A clustering algorithm to organize satellite hotspots data for the purpose of tracking bushfires remotely

by Weihao Li, Emily Dodwell, and Dianne Cook

Abstract An abstract of less than 150 words.

Introduction

The 2019-2020 Australia bushfire season was catastrophic in the scale of damage caused to agricultural resources, property, infrastructure, and ecological systems. By the end of 2020, the devastation attributable to these Black Summer fires included 33 lives lost, almost 19 million hectares of land burned, over 3,000 homes destroyed and AUD \$1.7 billion in insurance losses, as well as an estimated 1 billion animals killed, including half of Kangaroo Island's population of koalas (Filkov et al., 2020). According to CSIRO and of Meteorology (2020), 2019 was the warmest year on record in Australia and capped off a period from 2013-2019 that represents seven of the nine warmest years. There is concern and expectation that impacts of climate change – including more extreme temperatures, persistent drought, and changes in plant growth and landscape drying – will worsen conditions for extreme bushfires (CSIRO and of Meteorology, 2020, Deb et al. (2020)). Contributing to the problem is that dry lightning represents the main source of natural ignition, and fires that start in remote areas deep in the temperate forests are difficult to access and monitor (Abram et al., 2021). Therefore, opportunities to detect fire ignitions, monitor bushfire spread, and understand movement patterns in remote areas are important for developing effective strategies to mitigate bushfire impact.

Remote satellite data provides a potential solution to the challenge of active fire detection and monitoring, and the Himawari-8 satellite represents a significant improvement in the technology by which this can be done. Launched in 2015 by the Japan Meteorological Agency, its 10-minute temporal resolution enables almost real-time monitoring of fires across East Asia and Australia. For this reason, development of algorithms to process pixels of its satellite imagery into hotspots – i.e. pixels that represent likely fires – is an active area of research (see for example Xu and Zhong (2017), Wickramasinghe et al. (2016), Jang et al. (2019)). We make use of the Japan Aerospace Exploration Agency (JAXA) wildfire product (P-Tree System, 2020) that identifies the location and fire radiative power (FRP) of hotspots according to an algorithm developed by Kurihara et al. (2020).

Detection of bushfire ignition and movement requires the clustering of satellite hotspots into meaningful clusters, which may then be considered in their entirety or summarized by a trajectory. In this paper, we propose a spatiotemporal clustering algorithm to represent bushfires as clusters of hotspot pixels in order to (1) determine points of bushfire ignition and (2) track their movement over space and time. Inspired by two existing clustering algorithms, namely Density Based Spatial Clustering of Applications with Noise (DBSCAN) (Ester et al., 1996) and Fire Spread Reconstruction (FSR) (Loboda and Csiszar, 2007), our algorithm extends the functionality of DBSCAN's spatial clustering parameters to the additional temporal dimension, while drawing upon the fire movement dynamics presented in FSR and generalizing its specification of spatiotemporal parameters, thereby providing an intuitive, straightforward, and extendable approach to the complex problem of bushfire identification and monitoring. In clustering hotspots into bushfires of arbitrary shape and size, we capture key bushfire behavior: fire evolution occurs only forwards in time; fires can smolder undetectably for awhile, burn out, and merge with other bushfires; and solitary pixels that may not represent true fires should not be represented as a bushfire cluster.

The core functionality of this spatiotemporal clustering algorithm determines whether a hotspot represents a new ignition point or a continuation of an existing bushfire by comparing and combining cluster membership information via incremental updates from one time frame to the next. Our algorithm first slices the hotspot data by its temporal dimension according to a user-defined time step. This thereby divides the overall spatiotemporal clustering task into many smaller spatial clustering tasks that may be completed in parallel, where each frame can be considered a static snapshot in time. Within each time frame, hotspots that fall within the threshold of a user-defined spatial metric of each other are joined in a cluster. Then, proceeding sequentially, we identify whether or not a hotspot was observed in the previous time frame. If so, it retains its cluster membership from the previous time frame; if not, the hotspot adopts the membership of the nearest hotspot with which it has been clustered. If no such neighbor exists, a hotspot represents the start of a new fire. It is important to note that each hotspot does not necessarily represent an individual, so similar to DBSCAN's identification

of noise, those clusters that does not pass the threshold of a minimum number of hotspots or exist for a minimum amount of time are labeled noise.

