
| UICC   | Universal Integrated Circuit Card |
| VM     | virtual machine                   |

Table 2- abbreviation

## 1.2. Terminology

| Term        | Meaning   |
|-------------|---|
| SIMoME™     | Is an ultra-slim SIM card designed to work together with a second SIM sized card into the existing SIM slot of the mobile device. |
| GP          | Global Platform   |
| UICC        | universal integrated circuit card   |
| ISD         | Issuer Security Domain  |
| FIPS SD     | FIPS SD is a Java Applet used for testing module functionality  |
| FIPS LIB    | FIPS LIB is a Java Applet used for testing the module   |
| COS Library | Common OS Library   |
| NESlib      | Next Step Library, provides access to cryptographic hardware  |

Table 3- Terminology

## 2. PRODUCT DESCRIPTION

The TAISYS JUISE-S2 is a contact/contactless module that provides security services targeted at mobile devices in a single Integrated Circuit Chip specifically designed for the security of data. Once inside the phone the module becomes an independent secure element to deploy to customers, both government and enterprise, and may download applications in the card for identification, health or banking markets.

Java technology is the leading multiple applications operating system for smart cards. It offers developers a convenient platform on which to develop and implement smart card applets. The TAISYS JUISE-S2 has been designed to offer a modular and open solution based on reliable and standardized technologies.

To that end, the TAISYS JUISE-S2 Open module contains an implementation of the Sun Java Card™ 3.0.4 Classic Edition [JCS] specifications. It allows implementing multiple applications associated with a high security level to execute the applications by providing context independence between each of them. The TAISYS JUISE-S2 Open module is also compliant with the GlobalPlatform Card Specification - Version 2.2.1 [GP] with SCP03 as defined in the Amendment D [GP\_AMD\_D], where it secures the application management and manages the card life cycle.

### 2.1. Cryptographic Boundary

The cryptographic module boundary is realized as the external surface of the Taisys single chip microprocessor and does not include smart card contact plate in contact, the antenna for contactless, or the fixation glue. The boundary contains all of the relevant module components (processors performing cryptography, etc.) consistent with [FIPS 140-2]. The module is a single chip hardware module.



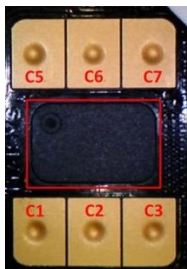
— Module boundary

The module relies on a hard-opaque plastic package to meet FIPS 140-2 level 3 physical requirements. TAISYS ships the module in two form factors, Smart Card and SIMoME. The module does not rely on the form factors to meet the FIPS 140-2 physical security requirements. The modules interfaces (chip pin outs) are not modified by any of these form factors.

Details on the form factors are below:

## Smart Card and SIMoME Card form:

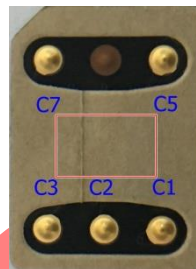
Up side of SIM card



|    |     |    |     |
|----|-----|----|-----|
| C1 | VCC | C5 | GND |
| C2 | RST | C6 | SWP |
| C3 | CLK | C7 | SIO |

(The red rectangle indicates hardware cryptographic boundary)

