

## Energy lev's in 1-dim

Sequence ①

Starting from

$$H \psi_n = E \psi_n$$

and converting that to Schrödinger's eq.:

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi_n}{dx^2} = E_n \psi_n$$

The B.C. of such potential well case insists that

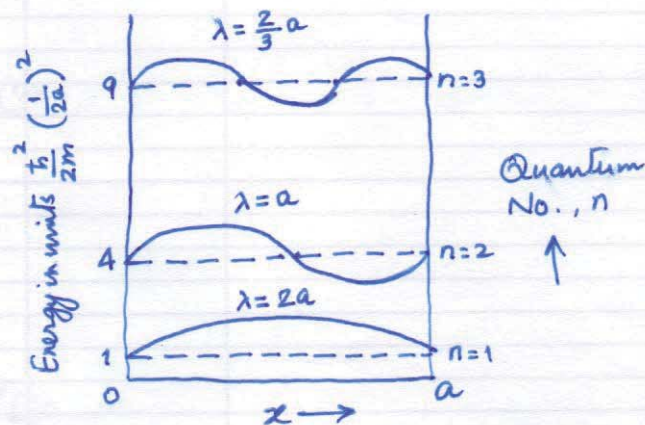
$$\psi_n(0) = 0 = \psi_n(a)$$

This is satisfied only if

$\psi$  is a sinusoidal fr. with

an integral multiple of  $\lambda/2$  in the extent of  $a$ , i.e.,

$$a = n \frac{\lambda_n}{2} \quad \& \quad \psi_n = A \sin\left(\frac{2\pi}{\lambda_n} x\right)$$



From this form of  $\psi_n = A \sin\left(\frac{n\pi}{a}x\right)$  by substituting  $\frac{1}{2} = \frac{a}{n}$

$$\frac{d\psi_n}{dx} = A\left(\frac{n\pi}{a}\right) \cos\left(\frac{n\pi}{a}x\right)$$

$$\frac{d^2\psi_n}{dx^2} = -A\left(\frac{n\pi}{a}\right)^2 \sin\left(\frac{n\pi}{a}x\right) = -\left(\frac{n\pi}{a}\right)^2 \psi_n$$

From the Schrodinger's eq.:

$$-\frac{\hbar^2}{2m} \frac{d^2\psi_n}{dx^2} = E_n \psi_n$$

$$\Rightarrow -\frac{\hbar^2}{2m} -\left(\frac{n\pi}{a}\right)^2 \psi_n = E_n \psi_n$$

$$\Rightarrow E_n = \frac{\hbar^2}{2m} \left(\frac{n\pi}{a}\right)^2$$

Considering a 3-dim cubic cell,  
Schrödinger's eqn. becomes

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_k(\vec{r}) = E_k \psi_k(\vec{r})$$

$$\Rightarrow -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \psi_k(\vec{r}) = E_k \psi_k(\vec{r})$$

where  $\psi_n(\vec{r}) = A \sin\left(\frac{\pi n_x}{a} x\right) \sin\left(\frac{\pi n_y}{a} y\right) \sin\left(\frac{\pi n_z}{a} z\right)$

where  $n_x, n_y, n_z$  are integers, +ve

B.C. are

$$\psi(x+a, y, z) = \psi(x, y, z)$$

$$\psi(x, y+a, z) = \psi(x, y, z)$$

$$\psi(x, y, z+a) = \psi(x, y, z)$$

And the 3-D wavef.<sup>n</sup> takes the form

$$\psi_k(\vec{r}) = e^{i\vec{k} \cdot \vec{r}}$$

where,

$$k_x = 0, \pm \frac{2\pi}{a}, \pm \frac{4\pi}{a}, \dots$$

$$k_y = 0, \pm \frac{2\pi}{a}, \pm \frac{4\pi}{a}, \dots$$

$$k_z = \dots$$

meaning any component of  $\vec{k}$  is of the form  $\frac{2n\pi}{a}$  where  
 $n$  is +ve or -ve

Let's check if it satisfies the BC

$$\psi(x+a, y, z) = \psi(x, y, z)$$

$$\psi_k(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} = e^{i(k_x x + k_y y + k_z z)}$$

