

# Data Structures

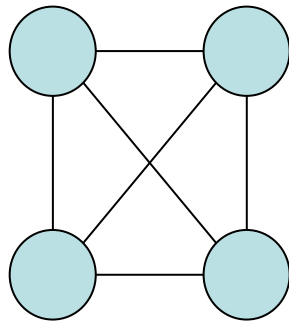
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## **25. Minimum Spanning Tree (MST)**

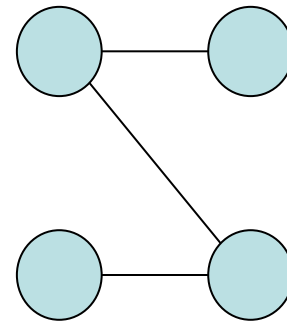
# Spanning Trees

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- A spanning tree of a graph is just a subgraph that contains all the vertices and is a tree
- Formal definition
  - Given a connected graph with  $|V| = n$  vertices
  - A spanning tree is defined a collection of  $n - 1$  edges which connect all  $n$  vertices
  - The  $n$  vertices and  $n - 1$  edges define a connected sub-graph



Graph

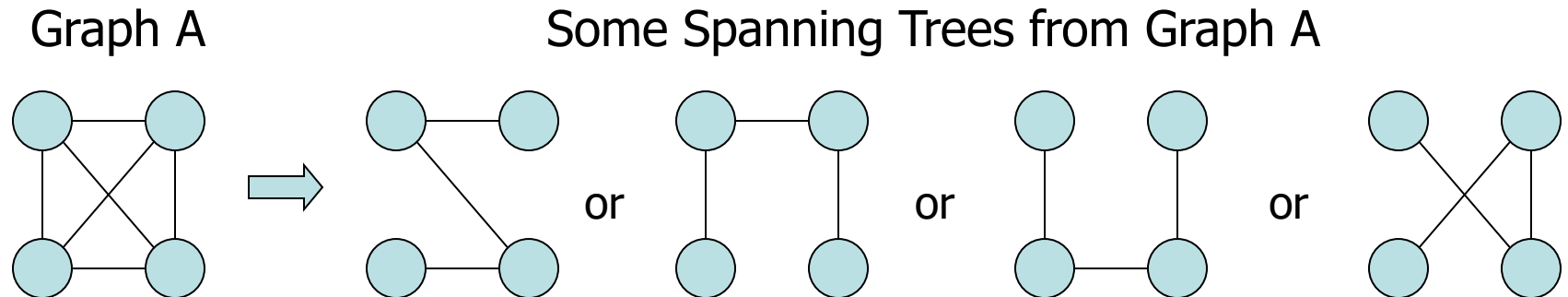


Spanning Tree

# Spanning Trees

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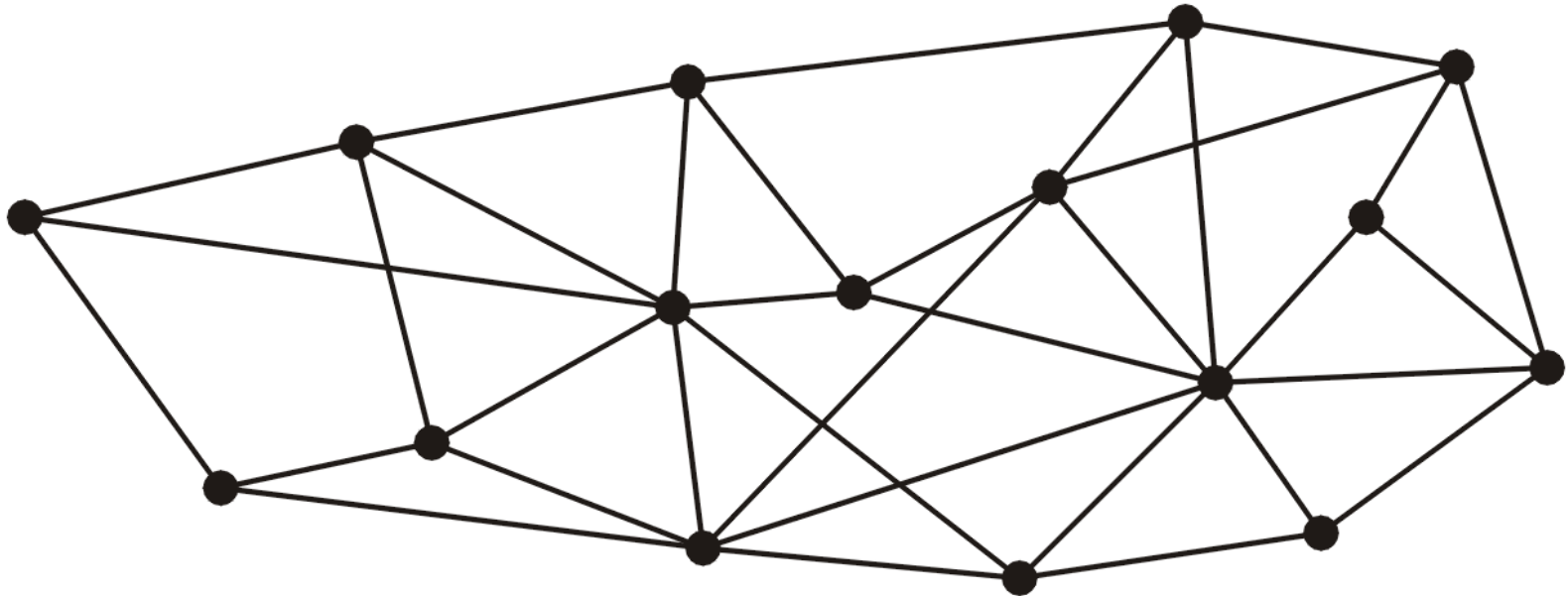
- A spanning tree is not necessarily unique



# Spanning Trees – Example

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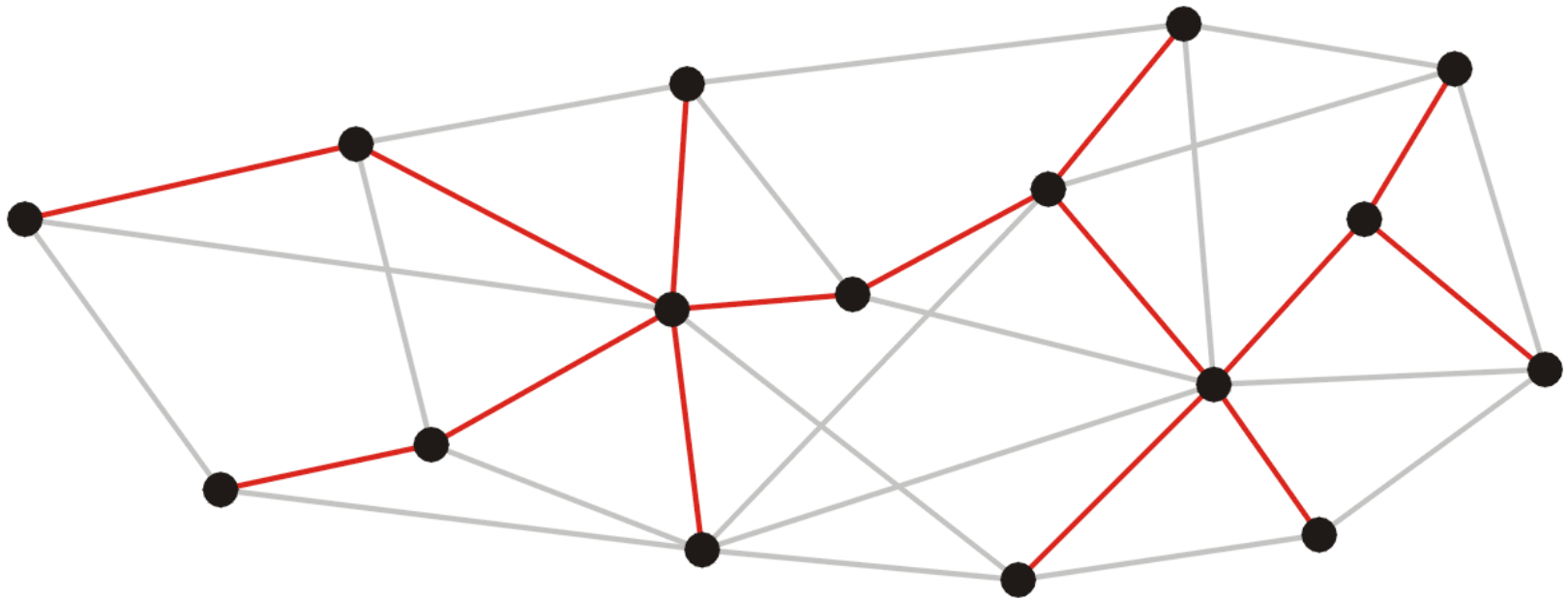
- This graph has 16 vertices and 35 edges



# Spanning Trees – Example

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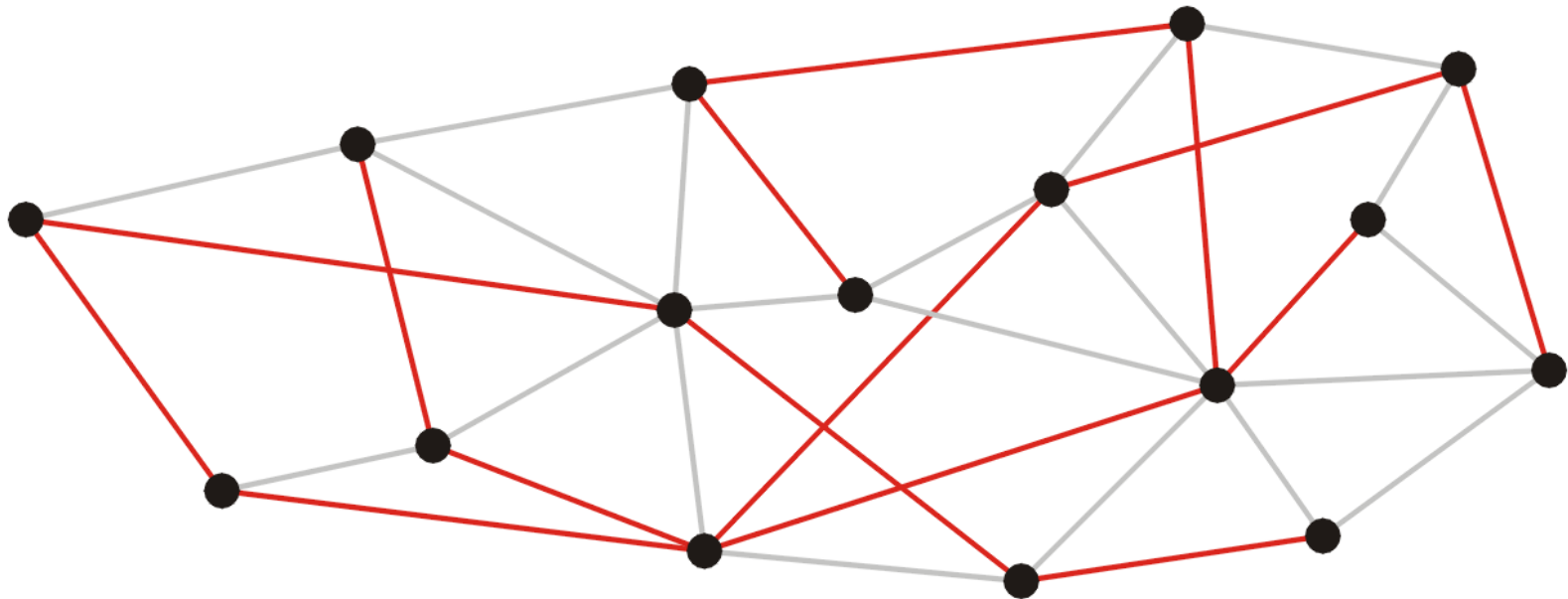
- These 15 edges form a minimum spanning tree



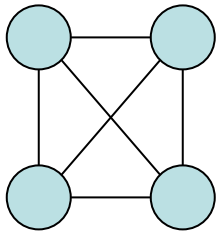
# Spanning Trees – Example

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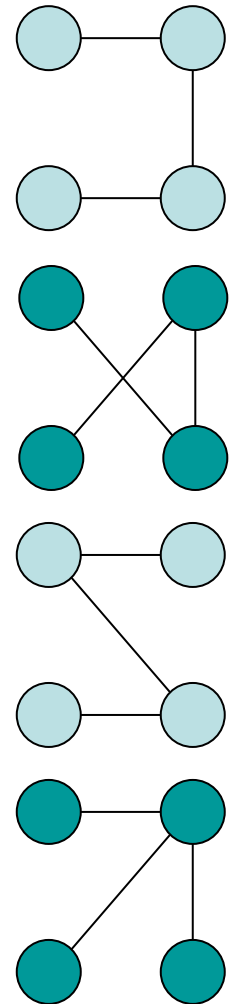
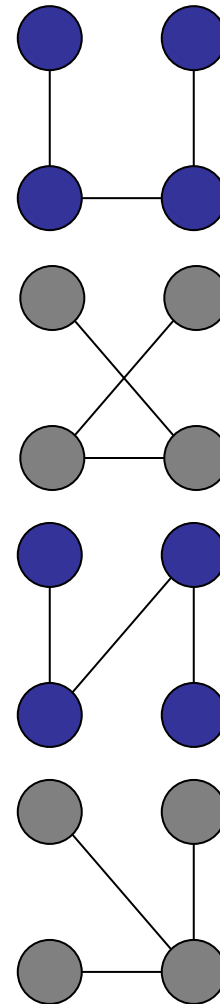
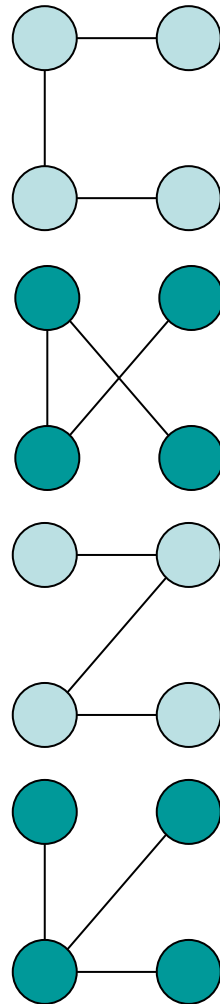
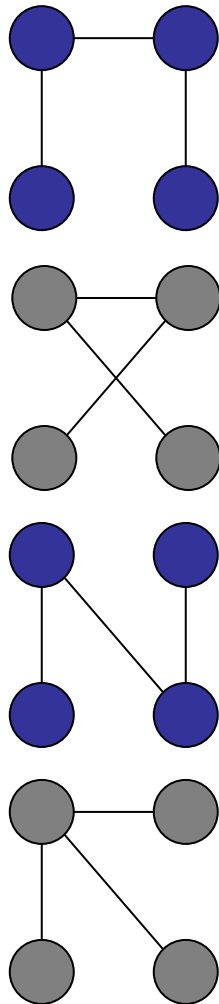
- As do these 15 edges



# Spanning Trees – Example



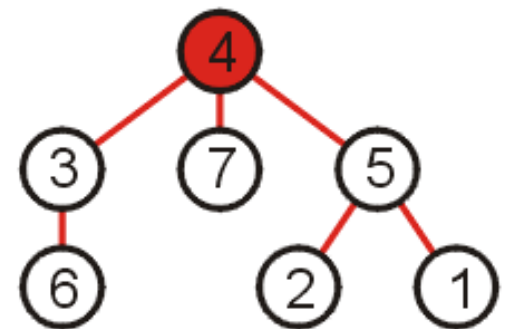
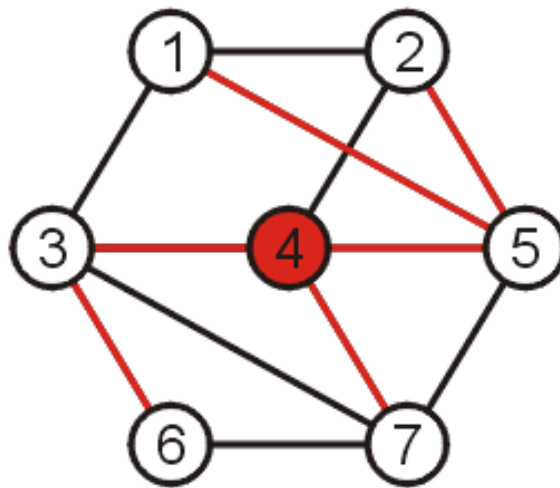
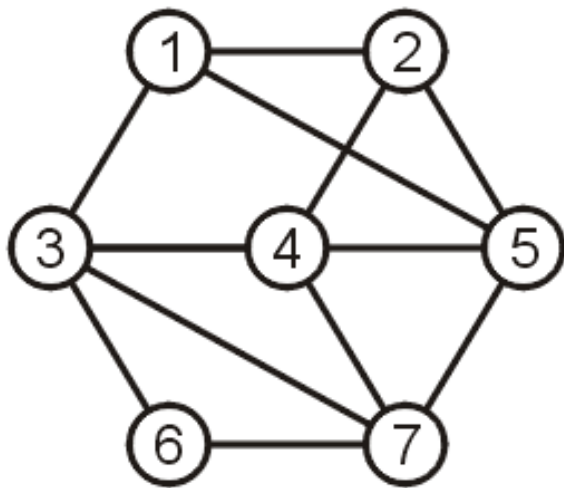
Graph



All 16 of its Spanning Trees

# Spanning Trees

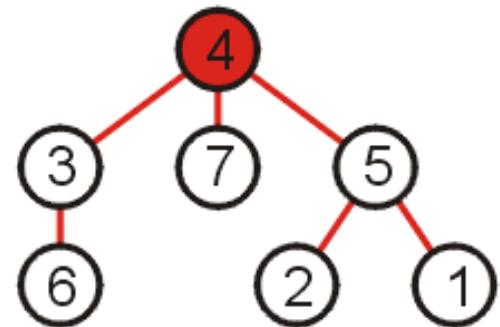
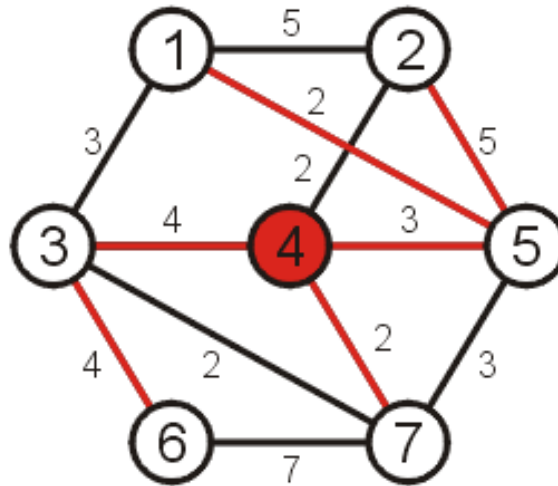
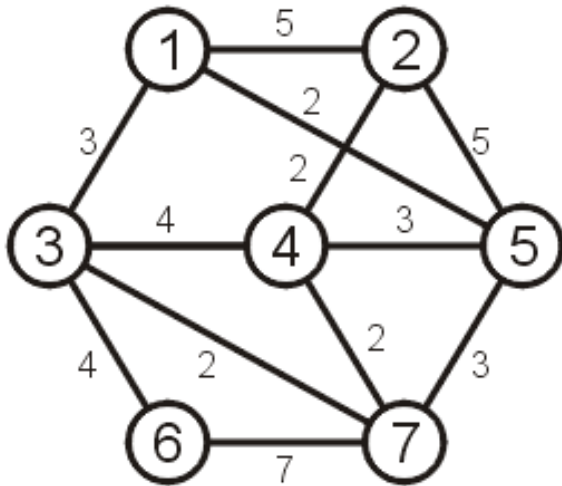
- Why such a collection of  $|V| - 1$  edges is called a tree?
  - If any vertex is taken to be the root, we form a tree by treating the adjacent vertices as children, and so on...