SIMoME Card

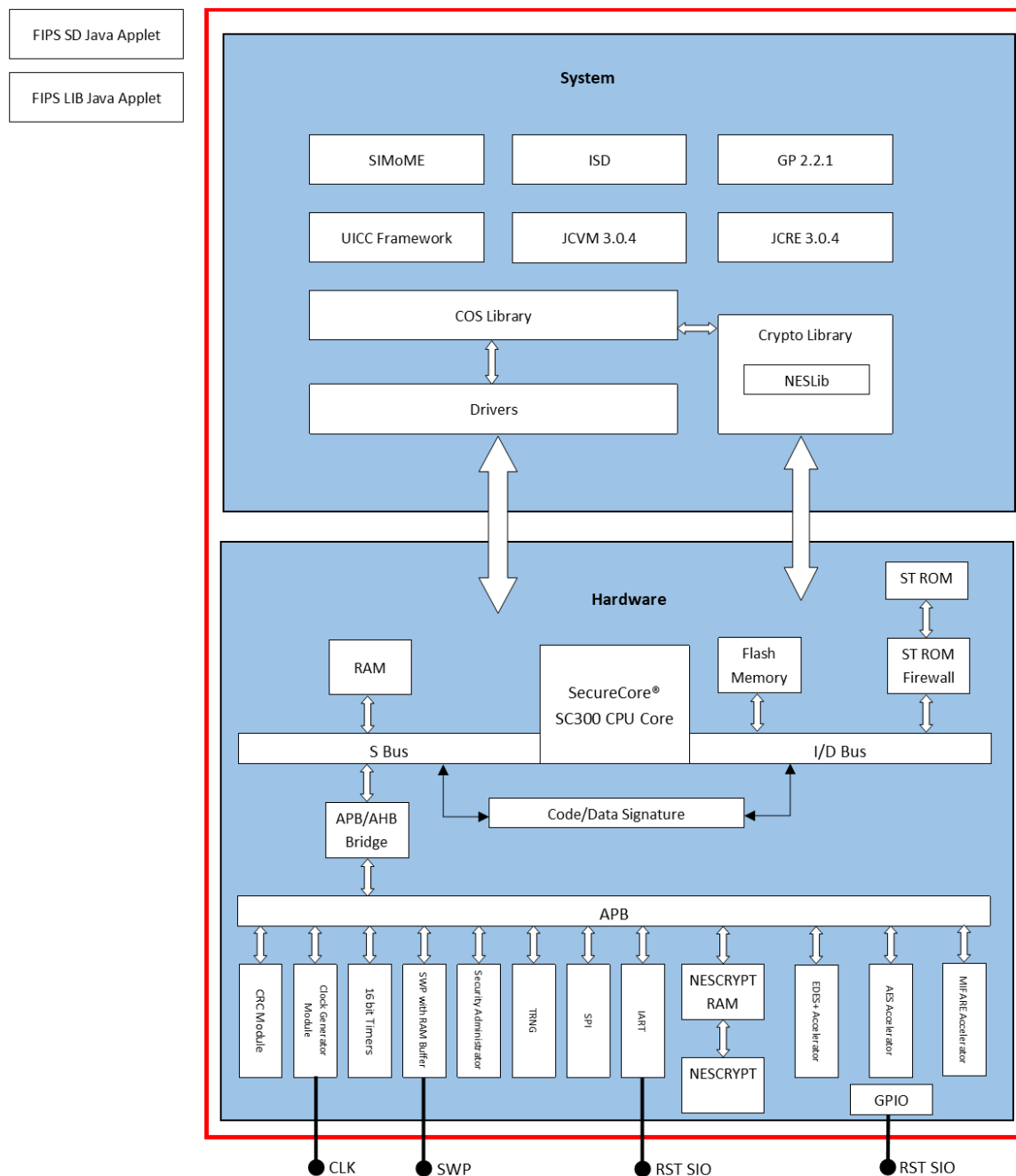


|    |       |    |       |
|----|-------|----|-------|
| C1 | VCC   | C5 | GND   |
| C2 | Q-RST |    |       |
| C3 | CLK   | C7 | Q-SIO |



## 2.2. Firmware and Logical Cryptographic Boundary

The diagram depicts the module architecture. The red outline depicts the logical cryptographic boundary.



The JavaCard API and GP API are internal interfaces available only to applets. Only applet services are available at the card edge.

FIPS LIB provides FIPS Services API and FSM Implementations. Base on FIPS Service API, service provider

can program their applications more convenient and needs not their own FSM. Service provide can also program their application by standard JavaCard API and their own application level FSM and manage their own application level roles. Platform level FSM will manage states of low-level functions, include power-up self-tests, conditional self-tests, algorithm security checks, role of Crypto-Officer is controlled by platform level FSM. Application level FSM manages roles other than Crypto-Officer. All code is executed from FLASH.

## 2.3. Firmware version and hardware

There is only one firmware version. An operator can send the following command for the firmware version when the system is powered on or after reset:

| Command       | Expected Response  |
|---------------|--|
| GET CARD INFO | <p>H1 H2 V1 V2</p> <p>Where H1 H2 is product ID, For the module, H 1 H2 is 46 43. Product ID internally maps to the hardware model and firmware version.</p> <p>V1 V2 is the version number. For the module V1 V2 is 32 53</p> |

Table 4 - Get Firmware Information Command

## 2.4. FIPS Approved Mode of Operation

The module provides two API's for entering FIPS mode, `FIPSSystem.getAdminService()` and `FIPSSystem.getUserService()`. When an applet calls one of two API's with correct PIN ADM or USR code, the API returns a Java Object and enters FIPS mode.

The module provides standard Javacard APIs to support FIPS validated applets that work in a FIPS approved mode. Before a FIPS validated applet is activated (Selected), the module successfully completes self-tests during the power-up procedure. Java Applets access services through the FSM platform, by calling `FIPSSystem.getAdminService()` OR `FIPSSystem.getUserService()`.

The module also provides two API for FIPS state, `FIPSSystem.get_state()` and `FIPSSystem.get_role()`. An applet should call both API's to retrieve the current FIPS state. If the module state is in error states, these two API will throw exception and interrupt the invoking procedure. Available states of returned values are listed in Table 3.

