

# 🌀 Automated Program Repair from Fuzzing Perspective 🌀

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## ABSTRACT

In this work, we present a novel approach that connects two closely-related topics: fuzzing and automated program repair (APR). The paper is divided into two parts. In the first part, we describe the similarities between fuzzing and APR both of which can be viewed as a search problem. In the second part, we introduce a new patch-scheduling algorithm called CASINO, which is designed from a fuzzing perspective to enhance search efficiency. Our experiments demonstrate that CASINO outperforms existing algorithms. We also promote open science by sharing SIMAPR, a simulation tool that can be used to evaluate new patch-scheduling algorithms.

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## 1 INTRODUCTION

Software maintenance involves a continuous cycle of bug detection and fixing, which can be viewed as the yin and yang of software development. When a bug is detected, it represents the negative yin aspect, while bug-fixing activities represent the positive yang aspect of software maintenance. This cycle is essential for improving software quality, ensuring that software remains reliable.

As software quality becomes increasingly more important, research on bug finding and fixing is gaining momentum. Fuzzing has emerged as a popular and effective bug-finding technique and has been extensively studied recently. OSS-Fuzz [9] – a fuzzer developed at Google – alone has detected 8,900 vulnerabilities and 28,000 bugs as of February 2023. Fuzzing is now a routine practice at prominent vendors such as Google [2] and Microsoft [18].

As the bug detection capability improves, it is becoming unsustainable to fix all of the bugs manually. This is where automated program repair (APR) comes in. Since the seminal APR tool, GENPROG, was introduced in 2009 [58], APR has been actively researched for the last decade or so. Using the current APR techniques, many bugs, if not all, can now be fixed automatically.

Fuzzing and APR, although seemingly opposite, share many similarities as they both solve a search problem. Fuzzing searches for bugs, while APR searches for patches to fix those bugs. In practical terms, the objective of fuzzing is to gather as many bugs as possible within a set timeframe. Similarly, APR can be leveraged to gather as many valid patches (i.e., patches passing all tests) as possible within a given time budget. In this paper, we will assume an APR approach that includes a patch ranking step where valid patches collected are ranked before being presented to the user. This approach is in line with recent works [10, 11, 15, 16, 26, 43, 60, 62, 70]. *In the first part of this paper, we will describe in more detail the relationship between fuzzing and APR.*

*In the second part of this paper, we introduce a new patch-scheduling algorithm, which schedules the order of patches to be validated.* The patch space is often too large to be checked exhaustively and this is why an efficient patch-scheduling algorithm is needed. Our approach is inspired by the principles of fuzzing, and we view the patch-scheduling problem through this lens. Specifically, we formulate the patch space as a tree, which we explore using stochastic tree traversal. The resultant algorithm, named CASINO, is similar to the input-scheduling algorithm of grammar-aware mutation-based fuzzing. To facilitate efficient search, we employ multi-armed bandit algorithms to explore the structured patch space.

To assess the performance of our patch-scheduling algorithm, CASINO, we compare it with the original scheduling algorithms implemented in six existing APR tools, including TBAR [31] and ALPHAREPAIR [62], the best-performing template-based and learning-based tool, respectively, at the time of writing. Additionally, we compare CASINO with the state-of-the-art algorithm, SEAPR [6].

When assessed with the 395 buggy versions of the DEFECTS4J [22] benchmark, CASINO outperforms the original scheduling algorithms across all six subject APR tools. When compared with SEAPR, CASINO outperforms it in the majority of cases (4 out of 6 tools), while there is no clear winner between them in the remaining two. The high search efficiency of CASINO also leads to high recall, enabling it to fix the largest number of bugs among all considered algorithms when given the same time budget.

In summary, we make the following contributions in this work:

\*Both authors contributed equally to this research.

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- (1) **New research directions.** We provide a new perspective on the relationship between fuzzing and APR and suggest new avenues for research.
- (2) **Efficient algorithm.** We present CASINO, a novel efficient patch-scheduling algorithm designed from a fuzzing perspective. Our experimental results show that CASINO outperforms existing algorithms in terms of overall performance.
- (3) **Experimental tool.** Finally, we share SIMAPR, the simulation tool used in our experiments to evaluate various patch-scheduling algorithms. With SIMAPR, assessing new patch-scheduling algorithms is made easy. SIMAPR, along with the replication scripts, are available at: <https://github.com/UNIST-LOFT/SimAPR>

## 2 COMPARISON BETWEEN FUZZING AND AUTOMATED PROGRAM REPAIR

Both fuzzing and automated program repair (APR) have been intensively studied in the past decade [41, 73]. At a high level, these two techniques are similar in that they both solve search problems. Fuzzing searches for inputs that reveal bugs, such as crashes, while APR searches for valid patches that pass all available tests. Both problems are challenging due to the vastness of their search spaces. The search space of fuzzing includes all possible inputs to the program under test, while the search space of APR is the space of all possible patches. Since it is impractical to exhaustively navigate these huge search spaces, both fuzzing and APR conduct a search in the subset of the search space. The efficiency of finding bugs or patches depends on the scheduling algorithm employed, which selects the input or patch to explore in the next run. The following two subsections briefly describe the scheduling algorithms used in fuzzing and APR, respectively.

### 2.1 Fuzzing Scheduling Algorithms

Most fuzzers use stochastic scheduling algorithms that prioritize inputs that are more likely to expose bugs. To estimate the bug-revealing likelihood of an input, the program’s past execution data is often analyzed. Black-box fuzzers prioritize inputs similar to those that previously uncovered bugs. Grey-box fuzzers extract more information from the execution data, such as code coverage, and use it to guide the search. The scheduling algorithms of fuzzing are typically online algorithms, meaning that the decision on which input to explore next is decided based on the execution data collected thus far. For more detailed and summarized descriptions of fuzzing scheduling algorithms, please refer to recent survey papers [36, 73].

### 2.2 APR Scheduling Algorithms

Before initiating a patch scheduling algorithm, APR tools first run a fault localization technique to identify suspicious locations to modify. To limit the search space, most APR tools modify only a single program location, which we in this paper call  $APR^{SL}$ . Once the suspicious locations are identified, APR tools iterate over them from the most suspicious to the least suspicious. For each suspicious location, patches are generated using various techniques.

**Table 1: Comparison between fuzzing and APR (see § 2)**

	Fuzzing	APR
Search space	Inputs	Patches
Search target	Bug-revealing inputs	Valid patches <sup>†</sup>
Oracle	Bug oracle (e.g., sanitizers)	Test suite
Schedule	Mostly stochastic	Mostly deterministic

<sup>†</sup>: A valid patch is also called a plausible patch in the literature of APR.

Template-based techniques such as TBAR [31] apply to the suspicious location predefined templates applicable at that location, while learning-based techniques such as ALPHAREPAIR [62] use pre-trained machine learning models to generate patches at the suspicious program location. The generated patches are then validated using the given test suite. The above process is repeated to find valid patches (a.k.a., plausible patches) that pass all available tests.

Patch-scheduling algorithms typically employ one of two termination policies. The first policy is to halt the search once a valid patch is discovered, while the second policy, similar to fuzzing, is to continue searching for valid patches within a specified time limit. When the second policy is used, the discovered valid patches are ranked using a ranking method before being presented to the user. While the first policy was commonly used in the past, many recent APR tools [10, 11, 15, 16, 26, 43, 60, 62, 70] use the second policy because it can help alleviate the problem of the first-found valid patch being incorrect, even though it passes all tests. In this work, we focus on the recent APR approach that uses the second termination policy.

Notice that contrary to fuzzing, most  $APR^{SL}$  tools use deterministic scheduling algorithms. The scheduling order of patches is determined before the search starts based on the fault localization results, and the order is not changed during the search.

### 2.3 Research Opportunities

Table 1 summarizes the similarities and differences between fuzzing and APR discussed earlier. While these two techniques share similarities at a high level, they also exhibit distinct differences at a more granular level. This creates new avenues for research, including the following:

- (1) Fuzzing efficiency has significantly improved recently, while most APR tools still rely on basic scheduling algorithms. Exploring the adaptation of techniques used to enhance fuzzing efficiency to APR could be a promising avenue for future research.
- (2) The “grey-box” approach is proven to be highly effective in fuzzing, yet most APR tools still rely on either the “black-box” or “white-box” approach. The white-box approach is utilized by the semantic-based approach [39, 42]. Exploring the integration of the grey-box approach into APR presents a promising research direction.
- (3) Technology transfer can occur in both directions between fuzzing and APR. For instance, deep learning (DL) has been utilized in both fuzzing and APR, albeit in different ways. This presents numerous opportunities to combine and integrate diverse ideas.

