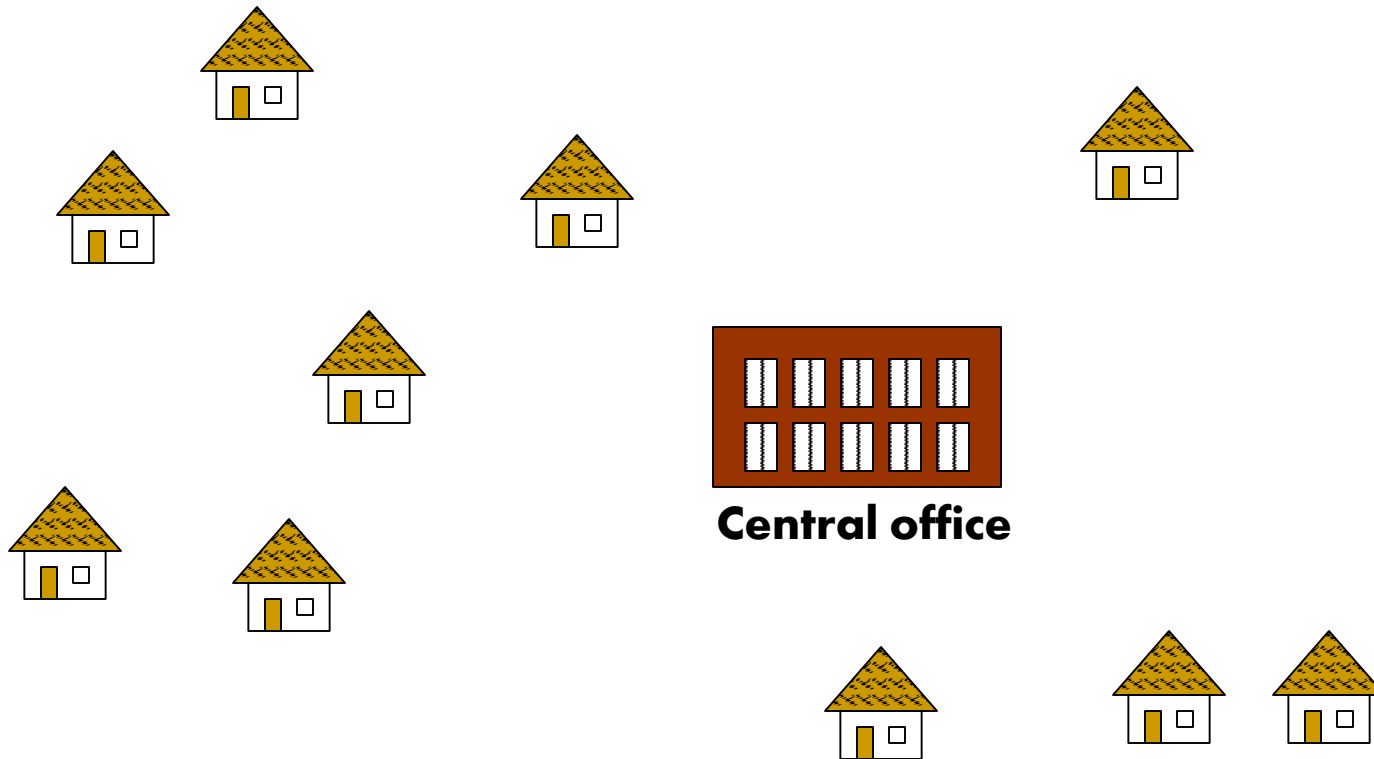


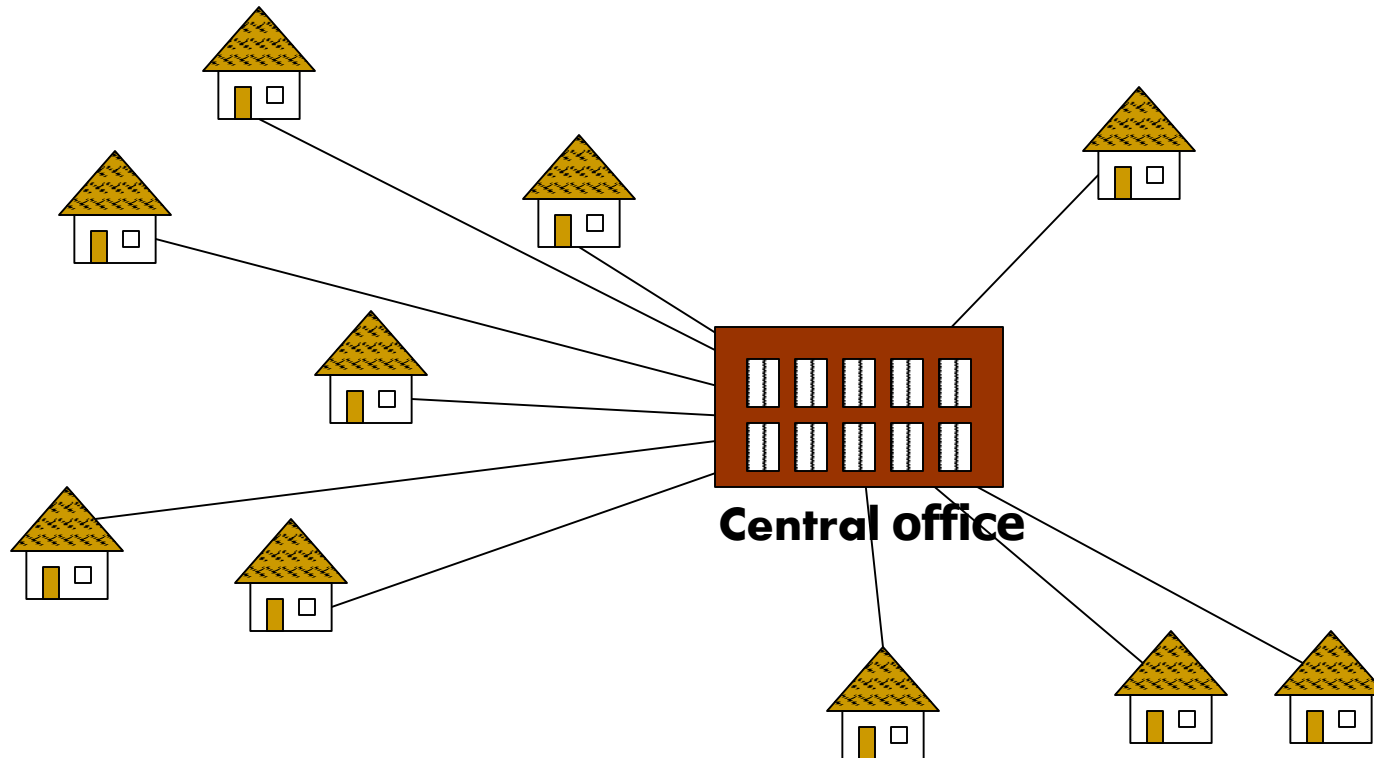
Data Structures

25. Minimum Spanning Tree (MST)

Problem: Laying Telephone Wire

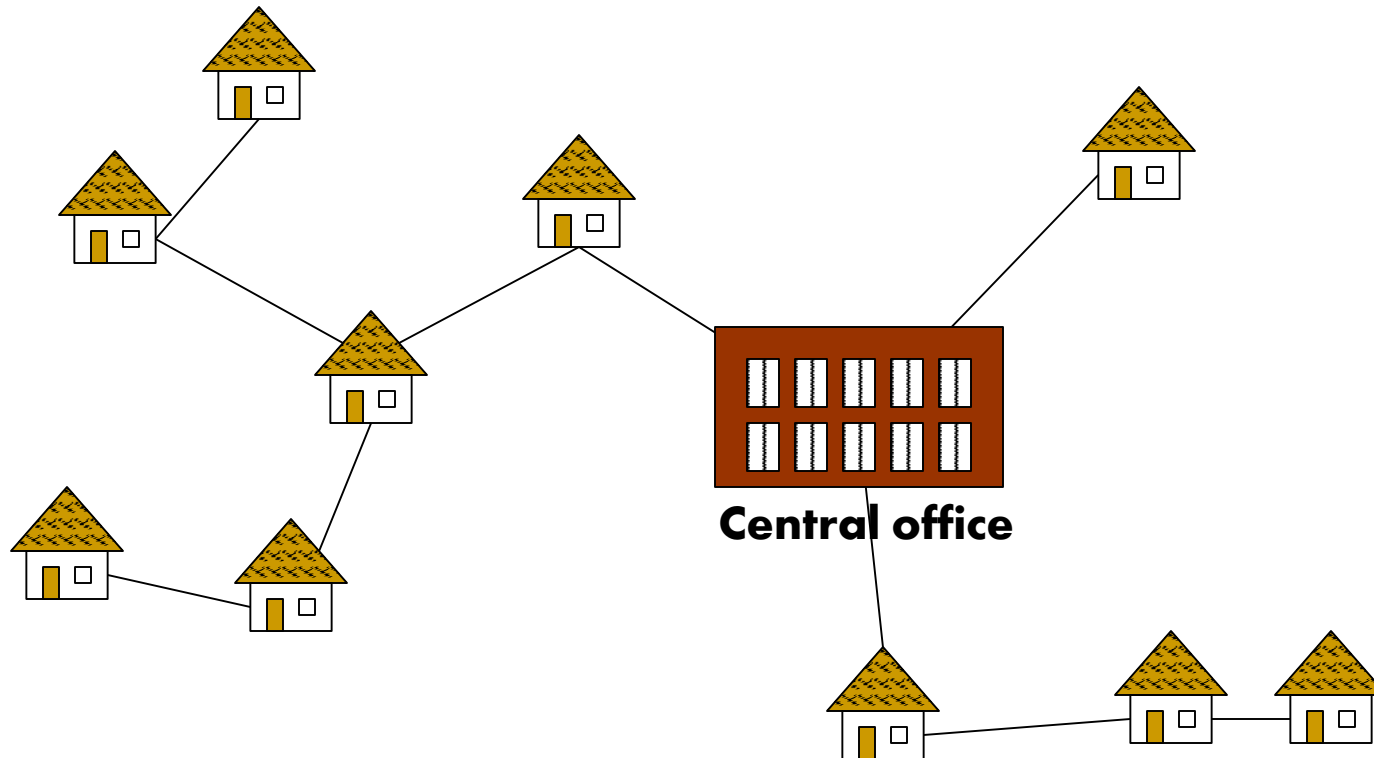


Wiring: Naïve Approach



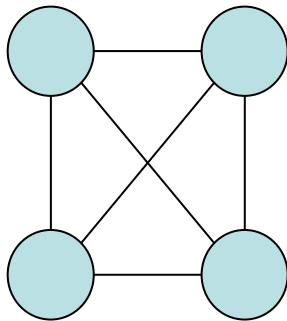
Expensive!

Wiring: Better Approach

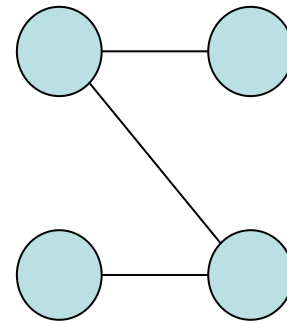


Spanning Trees

- A spanning tree of a graph is just a subgraph that contains all the vertices and is a tree
- Formal definition
 - Given a connected graph with $|V| = n$ vertices
 - A spanning tree is defined a collection of $n - 1$ edges which connect all n vertices
 - The n vertices and $n - 1$ edges define a connected sub-graph



