

# Applied Graph Theory

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# 1 Introduction

A *graph* is an ordered pair  $G = (V, E)$  where  $V$  is a nonempty set called the *vertex set*, whose elements are the *vertices* of  $G$ , and  $E$  is a set of unordered pairs of distinct vertices of  $G$ , whose elements are the *edges* of  $G$ . The *order* of  $G$  is its number of vertices and the *size* of  $G$  is its number of edges.

Two vertices  $u$  and  $v$  of  $G$  are *adjacent*, denoted by  $u \sim v$ , if  $(u, v) \in E(G)$  – we may also denote the edge  $(u, v)$  as simply  $uv$ . Note that  $uv = vu$ . If there is no edge between  $u$  and  $v$ , then they are *nonadjacent*, denoted by  $u \not\sim v$ . The vertex  $v$  and the edge  $uv$  are said to be *incident* with each other. The *degree* of a vertex  $v$  is the number of edges incident with it, or equivalently, the number of vertices adjacent with it, and is denoted by  $\deg(v)$ .

**Lemma 1.1** (Handshaking Lemma). *The sum of the degrees of all vertices of a graph is twice its size.*

*Proof.* Exercise. □

## 2 Cartesian Products

The *Cartesian product* of two graphs  $G$  and  $H$  is the graph denoted by  $G \times H$ , whose vertex set is  $V(G) \times V(H)$  (the Cartesian product of the vertex sets  $V(G)$  and  $V(H)$ , consisting of all ordered pairs  $(u, v)$  where  $u$  is a vertex of  $G$  and  $v$  is a vertex of  $H$ ), in which two vertices  $(u_1, v_1)$  and  $(u_2, v_2)$  are adjacent if and only if either  $u_1 = u_2$  and  $v_1 \sim v_2$  or  $u_1 \sim u_2$  and  $v_1 = v_2$ .

**Exercise 2.1.** Prove that if  $G$  is a graph of order  $p_1$  and size  $q_1$ , and  $H$  is a graph of order  $p_2$  and size  $q_2$ , then  $G \times H$  is a graph of order  $p_1 p_2$  and size  $p_1 q_2 + p_2 q_1$ .

### 3 Trees

A *tree* is a connected, acyclic graph. There are several well known characterisations or alternative definitions of trees. We take the given definition as the basic one and prove its equivalence to some others.

**Theorem 3.1.** *A graph  $T$  is a tree if and only if there is a unique path joining every two vertices of  $T$ .*

*Proof.* First, suppose that  $T$  is a tree, and let  $u$  and  $v$  be vertices of  $T$ . Since  $T$  is connected, there is a path, say  $P_1$ , joining  $u$  and  $v$ . Now we must show that this path is unique. Assume to the contrary that there exists another path  $P_2$  from  $u$  to  $v$ . When traversing  $P_1$  from  $u$  to  $v$ , let  $w$  be the first vertex that is present on  $P_1$  but not  $P_2$ . Let  $x$  be the vertex on  $P_1$  preceding  $w$ , and note that  $x$  is on  $P_2$  as well. Let  $y$  be the next vertex common to both  $P_1$  and  $P_2$  when traversing  $P_1$  from  $x$  to  $v$ . Then the portion of  $P_1$  from  $x$  to  $y$  together with the portion of  $P_2$  from  $y$  to  $x$  forms a cycle in the tree  $T$ , which is a contradiction. Thus,  $P_1$  is the unique path joining  $u$  and  $v$ .

Conversely, suppose that  $T$  is a graph in which there is a unique path joining any two vertices. Clearly,  $T$  is connected. To show that  $T$  is acyclic, suppose that  $v_1, v_2, \dots, v_n$  is a cycle in  $T$ . Then we get two different paths joining  $v_1$  and  $v_n$ , namely the path  $v_1, v_2, \dots, v_n$  and the path  $v_1, v_n$  (since  $v_1 \sim v_n$  in the cycle). This contradicts our assumption. Thus,  $T$  must be acyclic and hence is a tree.  $\square$

The next two results show that the size of a tree is always one less than its order, and that conversely, this property together with either connectedness or acyclicity implies that the graph is a tree.

**Theorem 3.2.** *A  $(p, q)$ -graph  $T$  is a tree if and only if it is connected and  $p = q + 1$ .*

*Proof.* Let  $T$  be a tree with  $p$  vertices and  $q$  edges. Then  $T$  is connected. We prove that  $p = q + 1$  by induction. This is clearly true when  $p = 1$ .

