



Stage 2. Relocate resources and retire AFF A700 node1

AFF and FAS Controller Upgrade

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Stage 2. Relocate resources and retire AFF A700 node1

Relocate non-root aggregates and NAS data LIFs owned by node1 to node2

Before you can replace node1 with the AFF A900 controller module and NVS, you must move the non-root aggregates and NAS data LIFs from node1 to node2 before eventually restoring node1's resources back on node1 running on the AFF A900 system. This process is largely automated; the operation pauses to allow you to check its status.

Before you begin

The operation should already be paused when you begin the task; you must manually resume the operation.

About this task

Remote LIFs handle traffic to SAN LUNs during the upgrade procedure. You are not required to move SAN LIFs for cluster or service health during the upgrade. You must verify that the LIFs are healthy and located on appropriate ports after you bring node1 online as AFF A900.



The home owner for the aggregates and LIFs are not modified; only the current owner is modified.

Steps

1. Resume the aggregate relocation and NAS data LIF move operations:

```
system controller replace resume
```

All the non-root aggregates and NAS data LIFs are migrated from node1 to node2.

The operation pauses to allow you to verify whether all node1 non-root aggregates and non-SAN data LIFs have been migrated to node2.

2. Check the status of the aggregate relocation and NAS data LIF move operations:

```
system controller replace show-details
```

3. With the operation still paused, verify that all the non-root aggregates are online for their state on node2:

```
storage aggregate show -node <node2> -state online -root false
```

The following example shows that the non-root aggregates on node2 are online:

```
cluster::> storage aggregate show -node node2 state online -root false
```

| Aggregate | Size | Available | Used% | State | #Vols | Nodes | RAID Status |
|----------------|---------|-----------|-------|--------|-------|-------|-------------|
| ----- | ----- | ----- | ----- | ----- | ----- | ----- | |
| aggr_1 | 744.9GB | 744.8GB | 0% | online | 5 | node2 | |
| raid_dp,normal | | | | | | | |
| aggr_2 | 825.0GB | 825.0GB | 0% | online | 1 | node2 | |
| raid_dp,normal | | | | | | | |

2 entries were displayed.

If the aggregates have gone offline or become foreign on node2, bring them online by using the following command on node2, once for each aggregate:

```
storage aggregate online -aggregate <aggr_name>
```

4. Verify that all the volumes are online on node2 by using the following command on node2 and examining its output:

```
volume show -node <node2> -state offline
```

If any volumes are offline on node2, bring them online by using the following command on node2, once for each volume:

```
volume online -vserver <vserver_name> -volume <volume_name>
```

The <vserver_name> to use with this command is found in the output of the previous `volume show` command.

5. If any LIFs are down, set the administrative status of the LIFs to up by using the following command, once for each LIF:

```
network interface modify -vserver <vserver_name> -lif <LIF_name> -home-node <nodename> - status-admin up
```

Relocate failed or vetoed aggregates

If any aggregates fail to relocate or are vetoed, you must manually relocate the aggregates, or if necessary, override either the vetoes or destination checks.

About this task

The relocation operation will have paused due to the error.

Steps

1. Check the event management system (EMS) logs to determine why the aggregate failed to relocate or was vetoed.
2. Relocate any failed or vetoed aggregates:

```
storage aggregate relocation start -node <node1> -destination <node2>
aggregate-list <aggr_name> -ndo-controller-upgrade true
```

3. When prompted, enter `y`.
4. You can force relocation by using one of the following methods:

| Option | Description |
|-------------------------------|--|
| Overriding veto checks | Use the following command: storage aggregate relocation start -node node1 -destination node2 -aggregate-list <aggr_list> -ndo -controller-upgrade true -override-vetoes true |
| Overriding destination checks | Use the following command: storage aggregate relocation start -node node1 -destination node2 -aggregate-list <aggr_list> -ndo -controller-upgrade true -override-vetoes true -override-destination-checks true |

Retire node1

To retire node1, resume the automated operation to disable the HA pair with node2 and shut down node1 correctly. You must later remove the AFF A700 controller module and NVS from the node1 chassis and then install the AFF A900 NVS and controller module on node1.