# Spanning Tree on Weighted Graphs

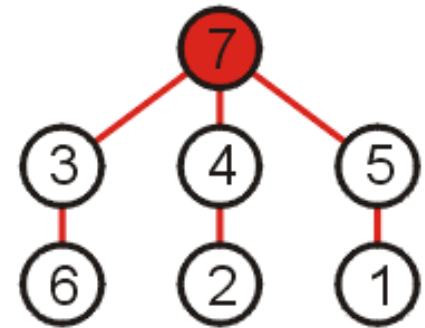
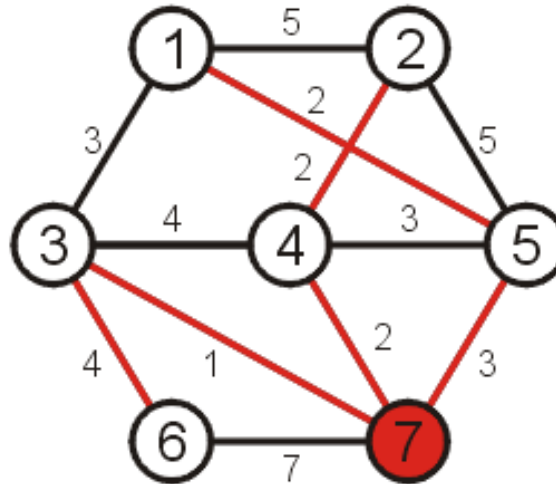
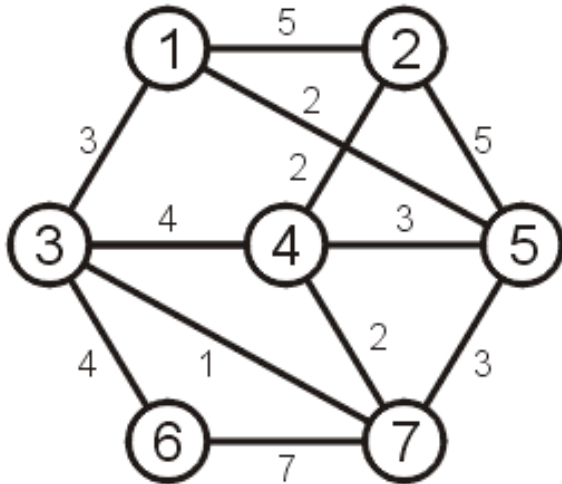
- Weight of a spanning tree
  - Sum of the weights on all the edges which comprise the spanning tree



- The weight of this spanning tree is 20

# Minimum Spanning Tree (MST)

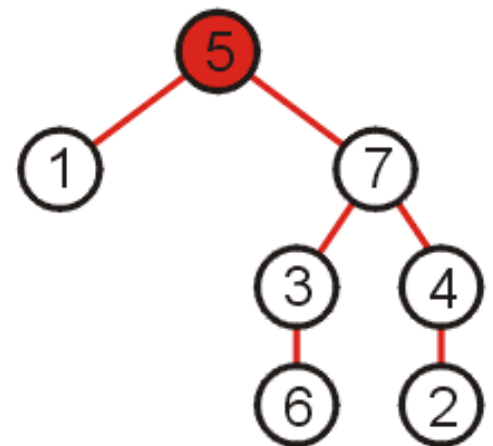
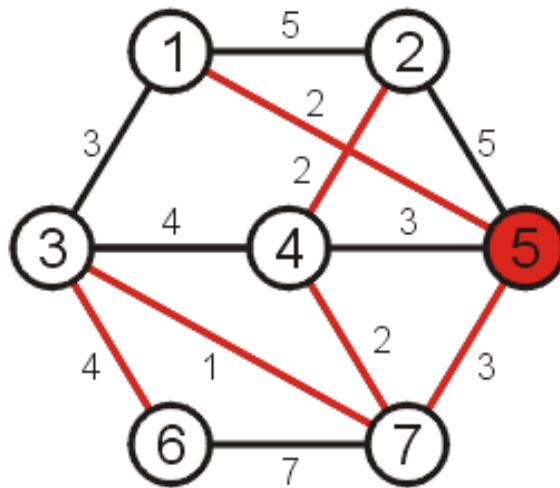
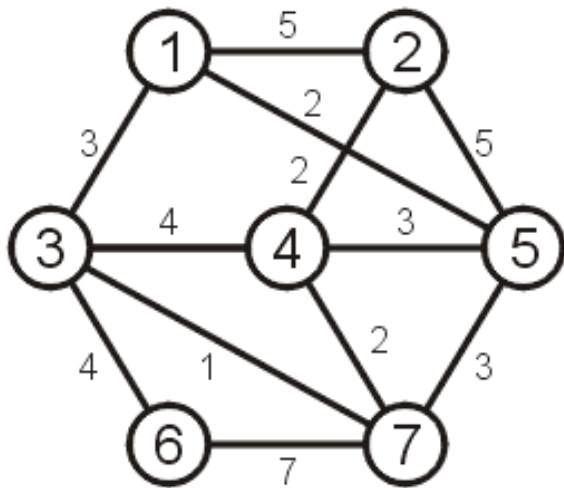
- Spanning tree that minimizes the weight
  - Such a tree is termed a minimum spanning tree



- The weight of this spanning tree is 14

# Minimum Spanning Tree (MST)

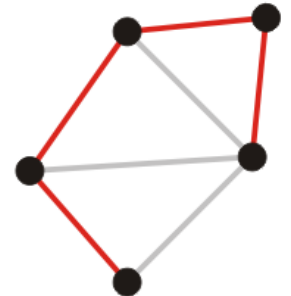
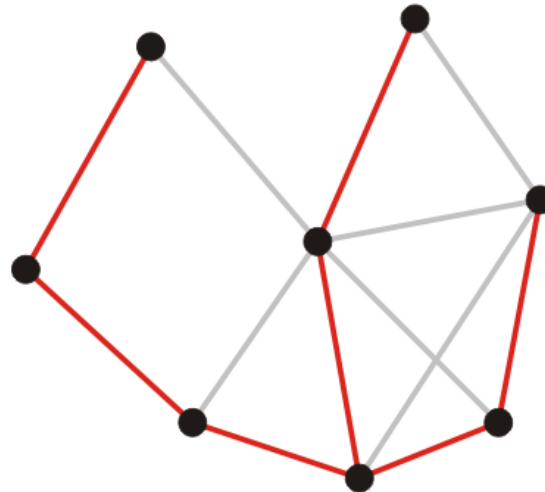
- If a different vertex is used as the root
  - A different tree is obtained
  - However, this is simply the result of one or more rotations



# Spanning Forest

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- Suppose that a graph is composed of  $N$  connected sub-graphs
- A spanning forest is a collection of  $N$  spanning trees
  - One for each connected sub-graph



- A minimum spanning forest
  - A collection of  $N$  minimum spanning trees
  - One for each connected vertex-induced sub-graph

# Algorithms For Obtaining MST

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- Kruskal's Algorithm
- Prim's Algorithm
- Boruvka's Algorithm

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# Kruskal's Algorithm

# Kruskal's Algorithm

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- Kruskal's algorithm creates a forest of trees
- Initially forest consists of  $N$  single node trees (and no edges)
- Sorts the edges by weight and goes through the edges from least weight to greatest weight
- At each step one edge (with least weight) is added so that it joins two trees together
  - As long as the addition does not create a cycle

# Kruskal's Algorithm

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## **The halting conditions are as follows:**

1. When  $|V| - 1$  edges have been added
  - In this case we have a minimum spanning tree
2. We have gone through all edges
  - A forest of minimum spanning trees on all connected sub-graphs



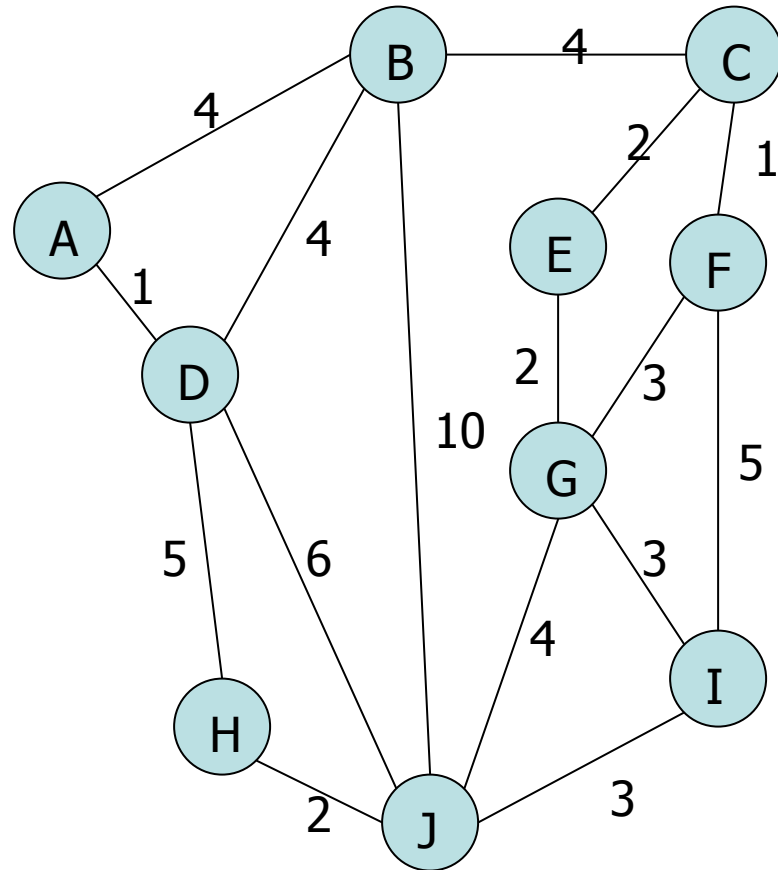
# Kruskal's Algorithm

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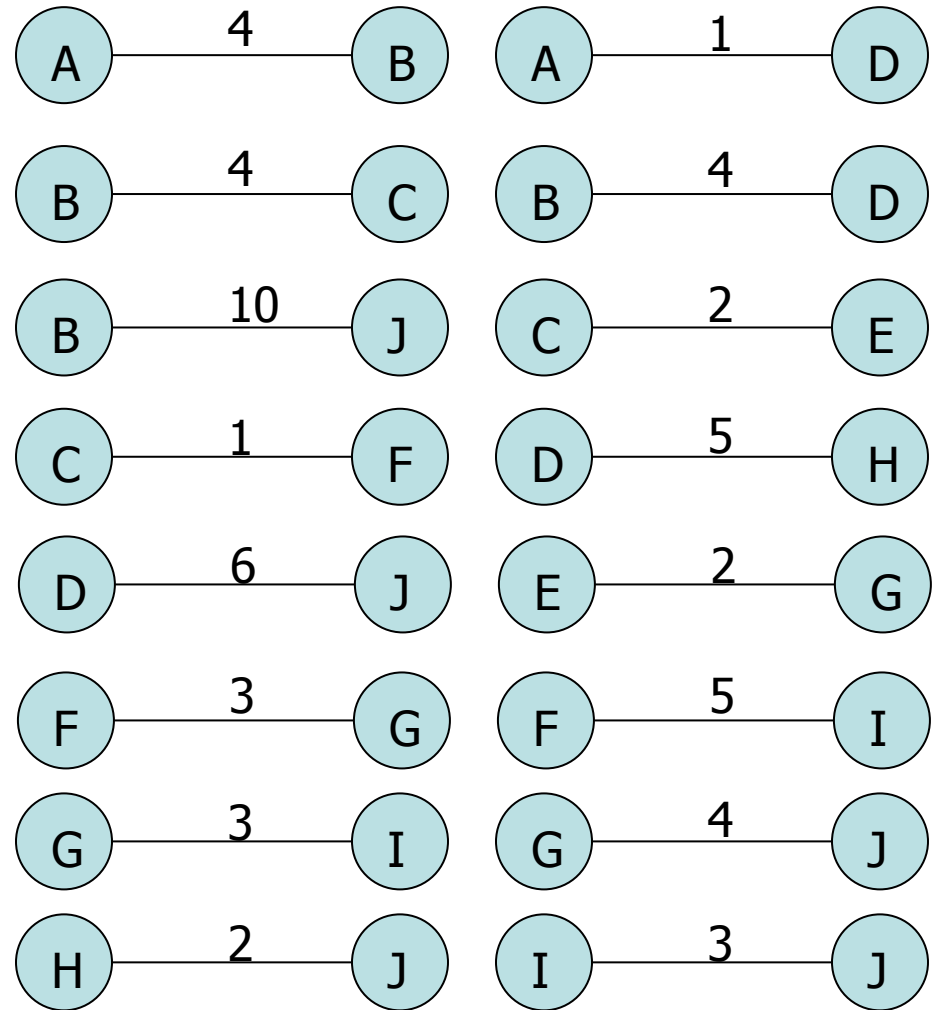
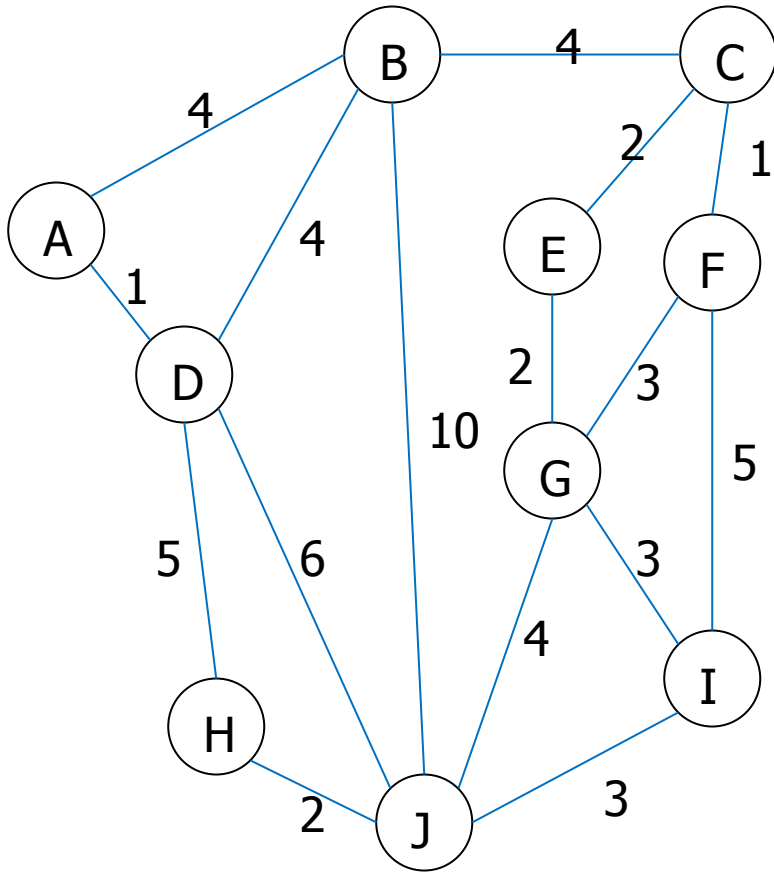
1. The forest is constructed – with each node in a separate tree
  2. The edges are placed in a priority queue
  3. Until we've added  $n-1$  edges (assumption: connected graph)
    1. Extract the cheapest edge from the queue
    2. If it forms a cycle, reject it
    3. Else add it to the forest. Adding it to the forest will join two trees
- Every step will have joined two trees in the forest together, so that at the end, there will only be one tree

# Kruskal's Algorithm – Example

- Complete Graph

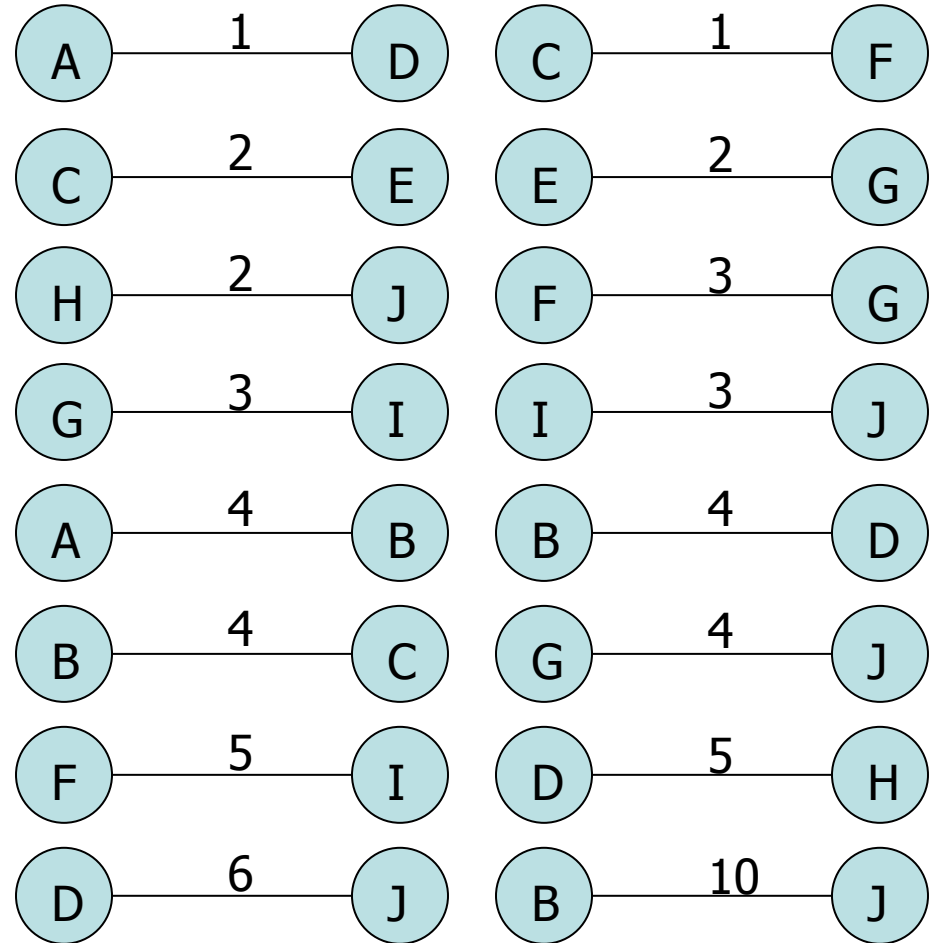
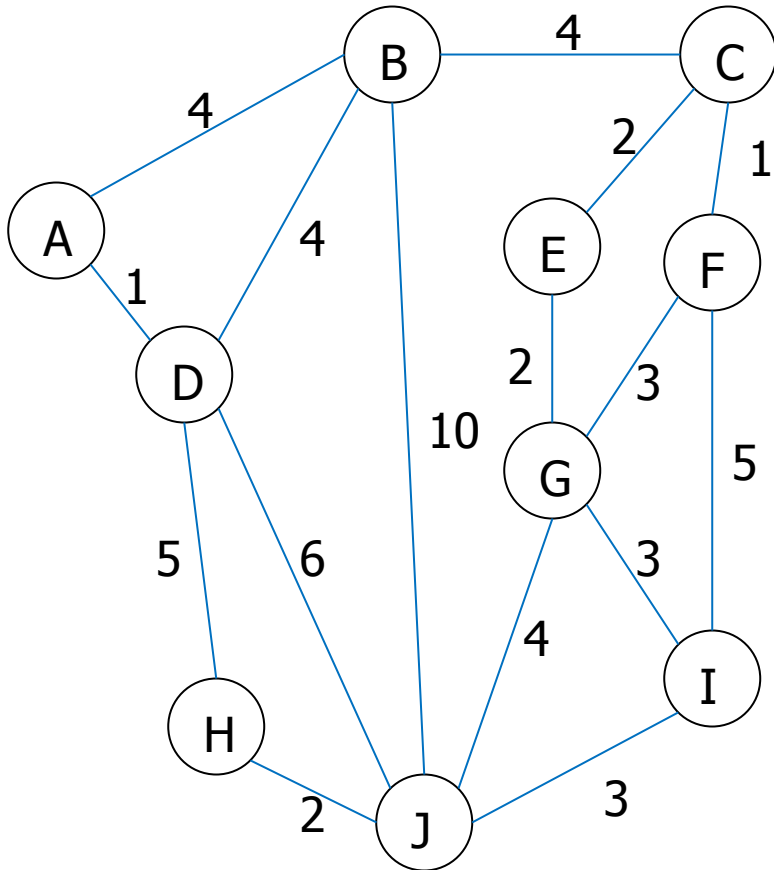


# Kruskal's Algorithm – Example



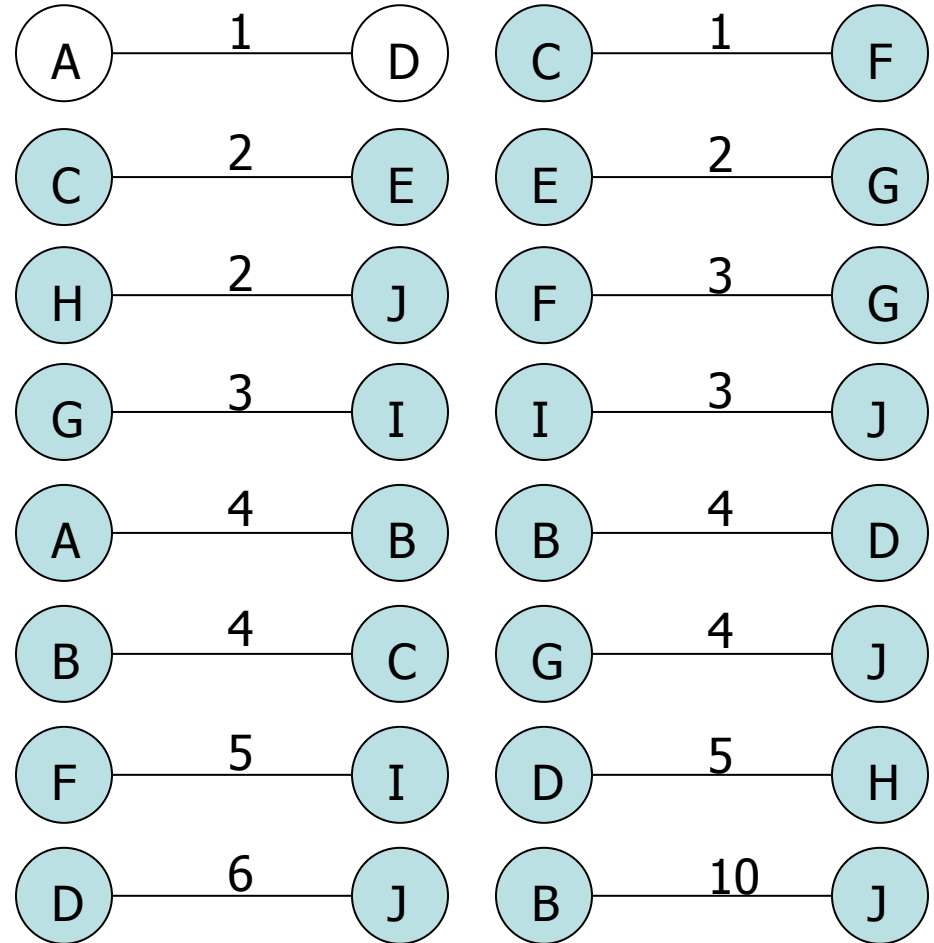
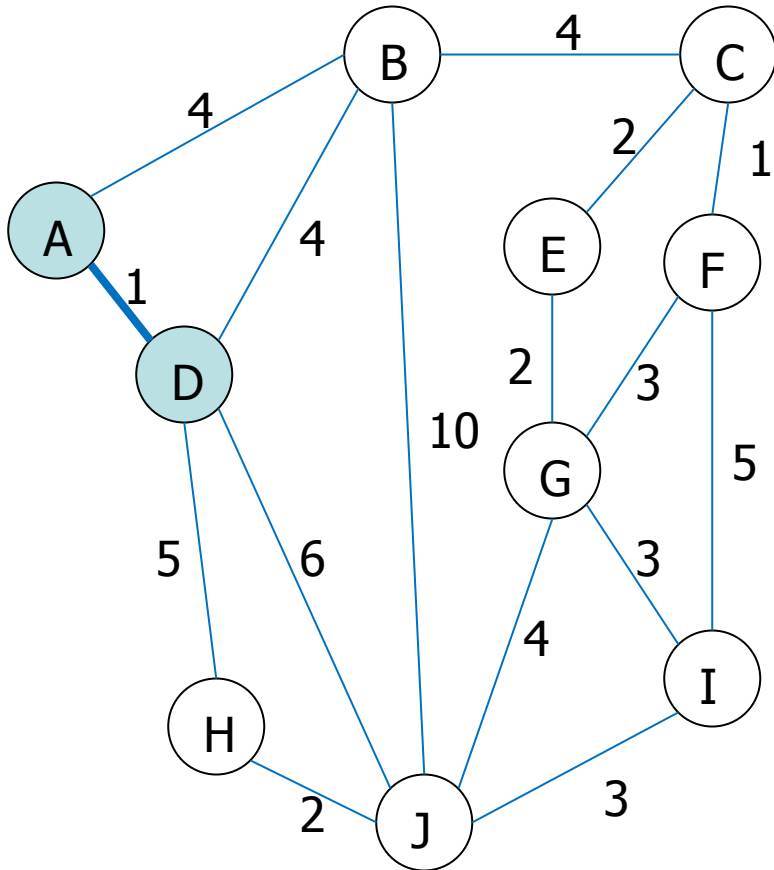
# Kruskal's Algorithm – Example