Assume it to be true for all trees of order less than  $p$ . Now in  $T$ , we know that every two vertices are joined by a unique path. Thus, if  $e$  is any edge of  $T$ , then the graph  $T - \{e\}$  obtained by deleting  $e$  has exactly two components, say  $T_1$  and  $T_2$ . Each one is a tree, since it is connected and acyclic. Let  $T_i$  have  $p_i$  vertices and  $q_i$  edges,  $i = 1, 2$ . Then by the hypothesis,  $p_i = q_i + 1$  (since  $p_i < p$ ). But  $p = p_1 + p_2$  and  $q = q_1 + q_2 + 1$  (since the size of  $T - \{e\}$  is one less than that of  $T$ ). Thus,  $p = q_1 + q_2 + 2 = q + 1$ .

For the converse, suppose that  $T$  is a connected  $(p, q)$ -graph with  $p = q + 1$ . We must show that it is acyclic. Suppose to the contrary that  $T$  has a cycle  $C$  with  $k$  vertices. Then  $C$  has  $k$  edges as well. Since  $T$  is connected, there is a path from every vertex not on  $C$  to some vertex of  $C$ . The shortest path from each vertex  $v$  not on  $C$  to a vertex on  $C$  has a unique edge incident with  $v$ , which is not part of  $C$ . Since there are  $p - k$  vertices in  $T$  not on  $C$ , there are  $p - k$  such edges. Thus  $q \geq (p - k) + k = p$ , which contradicts our assumption that  $p = q + 1$ . Thus,  $T$  must be acyclic.  $\square$

In the following theorem, the proof of the direct part is identical to that of Theorem 3.2, except for the assertion being about acyclicity rather than connectedness. The proof of the converse part is entirely different.

**Theorem 3.3.** *A  $(p, q)$ -graph  $T$  is a tree if and only if it is acyclic and  $p = q + 1$ .*

*Proof.* Let  $T$  be a tree with  $p$  vertices and  $q$  edges. Then  $T$  is acyclic. We prove that  $p = q + 1$  by induction. This is clearly true when  $p = 1$ . Assume it to be true for all trees of order less than  $p$ . Now in  $T$ , we know that every two vertices are joined by a unique path. Thus, if  $e$  is any edge of  $T$ , then the graph  $T - \{e\}$  obtained by deleting  $e$  has exactly two components, say  $T_1$  and  $T_2$ . Each one is a tree, since it is connected and acyclic. Let  $T_i$  have  $p_i$  vertices and  $q_i$  edges,  $i = 1, 2$ . Then by the hypothesis,  $p_i = q_i + 1$  (since  $p_i < p$ ). But  $p = p_1 + p_2$  and

$q = q_1 + q_2 + 1$  (since the size of  $T - \{e\}$  is one less than that of  $T$ ). Thus,  $p = q_1 + q_2 + 2 = q + 1$ .

Conversely, suppose that  $T$  is an acyclic  $(p, q)$ -graph with  $p = q + 1$ . To show that  $T$  is connected, we need to prove that it is connected – i.e., it has only one component. Let  $T$  have  $k$  components  $T_1, \dots, T_k$ . Each one is acyclic, and being connected, is a tree. Thus from the first part of the theorem, we know that if  $p_i$  and  $q_i$  are respectively the order and size of the component  $T_i$ ,  $p_i = q_i + 1$ . Now  $p = p_1 + \dots + p_k = (q_1 + 1) + \dots + (q_k + 1) = q + k$ . But we know that  $p = q + 1$ . Therefore,  $k = 1$ . Thus,  $T$  is a tree.  $\square$

**Exercise 3.1.** A *pendant vertex* of a graph is a vertex of degree 1. Prove that every non-trivial tree contains at least two pendant vertices.

**Hint:** Observe that a non-trivial tree cannot have a vertex of degree zero. Use Handshaking Lemma and assume every degree is at least 2 to get a contradiction.

**Exercise 3.2.** The *centre* of a graph  $G$  is the set of all vertices of  $G$  with minimum eccentricity – i.e., the set of all vertices  $v$  of  $G$  with  $\text{ecc } v = \text{rad } v$ . Show that every tree has a centre consisting of either exactly one vertex or exactly two adjacent vertices.