If the FIPS approved applet has its own application level FSM, it must check the platform level state after it is activated successful by using `FIPSSystem.get_state()`. The applet must validate `FIPSSystem.get_state()` returns normally without any exception and the returned state is not values `STATE_SHUTDOWN`, `STATE_INTEGRITY_BROKEN` or `STATE_SELF_TEST_FAIL`.

| Command                | Expected Response  |
|------------------------|--|
| FIPSSystem.get_state() | 0000 = STATE_UNINITIALIZED<br>0013 = STATE_ADM_UNINITIALIZED<br>0073 = STATE_USR_UNINITIALIZED<br>0119 = STATE_UNAUTHORIZED<br>37AB = STATE_AUTHORIZED<br>Error States:<br>819E = STATE_SHUTDOWN<br>89A5 = STATE_INTEGRITY_BROKEN<br>99B3 = STATE_SELF_TEST_FAIL |
| FIPSSystem.get_role()  | 0000 = none<br>6000 = Crypto Officer<br>0300 = ADM<br>000E = USR   |

**Table 5 - State and Role Defines**

## 2.5. Unauthenticated mode of Operation

The module will stay in an unauthenticated mode after power up or reset. The module can enter an Approved Mode using two methods as described in 2.4. In an unauthenticated mode, FIPS services and FIPS Approved Security functions are not available. A list of services available in the unauthenticated mode can be found in Table 13.

## 2.6. unauthenticated mode Identification of Approved Mode

Before the operator is authorized by passing authentication of Crypto-Officer, ADMIN or USER, the module is in unauthenticated mode. FIPS API provide FIPSSystem.get\_state() function to find if current mode is Non-Approved or Approved, if returned value is not FIPSSystem.STATE\_AUTHORIZED, the current mode will be Unauthenticated mode. The operator can also call FIPSSystem.get\_role() to check which role is currently activated, if returned value is FIPSSystem.ROLE\_NONE, the mode is not in FIPS Approved mode.

As description in 2.4, FIPS CSPs and Keys can be referred via Admin Service and User Service, these 2 services can only obtained by input correct Admin password or User PIN. Any unauthorized operator cannot get the service and has no way to access or refer CSP and Key directly or indirectly.

## 2.7. Security Limitation of Approved and Unauthenticated modes

In an unauthenticated state, the module does not provide access to FIPS services and Keys/CSPs. The module supports applet download functions, new applets to be downloaded into the module must be validated through the FIPS 140-2 CMVP. Any other applet loaded into this module is out of the scope of this validation and requires a separate FIPS 140-2 validation.

### 3. MODULE PORTS AND INTERFACES

The module is considered to be a single chip standalone module designed to meet FIPS 140-2 Level 3 requirements. The module has the following interfaces:

|                                 |  |
|---------------------------------|--|
| <b>Data Input interface:</b>    | Data input parameters of API function calls are defined as the data input interface through which data is input to the module.                           |
| <b>Data Output Interface:</b>   | Data output parameters of API function calls are defined as the data output interface through which data is output from the module.                      |
| <b>Control input interface:</b> | Control input parameters of API function calls that command the module that are input that are used to configure or control the operation of the module. |
| <b>Status output interface:</b> | Status output parameters of API function calls that show the status of the module are status output interfaces.  |
| <b>Power Interface:</b>         | Describe the power interface.  |

The below table describes the relationship between the logical and physical interfaces.

| Physical Interface | Logical Interface                   | Applied FIPS 140-2 Interface  |
|--------------------|-------------------------------------|---|
| VCC PIN            | ISO 7816 : Power supply             | Power interface (5V/3V/1.8V)  |
| RST PIN            | ISO 7816 : Reset                    | Control input interface   |
| CLK PIN            | ISO 7816 : Clock                    | Control input interface   |
| SIO PIN            | ISO 7816 : Input / output           | Control input interface<br>Data input interface<br>Data output interface<br>Status output interface |
| SWP PIN            | ETSI 102 613 SWP                    | Control input interface<br>Data input interface<br>Data output interface<br>Status output interface |
| Q-RST PIN          | ISO 7816 : Reset of Reader          | Control input reference   |
| Q-SIO PIN          | ISO 7816 : Input / output of Reader | Data input interface<br>Data output interface   |