- Sort Edges: In reality priority queue is used



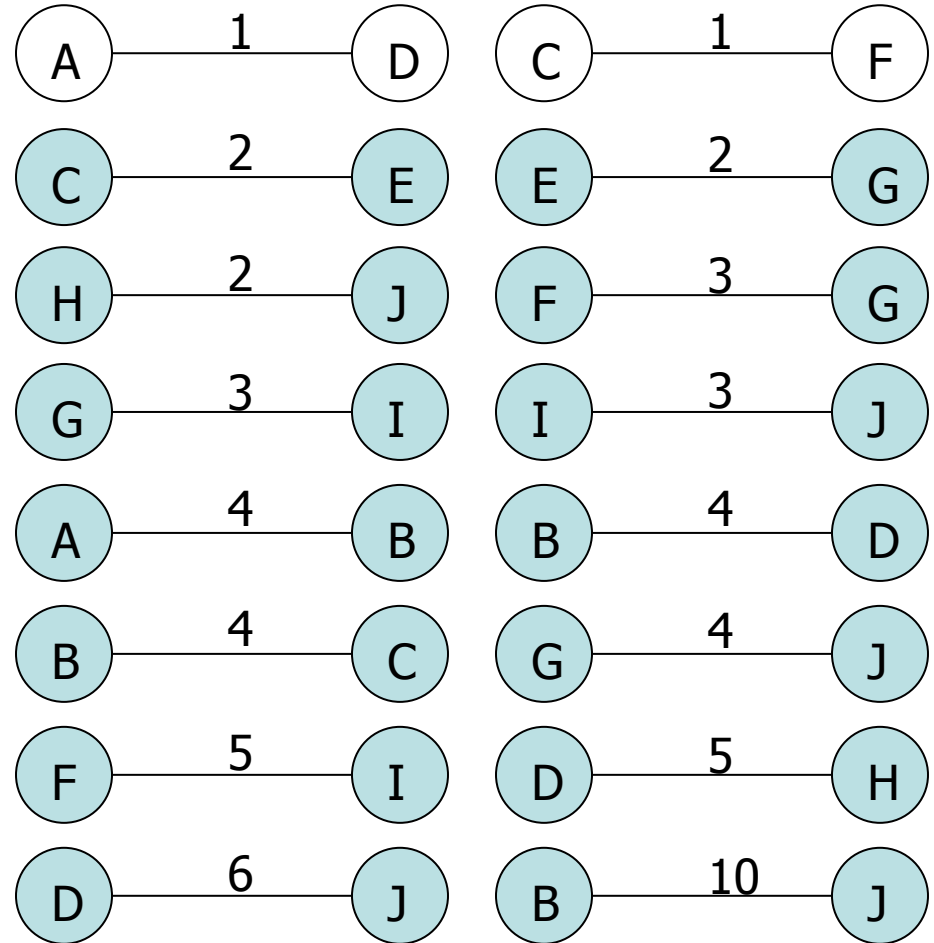
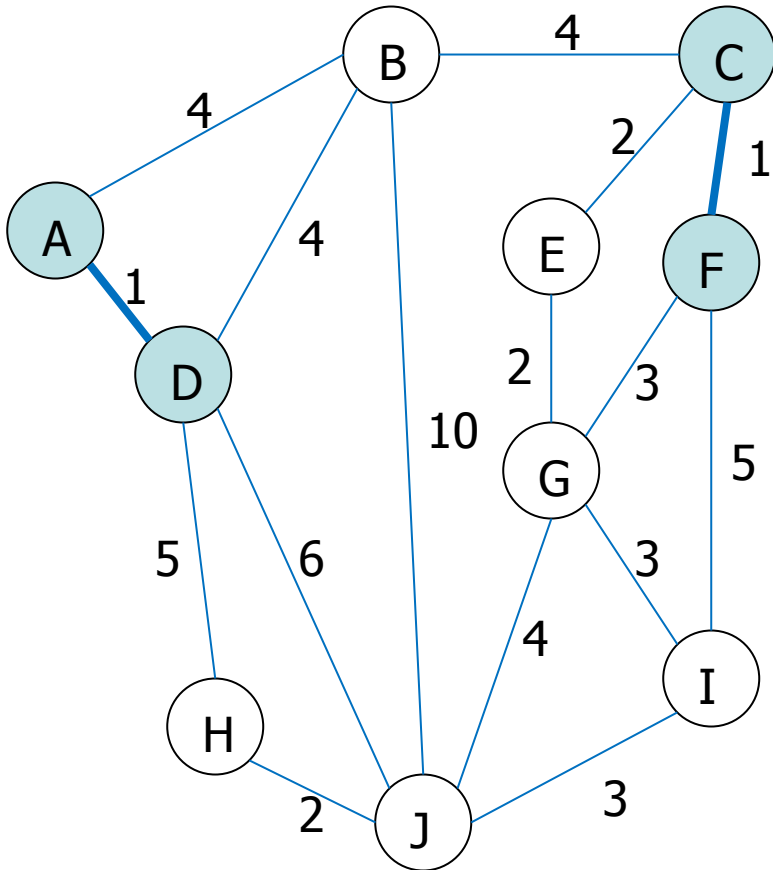
# Kruskal's Algorithm – Example

- Add Edge



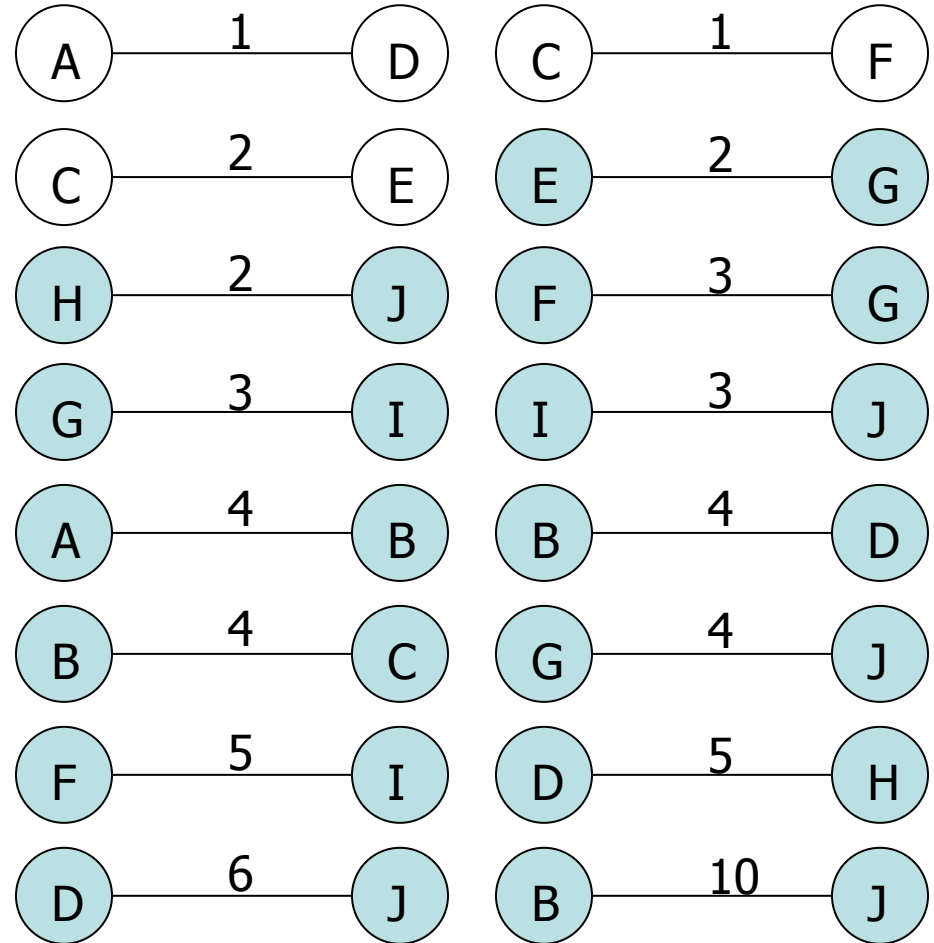
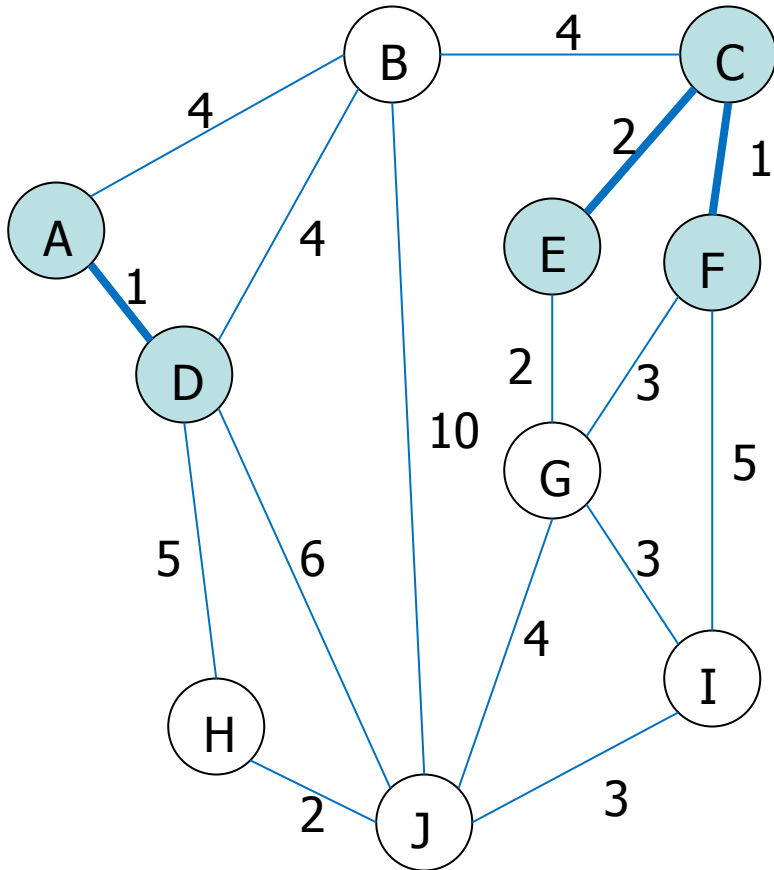
# Kruskal's Algorithm – Example

- Add Edge



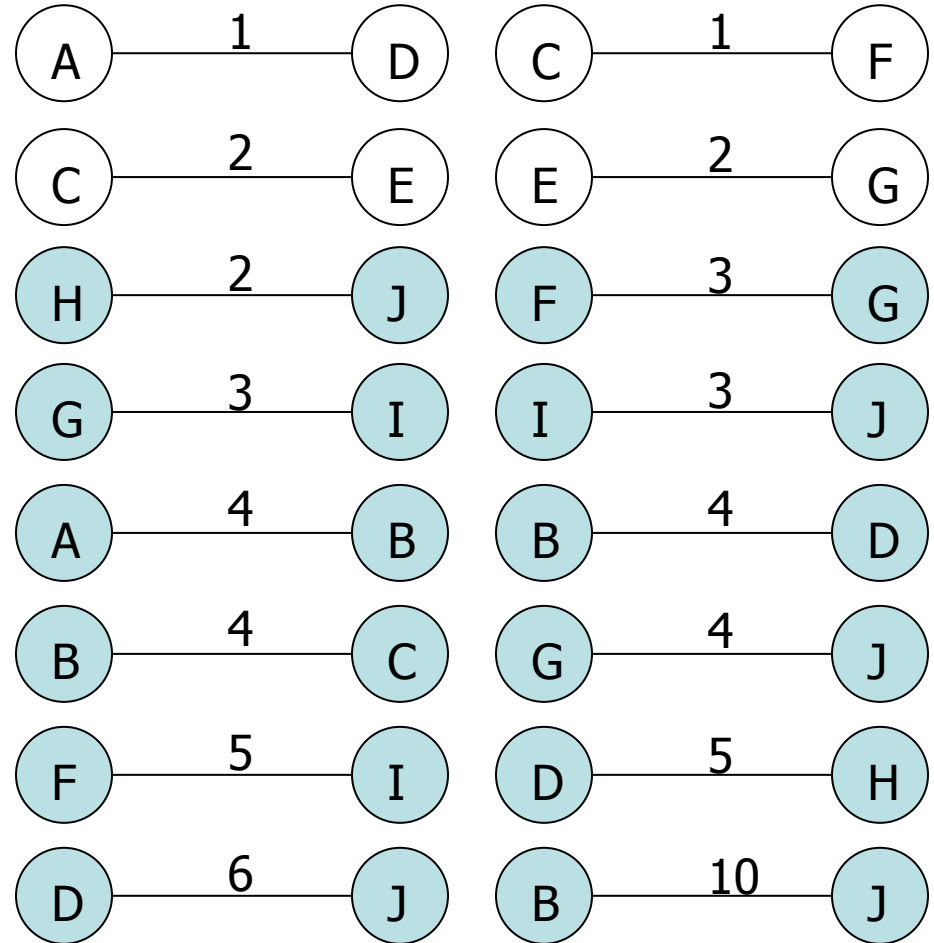
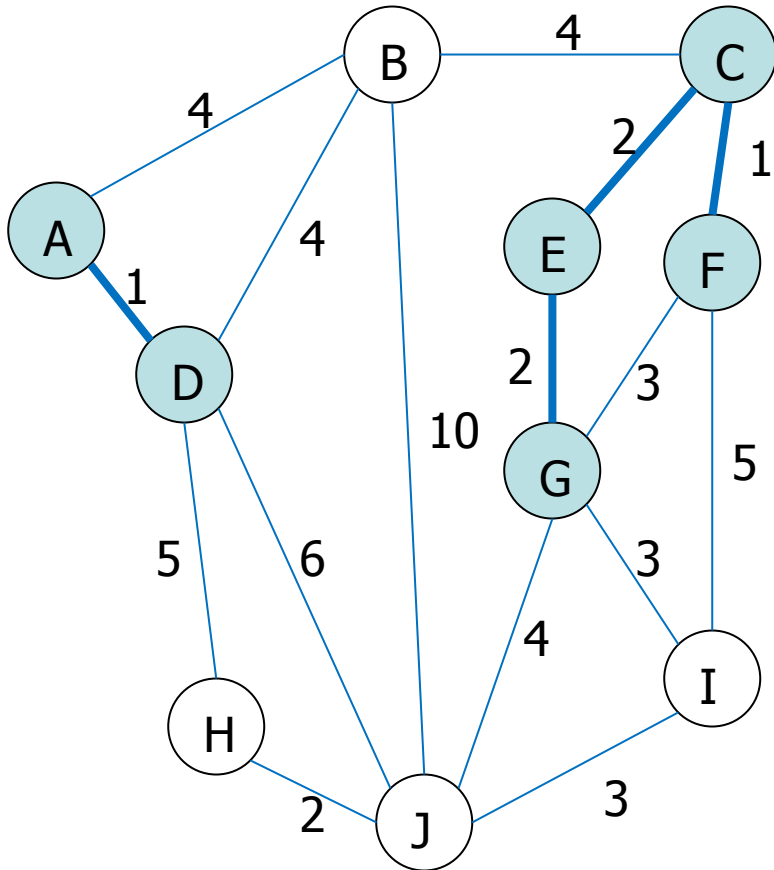
# Kruskal's Algorithm – Example

- Add Edge



# Kruskal's Algorithm – Example

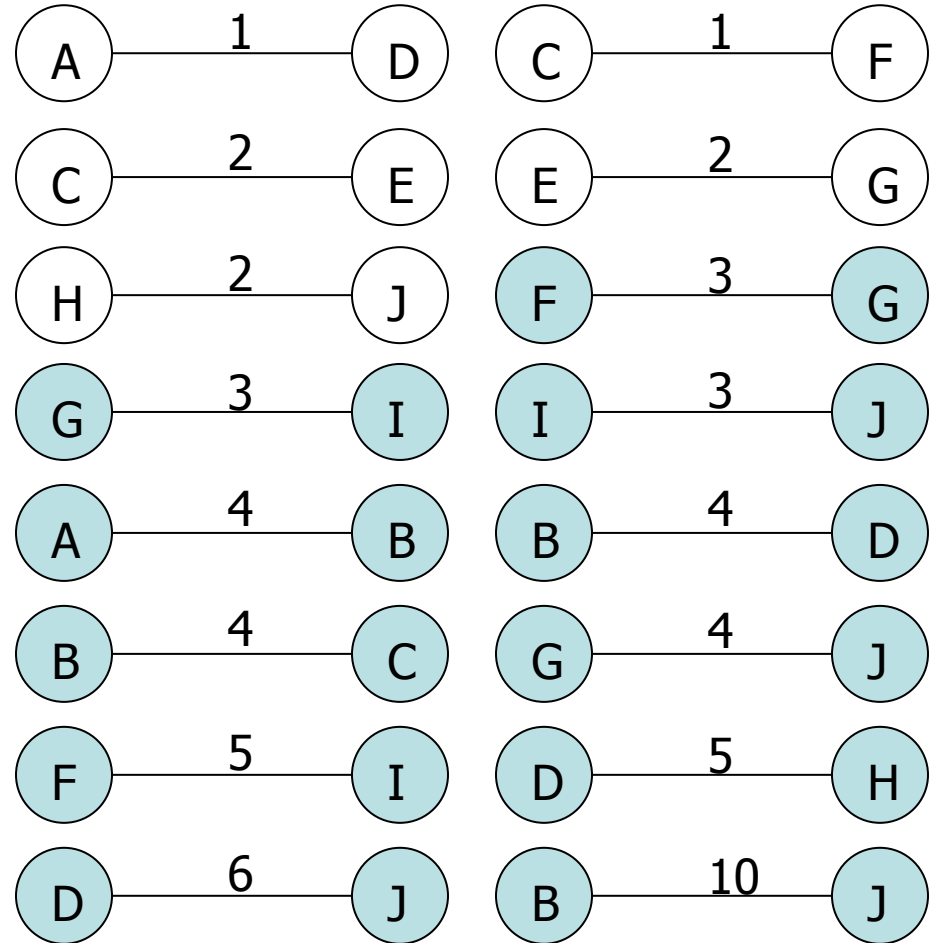
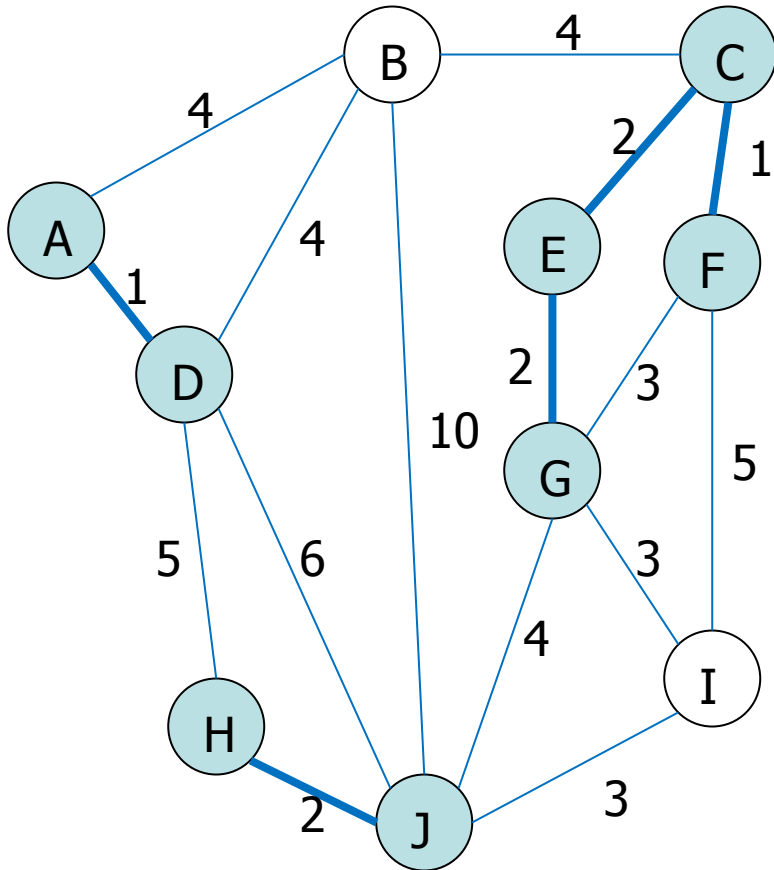
- Add Edge





