



## Global Carbon Budget 2018

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Received: 27 September 2018 – Discussion started: 4 October 2018

Revised: 19 November 2018 – Accepted: 19 November 2018 – Published: 5 December 2018

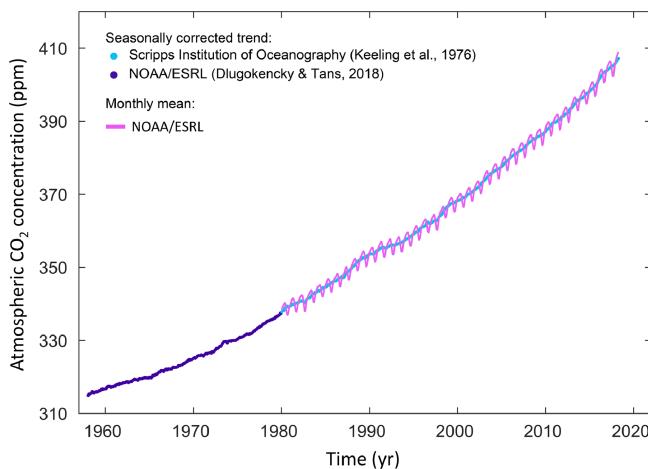
**Abstract.** Accurate assessment of anthropogenic carbon dioxide ( $\text{CO}_2$ ) emissions and their redistribution among the atmosphere, ocean, and terrestrial biosphere – the “global carbon budget” – is important to better understand the global carbon cycle, support the development of climate policies, and project future climate change. Here we describe data sets and methodology to quantify the five major components of the global carbon budget and their uncertainties. Fossil  $\text{CO}_2$  emissions ( $E_{\text{FF}}$ ) are based on energy statistics and cement production data, while emissions from land use and land-use change ( $E_{\text{LUC}}$ ), mainly deforestation, are based on land use and land-use change data and bookkeeping models. Atmospheric  $\text{CO}_2$  concentration is measured directly and its growth rate ( $G_{\text{ATM}}$ ) is computed from the annual changes in concentration. The ocean  $\text{CO}_2$  sink ( $S_{\text{OCEAN}}$ ) and terrestrial  $\text{CO}_2$  sink ( $S_{\text{LAND}}$ ) are estimated with global process models constrained by observations. The resulting carbon budget imbalance ( $B_{\text{IM}}$ ), the difference between the estimated total emissions and the estimated changes in the atmosphere, ocean, and terrestrial biosphere, is a measure of imperfect data and understanding of the contemporary carbon cycle. All uncertainties are reported as  $\pm 1\sigma$ . For the last decade available (2008–2017),  $E_{\text{FF}}$  was  $9.4 \pm 0.5 \text{ GtC yr}^{-1}$ ,  $E_{\text{LUC}}$   $1.5 \pm 0.7 \text{ GtC yr}^{-1}$ ,  $G_{\text{ATM}}$   $4.7 \pm 0.02 \text{ GtC yr}^{-1}$ ,  $S_{\text{OCEAN}}$   $2.4 \pm 0.5 \text{ GtC yr}^{-1}$ , and  $S_{\text{LAND}}$   $3.2 \pm 0.8 \text{ GtC yr}^{-1}$ , with a budget imbalance  $B_{\text{IM}}$  of  $0.5 \text{ GtC yr}^{-1}$  indicating overestimated emissions and/or underestimated sinks. For the year 2017 alone, the growth in  $E_{\text{FF}}$  was about 1.6% and emissions increased to  $9.9 \pm 0.5 \text{ GtC yr}^{-1}$ . Also for 2017,  $E_{\text{LUC}}$  was  $1.4 \pm 0.7 \text{ GtC yr}^{-1}$ ,  $G_{\text{ATM}}$  was  $4.6 \pm 0.2 \text{ GtC yr}^{-1}$ ,  $S_{\text{OCEAN}}$  was  $2.5 \pm 0.5 \text{ GtC yr}^{-1}$ , and  $S_{\text{LAND}}$  was  $3.8 \pm 0.8 \text{ GtC yr}^{-1}$ , with a  $B_{\text{IM}}$  of  $0.3 \text{ GtC}$ . The global atmospheric  $\text{CO}_2$  concentration reached  $405.0 \pm 0.1 \text{ ppm}$  averaged over 2017. For 2018, preliminary data for the first 6–9 months indicate a renewed growth in  $E_{\text{FF}}$  of +2.7% (range of 1.8% to 3.7%) based on national emission projections for China, the US, the EU, and India and projections of gross domestic product corrected for recent changes in the carbon intensity of the economy for the rest of the world. The analysis presented here shows that the mean and trend in the five components of the global carbon budget are consistently estimated over the period of 1959–2017, but discrepancies of up to  $1 \text{ GtC yr}^{-1}$  persist for the representation of semi-decadal variability in  $\text{CO}_2$  fluxes. A detailed comparison among individual estimates and the introduction of a broad range of observations show (1) no consensus in the mean and trend in land-use change emissions, (2) a persistent low agreement among the different methods on the magnitude of the land  $\text{CO}_2$  flux in the northern extra-tropics, and (3) an apparent underestimation of the  $\text{CO}_2$  variability by ocean models, originating outside the tropics. This living data update documents changes in the methods and data sets used in this new global carbon budget and the progress in understanding the global carbon cycle compared with previous publications of this data set (Le Quéré et al., 2018, 2016, 2015a, b, 2014, 2013). All results presented here can be downloaded from <https://doi.org/10.18160/GCP-2018>.

## 1 Introduction

The concentration of carbon dioxide ( $\text{CO}_2$ ) in the atmosphere has increased from approximately 277 parts per million (ppm) in 1750 (Joos and Spahni, 2008), the beginning of the industrial era, to  $405.0 \pm 0.1 \text{ ppm}$  in 2017 (Dlugokencky and Tans, 2018; Fig. 1). The atmospheric  $\text{CO}_2$  increase above pre-industrial levels was, initially, primarily caused by the release of carbon to the atmosphere from deforestation and other land-use change activities (Ciais et al., 2013). While emissions from fossil fuels started before the industrial era, they only became the dominant source of anthropogenic emissions to the atmosphere around 1950 and their relative share has continued to increase until present. Anthropogenic emissions occur on top of an active natural carbon cycle that circulates carbon among the reservoirs of the atmosphere, ocean, and terrestrial biosphere on timescales from sub-daily

to millennial, while exchanges with geologic reservoirs occur at longer timescales (Archer et al., 2009).

The global carbon budget presented here refers to the mean, variations, and trends in the perturbation of  $\text{CO}_2$  in the environment, referenced to the beginning of the industrial era. It quantifies the input of  $\text{CO}_2$  to the atmosphere by emissions from human activities, the growth rate of atmospheric  $\text{CO}_2$  concentration, and the resulting changes in the storage of carbon in the land and ocean reservoirs in response to increasing atmospheric  $\text{CO}_2$  levels, climate change, and variability and other anthropogenic and natural changes (Fig. 2). An understanding of this perturbation budget over time and the underlying variability and trends in the natural carbon cycle is necessary to understand the response of natural sinks to changes in climate,  $\text{CO}_2$  and land-use change drivers, and the permissible emissions for a given climate stabilisation target.



**Figure 1.** Surface average atmospheric CO<sub>2</sub> concentration (ppm). The 1980–2018 monthly data are from NOAA/ESRL (Dlugokencky and Tans, 2018) and are based on an average of direct atmospheric CO<sub>2</sub> measurements from multiple stations in the marine boundary layer (Masarie and Tans, 1995). The 1958–1979 monthly data are from the Scripps Institution of Oceanography, based on an average of direct atmospheric CO<sub>2</sub> measurements from the Mauna Loa and South Pole stations (Keeling et al., 1976). To take into account the difference of mean CO<sub>2</sub> and seasonality between the NOAA/ESRL and the Scripps station networks used here, the Scripps surface average (from two stations) was deseasonalised and harmonised to match the NOAA/ESRL surface average (from multiple stations) by adding the mean difference of 0.542 ppm, calculated here from overlapping data during 1980–2012.

The components of the CO<sub>2</sub> budget that are reported annually in this paper include separate estimates for (1) the CO<sub>2</sub> emissions from fossil fuel combustion and oxidation from all energy and industrial processes and cement production ( $E_{\text{FF}}$ ; GtC yr<sup>-1</sup>); (2) the emissions resulting from deliberate human activities on land, including those leading to land-use change ( $E_{\text{LUC}}$ ; GtC yr<sup>-1</sup>); and (3) their partitioning among the growth rate of atmospheric CO<sub>2</sub> concentration ( $G_{\text{ATM}}$ ; GtC yr<sup>-1</sup>), the uptake of CO<sub>2</sub> (the “CO<sub>2</sub> sinks”) in (4) the ocean ( $S_{\text{OCEAN}}$ ; GtC yr<sup>-1</sup>), and (5) the uptake of CO<sub>2</sub> on land ( $S_{\text{LAND}}$ ; GtC yr<sup>-1</sup>). The CO<sub>2</sub> sinks as defined here conceptually include the response of the land (including inland waters and estuaries) and ocean (including coasts and territorial sea) to elevated CO<sub>2</sub> and changes in climate, rivers, and other environmental conditions, although in practice not all processes are accounted for (see Sect. 2.8). The global emissions and their partitioning among the atmosphere, ocean, and land are in reality in balance; however due to imperfect spatial and/or temporal data coverage, errors in each estimate, and smaller terms not included in our budget estimate (discussed in Sect. 2.8), their sum does not necessarily add up to zero. We estimate a budget imbalance ( $B_{\text{IM}}$ ), which is a measure of the mismatch between the estimated emissions and the estimated changes in the atmosphere, land, and ocean, with the

full global carbon budget as follows:

$$E_{\text{FF}} + E_{\text{LUC}} = G_{\text{ATM}} + S_{\text{OCEAN}} + S_{\text{LAND}} + B_{\text{IM}}. \quad (1)$$

$G_{\text{ATM}}$  is usually reported in ppm yr<sup>-1</sup>, which we convert to units of carbon mass per year, GtC yr<sup>-1</sup>, using 1 ppm = 2.124 GtC (Table 1). We also include a quantification of  $E_{\text{FF}}$  by country, computed with both territorial and consumption-based accounting (see Sect. 2), and discuss missing terms from sources other than the combustion of fossil fuels (see Sect. 2.8).

The CO<sub>2</sub> budget has been assessed by the Intergovernmental Panel on Climate Change (IPCC) in all assessment reports (Ciais et al., 2013; Denman et al., 2007; Prentice et al., 2001; Schimel et al., 1995; Watson et al., 1990), and by others (e.g. Ballantyne et al., 2012). The IPCC methodology has been adapted and used by the Global Carbon Project (GCP, <http://www.globalcarbonproject.org/>, last access: 30 November 2018), which has coordinated a cooperative community effort for the annual publication of global carbon budgets up to the year 2005 (Raupach et al., 2007; including fossil emissions only), the year 2006 (Canadell et al., 2007), the year 2007 (published online; GCP, 2007), the year 2008 (Le Quéré et al., 2009), the year 2009 (Friedlingstein et al., 2010), the year 2010 (Peters et al., 2012b), the year 2012 (Le Quéré et al., 2013; Peters et al., 2013), the year 2013 (Le Quéré et al., 2014), the year 2014 (Friedlingstein et al., 2014; Le Quéré et al., 2015b), the year 2015 (Jackson et al., 2016; Le Quéré et al., 2015a), the year 2016 (Le Quéré et al., 2016), and most recently the year 2017 (Le Quéré et al., 2018; Peters et al., 2017). Each of these papers updated previous estimates with the latest available information for the entire time series.

We adopt a range of  $\pm 1$  standard deviation ( $\sigma$ ) to report the uncertainties in our estimates, representing a likelihood of 68 % that the true value will be within the provided range if the errors have a Gaussian distribution and no bias is assumed. This choice reflects the difficulty of characterising the uncertainty in the CO<sub>2</sub> fluxes between the atmosphere and the ocean and land reservoirs individually, particularly on an annual basis, as well as the difficulty of updating the CO<sub>2</sub> emissions from land use and land-use change. A likelihood of 68 % provides an indication of our current capability to quantify each term and its uncertainty given the available information. For comparison, the Fifth Assessment Report of the IPCC (AR5) generally reported a likelihood of 90 % for large data sets whose uncertainty is well characterised or for long time intervals less affected by year-to-year variability. Our 68 % uncertainty value is near the 66 % which the IPCC characterises as “likely” for values falling into the  $\pm 1\sigma$  interval. The uncertainties reported here combine statistical analysis of the underlying data and expert judgement of the likelihood of results lying outside this range. The limitations of current information are discussed in the paper and have been examined in detail elsewhere (Ballantyne et al., 2015; Zscheischler et al., 2017). We also use a qualitative assessment of confidence level to characterise the annual estimates

**Table 1.** Factors used to convert carbon in various units (by convention, Unit 1 = Unit 2 conversion).

Unit 1	Unit 2	Conversion	Source
GtC (gigatonnes of carbon)	ppm (parts per million) <sup>a</sup>	2.124 <sup>b</sup>	Ballantyne et al. (2012)
GtC (gigatonnes of carbon)	PgC (petagrams of carbon)	1	SI unit conversion
GtCO <sub>2</sub> (gigatonnes of carbon dioxide)	GtC (gigatonnes of carbon)	3.664	44.01/12.011 in mass equivalent
GtC (gigatonnes of carbon)	MtC (megatonnes of carbon)	1000	SI unit conversion

<sup>a</sup> Measurements of atmospheric CO<sub>2</sub> concentration have units of dry-air mole fraction. “ppm” is an abbreviation for micromole mol<sup>-1</sup>, dry air. <sup>b</sup> The use of a factor of 2.124 assumes that all the atmosphere is well mixed within 1 year. In reality, only the troposphere is well mixed and the growth rate of CO<sub>2</sub> concentration in the less well-mixed stratosphere is not measured by sites from the NOAA network. Using a factor of 2.124 makes the approximation that the growth rate of CO<sub>2</sub> concentration in the stratosphere equals that of the troposphere on a yearly basis.

from each term based on the type, amount, quality, and consistency of the evidence as defined by the IPCC (Stocker et al., 2013).

All quantities are presented in units of gigatonnes of carbon (GtC, 10<sup>15</sup> gC), which is the same as petagrams of carbon (PgC; Table 1). Units of gigatonnes of CO<sub>2</sub> (or billion tonnes of CO<sub>2</sub>) used in policy are equal to 3.664 multiplied by the value in units of GtC.

This paper provides a detailed description of the data sets and methodology used to compute the global carbon budget estimates for the pre-industrial period (1750) to 2017 and in more detail for the period since 1959. It also provides decadal averages starting in 1960 including the last decade (2008–2017), results for the year 2017, and a projection for the year 2018. Finally it provides cumulative emissions from fossil fuels and land-use change since the year 1750, the pre-industrial period, and since the year 1870, the reference year for the cumulative carbon estimate used by the IPCC (AR5) based on the availability of global temperature data (Stocker et al., 2013). This paper is updated every year using the format of “living data” to keep a record of budget versions and the changes in new data, revision of data, and changes in methodology that lead to changes in estimates of the carbon budget. Additional materials associated with the release of each new version will be posted at the Global Carbon Project (GCP) website (<http://www.globalcarbonproject.org/carbonbudget>, last access: 30 November 2018), with fossil fuel emissions also available through the Global Carbon Atlas (<http://www.globalcarbonatlas.org>, last access: 30 November 2018). With this approach, we aim to provide the highest transparency and traceability in the reporting of CO<sub>2</sub>, the key driver of climate change.

## 2 Methods

Multiple organisations and research groups around the world generated the original measurements and data used to complete the global carbon budget. The effort presented here is thus mainly one of synthesis, in which results from individual groups are collated, analysed, and evaluated for consistency. We facilitate access to original data with the understanding that primary data sets will be referenced in future work (see

Table 2 for how to cite the data sets). Descriptions of the measurements, models, and methodologies follow below and in depth descriptions of each component are described elsewhere.

This is the 13th version of the global carbon budget and the seventh revised version in the format of a living data update. It builds on the latest published global carbon budget of Le Quéré et al. (2018). The main changes are (1) the inclusion of data to the year 2017 (inclusive) and a projection for the global carbon budget for the year 2018; (2) the introduction of metrics that evaluate components of the individual models used to estimate SOCEAN and SLAND using observations, as an effort to document, encourage, and support model improvements through time; (3) the revisions of the CO<sub>2</sub> emissions associated with cement production based on revised clinker ratios; (4) a projection for fossil fuel emissions for the 28 European Union member states based on compiled energy statistics; and (5) the addition of Sect. 2.8.2 on additional emissions from calcination not included in the budget. The main methodological differences among annual carbon budgets are summarised in Table 3.

### 2.1 Fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ )

#### 2.1.1 Emission estimates

The estimates of global and national fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ) include the combustion of fossil fuels through a wide range of activities (e.g. transport, heating, and cooling, industry, fossil industry’s own use, and gas flaring), the production of cement, and other process emissions (e.g. the production of chemicals and fertilisers). The estimates of  $E_{\text{FF}}$  rely primarily on energy consumption data, specifically data on hydrocarbon fuels, collated and archived by several organisations (Andres et al., 2012). We use four main data sets for historical emissions (1751–2017).

1. We use global and national emission estimates for coal, oil, and gas from CDIAC for the time period of 1751–2014 (Boden et al., 2017), as it is the only data set that extends back to 1751 by country.
2. We use official UNFCCC national inventory reports for 1990–2016 for the 42 Annex I countries in the UN-

**Table 2.** How to cite the individual components of the global carbon budget presented here.

Component	Primary reference
Global fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ ), total and by fuel type	Boden et al. (2017)
National territorial fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ )	CDIAC source: Boden et al. (2017) UNFCCC (2018)
National consumption-based fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ ) by country (consumption)	Peters et al. (2011b) updated as described in this paper
Land-use change emissions ( $E_{\text{LUC}}$ )	Average from Houghton and Nassikas (2017) and Hansis et al. (2015), both updated as described in this paper
Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{\text{ATM}}$ )	Slagoecky and Tans (2018)
Ocean and land CO <sub>2</sub> sinks ( $S_{\text{OCEAN}}$ and $S_{\text{LAND}}$ )	This paper for $S_{\text{OCEAN}}$ and $S_{\text{LAND}}$ and references in Table 4 for individual models

FCCC (UNFCCC, 2018). We assess these to be the most accurate estimates because they are compiled by experts within countries that have access to detailed energy data, and they are periodically reviewed.

3. We use the BP Statistical Review of World Energy (BP, 2018), as these are the most up-to-date estimates of national energy statistics.
4. We use global and national cement emissions updated from Andrew (2018), which include revised emission factors.

In the following section we provide more details for each data set and describe the additional modifications that are required to make the data set consistent and usable.

- **CDIAC.** The CDIAC estimates have been updated annually to the year 2014, derived primarily from energy statistics published by the United Nations (UN, 2017b). Fuel masses and volumes are converted to fuel energy content using country-level coefficients provided by the UN and then converted to CO<sub>2</sub> emissions using conversion factors that take into account the relationship between carbon content and energy (heat) content of the different fuel types (coal, oil, gas, gas flaring) and the combustion efficiency (Marland and Rotty, 1984).
- **UNFCCC.** Estimates from the UNFCCC national inventory reports follow the IPCC guidelines (IPCC, 2006) but have a slightly larger system boundary than CDIAC by including emissions coming from carbonates other than in cement manufacturing. We reallocate the detailed UNFCCC estimates to the CDIAC definitions of coal, oil, gas, cement, and other to allow consistent comparisons over time and among countries.
- **BP.** For the most recent period when the UNFCCC (2018) and CDIAC (2015–2017) estimates are not available, we generate preliminary estimates using the BP

Statistical Review of World Energy (Andres et al., 2014; Myhre et al., 2009; BP, 2018). We apply the BP growth rates by fuel type (coal, oil, gas) to estimate 2017 emissions based on 2016 estimates (UNFCCC) and to estimate 2015–2017 emissions based on 2014 estimates (CDIAC). BP's data set explicitly covers about 70 countries (96 % of global emissions), and for the remaining countries we use growth rates from the subregion the country belongs to. For the most recent years, flaring is assumed constant from the most recent available year of data (2016 for countries that report to the UNFCCC, 2014 for the remainder).

- **Cement.** Estimates of emissions from cement production are taken directly from Andrew (2018). Additional calcination and carbonation processes are not included explicitly here, except in national inventories provided by UNFCCC, but are discussed in Sect. 2.8.2.
- **Country mappings.** The published CDIAC data set includes 256 countries and regions. This list includes countries that no longer exist, such as the USSR and Yugoslavia. We reduce the list to 213 countries by reallocating emissions to the currently defined territories, using mass-preserving aggregation or disaggregation. Examples of aggregation include merging East and West Germany to the currently defined Germany. Examples of disaggregation include reallocating the emissions from the former USSR to the resulting independent countries. For disaggregation, we use the emission shares when the current territories first appeared, and thus historical estimates of disaggregated countries should be treated with extreme care. In addition, we aggregate some overseas territories (e.g. Réunion, Guadeloupe) into their governing nations (e.g. France) to align with UNFCCC reporting.
- **Global total.** Our global estimate is based on CDIAC for fossil fuel combustion plus Andrew (2018) for ce-

**Table 3.** Main methodological changes in the global carbon budget since first publication. Methodological changes introduced in one year are kept for the following years unless noted. Empty cells mean there were no methodological changes introduced that year.

Publication year <sup>a</sup>	Fossil fuel emissions		Land-use emissions	change	Reservoirs		Uncertainty & other changes
	Global	Country (territorial)			Country (consumption)	Ocean	
2007 Canadell et al. (2007)		Split in regions					$\pm 1\sigma$ provided for all components
2008 (online)							
2009 Le Quéré et al. (2009)		Split between Annex B and non-Annex B	Results from an independent study discussed	Fire-based emission anomalies used for 2006–2008			
2010 Friedlingstein et al. (2010)	Projection for current year based on GDP	Emissions for top emitters		$E_{LUC}$ updated with FAO-FRA 2010			
2011 Peters et al. (2012b)			Split between Annex B and non-Annex B				
2012 Le Quéré et al. (2013) Peters et al. (2013)	129 countries from 1959	129 countries and regions from 1990 to 2010 based on GTAP8.0	$E_{LUC}$ for 1997–2011 includes interannual anomalies from fire-based emissions	All years from global average			Based on five ocean models normalised to observations with ratio
2013 Le Quéré et al. (2014)	250 countries <sup>b</sup>	134 countries and regions (1990–2011) based on CTAP8.1, with detailed estimates for the years 1997, 2001, 2004, and 2007	$E_{LUC}$ for 2012 estimated from 2001–2010 average				Based on six models compared with two experiments for $S_{LAND}$ and $E_{LUC}$ year 2011
2014 Le Quéré et al. (2015b)	3 years of BP data	3 years of BP data	Extended to 2012 with updated GDP data	$E_{LUC}$ for 1997–2013 includes interannual anomalies from fire-based emissions			Coordinated DGVM Confidence levels; cumulative emissions; budget from 1750
2015 Le Quéré et al. (2015a) Jackson et al. (2016)	Projection for current year based on Jan-Aug data	National emissions from UNFCCC extended to 2014 also provided	Detailed estimates introduced for 2011 based on GTAP9				Inclusion of breakdown of the sinks in three latitude bands and comparison with three atmospheric inversions
2016 Le Quéré et al. (2016)	2 years of BP data	Added three small countries; China's (RMA) emissions from 1990 from BP data (this release only)	Preliminary $E_{LUC}$ using FRA-2015 shown for comparison; use of five DGVMs				The decadal uncertainty for the DGVM ensemble mean now uses $\pm 1\sigma$ of the decadal spread across models
							Discussion of projection for full budget for current year

**Table 3.** Continued.

Publication year <sup>a</sup>	Fossil fuel emissions			Land-use change			Reservoirs		Uncertainty other changes &
	Global	Country (territorial)	Country (consumption)	Aerosphere	Ocean	Atmosphere	Land		
2017 Le Quéré et al. (2018)	Projection includes India-specific data		Average of two bookkeeping models; use of 12 DCVMs	Based on eight models that match the observed sink for the 1990s; no longer normalised	Based on 15 models that meet observation-based criteria (see Sect. 2.6)		Land multi-model average now used in main carbon budget, with the carbon imbalance presented separately; new table of key uncertainties		
2018 (this study)	Revision in cement emissions; projection includes EU-specific data	Aggregation of overseas territories into governing nations for total of 213 countries <sup>b</sup>	Use of 16 DGVMs <sup>c</sup>	Use of four atmospheric inversions	Based on seven models	Based on 16 models; revised CRUNCEP to CRU-IRA-55	Introduction of metrics for evaluation of individual models using observations	Introduction of Resplandy et al. (2018) correction for riverine fluxes	

<sup>a</sup> The naming convention of the budgets has changed. Up to and including 2010, the budget year (Carbon Budget 2010) represented the latest year of the data. From 2012, the budget year (Carbon Budget 2012) refers to the initial publication year. <sup>b</sup> The CDIAC database has about 250 countries, but we show data for 213 countries since we aggregate and disaggregate some countries to be consistent with current country definitions (see Sect. 2.1.1 for more details). <sup>c</sup> EUC is still estimated based on bookkeeping models as in 2017, but the number of DGVMs used to characterise the uncertainty has changed.

ment emissions. This is greater than the sum of emissions from all countries. This is largely attributable to emissions that occur in international territory, in particular, the combustion of fuels used in international shipping and aviation (bunker fuels). The emissions from international bunker fuels are calculated based on where the fuels were loaded, but we do not include them in the national emission estimates. Other differences occur (1) because the sum of imports in all countries is not equal to the sum of exports, and (2) because of inconsistent national reporting, differing treatment of oxidation of non-fuel uses of hydrocarbons (e.g. as solvents, lubricants, feedstocks), and (3) because of changes in fuel stored (Andres et al., 2012).