Steps

1. Resume the operation:

```
system controller replace resume
```

2. Verify that node1 has been halted:

```
system controller replace show-details
```

After node1 has completely halted, node1 should be at the `LOADER>` prompt. To see the `LOADER>` prompt, connect to the serial console of node1.

Remove the AFF A700 controller module and NVS

At this stage, node1 is down and all data is served by node2. Because node1 and node2 are in the same chassis and powered by the same set of power supplies, do NOT power off the chassis. You must take care to remove only the node1 controller module and the node1 NVS. Typically, node1 is controller A located on the left side of the chassis when looking at the controllers from the rear of the system. The controller label is located on the chassis directly above the controller module.

Before you begin

If you are not already grounded, properly ground yourself.

Remove the AFF A700 controller module

Use the following procedure to remove the AFF A700 controller module.

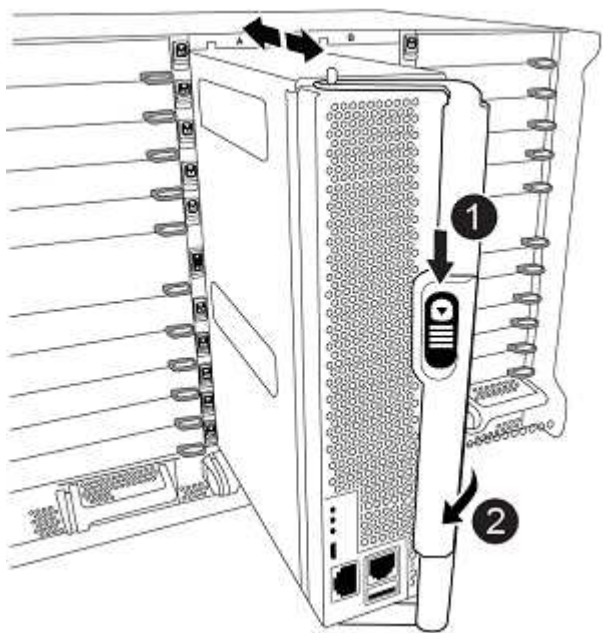
Steps

- 1. Detach the console cable, if any, and the management cable from the node1 controller module before removing the controller module from node1.



When you are working on node1, you only remove the console and e0M cables from node1. You must not remove or change any other cables or connections on either node1 or node2 during this process.

- 2. Unlock and remove the controller module A from the chassis.
 - a. Slide the orange button on the cam handle downward until it unlocks.



| | |
|---|---------------------------|
| 1 | Cam handle release button |
| 2 | Cam handle |

- b. Rotate the cam handle so that it completely disengages the controller module from the chassis, and then slide the controller module out of the chassis.

Make sure that you support the bottom of the controller module as you slide it out of the chassis.

Remove the AFF A700 NVS module

Use the following procedure to remove the AFF A700 NVS module.



The AFF A700 NVS module is in slot 6 and is double the height compared to the other modules in the system.

Steps

1. Unlock and remove the NVS from slot 6 of node1.

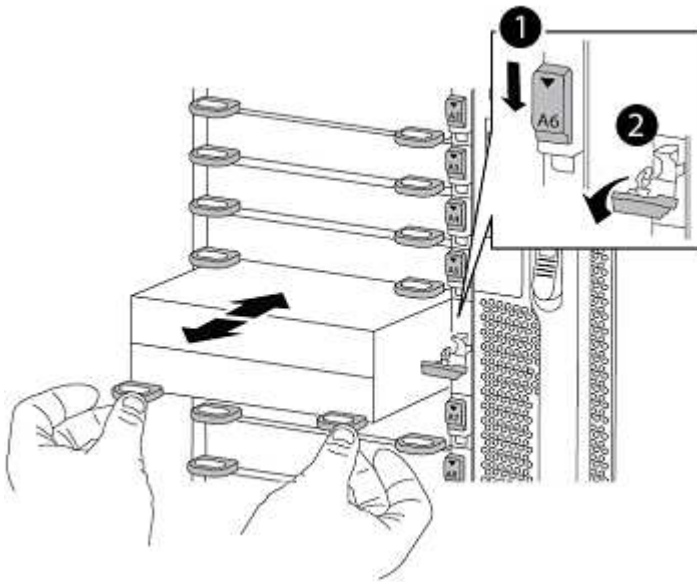
- a. Depress the lettered and numbered cam button.