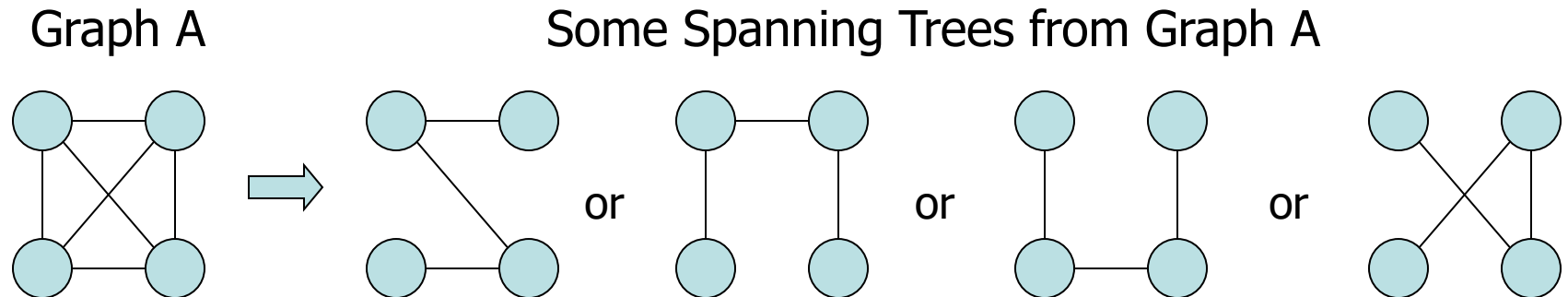
Graph



Spanning Tree

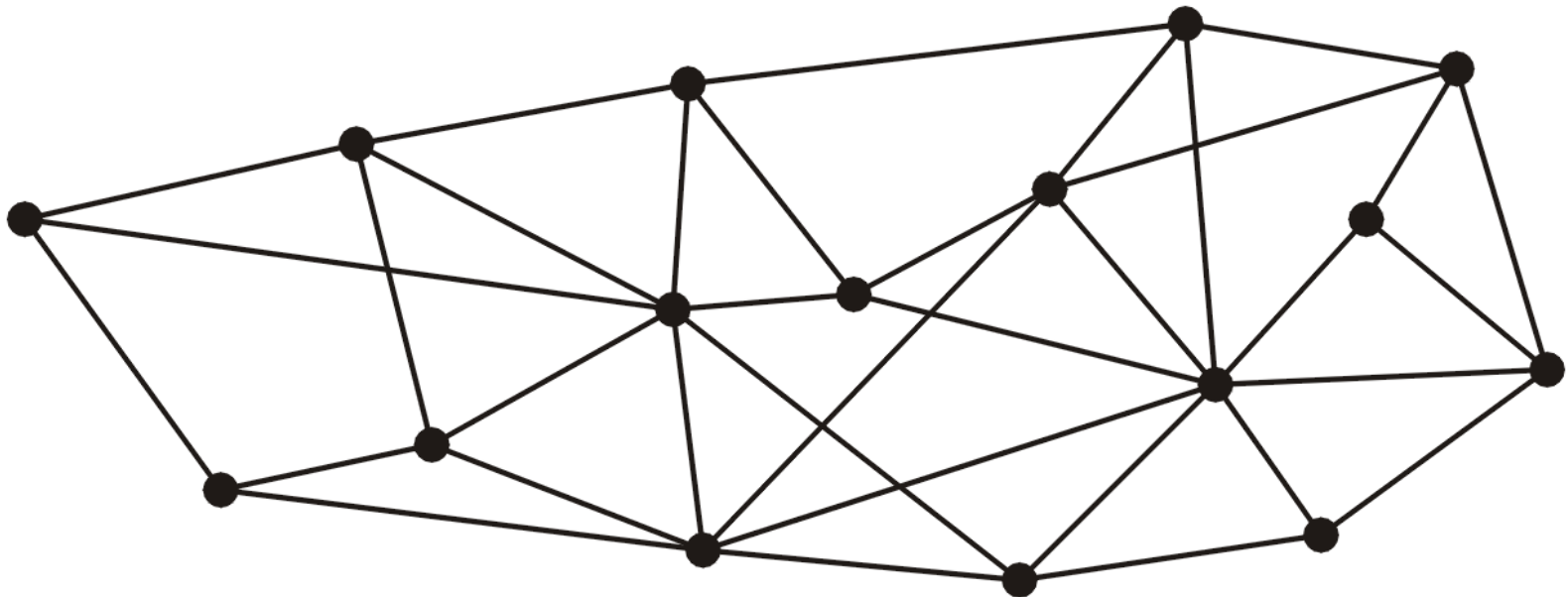
Spanning Trees

- A spanning tree is not necessarily unique



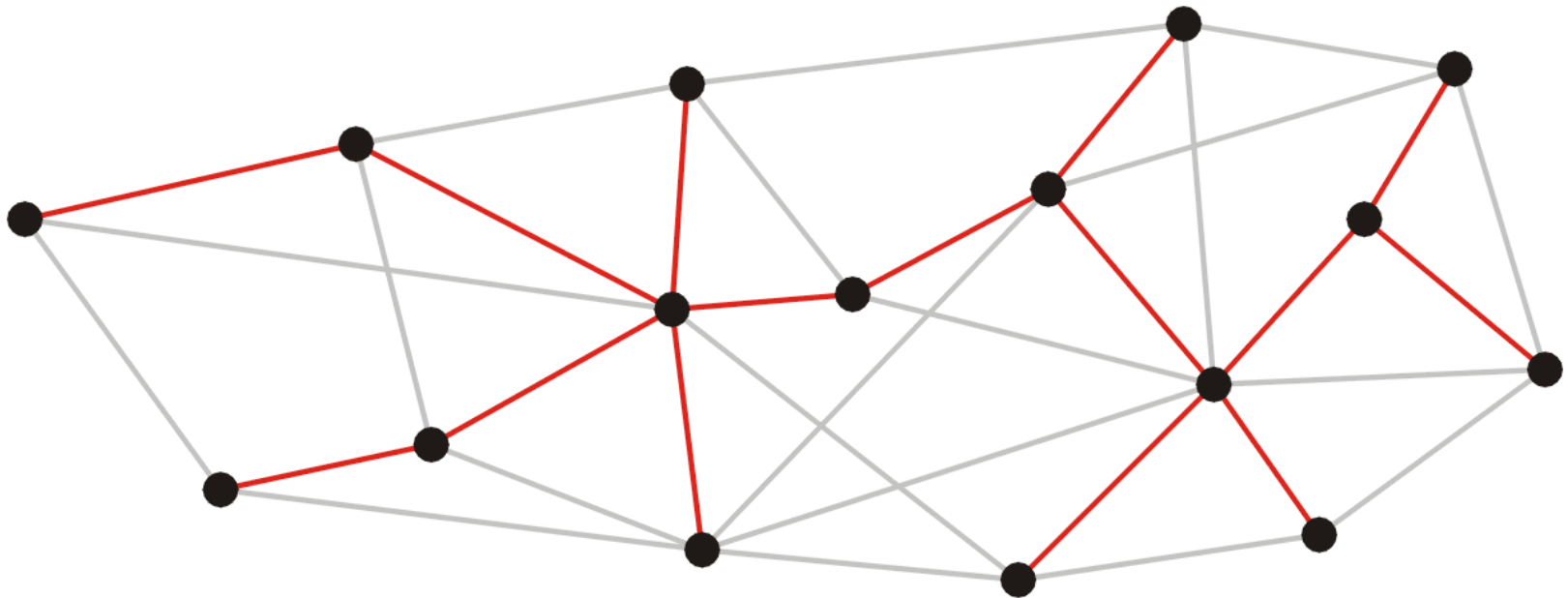
Spanning Trees – Example

- This graph has 16 vertices and 35 edges



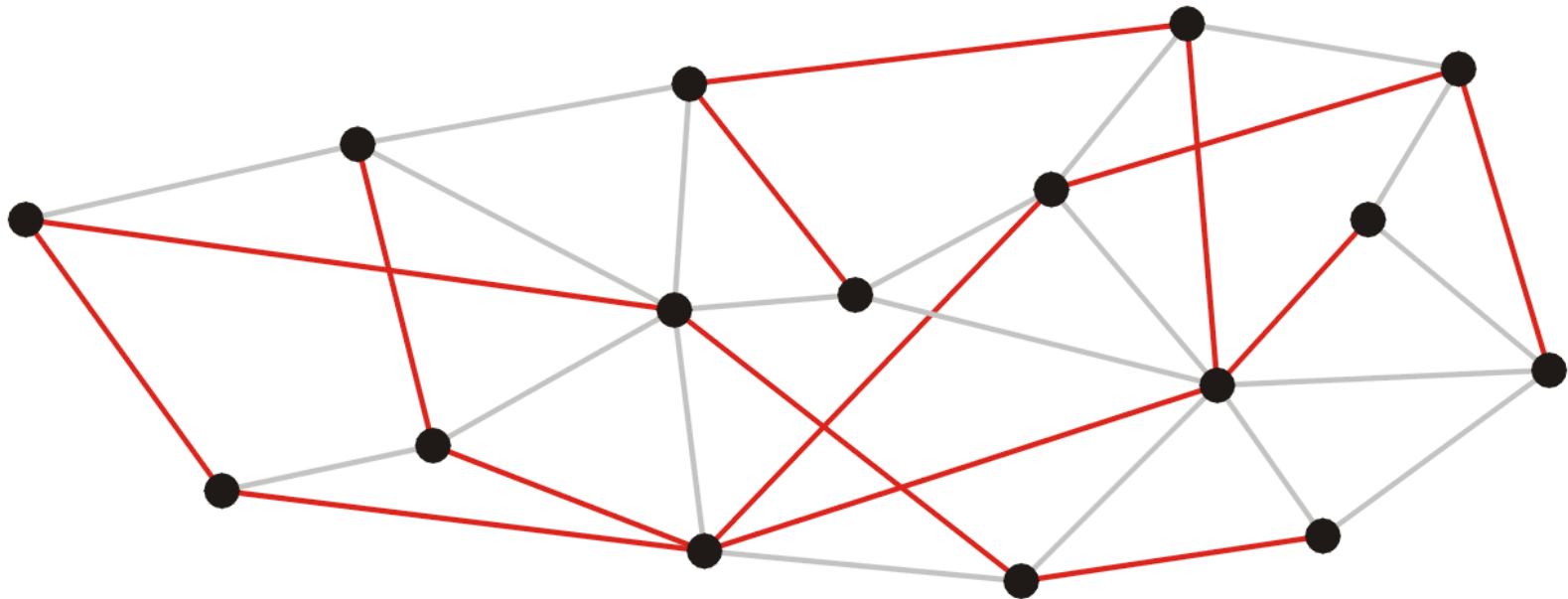
Spanning Trees – Example

- These 15 edges form a minimum spanning tree

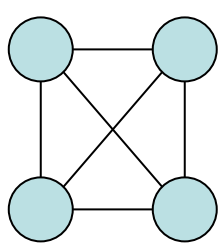


Spanning Trees – Example

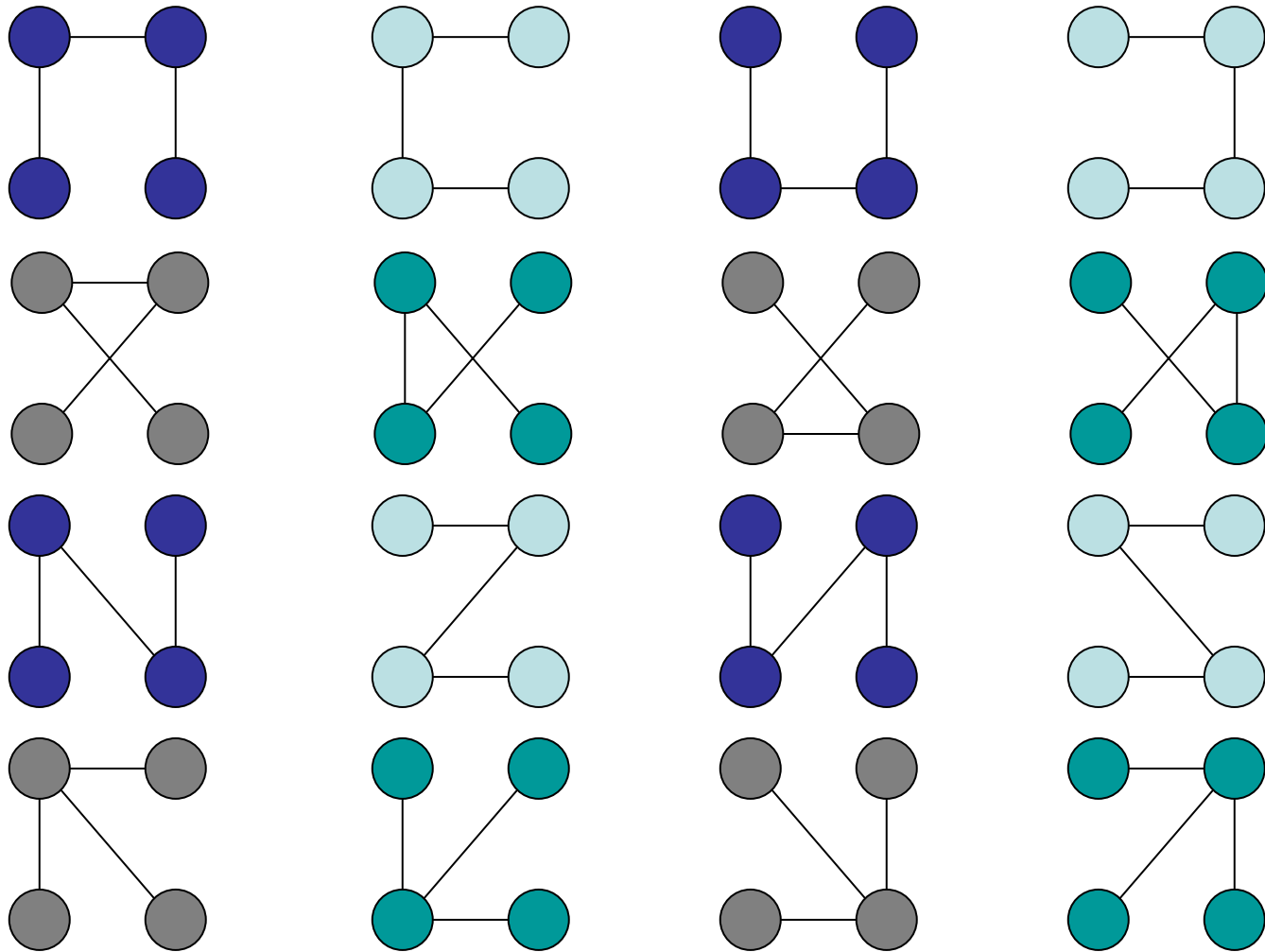
- As do these 15 edges



Spanning Trees – Example



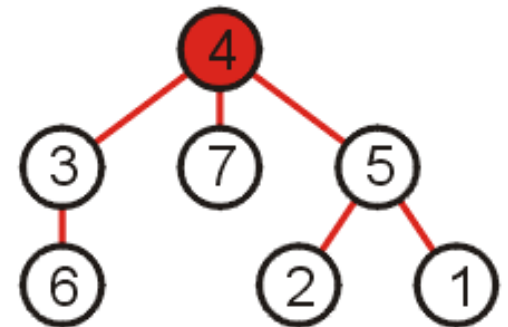
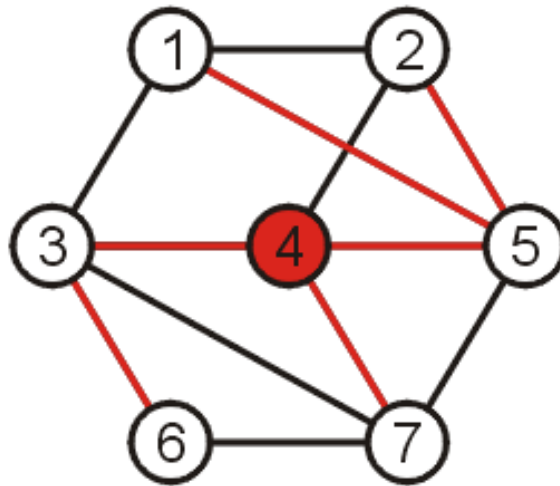
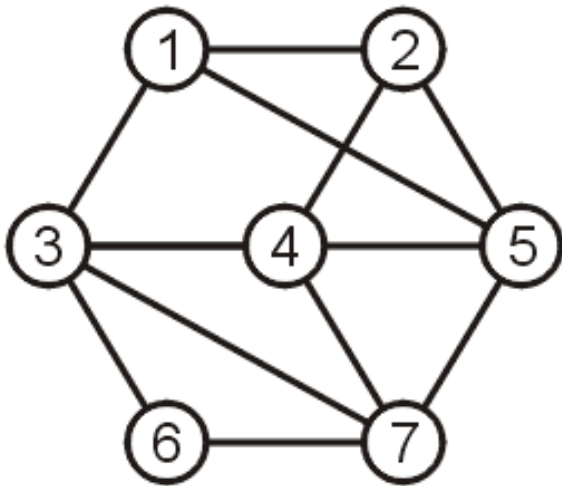
Graph