As emphasized by Kisilevich et al. (2009), the selection of spatial resolution and time granularity – and relevance of domain knowledge in their choice – are imperative to the understanding and interpretation of resulting clusters. The incorrect choice for either can be highly influential to the shape and number of clusters discovered, and in the case of satellite hotspot data, will depend on the spatial resolution and temporal frequency at which images are captured. Therefore, we present a visualization heuristic for parameter tuning that enables selection of near-optimal values of the parameters, irrespective of the exact data source.

Finally, we implement this algorithm in R package **spotoroo**: Spatiotemporal Clustering of Satellite Hot Spot Data, available on CRAN. By enabling the user to cluster satellite hotspot data across space and time, this software provides the ability to relate findings to key factors in bushfire ignition and patterns in their spread (e.g. weather and fuel sources).

This paper is organised as follows. The next section provides an introduction to the literature on spatiotemporal clustering and bushfire modeling and dynamics. Section Algorithm describes the clustering algorithm, and Package discussed its implementation in **spotoroo** on CRAN. Application illustrates how the resulting data can be used to study bushfire ignition.

Background

Spatiotemporal clustering

Han et al. (2012) identify five categories of clustering algorithms: partitioning methods, hierarchical methods, density-based methods, grid-based methods, and model-based methods. Clustering of hotspot data lends itself nicely to density-based methods, which allow for the identification of clusters of various shapes and sizes, without requiring that the user pre-specify number of clusters – these are two limitations of partitioning and hierarchical methods. We therefore focus on a review of density-based methods and refer the reader to Han et al. (2012) for algorithms in other categories and Kisilevich et al. (2009) for appropriate extensions to spatiotemporal data. (*Note here why grid-based methods would not be good for resolution of hotspot data.*)

Density-based methods separate regions constituting a high density of points separated by low-density regions by identifying pairwise distances between points, and then requiring that a threshold for (Han et al., 2012). Density Based Spatial Clustering of Applications with Noise (DBSCAN) (Ester et al., 1996) is an influential implementation of this methodology developed in 1996 designed to address three challenges of clustering algorithms: (1) requirements of domain knowledge to determine the hyperparameters, (2) arbitrary shape of clusters and (3) computational efficiency. DBSCAN defines a maximum radius ϵ to construct a neighborhood around each point. It distinguishes between a core point, for which the number of points that fall in its neighborhood meets a minimum threshold, and a boundary point, whose neighborhood does not meet this threshold, but can be reached via overlapping neighborhoods from that of a core point. Intersecting neighborhoods are defined to be a cluster, while points that cannot be assigned to a cluster are identified as noise. DBSCAN also provides a heuristic to inform selection of (*Note: Only mention DBSCAN's computational complexity for comparison if we have way to measure ours.*)

What is often identified as a limitation of DBSCAN – its inability to differentiate between clusters of different densities and those adjacent to each other (Birant and Kut, 2007) – is of less concern for the application to satellite data, which by nature is a set of points corresponding to the equidistant center of pixels on grid of latitudes and longtitudes. However, its application to spatiotemporal clustering problems, which contain at least three dimensions – spatial location (e.g. latitude and longitude) and time – require specification of temporal granularity and treatment of temporal similarity (Kisilevich et al., 2009). As such, several extensions to DBSCAN's spatial clustering functionality have been proposed for spatiotemporal clustering solutions.

ST-DBSCAN (Birant and Kut, 2007) was developed as an extension of DBSCAN's functionality to cluster points according to their non-spatial, spatial, and temporal attributes, and simultaneously address two of DBSCAN's limitations regarding identification of clusters of varying densities and differentiation of adjacent clusters. Therefore, in addition to DBSCAN's original metric to capture the spatial distance between two objects, ST-DBSCAN introduces a second metric that considers similarity of variables associated with temporal neighbors; that is, points observed in consecutive time steps.

More similar in their goals are (still to write up): Incremental DBSCAN (Ester et al., 1998), Discovering Moving Clusters in Spatiotemporal Data (Kalnis et al., 2005)

Bushfire dynamics (clustering in literature)

Kisilevich et al. (2009) notes the importance of domain expertise in selection of parameters; for this reason, we also consider literature on bushfire dynamics (*TBD*) and satellite hotspot clustering for wildfire monitoring.