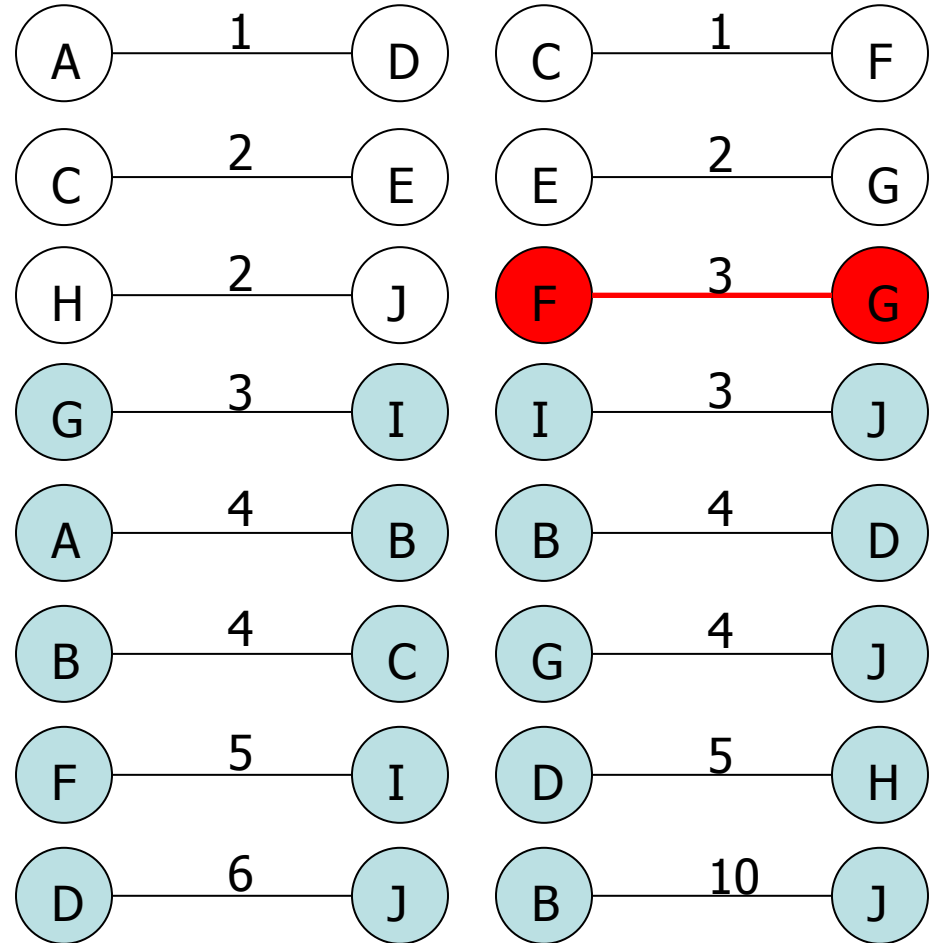
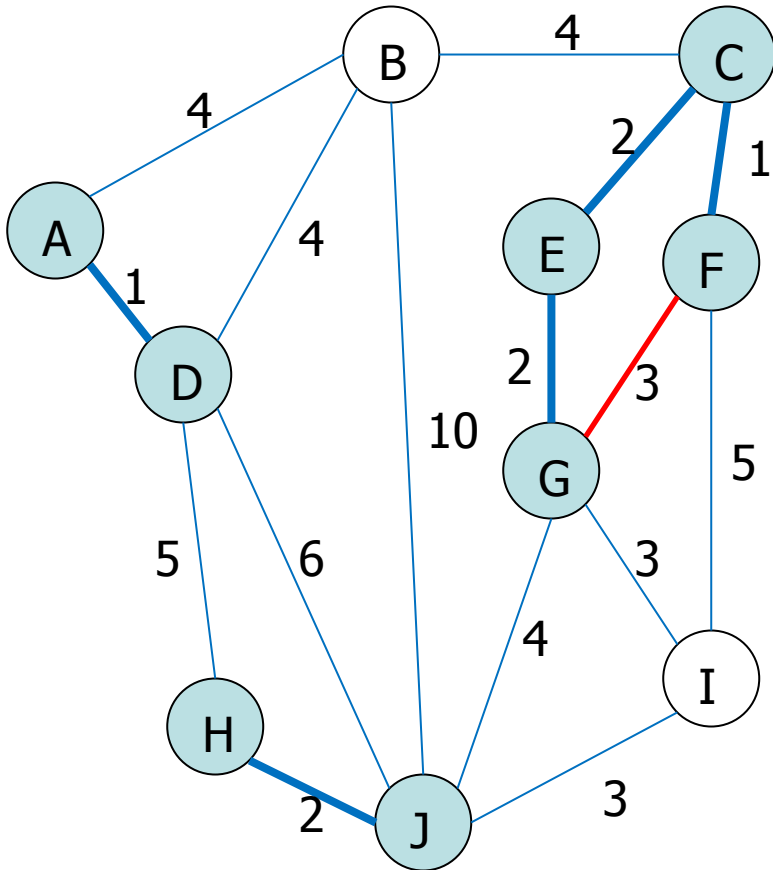
# Kruskal's Algorithm – Example

- Add Edge



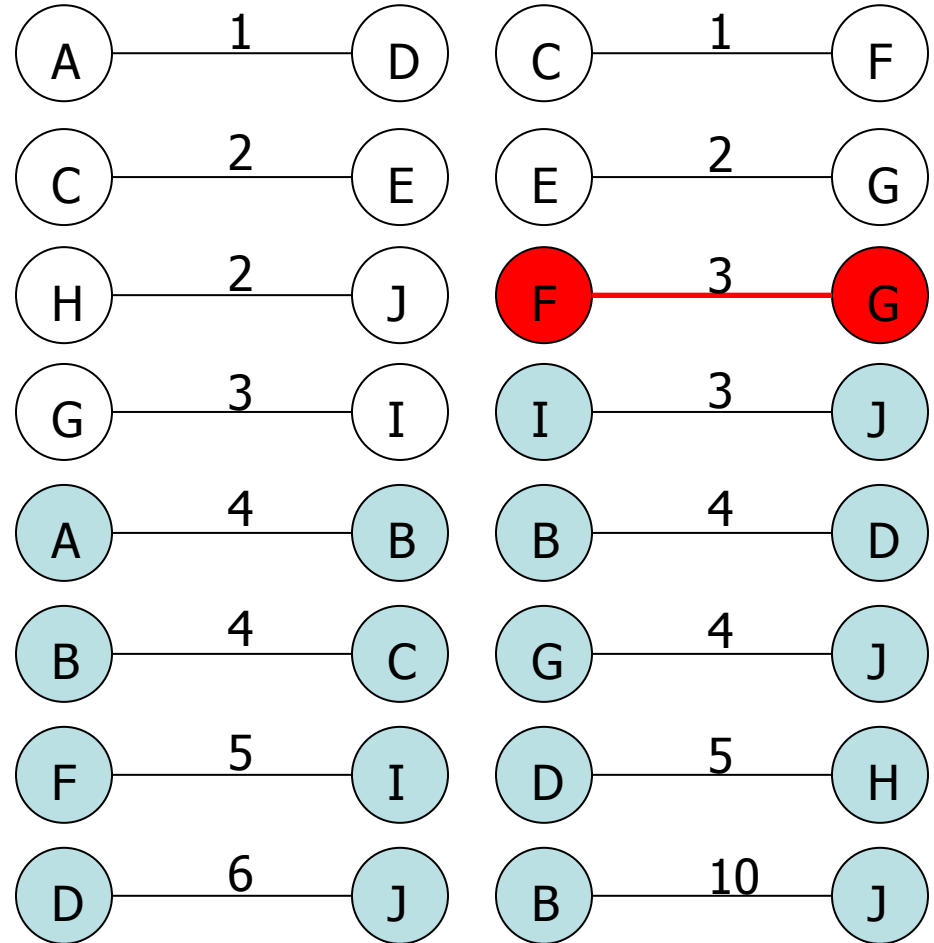
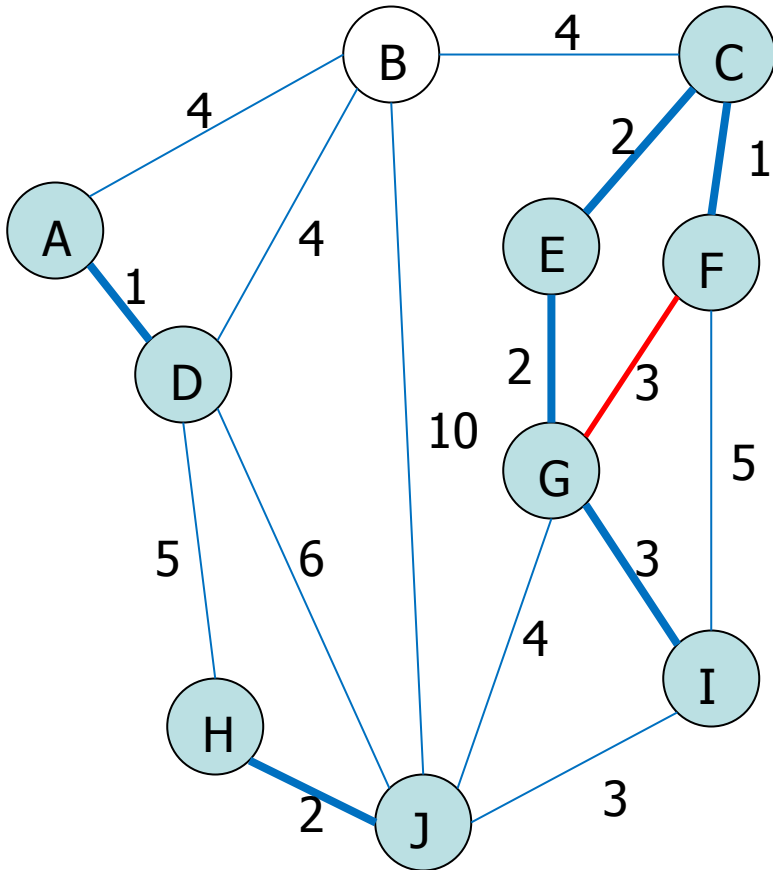
# Kruskal's Algorithm – Example

- Add Edge



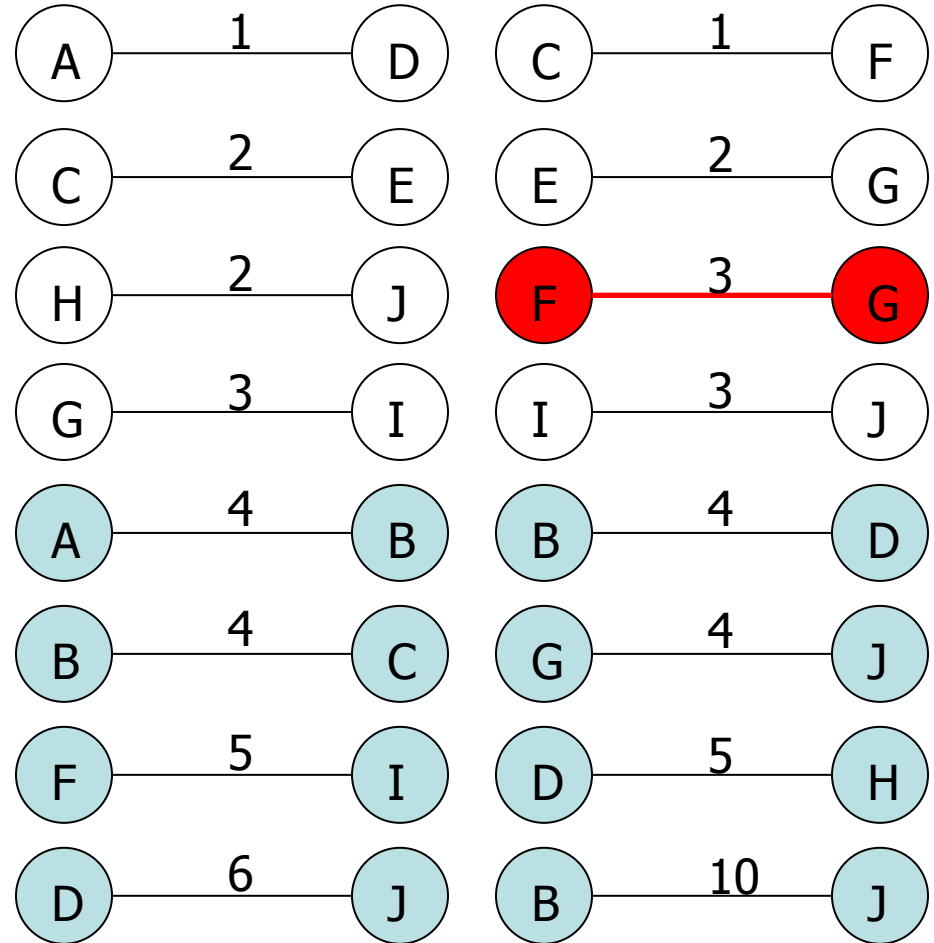
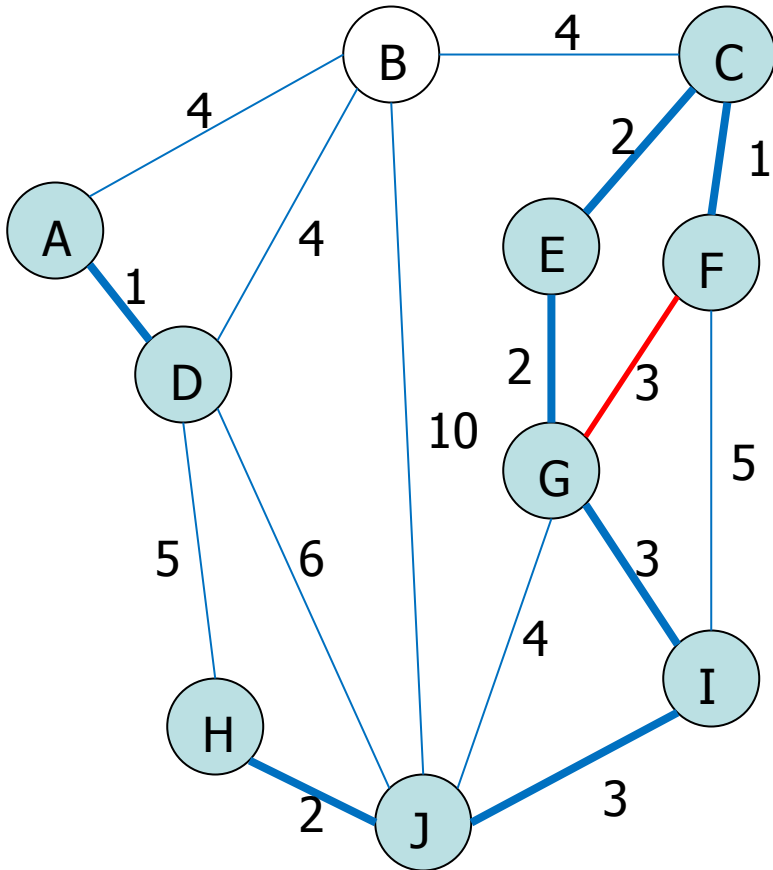
# Kruskal's Algorithm – Example

- Add Edge



# Kruskal's Algorithm – Example

- Add Edge

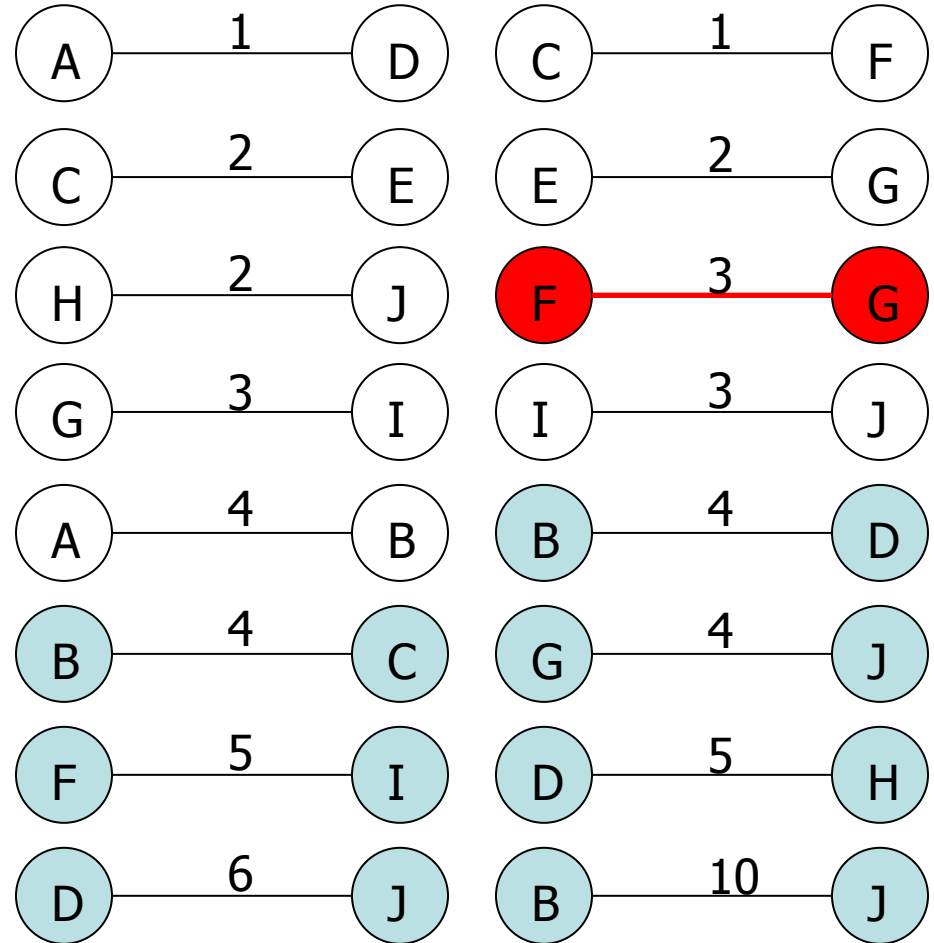
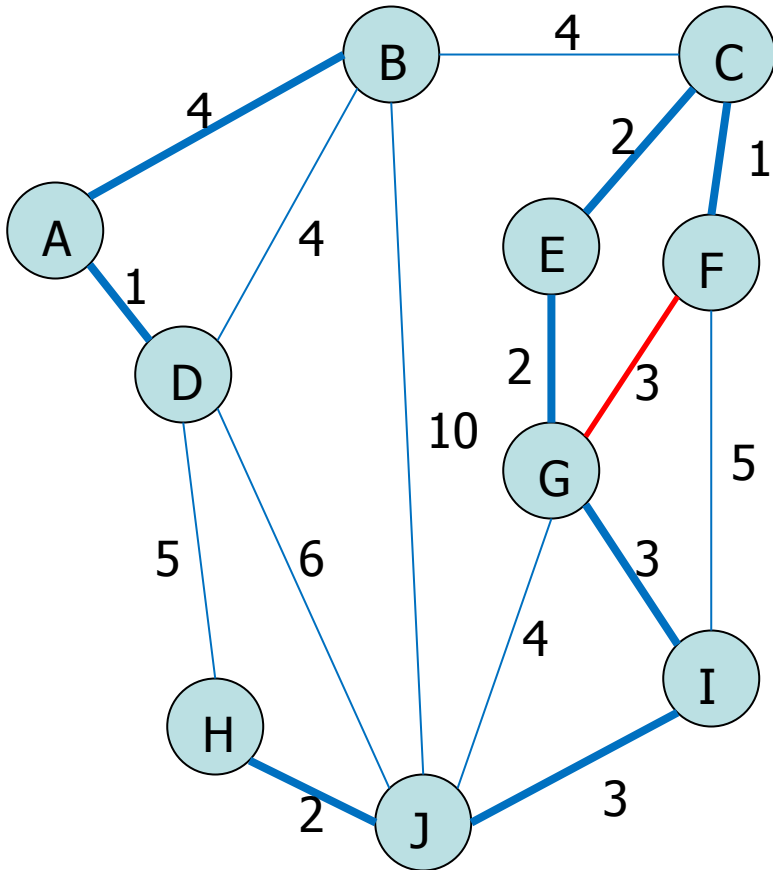