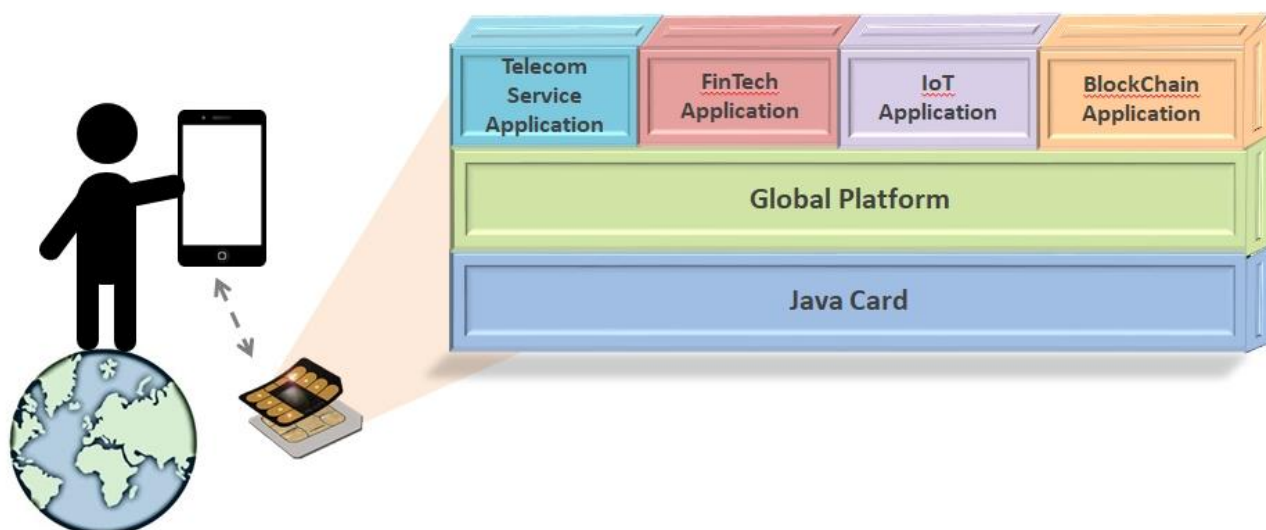
**Table 6 – Mapping Physical and Logical Interfaces**

## 4. OPERATIONAL ENVIRONMENT

Our Java Card uses SIMoME as its core patented technology; SIMoME stands for all the service and application opportunities between the SIM card and the Mobile Equipment; this technology allows the delivery of additional applications and services to the end-user, operators, and other service providers (including banks), without requiring changing the SIM card the user is using, also, service providers (including banks) can deploy their own security application independently.

Taisys' Java Card meets National Security and Financial related service certification, including US FIPS 140-2 Level 3, this should be a first among dual SIM, providing a robust development environment for developers and a secure operational environment for the users.

Through developing applications on the Java Card (applet), developers can freely develop application depending on their need, some potential fields and uses are listed in chapter 7. There are many applicable fields including Mobile Service applications, Financial Technology applications, IoT applications, and Block Chain applications.



## **5. Applicable Fields**

### **5.1 Dialer Application**

SIMoME® dialer sends DTMF and listens to call control and maintains connections once they have been established. Dialer can be customized and turn the traditional complicated IVR calling system into a direct and straightforward process.

### **5.2 Location Based Services**

The SIMoME® listens to cell ID and is capable of transmitting real time data depending on user's current location, programmers can provide users with the most accurate information and services based on users' location.

### **5.3 One-Time-Password (OTP) Application**

With SIMoME® API, programmers will be able to build Two-Factor Authentication applications. The addition on the OTP into the user's current mobile device immediately enables OTP functionality, enabling two-factor authentication at the user's fingertips

### **5.4 Secure SMS Application**

Supporting Java encryption algorithms, SIMoME® VAULT designers can program a secure SMS system allowing end users to send, deliver and store encrypted messages securely.

### **5.5 Mobile eID Application**

With Mobile eID, end user can use for TAX payment, Voting, or any E-Government services.  
Support Public Key Infrastructure (PKI)

## 5.6 Wallet Application

Although owning crypto currency is now more common and simpler than a decade ago, many investors are still confronted by instability and transaction risks. And these risks are not just rumors and hearsay or pure theoretical and speculative, there are numerous cases of loss due to fraud or theft, and a crypto wallet is essential to protecting and managing crypto currency and tokens.

Currently, hardware wallets are considered the most secure as private keys are kept within and never revealed, all actions from key creation, usage (signing data), to deletion are all done within.

Using Java Card for development, a highly secure hardware wallet for multiple crypto currencies is possible.

## 5.7 mBanking Application

Financial institutions do not need to integrate with mobile service providers and can independently issue exclusive banking smart cards; developers can create custom functions and information security authorizations such as hardware validated OTP, dynamic passcodes, etc. according to the specification of the bank. This allows the banks to provide highly secure mobile financial services for customer transactions and payments without large investments.

## 6. CRYPTOGRAPHIC KEY MANAGEMENT

Cryptographic key management is a summary of the supported keys within the module and its various characteristics.

### 6.1. Key Establishment and Entropy

The module provides asymmetric key pair generation methods to generate key. The generated public key can be output in plain text format via FIPS Service API. The module also provides SP 800-108 KDF and a Triple-DES key is generated internally for the TDES-KEK.

Key generation and the seed for asymmetric key generation uses the HASH DRBG. The min-entropy of SP800-90B Entropy Estimation Test is 7.8789 per 8-bits.

