

Evaluation of session-based recommendation algorithms

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Abstract

Recommender systems help users find relevant items of interest, for example on e-commerce or media streaming sites. Most academic research is concerned with approaches that personalize the recommendations according to long-term user profiles. In many real-world applications, however, such long-term profiles often do not exist and recommendations therefore have to be made solely based on the observed behavior of a user during an ongoing session. Given the high practical relevance of the problem, an increased interest in this problem can be observed in recent years, leading to a number of proposals for session-based recommendation algorithms that typically aim to predict the user's immediate next actions. In this work, we present the results of an in-depth performance comparison of a number of such algorithms, using a variety of datasets and evaluation measures. Our comparison includes the most recent approaches based on recurrent neural networks like GRU4REC, factorized Markov model approaches such as FISM or FOSSIL, as well as simpler methods based, e.g., on nearest neighbor schemes. Our experiments reveal that algorithms of this latter class, despite their sometimes almost trivial nature, often perform equally well or significantly better than today's more complex approaches based on deep neural networks. Our results therefore suggest that there is substantial room for improvement regarding the development of more sophisticated session-based recommendation algorithms.

Keywords Session-based recommendation \cdot Sequential recommendation \cdot Deep learning \cdot Factorized Markov models \cdot Nearest neighbors

A preliminary comparison of sequential recommendation algorithms was presented in our own previous work in Jannach and Ludewig (2017), Kamehkhosh et al. (2017) and a pre-print version of this work is available at https://arxiv.org/abs/1803.09587.

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1 Introduction

Many of today's online services use recommender systems to point their users or site visitors to additional items that might be of interest to them. In academic research, the majority of works is focusing on techniques that rely on long-term preference models to determine the items to be presented to the user. However, in many application domains of recommender systems, such long-term user models are often not available for a larger fraction of the users, e.g., because they are first-time visitors or because they are not logged in. Consequently, suitable recommendations have to be determined based on other types of information, usually the user's most recent interactions with the site or application. Recommendation techniques that rely solely on the user's actions in an ongoing session and which adapt their recommendations to the user's actions are called *session-based* recommendation approaches (Quadrana et al. 2018).

Amazon's "Customers who bought... also bought" recommendations can be considered an extreme case of such a session-based approach. In this case, the recommendations are seemingly only dependent on the item that is currently viewed by the user (and the purchasing patterns of the community). A number of other techniques were proposed in the research literature, which do not limit themselves to the very last action, but consider some or all user actions since the session started. Some of these techniques only consider which events happened; others, in contrast, in addition take the sequence of events into account in their algorithms. Besides the e-commerce domain, a number of other application fields were in the focus in the literature, among them in particular music, web page navigation, or travel and tourism.

In academia, sequential recommendation problems are typically operationalized as the task of predicting the next user action. Experimental evaluations are usually based on larger, time-ordered logs of user actions, e.g., on the users' item viewing and purchase activities on an e-commerce shop or on their listening history on a music streaming site. From an algorithmic perspective, early approaches to predict the next user actions were based, for example, on sequential pattern mining techniques. Later on, different types of more sophisticated methods based on Markov models were proposed and successfully applied to the problem. Finally, in the most recent years, the use of deep learning approaches based on artificial neural networks was explored as another solution. Recurrent Neural Networks (RNN), which are capable of learning models from sequentially ordered data, are a "natural choice" for this problem, and significant advances regarding the prediction accuracy of such algorithms were reported in the recent literature (Hidasi et al. 2016a, b; Tan et al. 2016; Hidasi and Karatzoglou 2017; Devooght and Bersini 2017).

Despite the growing number of papers on the topic in recent years, no true "standard" benchmark data sets or evaluation protocols exist in the community. Therefore, it remains difficult to compare the various algorithmic proposals, in particular as often different baseline algorithms are used in the papers. And, for some of them it is also unclear if they are particularly strong. In our previous work (Jannach and Ludewig 2017; Kamehkhosh et al. 2017), we could, for example, demonstrate that a comparably simple k-nearest-neighbor method leads to similar or even better accuracy results than a modern deep learning approach.



To establish a common base for future research, we performed an in-depth performance comparison across multiple domains and datasets, which involved a number of comparably simple as well as more sophisticated algorithms from the recent literature. Our results show that computationally and conceptually simple methods often lead to predictions that are similarly accurate or even better than those of today's most recent techniques based on deep learning models. As a consequence, we argue that researchers should take these simpler methods as alternative baselines into account when developing novel session-based recommendation algorithms. Furthermore, our results suggest that there is still substantial room for improvement regarding the development of more sophisticated session-based recommendation algorithms.

This paper extends our previous works presented in Jannach and Ludewig (2017), and Kamehkhosh et al. (2017) in a number of ways. First, we made experiments for a larger number of datasets from different domains, using a richer set of performance measures. Second, we included recent *sequential* recommendation algorithms like FISM and FOSSIL (Kabbur et al. 2013; He and McAuley 2016) in the evaluation as well as the latest version of GRU4REC (Hidasi et al. 2016a). Third, we designed a number of additional sequence-aware similarity measures for the previously proposed session-based nearest neighbor method, which in most cases lead to significant performance gains. Finally, we also propose a new method called *Session-based Matrix Factorization* (*SFM*), which yields good results in some of the tested application domains.