All 16 of its Spanning Trees

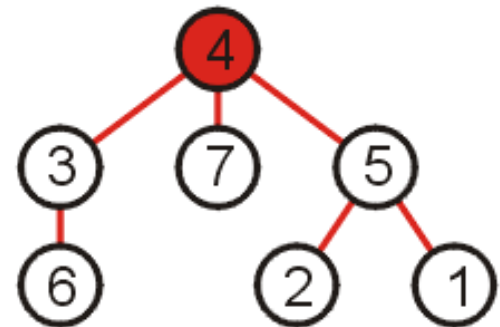
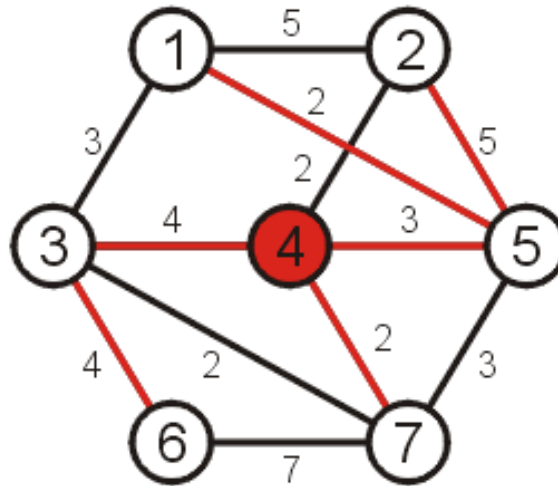
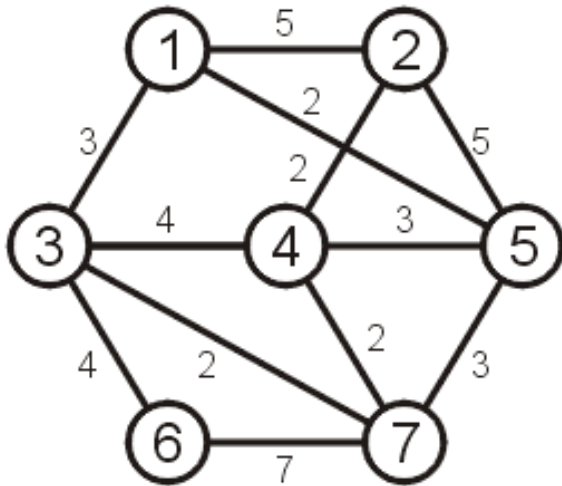
Spanning Trees

- Why such a collection of $|V| - 1$ edges is called a tree?
 - If any vertex is taken to be the root, we form a tree by treating the adjacent vertices as children, and so on...



Spanning Tree on Weighted Graphs

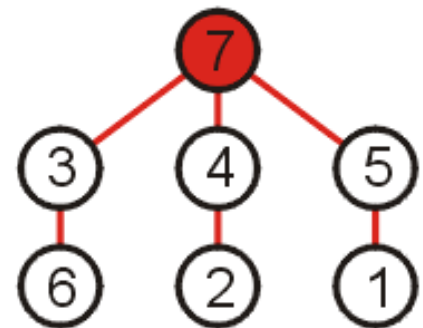
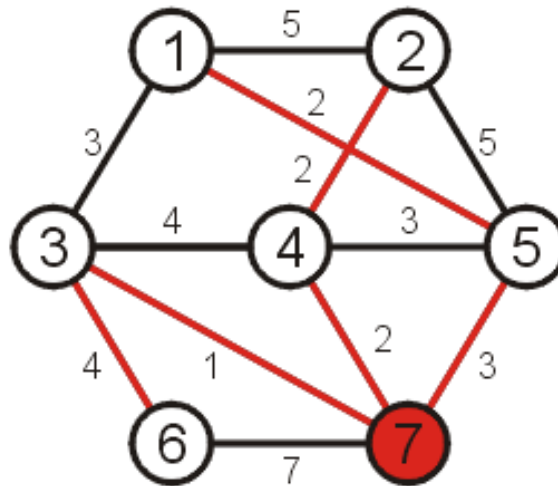
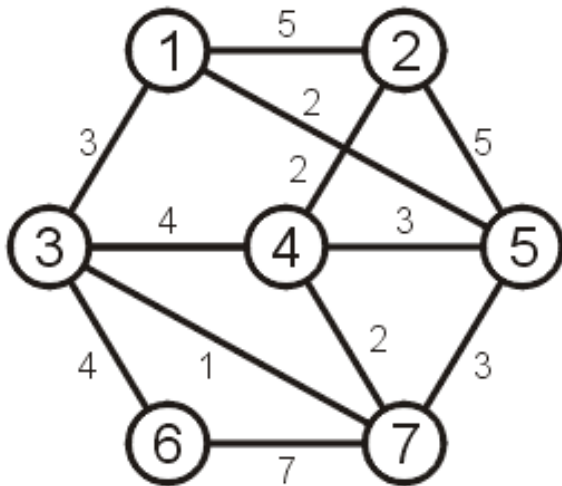
- Weight of a spanning tree
 - Sum of the weights on all the edges which comprise the spanning tree



- The weight of this spanning tree is 20

Minimum Spanning Tree (MST)

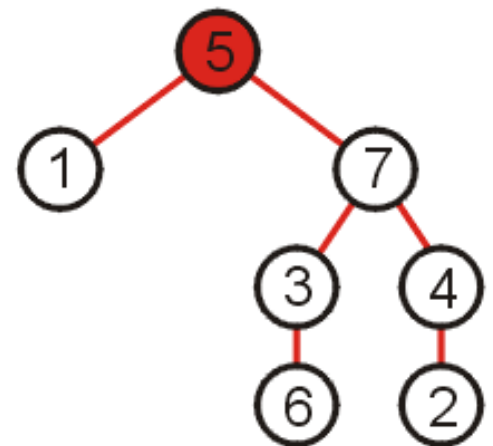
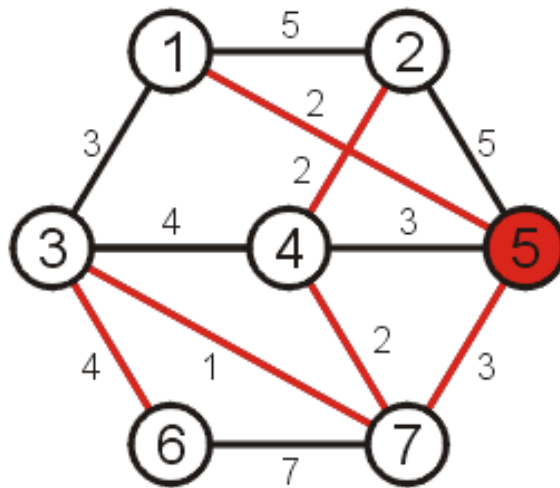
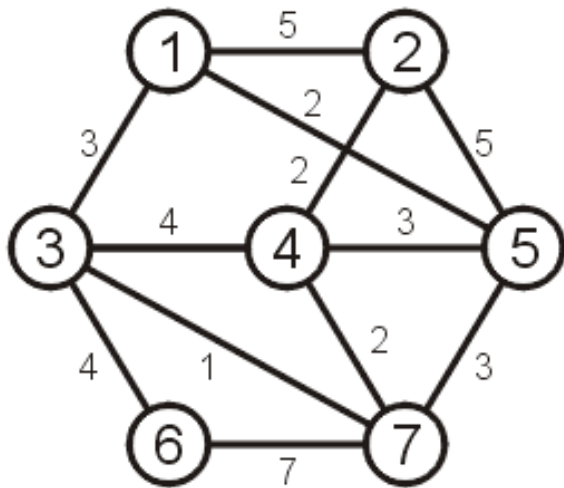
- Spanning tree that minimizes the weight
 - Such a tree is termed a minimum spanning tree



- The weight of this spanning tree is 14

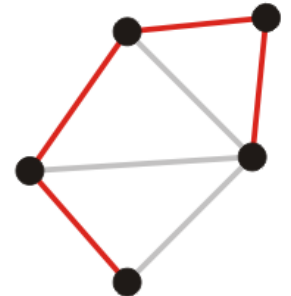
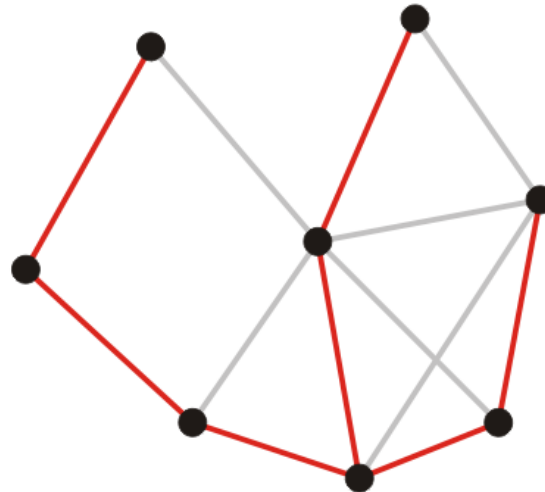
Minimum Spanning Tree (MST)

- If a different vertex is used as the root
 - A different tree is obtained
 - However, this is simply the result of one or more rotations



Spanning Forest

- Suppose that a graph is composed of N connected sub-graphs
- A spanning forest is a collection of N spanning trees
 - One for each connected sub-graph



- A minimum spanning forest
 - A collection of N minimum spanning trees
 - One for each connected vertex-induced sub-graph

Applications

- Consider supplying power to
 - All circuit elements on a board
 - A number of loads within a building
- A minimum spanning tree will give the lowest-cost solution



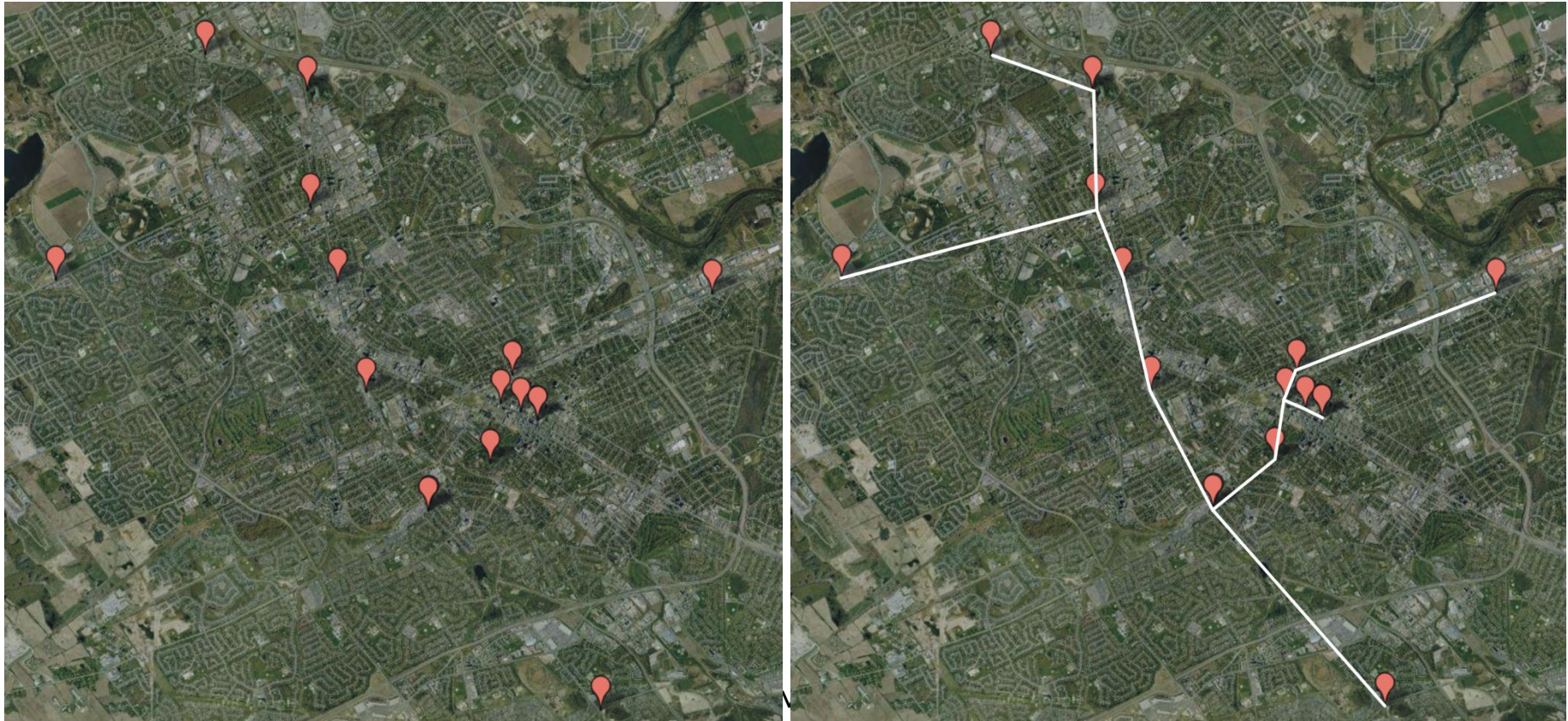
Application

- First application of a minimum spanning tree algorithm was by the Czech mathematician Otakar Borůvka
 - Designed electricity grid in Moravia in 1926



Application

- Consider attempting to find the best means of connecting a number of Local Area Networks (LANs)
 - Minimize the number of bridges
 - Costs not strictly dependent on distances