## 2.2 Uncertainty assessment for $E_{\text{FF}}$

We estimate the uncertainty of the global fossil CO<sub>2</sub> emissions at  $\pm 5\%$  (scaled down from the published  $\pm 10\%$  at  $\pm 2\sigma$  to the use of  $\pm 1\sigma$  bounds reported here; Andres et al., 2012). This is consistent with a more detailed recent analysis of uncertainty of  $\pm 8.4\%$  at  $\pm 2\sigma$  (Andres et al., 2014) and at the high end of the range of  $\pm 5\text{--}10\%$  at  $\pm 2\sigma$  reported by Ballantyne et al. (2015). This includes an assessment of uncertainties in the amounts of fuel consumed, the carbon and heat contents of fuels, and the combustion efficiency. While we consider a fixed uncertainty of  $\pm 5\%$  for all years, the uncertainty as a percentage of the emissions is growing with time because of the larger share of global emissions from emerging economies and developing countries (Marland et al., 2009). Generally, emissions from mature economies with good statistical processes have an uncertainty of only a few per cent (Marland, 2008), while emissions from developing countries such as China have uncertainties of around  $\pm 10\%$  (for  $\pm 1\sigma$ ; Gregg et al., 2008). Uncertainties of emissions are likely to be mainly systematic errors related to underlying biases of energy statistics and to the accounting method used by each country.

We assign a medium confidence to the results presented here because they are based on indirect estimates of emissions using energy data (Durant et al., 2011). There is only limited and indirect evidence for emissions, although there is high agreement among the available estimates within the given uncertainty (Andres et al., 2012, 2014), and emission estimates are consistent with a range of other observations (Ciais et al., 2013), even though their regional and national partitioning is more uncertain (Francey et al., 2013).

### 2.2.1 Emissions embodied in goods and services

CDIAC, UNFCCC, and BP national emission statistics “include greenhouse gas emissions and removals taking place within national territory and offshore areas over which the country has jurisdiction” (Rypdal et al., 2006) and are called territorial emission inventories. Consumption-based emission inventories allocate emissions to products that are consumed within a country and are conceptually calculated as the territorial emissions minus the “embodied” territorial emissions to produce exported products plus the emissions in other countries to produce imported products (consumption = territorial – exports + imports). Consumption-based emission attribution results (e.g. Davis and Caldeira, 2010) provide additional information to territorial-based emissions that can be used to understand emission drivers (Hertwich and Peters, 2009) and quantify emission transfers by the trade of products between countries (Peters et al., 2011b). The consumption-based emissions have the same global total but reflect the trade-driven movement of emissions across the Earth’s surface in response to human activities.

We estimate consumption-based emissions from 1990 to 2016 by enumerating the global supply chain using a global model of the economic relationships between economic sectors within and among every country (Andrew and Peters, 2013; Peters et al., 2011a). Our analysis is based on the economic and trade data from the Global Trade and Analysis Project (GTAP; Narayanan et al., 2015), and we make detailed estimates for the years 1997 (GTAP version 5), 2001 (GTAP6), and 2004, 2007, and 2011 (GTAP9.2), covering 57 sectors and 141 countries and regions. The detailed results are then extended into an annual time series from 1990 to the latest year of the gross domestic product (GDP) data (2016 in this budget), using GDP data by expenditure in the current exchange rate of US dollars (USD; from the UN National Accounts Main Aggregates Database; UN, 2017a) and time series of trade data from GTAP (based on the methodology in Peters et al., 2011b). We estimate the sector-level CO<sub>2</sub> emissions using the GTAP data and methodology, include flaring and cement emissions from CDIAC, and then scale the national totals (excluding bunker fuels) to match the emission estimates from the carbon budget. We do not provide a separate uncertainty estimate for the consumption-based emissions, but based on model comparisons and sensitivity analysis, they are unlikely to be significantly different than for the territorial emission estimates (Peters et al., 2012a).

### 2.2.2 Growth rate in emissions

We report the annual growth rate in emissions for adjacent years (in per cent per year) by calculating the difference between the two years and then normalising to the emissions in the first year:  $(E_{\text{FF}}(t_{0+1}) - E_{\text{FF}}(t_0))/E_{\text{FF}}(t_0) \times 100\% \times 100/(1 \text{ year}) \times 100/(1 \text{ year})$ . We apply a leap-year adjustment when relevant to ensure valid interpretations of annual

growth rates. This affects the growth rate by about 0.3 % yr<sup>-1</sup> (1/365) and causes growth rates to go up approximately 0.3 % if the first year is a leap year and down 0.3 % if the second year is a leap year.

The relative growth rate of  $E_{\text{FF}}$  over time periods of greater than 1 year can be rewritten using its logarithm equivalent as follows:

$$\frac{1}{E_{\text{FF}}} \frac{dE_{\text{FF}}}{dt} = \frac{d(\ln E_{\text{FF}})}{dt}. \quad (2)$$

Here we calculate relative growth rates in emissions for multi-year periods (e.g. a decade) by fitting a linear trend to  $\ln(E_{\text{FF}})$  in Eq. (2), reported in per cent per year.

### 2.2.3 Emission projections

To gain insight into emission trends for the current year (2018), we provide an assessment of global fossil CO<sub>2</sub> emissions,  $E_{\text{FF}}$ , by combining individual assessments of emissions for China, the US, the EU, and India (the four countries/regions with the largest emissions), and the rest of the world.

Our 2018 estimate for China uses (1) the sum of domestic production (NBS, 2018b) and net imports (General Administration of Customs of the People’s Republic of China, 2018) for coal, oil and natural gas, and production of cement (NBS, 2018b) from preliminary statistics for January through September of 2018 and (2) historical relationships between January–September statistics for both production and imports and full-year statistics for consumption using final data for 2000–2016 (NBS, 2015, 2017) and preliminary data for 2017 (NBS, 2018a). See also Liu et al. (2018) and Jackson et al. (2018) for details. The uncertainty is based on the variance of the difference between the January–September and full-year data from historical data, as well as typical variance in the preliminary full-year data used for 2017 and typical changes in the energy content of coal for the period of 2013–2016 (NBS, 2017, 2015). We note that developments for the final 3 months this year may be atypical due to the ongoing trade disputes between China and the US, and this additional uncertainty has not been quantified. Results and uncertainties are discussed further in Sect. 3.4.1.

For the US, we use the forecast of the U.S. Energy Information Administration (EIA) for emissions from fossil fuels (EIA, 2018). This is based on an energy forecasting model which is updated monthly (last update to October) and takes into account heating-degree days, household expenditures by fuel type, energy markets, policies, and other effects. We combine this with our estimate of emissions from cement production using the monthly US cement data from the U.S. Geological Survey (USGS) for January–August, assuming changes in cement production over the first part of the year apply throughout the year. While the EIA’s forecasts for current full-year emissions have on average been revised downwards, only 10 such forecasts are available, so we con-

servatively use the full range of adjustments following revision and additionally assume symmetrical uncertainty to give  $\pm 2.5\%$  around the central forecast.

For India, we use (1) monthly coal production and sales data from the Ministry of Mines (2018), Coal India Limited (CIL, 2018), and Singareni Collieries Company Limited (SCCL, 2018), combined with import data from the Ministry of Commerce and Industry (MCI, 2018) and power station stocks data from the Central Electricity Authority (CEA, 2018); (2) monthly oil production and consumption data from the Ministry of Petroleum and Natural Gas (PPAC, 2018a); (3) monthly natural gas production and import data from the Ministry of Petroleum and Natural Gas (PPAC, 2018b); and (4) monthly cement production data from the Office of the Economic Advisor (OEA, 2018). All data were available for January to September or October. We use Holt–Winters exponential smoothing with multiplicative seasonality (Chatfield, 1978) on each of these four emission series to project to the end of the current year. This iterative method produces estimates of both trend and seasonality at the end of the observation period that are a function of all prior observations, weighted most strongly to more recent data, while maintaining some smoothing effect. The main source of uncertainty in the projection of India's emissions is the assumption of continued trends and typical seasonality.

For the EU, we use (1) monthly coal supply data from Eurostat for the first 6–9 months of the year (Eurostat, 2018) cross-checked with more recent data on coal-generated electricity from ENTSO-E for January through October (ENTSO-E, 2018); (2) monthly oil and gas demand data for January through August from the Joint Organisations Data Initiative (JODI, 2018); and (3) cement production assumed to be stable. For oil and gas emissions we apply the Holt–Winters method separately to each country and energy carrier to project to the end of the current year, while for coal – which is much less strongly seasonal because of strong weather variations – we assume the remaining months of the year are the same as the previous year in each country.

For the rest of the world, we use the close relationship between the growth in GDP and the growth in emissions (Raupach et al., 2007) to project emissions for the current year. This is based on a simplified Kaya identity, whereby  $E_{\text{FF}}$  ( $\text{GtC yr}^{-1}$ ) is decomposed by the product of GDP ( $\text{USD yr}^{-1}$ ) and the fossil fuel carbon intensity of the economy ( $I_{\text{FF}}$ ;  $\text{GtC USD}^{-1}$ ) as follows:

$$E_{\text{FF}} = \text{GDP} \times I_{\text{FF}}. \quad (3)$$

Taking a time derivative of Eq. (3) and rearranging gives

$$\frac{1}{E_{\text{FF}}} \frac{dE_{\text{FF}}}{dt} = \frac{1}{\text{GDP}} \frac{d\text{GDP}}{dt} + \frac{1}{I_{\text{FF}}} \frac{dI_{\text{FF}}}{dt}, \quad (4)$$

where the left-hand term is the relative growth rate of  $E_{\text{FF}}$ , and the right-hand terms are the relative growth rates of GDP and  $I_{\text{FF}}$ , respectively, which can simply be added linearly to give the overall growth rate.

The growth rates are reported in per cent by multiplying each term by 100. As preliminary estimates of annual change in GDP are made well before the end of a calendar year, making assumptions on the growth rate of  $I_{\text{FF}}$  allows us to make projections of the annual change in CO<sub>2</sub> emissions well before the end of a calendar year. The  $I_{\text{FF}}$  is based on GDP in constant PPP (purchasing power parity) from the International Energy Agency (IEA) up until 2016 (IEA/OECD, 2017) and extended using the International Monetary Fund (IMF) growth rates for 2016 and 2017 (IMF, 2018). Interannual variability in  $I_{\text{FF}}$  is the largest source of uncertainty in the GDP-based emission projections. We thus use the standard deviation of the annual  $I_{\text{FF}}$  for the period of 2007–2017 as a measure of uncertainty, reflecting a  $\pm 1\sigma$  as in the rest of the carbon budget. This is  $\pm 1.0\% \text{ yr}^{-1}$  for the rest of the world (global emissions minus China, the US, the EU, and India).

The 2018 projection for the world is made of the sum of the projections for China, the US, the EU, India, and the rest of the world. The uncertainty is added in quadrature among the five regions. The uncertainty here reflects the best of our expert opinion.

### 2.3 CO<sub>2</sub> emissions from land use, land-use change, and forestry ( $E_{\text{LUC}}$ )

The net CO<sub>2</sub> flux from land use, land-use change, and forestry ( $E_{\text{LUC}}$ , called land-use change emissions in the rest of the text) include CO<sub>2</sub> fluxes from deforestation, afforestation, logging and forest degradation (including harvest activity), shifting cultivation (cycle of cutting forest for agriculture, then abandoning), and regrowth of forests following wood harvest or abandonment of agriculture. Only some land management activities are included in our land-use change emission estimates (Table A1 in the Appendix). Some of these activities lead to emissions of CO<sub>2</sub> to the atmosphere, while others lead to CO<sub>2</sub> sinks.  $E_{\text{LUC}}$  is the net sum of emissions and removals due to all anthropogenic activities considered. Our annual estimate for 1959–2017 is provided as the average of results from two bookkeeping models (Sect. 2.3.1): the estimate published by Houghton and Nasikas (2017; hereafter H&N2017) extended here to 2017 and an estimate using the BLUE model (Bookkeeping of Land Use Emissions; Hansis et al., 2015). In addition, we use results from dynamic global vegetation models (DGVMs; see Sect. 2.3.3 and Table 4) to help quantify the uncertainty in  $E_{\text{LUC}}$  and thus better characterise our understanding. The three methods are described below, and differences are discussed in Sect. 3.2.

#### 2.3.1 Bookkeeping models

Land-use change CO<sub>2</sub> emissions and uptake fluxes are calculated by two bookkeeping models. Both are based on the original bookkeeping approach of Houghton (2003) that

**Table 4.** References for the process models,  $p\text{CO}_2$ -based ocean flux products, and atmospheric inversions included in Figs. 6–8. All models and products are updated with new data to the end of the year 2017, and the atmospheric forcing for the DGVMs has been updated as described in Sect. 2.3.2.

Model/data name	Reference	Change from Le Quéré et al. (2018)
Bookkeeping models for land-use change emissions		
BLUE	Hansis et al. (2015)	LUH2 rangelands were treated differently, using the static LUH2 information on forest–non-forest grid cells to determine clearing for rangelands. Additionally effects on degradation of primary to secondary lands due to rangelands on natural (uncleared) vegetation were added to BLUE.
H&N2017	Houghton and Nassikas (2017)	No change.
Dynamic global vegetation models <sup>a</sup>		
CABLE-POP	Haverd et al. (2018)	Simple crop harvest and grazing implemented. Small adjustments to photosynthesis parameters to compensate for the effect of new climate forcing on GPP.
CLASS-CTEM	Melton and Arora (2016)	20 soil layers used. Soil depth is prescribed following Pelletier et al. (2016).
CLM5.0	Oleson et al. (2013)	No change.
DLEM	Tian et al. (2015)	Using observed irrigation data instead of a potential irrigation map.
ISAM	Meiyappan et al. (2015)	Crop harvest and N fertiliser application as described in Song et al. (2016).
JSBACH	Mauritsen et al. (2018)	New version of JSBACH (JSBACH 3.2), as used for CMIP6 simulations. Changes include a new fire algorithm, as well as new processes (land nitrogen cycle, carbon storage of wood products). Furthermore, LUH2 rangelands were treated differently, using the static LUH2 information on forest–non-forest grid cells to determine clearing for rangelands.
JULES	Clark et al. (2011)	No change.
LPJ-GUESS	Smith et al. (2014) <sup>b</sup>	No change.
LPJ	Poulter et al. (2011) <sup>c</sup>	Uses monthly litter update (previously annual), three product pools for deforestation flux, shifting cultivation, wood harvest, and inclusion of boreal needleleaf deciduous plant functional type.
LPX-Bern	Lienert and Joos (2018)	Minor refinement of parameterization. Changed from $1^\circ \times 1^\circ$ to $0.5^\circ \times 0.5^\circ$ resolution. Nitrogen deposition and fertilisation from NMIP.
OCN	Zaehle and Friend (2010)	No change (uses r294).
ORCHIDEE-Trunk	Krinner et al. (2005) <sup>d</sup>	Updated soil water stress and albedo scheme; overall C-cycle optimisation (gross fluxes).
ORCHIDEE-CNP	Goll et al. (2017)	First time contribution (ORCHIDEE with nitrogen and phosphorus dynamics).
SDGVM	Walker et al. (2017)	No change.
SURFEXv8	Joetzjer et al. (2015)	Not applicable (not used in 2017).
VISIT	Kato et al. (2013)	Updated spin-up protocol.
Global ocean biogeochemistry models		
CCSM-BEC	Doney et al. (2009)	No change.
MICOM-HAMOCC (NorESM-OC)	Schwinger et al. (2016)	No drift correction.
MITgcm-REcoM2	Hauck et al. (2016)	No change.
MPIOM-HAMOCC	Mauritsen et al. (2018)	Change of atmospheric forcing; CMIP6 model version including modifications and bug fixes in HAMOCC and MPIOM.
NEMO-PISCES (CNRM)	Berthet et al. (2018)	New model version with update to NEMOv3.6 and improved gas exchange.
NEMO-PISCES (IPSL)	Aumont and Bopp (2006)	No change.
NEMO-PlankTOM5	Buitenhuis et al. (2010) <sup>e</sup>	No change.
$p\text{CO}_2$ -based flux ocean products		
Landschützer	Landschützer et al. (2016)	No change.
Jena CarboScope	Rödenbeck et al. (2014)	No change.
Atmospheric inversions		
CAMS	Chevallier et al. (2005)	No change.
CarbonTracker Europe (CTE)	van der Laan-Luijkx et al. (2017)	Minor changes in the inversion set-up.
Jena CarboScope	Rödenbeck et al. (2003)	No change.
MIROC	Saeki and Patra (2017)	Not applicable (not used in 2017).

<sup>a</sup> The forcing for all DGVMs has been updated from CRUNCEP to CRU–JRA. <sup>b</sup> To account for the differences between the derivation of shortwave radiation (SWRAD) from CRU cloudiness and SWRAD from CRU–JRA-55, the photosynthesis scaling parameter  $\alpha_a$  was modified ( $\sim 15\%$ ) to yield similar results. <sup>c</sup> Compared to the published version, LPJ wood harvest efficiency was decreased so that 50 % of biomass was removed off-site compared to 85 % used in the 2012 budget. Residue management of managed grasslands increased so that 100 % of harvested grass enters the litter pool.

<sup>d</sup> Compared to the published version, new hydrology and snow scheme; revised parameter values for photosynthetic capacity for all ecosystem (following assimilation of FLUXNET data), updated parameters values for stem allocation, maintenance respiration, and biomass export for tropical forests (based on literature), and  $\text{CO}_2$  down-regulation process added to photosynthesis. Version used for CMIP6. <sup>e</sup> No nutrient restoring below the mixed-layer depth.

keeps track of the carbon stored in vegetation and soils before and after a land-use change (transitions between various natural vegetation types, croplands, and pastures). Literature-based response curves describe decay of vegetation and soil carbon, including transfer to product pools of different lifetimes, as well as carbon uptake due to regrowth. In addition, the bookkeeping models represent long-term degradation of primary forest as lowered standing vegetation and soil carbon stocks in secondary forests and also include forest management practices such as wood harvests.

The bookkeeping models do not include land ecosystems' transient response to changes in climate, atmospheric CO<sub>2</sub>, and other environmental factors, and the carbon densities are based on contemporary data reflecting environmental conditions at (and up to) that time. Since carbon densities remain fixed over time in bookkeeping models, the additional sink capacity that ecosystems provide in response to CO<sub>2</sub> fertilisation and some other environmental changes is not captured by these models (Pongratz et al., 2014; see Sect. 2.8.4).

The H&N2017 and BLUE models differ in (1) computational units (country level vs. spatially explicit treatment of land-use change), (2) processes represented (see Table A1), and (3) carbon densities assigned to vegetation and soil of each vegetation type. A notable change of H&N2017 over the original approach by Houghton et al. (2003) used in earlier budget estimates is that no shifting cultivation or other back-and-forth transitions below the country level are included. Only a decline in forest area in a country as indicated by the Forest Resource Assessment of the FAO that exceeds the expansion of agricultural area as indicated by the FAO is assumed to represent a concurrent expansion and abandonment of cropland. In contrast, the BLUE model includes sub-grid-scale transitions at the grid level among all vegetation types as indicated by the harmonised land-use change data (LUH2) data set (<https://doi.org/10.22033/ESGF/input4MIPs.1127>; Hurt et al., 2011, 2018). Furthermore, H&N2017 assume conversion of natural grasslands to pasture, while BLUE allocates pasture proportionally on all natural vegetation that exists in a grid cell. This is one reason for generally higher emissions in BLUE. H&N2017 add carbon emissions from peat burning based on the Global Fire Emission Database (GFED4s; van der Werf et al., 2017) and peat drainage based on estimates by Hooijer et al. (2010) to the output of their bookkeeping model for the countries of Indonesia and Malaysia. Peat burning and emissions from the organic layers of drained peat soils, which are not captured by bookkeeping methods directly, need to be included to represent the substantially larger emissions and interannual variability due to synergies of land use and climate variability in Southeast Asia, in particular during El Niño events. Similarly to H&N2017, peat burning and drainage-related emissions are also added to the BLUE estimate.

The two bookkeeping estimates used in this study also differ with respect to the land-use change data used to drive the models. H&N2017 base their estimates directly on the

Forest Resource Assessment of the FAO, which provides statistics on forest area change and management at intervals of 5 years currently updated until 2015 (FAO, 2015). The data are based on country reporting to the FAO and may include remote-sensing information in more recent assessments. Changes in land use other than forests are based on annual national changes in cropland and pasture areas reported by the FAO (FAOSTAT, 2015). BLUE uses the harmonised land-use change data LUH2 (<https://doi.org/10.22033/ESGF/input4MIPs.1127>; Hurt et al., 2011, 2018), which describe land-use change, also based on the FAO data, but downscaled at a quarter-degree spatial resolution, considering sub-grid-scale transitions among primary forest, secondary forest, cropland, pasture, and rangeland. The LUH2 data provide a new distinction between rangelands and pasture. To constrain the models' interpretation on whether rangeland implies the original natural vegetation to be transformed to grassland or not (e.g. browsing on shrubland), a new forest mask was provided with LUH2; forest is assumed to be transformed, while all other natural vegetation remains. This is implemented in BLUE.

The estimate of H&N2017 was extended here by 2 years (to 2017) by adding the anomaly of total tropical emissions (peat drainage from Hooijer et al. (2010), peat burning, and tropical deforestation and degradation fires (from GFED4s) over the previous decade (2006–2015) to the decadal average of the bookkeeping result.

### 2.3.2 Dynamic global vegetation models (DGVMs)

Land-use change CO<sub>2</sub> emissions have also been estimated using an ensemble of 16 DGVM simulations. The DGVMs account for deforestation and regrowth, the most important components of *ELUC*, but they do not represent all processes resulting directly from human activities on land (Table A1). All DGVMs represent processes of vegetation growth and mortality, as well as decomposition of dead organic matter associated with natural cycles, and include the vegetation and soil carbon response to increasing atmospheric CO<sub>2</sub> levels and to climate variability and change. Some models explicitly simulate the coupling of carbon and nitrogen cycles and account for atmospheric N deposition (Table A1). The DGVMs are independent from the other budget terms except for their use of atmospheric CO<sub>2</sub> concentration to calculate the fertilisation effect of CO<sub>2</sub> on plant photosynthesis.

The DGVMs used the HYDE land-use change data set (Klein Goldewijk et al., 2017a, b), which provides annual half-degree fractional data on cropland and pasture. These data are based on annual FAO statistics of change in agricultural land area available until 2012. The FAOSTAT land use database is updated annually, currently covering the period of 1961–2016 (but used here until 2015 because of the timing of data availability). HYDE-applied annual changes in FAO data to the year 2012 from the previous release are used to derive new 2013–2015 data. After the year 2015 HYDE

extrapolates cropland, pasture, and urban land use data until the year 2018. Some models also use an update of the more comprehensive harmonised land-use data set (Hurt et al., 2011), which further includes fractional data on primary and secondary forest vegetation, as well as all underlying transitions between land-use states (Hurt et al., 2018; Table A1). This new data set is of quarter-degree fractional areas of land use states and all transitions between those states, including a new wood harvest reconstruction, new representation of shifting cultivation, crop rotations, and management information including irrigation and fertiliser application. The land-use states now include five different crop types in addition to the pasture–rangeland split discussed before. Wood harvest patterns are constrained with Landsat tree cover loss data.

DGVMs implement land-use change differently (e.g. an increased cropland fraction in a grid cell can be at the expense of either grassland or shrubs, or forest, the latter resulting in deforestation; land cover fractions of the non-agricultural land differ among models). Similarly, model-specific assumptions are applied to convert deforested biomass or deforested area and other forest product pools into carbon, and different choices are made regarding the allocation of rangelands as natural vegetation or pastures.

The DGVM model runs were forced by either the merged monthly CRU and 6-hourly JRA-55 data set or by the monthly CRU data set, both providing observation-based temperature, precipitation, and incoming surface radiation on a  $0.5^\circ \times 0.5^\circ$  grid and updated to 2017 (Harris et al., 2014). The combination of CRU monthly data with 6-hourly forcing is updated this year from NCEP to JRA-55 (Kobayashi et al., 2015), adapting the methodology used in previous years (Viovy, 2016) to the specifics of the JRA-55 data. The forcing data also include global atmospheric CO<sub>2</sub>, which changes over time (Dlugokencky and Tans, 2018) and gridded time-dependent N deposition (as used in some models; Table A1).