In this work, we focus on the first item and propose a new efficient patch-scheduling algorithm.

### 3 FUZZING-INSPIRED PATCH SCHEDULING

In  $APR^{SL}$ , designing an efficient patch-scheduling algorithm boils down to the following questions: *how to select a location to modify and how to mutate the chosen location?*

How can a fuzzing perspective help us design a more efficient APR algorithm? Our key observation is that the patch space of  $APR^{SL}$  has a **hierarchical tree structure**. Consider the tree shown in Figure 1 – ignore the legend and s-score<sub>i</sub>; they will be described later in § 3.1. The terminal nodes represent patches in the patch space. For each terminal node, say  $\sigma_*$ , we have a path  $\pi : r \rightarrow f_* \rightarrow m_* \rightarrow l_* \rightarrow t_* \rightarrow i_* \rightarrow \sigma_*$  where  $r$  represents the root node of the tree. In  $\pi$ , all non-terminal nodes between  $r$  and  $\sigma_*$  represent the structural component of patch  $\sigma_*$  such as file  $f_*$  and method  $m_*$ . Applying patch  $\sigma_*$  is equivalent to traversing down  $\pi$ : (1) identifying the file  $f_*$ , (2) locating the method  $m_*$ , (3) zooming into the location  $l_*$ , (4) using the template  $t_*$ , and (5) finally choosing one of the patches obtainable by applying  $t_*$ .

Now that we reformulate the patch space as a tree, we can explore the patch space via tree traversal, similar to *grammar-based fuzzing*. In grammar-based fuzzers like LANGFUZZ [19] and SUPERION [55], input space is formulated as an AST (abstract syntax tree) using the user-given input grammar. Then, these fuzzers perform mutation by randomly selecting a subtree of the AST and replacing it with another subtree extracted from other inputs in the seed pool.

Taking the perspective of fuzzing, we conceive the idea of *transferring grammar-based fuzzing to APR*. In this section, we formally define our structured patch space (§ 3.1) and present an overall idea about how to *navigate the patch space in a structured manner* (§ 3.2), which will be used as a backbone of our patch-scheduling algorithm. Our patch-scheduling algorithm is *stochastic* just like in fuzzing, which poses the traditional trade-off between exploration and exploitation. We resolve this trade-off via *multi-armed bandit (MAB) algorithms*, again inspired by fuzzing [56, 71]. We describe our patch-scheduling algorithm in § 3.4 after introducing in § 3.3 the two MAB algorithms we use. Lastly, we show how we incrementally construct the patch space on the fly while performing patch scheduling (§ 3.5). We call our patch-scheduling algorithm CASINO.<sup>1</sup>

#### 3.1 Structured Patch Space

Before we formally define the patch space used by CASINO, we first define a patch configuration, a basic element of our patch space.

**Definition 1** (Patch Configuration  $\sigma$ ). A patch configuration is a record  $\sigma = \langle f : \text{file}, m : \text{method}, l : \text{loc}, t : \text{tmpl}, i : \text{idx}, c : \text{cvr} \rangle$  where its attributes denote the following.

- $f$ : the file  $\sigma$  modifies.
- $m$ : the method  $\sigma$  modifies;  $m$  is defined in file  $f$ .
- $l$ : the location  $\sigma$  modifies;  $l$  belongs to method  $m$ .
- $t$ : the template  $\sigma$  uses.
- $i$ : the index of  $\sigma$  among the  $t$ -using patch instances at  $l$ .
- $c$ : true if the patch was already covered; otherwise false.

<sup>1</sup>Slot machines are colloquially called *one-armed bandits*.

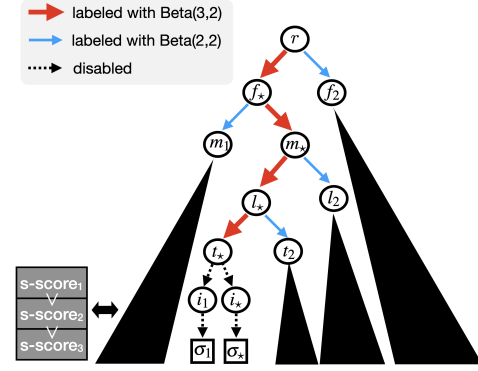


Figure 1: Patch-Space Tree (§ 3.1.1) and Lists (§ 3.1.2)

The last field  $cvr$  is used to mark patches that are covered (selected) during the APR campaign. Initially, the  $cvr$  value is false for all patch configurations. We omit the  $cvr$  attribute from  $\sigma$  when we are not concerned with its value.

**Notation.** We use the notation  $\sigma.a$  to access the attribute  $a$  of  $\sigma$ .

**Terminology.** Since any patch of an arbitrary  $APR^{SL}$  tool can be expressed as a patch configuration, we use *patch* and *patch configuration* interchangeably.

**Definition 2** (Patch Space  $\mathbb{S}[\tau]$ ). The patch space of  $\tau$ , denoted with  $\mathbb{S}[\tau]$  where  $\tau$  is an  $APR^{SL}$  tool, is defined as a set of patch configurations of  $\tau$ .

We use two kinds of structures to represent the patch space: tree (§ 3.1.1) and list (§ 3.1.2).

**3.1.1 Tree Structure.** As mentioned, we view the patch space as a tree, as formally defined in the following:

**Definition 3** (Patch-Space Tree  $\mathcal{T}[\tau]$ ). Given a patch space  $\mathbb{S}[\tau]$ , we reformulate it to a patch-space tree  $\mathcal{T}[\tau]$ . We first define the nodes of  $\mathcal{T}[\tau]$  and subsequently, the edges of  $\mathcal{T}[\tau]$ . To define *nodes*, we use the `level` function that takes as input the level of  $\mathcal{T}[\tau]$  and returns a set of nodes constituting that level:

- $\text{level}(0) = \{\text{the root node } r\}$
- $\text{level}(1) = \{f \mid \exists \sigma \in \mathbb{S}[\tau] : \sigma.\text{file} = f\}$
- $\text{level}(2) = \{m \mid \exists \sigma \in \mathbb{S}[\tau] : \sigma.\text{method} = m\}$
- $\text{level}(3) = \{l \mid \exists \sigma \in \mathbb{S}[\tau] : \sigma.\text{loc} = l\}$
- $\text{level}(4) = \{t[l] \mid \exists \sigma \in \mathbb{S}[\tau] : \sigma.\text{loc} = l \wedge \sigma.\text{tmpl} = t\}$
- $\text{level}(5) = \{t[l][i] \mid \exists \sigma \in \mathbb{S}[\tau] : \sigma.\text{loc} = l \wedge \sigma.\text{tmpl} = t \wedge \sigma.\text{idx} = i\}$
- $\text{level}(6) = \{\sigma \mid \sigma \in \mathbb{S}[\tau]\}$

At level 4,  $t[l]$  represents a template  $t$  applied at location  $l$ . At level 5,  $t[l][i]$  represents an index of  $\sigma$  among the  $t[l]$ -using patches. Connecting an *edge* between nodes is straightforward. Given a patch configuration  $\sigma = \langle f, m, l, t, i \rangle \in \mathbb{S}[\tau]$  and the root node  $r$ , we construct a path  $r \rightarrow f \rightarrow m \rightarrow l \rightarrow t[l] \rightarrow t[l][i] \rightarrow \sigma$  in  $\mathcal{T}[\tau]$ . We denote this path with  $\pi(\sigma)$ .

**Notation.** To represent the *subtree* rooted from a component  $c$  of a patch configuration  $\sigma$ , we write  $\mathcal{T}[\tau](c)$ . For example, in Figure 1, the left-most subtree is denoted with  $\mathcal{T}[\tau](m_1)$ .

**Notation.** We will omit  $\tau$  in  $\mathbb{S}[\tau]$  and  $\mathcal{T}[\tau]$  when we are not concerned about which APR tool  $\tau$  is used.

**3.1.2 List Structure.** Given a subtree  $\mathcal{T}(c)$  and the patches  $\sigma \in \mathcal{T}(c)$ , we maintain a hierarchy of groups. See Figure 1 where subtree  $\mathcal{T}(m_1)$  is transformed into the hierarchy of three groups. Each group consists of the patches having the same suspicious score (denoted with s-score) obtained through fault localization. In most APR, s-score is computed at the location level and we assume that  $s\text{-score}(\sigma) = s\text{-score}(l)$ ; that is, the s-score computed for  $l$  represents the s-score of  $\sigma$ . Now, we define a patch-space list.