25-MST

28

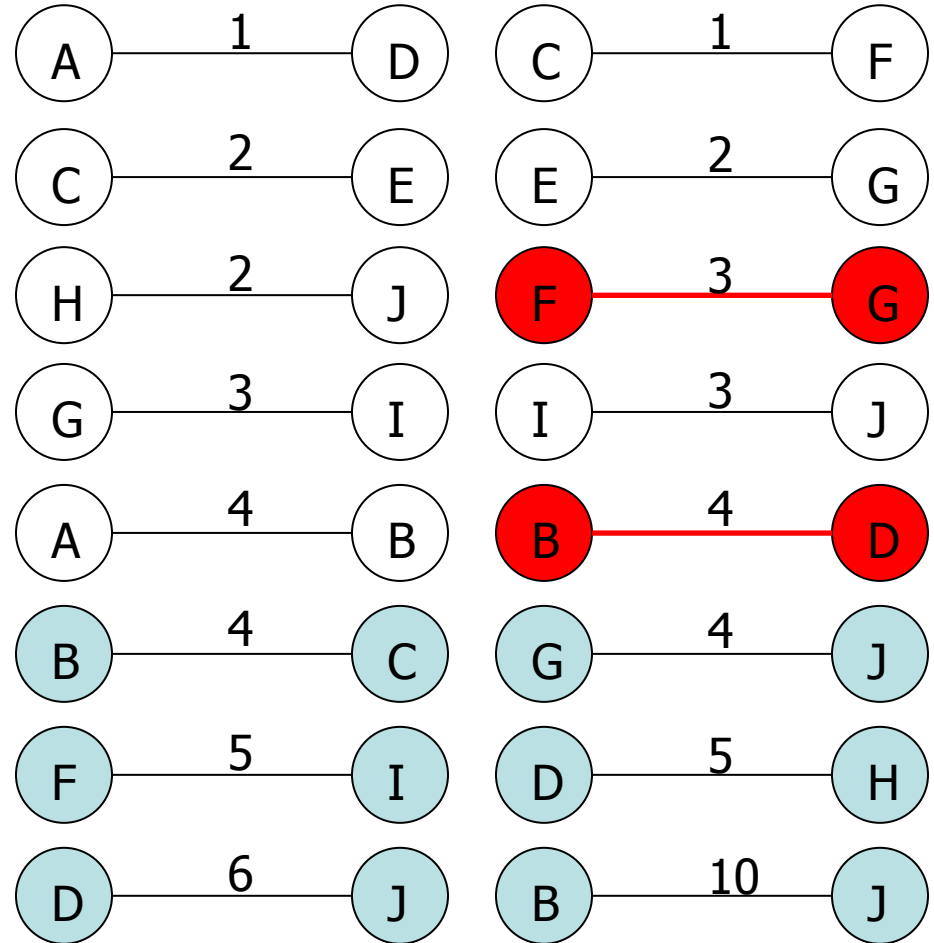
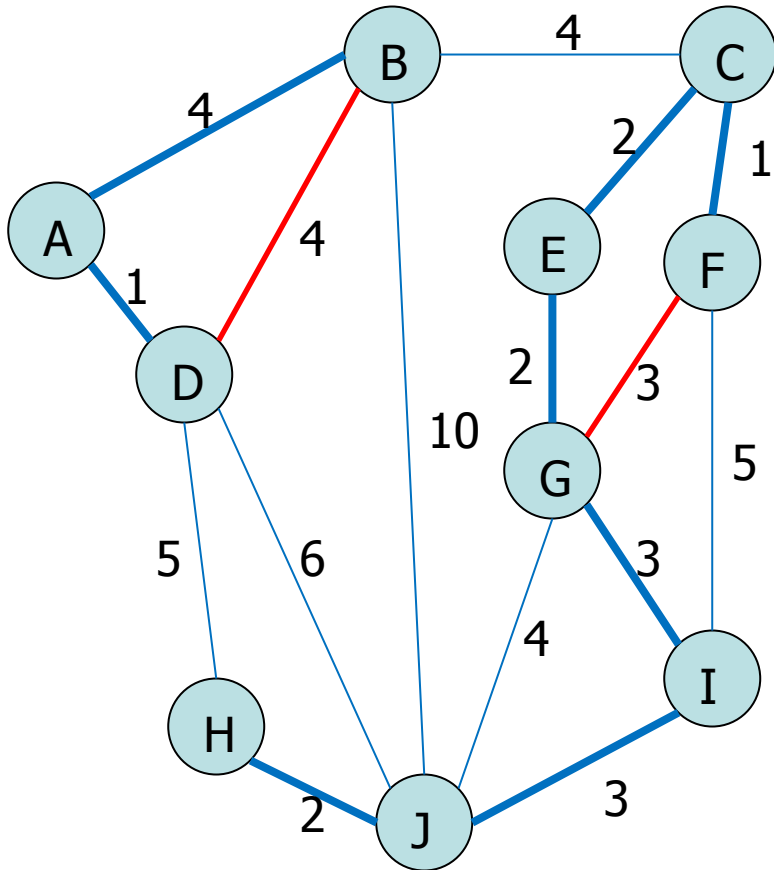
# Kruskal's Algorithm – Example

- Add Edge



# Kruskal's Algorithm – Example

- Add Edge

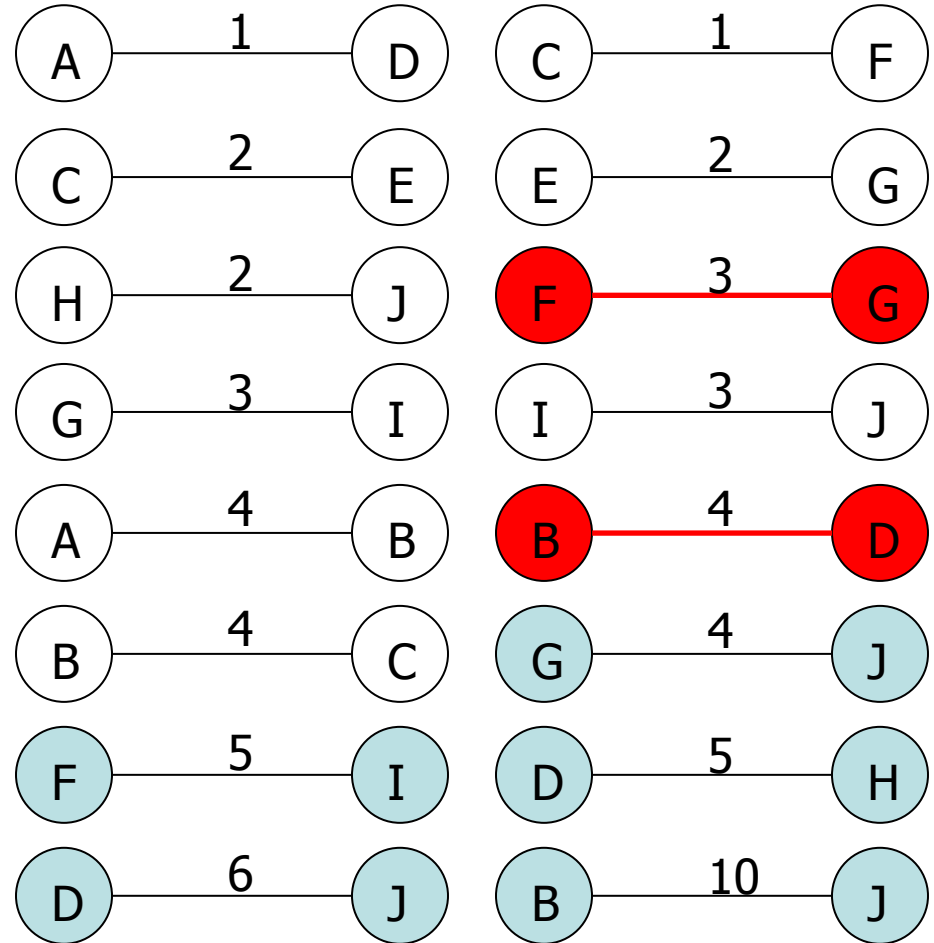
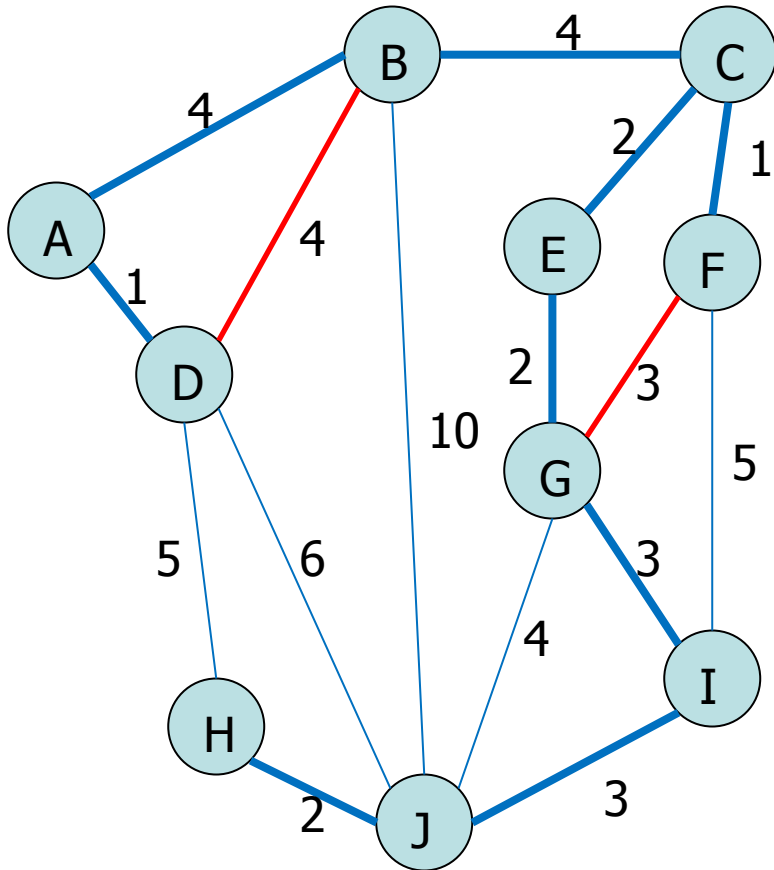


25-MST

30

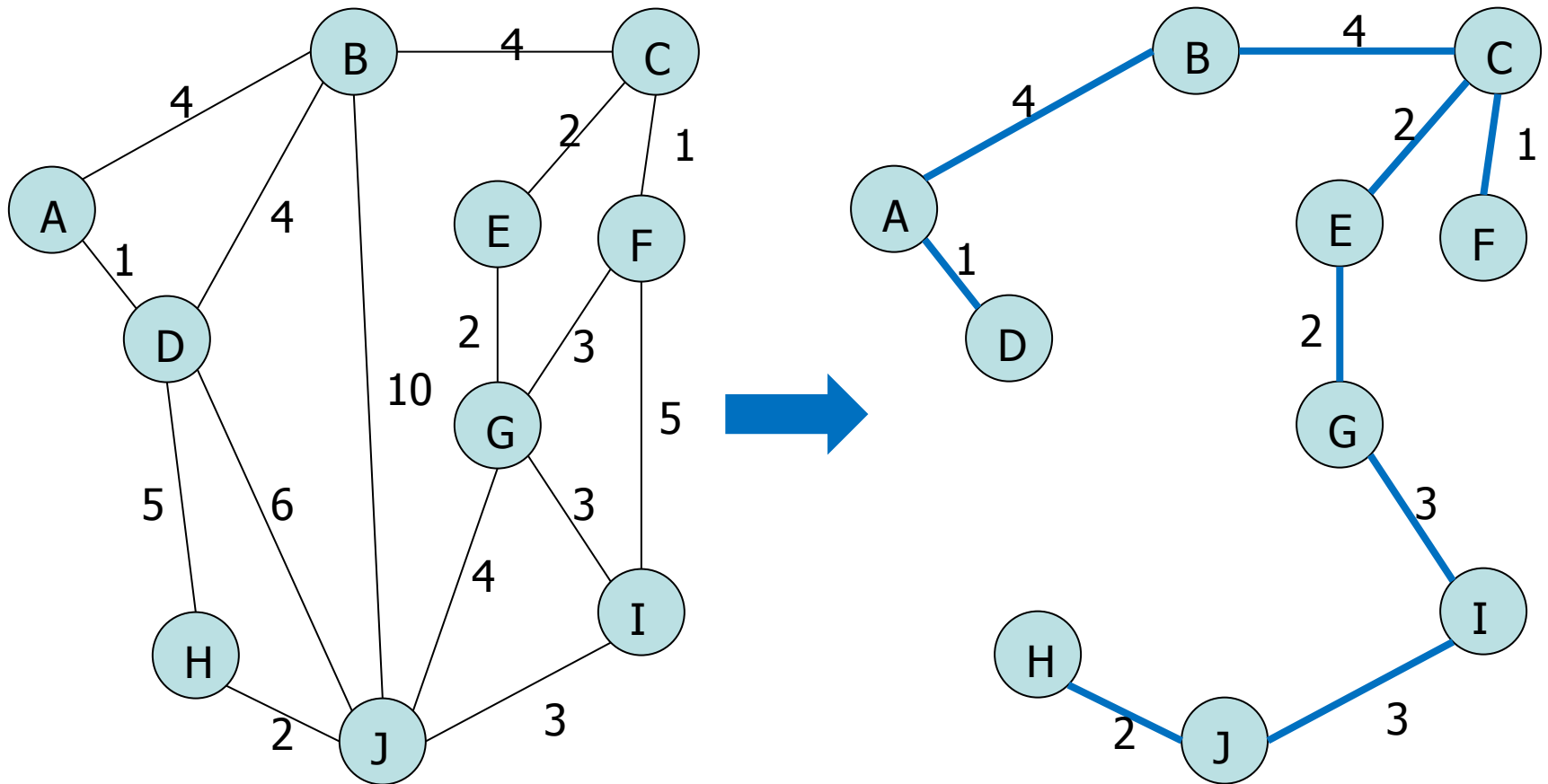
# Kruskal's Algorithm – Example

- Add Edge



# Kruskal's Algorithm – Example

- Minimum spanning tree

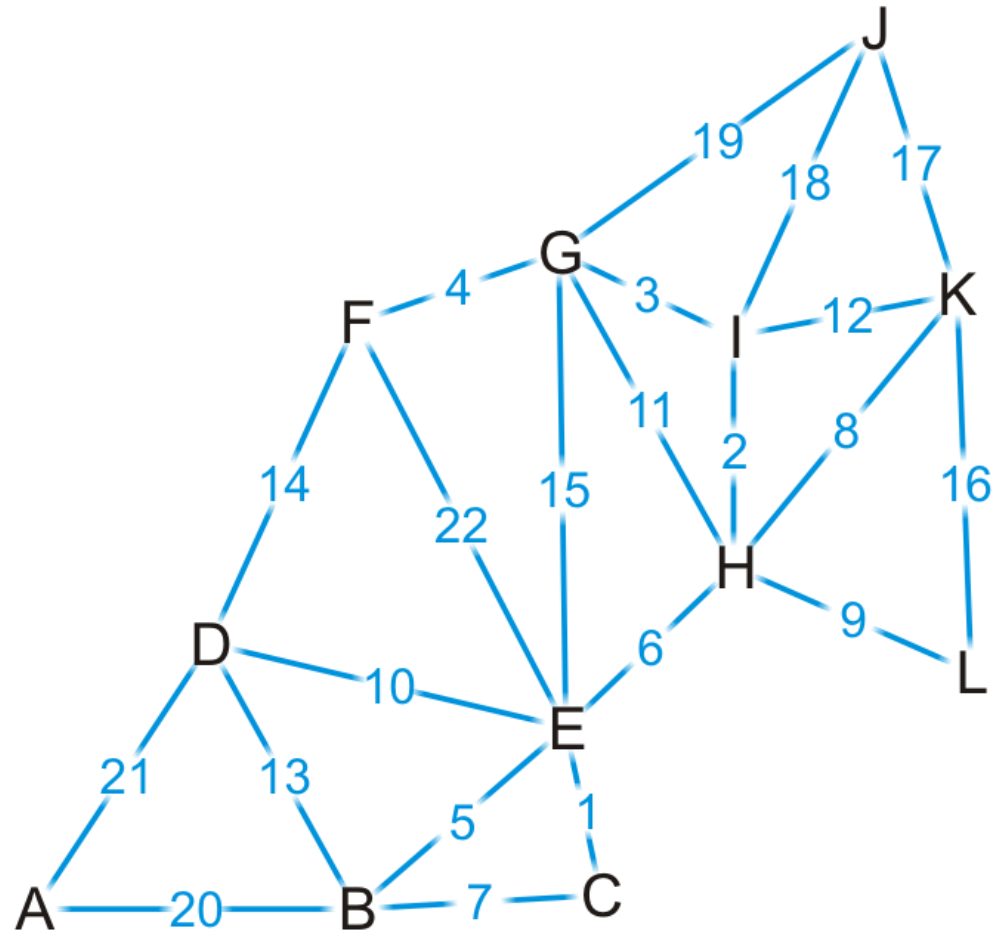




# Kruskal's Algorithm – Example

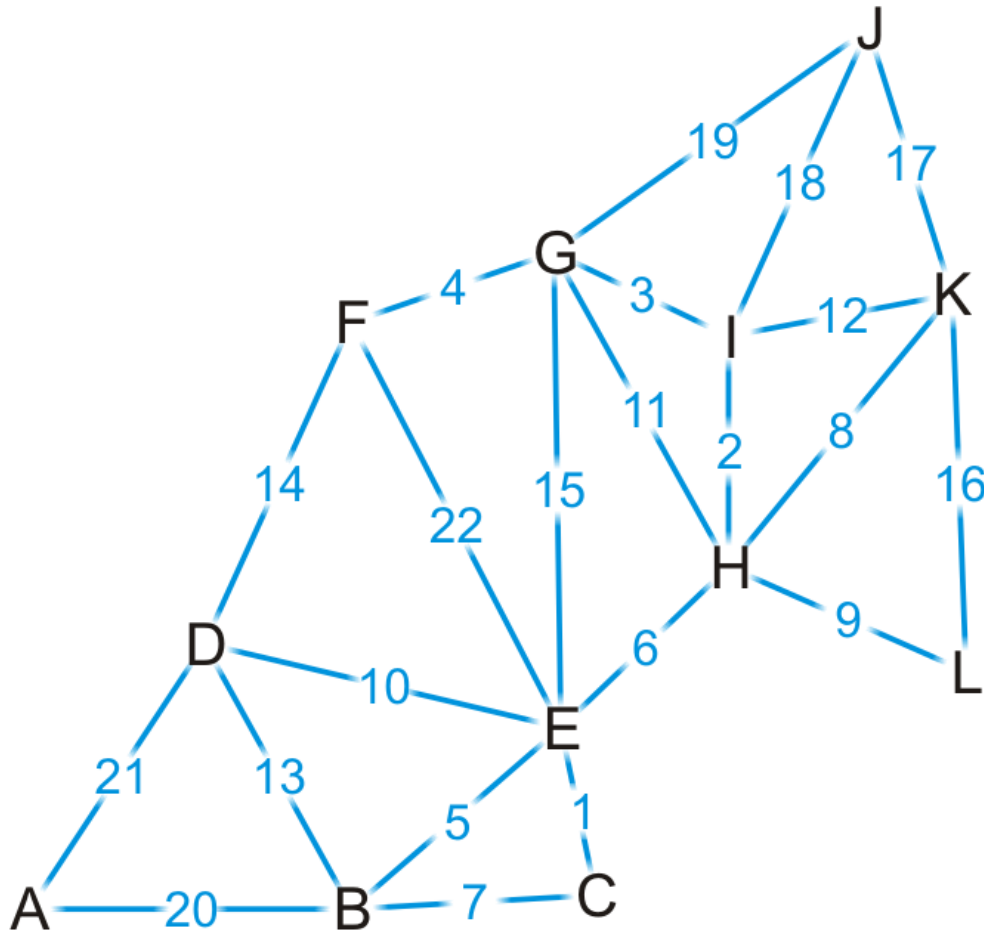
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- Complete graph



# Kruskal's Algorithm – Example

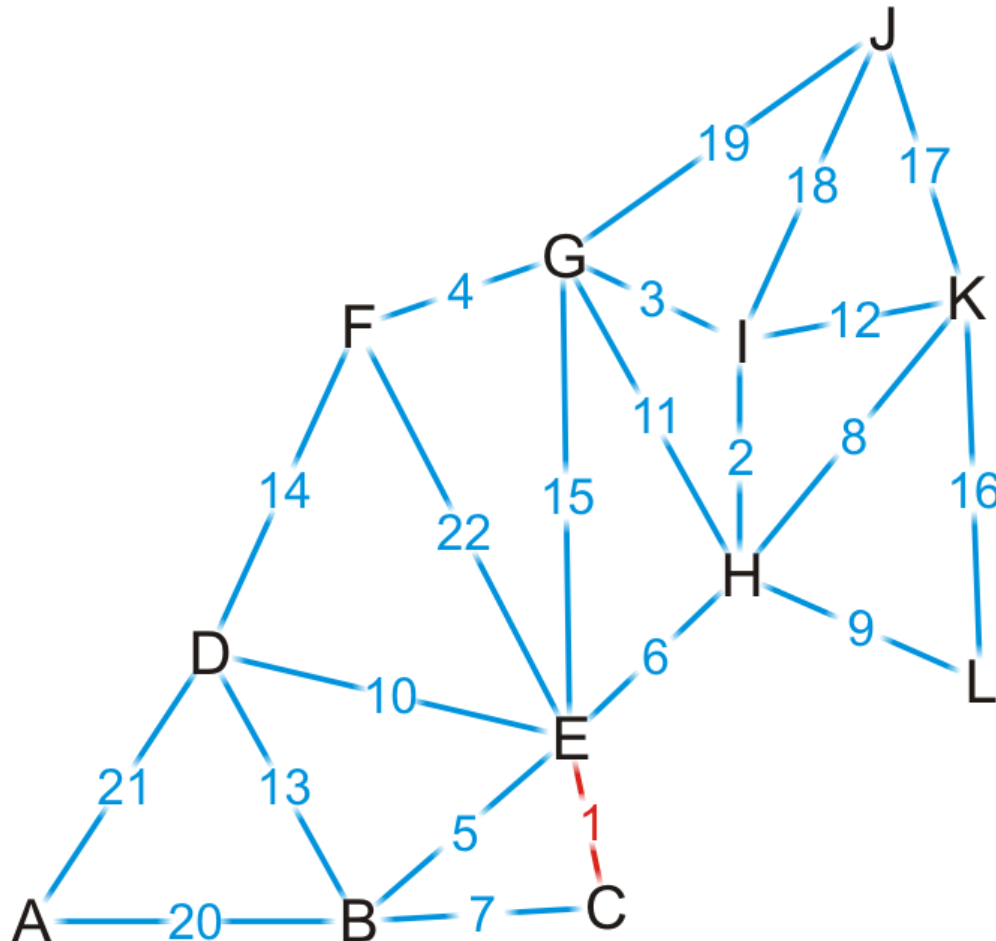
- Sort edges based on weight



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

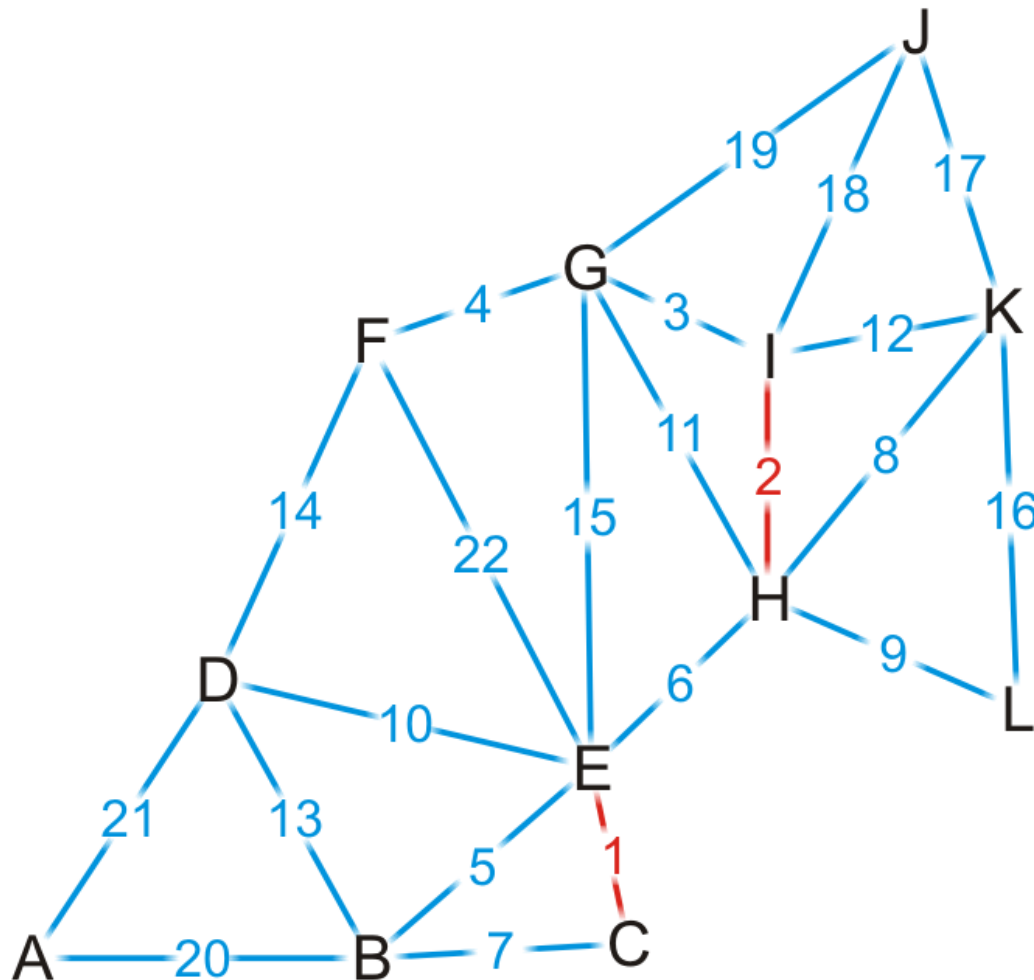
- We start by adding edge {C, E}



→ {C, E}  
{H, I}  
{G, I}  
\_\_\_\_\_  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