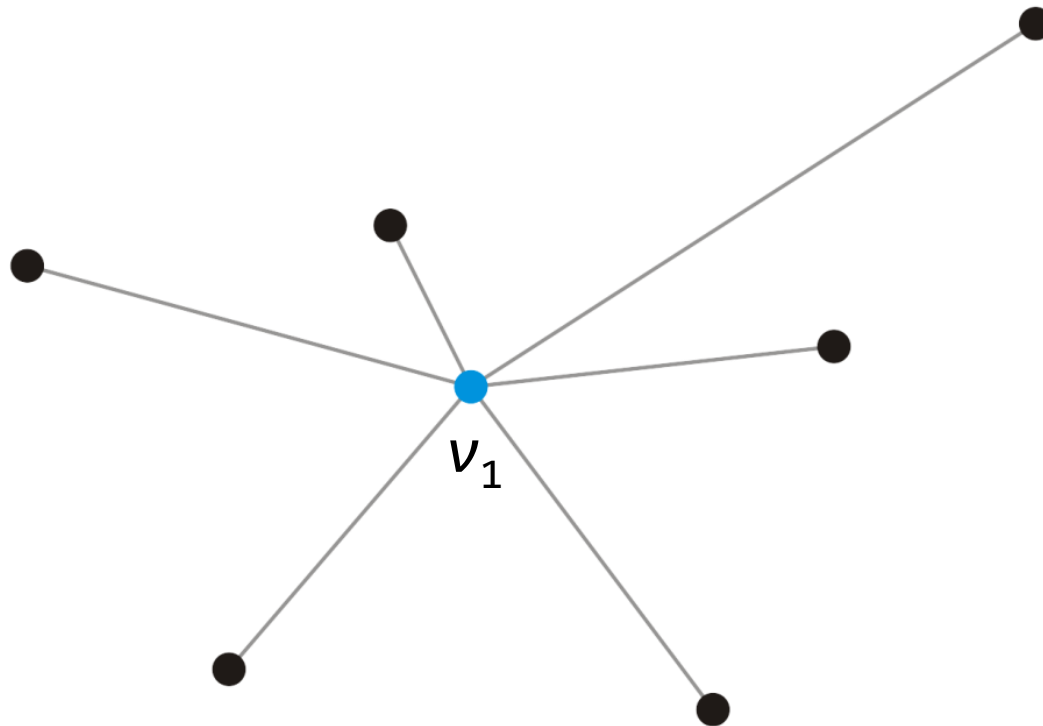
Algorithms For Obtaining MST

- Kruskal's Algorithm
- Prim's Algorithm
- Boruvka's Algorithm

Prim's Algorithm

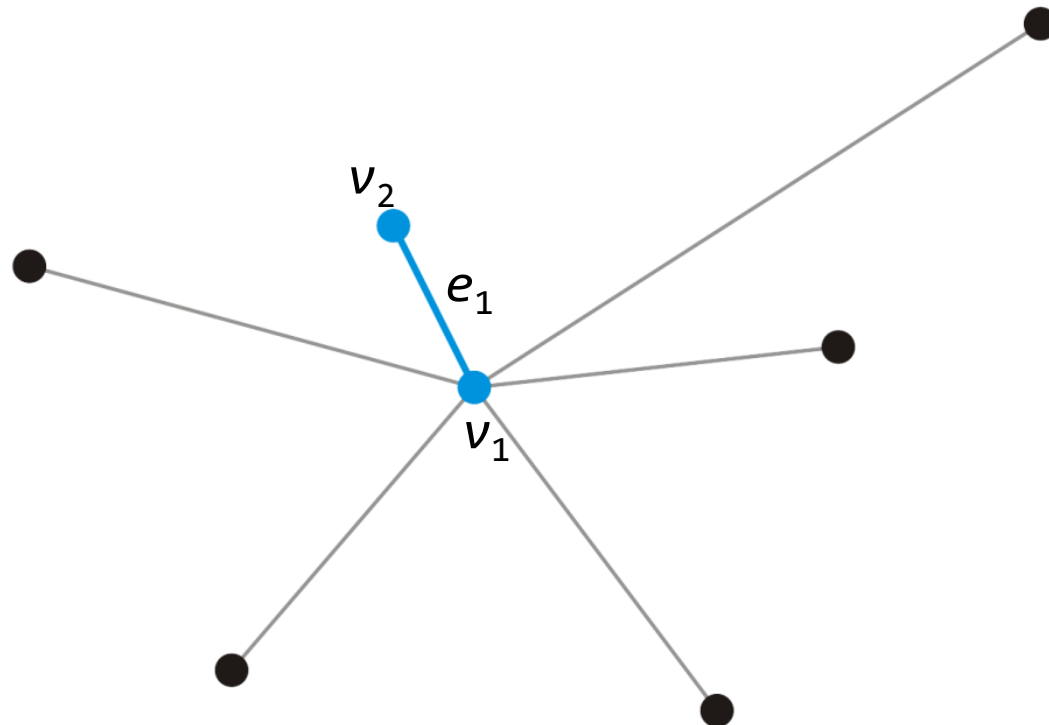
Idea

- Suppose we take a vertex v_1
 - It forms a minimum spanning tree on one vertex



Idea

- Add that adjacent vertex v_2 that has a connecting edge e_1 of minimum weight
 - This forms a minimum spanning tree on two vertices
 - e_1 must be in any minimum spanning tree containing the vertices v_1 and v_2



Prim's Algorithm

- Start with an arbitrary vertex to form a minimum spanning tree on one vertex
- At each step, add a vertex v not yet in the minimum spanning tree
 - Through an edge with least weight that connects v to the existing minimum spanning sub-tree
- Continue until we have $n - 1$ edges and n vertices

Prim's Algorithm – Pseudocode

```
MST-Prim(G, w, r) { // w is the weight matrix of edges, r is root
    Q = V[G]; // Insert graph vertices to a Priority Queue
    for each u ∈ Q // Set distance of all vertices as ∞
        key[u] = ∞;
    key[r] = 0; // Distance of root is set to 0
    p[r] = NULL; // Parent of root is NULL
    while (Q not empty) {
        u = ExtractMin(Q); // Get the vertex u with min key[u]
        for each v ∈ Adj[u] { // Adj is the adjacency list
            if (v ∈ Q and w(u,v) < key[v]) {
                p[v] = u;
                key[v] = w(u,v); // weight of an edge (u,v)
            }
        }
    }
}
```


Prim's Algorithm – Data Structure

- Associate with each vertex two items of data
 - The minimum distance to the partially constructed tree
 - For a given vertex v , $\text{key}[v]$ represent minimum distance
 - Pointer to the vertex that will form the parent node in resulting tree
 - For a given vertex v , $p[v]$ represent parent node
- Initialization
 - Set the distance of all vertices as ∞ , e.g., for all $u \in G$, $\text{key}[u] = \infty$
 - Set all vertices to being unvisited
 - Add vertices to the Queue
 - Select a root node and set its distance as 0, i.e., $\text{key}[r] = 0$
 - Set the parent pointer of root to NULL, i.e., $p[r] = \text{NULL}$

Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

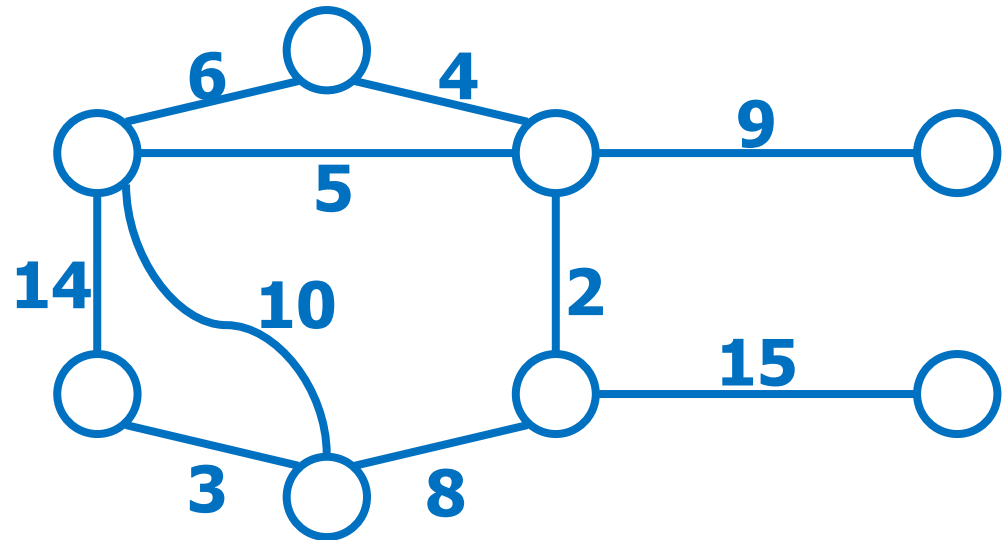
$u = \text{ExtractMin}(Q);$

for each $v \in \text{Adj}[u]$

if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Run on example graph

Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

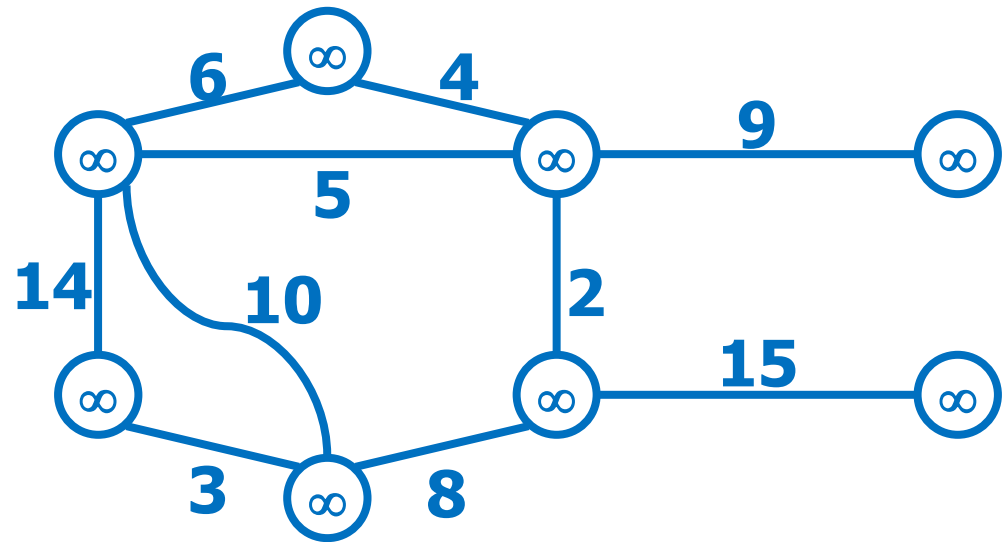
$u = \text{ExtractMin}(Q);$

for each $v \in \text{Adj}[u]$

if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Run on example graph

Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

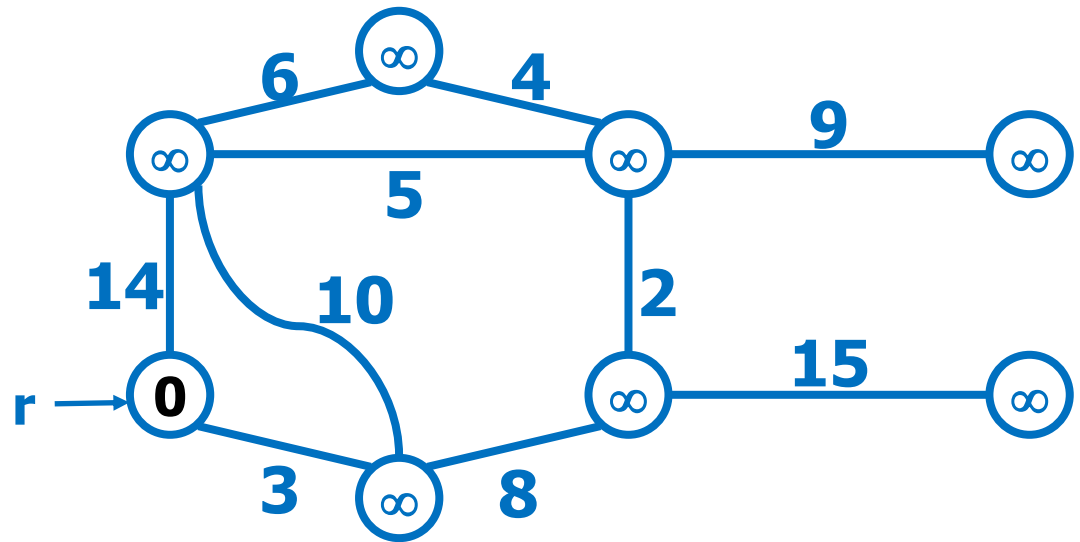
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

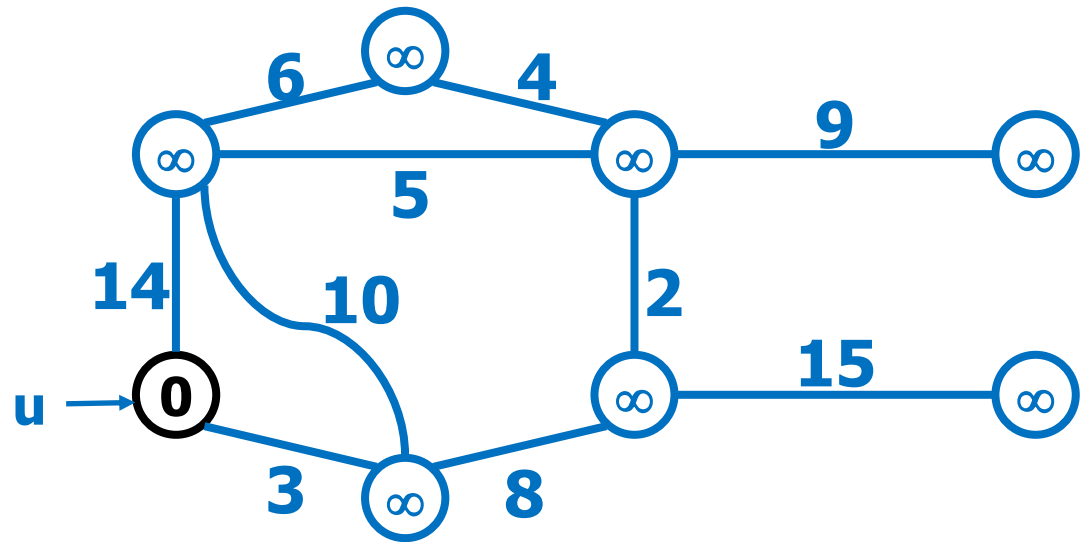
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Black vertices have been removed from Q

Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

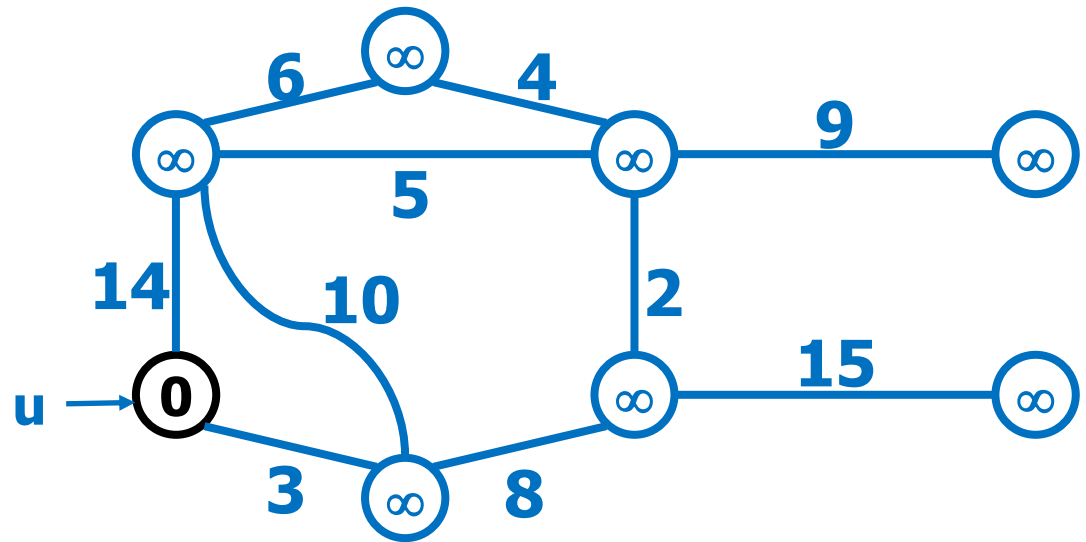
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