Two sets of simulations were performed with the DGVMs. Both applied historical changes in climate, atmospheric CO<sub>2</sub> concentration, and N deposition. The two sets of simulations differ, however, with respect to land use: one set applies historical changes in land use, the other a time-invariant pre-industrial land cover distribution and pre-industrial wood harvest rates. By difference of the two simulations, the dynamic evolution of vegetation biomass and soil carbon pools in response to land use change can be quantified in each model ( $E_{LUC}$ ). We only retain model outputs with positive  $E_{LUC}$ , i.e. a positive flux to the atmosphere, during the 1990s (Table A1). Using the difference between these two DGVM simulations to diagnose  $E_{LUC}$  means the DGVMs account for the loss of additional sink capacity (around 0.3 GtC yr<sup>-1</sup>; see Sect. 2.8.4), while the bookkeeping models do not.

### 2.3.3 Uncertainty assessment for $E_{LUC}$

Differences between the bookkeeping models and DGVM models originate from three main sources: the different methodologies, the underlying land use/land cover data set, and the different processes represented (Table A1). We examine the results from the DGVM models and from the bookkeeping method and use the resulting variations as a way to characterise the uncertainty in  $E_{LUC}$ .

The  $E_{LUC}$  estimate from the DGVMs multi-model mean is consistent with the average of the emissions from the bookkeeping models (Table 5). However there are large differences among individual DGVMs (standard deviation at around 0.6–0.7 GtC yr<sup>-1</sup>; Table 5), between the two bookkeeping models (average of 0.7 GtC yr<sup>-1</sup>), and between the current estimate of H&N2017 and its previous model version (Houghton et al., 2012). The uncertainty in  $E_{LUC}$  of  $\pm 0.7$  GtC yr<sup>-1</sup> reflects our best value judgment that there is at least a 68 % chance ( $\pm 1\sigma$ ) that the true land-use change emission lies within the given range, for the range of processes considered here. Prior to the year 1959, the uncertainty in  $E_{LUC}$  was taken from the standard deviation of the DGVMs. We assign low confidence to the annual estimates of  $E_{LUC}$  because of the inconsistencies among estimates and of the difficulties to quantify some of the processes in DGVMs.

### 2.3.4 Emission projections

We project emissions for both H&N2017 and BLUE for 2018 using the same approach as for the extrapolation of H&N2017 for 2016–2017. Peat burning as well as tropical deforestation and degradation are estimated using active fire data (MCD14ML; Giglio et al., 2016), which scales almost linearly with GFED (van der Werf et al., 2017) and thus allows for tracking fire emissions in deforestation and tropical peat zones in near-real time. During most years, emissions during January–October cover most of the fire season in the Amazon and Southeast Asia, where a large part of the global deforestation takes place.

## 2.4 Growth rate in atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ )

### 2.4.1 Global growth rate in atmospheric CO<sub>2</sub> concentration

The rate of growth of the atmospheric CO<sub>2</sub> concentration is provided by the US National Oceanic and Atmospheric Administration Earth System Research Laboratory (NOAA/ESRL, 2018; Dlugokencky and Tans, 2018), which is updated from Ballantyne et al. (2012). For the 1959–1979 period, the global growth rate is based on measurements of atmospheric CO<sub>2</sub> concentration averaged from the Mauna Loa and South Pole stations, as observed by the CO<sub>2</sub> Program at the Scripps Institution of Oceanography (Keeling et

**Table 5.** Comparison of results from the bookkeeping method and budget residuals with results from the DGVMs and inverse estimates for different periods, the last decade, and the last year available. All values are in  $\text{GtC yr}^{-1}$ . The DGVM uncertainties represent  $\pm 1\sigma$  of the decadal or annual (for 2017 only) estimates from the individual DGVMs; for the inverse models the range of available results is given.

	Mean ( $\text{GtC yr}^{-1}$ ) $\pm 1\sigma$						
	1960–1969	1970–1979	1980–1989	1990–1999	2000–2009	2008–2017	2017
Land-use change emissions ( $E_{\text{LUC}}$ )							
Bookkeeping methods	$1.5 \pm 0.7$	$1.2 \pm 0.7$	$1.2 \pm 0.7$	$1.4 \pm 0.7$	$1.3 \pm 0.7$	$1.5 \pm 0.7$	$1.4 \pm 0.7$
DGVMs	$1.5 \pm 0.7$	$1.4 \pm 0.7$	$1.5 \pm 0.7$	$1.3 \pm 0.6$	$1.4 \pm 0.6$	$1.9 \pm 0.6$	$2.0 \pm 0.7$
Terrestrial sink ( $S_{\text{LAND}}$ )							
Residual sink from global budget ( $E_{\text{EFF}} + E_{\text{LUC}} - G_{\text{ATM}} - S_{\text{OCEAN}}$ )	$1.8 \pm 0.9$	$1.8 \pm 0.9$	$1.5 \pm 0.9$	$2.6 \pm 0.9$	$2.9 \pm 0.9$	$3.5 \pm 1.0$	$4.1 \pm 1.0$
DGVMs	$1.2 \pm 0.5$	$2.1 \pm 0.4$	$1.8 \pm 0.6$	$2.4 \pm 0.5$	$2.7 \pm 0.7$	$3.2 \pm 0.7$	$3.8 \pm 0.8$
Total land fluxes ( $S_{\text{LAND}} - E_{\text{LUC}}$ )							
Budget constraint ( $E_{\text{EFF}} - G_{\text{ATM}} - S_{\text{OCEAN}}$ )	$0.3 \pm 0.5$	$0.6 \pm 0.6$	$0.4 \pm 0.6$	$1.2 \pm 0.6$	$1.6 \pm 0.6$	$2.1 \pm 0.7$	$2.7 \pm 0.7$
DGVMs	$-0.3 \pm 0.6$	$0.7 \pm 0.5$	$0.3 \pm 0.6$	$1.1 \pm 0.5$	$1.3 \pm 0.5$	$1.3 \pm 0.5$	$1.8 \pm 0.5$
Inversions*	$-/-/-$	$-/-/-$	$-0.2-0.1$	$0.5-1.1$	$0.8-1.5$	$1.4-2.4$	$1.2-3.1$

\* Estimates are corrected for the pre-industrial influence of river fluxes and adjusted to common  $E_{\text{EFF}}$  (Sect. 2.8.2). Two inversions are available for the 1980s and 1990s. Two additional inversions are available from 2001 and used from the decade of the 2000s (Table A3).

al., 1976). For the 1980–2017 time period, the global growth rate is based on the average of multiple stations selected from the marine boundary layer sites with well-mixed background air (Ballantyne et al., 2012), after fitting each station with a smoothed curve as a function of time and averaging by latitude band (Masarie and Tans, 1995). The annual growth rate is estimated by Dlugokencky and Tans (2018) from the atmospheric  $\text{CO}_2$  concentration by taking the average of the most recent December–January months corrected for the average seasonal cycle and subtracting this same average 1 year earlier. The growth rate in units of  $\text{ppm yr}^{-1}$  is converted to units of  $\text{GtC yr}^{-1}$  by multiplying by a factor of 2.124  $\text{GtC}$  per  $\text{ppm}$  (Ballantyne et al., 2012).

The uncertainty around the atmospheric growth rate is due to four main factors. The first factor is the long-term reproducibility of reference gas standards (around 0.03 ppm for  $1\sigma$  from the 1980s). The second factor is that small unexplained systematic analytical errors that may have a duration of several months to 2 years come and go. They have been simulated by randomising both the duration and the magnitude (determined from the existing evidence) in a Monte Carlo procedure. The third factor is the network composition of the marine boundary layer with some sites coming or going, gaps in the time series at each site, etc. (Dlugokencky and Tans, 2018). The latter uncertainty was estimated by NOAA/ESRL with a Monte Carlo method by constructing 100 “alternative” networks (NOAA/ESRL, 2018; Masarie and Tans, 1995). The second and third uncertainties, summed in quadrature, add up to 0.085 ppm on average (Dlugokencky and Tans, 2018). Fourth, the uncertainty associated with using the average  $\text{CO}_2$  concentration from

a surface network to approximate the true atmospheric average  $\text{CO}_2$  concentration (mass weighted, in three dimensions) as needed to assess the total atmospheric  $\text{CO}_2$  burden. In reality,  $\text{CO}_2$  variations measured at the stations will not exactly track changes in total atmospheric burden, with offsets in magnitude and phasing due to vertical and horizontal mixing. This effect must be very small on decadal and longer timescales, when the atmosphere can be considered well mixed. Preliminary estimates suggest this effect would increase the annual uncertainty, but a full analysis is not yet available. We therefore maintain an uncertainty around the annual growth rate based on the multiple stations’ data set ranges between 0.11 and 0.72  $\text{GtC yr}^{-1}$ , with a mean of 0.61  $\text{GtC yr}^{-1}$  for 1959–1979 and 0.18  $\text{GtC yr}^{-1}$  for 1980–2017, when a larger set of stations were available as provided by Dlugokencky and Tans (2018), but recognise further exploration of this uncertainty is required. At this time, we estimate the uncertainty of the decadal averaged growth rate after 1980 at 0.02  $\text{GtC yr}^{-1}$  based on the calibration and the annual growth rate uncertainty, but stretched over a 10-year interval. For years prior to 1980, we estimate the decadal averaged uncertainty to be 0.07  $\text{GtC yr}^{-1}$  based on a factor proportional to the annual uncertainty prior to and after 1980 ( $0.61/0.18 \times 0.02 \text{ GtC yr}^{-1}$ ).

We assign a high confidence to the annual estimates of  $G_{\text{ATM}}$  because they are based on direct measurements from multiple and consistent instruments and stations distributed around the world (Ballantyne et al., 2012).

In order to estimate the total carbon accumulated in the atmosphere since 1750 or 1870, we use an atmospheric  $\text{CO}_2$  concentration of  $277 \pm 3 \text{ ppm}$  or  $288 \pm 3 \text{ ppm}$ , respec-

tively, based on a cubic spline fit to ice core data (Joos and Spahni, 2008). The uncertainty of  $\pm 3$  ppm (converted to  $\pm 1\sigma$ ) is taken directly from the IPCC's assessment (Ciais et al., 2013). Typical uncertainties in the growth rate in atmospheric CO<sub>2</sub> concentration from ice core data are equivalent to  $\pm 0.1$ – $0.15$  GtC yr<sup>-1</sup> as evaluated from the Law Dome data (Etheridge et al., 1996) for individual 20-year intervals over the period from 1870 to 1960 (Bruno and Joos, 1997).

#### 2.4.2 Atmospheric growth rate projection

We provide an assessment of  $G_{\text{ATM}}$  for 2018 based on the observed increase in atmospheric CO<sub>2</sub> concentration at the Mauna Loa station for January to October and a mean growth rate over the past 5 years for the months November to December. Growth at Mauna Loa is closely correlated with the global growth ( $r = 0.95$ ) and is used here as a proxy for global growth, but the regression is not 1 to 1. We also adjust the projected global growth rate to take this into account. The assessment method used this year differs from the forecast method used in Le Quéré et al. (2018) based on the relationship between annual CO<sub>2</sub> growth rate and sea surface temperatures (SSTs) in the Niño3.4 region of Betts et al. (2016). A change was introduced because although the observed growth rate for 2017 of 2.2 ppm was within the projection range of  $2.5 \pm 0.5$  ppm of last year (Le Quéré et al., 2018), the forecast values for 2018 for January to October are too high by approximately 0.4 ppm above observed values on average. The reasons for the difference are being investigated. The use of observed growth at Mauna Loa Observatory, Hawaii, for the first half of the year is thought to be more robust because of its high correlation with the global growth rate. Furthermore, additional analysis suggests that the first half of the year shows more interannual variability than the second half of the year, so that the exact projection method applied to November–December has only a small impact (< 0.1 ppm) on the projection of the full year. Uncertainty is estimated from past variability using the standard deviation of the last 5 years' monthly growth rates.

### 2.5 Ocean CO<sub>2</sub> sink

Estimates of the global ocean CO<sub>2</sub> sink SOCEAN are from an ensemble of global ocean biogeochemistry models (GOBMs) that meet observational constraints over the 1990s (see below). We use observation-based estimates of SOCEAN to provide a qualitative assessment of confidence in the reported results and to estimate the cumulative accumulation of SOCEAN over the pre-industrial period.

#### 2.5.1 Observation-based estimates

We use the observational constraints assessed by IPCC of a mean ocean CO<sub>2</sub> sink of  $2.2 \pm 0.4$  GtC yr<sup>-1</sup> for the 1990s (Denman et al., 2007) to verify that the GOBMs provide a

realistic assessment of SOCEAN. This is based on indirect observations with seven different methodologies and their uncertainties, using the methods that are deemed most reliable for the assessment of this quantity (Denman et al., 2007). The IPCC confirmed this assessment in 2013 (Ciais et al., 2013). The observational-based estimates use the ocean–land CO<sub>2</sub> sink partitioning from observed atmospheric O<sub>2</sub>/N<sub>2</sub> concentration trends (Manning and Keeling, 2006; updated in Keeling and Manning 2014), an oceanic inversion method constrained by ocean biogeochemistry data (Mikaloff Fletcher et al., 2006), and a method based on a penetration timescale for chlorofluorocarbons (McNeil et al., 2003). The IPCC estimate of 2.2 GtC yr<sup>-1</sup> for the 1990s is consistent with a range of methods (Wanninkhof et al., 2013).

We also use two estimates of the ocean CO<sub>2</sub> sink and its variability based on interpolations of measurements of surface ocean fugacity of CO<sub>2</sub> ( $p\text{CO}_2$  corrected for the non-ideal behaviour of the gas; Pfeil et al., 2013). We refer to these as  $p\text{CO}_2$ -based flux estimates. The measurements are from the Surface Ocean CO<sub>2</sub> Atlas version 6, which is an update of version 3 (Bakker et al., 2016) and contains quality-controlled data until 2017 (see data attribution Table A4). The SOCAT v6 data were mapped using a data-driven diagnostic method (Rödenbeck et al., 2013) and a combined self-organising map and feed-forward neural network (Landschützer et al., 2014). The global  $p\text{CO}_2$ -based flux estimates were adjusted to remove the pre-industrial ocean source of CO<sub>2</sub> to the atmosphere of 0.78 GtC yr<sup>-1</sup> from river input to the ocean (Resplandy et al., 2018), per our definition of SOCEAN. Several other ocean sink products based on observations are also available but they continue to show large unresolved discrepancies with observed variability. Here we used the two  $p\text{CO}_2$ -based flux products that had the best fit to observations for their representation of tropical and global variability (Rödenbeck et al., 2015).

We further use results from two diagnostic ocean models of Khatiwala et al. (2013) and DeVries (2014) to estimate the anthropogenic carbon accumulated in the ocean prior to 1959. The two approaches assume constant ocean circulation and biological fluxes, with SOCEAN estimated as a response in the change in atmospheric CO<sub>2</sub> concentration calibrated to observations. The uncertainty in cumulative uptake of  $\pm 20$  GtC (converted to  $\pm 1\sigma$ ) is taken directly from the IPCC's review of the literature (Rhein et al., 2013), or about  $\pm 30\%$  for the annual values (Khatiwala et al., 2009).

#### 2.5.2 Global ocean biogeochemistry models (GOBMs)

The ocean CO<sub>2</sub> sink for 1959–2017 is estimated using seven GOBMs (Table A2). The GOBMs represent the physical, chemical, and biological processes that influence the surface ocean concentration of CO<sub>2</sub> and thus the air–sea CO<sub>2</sub> flux. The GOBMs are forced by meteorological reanalysis and atmospheric CO<sub>2</sub> concentration data available for the entire time period. They mostly differ in the source of the at-

mospheric forcing data (meteorological reanalysis), spin-up strategies, and their horizontal and vertical resolutions (Table A2). GOBMs do not include the effects of anthropogenic changes in nutrient supply, which could lead to an increase in the ocean sink of up to about  $0.3 \text{ GtC yr}^{-1}$  over the industrial period (Duce et al., 2008). They also do not include the perturbation associated with changes in riverine organic carbon (see Sect. 2.8.3).

### 2.5.3 GOBM evaluation and uncertainty assessment for *SOCEAN*

The mean ocean  $\text{CO}_2$  sink for all GOBMs falls within 90 % confidence of the observed range, or  $1.6$  to  $2.8 \text{ GtC yr}^{-1}$  for the 1990s. Here we have adjusted the confidence interval to the IPCC confidence interval of 90 % to avoid rejecting models that may be outliers but are still plausible.

The GOBMs and flux products have been further evaluated using  $f\text{CO}_2$  from the SOCAT v6 database. We focused this initial evaluation on the interannual mismatch metric proposed by Rödenbeck et al. (2015) for the comparison of flux products. The metric provides a measure of the mismatch between observations and models or flux products on the  $x$  axis as well as a measure of the amplitude of the interannual variability on the  $y$  axis. A smaller number on the  $x$  axis indicates a better fit with observations. The amplitude of the interannual variability in *SOCEAN* ( $y$  axis) is calculated as the temporal standard deviation of the  $\text{CO}_2$  flux time series.

The calculation for the  $x$  axis is carried out as follows: (1) the mismatch between the observed and the modelled  $f\text{CO}_2$  is calculated for the period 1985 to 2017 (except for the IPSL model, which uses 1985 to 2015 due to data availability), but only for grid points for which actual observations exist. (2) The interannual variability in this mismatch is calculated as the temporal standard deviation of the mismatch. (3) To put numbers into perspective, the interannual variability in the mismatch is reported relative to the interannual variability in the mismatch between a benchmark  $f\text{CO}_2$  field and the observations. The benchmark  $f\text{CO}_2$  field is designed to have no interannual variability, i.e. it is calculated as the mean seasonal cycle at each grid point over the full period plus the deseasonalised atmospheric  $f\text{CO}_2$  increase over time. By definition, the interannual variability in the misfit between benchmark and observations is large as the benchmark field does not contain any interannual variability from the ocean. A smaller relative interannual variability mismatch indicates a better fit between observed and modelled  $f\text{CO}_2$ . This metric is chosen because it is the most direct measure of the year-to-year variability in *SOCEAN* in ocean biogeochemistry models. We apply the metric globally and by latitude bands. Results are shown in Fig. B1 and discussed in Sect. 3.1.3.

The uncertainty around the mean ocean sink of anthropogenic  $\text{CO}_2$  was quantified by Denman et al. (2007) for the 1990s (see Sect. 2.5.1). To quantify the uncertainty

around annual values, we examine the standard deviation of the GOBM ensemble, which averages between  $0.2$  and  $0.3 \text{ GtC yr}^{-1}$  during 1959–2017. We estimate that the uncertainty in the annual ocean  $\text{CO}_2$  sink is about  $\pm 0.5 \text{ GtC yr}^{-1}$  from the combined uncertainty of the mean flux based on observations of  $\pm 0.4 \text{ GtC yr}^{-1}$  and the standard deviation across GOBMs of up to  $\pm 0.3 \text{ GtC yr}^{-1}$ , reflecting the uncertainty in both the mean sink from observations during the 1990s (Denman et al., 2007; Sect. 2.5.1) and the interannual variability as assessed by GOBMs.

We examine the consistency between the variability in the model-based and the  $p\text{CO}_2$ -based flux products to assess confidence in *SOCEAN*. The interannual variability in the ocean fluxes (quantified as the standard deviation) of the two  $p\text{CO}_2$ -based flux products for 1985–2017 (where they overlap) is  $\pm 0.36 \text{ GtC yr}^{-1}$  (Rödenbeck et al., 2014) and  $\pm 0.38 \text{ GtC yr}^{-1}$  (Landschützer et al., 2015), compared to  $\pm 0.29 \text{ GtC yr}^{-1}$  for the GOBM ensemble. The standard deviation includes a component of trend and decadal variability in addition to interannual variability, and their relative influence differs across estimates. Individual estimates (both GOBM and flux products) generally produce a higher ocean  $\text{CO}_2$  sink during strong El Niño events. The annual  $p\text{CO}_2$ -based flux products correlate with the ocean  $\text{CO}_2$  sink estimated here with a correlation of  $r = 0.75$  (0.59 to 0.79 for individual GOBMs) and  $r = 0.80$  (0.71 to 0.81) for the  $p\text{CO}_2$ -based flux products of Rödenbeck et al. (2014) and Landschützer et al. (2015), respectively (simple linear regression), with their mutual correlation at 0.73. The agreement between models and the flux products reflects some consistency in their representation of underlying variability since there is little overlap in their methodology or use of observations. The use of annual data for the correlation may reduce the strength of the relationship because the dominant source of variability associated with El Niño events is less than 1 year. We assess a medium confidence level to the annual ocean  $\text{CO}_2$  sink and its uncertainty because it is based on multiple lines of evidence, and the results are consistent in that the interannual variability in the GOBMs and data-based estimates are all generally small compared to the variability in the growth rate of atmospheric  $\text{CO}_2$  concentration.

## 2.6 Terrestrial $\text{CO}_2$ sink

### 2.6.1 DGVM simulations

The terrestrial land sink ( $S_{\text{LAND}}$ ) is thought to be due to the combined effects of fertilisation by rising atmospheric  $\text{CO}_2$  and N deposition on plant growth, as well as the effects of climate change such as the lengthening of the growing season in northern temperate and boreal areas.  $S_{\text{LAND}}$  does not include land sinks directly resulting from land use and land-use change (e.g. regrowth of vegetation) as these are part of the land use flux ( $E_{\text{LUC}}$ ), although system boundaries make

it difficult to exactly attribute CO<sub>2</sub> fluxes on land between  $S_{\text{LAND}}$  and  $E_{\text{LUC}}$  (Erb et al., 2013).

$S_{\text{LAND}}$  is estimated from the multi-model mean of the DGVMs (Table 4). As described in Sect. 2.3.2, DGVM simulations include all climate variability and CO<sub>2</sub> effects over land, with some DGVMs also including the effect of N deposition. The DGVMs do not include the perturbation associated with changes in river organic carbon, which is discussed in Sect. 2.8.

## 2.6.2 DGVM evaluation and uncertainty assessment for $S_{\text{LAND}}$

We apply three criteria for minimum DGVM realism by including only those DGVMs with (1) steady state after spin-up; (2) net land fluxes ( $S_{\text{LAND}} - E_{\text{LUC}}$ ) that are the atmosphere-to-land carbon flux over the 1990s ranging between −0.3 and 2.3 GtC yr<sup>−1</sup>, within 90 % confidence of constraints by global atmospheric and oceanic observations (Keeling and Manning, 2014; Wanninkhof et al., 2013); and (3) global  $E_{\text{LUC}}$  that is a carbon source to the atmosphere over the 1990s. All 16 DGVMs meet the three criteria.

In addition, the DGVM results are now also evaluated using the International Land Model Benchmarking System (ILAMB; Collier et al., 2018). This evaluation is provided here to document, encourage, and support model improvements through time. ILAMB variables cover key processes that are relevant for the quantification of  $S_{\text{LAND}}$  and resulting aggregated outcomes. The selected variables are vegetation biomass, gross primary productivity, leaf area index, net ecosystem exchange, ecosystem respiration, evapotranspiration, and runoff (see Fig. B2 for the results and for the list of observed databases). Results are shown in Fig. B2 and discussed in Sect. 3.1.3.

For the uncertainty, we use the standard deviation of the annual CO<sub>2</sub> sink across the DGVMs, which averages to ±0.8 GtC yr<sup>−1</sup> for the period from 1959 to 2017. We attach a medium confidence level to the annual land CO<sub>2</sub> sink and its uncertainty because the estimates from the residual budget and averaged DGVMs match well within their respective uncertainties (Table 5).

## 2.7 The atmospheric perspective

The worldwide network of atmospheric measurements can be used with atmospheric inversion methods to constrain the location of the combined total surface CO<sub>2</sub> fluxes from all sources, including fossil and land-use change emissions and land and ocean CO<sub>2</sub> fluxes. The inversions assume  $E_{\text{FF}}$  to be well known, and they solve for the spatial and temporal distribution of land and ocean fluxes from the residual gradients of CO<sub>2</sub> among stations that are not explained by fossil fuel emissions.

Four atmospheric inversions (Table A3) used atmospheric CO<sub>2</sub> data until the end of 2017 (including preliminary val-

ues in some cases) to infer the spatio-temporal distribution of the CO<sub>2</sub> flux exchanged between the atmosphere and the land or oceans. We focus here on the largest and most consistent sources of information, namely the total land and ocean CO<sub>2</sub> fluxes and their partitioning among the mid- to high-latitude region of the Northern Hemisphere (30–90° N), the tropics (30° S–30° N), and the mid- to high-latitude region of the Southern Hemisphere (30–90° S). We also break down those estimates for the land and ocean regions separately, to further scrutinise the constraints from atmospheric observations. We use these estimates to comment on the consistency across various data streams and process-based estimates.

### 2.7.1 Atmospheric inversions

The four inversion systems used in this release are Carbon-Tracker Europe (CTE; van der Laan-Luijkx et al., 2017), Jena CarboScope (Rödenbeck, 2005), the Copernicus Atmosphere Monitoring Service (CAMS; Chevallier et al., 2005), and MIROC (Patra et al., 2018). See Table A3 for version numbers. The inversions are based on the same Bayesian inversion principles that interpret the same, for the most part, observed time series (or subsets thereof) but use different methodologies (Table A3). These differences mainly concern the selection of atmospheric CO<sub>2</sub> data, the used prior fluxes, spatial breakdown (i.e. grid size), assumed correlation structures, and mathematical approach. The details of these approaches are documented extensively in the references provided above. Each system uses a different transport model, which was demonstrated to be a driving factor behind differences in atmospheric-based flux estimates, and specifically their distribution across latitudinal bands (e.g. Gaubert et al., 2018).