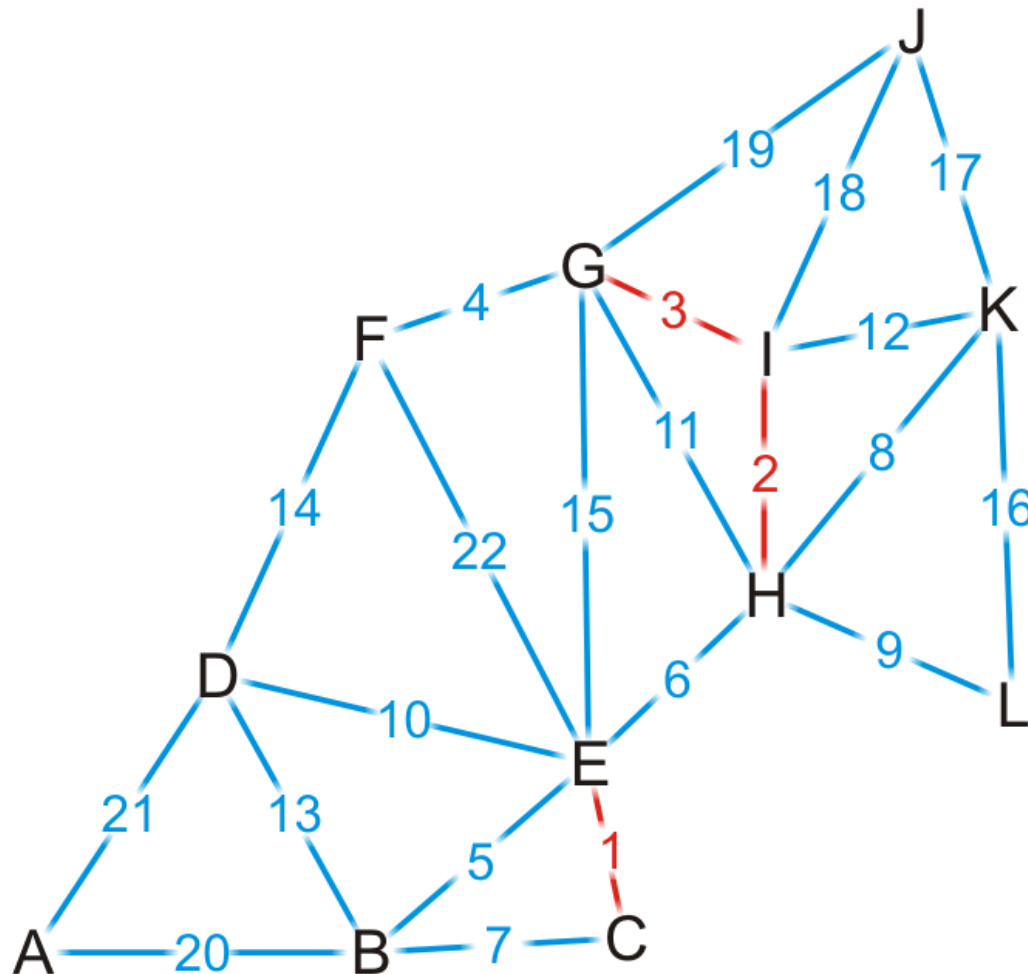
- We add edge {H, I}



→ {C, E}  
→ {H, I}  
\_\_\_\_\_  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

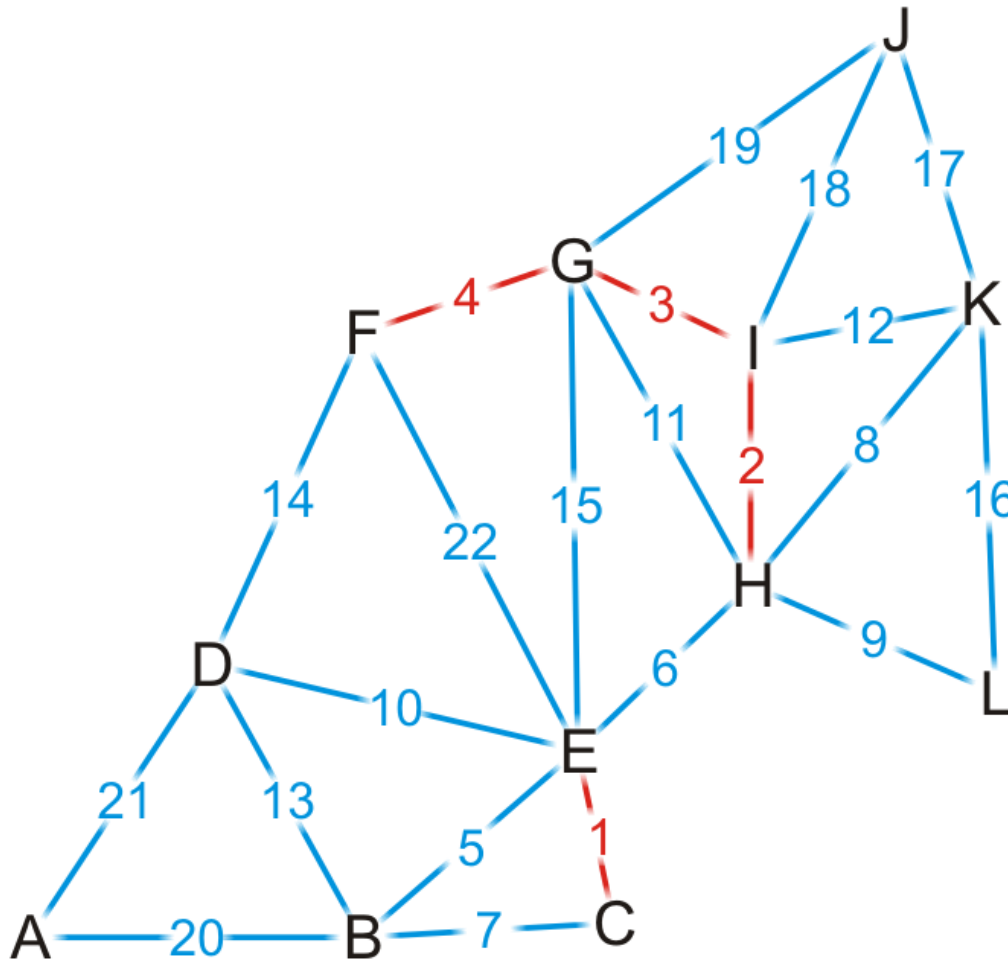
- We add edge {G, I}



$\{C, E\}$   
 $\{H, I\}$   
 $\rightarrow \{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$

# Kruskal's Algorithm – Example

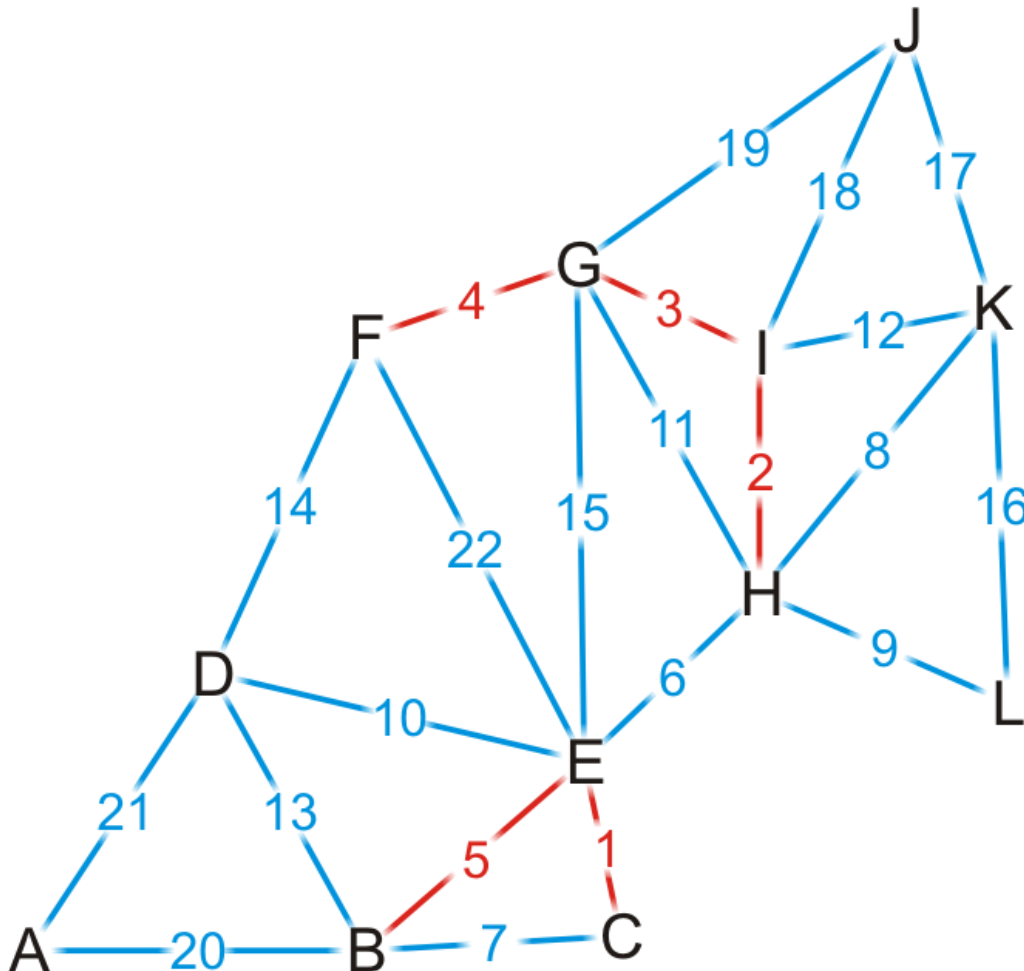
- We add edge {F, G}



{C, E}  
{H, I}  
{G, I}  
→ {F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

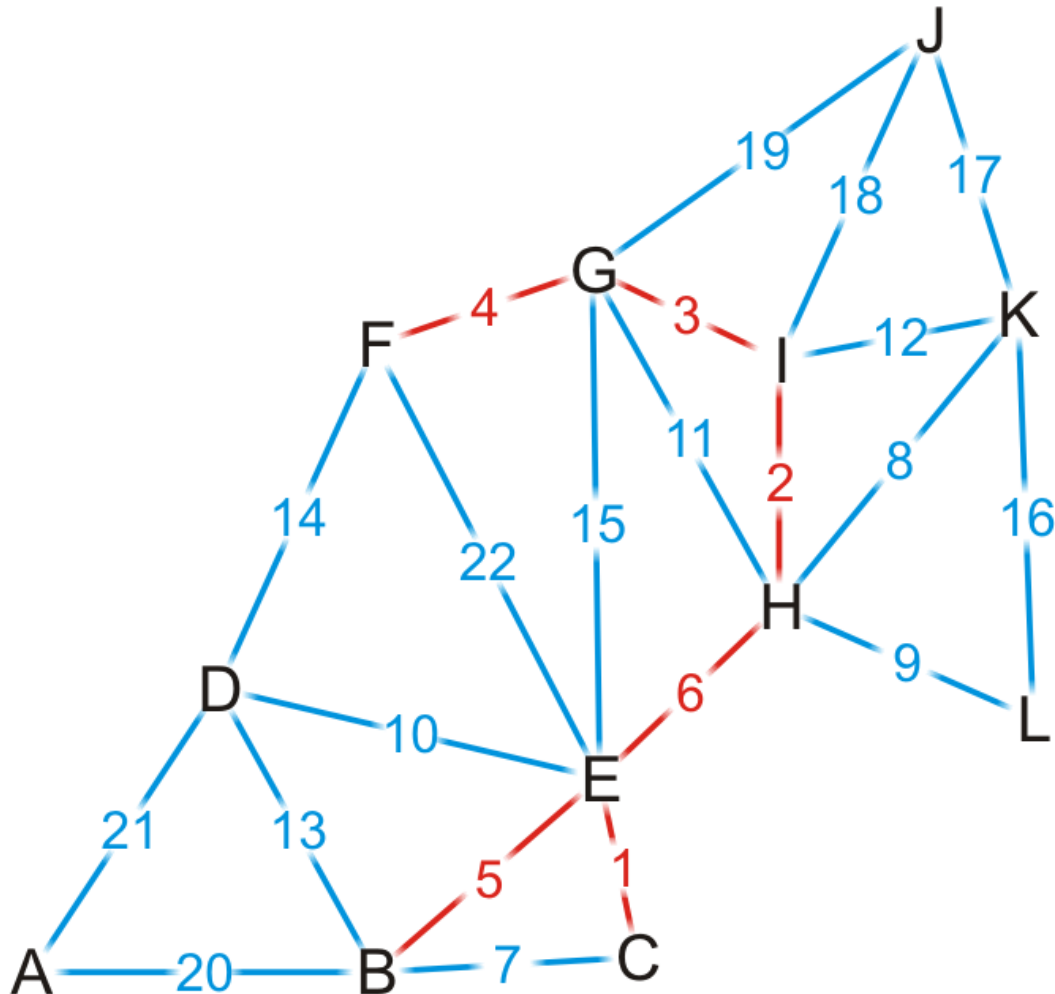
- We add edge {B, E}



{C, E}  
{H, I}  
{G, I}  
{F, G}  
→ {B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

- We add edge  $\{E, H\}$ 
  - This coalesces the two spanning sub-trees into one

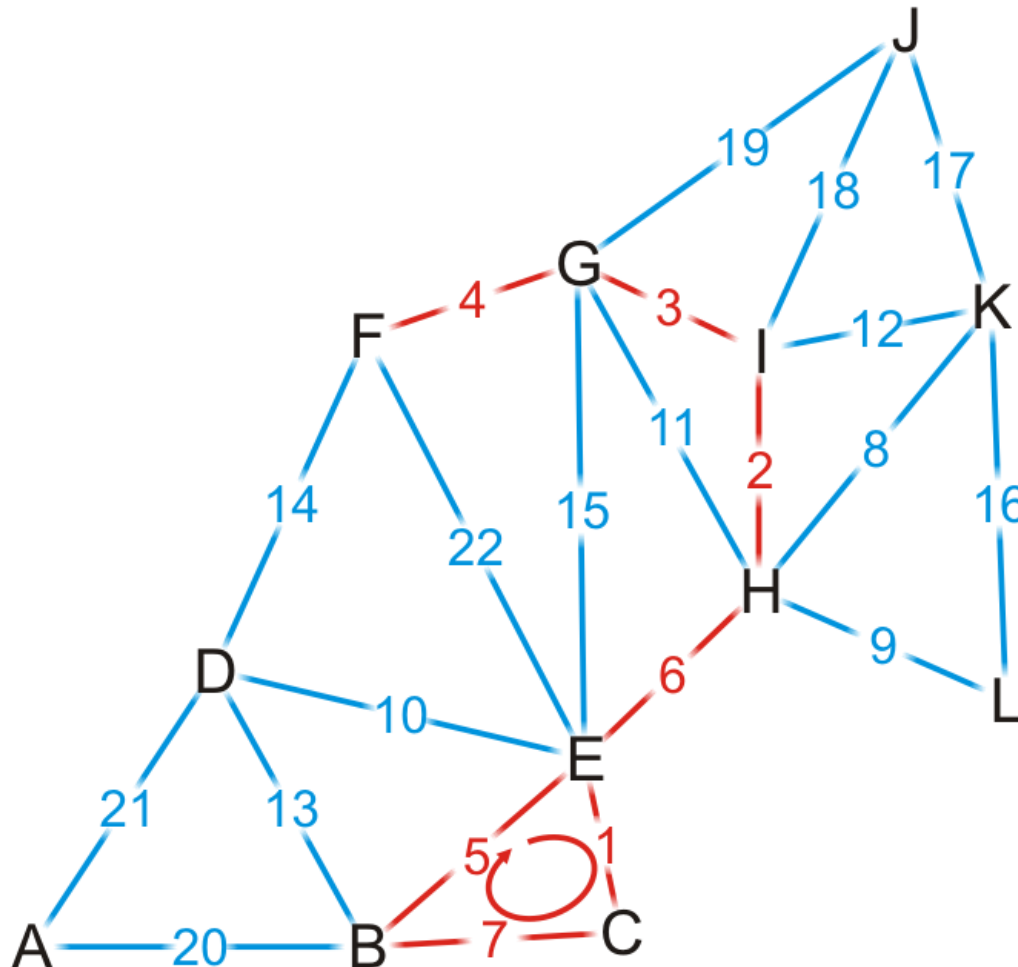


$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$



# Kruskal's Algorithm – Example

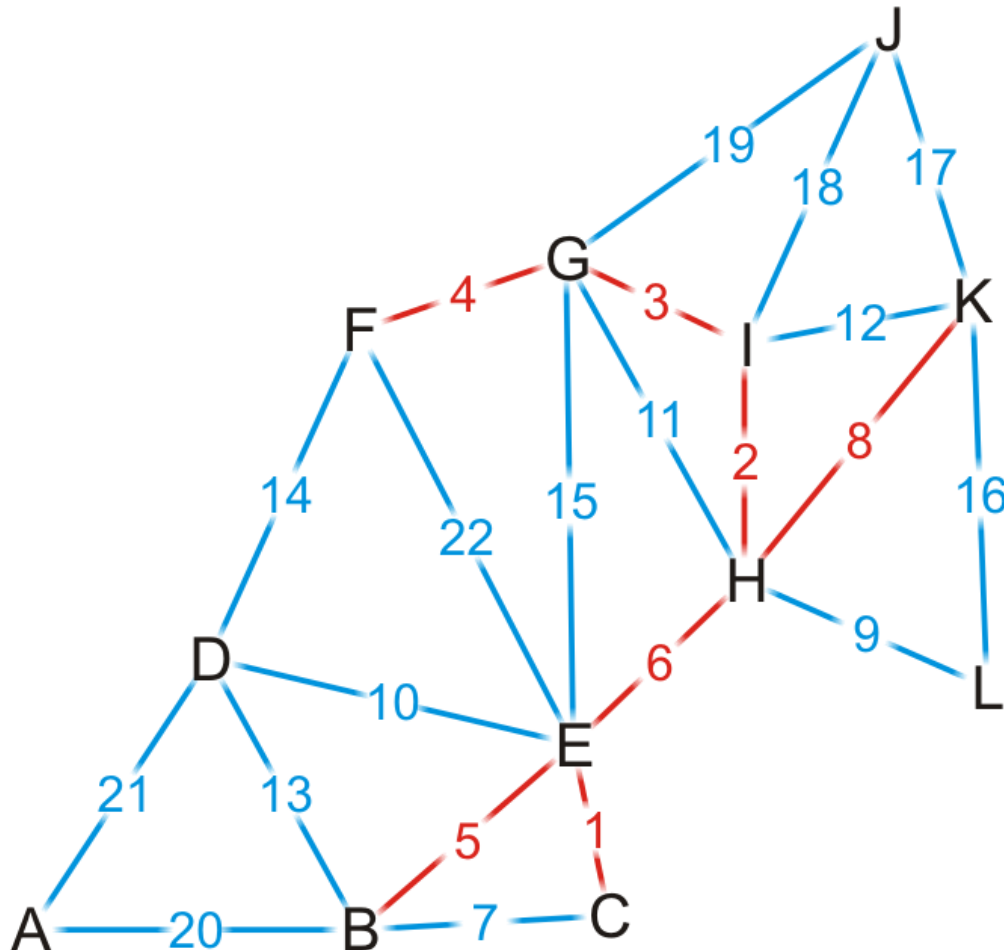
- We try adding {B, C}, but it creates a cycle



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
→ {B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