Fire Spread Reconstruction (FSR) (Loboda and Csiszar, 2007) was developed to identify fire spread in the Russian boreal forest based on active fire detections from MODIS (Moderate Resolution Imaging Spectroradiometer), which has a temporal frequency of six hours. The algorithm proposed by the authors constructs a tree based on three rules: (1) the earliest observed hotspot is the root of the tree, (2) any node is within a 2.5km radius from its parent and (3) any node is observed no later than four days from its parent. When the tree is closed and there are still unassigned hotspots, the algorithm continues at the earliest unassigned hotspot to construct a new tree. Finally, each tree is a cluster, and the earliest hotspot is defined as the ignition point.

FSR's selection of parameters is specific to the region and data product used, and is therefore not immediately generalizable to other sources of satellite hotspot data. By implementation, each fire has a maximum length of four days (*is this true? implementation of sliding temporal window, if any, was not clear to me*), which does not accurately represent what has been known to occur in Australia, where the (OCTOBER FIRE NAME) burned for months (citation). Additionally, due to its sequential construction of fires, two that may start in different locations but result in overlapping coverage are considered to be a single fire by the time they intersect. As a result, coverage of each fire may increase dramatically in a short time period, which does not accurately reflect the natural speed of a bushfire. (*let's discuss this*)

(Also (Hermawati and Sitanggang, 2016) to show that DBSCAN has been used for fire clustering?)

Algorithm

The spatiotemporal clustering algorithm is consist of 4 steps, (1) divide hotspots into intervals, (2) cluster hotspots spatially, (3) update the memberships and (4) handle noise. These four steps will be described in details in the rest of the section.

1. Divide hotspots into intervals

One of the characteristics of the hotspot data is earlier hotspots are most likely to be the source of the later hotspots nearby. For this reason, we need to treat the temporal dimension specially. Our method is to define a sequence of intervals in which only spatially relationship between hotspots will be considered. The final clustering result is produced by letting later intervals to adopt information from the earlier intervals.

Another characteristic of the hotspot data is cloud cover could lead to missing observations of a bushfire in several hours. As a result, hotspots with a long interval may preserve direct association. To overcome this problem, we define a integer parameter *activeTime* to predetermine the maximum time a fire can stay smouldering but undetectable by satellite before flaring up again.

Thus, the interval s_t is defined by the following formula:

$$S_t = [max(1, t - activeTime), t], t = 1, 2, ..., T$$

where max(.) is the maximum function, t is the time index and T is the integer length of the time frame

For example, if the data set contains 48 hours of hotspot data and the *activeTime* = 24 *hours*, there will be 48 intervals defined by the algorithm, S_1 , S_2 , ..., S_{48} , where

$$S_1 = [1, 1]$$
 $S_2 = [1, 2]$
...
 $S_{25} = [1, 25]$
 $S_{26} = [2, 26]$
...
 $S_{47} = [23, 47]$
 $S_{48} = [24, 48]$

2. Cluster hotspots spatially

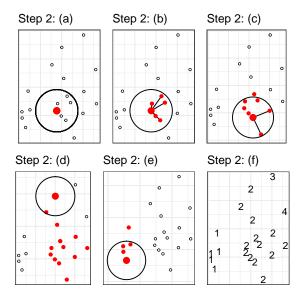


Figure 1: An example of step 2 given 20 hotspots in interval S_t . (a) A hotspot is selected randomly as the first item of list L and the pointer P. Hotspots in list L are in red. Pointer P is drawn with larger marker size. (b) Nearby hotspots of the pointer P are appended to the list L. (c) Move pointer P to the next item of list L and append the nearby hotspots to list L. (d) The first cluster is identified via repeating substep (c). (e) Clear the list L, then randomly select an unassigned hotspot to identify another cluster. (f) The final clustering result is produced via repeating substep (d). The labels show the cluster each hotspot belongs to.

The previous step drops the temporal dimension. Hence, the following step only needs to address the hotspots spatially by introducing another parameter adjDist. adjDist represents the potential distance a fire can spread with respect to the temporal resolution of the data. For example, let AdjDist = 3000m and the temporal resolution of the data is 10-minute, then the potential speed of the bushfire is $3000m/10 \ min = 18km/h$.