**Definition 4** (Patch-Space List  $\mathbb{L}$ ). Given a patch-space subtree  $\mathcal{T}(c)$  rooted from component  $c$ , it holds that  $\mathcal{T}(c) = \uplus \mathbb{L}_i(c)$  where  $\uplus$  is the disjoint-union operator and  $\mathbb{L}_i(c)$  satisfies the following:

- (1)  $\forall i : \{\sigma \mid \sigma \in \mathbb{L}_i(c)\} \subseteq \{\sigma \mid \sigma \in \mathcal{T}(c)\}$
- (2)  $\exists n : \bigcup_{1 \leq i \leq n} \{\sigma \mid \sigma \in \mathbb{L}_i(c)\} = \{\sigma \mid \sigma \in \mathcal{T}(c)\}$
- (3)  $\forall i, j : i \neq j \Rightarrow \mathbb{L}_i(c) \cap \mathbb{L}_j(c) = \emptyset$
- (4)  $\forall \sigma_1, \sigma_2 \in \mathbb{L}(c) : s\text{-score}(\sigma_1) = s\text{-score}(\sigma_2)$ .
- (5)  $\forall i, j : i \neq j \Rightarrow s\text{-score}(\mathbb{L}_i(c)) \neq s\text{-score}(\mathbb{L}_j(c))$  where  $s\text{-score}(\mathbb{L}_i(c))$  represents the s-score of  $\sigma \in \mathbb{L}_i(c)$ .

In Figure 1,  $\mathcal{T}(m_1) = \mathbb{L}_1(m_1) \uplus \mathbb{L}_2(m_1) \uplus \mathbb{L}_3(m_1)$  where  $\mathbb{L}_i(m_1)$  consists of the patches  $\sigma \in \mathcal{T}(m_1)$  having  $s\text{-score}_i$ . The reason we call  $\mathbb{L}_i$  a list, not a group, will be clear in Definition 7. We will omit  $c$  in  $\mathbb{L}(c)$  when the current component  $c$  is clear from the context.

Notice in Figure 1 that  $s\text{-score}_1 > s\text{-score}_2 > s\text{-score}_3$ . We order patch-space lists based on their s-score.

**Definition 5** (Ordering between Patch-Space Lists). Given two patch-space lists  $\mathbb{L}_1$  and  $\mathbb{L}_2$  belonging to the same patch-space subtree, we write  $\mathbb{L}_1 \supset \mathbb{L}_2$  iff  $s\text{-score}(\mathbb{L}_1) > s\text{-score}(\mathbb{L}_2)$ .

While scheduling a patch, we want to avoid selecting a patch that was already selected (i.e., covered) before. For example, in Figure 1, we do not want to select a patch in the  $s\text{-score}_1$ -group if all patches in that group were already covered. If there exists an uncovered patch in the  $s\text{-score}_1$ -group, CASINO skips the  $s\text{-score}_1$ -group and considers the  $s\text{-score}_2$ -group. In this case, we call the  $s\text{-score}_2$ -group the *MSU (most suspicious uncovered)* list.

**Definition 6** (The Most Suspicious Uncovered (MSU) List). The MSU list denoted with  $\mathbb{L}_{MSU}$  satisfies the following constraints.

- (1)  $\forall i : \mathbb{L}_i \supset \mathbb{L}_{MSU} \Rightarrow \forall \sigma \in \mathbb{L}_i : \sigma.\text{cvr} = \text{true}$
- (2)  $\exists \sigma \in \mathbb{L}_{MSU} : \sigma.\text{cvr} = \text{false}$

As mentioned, all patches in a patch-space list have the same s-score. In most APR tools, patches are scheduled in a fixed specific order, even among those having the same s-score. Considering this, we order the patches in the same patch-space list.

**Definition 7** (Ordering between Patches). Consider the patch space  $\mathbb{S}[\tau]$  of an APR tool  $\tau$ . Given two patches  $\sigma_1, \sigma_2 \in \mathbb{S}[\tau]$ , we write  $\sigma_1 \supset \sigma_2$  when the original scheduling algorithm of  $\tau$  validates  $\sigma_1$  before  $\sigma_2$ . We preserve this original ordering between patches in each patch-space list  $\mathbb{L}$ . That is,  $\forall i, j : i > j \Rightarrow \mathbb{L}[i] \supset \mathbb{L}[j]$  where  $\mathbb{L}[i]$  and  $\mathbb{L}[j]$  denote the  $i$ -th and  $j$ -th patch of  $\mathbb{L}$ , respectively.

**Notation.** For simplicity, we will often use the words *tree* and *list* to refer to the patch-space tree and patch-space list.

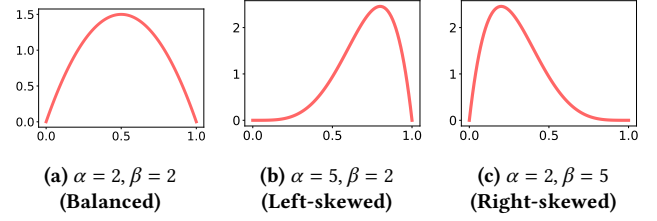


Figure 2: Examples of Beta distributions

### 3.2 Structured Navigation: Overview

To navigate the patch space, we use both the patch-space tree and patch-space list, taking the following four steps.

**Step 1) Vertical Navigation.** We first start with walking down the tree from the root. At each node of the tree, an edge to traverse is randomly chosen. We call this process *vertical navigation*. Vertical navigation can stop at any level  $l$  of the tree where  $l \geq 4$  (i.e., traversal cannot occur below the template level). Once vertical navigation stops at node  $n$ , the search space is narrowed down to  $\mathcal{T}(n)$ .

**Step 2) Extracting the MSU List.** Subsequently, we extract the MSU list of  $\mathcal{T}(n)$ . This step further narrows down the scope of the search space into the extracted MSU list,  $\mathbb{L}_{MSU}$ .

**Step 3) Horizontal Navigation.** We randomly select the next patch among  $\mathbb{L}_{MSU}$ . We call this process *horizontal navigation*.

**Step 4) Updating the Patch Space** Once a chosen patch  $\sigma$  is validated, we set  $\sigma.\text{cvr}$  to true to remove  $\sigma$  from the patch space.

### 3.3 Intermezzo: Multi-Armed Bandit

To efficiently explore the patch space, CASINO uses two MAB (multi-armed-bandit) algorithms described in this section.

**3.3.1  $\epsilon$ -Greedy Algorithm.** The  $\epsilon$ -greedy algorithm [51] chooses an arm at time  $t$  in the following way:

- choose an arm uniformly at random with probability  $\epsilon$
- choose the arm that yielded the highest profit in the past (i.e., until time  $t - 1$ ) with probability  $1 - \epsilon$ .

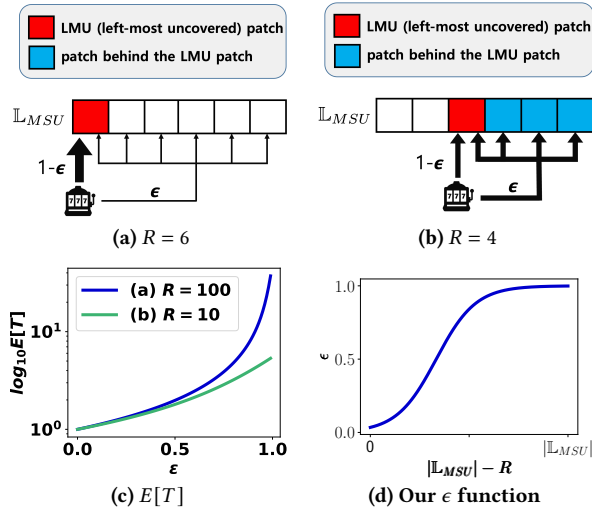
**3.3.2 Thompson Sampling Algorithm.** CASINO uses the Thompson sampling algorithm [48, 53] for the Bernoulli bandit problem, where the reward for an arm is either 1 (success) or 0 (failure). In the Bernoulli bandit problem, each arm  $k$  produces a reward of one with probability  $\theta_k$  and a reward of zero with probability  $1 - \theta_k$ . While  $\theta_k$  is unknown to the agent, the agent can learn about it through experimentation using a Bayesian approach. Suppose at time  $t$ , a prior distribution of  $f(\theta_k)$  is defined as a two-parameter Beta distribution  $Beta(\alpha_k, \beta_k)$  where  $\alpha_k, \beta_k > 0$ .