- We add edge {H, K}

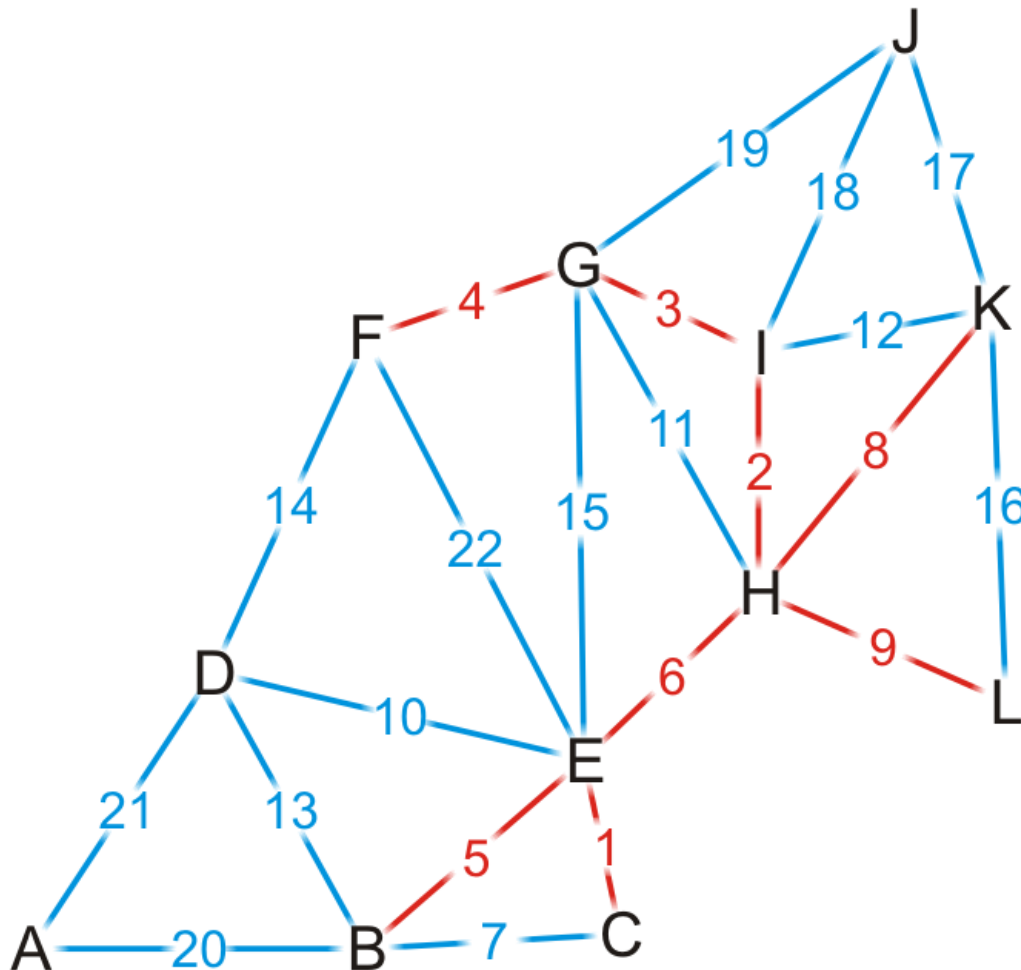


→ {H, K}

{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

- We add edge {H, L}

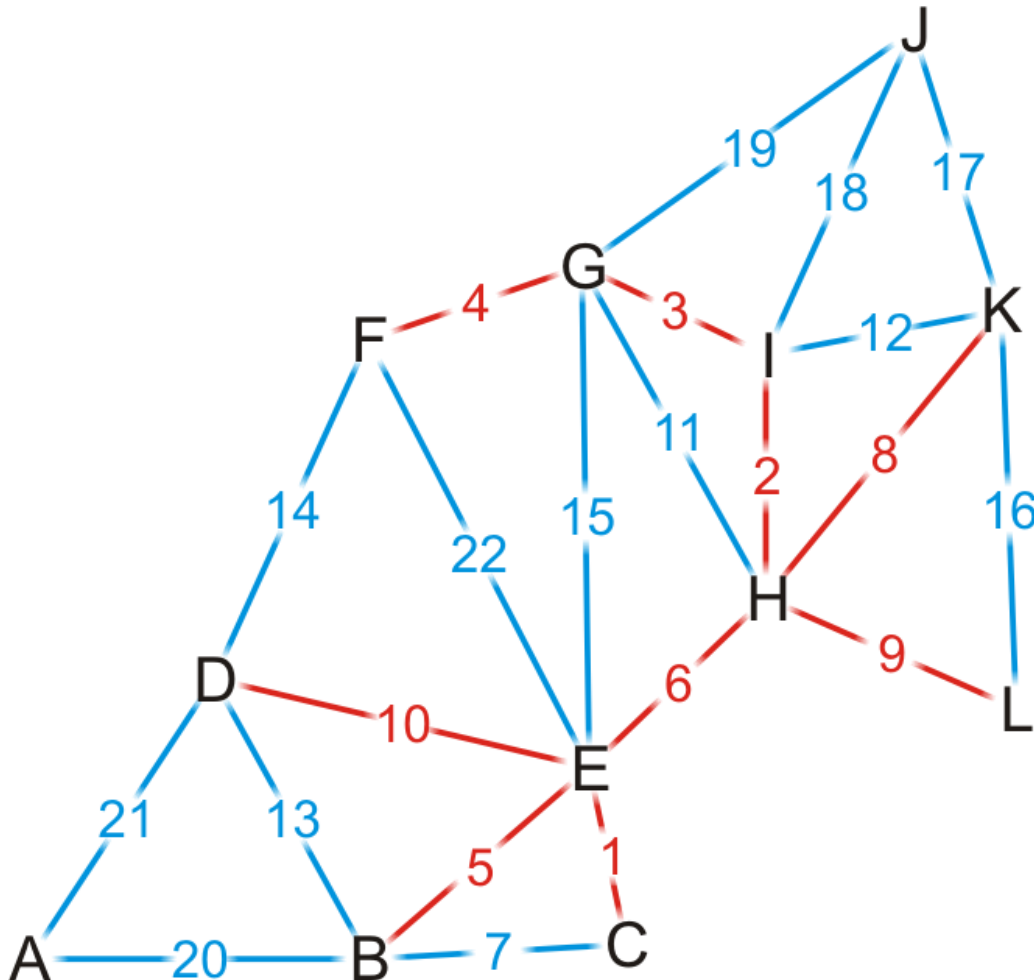


25-MST

{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
→ {H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

- We add edge {D, E}

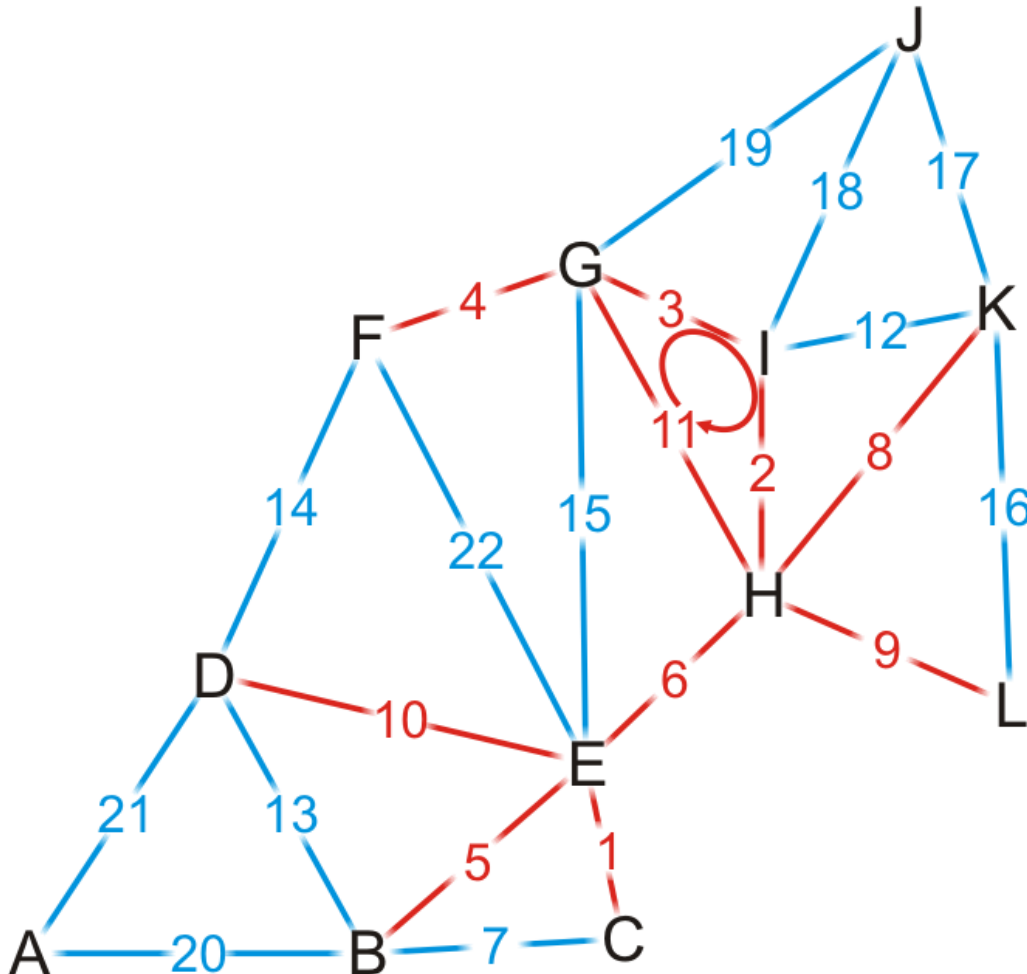


→

- {C, E}
- {H, I}
- {G, I}
- {F, G}
- {B, E}
- {E, H}
- {B, C}
- {H, K}
- {H, L}
- {D, E}**
- {G, H}
- {I, K}
- {B, D}
- {D, F}
- {E, G}
- {K, L}
- {J, K}
- {J, I}
- {J, G}
- {A, B}
- {A, D}
- {E, F}

# Kruskal's Algorithm – Example

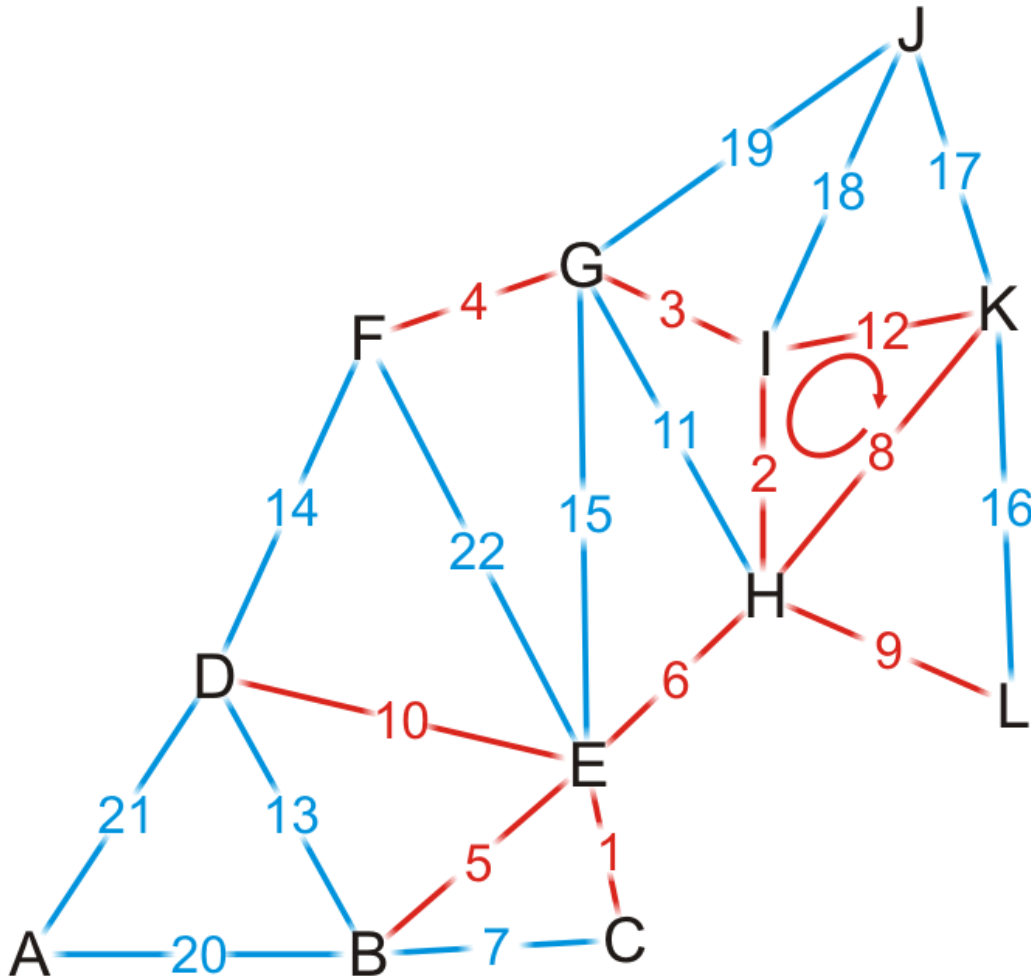
- We try adding  $\{G, H\}$ , but it creates a cycle



→ {C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
{A, B}  
{A, D}  
{E, F}

# Kruskal's Algorithm – Example

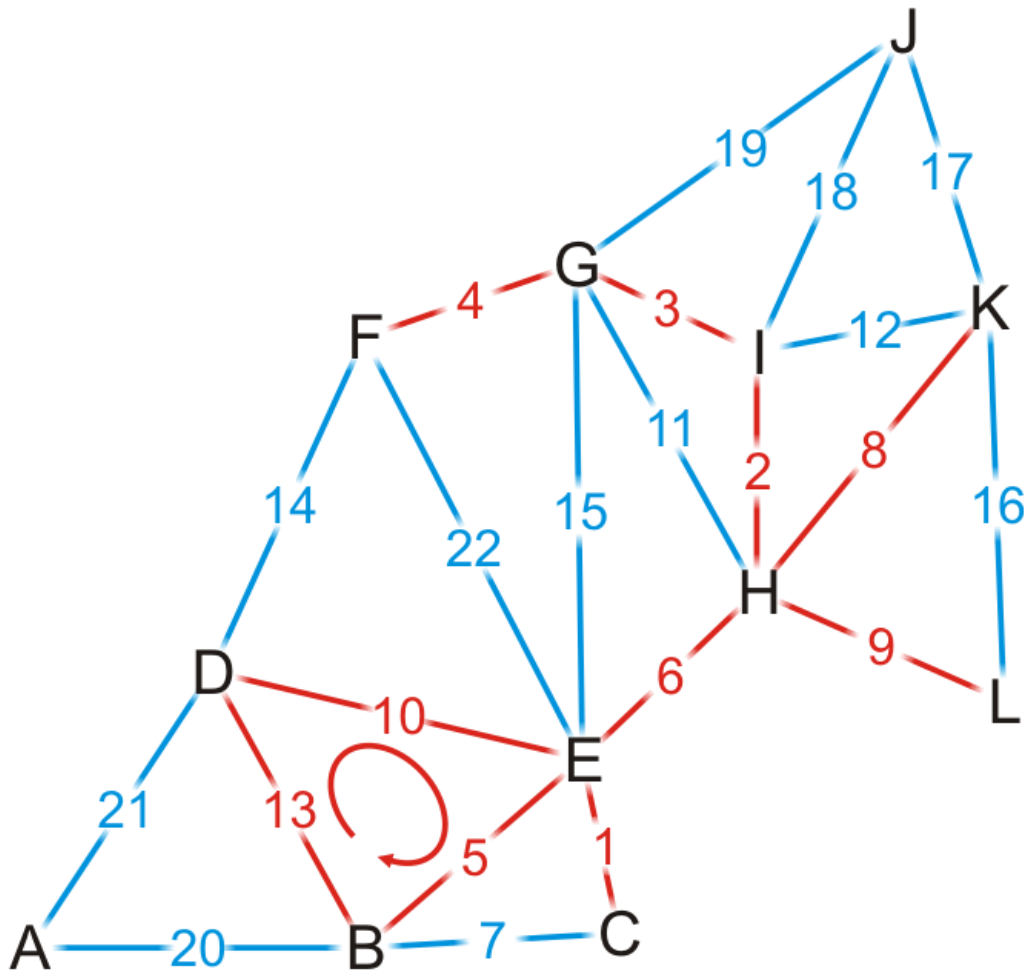
- We try adding  $\{I, K\}$ , but it creates a cycle



{C, E}  
 {H, I}  
 {G, I}  
 {F, G}  
 {B, E}  
 {E, H}  
 {B, C}  
 {H, K}  
 {H, L}  
 {D, E}  
 {G, H}  
 {I, K}  
 {B, D}  
 {D, F}  
 {E, G}  
 {K, L}  
 {J, K}  
 {J, I}  
 {J, G}  
 {A, B}  
 {A, D}  
 {E, F}

# Kruskal's Algorithm – Example

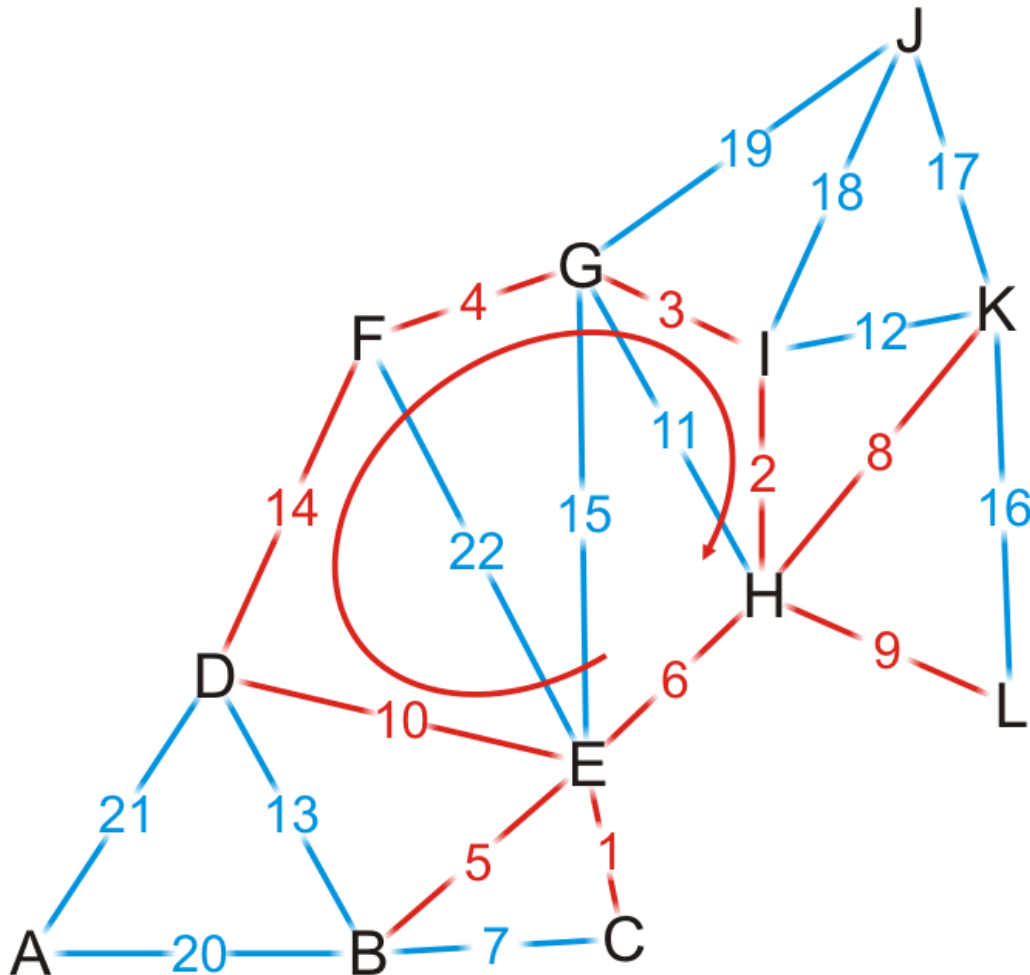
- We try adding  $\{B, D\}$ , but it creates a cycle



$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$

# Kruskal's Algorithm – Example

- We try adding  $\{D, F\}$ , but it creates a cycle



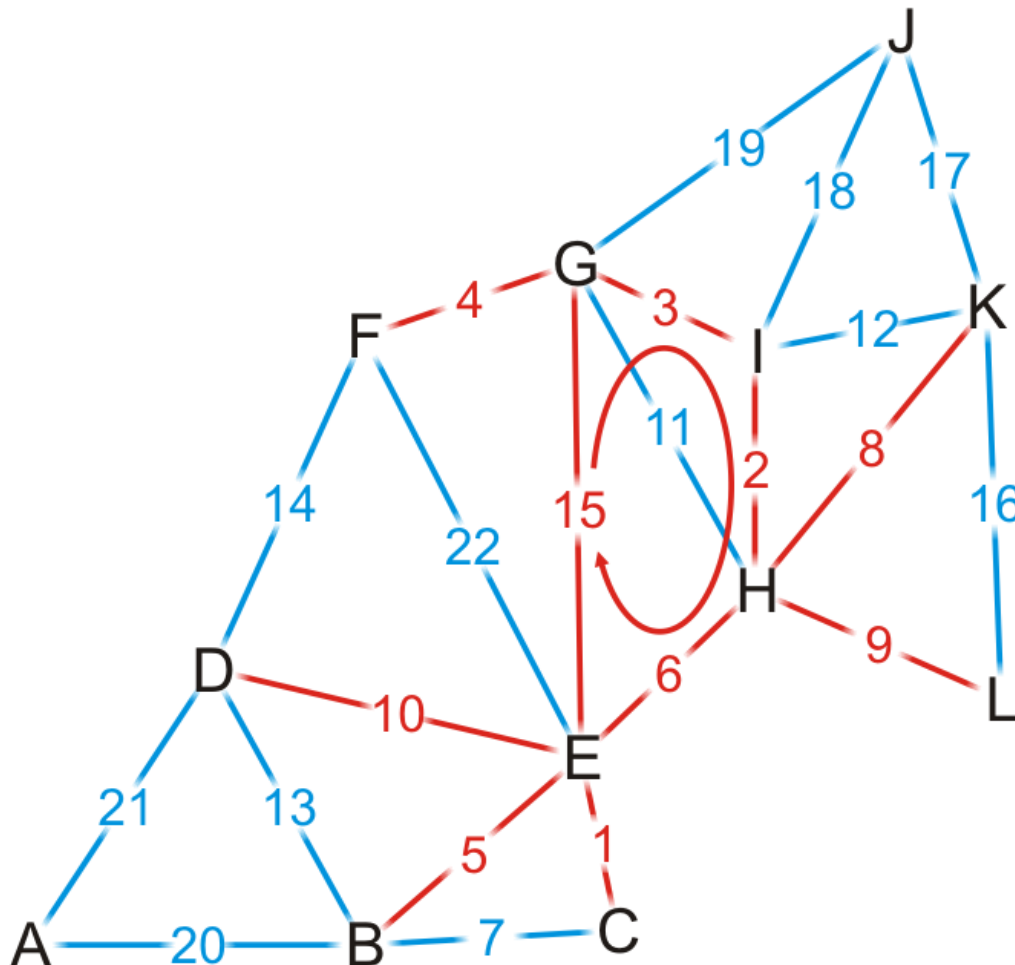
25-MST

{C, E}  
 {H, I}  
 {G, I}  
 {F, G}  
 {B, E}  
 {E, H}  
 {B, C}  
 {H, K}  
 {H, L}  
 {D, E}  
 {G, H}  
 {I, K}  
 {B, D}  
 {D, F}  
 {E, G}  
 {K, L}  
 {J, K}  
 {J, I}  
 {J, G}  
 {A, B}  
 {A, D}  
 {E, F}