The inversions use atmospheric CO<sub>2</sub> observations from various flask and in situ networks, as detailed in Table A3. They prescribe global  $E_{\text{FF}}$ , which is scaled to the present study for CAMS and CTE, while slightly lower  $E_{\text{FF}}$  values based on alternative emission compilations were used in CarboScope and MIROC. Since this is known to result directly in lower total CO<sub>2</sub> uptake in atmospheric inversions (Gaubert et al., 2018; Peylin et al., 2013), we adjusted the land sink of each inversion estimate (where most of the emissions occur) by its fossil fuel difference to the CAMS model. These differences amount to as much as 0.7 GtC for certain years (CarboScope inversion region NH) and are thus an important consideration in an inverse flux comparison.

The land–ocean CO<sub>2</sub> fluxes from atmospheric inversions contain anthropogenic perturbation and natural pre-industrial CO<sub>2</sub> fluxes. Natural pre-industrial fluxes are land CO<sub>2</sub> sinks corresponding to carbon transported to the ocean by rivers. These land CO<sub>2</sub> sinks are compensated for over the globe by ocean CO<sub>2</sub> sources corresponding to the outgassing of riverine carbon inputs to the ocean. We apply the distribution of land CO<sub>2</sub> fluxes in three latitude bands using estimates from Resplandy et al. (2018), which are constrained by ocean heat

transport to a total sink of  $0.78 \text{ GtC yr}^{-1}$ . The latitude distribution of river-induced ocean  $\text{CO}_2$  sources is derived from a simulation of the IPSL GOBM using the river flux constrained by heat transport of Resplandy et al. (2018) as an input. We adjusted the land–ocean fluxes per latitude band based on these results.

The atmospheric inversions are now evaluated using vertical profiles of atmospheric  $\text{CO}_2$  concentrations (Fig. B3). More than 50 aircraft programmes over the globe, either regular or occasional, have been used in order to draw a picture of the model performance but the space–time data coverage is irregular, denser around 2009 or in the  $0\text{--}45^\circ \text{N}$  latitude band. The four models are compared to independent  $\text{CO}_2$  measurements made onboard aircraft over many places of the world between 1 and 7 km above sea level, between 2008 and 2016. Results are shown in Fig. B3 and discussed in Sect. 3.1.3.

## 2.8 Processes not included in the global carbon budget

The contribution of anthropogenic CO and  $\text{CH}_4$  to the global carbon budget has been partly neglected in Eq. (1) and is described in Sect. 2.8.1. The contributions of other carbonates to  $\text{CO}_2$  emissions are described in Sect. 2.8.2. The contribution of anthropogenic changes in river fluxes is conceptually included in Eq. (1) in  $S_{\text{OCEAN}}$  and in  $S_{\text{LAND}}$ , but it is not represented in the process models used to quantify these fluxes. This effect is discussed in Sect. 2.8.3. Similarly, the loss of additional sink capacity from reduced forest cover is missing in the combination of approaches used here to estimate both land fluxes ( $E_{\text{LUC}}$  and  $S_{\text{LAND}}$ ) and its potential effect is discussed and quantified in Sect. 2.8.4.

### 2.8.1 Contribution of anthropogenic CO and $\text{CH}_4$ to the global carbon budget

Equation (1) only partly includes the net input of  $\text{CO}_2$  to the atmosphere from the chemical oxidation of reactive carbon-containing gases from sources other than the combustion of fossil fuels, such as (1) cement process emissions, since these do not come from combustion of fossil fuels, (2) the oxidation of fossil fuels, and (3) the assumption of immediate oxidation of vented methane in oil production. Equation (1) omits however any other anthropogenic carbon-containing gases that are eventually oxidised in the atmosphere, such as anthropogenic emissions of CO and  $\text{CH}_4$ . An attempt is made in this section to estimate their magnitude and identify the sources of uncertainty. Anthropogenic CO emissions are from incomplete fossil fuel and biofuel burning and deforestation fires. The main anthropogenic emissions of fossil  $\text{CH}_4$  that matter for the global carbon budget are the fugitive emissions of coal, oil, and gas upstream from sectors (see below). These emissions of CO and  $\text{CH}_4$  contribute a net addition of fossil carbon to the atmosphere.

In our estimate of  $E_{\text{FF}}$  we assumed (Sect. 2.1.1) that all the fuel burned is emitted as  $\text{CO}_2$ ; thus CO anthropogenic emissions associated with incomplete combustion and their atmospheric oxidation into  $\text{CO}_2$  within a few months are already counted implicitly in  $E_{\text{FF}}$  and should not be counted twice (same for  $E_{\text{LUC}}$  and anthropogenic CO emissions by deforestation fires). Anthropogenic emissions of fossil  $\text{CH}_4$  are not included in  $E_{\text{FF}}$  because these fugitive emissions are not included in the fuel inventories. Yet they contribute to the annual  $\text{CO}_2$  growth rate after  $\text{CH}_4$  is oxidised into  $\text{CO}_2$ . Anthropogenic emissions of fossil  $\text{CH}_4$  represent 15 % of total  $\text{CH}_4$  emissions (Kirschke et al., 2013), that is  $0.061 \text{ GtC yr}^{-1}$  for the past decade. Assuming steady state, these emissions are all converted to  $\text{CO}_2$  by OH oxidation and thus explain  $0.06 \text{ GtC yr}^{-1}$  of the global  $\text{CO}_2$  growth rate in the past decade, or  $0.07\text{--}0.1 \text{ GtC yr}^{-1}$  using the higher  $\text{CH}_4$  emissions reported recently (Schwietzke et al., 2016).

Other anthropogenic changes in the sources of CO and  $\text{CH}_4$  from wildfires, vegetation biomass, wetlands, ruminants, or permafrost changes are similarly assumed to have a small effect on the  $\text{CO}_2$  growth rate. The  $\text{CH}_4$  emissions and sinks are published and analysed separately in the Global Methane Budget publication that follows an approach similar to that presented here (Saunois et al., 2016).

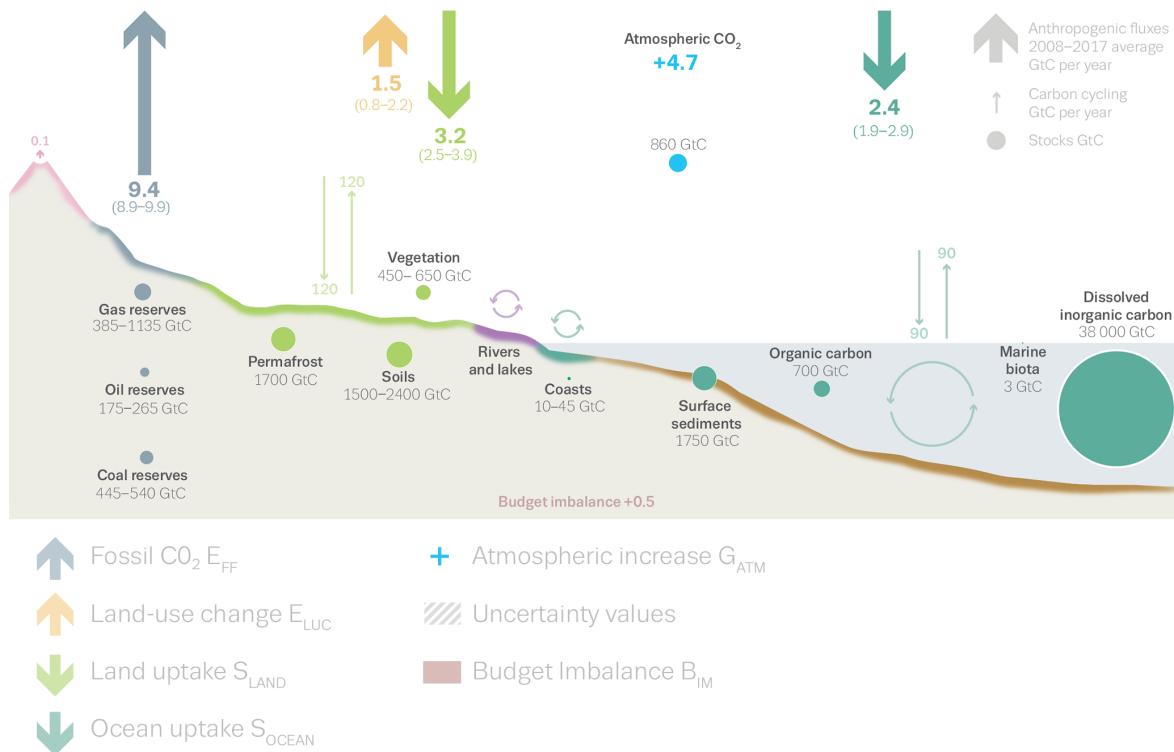
### 2.8.2 Contribution of other carbonates to $\text{CO}_2$ emissions

The contribution of fossil carbonates other than cement production is not systematically included in estimates of  $E_{\text{FF}}$ , except at the national level at which they are accounted for in the UNFCCC national inventories. The missing processes include  $\text{CO}_2$  emissions associated with the calcination of lime and limestone outside cement production and the reabsorption of  $\text{CO}_2$  by the rocks and concrete from carbonation through their lifetime (Xi et al., 2016). Carbonates are used in various industries, including in iron and steel manufacture and in agriculture. They are found naturally in some coals. Carbonation from the cement life cycle, including demolition and crushing, was estimated by one study to be around  $0.25 \text{ GtC yr}^{-1}$  for the year 2013 (Xi et al., 2016). Carbonation emissions from the cement life cycle would offset calcination emissions from lime and limestone production. The balance of these two processes is not clear.

### 2.8.3 Anthropogenic carbon fluxes in the land-to-ocean aquatic continuum

The approach used to determine the global carbon budget refers to the mean, variations, and trends in the perturbation of  $\text{CO}_2$  in the atmosphere, referenced to the pre-industrial era. Carbon is continuously displaced from the land to the ocean through the land–ocean aquatic continuum (LOAC) comprising freshwaters, estuaries, and coastal areas (Bauer et al., 2013; Regnier et al., 2013). A significant fraction of

## The global carbon cycle



**Figure 2.** Schematic representation of the overall perturbation of the global carbon cycle caused by anthropogenic activities, averaged globally for the decade 2008–2017. See legends for the corresponding arrows and units. The uncertainty in the atmospheric  $\text{CO}_2$  growth rate is very small ( $\pm 0.02 \text{ GtC yr}^{-1}$ ) and is neglected for the figure. The anthropogenic perturbation occurs on top of an active carbon cycle, with fluxes and stocks represented in the background and taken from Ciais et al. (2013) for all numbers, with the ocean fluxes updated to  $90 \text{ GtC yr}^{-1}$  to account for the increase in atmospheric  $\text{CO}_2$  since publication, and except for the carbon stocks at the coasts, which are from a literature review of coastal marine sediments (Price and Warren, 2016).

this lateral carbon flux is entirely “natural” and is thus a steady-state component of the pre-industrial carbon cycle. We account for this pre-industrial flux where appropriate in our study. However, changes in environmental conditions and land use change have caused an increase in the lateral transport of carbon into the LOAC – a perturbation that is relevant for the global carbon budget presented here.

The results of the analysis of Regnier et al. (2013) can be summarised in two points of relevance for the anthropogenic  $\text{CO}_2$  budget. First, the anthropogenic perturbation has increased the organic carbon export from terrestrial ecosystems to the hydrosphere at a rate of  $1.0 \pm 0.5 \text{ GtC yr}^{-1}$ , mainly owing to enhanced carbon export from soils. Second, this exported anthropogenic carbon is partly respired through the LOAC, partly sequestered in sediments along the LOAC, and to a lesser extent transferred to the open ocean where it may accumulate. The increase in storage of land-derived organic carbon in the LOAC and open ocean combined is estimated by Regnier et al. (2013) at  $0.65 \pm 0.35 \text{ GtC yr}^{-1}$ . We do not attempt to incorporate the changes in LOAC in our study.

The inclusion of freshwater fluxes of anthropogenic  $\text{CO}_2$  affects the estimates of, and partitioning between,  $S_{\text{LAND}}$  and  $S_{\text{OCEAN}}$  in Eq. (1), but does not affect the other terms. This effect is not included in the GOBMs and DGVMs used in our global carbon budget analysis presented here.

### 2.8.4 Loss of additional sink capacity

Historical land-cover change was dominated by transitions from vegetation types that can provide a large sink per area unit (typically forests) to others less efficient in removing  $\text{CO}_2$  from the atmosphere (typically croplands). The resultant decrease in land sink, called the “loss of sink capacity”, is calculated as the difference between the actual land sink under changing land cover and the counterfactual land sink under pre-industrial land cover. An efficient protocol has yet to be designed to estimate the magnitude of the loss of additional sink capacity in DGVMs. Here, we provide a quantitative estimate of this term to be used in the discussion. Our estimate uses the compact Earth system model OSCAR whose land carbon cycle component is designed to

emulate the behaviour of DGVMs (Gasser et al., 2017). We use OSCAR v2.2.1 (an update of v2.2 with minor changes) in a probabilistic setup identical to the one of Arneth et al. (2017) but with a Monte Carlo ensemble of 2000 simulations. For each, we calculate  $S_{LAND}$  and the loss of additional sink capacity separately. We then constrain the ensemble by weighting each member to obtain a distribution of cumulative  $S_{LAND}$  over 1850–2005 close to the DGVMs used here. From this ensemble, we estimate a loss of additional sink capacity of  $0.4 \pm 0.3 \text{ GtC yr}^{-1}$  on average over 2005–2014 and  $20 \pm 15 \text{ GtC}$  accumulated between 1870 and 2017 (using a linear extrapolation of the trend to estimate the last few years).

### 3 Results

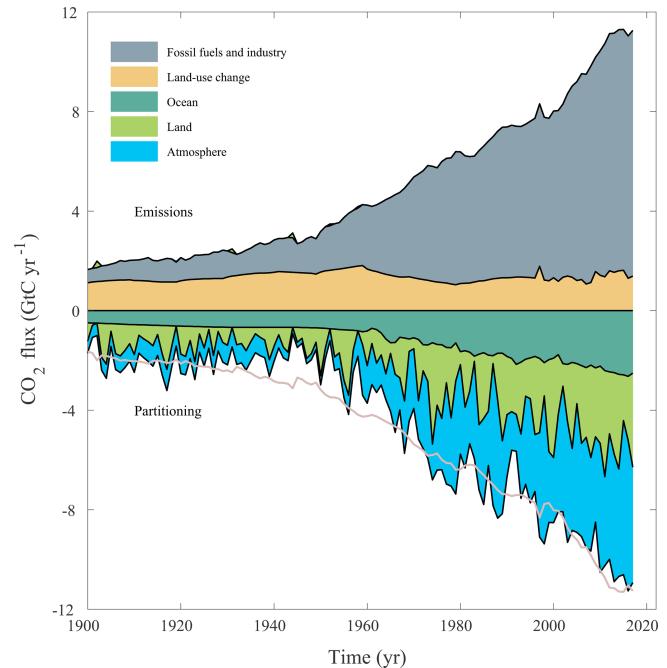
#### 3.1 Global carbon budget mean and variability for 1959–2017

The global carbon budget averaged over the last half-century is shown in Fig. 3. For this time period, 82 % of the total emissions ( $E_{FF} + E_{LUC}$ ) were caused by fossil CO<sub>2</sub> emissions and 18 % by land-use change. The total emissions were partitioned among the atmosphere (45 %), ocean (24 %), and land (30 %). All components except land-use change emissions have grown since 1959, with important interannual variability in the growth rate in atmospheric CO<sub>2</sub> concentration and in the land CO<sub>2</sub> sink (Fig. 4) and some decadal variability in all terms (Table 6). Differences with previous budget releases are documented in Fig. B4.

##### 3.1.1 CO<sub>2</sub> emissions

Global fossil CO<sub>2</sub> emissions have increased every decade from an average of  $3.1 \pm 0.2 \text{ GtC yr}^{-1}$  in the 1960s to an average of  $9.4 \pm 0.5 \text{ GtC yr}^{-1}$  during 2008–2017 (Table 6, Figs. 2 and 5). The growth rate in these emissions decreased between the 1960s and the 1990s, from  $4.5 \% \text{ yr}^{-1}$  in the 1960s (1960–1969) to  $2.8 \% \text{ yr}^{-1}$  in the 1970s (1970–1979),  $1.9 \% \text{ yr}^{-1}$  in the 1980s (1980–1989), and  $1.0 \% \text{ yr}^{-1}$  in the 1990s (1990–1999). After this period, the growth rate began increasing again in the 2000s at an average growth rate of  $3.2 \% \text{ yr}^{-1}$ , decreasing to  $1.5 \% \text{ yr}^{-1}$  for the last decade (2008–2017), with a 3-year period of no or low growth during 2014–2016 (Fig. 5).

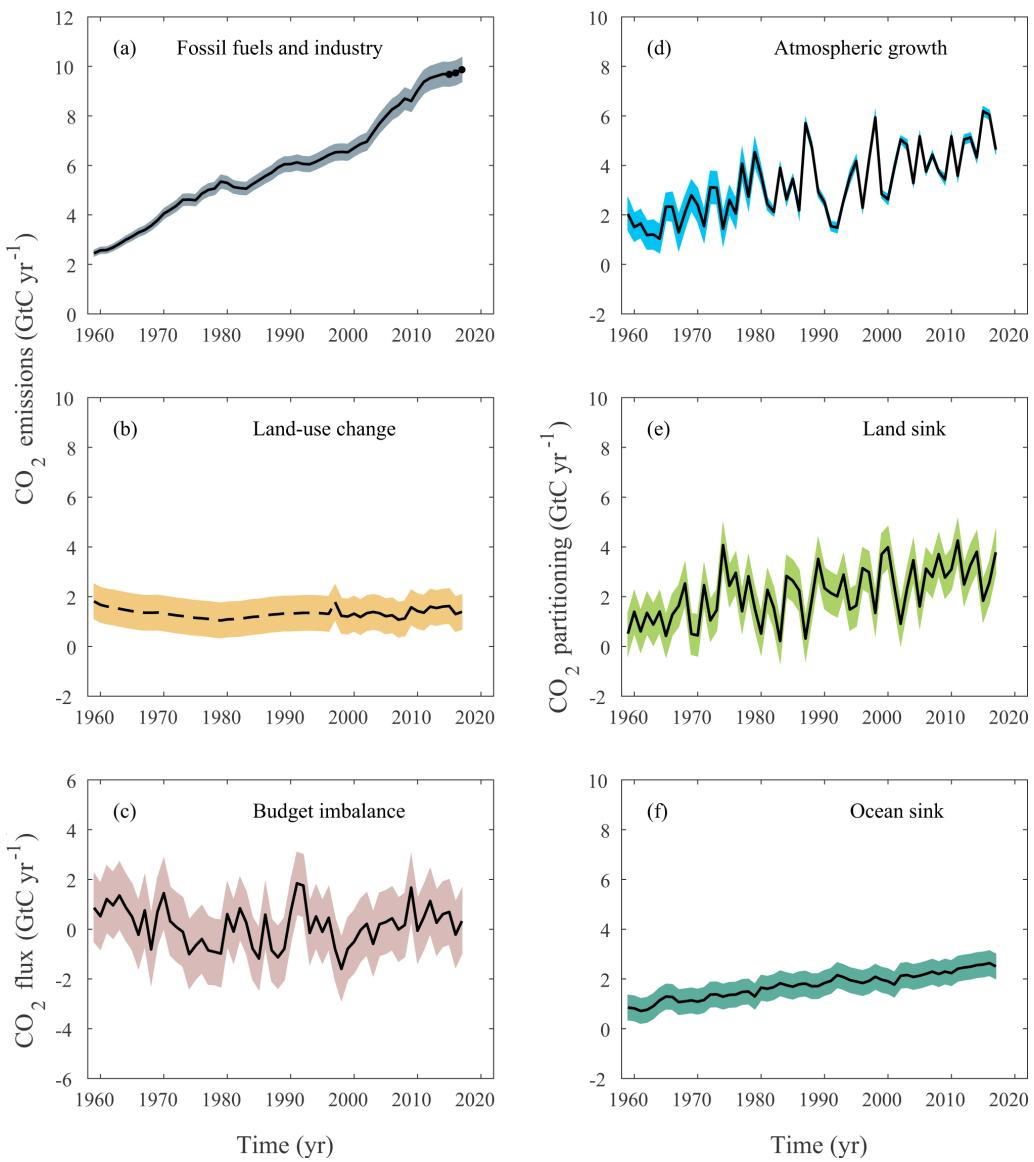
In contrast, CO<sub>2</sub> emissions from land use, land-use change, and forestry have remained relatively constant, at around  $1.3 \pm 0.7 \text{ GtC yr}^{-1}$  over the past half-century but with large spread across estimates (Fig. 6). These emissions are also relatively constant in the DGVM ensemble of models, except during the last decade when they increase to  $1.9 \pm 0.6 \text{ GtC yr}^{-1}$ . However, there is no agreement on this recent increase between the two bookkeeping models, each suggesting an opposite trend (Fig. 6).



**Figure 3.** Combined components of the global carbon budget illustrated in Fig. 2 as a function of time, for fossil CO<sub>2</sub> emissions ( $E_{FF}$ ; grey) and emissions from land-use change ( $E_{LUC}$ ; brown), as well as their partitioning among the atmosphere ( $G_{ATM}$ ; blue), ocean ( $SOCEAN$ ; turquoise), and land ( $S_{LAND}$ ; green). The partitioning is based on nearly independent estimates from observations (for  $G_{ATM}$ ) and from process model ensembles constrained by data (for  $SOCEAN$  and  $S_{LAND}$ ) and does not exactly add up to the sum of the emissions, resulting in a budget imbalance, which is represented by the difference between the bottom pink line (reflecting total emissions) and the sum of the ocean, land, and atmosphere. All time series are in GtC yr<sup>-1</sup>.  $G_{ATM}$  and  $SOCEAN$  prior to 1959 are based on different methods.  $E_{FF}$  values are primarily from Boden et al. (2017), with uncertainty of about  $\pm 5\%$  ( $\pm 1\sigma$ );  $E_{LUC}$  values are from two bookkeeping models (Table 2) with uncertainties of about  $\pm 50\%$ ;  $G_{ATM}$  prior to 1959 is from Joos and Spahni (2008) with uncertainties equivalent to about  $\pm 0.1\text{--}0.15 \text{ GtC yr}^{-1}$  and from Dlugokencky and Tans (2018) from 1959 with uncertainties of about  $\pm 0.2 \text{ GtC yr}^{-1}$ ;  $SOCEAN$  prior to 1959 is averaged from Khatiwala et al. (2013) and DeVries (2014) with uncertainty of about  $\pm 30\%$  and from a multi-model mean (Table 4) from 1959 with uncertainties of about  $\pm 0.5 \text{ GtC yr}^{-1}$ ;  $S_{LAND}$  is a multi-model mean (Table 4) with uncertainties of about  $\pm 0.9 \text{ GtC yr}^{-1}$ . See the text for more details of each component and their uncertainties.

##### 3.1.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric CO<sub>2</sub> level increased from  $1.7 \pm 0.07 \text{ GtC yr}^{-1}$  in the 1960s to  $4.7 \pm 0.02 \text{ GtC yr}^{-1}$  during 2008–2017 with important decadal variations (Table 6 and Fig. 2). Both ocean and land CO<sub>2</sub> sinks increased roughly in line with the atmospheric increase, but with sig-



**Figure 4.** Components of the global carbon budget and their uncertainties as a function of time, presented individually for (a) fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ), (b) emissions from land-use change ( $E_{\text{LUC}}$ ), (c) the budget imbalance that is not accounted for by the other terms, (d) growth rate in atmospheric CO<sub>2</sub> concentration ( $G_{\text{ATM}}$ ), (e) the land CO<sub>2</sub> sink ( $S_{\text{LAND}}$ , positive indicates a flux from the atmosphere to the land), and (f) the ocean CO<sub>2</sub> sink ( $S_{\text{OCEAN}}$ , positive indicates a flux from the atmosphere to the ocean). All time series are in GtC yr<sup>-1</sup> with the uncertainty bounds representing  $\pm 1\sigma$  in shaded colours. Data sources are as in Fig. 3. The black dots in (a) show values for 2015–2017 that originate from a different data set to the remainder of the data (see text). The dashed line in (b) identifies the pre-satellite period before the inclusion of peatland burning.

nificant decadal variability on land (Table 6), and possibly in the ocean (Fig. 7).

The ocean CO<sub>2</sub> sink increased from  $1.0 \pm 0.5$  GtC yr<sup>-1</sup> in the 1960s to  $2.4 \pm 0.5$  GtC yr<sup>-1</sup> during 2008–2017, with interannual variations of the order of a few tenths of GtC yr<sup>-1</sup> generally showing an increased ocean sink during large El Niño events (i.e. 1997–1998) (Fig. 7; Rödenbeck et al., 2014). Although there is some coherence among the GOBMs and  $p\text{CO}_2$ -based flux products regarding the mean, there is

poor agreement for interannual variability, and the ocean models underestimate decadal variability (Sect. 2.5.3 and Fig. 7; DeVries et al., 2017).