The useful property of the Beta distribution is that the posterior distribution after observing a reward (either 1 or 0) is again a Beta distribution where  $\alpha_k$  and  $\beta_k$  are updated as follows:

$$(\alpha_k, \beta_k) := \begin{cases} (\alpha_k + 1, \beta_k) & \text{if success is observed} \\ (\alpha_k, \beta_k + 1) & \text{if failure is observed} \end{cases}$$

At time  $t$ , having observed  $n$  successes and  $m$  failures from arm  $k$ , the posterior distribution of  $k$  is  $Beta(1 + n, 1 + m)$ . See Figure 2





**Figure 3: Horizontal Navigation via  $\epsilon$ -Greedy Algorithm.** In (a) and (b), a thicker arrow means a higher probability.  $E[T]$  and  $R$  are defined in § 3.4.4 with signpost “ $E[T]$ ” and “ $R$ ”.

shows examples of Beta distributions with different parameters. Thompson sampling for the Bernoulli bandit problem repeats the following three steps at each time step  $t$ .

- (1) **Sampling:** For each arm  $k$ , success probability estimate  $\theta_k$  is randomly drawn from  $Beta(\alpha_k, \beta_k)$ .
- (2) **Selection:** The algorithm selects the arm for which the largest success probability estimate is sampled. After playing the chosen arm  $x_t$ , its reward  $r_t$  is observed.
- (3) **Update:** Based on the observation  $r_t$ , the Beta distribution is updated accordingly.

### 3.4 Patch Scheduling via Multi-Armed Bandit

**3.4.1 Labeled Patch-Space Tree.** To explore the patch-space tree  $\mathcal{T}$  stochastically, we extend  $\mathcal{T}$  by labeling edges  $e_k$  with Beta distributions  $Beta(\alpha_k, \beta_k)$ . Hereafter, the patch-space tree and  $\mathcal{T}$  refer to the labeled patch-space tree.

**3.4.2 Vertical Navigation via Thompson Sampling.** At each level of  $\mathcal{T}$ , we choose an edge randomly using Thompson sampling (§ 3.3.2). The edge labeled with a Beta distribution skewed more to the left is more likely to be selected. Conversely, when we observe evidence to believe that a certain edge should be more prioritized than before, we further skew the Beta distribution of that edge to the left by increasing the  $\alpha$  value of the distribution. More details will be described in § 3.4.6.

**3.4.3 Initial Edge label  $\perp$ .** Before starting an APR campaign, we have no runtime information to believe that one edge  $e_1$  looks more profitable than another edge  $e_2$  existing at the same level of the tree. Thus, we initialize all edge labels of  $\mathcal{T}$  with a special value,  $\perp$ . When performing Thompson sampling, the edges  $e$  labeled with  $\perp$  are ignored and not selected. We say that those edges  $e$  are *disabled*. We will describe in § 3.4.5 when an edge is enabled.

**3.4.4 Horizontal Navigation via  $\epsilon$ -Greedy Algorithm.** Since all edges are disabled in the beginning, vertical navigation over  $\mathcal{T}[\tau]$  immediately stops at the root node  $r$  of  $\mathcal{T}[\tau]$  and returns subtree  $\mathcal{T}[\tau](r)$ . As described in § 3.2, horizontal navigation is performed over the MSU list of  $\mathcal{T}[\tau](r)$ . Since subtree  $\mathcal{T}[\tau](r)$  refers to  $\mathcal{T}$  and no patch is covered yet, the MSU list of  $\mathcal{T}[\tau](r)$  is the list consisting of the patches having the highest s-score.

Given the MSU list  $\mathbb{L}_{MSU}$ , which item in it should we select as the next patch to validate? On the one hand, it seems we should use random selection since all patches in the list have the same s-score. On the other hand, it also seems reasonable to select the left-most uncovered item of  $\mathbb{L}_{MSU}$  as done in most APR tools. Many APR tools distinguish the ordering between the patches having the same s-score by using additional information. For example, learning-based tools use the patch likelihood returned from a trained model [62, 72].

Reconciling both factors, we use an  $\epsilon$ -greedy algorithm to perform horizontal navigation. Consider Figure 3(a). Our algorithm allows both (1) random selection and (2) the selection of the *LMU* (left-most uncovered) patch,  $\sigma_{LMU}$ . The former is selected with the probability of  $\epsilon$  and the latter with  $1 - \epsilon$ . It can be viewed that with the probability of  $\epsilon$ , we prioritize “exploration”, whereas with the probability of  $1 - \epsilon$ , we “exploit” the pre-defined patch ordering imposed by the APR tool. After discovering an “interesting” patch (see Definition 8) as a result of exploration, our scheduling algorithm prioritizes its similar patches, just as a fuzzer prioritizes inputs similar to interesting seeds.

**$E[T]$  and  $R$ .** When performing horizontal navigation using an  $\epsilon$ -greedy algorithm, the balance between exploitation and exploration is controlled using the value of  $\epsilon$ . To define  $\epsilon$ , we consider the following. Let  $T$  be the number of trials until  $\sigma_{LMU}$  is selected. Then, the expected value  $E[T]$  is  $\sum_{k=1}^R \epsilon^{k-1} \times \frac{R-k+1}{R} \times (1 - \epsilon + \frac{\epsilon}{R-k+1})$  where  $R = |\{\sigma \mid idx(\sigma) \geq idx(\sigma_{LMU})\}|$  and  $idx$  denotes a function that returns the index of the given element of  $\mathbb{L}_{MSU}$ .<sup>2</sup> Notice that  $R$  represents the size of the sublist of  $\mathbb{L}_{MSU}$  ranging from  $\sigma_{LMU}$  to the last item of  $\mathbb{L}_{MSU}$ . The plot of  $E[T]$  is shown in Figure 3(c).  $E[T]$  increases exponentially as  $\epsilon$  increases. Also, the plot grows more rapidly when the value of  $R$  is larger.

The value of  $\epsilon$  should not be too large or too small. Suppose  $\sigma_{LMU}$  is valid (i.e., passes all tests), and thus the original scheduling algorithm detects a valid patch  $\sigma_{LMU}$  in the next scheduling iteration. In comparison, our algorithm would detect the same  $\sigma_{LMU}$  in  $E[T]$  iterations on average, incurring the additional average cost of  $E[T] - 1$ . Thus, if  $E[T]$  is too large, the cost is likely to surpass the gain. Conversely, if  $E[T]$  is too small, exploration would not occur frequently enough to obtain gains.

Unlike the conventional  $\epsilon$ -greedy algorithm, we do not use a single constant for  $\epsilon$ . This is because as  $R$  decreases (i.e., the position of  $\sigma_{LMU}$  moves to the right in  $\mathbb{L}_{MSU}$ ; see Figure 3(b)),  $E[T]$ , the expected cost of the random search, also decreases (see Figure 3(c)), and thus we can afford a larger  $\epsilon$  value. We define  $\epsilon$  as the sigmoid

<sup>2</sup>§ A.1 of the supplementary appendix describes how we derive this formula of  $E[T]$ .

function (see Figure 3(d)) defined in Equation (1). Notice that as  $R$  decreases, i.e.,  $|\mathbb{L}_{MSU}| - R$  increases,  $\epsilon$  increases as desired.

$$\epsilon(x) = \frac{1}{1 + e^{\frac{c_1}{|\mathbb{L}_{MSU}|} \cdot (c_2 \cdot |\mathbb{L}_{MSU}| - x)}} \quad (1)$$

In Equation (1), constants  $c_1$  and  $c_2$  are set to 10 and 1/3, respectively, to form a balanced S shape.<sup>3</sup>

**3.4.5 Enabling Edges.** As mentioned in § 3.4.3, all edges of  $\mathcal{T}$  are disabled initially. We enable an edge when we detect an interesting patch defined as follows:

**Definition 8** (Interesting Patch). A patch  $\sigma$  is considered interesting when the program  $p$  patched with  $\sigma$  passes one of the negative tests (i.e., the test  $p$  previously failed).

Consider Figure 1 as an example. Given a detected interesting patch  $\sigma_*$ , we identify  $\pi(\sigma_*) = r \rightarrow f_* \rightarrow m_* \rightarrow l_* \rightarrow t_*[l_*] \rightarrow t_*[l_*][i_*] \rightarrow \sigma_*$ .<sup>4</sup> Then, we assign a Beta distribution  $Beta(3, 2)$  to the red-colored edges of  $\pi(\sigma_*)$ . We assign  $Beta(3, 2)$  not  $Beta(2, 2)$  to reflect the fact that an interesting patch is found in path  $\pi(\sigma_*)$ . Recall that we increase the  $\alpha$  value of the Best distribution to give a higher priority than before. The edges below the template level remain disabled to enforce the horizontal navigation below the template level.