Given a fixed value of AdjDist and the interval S_t , the algorithm will,

- (a) Append a randomly selected hotspot h_i to a empty list L, where h_i is the ith hotspot in the interval S_t , and let pointer P points to the first element of the list L.
- (b) Visit every h_i where $h_i \notin L$. If $geodesic(h_i, P) \leq AdjDist$, append h_i to list L.
- (c) Move pointer P to the next item of the list L.
- (d) Repeat (b) and (c) till the pointer *P* reaches to the end of the list *L*.
- (e) For all hotspots $h_i \in L$, assign a new membership to them. Pop these hotspots from the interval S_t . Repeat (a) to (e) if interval S_t is not empty.
- (f) Recover the interval S_t and record the memberships.

Figure 1 gives an concise example of this step.

3. Update the memberships

With clustering results for each interval, the next step is to update the memberships by bringing in information from earlier intervals.

This step starts from t = 2 till t = T. Given the interval S_t , the algorithm will,

- (a) Let h_i carries over from its membership in S_{t-1} , if h_i belongs to S_{t-1} , where h_i is the ith hotspot in the interval S_t . These hotspots are collected by a set $H_s = \{h_s^1, h_s^2, ...\}$.
- (b) Let $H_c = \{h_c^1, h_c^2, ...\}$, where h_c^i is the ith hotspot in set H_c and h_c^i belongs to S_t but does not belong to S_{t-1} . If h_c^i being clustered into the same component with h_s^i in interval S_t , let h_c^i carries over from the membership of the nearest h_s^j , where h_s^j is the jth hotspot in set H_s .

Figure 2 gives an example of this step.

4. Handle noise

After performing step 3, all membership labels will be produced. However, a noticeable amount of small clusters could exist. We provide a noise filter in the last step to address this issue.

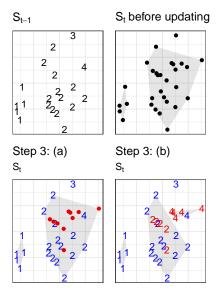


Figure 2: An example of step 3. In this example, there are 30 hotspots belong to interval S_t . (a) 20 out of 30 hotspots belong to both interval S_t and interval S_{t-1} . Let these hotspots carry over from their memberships in S_{t-1} . They are annotated in blue with membership labels. Points in red are the rest 10 hotspots that only belong to interval S_t . (b) For each red point, let it carry over from the membership of the nearest blue label which shares the same component (according to the spatial clustering result of this interval) in interval S_t .

Parameter minPts is the minimum number of hotspots a cluster contains and parameter minTime is the minimum time a cluster lasts. Any cluster that doesn't satisfy this two conditions will be assigned with membership -1 to indicate noise.

Result

The result of the spatiotemporal clustering algorithm applied on the hotspot data is a vector of memberships with length equals to the number of observations in the data.

Package

In this section, we will describe the implementation of the algorithm in the R package **spotoroo**. You can install the released version from CRAN.

```
install.packages("spotoroo")
```

The following demonstration will assume the package spotoroo has been loaded.

library(spotoroo)

Clustering Analysis

The main function of this package is hotspot_cluster(), which can be used to perform the spatiotemporal clustering algorithm on the satellite hotspot data.

In this function, three different kinds of arguments need to be specified. Arguments hotspots, lon, lat and obsTime are used to specify the data set and its relevant columns. Arguments activeTime, adjDist, minPts and minTime have already been defined in the Algorithm section. Besides, arguments timeUnit and timestep are used to convert observed time to discrete time index.

```
activeTime = 24,
adjDist = 3000,
minPts = 4,
minTime = 3,
timeUnit = "h",
timeStep = 1)
```

The output of this function is a **spotoroo** object, which is actually a list contains a data.frame called hotspots, a data.frame called ignition and a list called setting.

result

```
#> i spotoroo object: 6 clusters | 1070 hot spots (including noise points)
```

The hotspots data set contains information of each hotspot. Particularly, the membership column is the membership.

head(result\$hotspots, 2)

The ignition data set contains information of each cluster. The lon and lat are the coordinate information of the ignition points, which are the centroids of the earliest observed hotspots of each cluster.

head(result\$ignition, 2)

Use the generic function summary to get a brief summary of the clustering result.