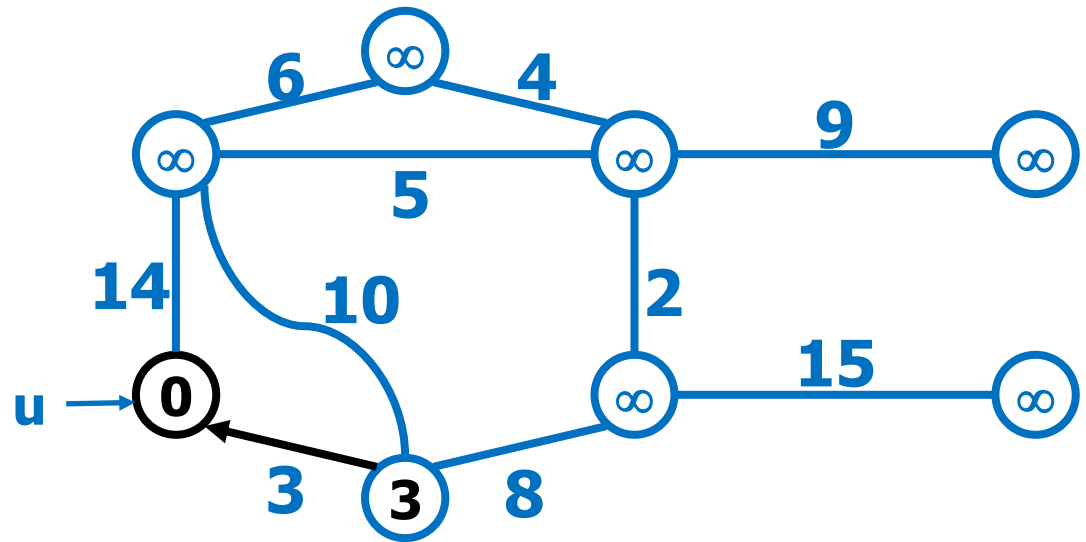
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Black arrows indicate parent pointers

Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

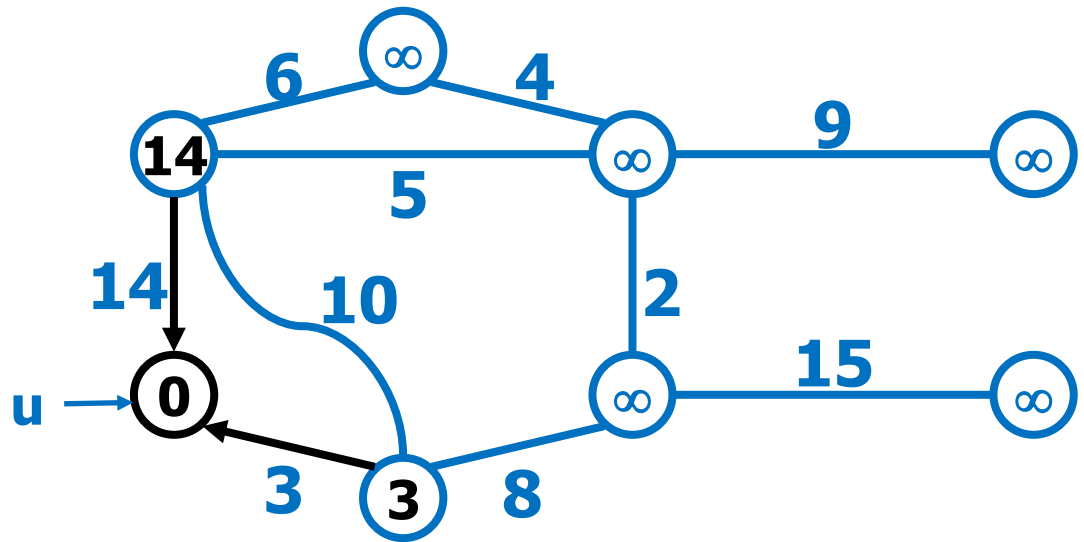
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

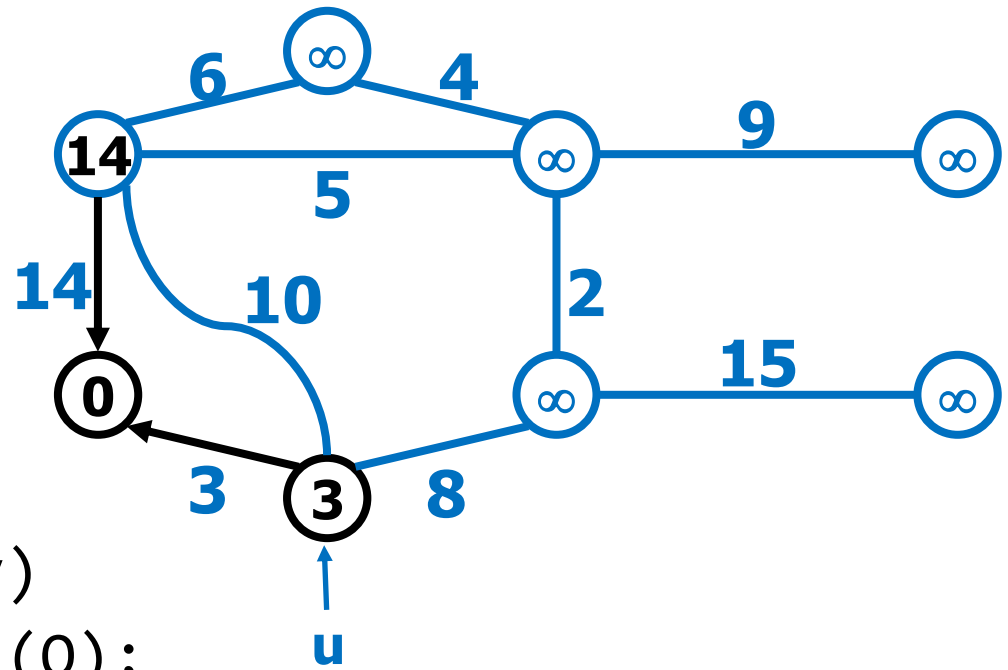
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)
$$Q = V[G];$$

for each $u \in Q$

```
key[u] = ∞;
```

```
key[r] = 0;
```

```
p[r] = NULL;
```

```
while (Q not empty)
```

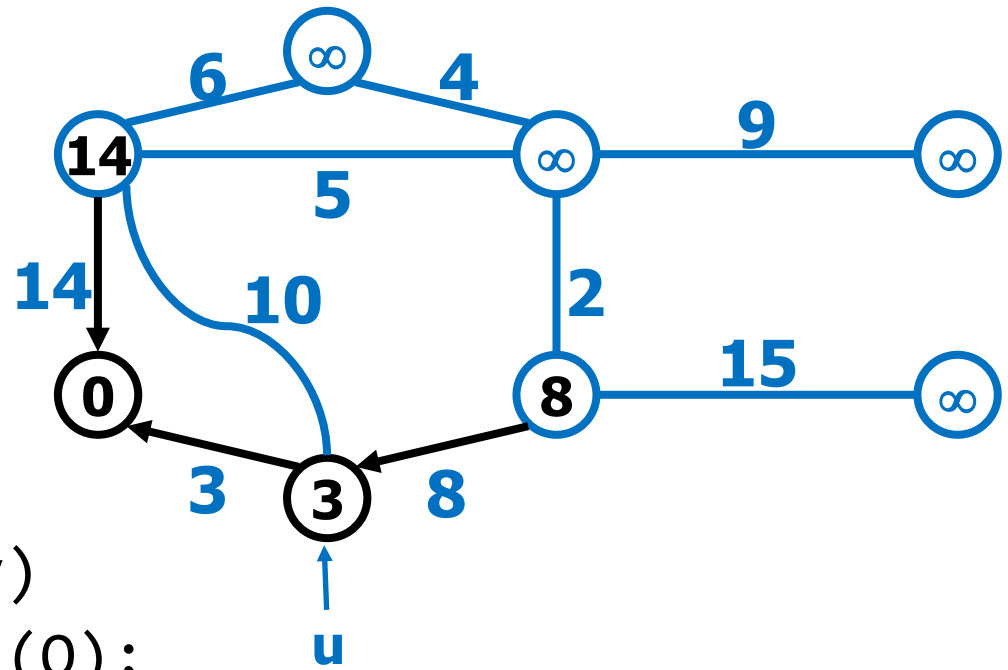
```
u = ExtractMin(Q);
```

for each $v \in \text{Adj}[u]$

```
if (v ∈ Q and w(u, v) < key[v])
```

$p[v] = u;$

```
key[v] = w(u,v);
```



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

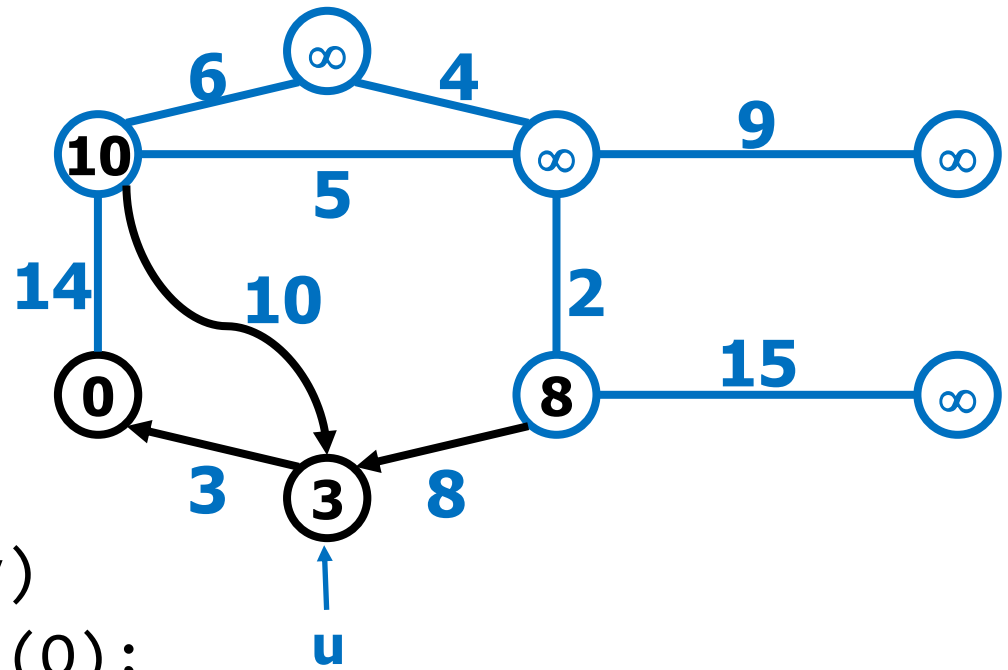
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

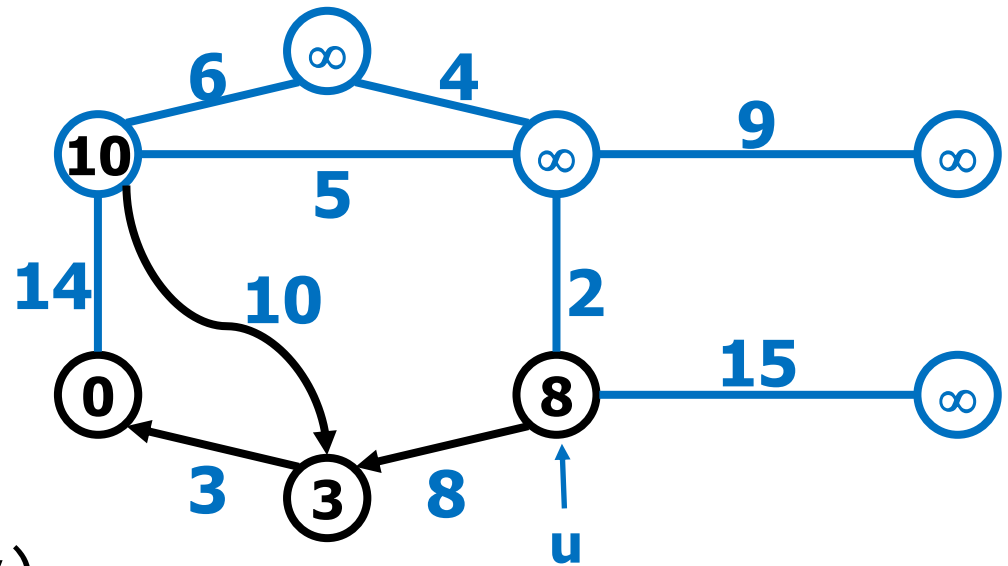
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

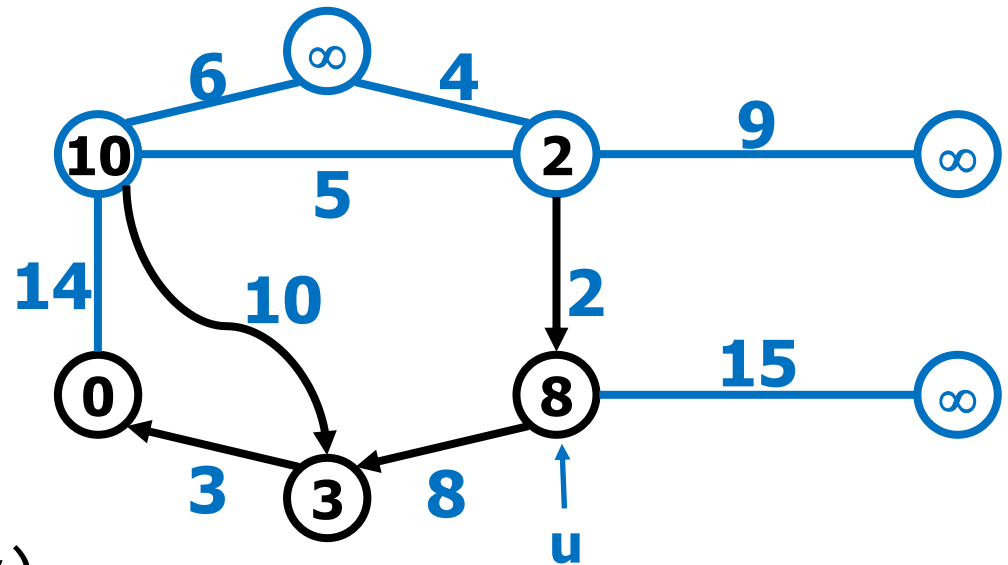
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