Just as a fuzzer prioritizes inputs similar to interesting seeds, our patch-scheduling algorithm prioritizes patches similar to detected interesting patches  $\sigma_*$ . To allow selecting edges deviating from  $\pi(\sigma_*)$ , we define the neighboring edge as follows.

**Definition 9** (Neighboring Edge and Node of  $\sigma_*$ ). Given an interesting patch  $\sigma_*$ , its neighboring edge  $e$  is defined as follows:

$$e \in \{e \mid n \in \pi(\sigma_*) \wedge e \text{ is an outgoing edge of } n \wedge e \notin \pi(\sigma_*)\}$$

where  $n$  is a node in path  $\pi(\sigma_*)$ . When a node is visited via a neighboring edge, we call that node a neighboring node.

In Figure 1, blue-colored edges are neighboring edges of  $\pi(\sigma_*)$ . We label the neighboring edges of  $\pi(\sigma_*)$  with  $Beta(2, 2)$  shown in Figure 2(a). As a result, in our running example, the vertical navigation can visit neighboring nodes like  $m_1$  and  $l_2$ . Once an edge is enabled, we do not disable it afterward.

**3.4.6 Updating Edge Labels.** As explained § 3.4.5, a disabled edge is enabled when an interesting patch  $\sigma_*$  is detected. What if some edges in  $\pi(\sigma_*)$  are already enabled? Given an edge  $e \in \pi(\sigma_*)$  labeled with  $Beta(\alpha, \beta)$ , we update the label of  $e$  to  $Beta(\alpha + n, \beta)$  where  $n > 0$ . The resultant Beta distribution is skewed more to the left than before, increasing the chance to be selected when performing vertical navigation. We increase the  $\alpha$  value exponentially (i.e.,  $n$  is 2, 4, 8, 16, ...) as the edge is covered by a larger number of interesting patches. However, we do not change the  $\beta$  value when the scheduled patch is not interesting. Most patches are not interesting and thus increasing the  $\beta$  value makes all Best distributions of the edges similar to each other. Our approach is similar to that used in fuzzing. Most fuzzers keep and mutate interesting inputs while discarding uninteresting ones.

<sup>3</sup>§ A.2 in the supplementary appendix shows the shapes of the  $\epsilon$  function when different values are used.

<sup>4</sup>In Figure 1, we simply write  $t_*$  and  $i_*$  to represent  $t_*[l_*]$  and  $t_*[l_*][i_*]$ , respectively.

## Algorithm 1 CASINO Patch Scheduling Algorithm

---

**Input:**  $P$ : Program to be repaired  
**Input:** Initial patch-space tree  $\mathcal{T}$   
**Input:**  $O_{\text{fix}}$ : Fix oracle (e.g., a test-suite)  
**Output:**  $\mathbb{D}_{\text{patches}}$

```

1:  $\mathbb{D}_{\text{patches}} \leftarrow \emptyset$  //  $\mathbb{D}_{\text{patches}}$ : Set of detected patches
2: while  $t_{\text{elapsed}} < t_{\text{limit}} \wedge \text{CONTINUE}()$  do
3:   // Vertical navigation via Thomson sampling (see § 3.4.2)
4:    $n := \text{ROOT}(\mathcal{T})$  // Start patch-tree traversal from the root node
5:   while  $n$  has an enabled outgoing edge do
6:     for each enabled edge  $e$  of  $n$  do
7:        $Beta(\alpha_k, \beta_k) \leftarrow \text{LABEL}(e)$ 
8:       Draw  $\hat{\theta}_k$  according to  $Beta(\alpha_k, \beta_k)$ 
9:     end for
10:     $e := \arg \max_k \hat{\theta}_k$  // Select the  $k$ -th edge having the maximum  $\hat{\theta}_k$ 
11:     $n := \text{TRAVERSE}(n, e)$  // Traverse edge  $n \xrightarrow{e} n'$  and  $n := n'$ 
12:  end while
13:  // Horizontal navigation via  $\epsilon$ -greedy algorithm (see § 3.4.4)
14:   $\mathbb{L}_{MSU} \leftarrow \text{EXTRACTMSULIST}(\mathcal{T}(n))$ 
15:  Toss a coin with success probability  $\epsilon$  // see Eq (1)
16:  if success then
17:     $\sigma_{\text{next}} \leftarrow \text{CHOOSEATRANDOM}(\mathbb{L}_{MSU}, \text{idx}(\sigma_{LMU}))$ 
18:  else
19:     $\sigma_{\text{next}} \leftarrow \sigma_{LMU}$ 
20:  end if
21:  patch, execinfo  $\leftarrow \text{PATCHEVAL}(P, \sigma_{\text{next}}, O_{\text{fix}})$ 
22:  if patch  $\neq \perp$  then // If a valid patch is found
23:     $\mathbb{D}_{\text{patches}} \leftarrow \mathbb{D}_{\text{patches}} \cup \{\text{patch}\}$ 
24:  end if
25:  // Update edge labels (see § 3.4.5 and 3.4.6)
26:   $\text{UPDATE}(\text{execinfo}, \mathcal{T}, \mathbb{L}_{MSU}, \sigma_{\text{next}})$ 
27: end while

```

---

**3.4.7 Putting All Together.** Algorithm 1 puts together the patch-scheduling components described in § 3.4. The outermost loop of the algorithm (lines 2–27) collects valid patches. The program location to modify and the mutation operator to use are scheduled using the combination of vertical navigation (lines 3–12) and horizontal navigation (lines 13–20). Once the scheduled patch  $\sigma_{\text{next}}$  is validated, we update  $\mathcal{T}$  and  $\sigma_{\text{next}}$  (lines 21–26).

## 3.5 On-The-Fly Construction of Patch Space

The CASINO algorithm does not require pre-generating patches. Instead, it constructs a patch-space tree on the fly. Consider an example shown in Figure 4 where we describe the first four steps of using Algorithm 1.

**Step 1)** Given a fault localization result (which is obtained before patch scheduling begins), we prepare an initial patch-space tree. Note that the initial patch space tree does not contain any patches yet, since it is constructed only with the information available in the fault localization result (i.e., files, methods, and locations). Also, note that all edges are disabled, indicating that no interesting patch has been found yet. We pass this initial patch-space tree to Algorithm 1.

**Step 2)** Since all edges of the tree are disabled, the vertical navigation (line 4–12) immediately finishes without entering the loop in lines 5–12. Subsequently, the horizontal navigation is performed

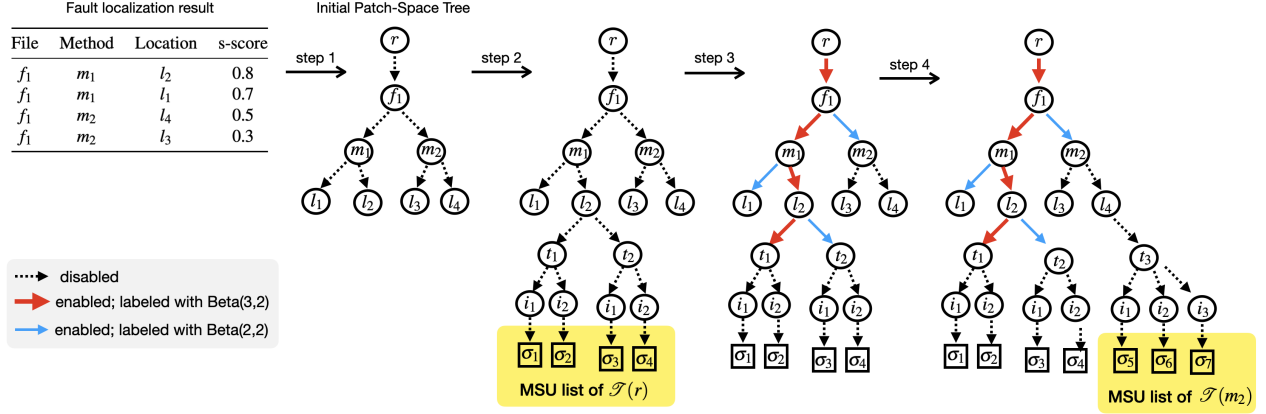


Figure 4: On-The-Fly Construction of Patch Space (§ 3.5)

with the MSU list of  $\mathcal{T}(r)$  where  $r$  is the root node of  $\mathcal{T}$ . Recall that  $\mathcal{T}(n)$  represents the sub-tree rooted at node  $n$ . In the running example, the MSU list of  $\mathcal{T}(r)$  consists of  $\sigma_1, \sigma_2, \sigma_3$ , and  $\sigma_4$  — the patches the APR tool generates for location  $l_2$  whose suspiciousness score (i.e., s-score) is highest (i.e., 0.8), assuming that two templates  $t_1$  and  $t_2$  are applicable at  $l_2$ . Note that the patches for the other locations are not generated.