Note: The module generates cryptographic keys whose strengths are modified by available entropy

### 6.2. Cryptographic Keys and CSPs

The following table summarizes the module's keys and CSP's:

| Key/CSP        | Description/Usage  | Output | Generation /Input  |
|----------------|--|--------|--------------------|
| DRBG-SEED      | 256-bit entropy input from H/W TRNG (NDRNG) to seed the SHA-256 based Hash_DRBG. Stored in RAM.  | NO     | Internal generated |
| DRBG-STATE     | The current DRBG state include 440-bits V, 440-bits C and other state information used by DRBG. Stored in RAM.   | NO     | Internal generated |
| SCP03-MKEY-SET | AES Keys, SCP03 Secure Channel Authentication, input in stage of issuer personalization in the factory. Stored in NVM.   | NO     | By CO              |
| SCP03-SKEY-*   | AES Keys, SCP03 Session Keys. Derived from SCP03-MKEY-SET and session data defined by SCP03, Specification of Globalplatform. Stored in RAM. Session Key Derivation algorithm is NIST SP 800-108 | NO     | Internal generated |
| SCP03-CM-SYM   | AES Keys, SCP03 Card Management Security Keys, input in stage of issuer personalization in the factory. Stored in  | NO     | By CO              |



|                   |  |            |  |
|-------------------|--|------------|--|
|                   | NVM.   |            |  |
| SD-CM-ASYM        | Card Management Security RSA Keys, 2048-bits, initialized in issuer personalization stage. Stored in NVM.  | NO         | By CO  |
| FIPS-ADM-PIN      | Password for ADM verification, initialized by Crypto Officer, in stage of issuer personalization. Stored in NVM.   | NO         | NOTE <sup>1</sup> Initial Value is generated by CO<br>Updated by ADM   |
| ECDH Primitives   | The module implements only the ECDH primitive which can be utilized by a Java applet. Subsequent keys are stored and managed by the calling Java applet.                 | NO         | Initial Value is generated by CO/ADM/USER.                             |
| FIPS-USER-PIN1    | PIN for USER verification, will be initialized by ADM, in stage of personalization of Service Provider. Stored in NVM.   | NO         | NOTE <sup>1</sup> Initial Value is generated by ADM<br>Updated by USER |
| FIPS-SVC-KEY-SET1 | FIPS Service created keys on demand by USER or ADM, initialized by user or Service Provider. Stored in RAM or NVM according to memory type argument when create the key. | NO         | NOTE <sup>1</sup> Initial Value is generated by CO/ADM/USER.           |
| FIPS-KEYPAIRS     | ADM and USER generated key pairs, include RSA and ECDSA keys   | Public Key | Initial Value is generated by CO/ADM/USER.                             |
| TDES-KEK          | Keys and CSPs Storage obfuscation three-keys TDEA Key. Stored in RAM.  | NO         | Internal generated   |

NOTE1: As a platform product, the module allows Service Providers to download their applet and work on ADM role or USER role, after the module is issued. The FIPS Services will manage all keys created by USER or ADM. Applet of Service Provider should be validated by FIPS CMVP. Initial value or input of those ADM/USER created keys will be defined and secured by the Service Provider. Service Provider should use FIPS Approved algorithms to keep security of ADM password, USER PIN and KEY input on their user interface devices such as PIN-Pad, PC or Cell-phone.

**Table 7 – Cryptographic Module Keys and CSP's in Approved Services**

All Keys and CSPs are stored in Triple-DES encrypted format using the TDES-KEK; however the key derivation scheme used for this purpose is non-compliant (derived by sensitive data storage header and chip serial number). All keys encrypted by the TDES-KEK are effectively considered to be plaintext under FIPS 140-2, but are protected within the secure confines of the tamper responsive physical boundary. The module's zeroization method destroys all keys in the module when invoked.

Keys and CSPs listed in Table 6 are created and used by FIPS Approved Services. Other FIPS approved Keys such as ECDSA keys will be created by service providers after the module is released to them.

### 6.3. Key Destruction / Zeroization

DRBG Seed, State and SCP03-SKEY\_SET, will be zeroized when the card is powered up or warm-reset. When the secure channel is closed or broken, SCP03-SKEY\_SET will be zeroized. When FIPS secure domain is deleted, all Keys, PINs, DRBG data will be destroyed.

The module provides authorized operators on-demand key zeroization methods.

In FIPS Service API, provided API to allow authorized role to destroy or zeroize any Keys of FIPS Service.

*void clear\_key(short key\_id, boolean destroy) throws FIPSEException*

*void clear\_keypair(short keypair\_id, boolean destroy) throws FIPSEException*

In Crypto Office Guidance, the last command is to destroy all CSPs of FIPS by sending DESTROY FIPS-SD command.

Zeroization process clears both key storage area and key state area to zero.