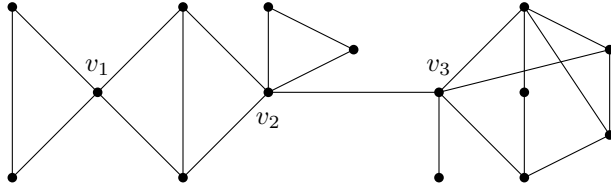
**Hint:** Observe that deleting all pendant vertices of a tree results in a new tree with the same centre.

**Exercise 3.3.** If  $G$  and  $H$  are two trees of orders  $n$  and  $m$  respectively, what is the size of  $G \times H$ ?

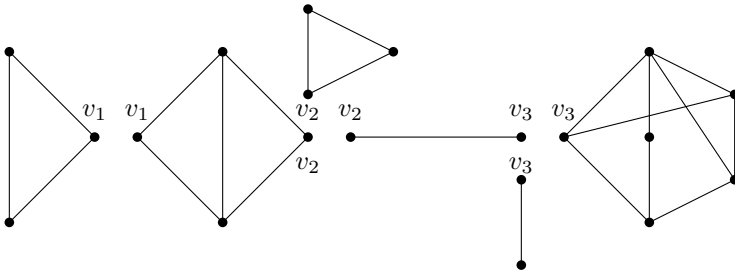
## 4 Blocks

A *cutvertex* of a graph is a vertex whose removal increases the number of components, i.e., a vertex  $v$  of  $G$  such that  $G - v$  has more components than  $G$ . If  $G$  is connected, we can equivalently say that  $v$  is a cutvertex if  $G - v$  is disconnected. Similarly, a *cutedge* or *bridge* of a graph whose removal increases the number of components. A *nonseparable* graph is a connected, non-trivial graph with no cutvertices. A maximal nonseparable subgraph of a graph is a *block* of the graph. A nonseparable graph is itself said to be a block as well.

**Example 4.1.** The graph shown below has 6 blocks and 3 cutvertices ( $v_1, v_2, v_3$ ).



The 6 blocks of this graph are shown below.



**Theorem 4.2.** If  $G$  is a connected graph, and  $v$  is any vertex of  $G$ , then the following are equivalent:

- (i)  $v$  is a cutvertex of  $G$ .
- (ii) There exist vertices  $u$  and  $w$  of  $G$ , distinct from  $v$ , such that every  $u$ - $w$  path passes through  $v$ .
- (iii) There exists a partition of  $V(G) - v$  into two non-empty subsets  $U$  and  $W$  such that for all  $u \in U$  and  $w \in W$ , every  $u$ - $w$  path passes through  $v$ .

*Proof.* (i)  $\implies$  (iii). Since  $v$  is a cutvertex, the graph  $G - v$  is disconnected, i.e., it has two or more components. Let  $U$  be all the vertices in any one of the components, and let  $W$  be all the remaining vertices of  $G - v$ . Clearly,  $\{U, W\}$  is a partition of  $V(G) - v$ . Now, if  $u \in U$  and  $w \in W$ , then  $u$  and  $w$  are in different components of  $G - v$ , which implies that any path from  $u$  to  $w$  must pass through  $v$ .

(iii)  $\implies$  (ii) is obvious as the latter is a special case of the former.

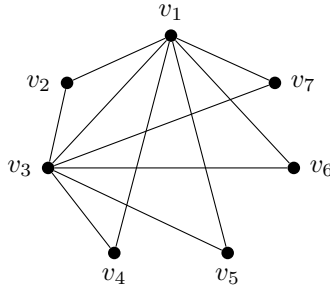
(ii)  $\implies$  (i). Consider the graph  $G - v$ . As every  $u-w$  path passes through  $v$ , none of them is present in  $G - v$ , and therefore  $G - v$  is disconnected. Hence,  $v$  is a cutvertex of  $G$ .  $\square$

## 5 Adjacency Matrices

The *adjacency matrix* of a graph  $G$  of order  $n$ , with vertex set  $V = \{v_1, \dots, v_n\}$ , is the  $n \times n$  matrix  $A = A(G)$  whose  $(i, j)$ -entry is

$$a_{ij} = \begin{cases} 1, & v_i \sim v_j \\ 0, & v_i \not\sim v_j. \end{cases}$$

**Example 5.1.** The adjacency matrix of the graph



is

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

Observe that, as the graphs we discuss are simple graphs and therefore have no self-loops on vertices, no vertex is adjacent to itself – i.e.,  $a_{ii} = 0$  for all  $i = 1, \dots, n$ . Also, since the graphs are undirected,  $v_i \sim v_j$  if and only if  $v_j \sim v_i$  – i.e.,  $a_{ij} = a_{ji}$ . Thus, we have the following observation.