The terrestrial CO<sub>2</sub> sink increased from  $1.2 \pm 0.5$  GtC yr<sup>-1</sup> in the 1960s to  $3.2 \pm 0.7$  GtC yr<sup>-1</sup> during 2008–2017, with important interannual variations of up to 2 GtC yr<sup>-1</sup> generally showing a decreased land sink during El Niño events (Fig. 6), responsible for the corresponding enhanced growth rate in atmospheric CO<sub>2</sub> concentration. The larger land CO<sub>2</sub>

**Table 6.** Decadal mean in the five components of the anthropogenic CO<sub>2</sub> budget for different periods and the last year available. All values are in GtC yr<sup>-1</sup>, and uncertainties are reported as  $\pm 1\sigma$ . The table also shows the budget imbalance ( $B_{IM}$ ), which provides a measure of the discrepancies among the nearly independent estimates and has an uncertainty exceeding  $\pm 1$  GtC yr<sup>-1</sup>. A positive imbalance means the emissions are overestimated and/or the sinks are too small.

	Mean (GtCyr <sup>-1</sup> )						
	1960–1969	1970–1979	1980–1989	1990–1999	2000–2009	2008–2017	2017
Total emissions ( $E_{FF} + E_{LUC}$ )							
Fossil CO <sub>2</sub> emissions ( $E_{FF}$ )	3.1 ± 0.2	4.7 ± 0.2	5.4 ± 0.3	6.3 ± 0.3	7.8 ± 0.4	9.4 ± 0.5	9.9 ± 0.5
Land-use change emissions ( $E_{LUC}$ )	1.5 ± 0.7	1.2 ± 0.7	1.2 ± 0.7	1.4 ± 0.7	1.3 ± 0.7	1.5 ± 0.7	1.4 ± 0.7
Total emissions	4.7 ± 0.7	5.8 ± 0.7	6.6 ± 0.8	7.6 ± 0.8	9.0 ± 0.8	10.8 ± 0.8	11.3 ± 0.9
Partitioning							
Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{ATM}$ )	1.7 ± 0.07	2.8 ± 0.07	3.4 ± 0.02	3.1 ± 0.02	4.0 ± 0.02	4.7 ± 0.02	4.6 ± 0.2
Ocean sink ( $S_{OCEAN}$ )	1.0 ± 0.5	1.3 ± 0.5	1.7 ± 0.5	2.0 ± 0.5	2.1 ± 0.5	2.4 ± 0.5	2.5 ± 0.5
Terrestrial sink ( $S_{LAND}$ )	1.2 ± 0.5	2.1 ± 0.4	1.8 ± 0.6	2.4 ± 0.5	2.7 ± 0.7	3.2 ± 0.7	3.8 ± 0.8
Budget imbalance							
$B_{IM} = E_{FF} + E_{LUC} - (G_{ATM} + S_{OCEAN} + S_{LAND})$	(0.6)	(−0.3)	(−0.3)	(0.2)	(0.2)	(0.5)	(0.3)

sink during 2008–2017 compared to the 1960s is reproduced by all the DGVMs in response to the combined atmospheric CO<sub>2</sub> increase and changes in climate, and consistent with constraints from the other budget terms (Table 5).

Estimates of total atmosphere-to-land fluxes ( $S_{LAND} - E_{LUC}$ ) from the DGVMs are consistent with the budget constraints (Table 5), except during 2008–2017, when the DGVM ensemble estimates a total atmosphere-to-land flux of  $1.3 \pm 0.5$  GtC yr<sup>-1</sup>, likely below the budget constraints of  $2.1 \pm 0.7$  GtC yr<sup>-1</sup> and outside the range of the inversions (Table 5). This comparison suggests that the DGVMs could overestimate  $E_{LUC}$  emissions and/or underestimate the terrestrial sink  $S_{LAND}$  during the last decade.

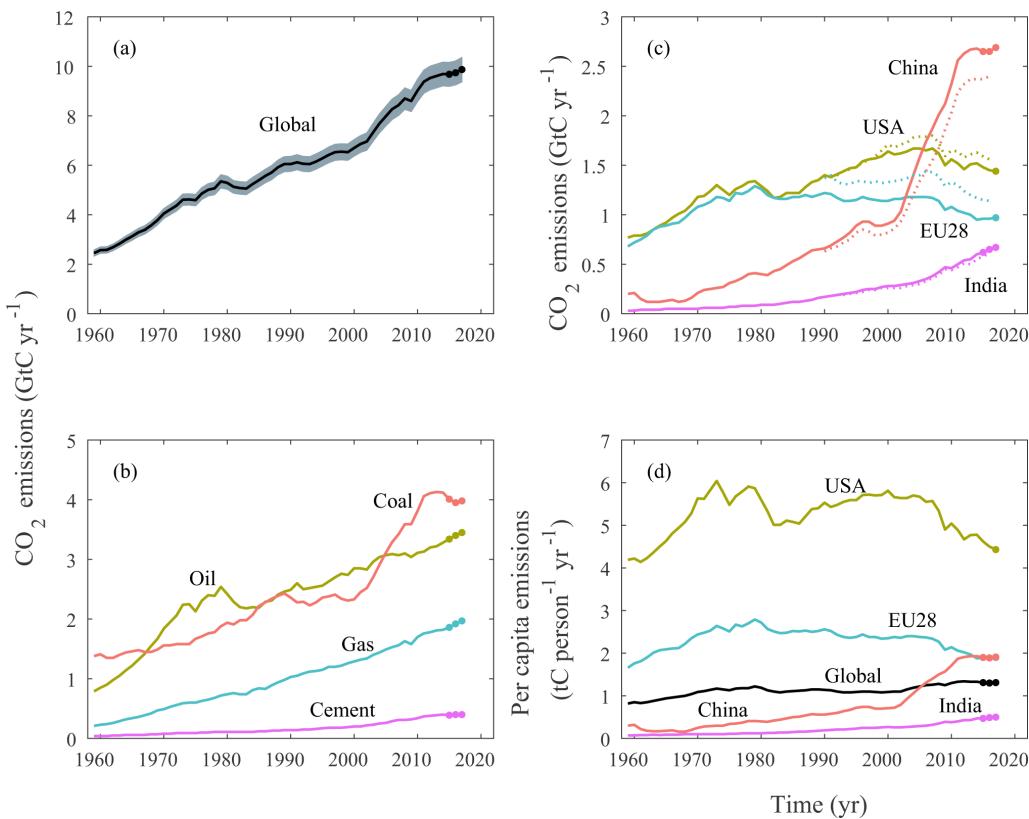
### 3.1.3 Model evaluation

The evaluation of ocean estimates (Fig. B1) shows a relative interannual mismatch of 15 % and 17 % for the two  $p\text{CO}_2$ -based flux products over the globe, relative to the  $p\text{CO}_2$  observations from the SOCAT v6 database for the period 1985–2017. A 0 % mismatch would indicate a perfect model, and a field with no interannual variability would result in a 100 % mismatch. A mismatch larger than 100 % is possible when the method produces a larger mismatch than the benchmark field with no interannual variability (see Sect. 2.5.3). This mismatch by the  $p\text{CO}_2$ -based flux products is improved compared with earlier published versions of these two flux products of around 20 %–25 % for the 1992–2009 time period (Rödenbeck et al., 2015), likely because of the larger data availability after 2009. The GOBMs show a global relative interannual mismatch between 50 % and 60 %, with one model at 94 % and one at 193 %. The GOBM mismatch is of

the same order as the mismatch calculated in an ensemble of 14 flux products but larger than the two flux products used in this report (Fig. 5 in Rödenbeck et al., 2015). The mismatch is generally larger at high latitudes compared to the tropics, for both the flux products and the GOBMs. The two flux products have a similar mismatch of around 10 %–15 % in the tropics, around 25 % in the north, and 30 %–55 % in the south. The GOBM mismatch is more spread across regions, ranging from 29 % to 178 % in the tropics, 70 % to 192 % in the north, and 108 % to 304 % in the south. The higher mismatch occurs in regions with stronger climate variability, such as the northern and southern high latitudes (poleward of the subtropical gyres) and the equatorial Pacific. The latter is also apparent in the model mismatch but is hidden in Fig. B1 due to the averaging over 30° S to 30° N (see also Sect. 4).

The evaluation of the DGVMs (Fig. B2) shows generally high skill scores across models for runoff, and to a lesser extent for vegetation biomass, GPP, and ecosystem respiration (Fig. B2, left panel). The skill score was lowest for leaf area index and net ecosystem exchange, with the widest disparity among models for soil carbon. Further analysis of the results will be provided separately, focusing on the strengths and weaknesses in the DGVM ensemble and its validity for use in the global carbon budget.

The evaluation of the atmospheric inversions (Fig. B3) shows long-term mean biases in the free troposphere better than 0.8 ppm in absolute values for each product. CAMS and CTE biases show some dependency on latitude (a trend of  $-0.0018 \pm 0.0005$  and  $0.0043 \pm 0.0004$  ppm per degree for CAMS and CTE, respectively). These latitude-dependent biases may reveal biases in the surface fluxes (e.g. Houweling et al., 2015) but the link is not straightforward and will be



**Figure 5.** Fossil CO<sub>2</sub> emissions for (a) the globe, including an uncertainty of ±5 % (grey shading), and the emissions extrapolated using BP energy statistics (black dots); (b) global emissions by fuel type, including coal (salmon), oil (olive), gas (turquoise), and cement (purple), and excluding gas flaring, which is small (0.6 % in 2013); (c) territorial (solid lines) and consumption (dashed lines) emissions for the top three country emitters (US – olive; China – salmon; India – purple) and for the European Union (EU; turquoise for the 28 member states of the EU as of 2012); and (d) per capita emissions for the top three country emitters, the EU (all colours as in panel c), and the world (black). In (b–c), the dots show the data that were extrapolated from BP energy statistics for 2014–2016. All time series are in GtC yr<sup>-1</sup> except the per capita emissions (d), which are in tonnes of carbon per person per year (tC person<sup>-1</sup> yr<sup>-1</sup>). Territorial emissions are primarily from Boden et al. (2017) except national data for the US and EU28 (the 28 member states of the EU) for 1990–2016, which are reported by the countries to the UNFCCC as detailed in the text; consumption-based emissions are updated from Peters et al. (2011a). See Sect. 2.1.1 for details of the calculations and data sources.

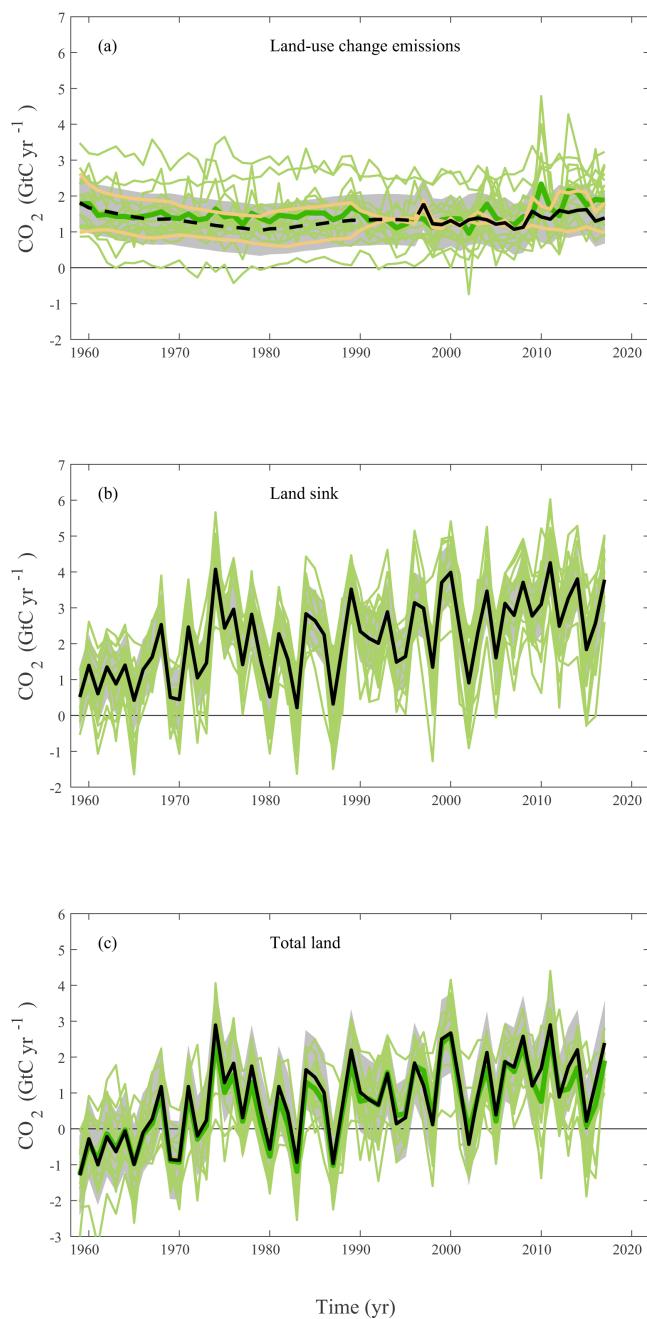
analysed separately. The biases for MIROC and CarboScope behave similarly together in relative values, but they are less regular than the two other products, which hampers the interpretation. Lesser model performance for specific aircraft programmes, like for the 4-year DISCOVER-AQ campaign in the continental US (<https://discover-aq.larc.nasa.gov/>, last access: 28 November 2018), contributes to this variability.

### 3.1.4 Budget imbalance

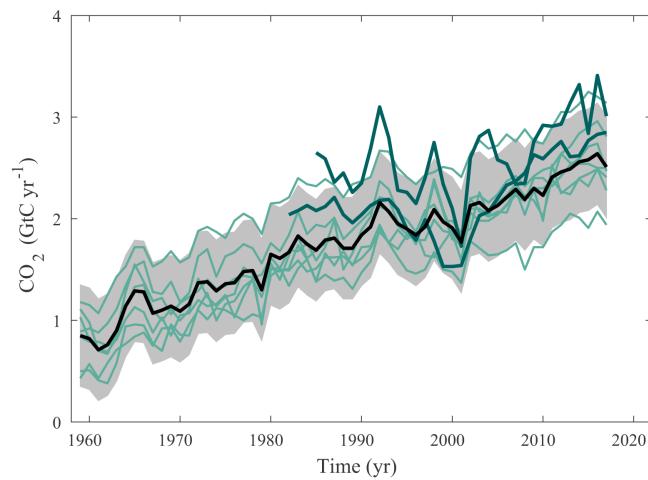
The carbon budget imbalance ( $B_{IM}$ ; Eq. 1) quantifies the mismatch between the estimated total emissions and the estimated changes in the atmosphere, land, and ocean reservoirs. The mean budget imbalance from 1959 to 2017 is small (0.14 GtC yr<sup>-1</sup>) and shows no trend over the full time series. The process models (GOBMs and DGVMs) have been selected to match observational constraints in the 1990s but no further constraints have been applied to their representation

of trend and variability. Therefore, the near-zero mean and trend in the budget imbalance are indirect evidence of a coherent community understanding of the emissions and their partitioning on those timescales (Fig. 4). However, the budget imbalance shows substantial variability on the order of ±1 GtC yr<sup>-1</sup>, particularly over semi-decadal timescales, although most of the variability is within the uncertainty of the estimates. The positive carbon imbalance during the 1960s, early 1990s, and in the last decade suggests that either the emissions were overestimated or the sinks were underestimated during these periods. The reverse is true for the 1970s and around 1995–2000 (Fig. 4).

We cannot attribute the cause of the variability in the budget imbalance with our analysis, only to note that the budget imbalance is unlikely to be explained by errors or biases in the emissions alone because of its large semi-decadal variability component, a variability that is untypical of emissions



**Figure 6.** CO<sub>2</sub> exchanges between the atmosphere and the terrestrial biosphere as used in the global carbon budget (black with  $\pm 1\sigma$  uncertainty in grey shading), for (a) CO<sub>2</sub> emissions from land-use change ( $E_{LUC}$ ), also individually showing the two bookkeeping models (two brown lines) and the DGVM model results (green) and their multi-model mean (dark green). The dashed line identifies the pre-satellite period before the inclusion of peatland burning. (b) Land CO<sub>2</sub> sink ( $S_{LAND}$ ) with individual DGVMs (green); (c) total land CO<sub>2</sub> fluxes (b-a) with individual DGVMs (green) and their multi-model mean (dark green).



**Figure 7.** Comparison of the anthropogenic atmosphere–ocean CO<sub>2</sub> flux showing the budget values of  $S_{OCEAN}$  (black; with  $\pm 1\sigma$  uncertainty in grey shading), individual ocean models (blue), and the two ocean  $p\text{CO}_2$ -based flux products (dark blue; see Table 4). Both  $p\text{CO}_2$ -based flux products were adjusted for the pre-industrial ocean source of CO<sub>2</sub> from river input to the ocean, which is not present in the ocean models, by adding a sink of 0.78 GtC yr<sup>-1</sup> (Re-splandy et al., 2018), to make them comparable to  $S_{OCEAN}$ . This adjustment does not take into account the anthropogenic contribution to river fluxes (see Sect. 2.8.3).

and has not changed in the past 50 years in spite of a nearly tripling in emissions (Fig. 4). Errors in  $S_{LAND}$  and  $S_{OCEAN}$  are more likely to be the main cause for the budget imbalance. For example, underestimation of  $S_{LAND}$  by DGVMs has been reported following the eruption of Mount Pinatubo in 1991 possibly due to missing responses to changes in diffuse radiation (Mercado et al., 2009) or other yet unknown factors, and DGVMs are suspected to overestimate the land sink in response to the wet decade of the 1970s (Sitch et al., 2008). Decadal and semi-decadal variability in the ocean sink has been also reported recently (DeVries et al., 2017; Landschützer et al., 2015), with the  $p\text{CO}_2$ -based ocean flux products suggesting a smaller-than-expected ocean CO<sub>2</sub> sink in the 1990s and a larger-than-expected sink in the 2000s (Fig. 7), possibly caused by changes in ocean circulation (DeVries et al., 2017) not captured in coarse-resolution GOBMs used here (Dufour et al., 2013). The absence of internal variability could also be at fault. Internal variability is not captured by single realisations of coarse-resolution model simulations (Li and Ilyina, 2018) and is thought to be largest in regions with strong seasonal and interannual climate variability, i.e. the high-latitude ocean regions (poleward of the subtropical gyres) and the equatorial Pacific (McKinley et al., 2016). Some of these errors could be driven by errors in the climatic forcing data, particularly precipitation (for  $S_{LAND}$ ) and wind (for  $S_{OCEAN}$ ), rather than in the models.

### 3.2 Global carbon budget for the last decade (2008–2017)

The global carbon budget averaged over the last decade (2008–2017) is shown in Figs. 2 and 9. For this time period, 87 % of the total emissions ( $E_{\text{FF}} + E_{\text{LUC}}$ ) were from fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ) and 13 % were from land-use change ( $E_{\text{LUC}}$ ). The total emissions were partitioned among the atmosphere (44 %), ocean (22 %), and land (29 %), with a remaining unattributed budget imbalance (5 %).

#### 3.2.1 CO<sub>2</sub> emissions

Global fossil CO<sub>2</sub> emissions grew at a rate of 1.5 % yr<sup>-1</sup> for the last decade (2008–2017). China's emissions increased by +3.0 % yr<sup>-1</sup> on average (increasing by +0.64 GtC yr<sup>-1</sup> during the 10-year period), dominating the global trends, followed by India's emissions increase by +5.2 % yr<sup>-1</sup> (increasing by +0.25 GtC yr<sup>-1</sup>), while emissions decreased in the EU28 by -1.8 % yr<sup>-1</sup> (decreasing by -0.17 GtC yr<sup>-1</sup>), and in the US by 0.9 % yr<sup>-1</sup> (decreasing by -0.18 GtC yr<sup>-1</sup>). In the past decade, fossil CO<sub>2</sub> emissions decreased significantly (at the 95 % level) in 25 countries: Aruba, Barbados, Croatia, Czech Republic, North Korea, Denmark, France, Greece, Greenland, Iceland, Ireland, Malta, the Netherlands, Romania, Slovakia, Slovenia, Sweden, Switzerland, Syria, Trinidad and Tobago, Ukraine, the United Kingdom, the US, Uzbekistan, and Venezuela. Notable was Germany, whose emissions did not decrease significantly.

In contrast, there is no apparent trend in CO<sub>2</sub> emissions from land-use change (Fig. 6), though the data are very uncertain, with the two bookkeeping estimates showing opposite trends over the last decade. Larger emissions are expected increasingly over time for DGVM-based estimates as they include the loss of additional sink capacity, while the bookkeeping estimates do not. The LUH2 data set also features large dynamics in land use in particular in the tropics in recent years, causing higher emissions in DGVMs and BLUE than in H&N.

#### 3.2.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric CO<sub>2</sub> concentration increased during 2008–2017, in contrast to more constant levels the previous decade and reflecting a similar decrease in the land sink compared to an increase in the previous decade, albeit with large interannual variability (Fig. 4). During the same period, the ocean CO<sub>2</sub> sink appears to have intensified, an effect which is particularly apparent in the  $p\text{CO}_2$ -based flux products (Fig. 7) and is thought to originate at least in part in the Southern Ocean (Landschützer et al., 2015).

The budget imbalance (Table 6) and the residual sink from the global budget (Table 5) include an error term due to the inconsistency that arises from using  $E_{\text{LUC}}$  from bookkeeping models but  $S_{\text{LAND}}$  from DGVMs. This error term includes

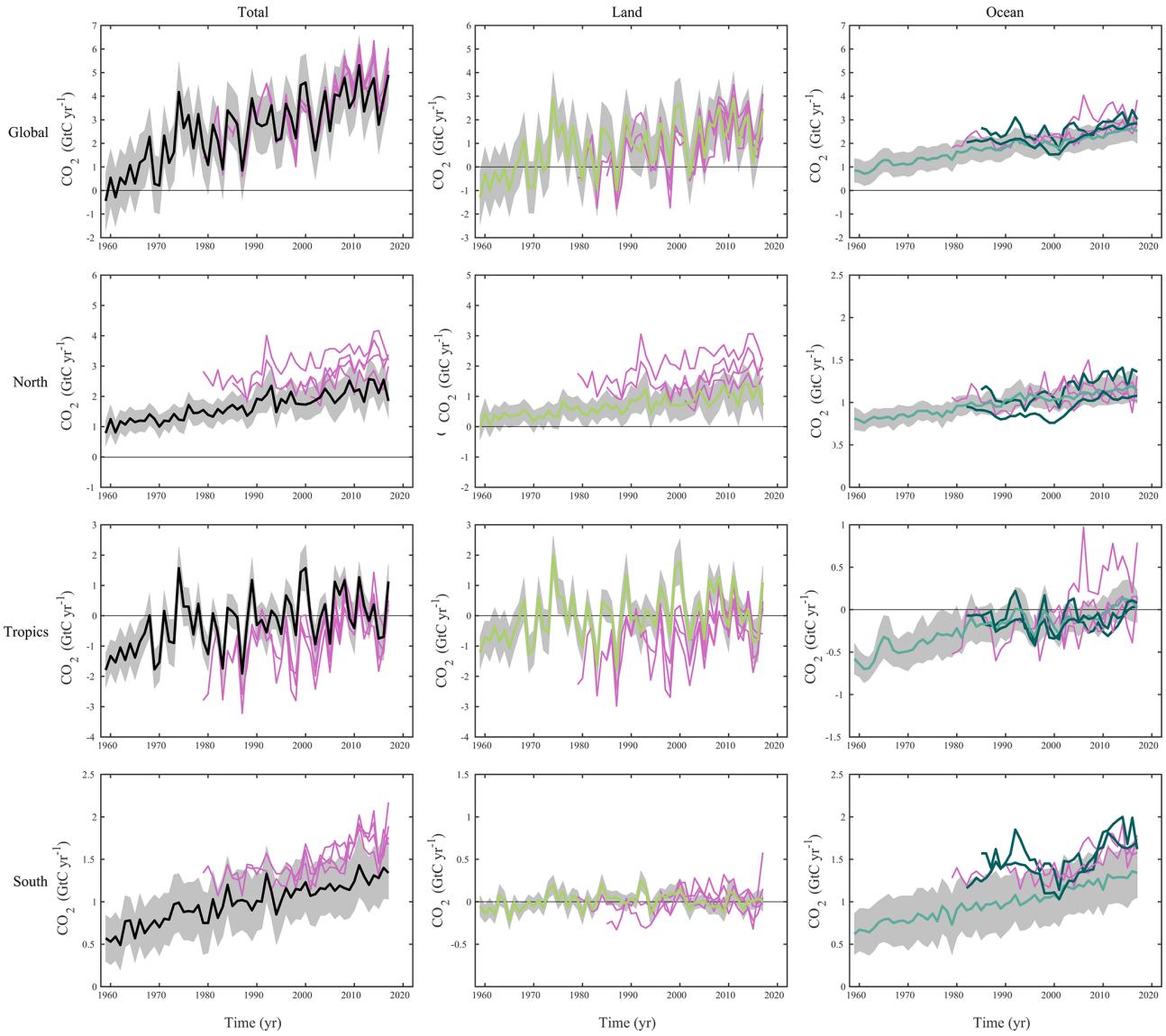
the fundamental differences between bookkeeping models and DGVMs, most notably the loss of additional sink capacity. Other differences include an incomplete account of land-use change practices and processes in DGVMs, while they are all accounted for in bookkeeping models by using observed carbon densities, and bookkeeping error of keeping present-day carbon densities fixed in the past. That the budget imbalance shows no clear trend towards larger values over time is an indication that the loss of additional sink capacity plays a minor role compared to other errors in  $S_{\text{LAND}}$  or  $S_{\text{OCEAN}}$  (discussed in Sect. 3.1.4).