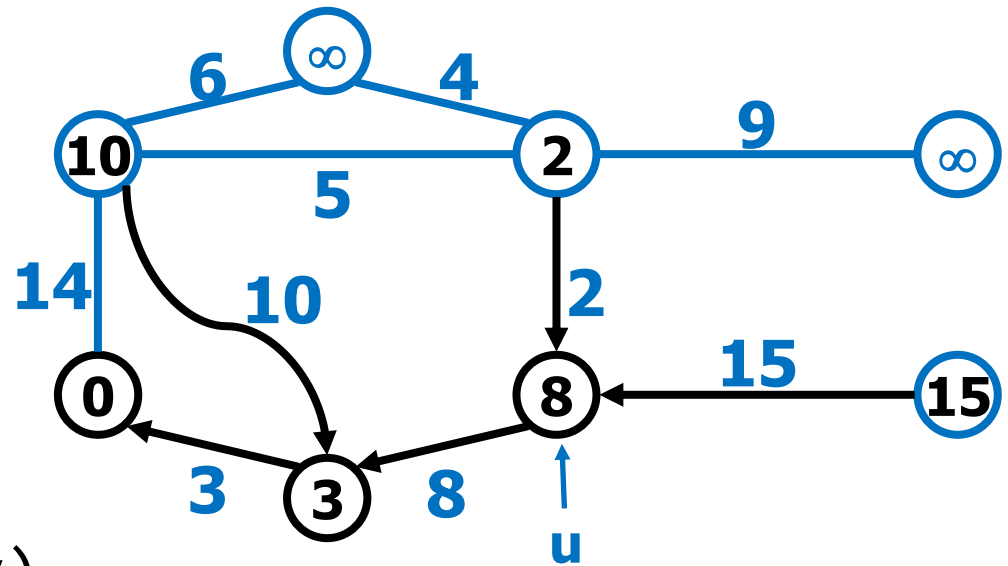
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

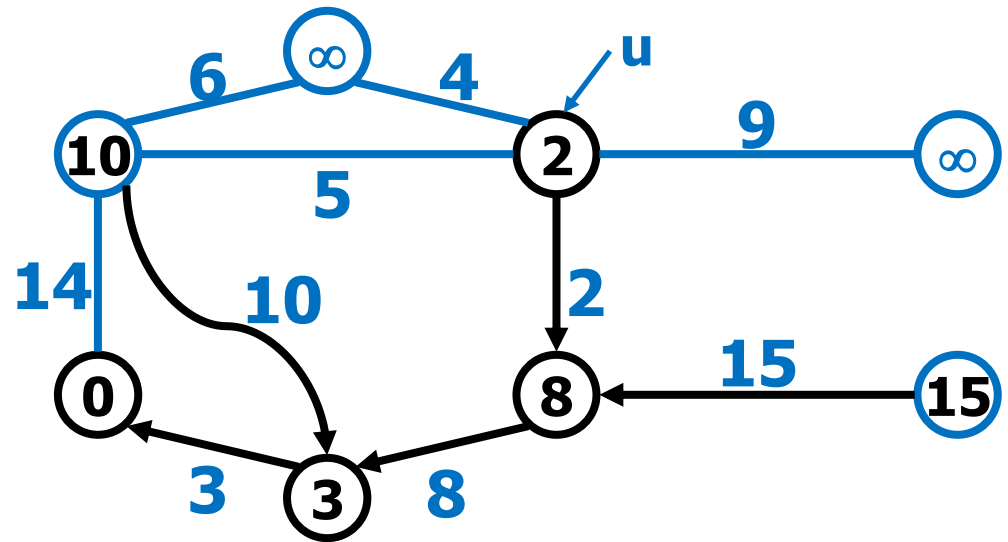
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

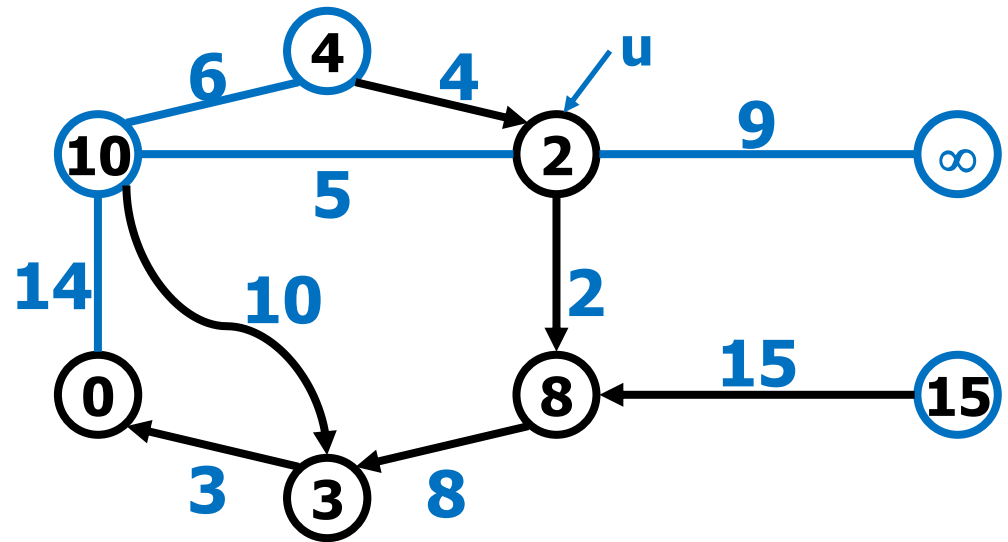
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

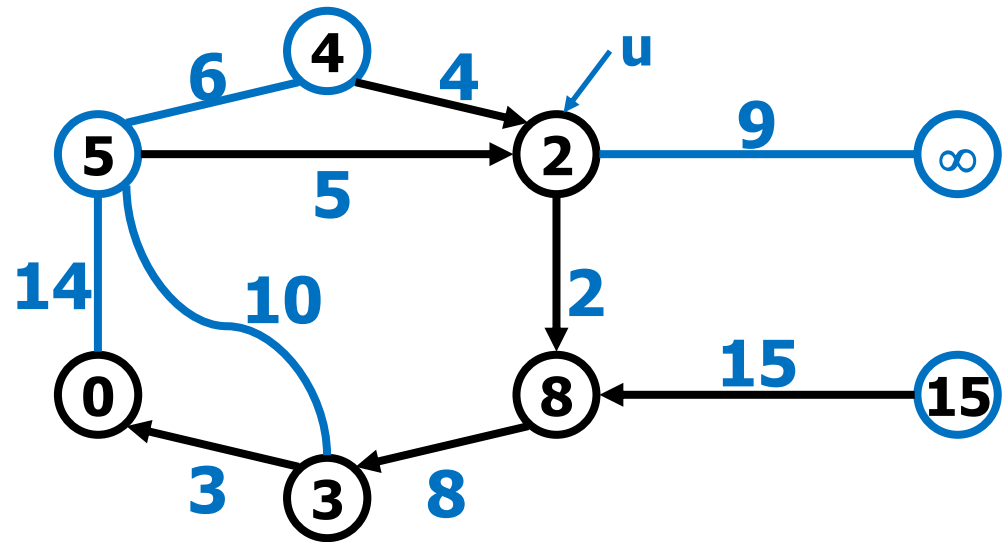
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

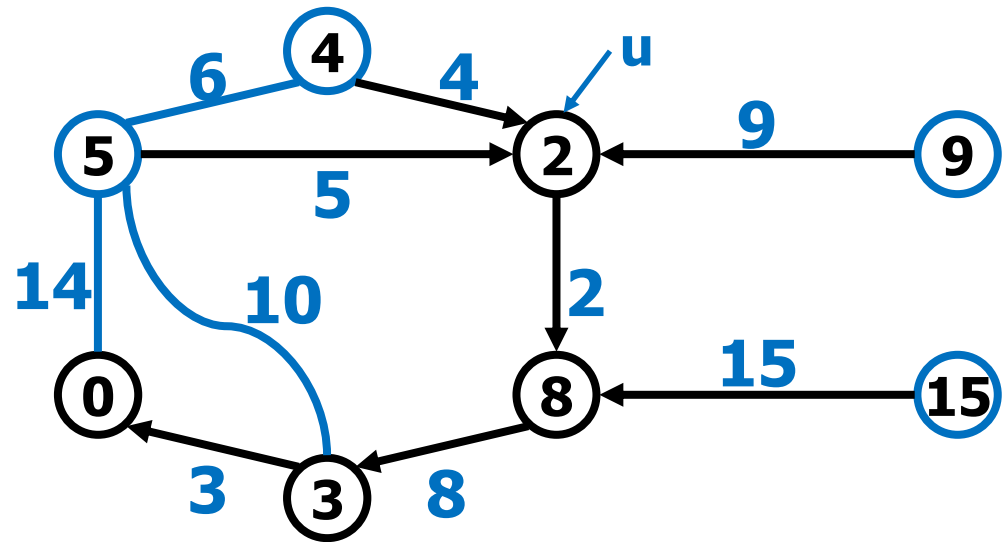
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

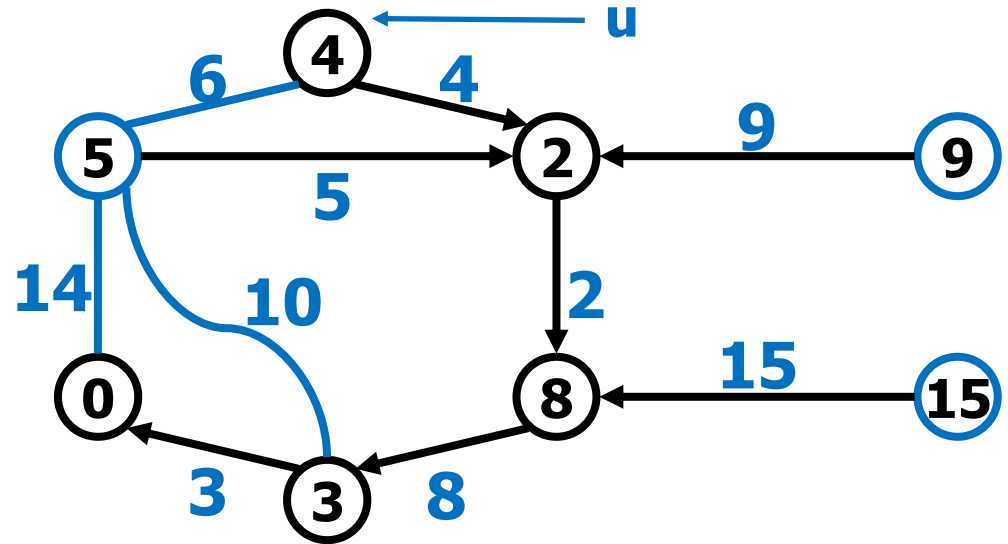
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

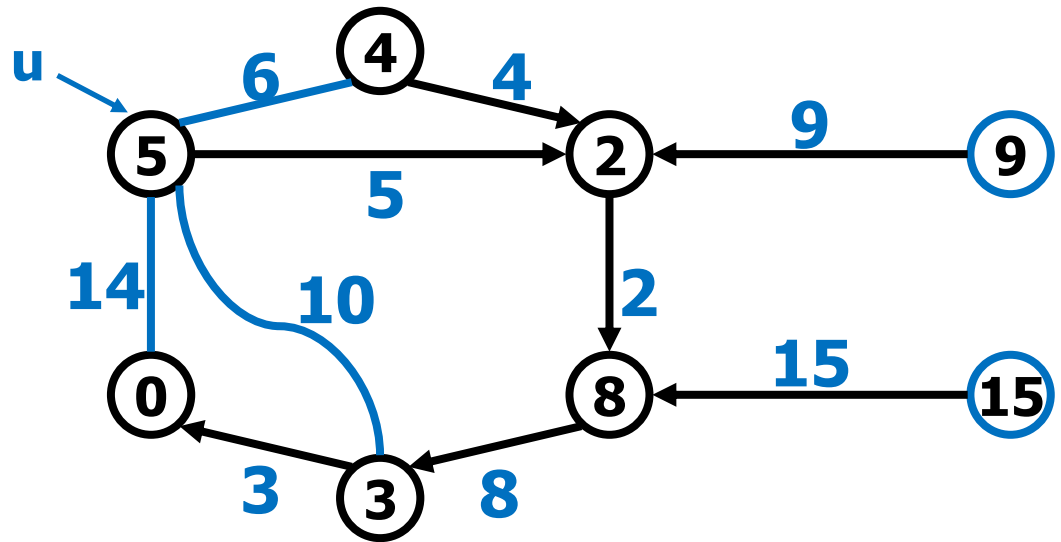
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

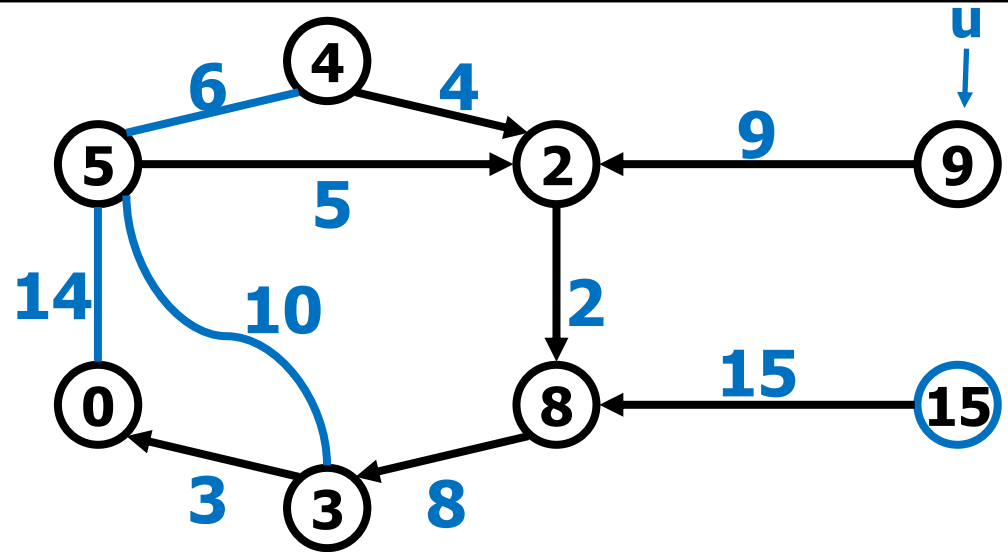
$u = \text{ExtractMin}(Q);$

 for each $v \in \text{Adj}[u]$

 if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

$\text{key}[v] = w(u, v);$



Prim's Algorithm – Example

MST-Prim(G, w, r)