### 6.4. Key Entry / Output

Except public key of FIPS Service generated key pair, all CSPs and Keys generated or used by FIPS Services, have no API or method to export their values, and cannot output from the module. For key input and output features, please refer to Table 5. All Issuer/CO generated Keys should be personalized in Security Environment of Issuer, such as factory or personalization-bureau. Issuer/CO should personalize their keys in secured form and follow standard of Globalplatform SCP03.

ADM Password/USER PIN updates, key creation and crypto functions used by ADM/USER are functions of Service Provider Applet. Service Provider should keep security between their User Interface Device and the security module. The key-entering security mechanism of Service Provider is out of boundary of the module.

### 6.5. Approved or Allowed Security Functions

The module keys map to the following algorithms certificates:

| Approved or Allowed Security Functions   | Certificate |
|--|-------------|
| AES, [FIPS 197] Advanced Encryption Standard algorithm. The module supports AES-128, AES-192, AES-256 key, ECB, CBC, CMAC modes. | #5461       |
| AES CMAC [NIST SP 800-38B]. The module supports AES-128, AES-192 and AES-256 key.  | #5461       |
| NOTE-1 Triple DES, [SP 800-67] Triple Data Encryption Algorithm. The module  | #2747       |

| Approved or Allowed Security Functions  | Certificate |
|---|-------------|
| support 3-key, CBC and ECB mode.  |             |
| SHA, [FIPS 180-4] Secure Hash Standard compliant one-way algorithms.<br>SHA-1, SHA-224, SHA-256, SHA-384 and SHA-512.   | #4369       |
| RSA, [FIPS 186-4]<br>RSA key pair generation for 2048, 3072 and 4096 bits keys;<br>RSA signature generation for PKCS1_V1.5, PKCS1_PSS and X9.31 on 2048, and 3072 bits keys;<br>RSA signature verification for PKCS1_V1.5, PKCS1_PSS and X9.31 on 1024, 2048, 3072 and 4096 bits keys;<br>RSA signature supports SHA1, SHA224, SHA256 and SHA512. | #2933       |
| DRBG, [SP 800-90A] HASH_DRBG SHA 256.   | #2134       |
| HMAC, [FIPS 198-1] (w/SHA-1, w/SHA224, w/SHA256, w/SHA384, w/SHA512)  | #3619       |
| ECDSA, [FIPS 186-4] Elliptic Curve Digital Signature Algorithm.<br>Signature generation supports P-224, P-256, P-384, P-521 on SHA1, SHA224, SHA256, SHA384 and SHA512.<br>Signature verify supports P192 (Only for Legacy use), P-224, P-256, P-384, P-521 on SHA1, SHA224, SHA256, SHA384 and SHA512.   | #1459       |
| CVL (EC-CDH Primitive [SP 800-56A] supports FIPS P-224, P-256, P-384 and P-521)   | #1331       |
| CVL (ECC Key pair Generation, [FIPS 186-4] Supports P-224, P-256, P-384, P-521)   | #1332       |
| CVL (RSADP, [SP800-56B] RSA decryption primitive. Supports 2048 bits key)   | #1912       |
| CVL (RSASP1, [FIPS 186-4] [PKCS#1 v2.1] RSA signature generation primitive using 2048-bit keys.)  | #1338       |
| AES CMAC based Key Derivation Function [NIST SP 800-108]. Counter mode.<br>The module supports AES-128, AES-192 and AES-256 key.  | #176        |

**Table 8 - FIPS Approved Algorithms**

NOTE-1: The module only use Triple DES to protect storage of Key and CSPs, and each Key/CSP has their own protection Triple DES key, the encryption operation will be done only once, when storing to memory. This is far lower than A.13 requested time limit  $2^{28}$ .

| Non-Approved but allowed Security Function                                   |
|--|
| NDRNG - A minimum of 256-bits of entropy is obtained before generating keys. |

**Table 9 – Non-Approved but allowed Algorithms**

| Non-Approved and Non-Allowed Security Function   |
|--|
| DES - Industrial standard of GSM defined telecom to protect OTA security SMS. Used by UICC Service.    |
| COMP 128 - Industrial standard of GSM defined telecom authentication algorithm. Used by UICC Service.  |
| MILENAGE - Industrial standard of ETSI defined telecom authentication algorithm. Used by UICC Service. |

Table 10 – Non-Approved and Non-Allowed Algorithms Table

## 7. ROLES, SERVICES AND AUTHENTICATION

The module supports a Crypto Officer, an ADM role, and a User role which is assumed by the authenticated entity. The module implements identity based authentication using a combination of unique user id and password or unique keys. Initial authentication to the module is controlled by a factory set password which the CO uses to authenticate to the module and to configure it.

The module doesn't support a maintenance role.

The module doesn't support multiple concurrent operations for FIPS service.