**Step 3)** Suppose at step 2, patch  $\sigma_2$  is randomly chosen and, subsequently, it is shown to be an interesting patch. Then, the edges leading to  $\sigma_2$  and their neighboring edges are enabled as described in § 3.4.5.

**Step 4)** Suppose path  $r \rightarrow f_1 \rightarrow m_2$  is taken while performing the vertical navigation. Since  $m_2$  has no outgoing enabled edge, the horizontal navigation is performed with the MSU list of  $\mathcal{T}(m_2)$ , i.e.,  $\sigma_5, \sigma_6$ , and  $\sigma_7$  whose suspiciousness score is highest (i.e., 0.5) among the locations of method  $m_2$ .

As shown in this example, CASINO incrementally builds the patch space on the fly, similar to the conventional APR tools.

## 4 EXPERIMENTAL DESIGN AND SETUP

### 4.1 Research Questions

To evaluate our approach CASINO, we ask the following questions:

- **RQ1 (Search Efficiency):** How efficiently does CASINO find valid patches? Refer to § 4.2 for the definition of valid patches.
- **RQ2 (Recall):** How many versions are successfully repaired? Refer to § 4.3 for the definition of a successful repair.
- **RQ3 (Ablation Study):** CASINO combines the vertical and horizontal navigations. How does each navigation method contribute to the overall performance?
- **RQ4 (Generalizability):** What is the generalizability of CASINO?

### 4.2 Classification of Patches

To assess our research questions, we use several classifications of patches.

**Valid Patch.** We call a patch valid when that patch passes all tests in the given test suite. A valid patch is also called a *plausible patch* in the literature of APR.

**Acceptable Patch.** To assess RQ2, we need to determine the correctness of a generated patch. In the literature of APR, patch correctness has been determined either manually or using an additional test suite typically generated using an automated test generation tool. The former is prone to subjectivity and difficult to be applied when there are many patches to review. Meanwhile, the latter has little issue with the applicability but it may fail to identify incorrect patches. In our experiments, we obtain thousands (2,775) of valid patches<sup>5</sup> and resort to an automatic approach, similar to [50, 60]. To mitigate the risk of failing to identify incorrect patches, we use the state-of-the-art differential testing tool, DIFFTGEN [64], designed specifically to detect incorrect patches.<sup>6</sup> If no semantic difference is found between an obtained valid patch and its fixed version, we classify that patch as an **acceptable patch**.

### 4.3 Evaluation Methods

For RQ 1 and RQ 2, we compare CASINO with the original APR tools (see § 4.4) over which CASINO is applied. We also compare CASINO with (1) SEAPR [6], the latest state-of-the-art patch-scheduling algorithm for APR and (2) GENPROG<sup>SL</sup>, an APR<sup>SL</sup> version of the GENPROG family algorithm. More details about these comparison algorithms are provided in § 4.5.

For each buggy version, we run CASINO and the other scheduling algorithms in separate sessions for 5 hours following recent work [27, 35, 49, 62, 72]. We run the two stochastic algorithms, CASINO and GENPROG<sup>SL</sup>, 50 times, while SEAPR using a deterministic scheduling algorithm is run once.

<sup>5</sup>This is the result from DEFECTS4J v.1.2. For the additional bugs in DEFECTS4J v.2.0, we obtain 5,645 patches.

<sup>6</sup>The specific features of DIFFTGEN to detect incorrect patches are provided in § A.3 of the supplementary appendix. The DIFFTGEN configuration we used is described in § A.4.

**RQ1 (Search Efficiency).** We measure search efficiency by counting the number of valid patches over time.<sup>7</sup> For two stochastic algorithms, we compute the mean value of the results from 50 runs. We say that an algorithm  $A_1$  outperforms  $A_2$  when (1)  $A_1$  finds valid patches at a faster rate than  $A_2$  and (2)  $A_1$  detects at least as many valid patches as found by  $A_2$  within a time limit (i.e., 5 hours).

**RQ2 (Recall).** To measure recall, we count the number of buggy versions that are successfully repaired. We say that an APR tool  $\tau$  successfully repairs a buggy version when the patches generated by  $\tau$  include an acceptable patch. In this work, we consider the APR approach, where the generated patches are ranked in the final step using a ranking method (see § 2.2), and recall is computed for the top- $N$  patches.

To perform ranking, we use ODS [67], the state-of-the-art patch classification system. ODS classifies a patch as either correct or incorrect using a machine-learned (ML) model trained with historical bug patches. We rank a patch based on its correctness likelihood returned by the ML model of ODS. Please note that in this work, we do not propose a new patch ranking/classification method, which itself is another active research topic of APR [8, 17, 54, 57, 65, 67]. Our goal here is to assess RQ2 with an existing patch ranking technique.

**RQ3 (Ablation Study).** We prepare two variations of CASINO, i.e., CASINO without vertical navigation (lines 5–12 of Algorithm 1 are omitted) and CASINO without horizontal navigation (the  $\epsilon$  function is set to always return 0). Then we compare their performances with CASINO that uses both vertical and horizontal navigations.

**RQ4 (Generalizability).** As detailed in § 4.4, we evaluate CASINO with a fresh benchmark not used in RQ1–3.

#### 4.4 Evaluation Dataset and Subject APR Tools

To evaluate RQ1–3, we use DEFECTS4J v1.2 [22], considering its widespread use for APR studies [23, 29–32]. DEFECTS4J v1.2 consists of 395 bugs from 6 open-source software projects. To evaluate RQ4 about generalizability, we use the 440 additional bugs added into DEFECTS4J v2.0, an extension of DEFECTS4J v1.2.

As for APR tools, we consider the template-based approach and the learning-based approach used in the currently best-performing APR tools such as TBAR [31] and ALPHAREPAIR [62]. Given that most APR tools including those best-performing ones are  $APR^{SL}$  (i.e., they modify only a single location of the program), we in this study focus on  $APR^{SL}$ . Based on the aforementioned criteria, our subject APR tools include (i) the four template-based APR tools, i.e., TBAR [31], AVATAR [30], FIXMINER [23], and kPAR [29] covering all source-code-level template-based approaches used in recent studies on APR [4–6, 32] and (ii) two state-of-the-art learning-based APR tools, RECODER [72] and ALPHAREPAIR [63]. We use all levels of the patch-space tree (Definition 3) across all four template-based tools and for the two learning-based APR tools, we omit the template level since those tools do not explicitly use templates.

#### 4.5 Compared Algorithms

In addition to comparing the performance of CASINO with the original APR tools, we consider two existing scheduling algorithms

<sup>7</sup>We also report the performance over *scheduling iterations* in § A.6 of the supplementary appendix.

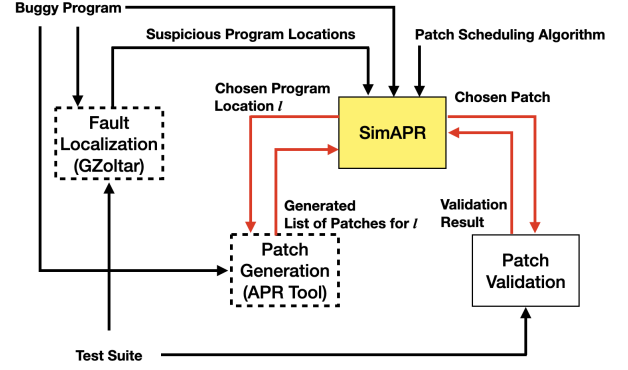


Figure 5: Interaction of SIMAPR with the other modules. The dashed boxes represent offline modules (see § 4.6).

in our comparison: (1)  $GENPROG^{SL}$ , a variant of GENPROG to conduct  $APR^{SL}$  (§ 4.5.1) and (2) SEAPR [6], the latest patch scheduling algorithm (§ 4.5.2).

**4.5.1  $GENPROG^{SL}$ .**  $GENPROG^{SL}$  repeats to mutate the original program by applying a random mutation operator (chosen at uniformly random) to a random location (chosen at random proportional to the suspicious score of the location). The concept of generational evolution is not used because in  $APR^{SL}$ , only a single-step modification is allowed.