#### 3.2.3 Regional distribution

Figure 8 shows the partitioning of the total atmosphere-to-surface fluxes excluding fossil CO<sub>2</sub> emissions ( $S_{\text{LAND}} + S_{\text{OCEAN}} - E_{\text{LUC}}$ ) according to the multi-model average of the process models in the ocean and on land (GOBMs and DGVMs) and to the atmospheric inversions. Figure 8 provides information on the regional distribution of those fluxes by latitude bands. The global mean total atmosphere-to-surface CO<sub>2</sub> fluxes from process models for 2008–2017 is  $3.7 \pm 1.2 \text{ GtC yr}^{-1}$ . This is below but still within the uncertainty range of a global mean atmosphere-to-surface flux of  $4.6 \pm 0.5 \text{ GtC yr}^{-1}$  inferred from the carbon budget ( $E_{\text{FF}} - G_{\text{ATM}}$  in Eq. 1; Table 6). The total atmosphere-to-surface CO<sub>2</sub> fluxes from the four inversions are very similar, ranging from 4.7 to 5.0 GtC yr<sup>-1</sup>, consistent with the carbon budget as expected from the constraints on the inversions and the adjustments to the same  $E_{\text{FF}}$  distribution (see Sect. 2.7).

In the south (south of 30° S), the atmospheric inversions suggest an atmosphere-to-surface flux for 2008–2017 of around 1.6–1.7 GtC yr<sup>-1</sup>, close to the process models' estimate of  $1.4 \pm 0.7 \text{ GtC yr}^{-1}$  (Fig. 8). The interannual variability in the south is low because of the dominance of ocean area with low variability compared to land areas. The split between land ( $S_{\text{LAND}} - E_{\text{LUC}}$ ) and ocean ( $S_{\text{OCEAN}}$ ) shows a small contribution to variability in the south coming from the land, with no consistency between the DGVMs and the inversions or among inversions. This is expected due to the difficulty of separating exactly the land and oceanic fluxes when viewed from atmospheric observations alone. The oceanic variability in the south is estimated to be significant in the two flux products and in at least one of the inversions, with decadal variability in around 0.5 GtC yr<sup>-1</sup>. The GOBMs do not reproduce this variability.

In the tropics (30° S–30° N), both the atmospheric inversions and process models suggest the total carbon balance in this region is close to neutral on average over the past decade, with atmosphere-to-surface fluxes for the 2008–2017 average ranging between -0.4 and +0.4 GtC yr<sup>-1</sup>. The agreement between inversions and models is significantly better for the last decade than for any previous decade, although the reasons for this better agreement are still unclear. Both the process models and the inversions consistently allocate



**Figure 8.** CO<sub>2</sub> fluxes between the atmosphere and the surface ( $S_{\text{OCEAN}} + S_{\text{LAND}} - E_{\text{LUC}}$ ) by latitude bands for the (top) globe (second row), north (north of 30° N), (third row) tropics (30° S–30° N), and (bottom) south (south of 30° S) and (left) total, (middle) land only ( $S_{\text{LAND}} - E_{\text{LUC}}$ ), and (right) ocean only. Positive values indicate a flux from the atmosphere to the land and/or ocean. Estimates from the combination of the process models for the land and oceans are shown (black for the total, green for the land, blue for the ocean) with  $\pm 1\sigma$  of the model ensemble (in grey). Results from the atmospheric inversions (pink lines) and from the  $p\text{CO}_2$ -based flux products (dark blue lines) are also shown.

more year-to-year variability in CO<sub>2</sub> fluxes to the tropics compared to the north (north of 30° N; Fig. 8). The split between the land and ocean indicates the land is the origin of most of the tropical variability, consistently among models (both for the land and for the ocean) and inversions. The oceanic variability in the tropics is similar among models and with the two ocean flux products, reflected in their lower observational mismatch (Sect. 3.1.3). While the inversions indicate that atmosphere-to-land CO<sub>2</sub> fluxes are more variable than atmosphere-to-ocean CO<sub>2</sub> fluxes in the tropics, the cor-

respondence between the inversions and the ocean flux products or GOBMs is much poorer.

In the north (north of 30° N), the inversions and process models show less agreement on the magnitude of the atmosphere-to-land flux, with the ensemble mean of the process models suggesting a total Northern Hemisphere sink for 2008–2017 of  $2.2 \pm 0.6 \text{ GtC yr}^{-1}$ , likely below the estimates from the inversions ranging from 2.6 to 3.6 GtC yr<sup>-1</sup> (Fig. 8). The discrepancy in the north-tropics distribution of CO<sub>2</sub> fluxes between the inversions and models arises from the differences in mean fluxes over the northern land.

This discrepancy is also evidenced over the previous decade and highlights not only persistent issues with the quantification of the drivers of the net land CO<sub>2</sub> flux (Arneth et al., 2017; Huntzinger et al., 2017) but also the distribution of atmosphere-to-land fluxes between the tropics and higher latitudes that is particularly marked in previous decades, as highlighted previously (Stephens et al., 2007; Vaccini et al., 2017; Schimel et al., 2015).

Differences between inversions may be related for example to differences in their interhemispheric transport, and other inversion settings (Table A3). Separate analysis has shown that the influence of the chosen prior land and ocean fluxes is minor compared to other aspects of each inversion. In comparison to the previous global carbon budget publication, the fossil fuel inputs were adjusted to match those of  $E_{FF}$  used in this analysis (see Sect. 2.7), therefore removing differences due to prior fossil emissions. Differences between inversions and the ensemble of process models in the north cannot be simply explained. They could either reflect a bias in the inversions or missing processes or biases in the process models, such as the lack of adequate parameterisations for forest management in the north and for forest degradation emissions in the tropics for the DGVMs. The estimated contribution of the north and its uncertainty from process models is sensitive to both the ensemble of process models used and the specifics of each inversion.

Resolving the differences in the Northern Hemisphere land sink will require the consideration and inclusion of larger volumes of semi-continuous observations from tall towers close to the surface CO<sub>2</sub> exchange. Some of these data are becoming available but not used in the current inverse models, sometimes due to the short records and sometimes because the coarse transport models cannot adequately represent these time series. Improvements in model resolution and atmospheric transport realism together with expansion of the observational record (also in the data-sparse Boreal Eurasian area) will help anchor the mid-latitude fluxes per continent. In addition, new metrics could potentially differentiate between the more and less realistic realisations of the Northern Hemisphere land sink shown in Fig. 8.

### 3.2.4 Budget imbalance

The budget imbalance was +0.5 GtC yr<sup>-1</sup> on average over 2008–2017. Although the uncertainties are large in each term, the sustained imbalance over this last decade suggests an overestimation of the emissions and/or an underestimation of the sinks. An origin in the land and/or ocean sink may be more likely, given the large variability in the land sink and the suspected underestimation of decadal variability in the ocean sink. An underestimate of  $S_{LAND}$  would also reconcile model results with inversion estimates for fluxes in the total land during the past decade (Fig. 8; Table 5). However, we cannot exclude that the budget imbalance over the last decade could partly be due to an overestimation of CO<sub>2</sub> emissions from

land-use change, given their large uncertainty, as has been suggested elsewhere (Piao et al., 2018). More integrated use of observations in the Global Carbon Budget, either on their own or for further constraining model results, should help resolve some of the budget imbalance (Peters et al., 2017; Sect. 4).

## 3.3 Global carbon budget for the year 2017

### 3.3.1 CO<sub>2</sub> emissions

Preliminary estimates of global fossil CO<sub>2</sub> emissions based on BP energy statistics are for emissions growing by 1.6 % between 2016 and 2017 to 9.9±0.5 GtC in 2017 (Fig. 5), distributed among coal (40 %), oil (35 %), gas (20 %), cement (4 %), and gas flaring (0.7 %). Compared to the previous year, emissions from coal increased by 1.6 %, while emissions from oil, gas, and cement increased by 1.7 %, 3.0 %, and 1.2 %, respectively. All growth rates presented are adjusted for the leap year, unless stated otherwise.

The growth in emissions of 1.6 % in 2017 is within the range of the projected growth of 2.0 % (range of 0.8 to 3.0 %) published in Le Quéré et al. (2018) based on national emission projections for China, the US, and India and projections of gross domestic product corrected for  $I_{FF}$  trends for the rest of the world. The growth in emissions in 2017 for China, the US, and the rest of the world is also within their previously projected range, while the growth in India was slightly above the projection (Table 7).

In 2017, the largest absolute contributions to global CO<sub>2</sub> emissions were from China (27 %), the US (15 %), the EU (28 member states; 10 %), and India (7 %) while the rest of the world contributed 42 %. The percentages are the fraction of the global emissions including bunker fuels (3.1 %). These four regions account for 59 % of global CO<sub>2</sub> emissions. Growth rates for these countries from 2016 to 2017 were +1.5 % (China), -0.5 % (US), +1.2 % (EU28), and +3.9 % (India), with +1.9 % for the rest of the world. The per capita CO<sub>2</sub> emissions in 2017 were 1.1 tC person<sup>-1</sup> yr<sup>-1</sup> for the globe and were 4.4 (US), 2.0 (China), 1.9 (EU28), and 0.5 (India) tC person<sup>-1</sup> yr<sup>-1</sup> for the four highest emitting countries (Fig. 5).

In 2016 (the last year available), the largest absolute contributions to global CO<sub>2</sub> emissions from a consumption perspective were China (25 %), the US (16 %), the EU (12 %), and India (6 %). The difference between territorial and consumption emissions (the net emission transfer via international trade) has generally increased from 1990 to around 2005 and remained relatively stable afterwards until the last year available (2016; Fig. 5).

The global CO<sub>2</sub> emissions from land-use change are estimated as 1.4±0.7 GtC in 2017, close to the previous decade but with low confidence in the annual change. This brings the total CO<sub>2</sub> emissions from fossil fuels plus land-use change ( $E_{FF} + E_{LUC}$ ) to 11.3±0.9 GtC (41.2±3 GtCO<sub>2</sub>).

**Table 7.** Comparison of the projection with realised fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ). The “Actual” values are the first estimate available using actual data, and the “Projected” values refer to the estimate made before the end of the year for each publication. Projections based on a different method from that described here during 2008–2014 are available in Le Quéré et al. (2016). All values are adjusted for leap years.

World		China		US		EU28		India		Rest of the world	
Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual
2015 <sup>a</sup>	-0.6 % (-1.6 to 0.5)	0.06 % (-4.6 to -1.1)	-3.9 % (-4.6 to -1.1)	-0.7 % (-5.5 to 0.3)	-1.5 % (-5.5 to 0.3)	-2.5 % -	-	-	-	1.2 % (-0.2 to 2.6)	+1.2 %
2016 <sup>b</sup>	-0.2 % (-1.0 to +1.8)	0.2 % (+3.8 to +1.3)	-0.5 % (-3.8 to +1.3)	-0.3 % (-4.0 to +0.6)	-1.7 % (-4.0 to +0.6)	-2.1 % -	-	-	-	+1.0 % (-0.4 to +2.5)	+1.3 %
2017 <sup>c</sup>	+2.0 % (+0.8 to +3.0)	+1.6 % (+0.7 to +5.4)	+3.5 (+0.7 to +5.4)	+1.5 % (-2.7 to +1.0)	-0.4 % (-2.7 to +1.0)	-0.5 % -	-	-	-	+2.0 % (+0.2 to +3.8)	+3.9 % (0.0 to +3.2)
2018 <sup>d</sup>	+2.7 % (+1.8 to +3.7)	- (+2.0 to +7.4)	+4.7 (+0.5 to +4.5)	- (+2.5 %)	- (-2.6 to +1.3)	-0.7 % (-2.6 to +1.3)	-	+6.3 % (+4.3 to +8.3)	-	+1.8 % (+0.5 to +3.0)	-

<sup>a</sup> Jackson et al. (2016) and Le Quéré et al. (2015a). <sup>b</sup> Le Quéré et al. (2016). <sup>c</sup> Le Quéré et al. (2018). <sup>d</sup> This study.

### 3.3.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric CO<sub>2</sub> concentration was  $4.6 \pm 0.2$  GtC in 2017 ( $2.16 \pm 0.09$  ppm; Fig. 4; Dlugokencky and Tans, 2018). This is near the 2008–2017 average of  $4.7 \pm 0.1$  GtC yr<sup>-1</sup> and reflects the return to normal conditions after the El Niño of 2015–2016.

The estimated ocean CO<sub>2</sub> sink was  $2.5 \pm 0.5$  GtC in 2017. All models and data products estimate a small reduction or no change in the sink (average of 0.1, ranging from  $+0.02$  to  $-0.4$  GtC), consistent with the return to normal conditions after the El Niño, which caused an enhanced sink in previous years (Fig. 7).

The terrestrial CO<sub>2</sub> sink from the model ensemble was  $3.8 \pm 0.8$  GtC in 2017, above the decadal average (Fig. 4) and consistent with constraints from the rest of the budget (Table 5).

The budget imbalance was  $+0.3$  GtC in 2017, indicating, as for the last decade, a small overestimation of the emissions and/or underestimation of the sinks for that year. This imbalance is indicative only, given the large uncertainties in the estimation of the  $B_{\text{IM}}$ .

## 3.4 Global carbon budget projection for the year 2018

### 3.4.1 CO<sub>2</sub> emissions

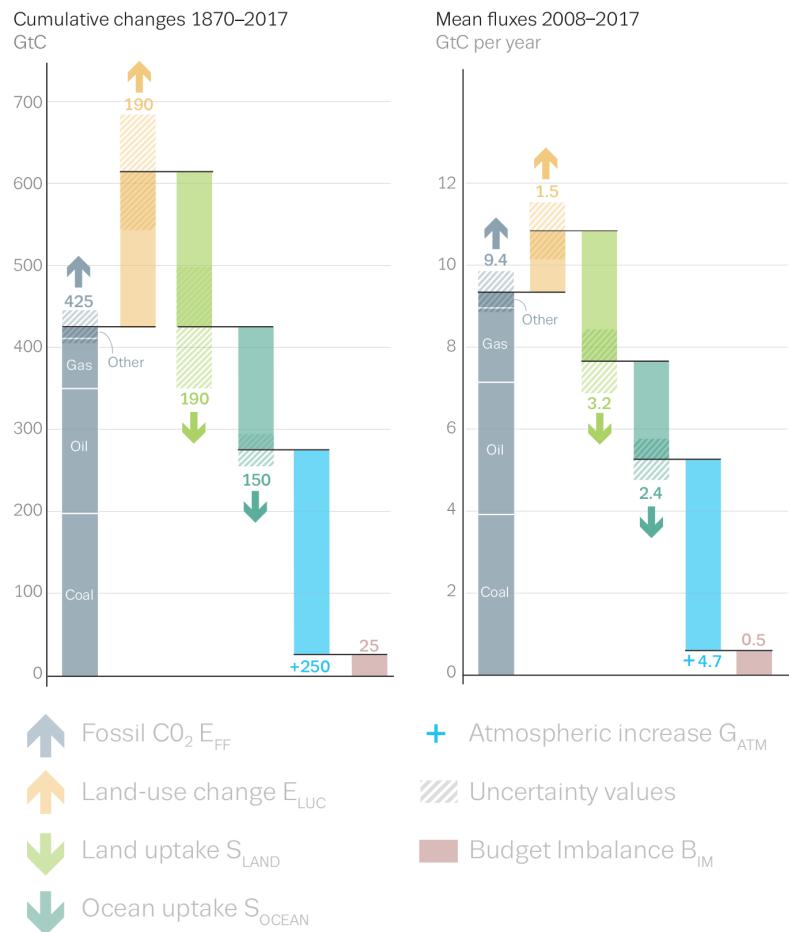
Based on available data as of 7 November 2018 (see Sect. 2.1.5), fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ) for 2018 are projected to increase by  $+2.7\%$  (range of  $1.8\%$  to  $+3.7\%$ ; Table 7). Our method contains several assumptions that could influence the estimate beyond the given range, and as such, it has an indicative value only. Within the given assumptions, global emissions would be  $10.1 \pm 0.5$  GtC ( $37.1 \pm 1.8$  GtCO<sub>2</sub>) in 2018. The interpretation of the 2018 emission projection is provided elsewhere (Figueroes et al., 2018; Jackson et al., 2018).

For China, the expected change is for an increase in emissions of  $+4.7\%$  (range of  $+2.0\%$  to  $+7.4\%$ ) in 2018 compared to 2017. This is based on estimated growth in coal ( $+4.5\%$ ; the main fuel source in China), oil ( $+3.6\%$ ), natural gas ( $+17.7\%$ ) consumption, and cement production ( $+1.0\%$ ). The uncertainty range considers the variations in the difference between preliminary January–September data and final full-year data, the uncertainty in the preliminary data used for the 2017 base, and uncertainty in the evolution of energy density and carbon content of coal. See also Liu et al. (2018) for further analysis of China’s projected emissions.

For the US, the EIA emission projection for 2018 combined with cement data from USGS give an increase of  $2.5\%$  (range of  $+0.5$  to  $+4.5\%$ ) compared to 2017.

For the European Union, our projection for 2018 is for a decrease of  $-0.7\%$  (range of  $-2.6\%$  to  $+1.3\%$ ) over 2017. This is based on estimates for coal of  $-1.2\%$ , oil of  $+1.2\%$ , gas of  $-2.9\%$ , and stable cement emissions.

# Anthropogenic carbon flows



**Figure 9.** Cumulative changes during 1870–2017 and mean fluxes during 2008–2017 for the anthropogenic perturbation as defined in the legend.

For India, our projection for 2018 is for an increase of +6.3 % (range of 4.3 % to +8.3 %) over 2017. This is based on separate projections for coal (+7.1 %), oil (+2.9 %), gas (+6.0 %), and cement (+13.4 %).

For the rest of the world, the expected growth for 2018 is +1.8 % (range of +0.5 % to +3.0 %). This is computed using the GDP projection for the world excluding China, the US, the EU, and India of 2.8 % made by the IMF (IMF, 2018) and a decrease in  $I_{FF}$  of  $-1.0\% \text{ yr}^{-1}$ , which is the average from 2008 to 2017. The uncertainty range is based on the standard deviation of the interannual variability in  $I_{FF}$  during 2008–2017 of  $\pm 0.7\% \text{ yr}^{-1}$  and our estimate of uncertainty in the IMF's GDP forecast of  $\pm 0.5\%$ .

Preliminary estimates of fire emissions in deforestation zones indicate that emissions from land-use change ( $E_{LUC}$ ) for 2018 were below average until October and are expected to range between 0.1 and 0.2 lower than the 2008–2017 average. We therefore expect  $E_{LUC}$  emissions of around

1.2 GtC in 2018, for total CO<sub>2</sub> emissions of  $11.3 \pm 0.9$  GtC ( $41.5 \pm 3$  GtCO<sub>2</sub>).

## 3.4.2 Partitioning among the atmosphere, ocean, and land

The 2018 growth in atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ ) is projected to be  $4.9 \pm 0.7$  GtC ( $2.3 \pm 0.3$  ppm) based on MLO observations until the end of October 2018, bringing the atmospheric CO<sub>2</sub> concentration to an expected level of 407 ppm averaged over the year. Combining projected  $E_{FF}$ ,  $E_{LUC}$ , and  $G_{ATM}$  suggests a combined land and ocean sink ( $S_{LAND} + S_{OCEAN}$ ) of about 6.5 GtC for 2018. Although each term has large uncertainty, the oceanic sink  $S_{OCEAN}$  has generally low interannual variability and is likely to remain close to its 2017 value of around 2.5 GtC, leaving a rough estimated land sink  $S_{LAND}$  of around 4.0 GtC. If realised, it would be among the largest  $S_{LAND}$  values over the historical

**Table 8.** Cumulative CO<sub>2</sub> for different time periods in gigatonnes of carbon (GtC). All uncertainties are reported as  $\pm 1\sigma$ . The budget imbalance provides a measure of the discrepancies among the nearly independent estimates. Its uncertainty exceeds  $\pm 60$  GtC. The method used here does not capture the loss of additional sink capacity from reduced forest cover, which is about 20 GtC and would exacerbate the budget imbalance (see Sect. 2.8.4). All values are rounded to the nearest 5 GtC and therefore columns do not necessarily add to zero.

Units of Gt C	1750–2017	1850–2005	1850–2014	1959–2017	1870–2017	1870–2018 <sup>a</sup>
<b>Emissions</b>						
Fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ )						
Fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ )	430 $\pm$ 20	320 $\pm$ 15	400 $\pm$ 20	350 $\pm$ 20	425 $\pm$ 20	435 $\pm$ 20
Land-use change CO <sub>2</sub> emissions ( $E_{\text{LUC}}$ )	235 $\pm$ 95	185 $\pm$ 70	195 $\pm$ 75	80 $\pm$ 40	190 $\pm$ 75	190 $\pm$ 75
Total emissions	660 $\pm$ 95	500 $\pm$ 75	595 $\pm$ 80	430 $\pm$ 45	615 $\pm$ 80	625 $\pm$ 80
<b>Partitioning</b>						
Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{\text{ATM}}$ )	275 $\pm$ 5	200 $\pm$ 5	235 $\pm$ 5	190 $\pm$ 5	250 $\pm$ 5	255 $\pm$ 5
Ocean sink ( $S_{\text{OCEAN}}$ )	165 $\pm$ 20	125 $\pm$ 20 <sup>b</sup>	150 $\pm$ 20	100 $\pm$ 20	150 $\pm$ 20	155 $\pm$ 20
Terrestrial sink ( $S_{\text{LAND}}$ )	215 $\pm$ 50	160 $\pm$ 45	185 $\pm$ 50	130 $\pm$ 30	190 $\pm$ 50	195 $\pm$ 50
<b>Budget imbalance</b>						
$B_{\text{IM}} = E_{\text{FF}} + E_{\text{LUC}} - (G_{\text{ATM}} + S_{\text{OCEAN}} + S_{\text{LAND}})$	(5)	(20)	(25)	(10)	(25)	(25)

<sup>a</sup> Using projections for the year 2018 (Sect. 3.3). <sup>b</sup> This value was incorrectly reported as 145 in Le Quéré et al. (2018).

period. However, the possible onset of an El Niño at the end of 2018 could reduce  $S_{\text{LAND}}$ , with  $G_{\text{ATM}}$  returning to a high growth rate towards the end of the year.

### 3.5 Cumulative sources and sinks

Cumulative historical sources and sinks are estimated as in Eq. (1) with semi-independent estimates for each term and a global carbon budget imbalance. Cumulative fossil CO<sub>2</sub> emissions for 1870–2017 were  $425 \pm 20$  GtC for  $E_{\text{FF}}$  and  $190 \pm 75$  GtC for  $E_{\text{LUC}}$  (Table 8; Fig. 9), for a total of  $615 \pm 80$  GtC. The cumulative emissions from  $E_{\text{LUC}}$  are particularly uncertain, with large spread among individual estimates of 135 GtC (Houghton) and 240 GtC (BLUE) for the two bookkeeping models and a similar wide estimate of  $180 \pm 75$  GtC for the DGVMs. These estimates are consistent with indirect constraints from vegetation biomass observations (Li et al., 2017), but given the large spread a best estimate is difficult to ascertain.

Emissions were partitioned among the atmosphere ( $250 \pm 5$  GtC), ocean ( $150 \pm 20$  GtC), and the land ( $190 \pm 50$  GtC). The use of nearly independent estimates for the individual terms shows a cumulative budget imbalance of 25 GtC during 1870–2017 (Fig. 2), which, if correct, suggests emissions are too high by the same proportion or the land or ocean sinks are underestimated. The bulk of the imbalance is likely to originate largely from the large estimation of  $E_{\text{LUC}}$  between the mid-1920s and the mid-1960s, which is unmatched by a growth in atmospheric CO<sub>2</sub> concentration as recorded in ice cores (Fig. 3). The known loss of additional sink capacity of about 20 GtC due to reduced forest cover has not been accounted for in our method and would further exacerbate the budget imbalance (Sect. 2.8.4).

Cumulative emissions through to the year 2018 increase to  $625 \pm 80$  GtC ( $2290 \pm 290$  GtCO<sub>2</sub>), with about a 70 % contribution from  $E_{\text{FF}}$  and about a 30 % contribution from  $E_{\text{LUC}}$ . Cumulative emissions and their partitioning for different periods are provided in Table 8.

Given the large and persistent uncertainties in cumulative emissions, we suggest extreme caution is needed if using cumulative emission estimates to determine the remaining carbon budget to stay below the given temperature limit (Rogelj et al., 2016). We suggest estimating the remaining carbon budget by integrating scenario data from the current time to some time in the future (Millar et al., 2017).

## 4 Discussion

Each year when the global carbon budget is published, each flux component is updated for all previous years to consider corrections that are the result of further scrutiny and verification of the underlying data in the primary input data sets. Annual estimates may improve with improvements in data quality and timeliness (e.g. to eliminate the need for extrapolation of forcing data such as land use). Of the various terms in the global budget, only the fossil CO<sub>2</sub> emissions and the growth rate in atmospheric CO<sub>2</sub> concentration are based primarily on empirical inputs supporting annual estimates in this carbon budget. Although it is an imperfect measure, the carbon budget imbalance provides a strong indication of the limitations in observations, in understanding or full representation of processes in models, and/or in the integration of the carbon budget components.