$Q = V[G];$

for each $u \in Q$

$\text{key}[u] = \infty;$

$\text{key}[r] = 0;$

$p[r] = \text{NULL};$

while (Q not empty)

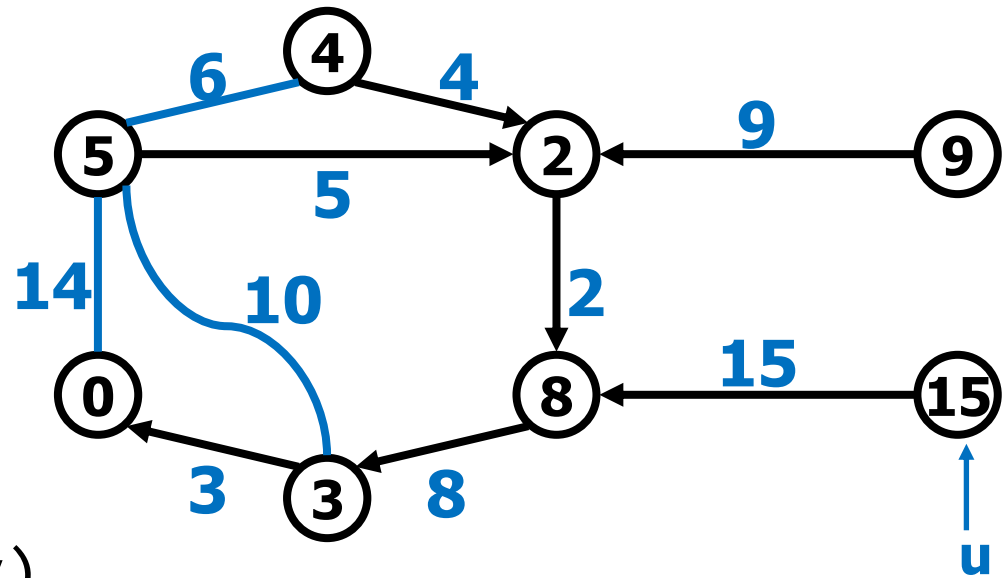
$u = \text{ExtractMin}(Q);$

for each $v \in \text{Adj}[u]$

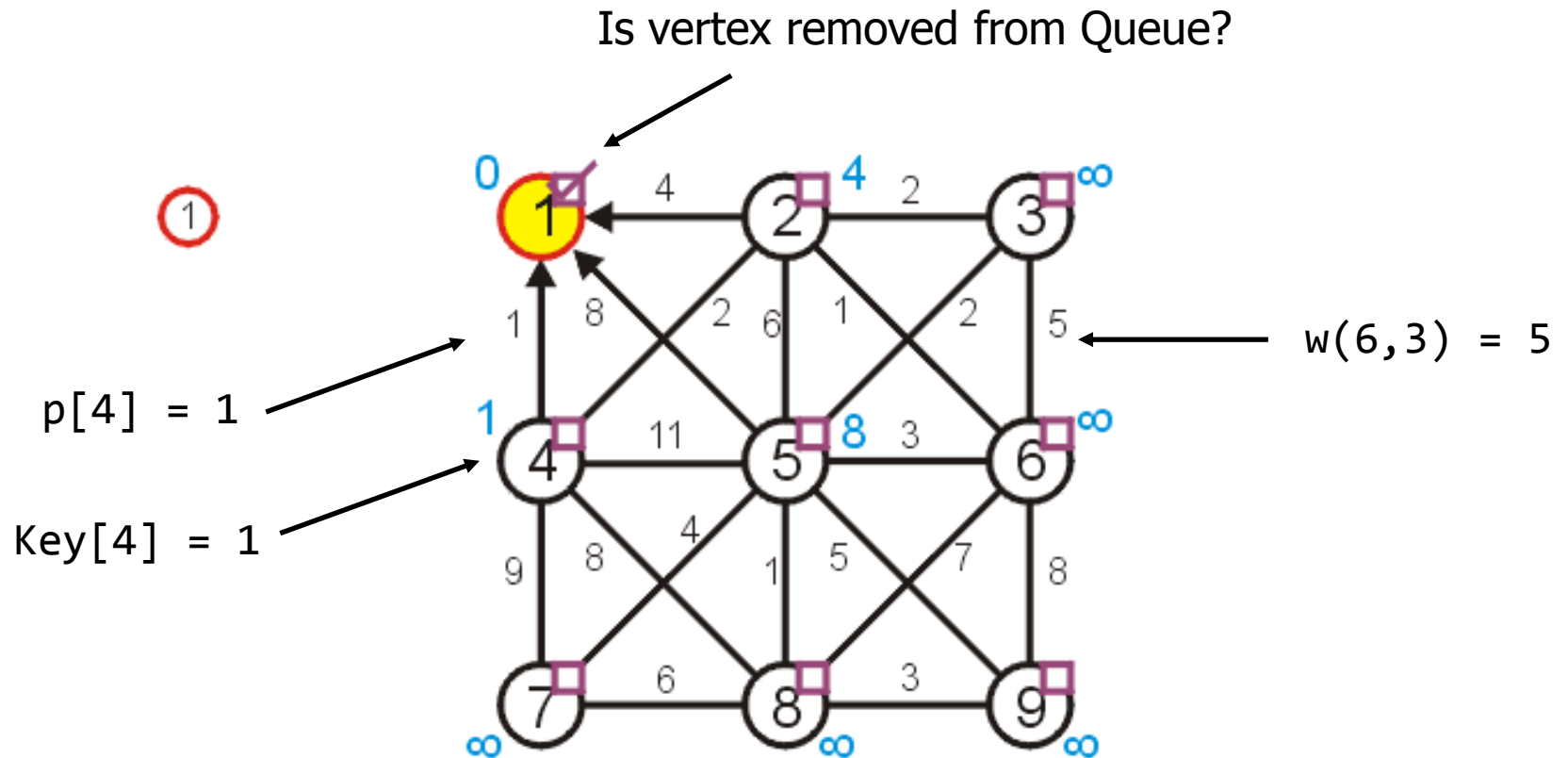
if ($v \in Q$ and $w(u, v) < \text{key}[v]$)