**4.5.2 SEAPR.** SEAPR assigns a priority score (p-score) to each patch in a similar way to how spectrum-based fault localization (SBFL) assigns a s-score to each program element. Intuitively, SEAPR assigns a higher p-score to a patch that is more similar to an interesting patch, or a high-quality patch if we use the terminology of SEAPR. Conversely, a lower priority score is assigned to a patch that is more similar to a low-quality patch – i.e., a patch that cannot make any originally failing test pass. Two patches are considered similar to each other when they modify the same program elements such as methods. SEAPR computes p-score using an SBFL formula; by default, SEAPR uses the Ochiai formula [1].

#### 4.6 Experimental Setup through Simulation

In our experiments, we run 6 APR tools with 4 scheduling algorithms described earlier. We run two stochastic algorithms,  $GENPROG^{SL}$  and CASINO, 50 times considering their randomness. To evaluate RQ3 (ablation study), we run two variations of CASINO 50 times. For each version of DEFECTS4J v1.2 containing 395 bugs, we use a 5-hour timeout. Lastly, to evaluate RQ4 (generalizability), we run the original scheduling algorithms of the six APR tools and CASINO 50 times again for 440 new buggy versions in DEFECTS4J v2.0. All these numbers add up to 3,073,020 hours ( $\approx 350$  years).

**SIMAPR.** To conduct experiments in a shorter time frame, we implement a replay-based patch-scheduling simulation system named SIMAPR. Figure 5 shows how SIMAPR interacts with the other modules of APR to search for valid patches. SIMAPR takes as input (1) a buggy program  $P_b$ , (2) a ranked list of suspicious program locations of  $P_b$ , and (3) a subject patch-scheduling algorithm. To



obtain a ranked list of suspicious program locations, we use the latest release of GZOLTAR [7] v1.7.3 with the Ochiai [1] formula. We do not use the FL (fault localization) module of the original APR tool to use the same FL result across all six subjects.

Given an input, SIMAPR runs the patch-scheduling algorithm to choose the program location  $l$  to modify. Then, SIMAPR retrieves the list of patches for  $l$  generated by the subject APR tool. To reduce patch generation time during simulation, we pre-generate the patches for all suspicious program locations in the offline phase before running SIMAPR. It should be noted that the patch generation modules of all six APR tools used in our experiments are deterministic. Therefore, given a program location  $l$ , each APR tool consistently generates the same list of patches for  $l$ . Once the list of patches is obtained, SIMAPR chooses a patch to validate from the list while running the patch-scheduling algorithm. The selected patch is then validated using the test suite, similar to the original APR tools. To save validation time, we cache the validation result of each patch and reuse it in subsequent runs.<sup>8</sup> To store the cached validation results, we extend the definition of the patch configuration  $\sigma$  defined in Definition 1 as follows:

**Definition 10** (Extended Patch Configuration). Consider the patch configurations  $\sigma$  generated by an APR tool  $\tau$ . An extended patch configuration  $\sigma_e$  extends  $\sigma$  (see Definition 1) with the following three additional attributes:

- **valid**: true if  $\sigma$  is a valid patch; false otherwise.
- **time<sub>gen</sub>**: time taken for  $\tau$  to generate the patch referred to by  $\sigma$ .
- **time<sub>val</sub>**: time taken to validate  $\sigma$  with a given test suite. The validation process stops at the first failing test, as done in most APR tools, including our six subject tools.

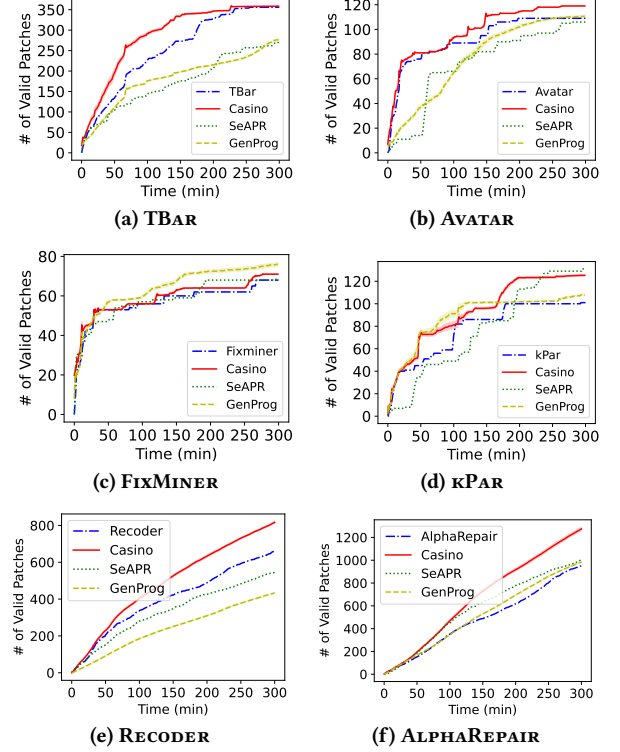
**Simulation of APR via SIMAPR.** We evaluate the performance of the patch-scheduling algorithms using SIMAPR, inspired by FuzZSIM [61], which compares the performance of various fuzzing algorithms via simulation. As mentioned, we perform a simulation in two phases, i.e., an offline phase followed by an online phase. In the offline phase, we conduct fault localization and generate patches for all suspicious program locations using the subject APR tools. We represent each patch as an extended patch configuration  $\sigma_e$ . When generating a patch, we measure the time and assign the obtained value to  $\sigma_e.time_{gen}$ . Meanwhile, the **valid** and **time<sub>val</sub>** attributes are initialized with  $\perp$ .

In the follow-up online phase, we run a subject patch-scheduling algorithm using SIMAPR. For each scheduled patch  $\sigma_e$ , SIMAPR performs the following depending on the value of  $\sigma_e.valid$ .

- **When  $\sigma_e.valid = \perp$ :** SIMAPR validates  $\sigma_e$  with the given test suite and assigns the validation result (either true or false) to  $\sigma_e.valid$ . When validating  $\sigma_e$ , SIMAPR measures the validation time and assigns the obtained value to  $\sigma_e.time_{val}$ .
- **When  $\sigma_e.valid \neq \perp$ :** SIMAPR returns  $\sigma_e.valid$ ,  $\sigma_e.time_{gen}$  and  $\sigma_e.time_{val}$  to the scheduling algorithm.

While running a subject scheduling algorithm in our experiments, we accumulate  $\sigma_e.time_{gen}$  and  $\sigma_e.time_{val}$  for every scheduled  $\sigma_e$  and count the number of detected valid patches.

<sup>8</sup>Tests are assumed to be deterministic following the current convention of APR.



**Figure 6: Search efficiency in finding valid patches. In the legend, GenProg refers to GENPROG<sup>SL</sup>.**

**Scheduling Algorithms.** SIMAPR currently supports four scheduling algorithms used in our experiment: (1) sequential scheduling that iterates over a given list of patches, (2) GENPROG<sup>SL</sup>, (3) SEAPR, and (4) CASINO. The sequential scheduling is used to simulate the original scheduling order of a subject APR tool. The SEAPR algorithm available in SIMAPR uses the configuration shown to work best in its original paper [6].<sup>9</sup>

**Open Science.** We expect that SIMAPR will be useful for other researchers interested in studying patch-scheduling algorithms. In support of open science, we release the source code of SIMAPR. With SIMAPR, a new patch-scheduling algorithm can be easily evaluated.

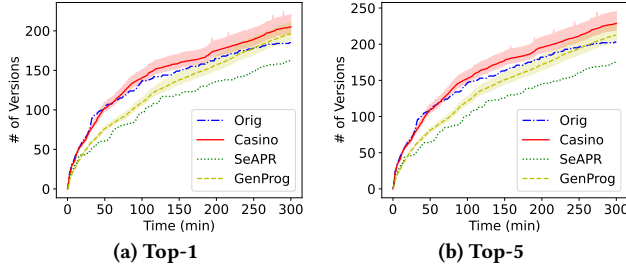
**Experimental Environment.** We conducted experiments using a machine with AMD EPYC 2.6GHz CPUs (1024GB RAM) and another one with Intel Xeon Gold 3GHz CPUs (128GB RAM). The former was used for all subject tools except for FIXMINER which was experimented on the latter machine. Please note that all four compared scheduling algorithms were run on the same machine. We used Ubuntu 20.04.4 LTS and Java 1.7 across all experiments.