The persistent unexplained variability in the carbon budget imbalance limits our ability to verify reported emissions (Peters et al., 2017) and suggests we do not yet have a complete

**Table 9.** Major known sources of uncertainties in each component of the global carbon budget, defined as input data or processes that have a demonstrated effect of at least  $\pm 0.3 \text{ GtC yr}^{-1}$ .

Source of uncertainty	Timescale (years)	Location	Status	Evidence
Fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ ; Sect. 2.1)				
Energy statistics	annual to decadal	mainly China	see Sect. 2.1	Korsbakken et al. (2016)
Carbon content of coal	decadal	mainly China	see Sect. 2.1	Liu et al. (2015)
Emissions from land-use change ( $E_{\text{LUC}}$ ; Sect. 2.3)				
Land cover and land-use change statistics	continuous	global; in particular tropics	see Sect. 2.3	Houghton et al. (2012)
Sub-grid-scale transitions	annual to decadal	global	see Table A1	Wilkenskjeld et al. (2014)
Vegetation biomass	annual to decadal	global; in particular tropics	see Table A1	Houghton et al. (2012)
Wood and crop harvest	annual to decadal	global; SE Asia	see Table A1	Arneth et al. (2017)
Peat burning <sup>a</sup>	multi-decadal trend	global	see Table A1	van der Werf et al. (2010)
Loss of additional sink capacity	multi-decadal trend	global	not included; Sect. 2.8.4	Gitz and Ciais (2003)
Atmospheric growth rate ( $G_{\text{ATM}}$ ) → no demonstrated uncertainties larger than $\pm 0.3 \text{ GtC yr}^{-1}$ <sup>b</sup>				
Ocean sink ( $S_{\text{OCEAN}}$ )				
Variability in oceanic circulation <sup>c</sup>	semi-decadal to decadal	global; in particular Southern Ocean	see Sect. 2.5.2	DeVries et al. (2017)
Internal variability	annual to decadal	high latitudes; equatorial Pacific	no ensembles/coarse resolution	McKinley et al. (2016)
Anthropogenic changes in nutrient supply	multi-decadal trend	global	not included	Duce et al. (2008)
Land sink ( $S_{\text{LAND}}$ )				
Strength of CO <sub>2</sub> fertilisation	multi-decadal trend	global	see Sect. 2.6	Wenzel et al. (2016)
Response to variability in temperature and rainfall	annual to decadal	global; in particular tropics	see Sect. 2.6	Cox et al. (2013)
Nutrient limitation and supply	multi-decadal trend	global	see Sect. 2.6	Zaehle et al. (2011)
Response to diffuse radiation	annual	global	see Sect. 2.6	Mercado et al. (2009)

<sup>a</sup> As a result of interactions between land use and climate. <sup>b</sup> The uncertainties in  $G_{\text{ATM}}$  have been estimated as  $\pm 0.2 \text{ GtC yr}^{-1}$ , although the conversion of the growth rate into global annual flux assuming instantaneous mixing throughout the atmosphere introduces additional errors that have not yet been quantified. <sup>c</sup> Could in part be due to uncertainties in atmospheric forcing (Swart et al., 2014).

understanding of the underlying carbon cycle processes. Resolving most of this unexplained variability should be possible through different and complementary approaches. First, as intended with our annual updates, the imbalance as an error term is reduced by improvements of individual components of the global carbon budget that follow from improving the underlying data and statistics and by improving the models through the resolution of some of the key uncertainties detailed in Table 9. Second, additional clues to the origin and processes responsible for the current imbalance could be obtained through a closer scrutiny of carbon variability in light of other Earth system data (e.g. heat balance, water balance), and the use of a wider range of biogeochemical observations to better understand the land–ocean partitioning of the carbon imbalance (e.g. oxygen, carbon isotopes). Finally, additional information could also be obtained through higher resolution and process knowledge at the regional level and through the introduction of inferred fluxes such as those based on satellite CO<sub>2</sub> retrievals. The limit of the resolution of the carbon budget imbalance is yet unclear but most certainly not

yet reached given the possibilities for improvements that lie ahead.

The assessment of the GOBMs used for  $S_{\text{OCEAN}}$  with flux products based on observations highlights substantial discrepancy at mid-latitudes and high latitudes. Given the good data coverage of  $p\text{CO}_2$  observations in the Northern Hemisphere (Bakker et al., 2016), this discrepancy points to an underestimation of variability in the GOBMs globally, and consequently the variability in  $S_{\text{OCEAN}}$  appears to be underestimated. The size of this underestimate (order of  $0.5 \text{ GtC yr}^{-1}$ ) could account for some of the budget imbalance, but not all. Increasing model resolution and incorporating internal variability (Li and Ilyina, 2018) have been suggested as ways to increase model variability (Sect. 3.1.4).

The assessment of the net land–atmosphere exchange derived from land sink and net land use change flux with atmospheric inversions also shows substantial discrepancy, particularly for the estimate of the total land flux over the northern extra-tropics in the past decade. This discrepancy highlights the difficulty to quantify complex processes (CO<sub>2</sub> fertilisation, nitrogen deposition, climate change and variability, land

management, etc.) that collectively determine the net land CO<sub>2</sub> flux. Resolving the differences in the Northern Hemisphere land sink will require the consideration and inclusion of larger volumes of observations (Sect. 3.2.3).

Estimates of  $E_{LUC}$  suffer from a range of intertwined issues, including the poor quality of historical land cover and land-use change maps, the rudimentary representation of management processes in most models, and the confusion in methodologies and boundary conditions used across methods (e.g. Pongratz et al., 2014; Arneth et al., 2017, and Sect. 2.8.4 on the loss of sink capacity). Uncertainties in current and historical carbon stocks in soils and vegetation also add uncertainty in the land-use change flux estimates. Unless a major effort to resolve these issues is made, little progress is expected in the resolution of  $E_{LUC}$ . This is particularly concerning given the growing importance of  $E_{LUC}$  for climate mitigation strategies and the large issues in the quantification of the cumulative emissions over the historical period that arise from large uncertainties in  $E_{LUC}$ .

To move towards the resolution of the carbon budget imbalance, this year we have introduced metrics for the evaluation of the ocean and land models and atmospheric inversions. These metrics expand the use of observations in the global carbon budget, helping (1) to support improvements in the ocean and land carbon models that produce the sink estimates and (2) to constrain the representation of key underlying processes in the models and to allocate the regional partitioning of the CO<sub>2</sub> fluxes. This is an initial step towards the introduction of a broader range of observations that we hope will support continued improvements in the annual estimates of the global carbon budget.

We assessed elsewhere (Peters et al., 2017) that a sustained decrease of  $-1\%$  in global emissions could be detected at the  $66\%$  likelihood level after a decade only. Similarly, a change in behaviour of the land and/or ocean carbon sink would take as long to detect, and much longer if it emerges more slowly. Reducing the carbon imbalance, regionalising the carbon budget, and integrating multiple variables are powerful ways to shorten the detection limit and ensure the research community can rapidly identify growing issues of concern in the evolution of the global carbon cycle under the current rapid and unprecedented changing environmental conditions.

## 5 Data availability

The data presented here are made available in the belief that their wide dissemination will lead to greater understanding and new scientific insights into how the carbon cycle works, how humans are altering it, and how we can mitigate the resulting human-driven climate change. The free availability of these data does not constitute permission for publication of the data. For research projects, if the data are essential to the work, or if an important result or conclusion depends on

the data, co-authorship may need to be considered. Full contact details and information on how to cite the data shown here are given at the top of each page in the accompanying database and summarised in Table 2.

The accompanying database includes two Excel files organised in the following spreadsheets (accessible with the free viewer <https://support.microsoft.com/en-gb/help/273711/how-to-obtain-the-latest-excel-viewer>, last access: 28 November 2018):

File *Global\_Carbon\_Budget\_2018v1.0.xlsx* (Global Carbon Project, 2018) includes the following:

1. summary;
2. the global carbon budget (1959–2017),
3. global CO<sub>2</sub> emissions from fossil fuels and cement production by fuel type and the per capita emissions (1959–2017),
4. CO<sub>2</sub> emissions from land-use change from the individual methods and models (1959–2017),
5. ocean CO<sub>2</sub> sink from the individual ocean models and pCO<sub>2</sub>-based products (1959–2017),
6. terrestrial CO<sub>2</sub> sink from the DGVMs (1959–2017),
7. additional information on the carbon balance prior to 1959 (1750–2017).

File *National\_Carbon\_Emissions\_2018v1.0.xlsx* (Global Carbon Project, 2018) includes the following:

1. summary
2. territorial country CO<sub>2</sub> emissions from fossil CO<sub>2</sub> emissions (1959–2017) from CDIAC with UNFCCC data overwritten where available, extended to 2017 using BP data;
3. consumption country CO<sub>2</sub> emissions from fossil CO<sub>2</sub> emissions and emission transfer from the international trade of goods and services (1990–2016) using CDIAC/UNFCCC data (worksheet 3 above) as reference;
4. emission transfers (consumption minus territorial emissions; 1990–2016);
5. country definitions;
6. details of disaggregated countries;
7. details of aggregated countries.

National emission data are also available from the Global Carbon Atlas (<http://www.globalcarbonatlas.org/>, last access: 28 November 2018).

## 6 Conclusions

The estimation of global CO<sub>2</sub> emissions and sinks is a major effort by the carbon cycle research community that requires a careful compilation and synthesis of measurements, statistical estimates, and model results. The delivery of an annual carbon budget serves two purposes. First, there is a large demand for up-to-date information on the state of the anthropogenic perturbation of the climate system and its underpinning causes. A broad stakeholder community relies on the data sets associated with the annual carbon budget including scientists, policymakers, businesses, journalists, and non-governmental organisations engaged in adapting to and mitigating human-driven climate change. Second, over the last decade we have seen unprecedented changes in the human and biophysical environments (e.g. changes in the growth of fossil fuel emissions, Earth's temperatures, and strength of the carbon sinks), which call for frequent assessments of the state of the planet and a growing understanding of and improved capacity to anticipate the evolution of the carbon cycle in the future. Building this scientific understanding to meet the extraordinary climate mitigation challenge requires frequent, robust, and transparent data sets and methods that can be scrutinised and replicated. This paper via living data helps to keep track of new budget updates.

## Appendix A: Supplementary tables

**Table A1.** Comparison of the processes included (Y) or not (N) in the bookkeeping and dynamic global vegetation models for their estimates of  $E_{LUC}$  and  $S_{LAND}$ . See Table 4 for model references. All models include deforestation and forest regrowth after abandonment of agriculture (or from afforestation activities on agricultural land).

		Bookkeeping models		DGVMs													
H&N2017	BLUE	CABLE-POP	CLASS-CTEM	CLM5.0	DLEM	ISAM	JSBACH	JULES	LPJ-GUESS	LPJ	LPX-Bern	OCN	ORCHIDEE-CNP	ORCHIDEE-Trunk	SDGVM	SURFEX	VIST
Processes relevant for $E_{LUC}$																	
Wood harvest and forest degradation <sup>a</sup>	Y	Y	Y	N	Y	Y	Y	N	Y	Y	N <sup>d</sup>	Y	N	Y	N	N	Y
Shifting cultivation/sub-grid-scale transitions	N <sup>b</sup>	Y	Y	N	N	N	Y	N	Y	Y	N <sup>d</sup>	N	N	N	N	N	Y
Cropland harvest (removed, r, or added to litter, l)	Y(r) <sup>h</sup>	Y(r) <sup>h</sup>	Y(r)	Y(l)	Y(r)	Y	Y	Y(r,l)	N	Y(r)	Y(l)	Y(r,l)	Y(r)	Y(r)	Y(r)	N	Y(r)
Peat fires	Y	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N
Fire as a management tool	Y <sup>h</sup>	Y <sup>h</sup>	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
N fertilisation	Y <sup>h</sup>	Y <sup>h</sup>	N	N	Y	Y	N	N	Y	N	Y	Y	Y	N	N	N	N
Tillage	Y <sup>h</sup>	Y <sup>h</sup>	Y	Y <sup>e</sup>	N	N	N	N	N	Y	N	N	N	Y <sup>g</sup>	N	N	N
Irrigation	Y <sup>h</sup>	Y <sup>h</sup>	N	N	Y	Y	Y	N	N	Y	N	N	N	N	N	Y <sup>g</sup>	N
Wetland drainage	Y <sup>h</sup>	Y <sup>h</sup>	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Erosion	Y <sup>h</sup>	Y <sup>h</sup>	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y
Southeast Asia peat drainage	Y	Y	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Grazing and mowing harvest (removed, r, or added to litter, l)	Y(r) <sup>h</sup>	Y(r) <sup>h</sup>	Y(r)	N	N	Y(l)	Y(l)	N	Y(r)	Y(l)	N	Y(r,l)	N	N	N	N	N
Processes relevant also for $S_{LAND}$																	
Fire simulation	US only	N	N	Y	Y	Y	N	Y	N	Y	Y	N	N	Y	Y	Y	
Climate and variability	N	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	
CO <sub>2</sub> fertilisation	N <sup>f</sup>	N <sup>f</sup>	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y <sup>e</sup>	Y	Y	
Carbon–nitrogen interactions, including N deposition	N <sup>h</sup>	N <sup>h</sup>	Y	N <sup>d</sup>	Y	Y	Y	Y	N	Y	Y	Y	N	Y <sup>c</sup>	N <sup>i</sup>	N	

<sup>a</sup> Refers to the routine harvest of established managed forests rather than pools of harvested products. <sup>b</sup> No back-and-forth transitions between vegetation types at the country level, but if forest loss based on FRA exceeded agricultural expansion based on the FAO, then this amount of area is interpreted as shifting cultivation. <sup>c</sup> Limited. Nitrogen uptake is simulated as a function of soil C, and photosynthesis is directly related to canopy N. Does not consider N deposition. <sup>d</sup> Although C–N cycle interactions are not represented, the model includes a parameterization of down-regulation of photosynthesis as CO<sub>2</sub> increases to emulate nutrient constraints (Arora et al., 2009). <sup>e</sup> Tillage is represented over croplands by increased soil carbon decomposition rate and reduced humification of litter to soil carbon. <sup>f</sup> Bookkeeping models include the effect of CO<sub>2</sub> fertilisation as captured by observed carbon densities, but not as an effect that is transient in time. <sup>g</sup> A 20 % reduction of active soil organic carbon (SOC) pool turnover time for C<sub>3</sub> crops and 40 % reduction for C<sub>4</sub> crops. <sup>h</sup> Process captured implicitly by use of observed carbon densities. <sup>i</sup> Simple parameterization of nitrogen limitation based on Yin (2002; assessed on FACE experiments).

**Table A2.** Comparison of the processes and model set-up for the global ocean biogeochemistry models for their estimates of SOCEAN. See Table 4 for model references.

	CCSM-BEC	NorESM-OC	MITgcm-RÉcoM2	MPIOM-HAMOCC	NEMO-PISCES (IPSL) gas (CNRM)	NEMO-PlankTOM5
Atmospheric forcing	NCEP	CORE-I (spin- up)/NCEP with CORE-II corrections	JRA-55	NCEP/NCEP+ERA- 20C (spin-up)	NCEP	NCEP
Initialisation of carbon chemistry	GLODAP 1000 years	GLODAP v1 + spin-up 116 years (two cycles JRA-55)	GLODAP, then spin-up ERA20C	spin-up with ERA20C	GLODAPv2 + 300 years online	GLODAP from 1948 onwards 30 years
Physical ocean model	POP version 1.4.3	MICOM	MITgcm 65n	MPIOM	NEMOv3.6- GELATOv6- eORCA1L75	NEMOv2.3-ORCA2L31
Resolution	3.6° long, 1.8° lat	0.8 to lat; 51 isopycnic layers + two bulk mixed lay- ers	1° long, 0.17 to 0.25 2° long, 0.38–2° lat, 30 levels 40 levels	1.5°; 75 levels, 1 m at surface	1° long, 0.3 to 1° lat 2° long, 0.3 to 1.5° lat; 31 levels	2° long, 0.3 to 1.5° lat; 31 levels

**Table A3.** Comparison of the inversion set-up and input fields for the atmospheric inversions. Atmospheric inversions see the full CO<sub>2</sub> fluxes, including the anthropogenic and pre-industrial fluxes. Hence they need to be adjusted for the pre-industrial flux of CO<sub>2</sub> from the land to the ocean that is part of the natural carbon cycle before they can be compared with  $S_{\text{OCEAN}}$  and  $S_{\text{LAND}}$  from process models. See Table 4 for references.

	CarbonTracker Europe (CTE)	Jena CarboScope	CAMS	MIROC
Version number	CTE2018	s85oc_v4.2	v17r1	tdi84_2018
Observations				
Atmospheric observations	Hourly resolution (well-mixed conditions) ObsPack GLOBALVIEWplus v3.2 & NRTv4.2 <sup>a</sup>	Flasks and hourly (outliers removed by $2\sigma$ criterion)	Daily averages of well-mixed conditions – ObsPack GLOBALVIEWplus v3.2 <sup>a</sup> & NRT v4.2, WDCGG, RAMCES, and ICOS ATC	Flask and continuous data at remote sites from ObsPack GLOBALVIEWplus v3.2 and v4.0
Prior fluxes				
Biosphere and fires	SiBCASA–GFED4s <sup>b</sup>	No prior	ORCHIDEE (climatological), GFEDv4 & GFAS	Climatological CASA with 3-hourly downscaling
Ocean	Ocean inversion by Jacobson et al. (2007)	$p\text{CO}_2$ -based ocean flux product oc_v1.6 (update of Rödenbeck et al., 2014)	Landschützer et al. (2015)	Takahashi et al. (2009)
Fossil fuels	EDGAR+IER, scaled to CDIAC	CDIAC (extended after 2013 with GCP totals)	EDGAR scaled to CDIAC	EDGARv4.3.2 (2012 map after 2013)
Transport and optimisation				
Transport model	TM5	TM3	LMDZ v5A	MIROC4–ACTM
Weather forcing	ECMWF	NCEP	ECMWF	JRA-55
Resolution (degrees)	Global: $3^\circ \times 2^\circ$ , Europe: $1^\circ \times 1^\circ$ , North America: $1^\circ \times 1^\circ$	Global: $4^\circ \times 5^\circ$	Global: $3.75^\circ \times 1.875^\circ$	Global: $2.8^\circ \times 2.8^\circ$
Optimisation	Ensemble Kalman filter	Conjugate gradient (re-ortho-normalisation) <sup>c</sup>	Variational	Matrix method, 84 regions

<sup>a</sup> GLOBALVIEW (2016); CarbonTracker Team (2017). <sup>b</sup> Van der Velde et al. (2014). <sup>c</sup> Ocean prior not optimised.

**Table A4.** Attribution of  $f\text{CO}_2$  measurements for the year 2017 included in SOCAT v6 (Bakker et al., 2016) to inform ocean  $p\text{CO}_2$ -based flux products.

Platform	Regions	No. of samples	Principal investigators	No. of data sets
<i>Allure of the Seas</i>	Tropical Atlantic	127 007	Wanninkhof, R.; Pierrot, D.	51
<i>Atlantic Cartier</i>	North Atlantic	33 565	Steinhoff, T.; Koertzinger, A.; Wallace, D.	7
<i>Aurora Australis</i>	Southern Ocean	64 481	Tilbrook, B., Neill, C., Akl, J.	3
<i>Benguela Stream</i>	North Atlantic; Tropical Atlantic	105 517	Schuster, U.; Watson, A. J.	17
<i>BOBOA_90E_15N</i>	Indian Ocean	66	Sutton, A.; O'Brien, C.; Hermes, R.	1
<i>Cap san Lorenzo</i>	North Atlantic; Tropical Atlantic	33 901	Lefevre, N.; Diverres, D.	7
<i>Colibri</i>	North Atlantic; Tropical Atlantic	9334	Lefevre, N.; Diverres, D.	2
<i>Discovery</i>	North Atlantic	2540	Kitidis, V.	1
<i>Equinox</i>	Tropical Atlantic	114 369	Wanninkhof, R.; Pierrot, D.	42
<i>Finnmaid</i>	North Atlantic	128 793	Rehder, G.; Glockzin, M.	11
<i>G.O. Sars</i>	North Atlantic	99 028	Skjelvan, I.	7
<i>Gordon Gunter</i>	North Atlantic; Tropical Atlantic	60 213	Wanninkhof, R.; Pierrot, D.	12
<i>Henry B. Bigelow</i>	North Atlantic	40 703	Wanninkhof, R.; Pierrot, D.	7
<i>Heron Island</i>	Tropical Pacific	2775	Tilbrook, B.; van Ooijen, E.; Passmore, A.	2
<i>Investigator</i>	Southern Ocean; Tropical Pacific	98 081	Tilbrook, B.; Neill, C.; Akl, J.	6
Kangaroo Island	Southern Ocean	1650	Tilbrook, B.; van Ooijen, E.; Passmore, A.	1
<i>Laurence M. Gould</i>	Southern Ocean	41 657	Sweeney, C.; Takahashi, T.; Newberger, T.; Sutherland, S. C.; Munro, D. R.	7
Maria Island	Southern Ocean	3023	Tilbrook, B.; van Ooijen, E.; Passmore, A.	2
<i>Marion Dufresne</i>	Indian Ocean; Southern Ocean	6641	Metzl, N.; Lo Monaco, C.	1
<i>MSC Marianna</i>	North Atlantic; Tropical Atlantic	2823	Gonzalez-Davila, M.; Santana-Casiano, J. M.	1
<i>New Century 2</i>	North Atlantic; North Pacific; Tropical Atlantic; Tropical Pacific	28604	Nakaoka, S.	13
<i>Nuka Arctica</i>	North Atlantic	139 842	Becker, M.; Olsen, A.; Johannessen, T.	29
<i>Polarstern</i>	Arctic, North Atlantic, Southern Ocean; Tropical Atlantic	135 031	van Heuven, S.; Hoppe, M.	6
<i>Ronald H. Brown</i>	Southern Ocean; Tropical Atlantic, Tropical Pacific	45 510	Wanninkhof, R.; Pierrot, D.	4
<i>S.A. Agulhas II</i>	Southern Ocean	8990	Monteiro, P. M. S.; Gregor, L.	1
<i>Simon Stevin</i>	North Atlantic	12 189	Gkritzalis, T.; Theetaert, H.	3
<i>Soyo Maru</i>	North Pacific	49 613	Ono, T.	3
<i>TAO110W_0N</i>	Tropical Pacific	825	Sutton, A.	2
<i>Trans Future 5</i>	North Pacific, Southern Ocean; Tropical Pacific	22 596	Nakaoka, S.; Nojiri, Y.	21
<i>Victor Angelescu</i>	North Atlantic, Southern Ocean, Tropical Atlantic	4624	Negri, R.; Padin, X. A.	1
<i>Wakmatha</i>	Tropical Pacific	20 496	Tilbrook, B.; Neill, C.; Akl, J.	6

**Table A5.** Funding supporting the production of the various components of the global carbon budget in addition to the authors' supporting institutions (see also acknowledgements).