$\Rightarrow e^{i[k_z(x+a)]}$  has to be  $e^{ik_z x}$

$$\begin{aligned}\text{Now, } e^{i[k_z(x+a)]} &= e^{i \frac{2n\pi}{a}(x+a)} \\ &= e^{i \frac{2n\pi}{a} x} e^{i \frac{2n\pi}{a} a} \\ &= e^{i \frac{2n\pi}{a} x} e^{i 2n\pi} \\ &= e^{i \frac{2n\pi}{a} x} \\ &= e^{ik_z x}\end{aligned}$$

On substituting

$$\psi_k(\vec{r}) = e^{i\vec{k} \cdot \vec{r}}$$

in the Schrödinger eq<sup>n</sup>, we get

$$E_k = \frac{\hbar^2}{2m} k^2 = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$$

From the eqn we have

$$k_x = \frac{2\pi n_x}{a}$$

$$k_y = \frac{2\pi n_y}{a}$$

$$k_z = \frac{2\pi n_z}{a}$$

where  $n_x, n_y, n_z$  are integers.

Since there is one  $\vec{k}$ -state for every distinct choice of integer quantum numbers,  $n_x, n_y, n_z$ , the volume per  $\vec{k}$  state would be

$$\frac{2\pi}{a} \times \frac{2\pi}{a} \times \frac{2\pi}{a} = \frac{(2\pi)^3}{a^3} = \frac{(2\pi)^3}{V}$$

where  $V = a^3$  is the 3-D vol. of the crystal of side  $a$ .



$\Rightarrow$  The number of <sup>levels/</sup> states for 3-D in a  $\vec{k}$ -space of  $\Delta \vec{k}$  elemental width

$$= \frac{a^3}{(2\pi)^3} \Delta \vec{k}$$

From Pauli Exclusion Principle each such level may be occupied by 2 electrons of opposite spins, hence # states

$$= \left( \frac{a^3}{(2\pi)^3} \Delta \vec{k} \right) \times (2) \text{ spin}$$

$\Rightarrow$  The number of states per unit vol. in 3-D

$$= \frac{2}{(2\pi)^3} (\Delta \vec{k})$$

In general, for  $p$ -dimension, the generalized expression is

$$\text{Number of states per unit vol.} = \frac{2}{(2\pi)^p} (\Delta \vec{k})$$

Here we are mainly interested in energy states so we need to transform from  $\vec{k}$ -space to  $E$ -space and for that the following relation comes handy that:

$$E(\vec{k}) = \frac{\hbar^2 k^2}{2m^*}$$

$$\text{Energy} = \frac{p^2}{2m} = \frac{1}{2} m v^2$$

$$p \text{ is } \hbar k \Rightarrow E = \frac{\hbar^2 k^2}{2m}$$

and we can express

$$N(E) dE = \frac{2}{(2\pi)^p} (\Delta \vec{k})$$

To find it out we need to calculate  $\Delta \vec{k}$  in 1, 2, 3-D space in terms of  $E$ -space.



From the relation for p-dimensional # states per unit vol.  $= \frac{2}{(2\pi)^p} (\Delta \vec{k})$

In 3-D case

$\Delta \vec{k}$  (The 3-D infinitesimal vol. element)  
is in fact vol. of a spherical shell

$$\Rightarrow \Delta \vec{k} = 4\pi k^2 dk \quad (\text{ref. fig IV-1a-c})$$

In 2D-case

$$\Delta \vec{k} = (2\pi k) dk \quad \text{area element of ring of radii } k \text{ and } k+dk$$

and in 1-D case (The line element having +ve and -ve sides on the line)

$$\Delta \vec{k} = 2dk$$

Hence from  $E(\vec{k}) = \frac{\hbar^2 k^2}{2m^*}$

$$\Rightarrow k = \sqrt{\frac{2m^*E}{\hbar^2}}$$

and therefore

$$dk = \sqrt{\frac{m^*}{2}} \frac{1}{\hbar} \frac{1}{\sqrt{E}} dE$$