summary(result)

```
#> CLUSTERS: ALL
#> OBSERVATIONS: 1070
#> FROM: 2019-12-29 13:10:00
       2020-02-07 22:50:00
#> TO:
                              Mean
#>
                                       3rd Qu.
        Min. 1st Qu.
                                                    Max.
#>
        111.0
                              176.7
                                       233.2
                                                   256.0
                  131.0
                                       3rd Qu.
                              Mean
#>
        Min.
                1st Qu.
                                                    Max.
                                        146.1
#>
        111.2
                  118.2
                            131.9
                                                  148.3
#>
         Min.
                1st Qu.
                              Mean
                                      3rd Qu.
                                                    Max.
                 2840.3
#>
          0.0
                             5058.2
                                       6981.6
                                                  13452.7
#>
         Min.
                 1st Qu.
                             Mean
                                       3rd Qu.
                                                   Max.
#>
          0.0
                   25.2
                              62.5
                                       98.2
                                                   148.3
```

Extract a subset of clusters

To keep all information from the clustering result including noise points, use extract_fire(). It will convert a spotoroo object to a data.frame.

```
all_fires <- extract_fire(result, noise = TRUE)
```

By providing a vector to the argument cluster, the function will extract the corresponding clusters from the clustering result.

```
fire_1_and_2 <- extract_fire(result, cluster = c(1, 2), noise = FALSE)</pre>
```

Visualize the clustering result

The **spotoroo** provides three type of plots.

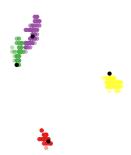
The "def" plot produces a scatter plot of the clusters and their ignition locations. It indicates the spatial distribution of the fires.

plot(result, type = "def")

Overview of Fires and Ignition Locations

Fires Selected: 6 From: 2019–12–29 13:10:00 To: 2020–02–07 22:50:00



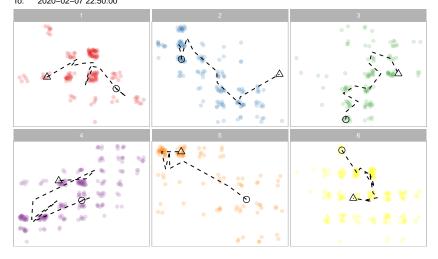


The "mov" plot produces paths of the movement of the centroid of the clusters. The argument step controls the time difference between each successive step. The movement can be obtained from the get_fire_mov() function.

plot(result, type = "mov", step = 6)

Fire Movement (Δ : Start | O: End)

Fires Selected: 6 From: 2019–12–29 13:10:00 To: 2020–02–07 22:50:00



The "timeline" plot produces a timeline for the observed hotpots. It is useful in inspecting the bushfire season.

plot(result, type = "timeline")

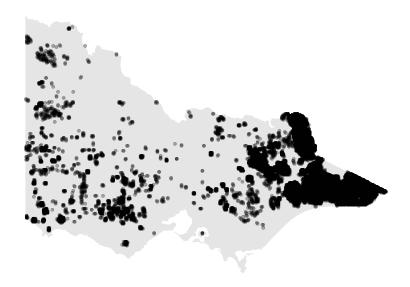
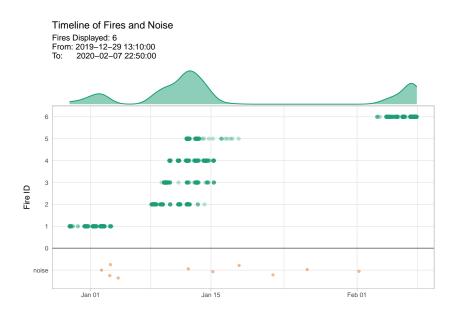


Figure 3: The distribution of hotspots in Victoria during 2019-2020 Australia bushfire season.



Application

Data source

The illustration will use the wild fire product (produced from Himawari-8) supplied by the P-Tree System, Japan Aerospace Exploration Agency (JAXA) (2020) as the data source. This wild fire product will be referred as the hotspot data in this paper. It contains records of 1989572 hotspots from October 2019 to March 2020 in the full disk of 140 $^{\circ}$ east longitude with 0.02 $^{\circ}$ spatial resolution and 10 minutes temporal resolution.

The data pre-processing procedure includes selecting hotspots within the boundary of Victoria and filtering hotspots with a threshold (irradiance over 100 watts per square metre) suggested by landscape ecologist and spatial scientist Dr. Grant Williamson (2020) to reduce noise from the background.

The final hotspot data set contains 75936 observations with ID, longitude, latitude and observed date as fields. The overall distribution of these hotspots is shown in Figure 3.