**Observation 5.2.** *The adjacency matrix of a (simple, undirected) graph is a symmetric, zero-diagonal, 0-1 matrix.*

In the  $i^{\text{th}}$  row of the adjacency matrix, for each  $j$ , the  $j^{\text{th}}$  entry is 1 if  $v_j$  is adjacent to  $v_i$ , and 0 otherwise. That is, the number of 1s in the  $i^{\text{th}}$  row is the number of vertices adjacent to  $v_i$ , or in other words, the degree of  $v_i$ . Thus, the row sums of  $A$  are the vertex degrees. Observe that  $A\mathbb{1}$  is the vector of row sums, where  $\mathbb{1}$  is the vector (of suitable size) with all entries equal to 1. For instance, with the matrix  $A$  given in Example 5.1,

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 6 \\ 2 \\ 6 \\ 2 \\ 2 \\ 2 \\ 2 \end{bmatrix}.$$

From the Handshaking Lemma and the preceding observation, it follows that the sum of all entries of  $A$  is twice the number of edges of the graph.

**Exercise 5.1.** Show that the  $(i, j)$ -entry of  $A^2$  is the number of walks of length 2 from  $v_i$  to  $v_j$ . Hence show that  $\text{tr}(A^2) = 2|E(G)|$ .

**Hint:** Recall that if  $A$  is any  $n \times n$  matrix, then the  $(i, j)$ -entry of  $A^2$  is  $\sum_{k=1}^n a_{ik}a_{kj}$ . As  $A$  is a 0-1 matrix, each term in this summation is 1 or 0, with the former if and only if  $a_{ik} = a_{kj} = 1$ . What does this imply about the vertices  $v_i$ ,  $v_k$ , and  $v_j$ ? Then, as  $k$  varies from 1 to  $n$ , what does the value of the sum imply about  $v_i$  and  $v_j$ ?

The following result (which generalises the statement in Exercise 5.1) shows that the adjacency matrix can be used to obtain certain information about walks in the graph.



**Theorem 5.3.** *Let  $A$  be the adjacency matrix of a graph  $G$  with vertex set  $\{v_1, \dots, v_n\}$ . Then the  $(i, j)$ -entry of  $A^m$ , for any positive integer  $m$ , is the number of walks of length  $m$  from  $v_i$  to  $v_j$ .*

*Proof.* We prove the result by induction on  $m$ . For  $m = 1$ , the  $(i, j)$ -entry of  $A^1 = A$  is  $a_{ij}$ , which is 1 if and only if  $v_i$  is adjacent to  $v_j$ , i.e., if and only if there is a walk of length 1 (namely, an edge) from  $v_i$  to  $v_j$ . Thus, the result holds for  $m = 1$ .

Now suppose, for the sake of induction, that the result holds for some  $m \geq 1$ , and consider  $A^{m+1}$ . The  $(i, j)$ -entry of  $A^{m+1}$  is

$$(A^{m+1})_{ij} = \sum_{k=1}^n (A^m)_{ik} a_{kj}.$$

First, note that  $a_{kj} = 1$  if and only if  $v_k \sim v_j$ . Therefore, the above sum is equal to the sum of all  $(A^m)_{ik}$  where  $v_k \sim v_j$ . Now, by the induction hypothesis,  $(A^m)_{ik}$  is the number of walks of length  $m$  from  $v_i$  to  $v_k$ . If  $v_k$  is adjacent to  $v_j$ , then each walk of length  $m$  from  $v_i$  to  $v_k$ , together with the edge from  $v_k$  to  $v_j$ , forms a walk of length  $m + 1$  from  $v_i$  to  $v_j$ . Thus, for each  $k$  such that  $v_k \sim v_j$ ,  $(A^m)_{ik} a_{kj} = (A^m)_{ik}$  is the number of walks of length  $m + 1$  from  $v_i$  to  $v_j$  that pass through  $k$ . Summing over all  $k$ , this gives the total number of walks of length  $m + 1$  from  $v_i$  to  $v_j$ . Hence the result follows by induction.  $\square$