## 5 EXPERIMENTAL RESULTS

### 5.1 RQ1: Search Efficiency

Figure 6 shows how many *valid* patches are detected over time during 300 minutes. For GENPROG<sup>SL</sup> and CASINO, the mean values

<sup>9</sup>More details are available in § A.5 of the supplementary appendix.



**Figure 7: Recall at top- $N$  when ranking is computed using ODS. The Y-axis shows the number of successfully repaired versions.**

of the results from 50 runs are shown. We also draw shade around the lines for those two algorithms to show 95% confidence intervals (CI) for the cumulative number of patches at each time point. As indicated by the thin shades, only marginal variance is observed from 50 runs where at each run, we use a unique random seed. We observe the following from the figure.

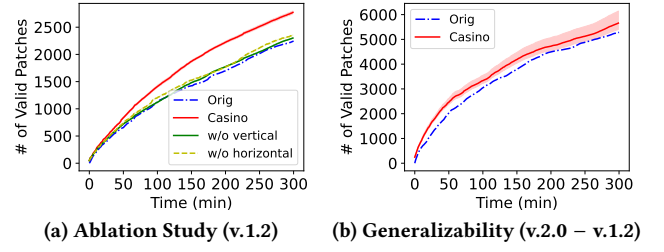
(1) **Improved Performance.** CASINO detects valid patches at faster rates than the original algorithms, as indicated by the red curves running above the blue ones, though the degree of improvement varies depending on an APR tool. CASINO particularly performs well in TBAR, RECODER and ALPHAREPAIR. Notice that in these three tools, a larger number of valid patches are found than in the other tools, suggesting that CASINO seems to work well when the patch space contains valid patches more abundantly. In terms of the total number of valid patches detected within a time limit, CASINO discovers more (AVATAR, kPAR, RECODER and ALPHAREPAIR) or similarly (TBAR and FIXMINER) as compared to the original algorithms.

(2) **Mixed Result of SEAPR and GENPROG<sup>SL</sup>.** Unlike CASINO, the results of SEAPR and GENPROG<sup>SL</sup> are mixed. SEAPR outperforms the original algorithm in ALPHAREPAIR and FIXMINER. For kPAR, SEAPR performs worse than the original algorithm in the beginning but eventually finds more valid patches. In the three remaining tools (TBAR, AVATAR, and RECODER), SEAPR detects valid patches at a slower rate than the original algorithms. As for GENPROG<sup>SL</sup>, it outperforms the original algorithm in FIXMINER, kPAR, and ALPHAREPAIR. However, the performance of GENPROG<sup>SL</sup> is worse than the original in TBAR, AVATAR, and RECODER.

(3) **CASINO is a frequent winner.** CASINO wins over SEAPR and GENPROG<sup>SL</sup> in the majority of the cases, including TBAR, AVATAR, RECODER and ALPHAREPAIR. In FIXMINER, GENPROG<sup>SL</sup> wins over CASINO with a narrow margin. In kPAR, there is no clear winner. While GENPROG<sup>SL</sup> performs best in the beginning, CASINO and SEAPR eventually surpass GENPROG<sup>SL</sup>. SEAPR performs worst during most periods but wins closely at the almost last moment.

## 5.2 RQ2: Recall

Figure 7 shows how many *versions* are successfully repaired over time within the 300-minute period. At each plot of Figure 7, the coordinate  $(x, y)$  represents that within  $x$  minutes,  $y$  versions are successfully repaired when accumulating the results from the six



**Figure 8: Results for ablation study and generalizability**

APR tools. The shade around the red line (CASINO) and the yellow line (GENPROG<sup>SL</sup>) represents the 95% confidence intervals (CI) for the cumulative number of valid patches at each time point.

The four plots of Figure 7 show the recall for top- $N$  patches. As  $N$  increases, the number of successfully repaired versions increases (compare the Y-axes). The overall pattern is consistent across  $N$ . Only CASINO outperforms the original algorithms — i.e., a repair succeeds more frequently and at a faster rate than in the original algorithms. In comparison, GENPROG<sup>SL</sup> succeeds in repairing bugs at a slower rate than the original algorithms, although eventually, it succeeds to repair more instances of buggy versions. Meanwhile, SEAPR performs worst in our experiments.

## 5.3 RQ3: Ablation Study

Figure 8(a) compares the performance of CASINO with their two variances in lack of either vertical or horizontal navigation. In the figure, the coordinate  $(x, y)$  represents that within  $x$  minutes, the six subject APR tools generate  $y$  valid patches when those six tools run in parallel with separate instances of the same set of buggy versions. It is observed that to maximize performance, both vertical and horizontal navigations should be used.

## 5.4 RQ4: Generalizability

To assess the generalizability of CASINO in terms of search efficiency, we reran SIMAPR against the 440 new bugs in DEFECTS4J v.2.0. Figure 8(b) shows how many valid patches are detected over time when the original algorithms and CASINO are used. Compare Figure 8(b) with Figure 8(a). In both figures, CASINO outperforms the original algorithms, implying the generalizability of CASINO.

## 6 THREATS TO VALIDITY

**Benchmarks and subject tools.** As with all experimental results, caution should be taken when generalizing our results. To mitigate this threat, we evaluate the generalizability of CASINO with DEFECTS4J v.2.0.

**Patch correctness.** To validate a large number of patches, we took a best-effort approach by using the differential testing tool specialized for patch correctness validation. Nevertheless, DIFFT-GEN may fail to detect incorrect patches. Nonetheless, the incorrect “acceptable” patches can be viewed as patches whose incorrectness cannot be detected easily.

## 7 RELATED AND FUTURE WORK

The search efficiency of APR is affected by many factors, including patch prioritization, patch space reduction, test case prioritization, and test-suite reduction.

**Patch Prioritization.** To prioritize patch candidates, existing APR approaches use various additional information such as code context [59], program contracts [44], code comments [66], Q&A sites [14], and existing patches [20, 24, 34]. These approaches typically build a statistical model and use it to guide the search for repair. Recent deep-learning-based APR [12, 21, 27, 28, 35, 63, 72] can be viewed as an extension of this line of work. Compared to them, CASINO adjusts prioritization dynamically, similar to fuzzing. While GENPROG [25] and its descendants [69, 70] also work similarly, those algorithms use genetic programming as a main vehicle, whereas CASINO works in a more similar way to grammar-aware mutation-based fuzzing. CASINO is a patch-scheduling algorithm for  $APR^{SL}$  and extending it to multi-line APR is a potential future work.

Meanwhile, semantics-based approaches [38, 39, 42, 68] do not directly search for patches. Instead, they first search for constraints that a patch must satisfy and then synthesizes a patch that satisfies the constraints. While most semantics-based approaches do not use dynamic information to guide the search, FANGELIX [68] performs a stochastic search for constraints using MCMC (Markov Chain Monte Carlo) sampling [3]. Compared to this work, CASINO performs a stochastic search for patches, not constraints.

**Patch Space Reduction.** To keep the patch space tractable, several patch space reduction techniques have been developed. These techniques exclude from the patch space harmful patch patterns (a.k.a., anti-patterns) [52], patch patterns involving intractably large search space [33], and patch candidates belonging to already covered test-equivalent classes [37]. Our patch-scheduling algorithm does not perform patch-space reduction and a combination of CASINO and patch-space reduction technique is a promising direction to improve the search efficiency of APR.

**Test Case Prioritization and Test-Suite Reduction.** Running a test-driven APR tool typically involves the repetitive execution of tests. To reduce testing cost, test case prioritization [47] (which reveals incorrect patches as soon as possible) and regression test selection [13, 46] (which reduce the number of tests to run) have been developed and used in some APR tools [40, 45]. CASINO and these techniques to manipulate a test suite are orthogonal and complementary to each other.

## 8 CONCLUSION

The researchers of APR have been faced with two seemingly conflicting goals. For the adoption of APR in the field, we need an APR technique that is both effective (fixing as many bugs as possible by enlarging the search space) and efficient (fixing them as soon as possible). In this paper, we have shown that it is possible to improve the search efficiency of APR for a given patch space.

The key ideas used in CASINO—such as hierarchically structured patch space and stochastic patch-space navigation via multi-armed bandit—are inspired by recent advancements in fuzzing. In this paper, we have shown how fuzzing and APR are closely related

to each other and used the obtained insight to design an efficient patch-space scheduling algorithm.

Our promising results encourage us to further seek a way to transfer fuzzing techniques to the side of APR. Furthermore, we believe that some techniques of APR can be transferred to the fuzzing side for its improvement, completing a cycle of yin and yang.

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