Figure 4: The distribution of bushfire ignitions in Victoria during 2019-2020 Australian bushfire season.

Clustering the Himawari-8 hotspots

The package can be installed from CRAN.

install.packages("spotoroo")

To perform the clustering algorithm on the Himawari-8 hotspot data, using

Determining the ignition point and time for individual fires

Based on the clustering result, ignition location for each cluster can be computed. The strategy is to select the earliest hotspot of a cluster as its ignition point. Besides, if there are multiple earliest hotspots belong to the same cluster, the centroid of these hotspots is used as the ignition location. According to this method, ignition points over 6 months are given in Figure 4 and Figure 5.

Tracking fire movement

Display showing how a fire moves over time, maybe two or more fires

Allocating resources for future fire prevention

Merging data with camp sites, CFA, roads, ...

Effects of parameter choices

There are two parameters that being introduced in the outline of the algorithm, which are *AdjDist* and *ActiveTime*. The optimal choice of these two parameters is not known but can be tuned using a visualization tool.

Considering the relationships between AdjDist, ActiveTime and the number of clusters in the clustering result, increase either AdjDist or ActiveTime will usually reduce the number of clusters. However, if there are large gaps between clusters spatially and temporally, increase these two parameters will not significantly reduce the number of clusters. Given one of the metrics to evaluate the goodness of the clustering result is the gap between clusters, the optimal choice of AdjDist and ActiveTime can be chosen when they have minimum impact on the number of clusters. However, under this setting, the optimal ActiveTime and AdjDist will approach to infinitely as the number of clusters approach to 1. Hence, a restriction needs to be applied on this optimization. Increase of ActiveTime and AdjDist will only be allowed when there is a major fall of the number of clusters.

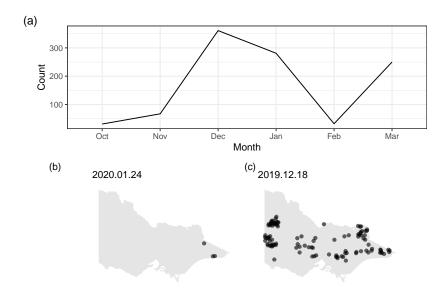


Figure 5: (a) Number of bushfires ignited from October 2019 to March 2020. (b) The distribution of the bushfire ignitions on a light day (c) and a heavy day. There are 3 ignitions on January 24, 2020 and 106 ignitions on December 18, 2019.

Based on this rule, a visualization tool inspired by the scree plot used in the principal component analysis is developed. Similar to the scree plot, users need to determine the *ActiveTime* and *AdjDist* to capture most of the decrease of the number of clusters. Figure 6 and 7 show the parameter tuning process by using this visualization tool. The final choice of *ActiveTime* is 24 hours and *AdjDist* is 3000 metres.

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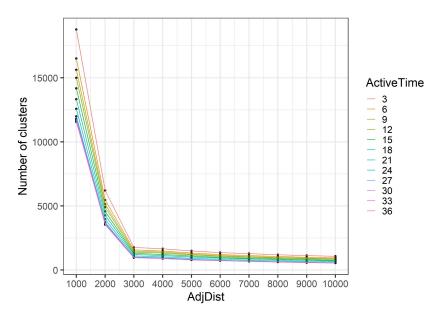


Figure 6: A visualization tool for parameter tuning . It works like a scree plot. Major falls of the number of clusters are observed when AdjDist < 3000 so the reasonable choice of AdjDist is 3000m.

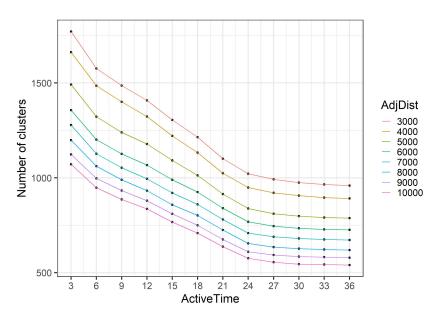


Figure 7: Major falls of the number of clusteres are observed when *ActiveTime* < 24, so the reasonable choice of *ActiveTime* is 24 hours.

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Weihao Li Monash University Econometrics and Business Statistics

weihao.li@monash.edu

Emily Dodwell ?? line 1 line 2

emdodwell@gmail.com

Dianne Cook Monash University Econometrics and Business Statistics

dicook@monash.edu