$p[v] = u;$

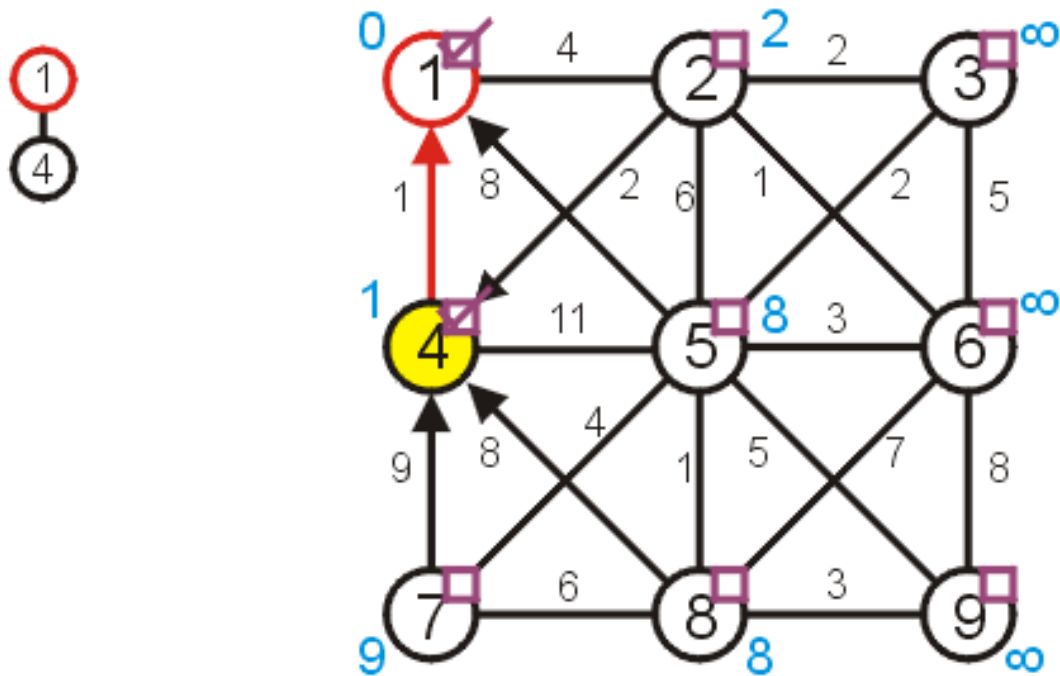
$\text{key}[v] = w(u, v);$



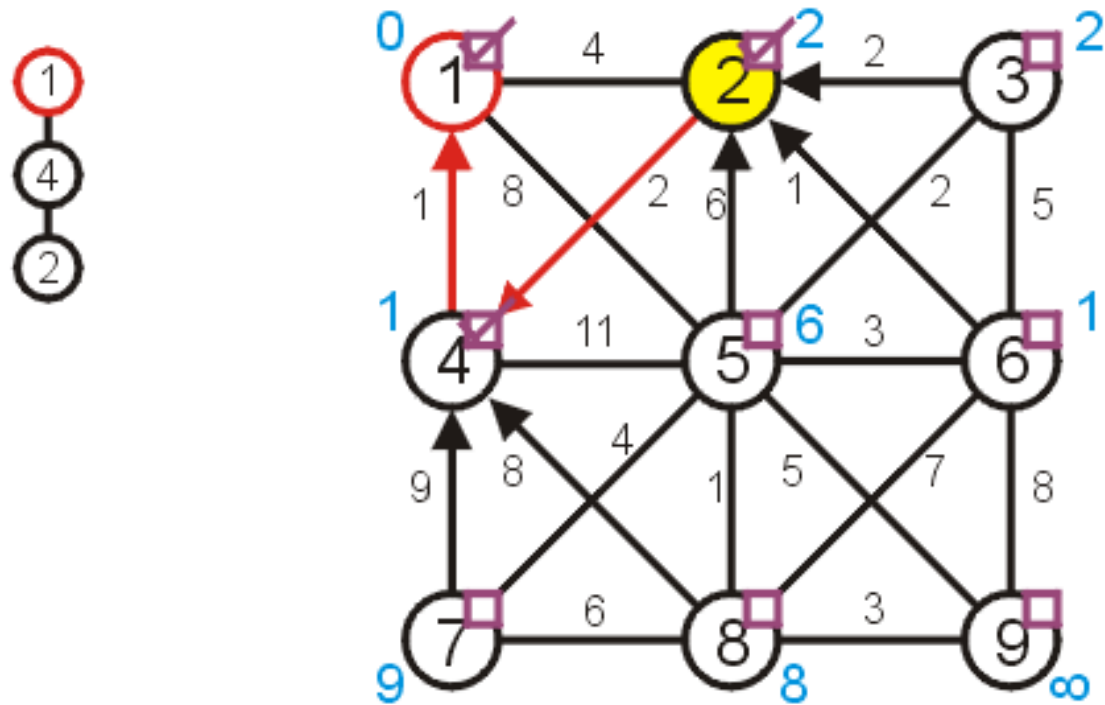
Prim's Algorithm – Example



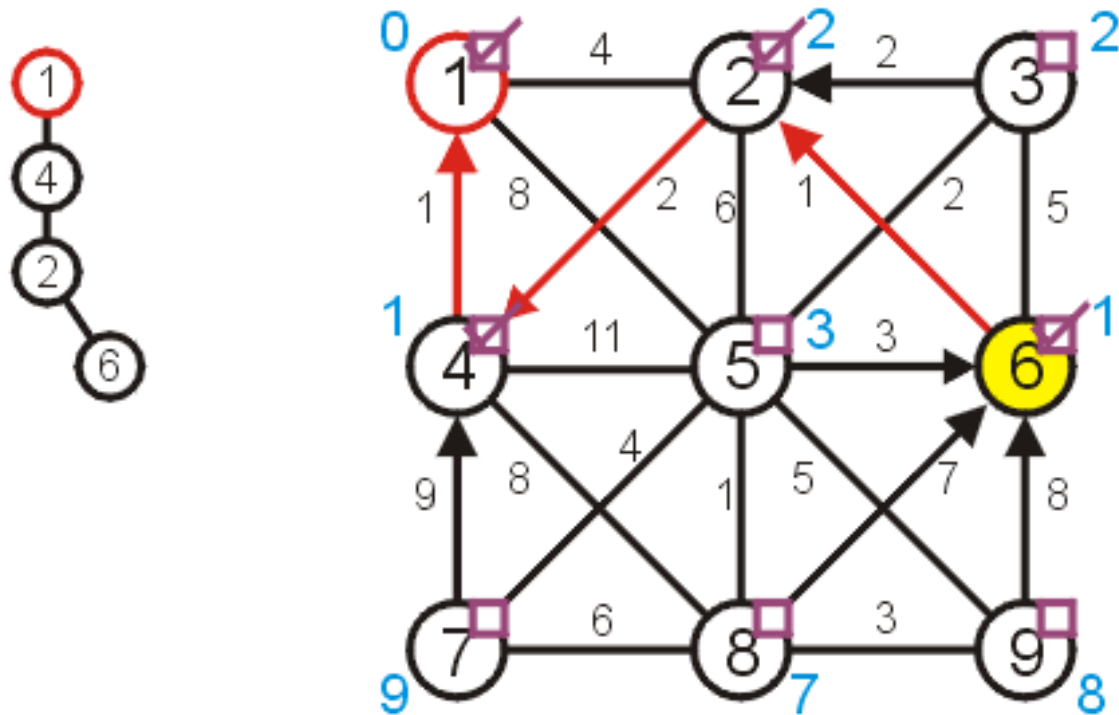
Prim's Algorithm – Example



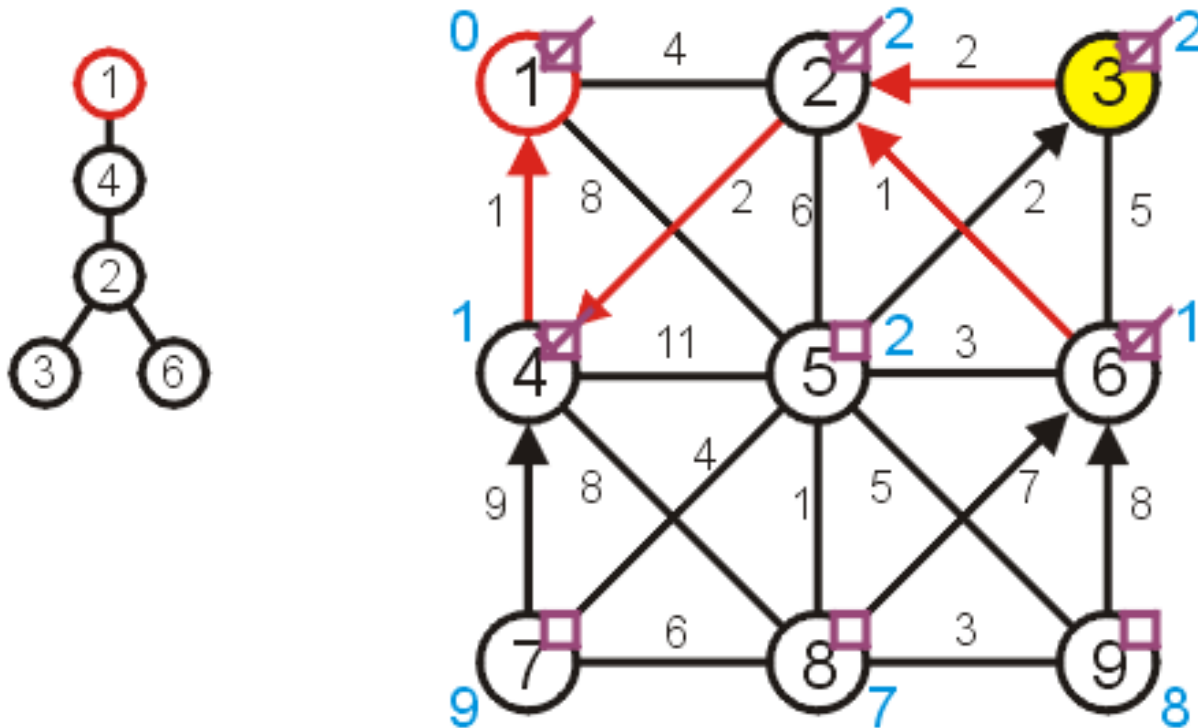
Prim's Algorithm – Example



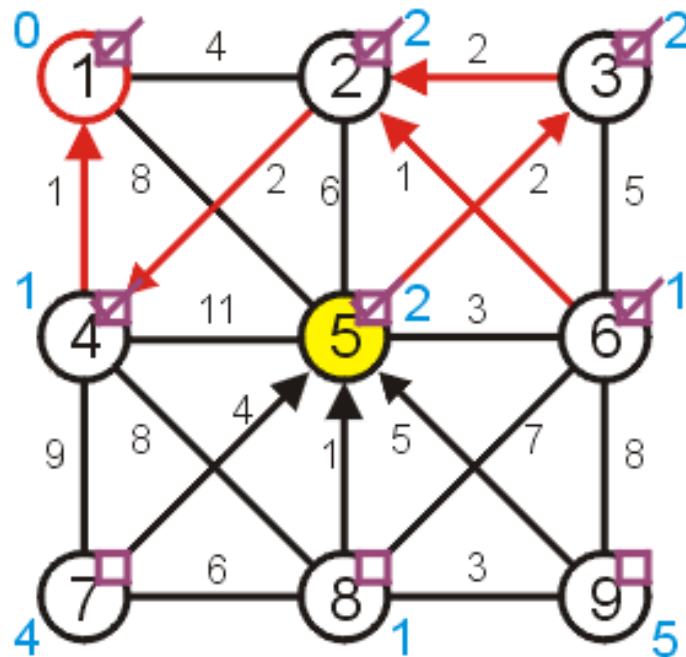
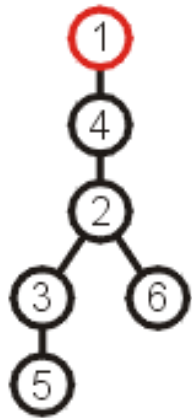
Prim's Algorithm – Example



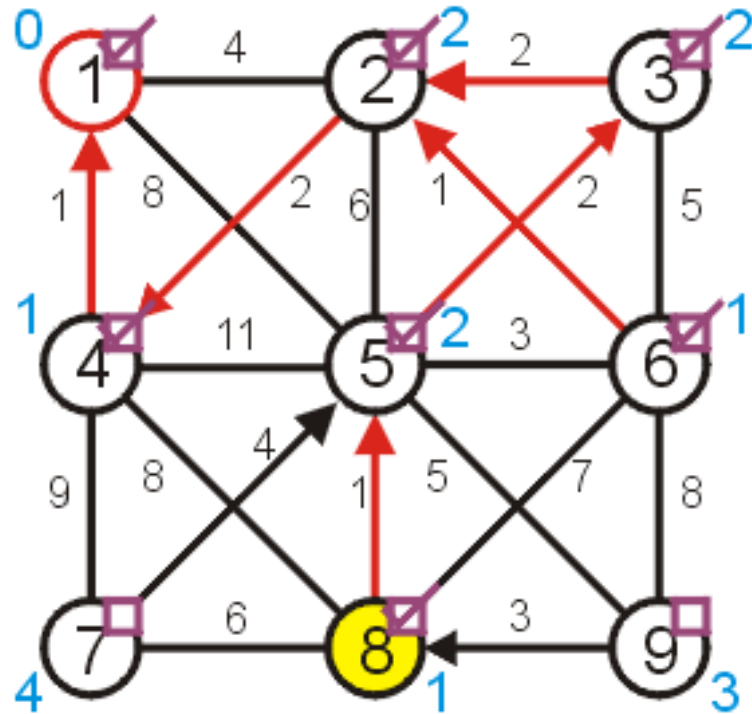
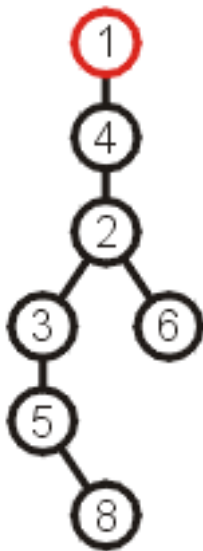
Prim's Algorithm – Example



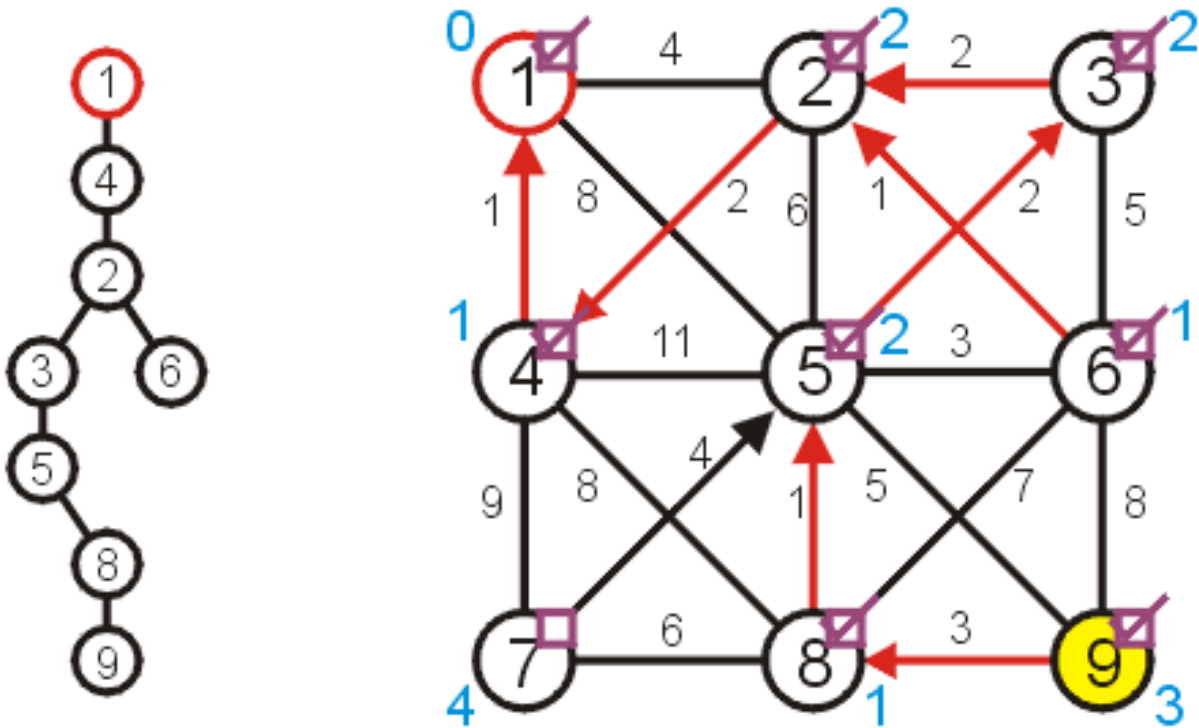
Prim's Algorithm – Example



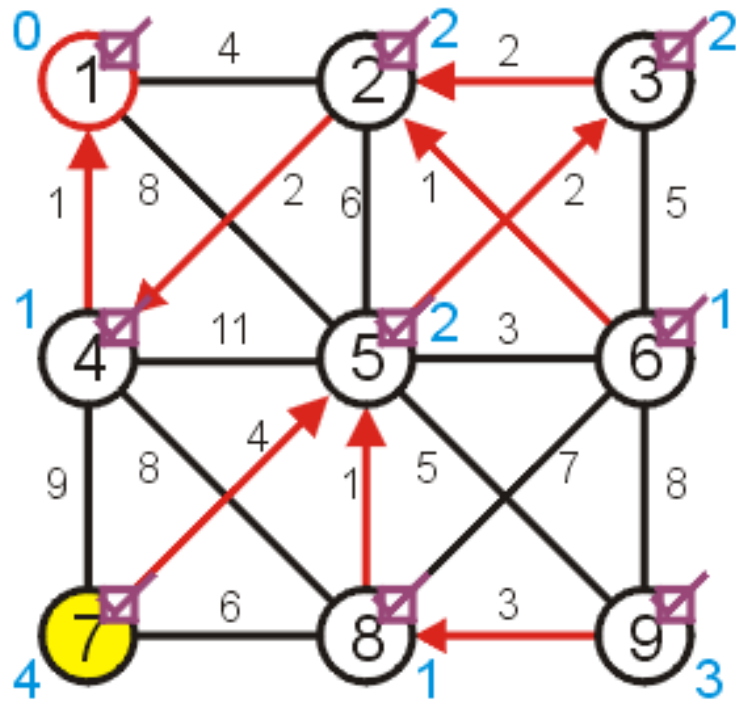
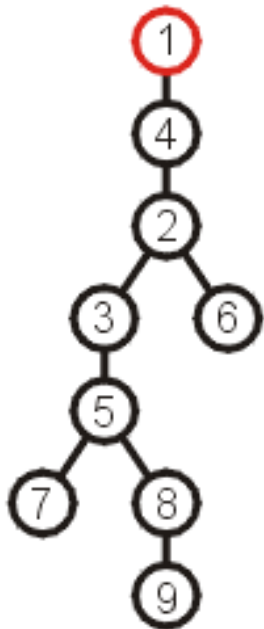
Prim's Algorithm – Example



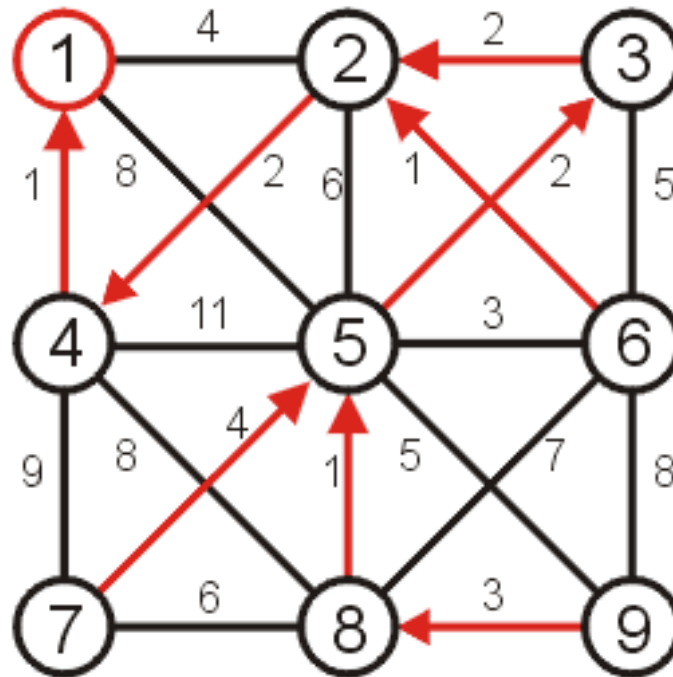
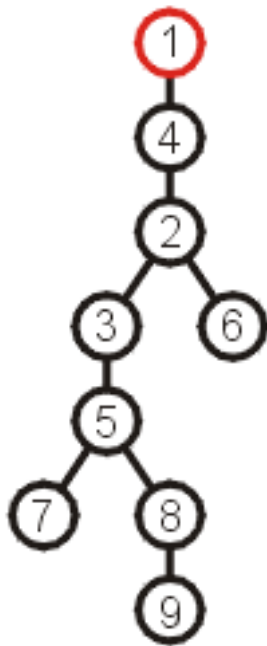
Prim's Algorithm – Example



Prim's Algorithm – Example



Prim's Algorithm – Example



Kruskal's Algorithm vs Prim's Algorithm

- In prim's algorithm, graph must be connected
- Kruskal's algorithm can function on disconnected graphs too
- Prim's algorithm is significantly faster for dense graphs with more number of edges than vertices
- Kruskal's algorithm runs faster in the case of sparse graphs

Any Question So Far?