The cam button moves away from the chassis.

- b. Rotate the cam latch down until it is in a horizontal position.

The NVS disengages from the chassis and moves a few inches.

- c. Remove the NVS from the chassis by pulling on the pull tabs on the sides of the module face.



| | |
|---|-------------------------------------|
| 1 | Lettered and numbered I/O cam latch |
| 2 | I/O latch completely unlocked |

2. If you are using any add-on modules as coredump devices on the AFF A700 NVS, do NOT transfer them to the AFF A900 NVS.

Install the AFF A900 NVS and controller module on node1

You must install the AFF A900 NVS and controller module that you received for the upgrade on node1. Do NOT move the coredump devices from the AFF A700 NVS module to the AFF A900 NVS module.

Before you begin

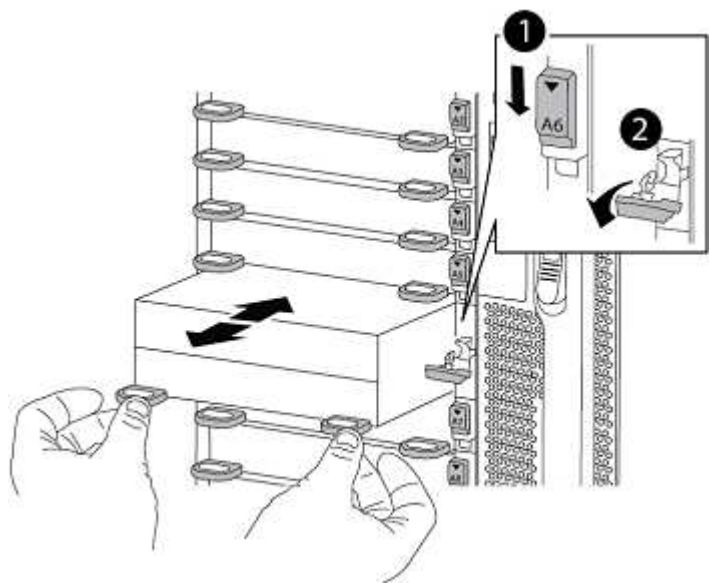
If you are not already grounded, properly ground yourself.

Install the AFF A900 NVS

Use the following procedure to install the AFF A900 NVS in slot 6 of node1.

Steps

- 1. Align the NVS with the edges of the chassis opening in slot 6.
- 2. Gently slide the NVS into the slot until the lettered and numbered I/O cam latch begins to engage with the I/O cam pin, and then push the I/O cam latch all the way up to lock the NVS in place.



| | |
|---|-------------------------------------|
| 1 | Lettered and numbered I/O cam latch |
| 2 | I/O latch completely unlocked |

Install the AFF A900 controller module on node1.

Use the following procedure to install the AFF A900 controller module in node1.

Steps

- 1. Align the end of the controller module with the opening A in the chassis, and then gently push the controller module halfway into the system.



Do not completely insert the controller module in the chassis until instructed to do so.

- 2. Cable the management and console ports to the node1 controller module.



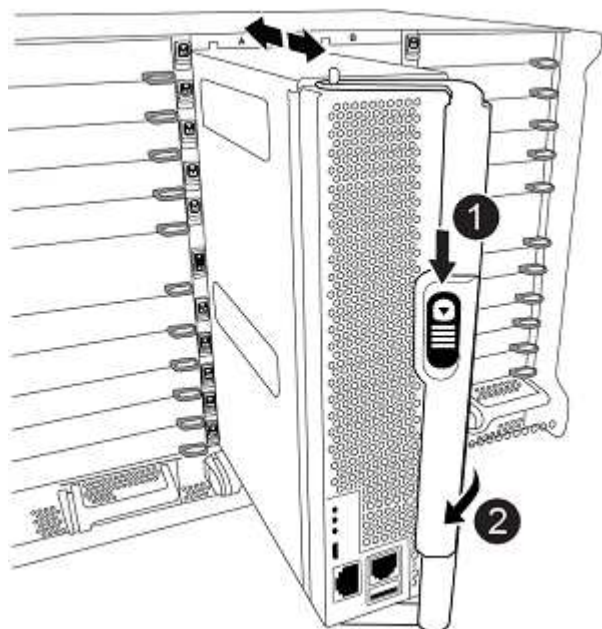
Because the chassis is already powered ON, node1 starts BIOS initialization followed by autoboot as soon as it is fully seated. To interrupt the node1 boot, before completely inserting controller module into the slot, it is recommended to connect the serial console and management cables to the node1 controller module.