# Kruskal's Algorithm – Example

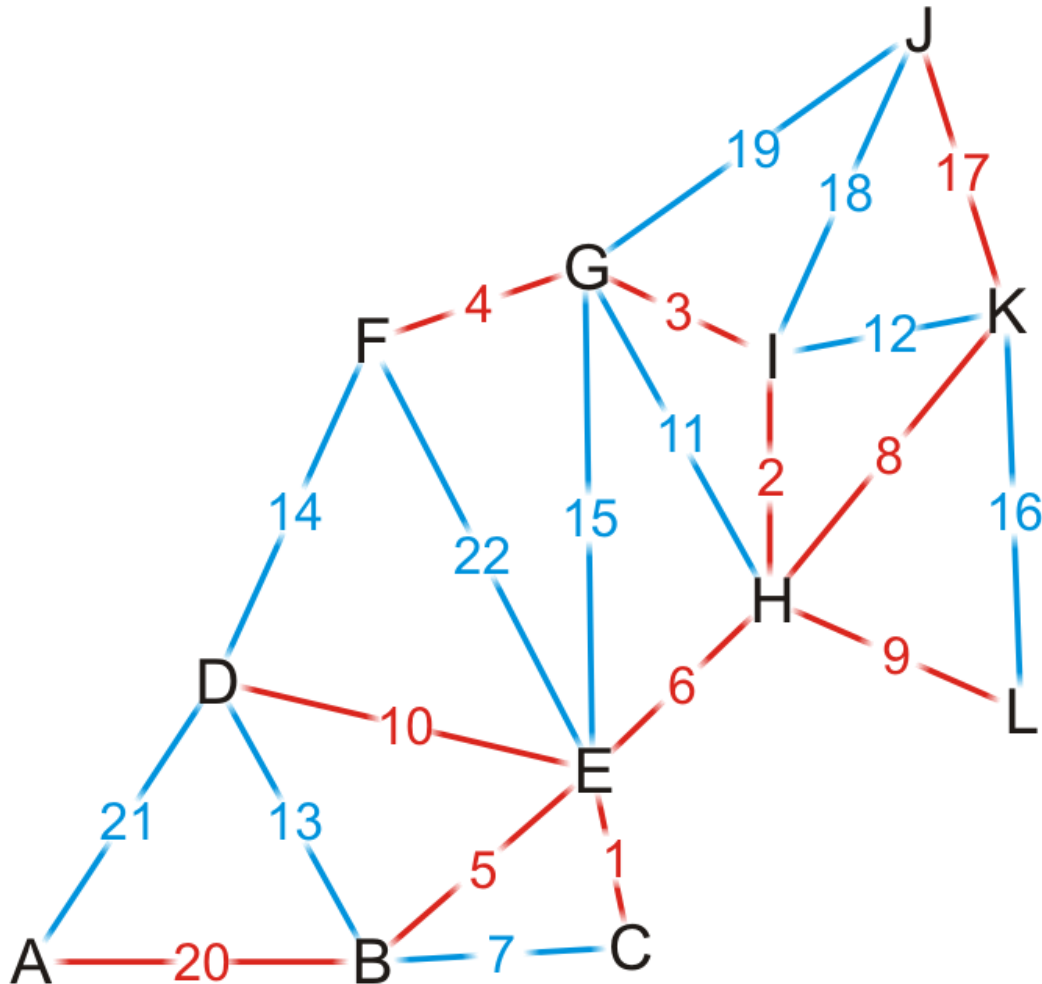
- We try adding  $\{E, G\}$ , but it creates a cycle



{C, E}  
 {H, I}  
 {G, I}  
 {F, G}  
 {B, E}  
 {E, H}  
 {B, C}  
 {H, K}  
 {H, L}  
 {D, E}  
 {G, H}  
 {I, K}  
 {B, D}  
 {D, F}  
 {E, G}  
 {K, L}  
 {J, K}  
 {J, I}  
 {J, G}  
 {A, B}  
 {A, D}  
 {E, F}

# Kruskal's Algorithm – Example

- By observation, we can still add edges  $\{J, K\}$  and  $\{A, B\}$



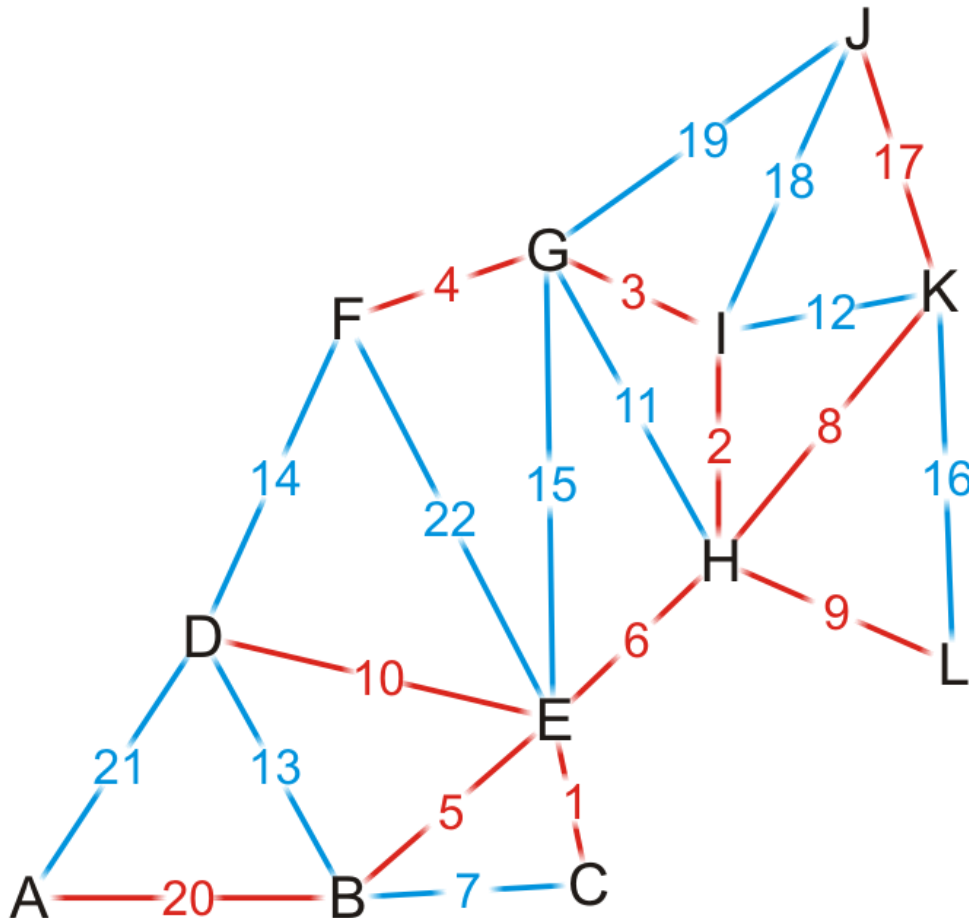
25-MST

$\{C, E\}$   
 $\{H, I\}$   
 $\{G, I\}$   
 $\{F, G\}$   
 $\{B, E\}$   
 $\{E, H\}$   
 $\{B, C\}$   
 $\{H, K\}$   
 $\{H, L\}$   
 $\{D, E\}$   
 $\{G, H\}$   
 $\{I, K\}$   
 $\{B, D\}$   
 $\{D, F\}$   
 $\{E, G\}$   
 $\{K, L\}$   
 $\{J, K\}$   
 $\{J, I\}$   
 $\{J, G\}$   
 $\{A, B\}$   
 $\{A, D\}$   
 $\{E, F\}$



# Kruskal's Algorithm – Example

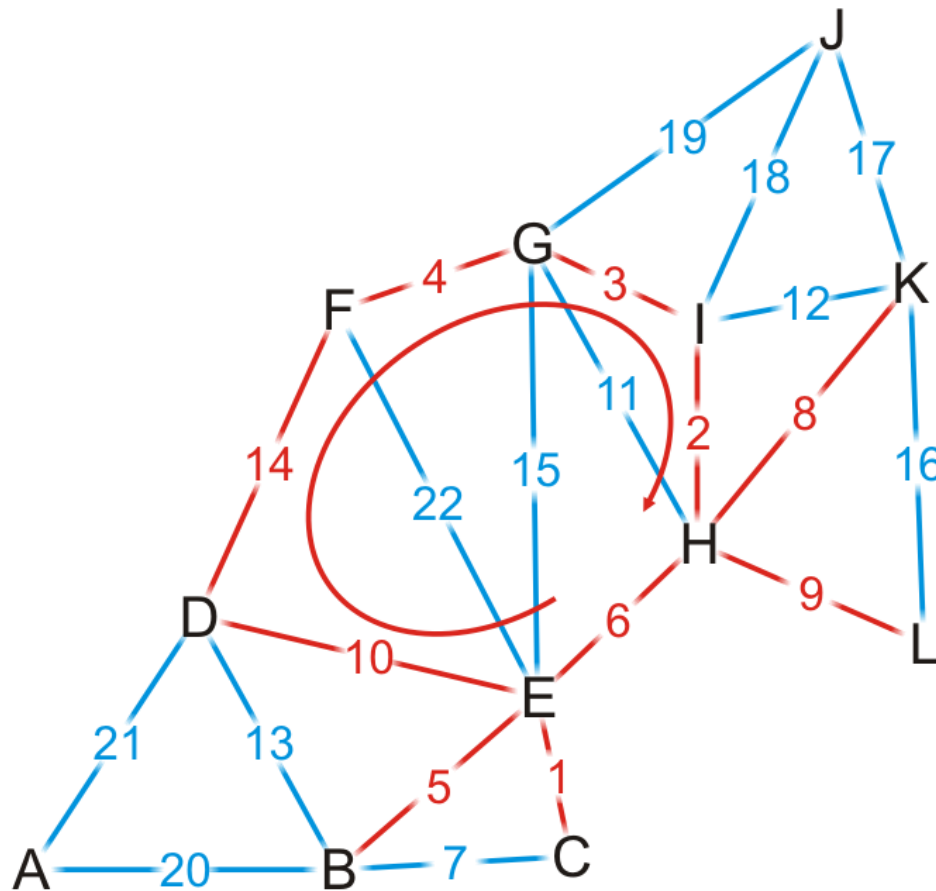
- Having added {A, B}, we now have 11 edges
  - We terminate the loop
  - We have our minimum spanning tree



{C, E}  
{H, I}  
{G, I}  
{F, G}  
{B, E}  
{E, H}  
{B, C}  
{H, K}  
{H, L}  
{D, E}  
{G, H}  
{I, K}  
{B, D}  
{D, F}  
{E, G}  
{K, L}  
{J, K}  
{J, I}  
{J, G}  
→ {A, B}  
{A, D}  
{E, F}

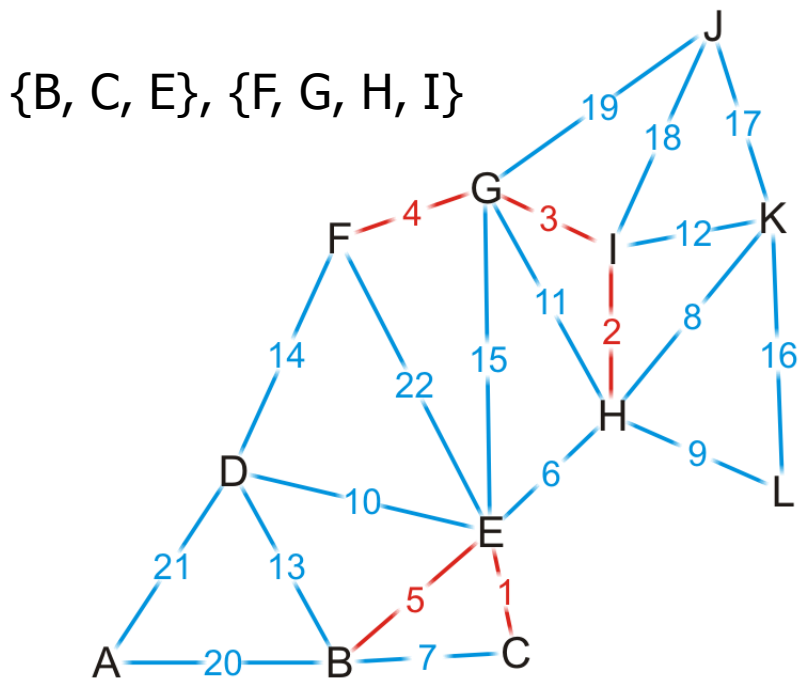
# Detecting a Cycle

- To determine if a cycle is created, we could perform a traversal
  - A run-time of  $O(|V|)$



# Detecting a Cycle – Disjoint Sets

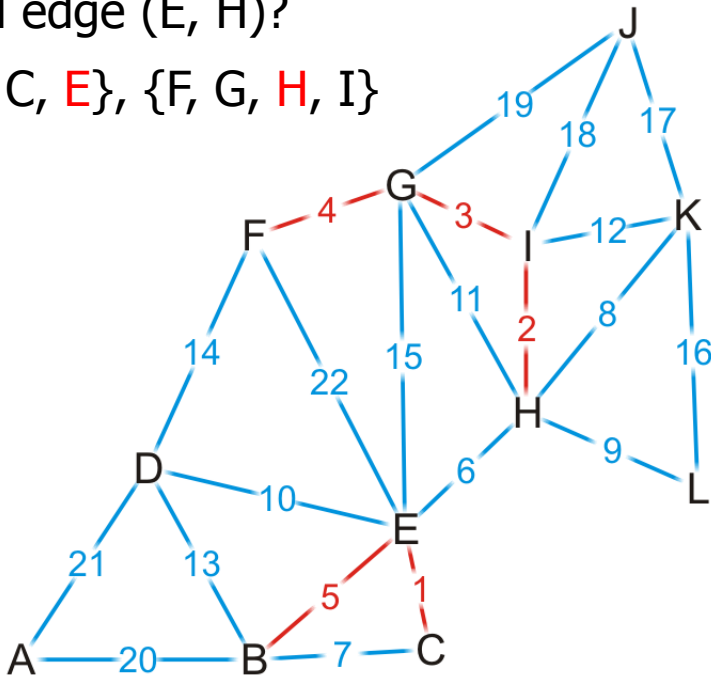
- Consider edges in the same connected sub-graph as forming a set



## Detecting a Cycle – Disjoint Sets

- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets

## Add edge (E, H)?

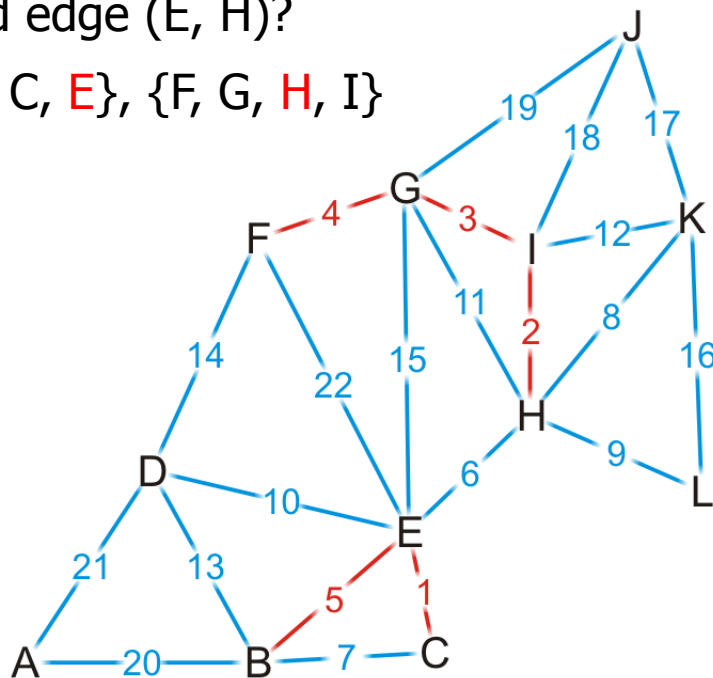
 $\{B, C, E\}, \{F, G, H, I\}$ 

# Detecting a Cycle – Disjoint Sets

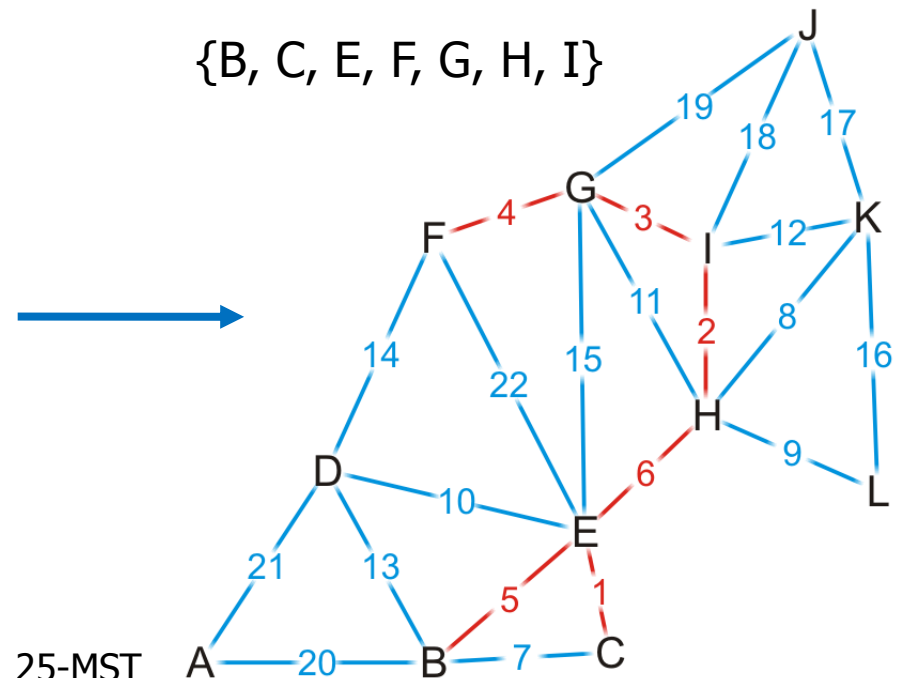
- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets

Add edge (E, H)?

$\{B, C, \textcolor{red}{E}\}, \{F, G, \textcolor{red}{H}, I\}$



$\{B, C, E, F, G, H, I\}$

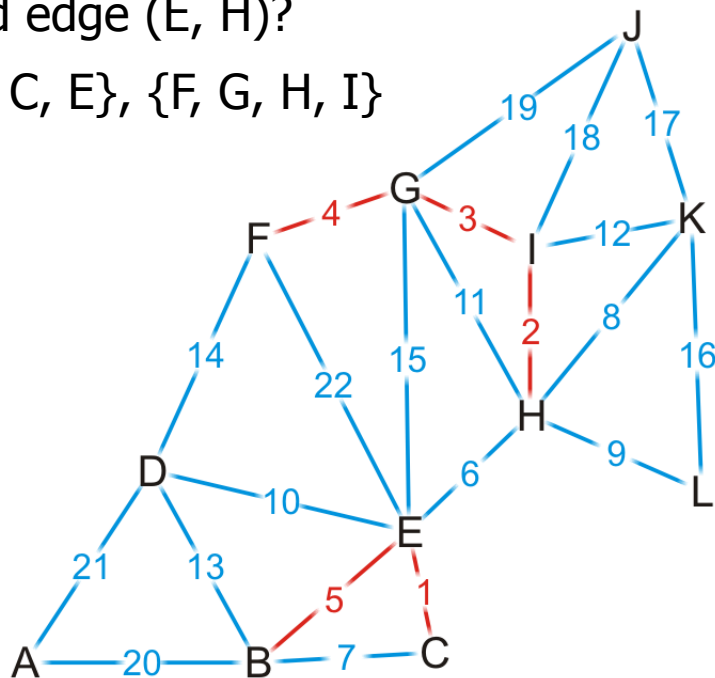


25-MST

## Detecting a Cycle – Disjoint Sets

- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets
  - Take the union of the two sets
- Do not add an edge if both vertices are in the same set

## Add edge (E, H)?

$$\{B, C, E\}, \{F, G, H, I\}$$
 $\{\mathbf{B}, \mathbf{C}, \mathbf{E}, \mathbf{F}, \mathbf{G}, \mathbf{H}, \mathbf{I}\}$ 