### 7.1. FIPS Roles

|                |  |
|----------------|--|
| Crypto Officer | <p>Cryptographic Officer, a role that can manage module configuration and data, include</p> <ol style="list-style-type: none"> <li>1. Installing the Demo Applet.</li> <li>2. Re-installing and removing the Demo Applet.</li> <li>3. Initial default ADM PIN.</li> <li>4. Key management and algorithm calculation</li> </ol> |
| ADM            | <p>An administrator, a user who can manage application-related content include</p> <ol style="list-style-type: none"> <li>1. Change ADM PIN.</li> <li>2. Initial / re-initial USER PIN.</li> <li>3. Initial / re-initial USER data.</li> <li>4. Key management and algorithm calculation</li> </ol>                            |
| USER           | <p>The card holder, a user who can</p> <ol style="list-style-type: none"> <li>1. Change USER PIN.</li> <li>2. Access USER data.</li> <li>3. Key management and algorithm calculation</li> </ol>  |

Table 11 – FIPS API defines Roles

### 7.2. Identification and Authentication

The module supports Identity Based authentication.

| Role           | Type of Authentication | Authentication Data      |
|----------------|------------------------|--------------------------|
| Crypto Officer | Identity Based         | 128-256 bits AES Key     |
| ADM            | Identity Based         | 8-16 characters password |
| USER           | Identity Based         | 8-16 characters password |

Table 11 - Authentication Type Table

## 7.3. Strength of Authentication

The strength of the authentication mechanism conforms to the following specifications:

| Role           | Authentication Data                   | Strength of Mechanism  |
|----------------|---------------------------------------|--|
| Crypto Officer | 128-256 bits AES Keys                 | Crypto-Officers must authenticate using 2 AES 128 keys via SCP03 Secure Channel initialization defined in GlobalPlatform Specification. An attacker would have a 1 in $2^{128}$ chance of randomly obtaining the key, which is much stronger than the one (1) in 1,000,000 chance required by FIPS 140-2. 48 times of authentication failures is limited to avoid guessing of a Key. The probability of a success with multiple consecutive attempts in a one-minute period is $48/(2^{128})$ , which is less than 1/100,000.  |
| ADM and USER   | 8-16 Character alpha/numeric password | Users must authenticate using a password that is at least 8 characters and at most 16 characters in length. The characters used in the password must be from the ASCII character set of alphanumeric and special (shift number) characters. the probability of randomly guessing the correct sequence is one (1) in 6,095,689,385,410,816. This is calculated by performing $94^8$ . The possibility of correctly guessing a password is greater than 1 in 1,000,000. . In order to successfully guess the sequence in one minute would require the ability to make over 101,594,823,090,180 guesses per second, which far exceeds the operational capabilities of the module. |

Table 12 - Authentication Type Table

## 7.4. Roles and Services

The module supports the services listed in the following table.

| Service               | Description   |
|-----------------------|---|
| Context               | Select an applet or manage channel  |
| Module Reset          | Power cycle, reset the module, including Power-On-Self-Test   |
| Module Info           | Get module production information   |
| UICC Service          | Perform telecom UICC functions  |
| SIMoME Service        | Perform film card functions   |
| FIPS System Get State | This function is used to find if current mode is Non-Approved or Approved, if returned value is not FIPSSystem.STATE_AUTHORIZED, the current mode will be Unauthenticated mode. |
| FIPS System Get Role  | The function is used to check which role is currently activated, if returned  |

value is FIPSSystem.ROLE\_NONE, the mode is not in FIPS Approved mode.

**Table 13 - Unauthenticated Services**

### **Context Service**

Following the Javacard Specification, Context Service accept two input APDU commands from the communication port, SELECT and MANAGE CHANEL, according to these two command, switch context and setup related status of Javacard VM and Javacard Runtime Environment. Context service does not access FIPS Service data or function.

### **Module Reset Service**

Module Reset Service is a low level system service. Following Javacard Specification, when the card is powered on or RESET signal is received, the chip hardware triggers a reset interrupt and Module Reset Service is activated. The service is in charge of clearing RAM to zero, abort incomplete transactions, setup initial value of the card system and call power-on self-test.

### **Module Info Service**

The Module Info Service accepts one input APDU command, GET CARD INFO, the service outputs card production information, such as product ID, manufactory ID, version information, ISO-14443 UID. The service does not access FIPS Service data or functions.

### **UICC Service**

Following GSM and ETSI specifications, UICC Service accepts all APDU commands from the mobile phone, and is in charge of UICC file access, CHV management, GSM/USIM authentication with mobile base station, triggering STK Menu and Events, perform remote file management and remote application management. UICC Service does not access FIPS Service data or functions.