- 3. Firmly push the controller module into the chassis until it meets the midplane and is fully seated.

The locking latch rises when the controller module is fully seated.



Do not use excessive force when sliding the controller module into the chassis to avoid damaging the connectors.



| | |
|---|-------------------------------------|
| 1 | Cam handle locking latch |
| 2 | Cam handle in the unlocked position |

4. Connect the serial console as soon as the module is seated and be ready to interrupt AUTOBOOT of node1.
5. After you interrupt AUTOBOOT, node1 stops at the LOADER prompt. If you do not interrupt AUTOBOOT on time and node1 starts booting, wait for the prompt to press **Ctrl-C** to go into the boot menu. After the node stops at the boot menu, use option 8 to reboot the node and interrupt the AUTOBOOT during reboot.
6. At the LOADER> prompt of node1, set the default environment variables:

```
set-defaults
```

7. Save the default environment variables settings:

```
saveenv
```

Netboot node1

After swapping the corresponding AFF A900 node1 controller module and NVS, you must netboot node1. The term netboot means you are booting from an ONTAP image stored on a remote server. When preparing for netboot, you must add a copy of the ONTAP 9 boot image onto a web server that the system can access.

It is not possible to check the version of ONTAP installed on the boot media of an AFF A900 controller module unless it is installed in a chassis and powered ON. The ONTAP version on the AFF A900 boot media should be same as the ONTAP version running on the AFF A700 system that is being upgraded and both the primary and backup boot images should match. You can configure the images by performing a netboot followed by the `wipeconfig` command from the boot menu. If the controller module was previously used in another cluster, the `wipeconfig` command clears any residual configuration on the boot media.



You can also use the USB boot option to perform the netboot. See the [NetApp KB Article: How to use the boot_recovery LOADER command for installing ONTAP for initial setup of a system.](#)

Before you begin

- Verify that you can access a HTTP server with the system.
- Download the necessary system files for your system and the correct version of ONTAP from the *NetApp Support Site*. Refer to [References](#) to link to the *NetApp Support Site*.

About this task

You must netboot the new controllers if they do not have the same version of ONTAP 9 installed on them that is installed on the original controllers. After you install each new controller, you boot the system from the ONTAP 9 image stored on the web server. You can then download the correct files to the boot media device for subsequent system boots.


Steps

1. Refer to [References](#) to link to the *NetApp Support Site* to download the files used for performing the netboot of the system.
2. Download the appropriate ONTAP software from the software download section of the *NetApp Support Site* and store the `<ontap_version>_image.tgz` file on a web-accessible directory.
3. Change to the web-accessible directory and verify that the files you need are available.
4. Your directory listing should contain `<ontap_version>_image.tgz`.
5. Configure the netboot connection by choosing one of the following actions.



You should use the management port and IP as the netboot connection. Do not use a data LIF IP or a data outage might occur while the upgrade is being performed.

| If Dynamic Host Configuration Protocol (DHCP) is... | Then... |
|---|--|
| Running | Configure the connection automatically by using the following command at the boot environment prompt: <code>ifconfig e0M -auto</code> |

| If Dynamic Host Configuration Protocol (DHCP) is... | Then... |
|---|---|
| Not running | <p>Manually configure the connection by using the following command at the boot environment prompt:</p> <pre>ifconfig e0M -addr=<filer_addr> -mask=<netmask> -gw=<gateway> - dns=<dns_addr> domain=<dns_domain></pre> <p><filer_addr> is the IP address of the storage system. <netmask> is the network mask of the storage system. <gateway> is the gateway for the storage system. <dns_addr> is the IP address of a name server on your network. This parameter is optional. <dns_domain> is the Domain Name Service (DNS) domain name. This parameter is optional.</p> <div>  <p>Other parameters might be necessary for your interface. Enter <code>help ifconfig</code> at the firmware prompt for details.</p> </div> |

6. Perform netboot on node1:

```
netboot http://<web_server_ip/path_to_web_accessible_directory>/netboot/kernel
```



Do not interrupt the boot.