Funder and grant number (where relevant)	Author initials
Australia, Great Barrier Reef Foundation	BT, CN
Australia, Integrated Marine Observing System (IMOS)	BT, CN
Australian government National Environment Science Program (NESP)	JGC, VH
EC H2020 (AtlantOS: grant no. 633211)	AO, US
EC H2020 (CRESCENDO: grant no. 641816)	MF, PF, RS, TI
EC H2020 European Research Council (ERC) Synergy grant (IMBALANCE-P; grant no. ERC-2013-SyG-610028)	DSG
EC H2020 ERC (QUINCY; grant no. 647204).	SZ
EC H2020 (RINGO: grant no. 730944; FixO3: grant no. 312463).	US
EC H2020 project (VERIFY: grant no. 776810)	CLQ, GPP, IH, JIK, RMA, PP, PC
French Institut National des Sciences de l'Univers (INSU) and Institut Polaire Français Paul-Emile Victor (IPEV), Sorbonne Universités (UPMC, Univ Paris 06)	NM
German Federal Ministry for Education and Research (BMBF)	GR, MH, TS
German Federal Ministry of Transport and Digital Infrastructure (BMVI)	GR, MH, TS
German Helmholtz Association in its ATMO programme	AA
German Helmholtz Association Innovation and Network Fund (VH-NG-1301)	JH
German Research Foundation's Emmy Noether Programme (grant no. PO1751/1-1)	JP
Integrated Carbon Observation System (ICOS) RI	GR, MH, NL, TG, TJ, TS, IS, US
French Institut de Recherche pour le Développement (IRD)	NL
Japan Environment Research and Technology Development Fund of the Ministry of the Environment (grant no. 2-1701)	PKP
Japan Fisheries Research and Education Agency (FREA), Ministry of Environment (MOE)	TO
Japan National Institute for Environmental Studies (NIES), Ministry of Environment (MOE)	SN
Netherlands Organization for Scientific Research (NWO; grant no. SH-312, 16666)	IvdLL
Norwegian Research Council (grant no. 229771)	JS
Norwegian Research Council (grant no. ICOS 245927)	IS, TJ, BP
Norwegian Research Council (grant no. 209701)	RMA, JIK, GPP
The Netherlands, Research Foundation – Flanders (FWO contract no. G0H3317N)	TG
The Copernicus Atmosphere Monitoring Service, implemented by the European Centre for Medium-Range Weather Forecasts (ECMWF) on behalf of the European Commission	FC
Swiss National Science Foundation (grant no. 200020_172476)	SL
UK BEIS/Defra Met Office Hadley Centre Climate Programme (grant no. GA01101)	CDJ
UK Natural Environment Research Council (SONATA: grant no. NE/P021417/1)	CLQ, US
UK NERC (RAGNARoCC: grant no. NE/K002473/1)	US
UK Newton Fund, Met Office Climate Science for Service Partnership Brazil (CSSP Brazil)	AW
US Climate Program Office of NOAA (grant no. NA13OAR4310219)	LR
U.S. Department of Agriculture, National Institute of Food and Agriculture (grant nos. 2015-67003-23489 and 2015-67003-23485)	DLL
U.S. Department of Commerce, NOAA/OAR's Global Ocean Monitoring & Observing Program	AS, LB, DP
U.S. Department of Commerce, NOAA/OAR's Ocean Acidification Program	AS, DP, LB
U.S. Department of Energy, Oak Ridge National Laboratory (contract no. DE-AC05-00OR22725)	APW
U.S. Department of Energy, Office of Science and BER programme (grant no. DE-SC000016323)	ATJ
U.S. Department of Energy (grant nos. DE-FC03-97ER62402/A010 and DE-SC0012972)	DLL
US NASA Interdisciplinary Research in Earth Science programme	BP
U.S. NASA (grant no. 80NSSC18K0897)	SCD
<hr/>	
Computing resources	
Norway UNINETT Sigma2, National Infrastructure for High Performance Computing and Data Storage in Norway (NN2980K/NS2980K)	JS
TGCC under allocations 2017-A0030102201 and 2017-A0030106328 made by GENCI	FC, NV
Japan National Institute for Environmental Studies computational resources	EK
UEA High Performance Computing Cluster, UK	RW, CLQ
Deutsches Klimarechenzentrum (allocation bm0891)	JEMSN, JP

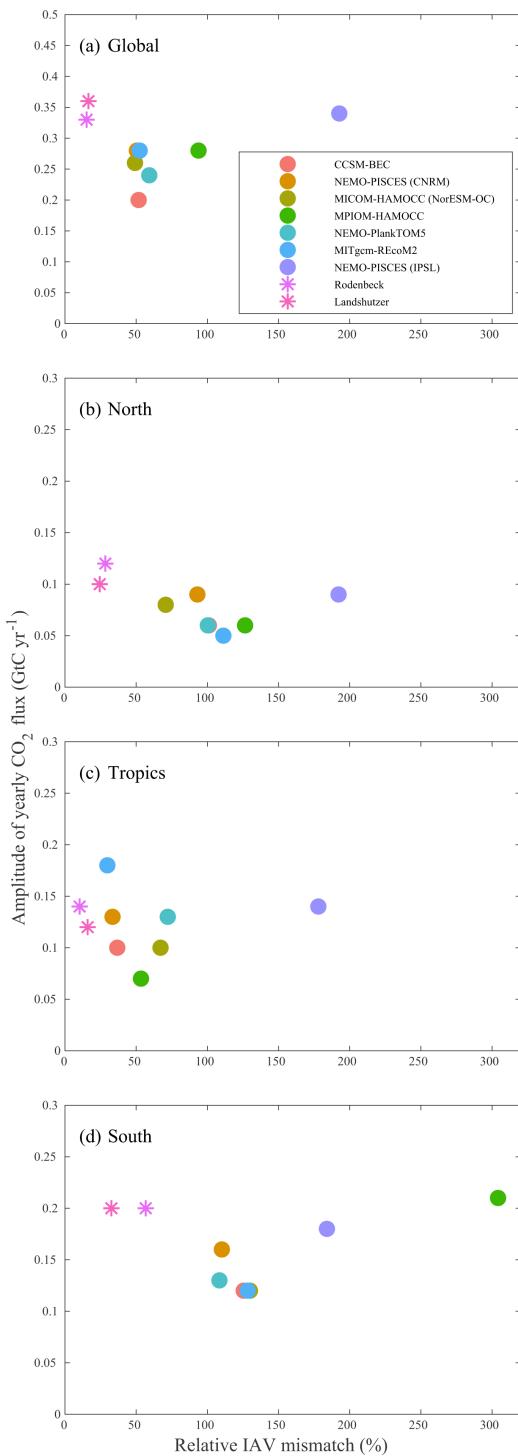
**Table A5.** Continued.

Support for aircraft measurements in ObsPack
L. V. Gatti, M. Gloor, J. B. Miller: AMAZONICA consortium project was funded by NERC (NE/F005806/1), FAPESP (08/58120-3), GEOCARBON project (283080)
Joshua DiGangi, NASA Langley Research Center, principal investigator of the airborne instrument that collected all of the CO <sub>2</sub> observations during the Atmospheric Carbon and Transport – America campaigns.
Observations from the Atmospheric Carbon and Transport (ACT) – America Earth Venture Suborbital mission were funded by NASA's Earth Science Division (grant NNX15AG76G to Penn State)
Jeff Peischl of the University of Colorado/CIRES for the NOAA WP-3D aircraft vertical profile data

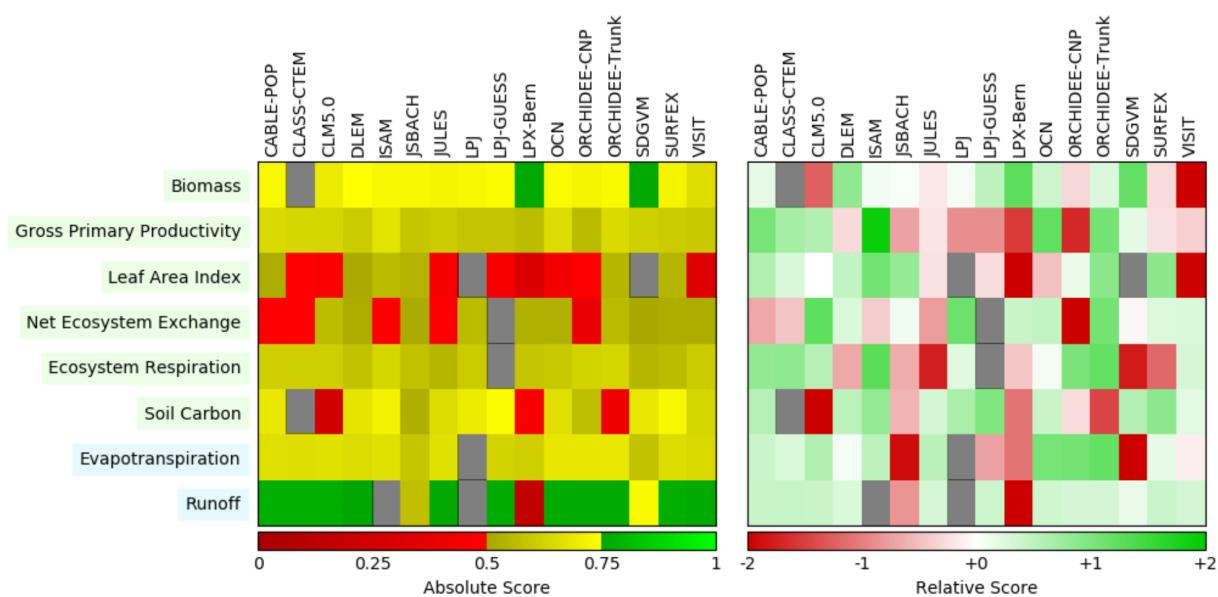
**Table A6.** Aircraft measurement programmes archived by Cooperative Global Atmospheric Data Integration Project (CGADIP, 2017) that contribute to the evaluation of the atmospheric inversions (Fig. B3).

Measurement programme name in ObsPack	Specific DOI	Data providers
Airborne Aerosol Observatory, Bondville, Illinois		Sweeney, C.; Dlugokencky, E. J.
Alaska Coast Guard		Sweeney, C.; McKain, K.; Karion, A.; Dlugokencky, E. J.
Atmospheric Carbon and Transport – America	<a href="https://doi.org/10.3334/ORNLDAAAC/1556">https://doi.org/10.3334/ORNLDAAAC/1556</a>	Davis, K. J.; Digangi, J. P.; Yang, M.
Atmospheric Carbon and Transport – America		Davis, K. J.; Sweeney, C.; Dlugokencky, E. J.; Yang, M.
Alta Floresta		Gatti, L. V.; Gloor, E.; Miller, J. B.
Aircraft observation of atmospheric trace gases by JMA		<a href="mailto:ghg_obs@met.kishou.go.jp">ghg_obs@met.kishou.go.jp</a>
Aerosol, Radiation, and Cloud Processes affecting Arctic Climate 2008 (air campaign)		Ryerson, T. B.; Peischl, J.; Aikin, K. C.
LARC – NASA Langley Research Center Aircraft Campaign		Chen, G.; Digangi, J. P.
Beaver Crossing, Nebraska		Sweeney, C.; Dlugokencky, E. J.
California Nexus 2010 (air campaign)		Ryerson, T. B.; Peischl, J.; Aikin, K. C.
Briggsdale, Colorado		Sweeney, C.; Dlugokencky, E. J.
Cape May, New Jersey		Sweeney, C.; Dlugokencky, E. J.
CONTRAIL (Comprehensive Observation Network for TRace gases by AlrLiner)	<a href="https://doi.org/10.17595/20180208.001">https://doi.org/10.17595/20180208.001</a>	Machida, T.; Matsueda, H.; Sawa, Y.; Niwa, Y.
Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)		Sweeney, C.; Karion, A.; Miller, J. B.; Miller, C. E.; Dlugokencky, E. J.
LARC – NASA Langley Research Center Aircraft Campaign		Chen, G.; Digangi, J. P.; Beyersdorf, A.
LARC – NASA Langley Research Center Aircraft Campaign		Chen, G.; Digangi, J. P.; Yang, M.
Dahlen, North Dakota		Sweeney, C.; Dlugokencky, E. J.
Estevan Point, British Columbia		Sweeney, C.; Dlugokencky, E. J.
East Trout Lake, Saskatchewan		Sweeney, C.; Dlugokencky, E. J.
Molokai Island, Hawaii		Sweeney, C.; Dlugokencky, E. J.
Homer, Illinois		Sweeney, C.; Dlugokencky, E. J.
HIPPO (HIAPER Pole-to-Pole Observations)	<a href="https://doi.org/10.3334/CDIAC/HIPPO_010">https://doi.org/10.3334/CDIAC/HIPPO_010</a>	Wofsy, S. C.; Stephens, B. B.; Elkins, J. W.; Hints, E. J.; Moore, F.
INFLUX (Indianapolis Flux Experiment)		Sweeney, C.; Dlugokencky, E. J.; Shepson, P. B.; Turnbull, J.
Park Falls, Wisconsin		Sweeney, C.; Dlugokencky, E. J.
Mid-Continent Intensive Campaign		Sweeney, C.; Dlugokencky, E. J.
Marcellus, Pennsylvania		Sweeney, C.; Dlugokencky, E. J.
Worcester, Massachusetts		Sweeney, C.; Dlugokencky, E. J.
ORCAS ( $O_2/N_2$ Ratio and $CO_2$ Airborne Southern Ocean Study)	<a href="https://doi.org/10.5065/D6SB445X">https://doi.org/10.5065/D6SB445X</a>	Stephens, B. B.; Sweeney, C.; McKain, K.; Kort, E. A.
Poker Flat, Alaska		Sweeney, C.; Dlugokencky, E. J.
Rio Branco		Gatti, L. V.; Gloor, E.; Miller, J. B.
Rarotonga		Sweeney, C.; Dlugokencky, E. J.
Montzka		Sweeney, C.; Dlugokencky, E. J.
Santarem		Sweeney, C.; Dlugokencky, E. J.
Charleston, South Carolina		Sweeney, C.; Dlugokencky, E. J.
LARC – NASA Langley Research Center Aircraft Campaign		Chen, G.; Digangi, J. P.; Beyersdorf, A.
Southeast Nexus 2013 (air campaign)		Ryerson, T. B.; Peischl, J.; Aikin, K. C.
Southern Great Plains, Oklahoma		Sweeney, C.; Dlugokencky, E. J.; Braud, S.
Shale Oil and Natural Gas Nexus 2015 (air campaign)		Ryerson, T. B.; Peischl, J.; Aikin, K. C.
Harvard University aircraft campaign		Wofsy, S. C.
Tabatinga		Gatti, L. V.; Gloor, E.; Miller, J. B.
Sinton, Texas		Sweeney, C.; Dlugokencky, E. J.
Trinidad Head, California		Sweeney, C.; Dlugokencky, E. J.
Atmospheric Tomography Mission (ATom)		McKain, K.; Sweeney, C.
Ulaanbaatar		Sweeney, C.; Dlugokencky, E. J.
West Branch, Iowa		Sweeney, C.; Dlugokencky, E. J.

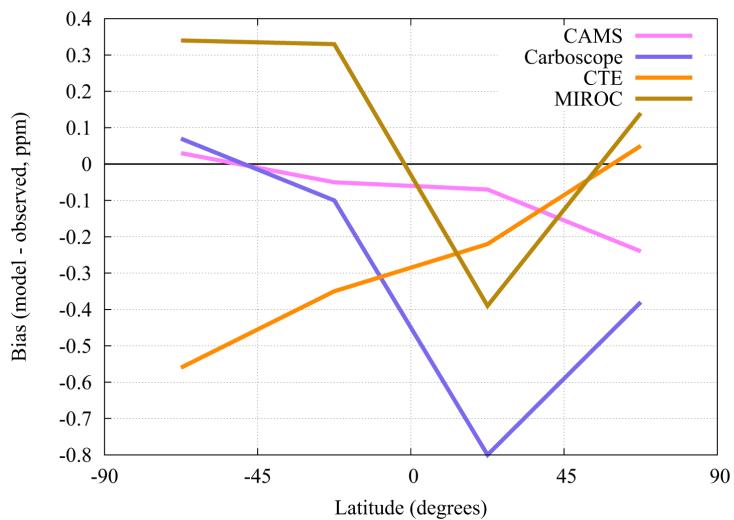
## Appendix B: Supplementary figures



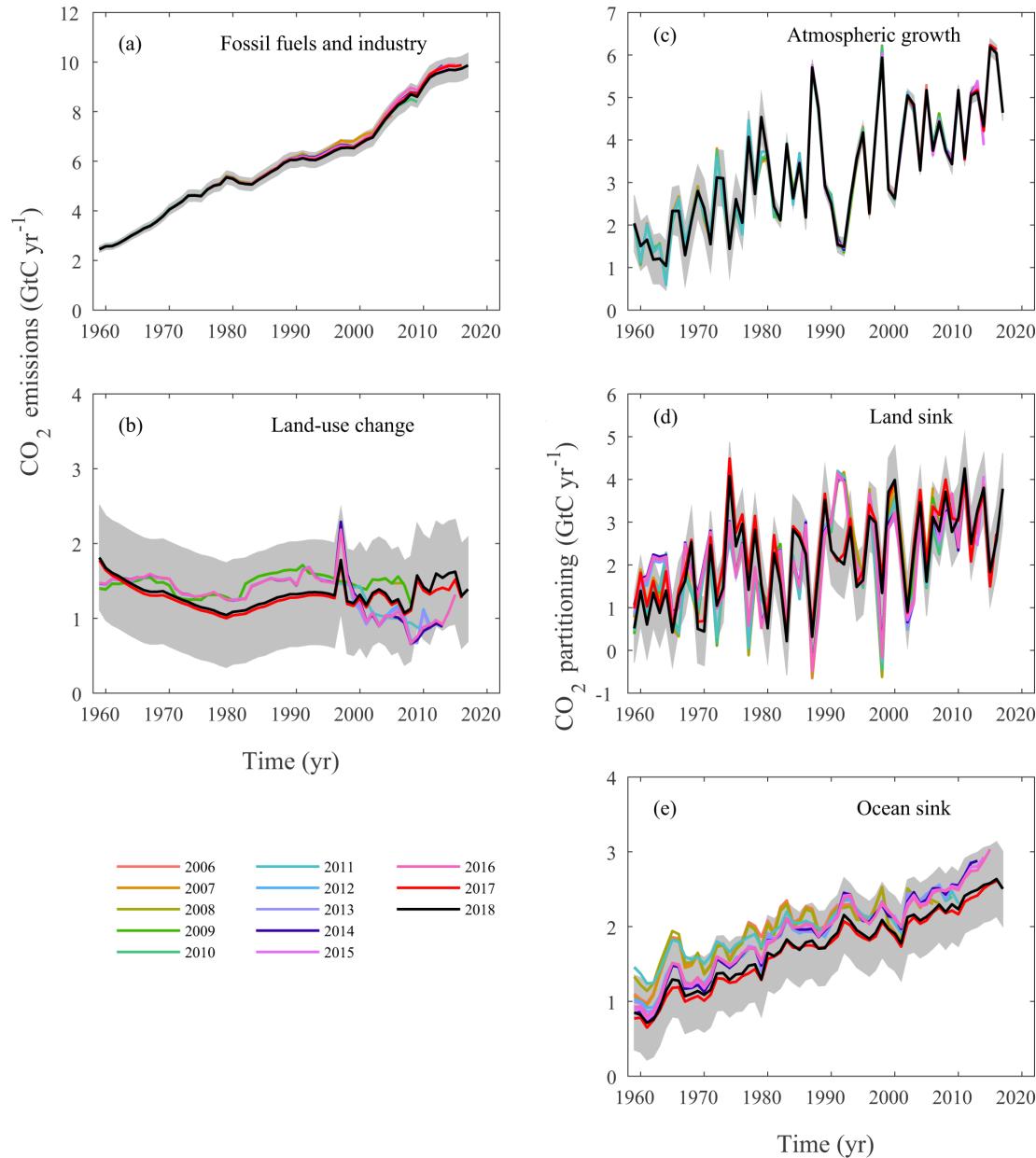
**Figure B1.** Evaluation of the GOBMs and flux products using the interannual mismatch metric for the period from 1985 to 2017, as proposed by Rödenbeck et al. (2015) and the SOCAT v6 database, versus the amplitude of the annual variability (taken as the annual standard deviation). Results are presented for the globe, north ( $> 30^\circ \text{N}$ ), tropics ( $30^\circ \text{S}$ – $30^\circ \text{N}$ ), and south ( $< 30^\circ \text{S}$ ) for the GOBMs (circles) and for the  $p\text{CO}_2$ -based flux products (star symbols). The two  $p\text{CO}_2$ -based flux products use the SOCAT database and therefore are not fully independent from the data (see Sect. 2.5.1).



**Figure B2.** Evaluation of the DGVM using the International Land Model Benchmarking system (ILAMB; Collier et al., 2018) (left) absolute skill scores and (right) skill scores relative to other models. The benchmarking is carried out with observations for vegetation biomass (Saatchi et al., 2011; GlobalCarbon, unpublished data; Avitabile et al., 2016), GPP (Jung et al., 2010; Lasslop et al., 2010), leaf area index (De Kauwe et al., 2011; Myneni et al., 1997), net ecosystem exchange (Jung et al., 2010; Lasslop et al., 2010), ecosystem respiration (Jung et al., 2010; Lasslop et al., 2010), soil carbon (Hugelius et al., 2013; Todd-Brown et al., 2013), evapotranspiration (De Kauwe et al., 2011), and runoff (Dai and Trenberth, 2002). For each model–observation comparison a series of error metrics are calculated, scores are then calculated as an exponential function of each error metric, and finally for each variable the multiple scores from different metrics and observational data sets are combined to give the overall variable scores shown in the left panel. The set of error metrics vary with data set and can include metrics based on the period mean, bias, root-mean-squared error, spatial distribution, interannual variability, and seasonal cycle. The relative skill score shown in the right panel is a Z score, which indicates in units of standard deviation the model scores relative to the multi-model mean score for a given variable. Grey boxes represent missing model data.



**Figure B3.** Evaluation of the atmospheric inversion products. The mean of the absolute model minus observations is shown for four latitude bands. The four models are compared to independent CO<sub>2</sub> measurements made onboard aircraft over many places of the world between 1 and 7 km above sea level. All data between 2008 and 2016 archived in the Cooperative Global Atmospheric Data Integration Project (CGADIP, 2017) have been used to compute the biases of the differences in four 45° latitude bins. Land and ocean data are used without distinction. The number of data for each latitude band is 16 000 (90–45° S), 53 000 (45° S–0), 64 000 (0–45° N), and 122 000 (45–90° N), rounded off to the nearest thousand.



**Figure B4.** Comparison of global carbon budget components released annually by GCP since 2006. CO<sub>2</sub> emissions from (a) fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ) and (b) land-use change ( $E_{\text{LUC}}$ ), as well as their partitioning among (c) the atmosphere ( $G_{\text{ATM}}$ ), (d) the land ( $S_{\text{LAND}}$ ), and (e) the ocean ( $S_{\text{OCEAN}}$ ). See legend for the corresponding years and Table 3 for references. The budget year corresponds to the year when the budget was first released. All values are in GtC yr<sup>-1</sup>. Grey shading shows the uncertainty bounds representing  $\pm 1\sigma$  of the current global carbon budget.

**Author contributions.** CLQ, RMA, PF, SS, JH, JP, GPP, JGC, and WP designed the study, conducted the analysis, and wrote the paper. RMA and GPP produced the emissions and their uncertainties, 2018 emission projections, and analysed the emissions data. RMA, JIK, GPP, ZL, and BZ produced the 2018 projection for China's emissions and its uncertainty. RFK and PPT provided key atmospheric CO<sub>2</sub> data. RMA and CDJ produced the 2018 projection for atmospheric CO<sub>2</sub> growth rate and its uncertainty. FC, PPa, CR, and IvdLL provided an updated atmospheric inversion, developed the protocol and produced the evaluation. AB and RAH provided updated land-use change emissions. LPC, GH, KKG, FNT, and GRvDW provided forcing data for land-use change. AA, VKA, DSG, VH, AKJ, EK, SL, DL, JEMSN, PPe, BPo, MR, HT, APW, AJW and SZ provided an update of a DGVM. IH and NV provided forcing data for the DGVMs. FMH and ER provided the evaluation of the DGVMs. JH, LBo, SCD, TI, JS, RS and RW provided an update of a GOBM. PL and CR provided an update of an ocean flux product. Lba, TG, MH, TJ, NL, NM, DRM, SN, CN, AO, TO, BPf, DP, GR, US, IS, TS, AS and BT provided ocean *p*CO<sub>2</sub> measurements for the year 2017. LR provided an updated river flux estimate. CLQ, PAP, RMA and AP revised the figures, tables, text and/or numbers to ensure the update is clear from the 2017 edition and in phase with the globalcarbonatlas.org.

**Competing interests.** The authors declare that they have no conflict of interest.

**Acknowledgements.** We thank all people and institutions who provided the data used in this carbon budget; Richard Betts, Erik Buitenhuis, Jinfeng Chang, Shijie Shu, and Naomi Smith for their involvement in the development, use and analysis of the models and data products used here; and Fortunat Joos, Samar Khatiwala, and Timothy DeVries for providing historical data. We thank Rob Jackson and the Global Carbon Project members for their input throughout the development of this update. We thank Ed Dlugokencky for providing atmospheric CO<sub>2</sub> measurements; Camilla Stegen Landa, Christophe Bernard, and Steve Jones of the Bjerknes Climate Data Centre and the ICOS Ocean Thematic Centre data management at the University of Bergen, who helped with gathering information from the SOCAT community; and Vassilis Kitidis, Pedro M. S. Monteiro, Luke Gregor, Melchor González-Dávila, J. Magdalena Santana-Casiano, Ruben Negri, and X. Antonio Padín, who contributed to the provision of ocean *p*CO<sub>2</sub> observations (see Table A1). This is NOAA-PMEL contribution number 4847. We thank the institutions and funding agencies responsible for the collection and quality control of the data included in SOCAT and the support of the International Ocean Carbon Coordination Project (IOCCP), the Surface Ocean–Lower Atmosphere Study (SOLAS), and the Integrated Marine Biogeochemistry and Ecosystem Research (IMBER) programme. We thank the FAO and its member countries for the collection and free dissemination of data relevant to this work. We thank data providers ObsPack GLOBALVIEW-plus v1.0 and NRT v3.0 for atmospheric CO<sub>2</sub> observations used in CTE2016-FT and the following people for sharing their aircraft data used in Fig. B3: Toshinobu Machida, Guangsheng Chen, Steven C. Wofsy, Ken Davis, Joshua DiGangi, Jeff Peischl, Thomas B. Ryerson, Britton Stephens, Colm Sweeney, Kathryn McKain, and

Luciana V. Gatti; University of Colorado/CIRES for the NOAA WP-3D aircraft vertical profile data; and the Japan Meteorological Agency. We thank the individuals and institutions that provided the databases used for the model evaluations introduced here and Nigel Hawtin for producing Fig. 2.

Finally, we thank all funders who have supported the individual and joint contributions to this work (see Table A5), as well as the reviewers of this paper and previous versions, and the many researchers who have provided feedback.

Edited by: David Carlson

Reviewed by: H. Damon Matthews, Albertus J. Dolman, and one anonymous referee

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