### **SIMoME Service**

SIMoME Service is an application level service; it provides multiple SIM function, allowing the module to work on different SIM modes: King or Queen. SIMoME Service is active by the Phone Menu Selection event triggered by UICC Service and send proactive commands to the phone, the phone shows a next level function menu, and send the menu item selection information back to UICC Service by another APDU command. UICC Service sends selected item id to SIMoME Service, and SIMoME Service switch the mode according to the item id. SIMoME Service does not access FIPS Service data or function.

| Service      | Description   | CO | ADM | USER |
|--------------|---|----|-----|------|
| Life Cycle   | Manage card and applet life cycle. NOTE 1.  | Y  |     |      |
| Card Manager | Load. Install and Delete card content including package, applet, key and data. NOTE 1, 3. | Y  |     |      |

|                   |  |   |   |   |
|-------------------|--|---|---|---|
| Secure Channel    | Create Secured Channel and keep secured communication. NOTE 1  | Y |   |   |
| FIPS CO Service   | Create ADM role and password, destroy FIPS CSP and data, key management and algorithm calculation. NOTE 2. | Y |   |   |
| FIPS ADM Service  | Create USER role, key management and algorithm calculation. NOTE 2.  |   | Y |   |
| FIPS USER Service | Key management and algorithm calculation. NOTE 2.  |   |   | Y |

**Table 14 - Authenticated Services**

NOTE 1. Services are available only when CO role is authenticated, services are function groups defined in Globalplatform Specifications. Globalplatform SCP03 defined authentication methods are used as CO authentication.

NOTE 2. FIPS Service only manage keys that used by FIPS Services themselves.

NOTE 3. Card Manger only manage keys that used by card management, keys and algorithms are defined in Globalplatform Specifications.

The table groups the authorized services by the operator roles and identifies the Cryptographic Keys and CSPs associated with the services. The modes of access are also identified per the explanation.

**G** - The item is **Generate** CSP by the service.

**Z** - The item is **Zeroize** or referenced by the service.

**W** - The item is **written** or updated by the service.

**R** - The item is **public key and read** by the service.

**E** - The item is **executed** by the service. (The item is used as part of a cryptographic function.)

-- - The item is **NOT Accessed** by the service.

The below table shows the services available to each role and the keys and CSP's associated with each Role:

| Service        | DRBG-SEED | DRBG-STATE | SCP03-MKEY-S | SCP03-SKEY-* | SCP03-CM-SYM | SD-CM-ASYM | FIPS-ADM-PIN | FIPS-USER-PIN | FIPS-SVC-KEY-SET | FIPS-KEYPAIRS | TDES-KEK | ECDH primitive |
|----------------|-----------|------------|--------------|--------------|--------------|------------|--------------|---------------|------------------|---------------|----------|----------------|
| Context        | --        | --         | --           | Z            | --           | --         | --           | --            | --               | --            | --       | -              |
| Module Reset   | GE<br>WZ  | GE<br>W    | --           | Z            | --           | --         | --           | --            | --               | --            | Z        | -              |
| Module Info    | --        | --         | --           | --           | --           | --         | --           | --            | --               | --            | --       | -              |
| UICC Service   | --        | --         | --           | --           | --           | --         | --           | --            | --               | --            | --       | -              |
| SIMoME Service | --        | --         | --           | --           | --           | --         | --           | --            | --               | --            | --       | -              |
| Life Cycle     | --        | Z          | Z            | E            | Z            | Z          | Z            | Z             | Z                | Z             | --       | Z              |

|                   |    |    |    |    |    |    |    |    |     |            |     |     |
|-------------------|----|----|----|----|----|----|----|----|-----|------------|-----|-----|
| Card Management   | -- | -- | W  | E  | W  | W  | -- | -- | --  | --         | GEZ | --  |
| Secure Channel    | -- | EW | E  | GE | E  | E  | -- | -- | --  | --         | GEZ |     |
| FIPS CO Service   | -- | EW | -- | -- | -- | -- | GW | -- | --  | --         | GEZ | GEZ |
| FIPS ADM Service  | -- | EW | -- | -- | -- | -- | EW | GW | GEW | GW<br>Z, R | GEZ | GEZ |
| FIPS USER Service | -- | EW | -- | -- | -- | -- | -- | EW | GEW | GW<br>Z, R | GEZ | GEZ |

Table 15 - Mapping of Cryptographic Keys and CSPs to Services



## PHYSICAL SECURITY

The module is defined as a single chip standalone module. The module consists of production grade components which include standard passivation techniques.

The module is a single-chip implementation that meets commercial-grade specifications for power, temperature, reliability and shock/vibrations.

The module is intended to be mounted in SIM, SIMoMe or ECoffer chip.

The chip is protected by a hard epoxy coating and active tamper envelope shield. If an attacker attempts to penetrate and the module detects, the module deactivates this chip. The module is not recoverable from this state. The module hardness testing was only performed at a single temperature and no assurance is provided for Level 3 hardness conformance at any other temperature.” The hardness testing was performed at an ambient temperature of 72 degrees F.

Temperature: The normal operating temperature range of the security module is -25°C to +85°C.

Voltage: The normal operating voltage range of the security module is -0.3V to 6.5V.