7. Wait for the node1 now running on the AFF A900 controller module to boot and display the boot menu options as shown below:

Please choose one of the following:

- (1) Normal Boot.
 - (2) Boot without /etc/rc.
 - (3) Change password.
 - (4) Clean configuration and initialize all disks.
 - (5) Maintenance mode boot.
 - (6) Update flash from backup config.
 - (7) Install new software first.
 - (8) Reboot node.
 - (9) Configure Advanced Drive Partitioning.
 - (10) Set Onboard Key Manager recovery secrets.
 - (11) Configure node for external key management.
- Selection (1-11)?

8. From the boot menu, select option (7) Install new software first.

This menu option downloads and installs the new ONTAP image to the boot device.



Disregard the following message: This procedure is not supported for Non-Disruptive Upgrade on an HA pair. This note applies to nondisruptive ONTAP software upgrades, and not controller upgrades.

Always use netboot to update the new node to the desired image. If you use another method to install the image on the new controller, the wrong image might install. This issue applies to all ONTAP releases.

9. If you are prompted to continue the procedure, enter `y`, and when prompted for the package, enter the URL:

```
http://<web_server_ip/path_to_web-  
accessible_directory>/<ontap_version>_image.tgz
```

The `<path_to_the_web-accessible_directory>` should lead to where you downloaded the `<ontap_version>_image.tgz` in [Step 2](#).

10. Complete the following substeps to reboot the controller module:
 - a. Enter `n` to skip the backup recovery when you see the following prompt:

```
Do you want to restore the backup configuration now? {y|n}
```

- b. Enter `y` to reboot when you see the following prompt:

```
The node must be rebooted to start using the newly installed  
software. Do you want to reboot now? {y|n}
```

The controller module reboots but stops at the boot menu because the boot device was reformatted, and the configuration data needs to be restored.

11. At the prompt, run the `wipeconfig` command to clear any previous configuration on the boot media:
 - a. When you see the message below, answer `yes`:

```
This will delete critical system configuration, including cluster  
membership.  
Warning: do not run this option on a HA node that has been taken  
over.  
Are you sure you want to continue?:
```

- b. The node reboots to finish the `wipeconfig` and then stops at the boot menu.
12. Select option 5 to go to maintenance mode from the boot menu. Answer `yes` to the prompts until the node stops at maintenance mode and the command prompt `*>`.
13. Verify that the controller and chassis are configured as `ha`:

```
ha-config show
```

The following example shows the output of the `ha-config show` command:

```
Chassis HA configuration: ha
Controller HA configuration: ha
```

14. If the controller and chassis are not configured as `ha`, use the following commands to correct the configuration:

```
ha-config modify controller ha
```

```
ha-config modify chassis ha
```

15. Verify the `ha-config` settings:

```
ha-config show
```

```
Chassis HA configuration: ha
Controller HA configuration: ha
```

16. Halt node1:

```
halt
```

Node1 should stop at the `LOADER` prompt.

17. On node2, check the system date, time, and time zone:

```
date
```

18. On node1, check the date by using the following command at the boot environment prompt:

```
show date
```

19. If necessary, set the date on node1:

```
set date <mm/dd/yyyy>
```



Set the corresponding UTC date on node1.

20. On node1, check the time by using the following command at the boot environment prompt:

```
show time
```

21. If necessary, set the time on node1:

```
set time <hh:mm:ss>
```



Set the corresponding UTC time on node1.

22. Set the partner system ID on node1:

```
setenv partner-sysid <node2_sysid>
```

You can obtain the node2 system ID from the `node show -node <node2>` command output on node2.

a. Save the settings:

```
saveenv
```

23. On node1, at the LOADER prompt, you should verify the `partner-sysid`. For node1, the `partner-sysid` needs to be that of node2. Verify the `partner-sysid` for node1:

```
printenv partner-sysid
```

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