The paper is organized as follows. Next, in Sect. 2, we discuss previous works and typical application areas of session-based recommendation approaches. In Sect. 3, we provide technical details about the algorithms that were compared in our work. Section 4 describes our evaluation setup and Sect. 5 the outcomes of our experiments. To foster reproducible research on the topic, we share the code of the used evaluation framework and the compared algorithms online. ¹

2 Review of session-based recommendation approaches

Most of the approaches for session-based recommendation proposed in the literature implement some form of *sequence learning*, see also Quadrana et al. (2018) for a recent survey on the more general class of sequence-aware recommenders. Early approaches were based on the identification of *frequent sequential patterns*, which can be used at recommendation time to predict a user's next action. These early approaches were applied, for example, in the context of predicting the online navigation behavior of users (Mobasher et al. 2002). Later on, such pattern mining techniques were also used for next-item recommendation problems in e-commerce or the music domain (Yap et al. 2012; Hariri et al. 2012; Bonnin and Jannach 2014).

While frequent pattern techniques are easy to implement and lead to interpretable models, the mining process can be computationally demanding. At the same time, finding good algorithm parameters, in particular a suitable minimum support threshold, can be challenging. Finally, in some application domains it seems that using frequent

https://www.dropbox.com/sh/dbzmtq4zhzbj5o9/AACldzQWbw-igKjcPTBI6ZPAa?dl=0.



item sequences does not lead to better recommendations than when using simpler item co-occurrence patterns (Bonnin and Jannach 2014). In the context of this work, we investigate both sequential and co-occurrence patterns in their simplest forms as baselines.

In many newer works, more sophisticated sequence learning approaches were proposed that implement some form of *sequence modeling*. Such sequence modeling approaches are usually based on Markov Chain (MC) models (He et al. 2009; Mcfee and Lanckriet 2011; Garcin et al. 2013; Hosseinzadeh Aghdam et al. 2015), reinforcement learning (RL) and Markov Decision Processes (MDP) (Shani et al. 2005; Moling et al. 2012; Tavakol and Brefeld 2014), or Recurrent Neural Networks (RNN) (Zhang et al. 2014; Sordoni et al. 2015; Hidasi et al. 2016a, b; Liu et al. 2016; Song et al. 2016; Twardowski 2016; Yu et al. 2016; Du et al. 2016; Soh et al. 2017). Again, the typical application scenarios of these methods include the e-commerce and the music recommendation domain.

An early approach based on an MDP model was proposed by Shani et al. (2005). It demonstrated the value of using sequential data in an e-commerce scenario, but also showed that models based on Markov Chains often cannot be directly applied due to data sparsity. Therefore, Shani et al. (2005) proposed different heuristics to overcome the problem. An additional challenge when using this type of models is to decide how many preceding interactions should be considered when predicting the next one. Some authors therefore use a mixture of Variable-order Markov Models (VMMs) or context-trees to consider sequences of different lengths (He et al. 2009; Garcin et al. 2013). Other works, for example by Hosseinzadeh Aghdam et al. (2015), rely on Hidden Markov Models (HMMs) to overcome certain limitations of plain Markov Chain models. In Shani et al. (2005), and Moling et al. (2012), reinforcement learning was implemented based on MDPs, which made it possible to also consider the reward for the shop in the recommendation process. To deal with the problem of the explosion of the state space in such scenarios, Tavakol and Brefeld (2014) proposed to model the state space based on the sequence of item attributes in order to predict the characteristics of the next item that the user will consider. In the context of the comparative analysis presented in this paper, we limit ourselves to a simple MC-based method as a baseline, in particular because some techniques like the one discussed by Tavakol and Brefeld (2014) require the existence of knowledge about certain item attributes.

The most recent works on sequence modeling are based on RNNs. Zhang et al. (2014), for example, used them for the prediction of user clicks in an advertisement scenario. Hidasi et al. (2016a) were among the first to explore Gated Recurrent Units (GRUs) as a special form of RNNs for the prediction of the next user action in a session. Their method called GRU4REC was later on extended in different ways by Hidasi et al. (2016b), Hidasi and Karatzoglou (2017), and Quadrana et al. (2017). While Hidasi et al. (2016a) reported substantial performance improvements over an *item-based* k-nearest-neighbor (kNN) method when using their first version of GRU4REC, our previous work (Jannach and Ludewig 2017) showed that a *session-based* nearest neighbor method also leads to competitive accuracy results for the same problem setting. Since GRU4REC was substantially improved since its initial version, we include the latest version of the method proposed by Hidasi and Karatzoglou (2017) in the performance comparison reported in this paper. Furthermore, given our observations regarding the



often competitive performance of conceptually simpler methods we designed a number of variations of the basic session-based nearest neighborhood method from Jannach and Ludewig (2017), which we also considered in the experiments.

Another family of sequence modeling approaches relies on distributed item representations, e.g., in the form of latent Markov embeddings (Chen et al. 2012, 2013; Wu et al. 2013; Feng et al. 2015) or distributional embeddings (Djuric et al. 2014; Baeza-Yates et al. 2015; Grbovic et al. 2015; Tagami et al. 2015; Vasile et al. 2016; Reddy et al. 2016; Zheleva et al. 2010). Embeddings are dense, lower-dimensional representations that are derived from sequentially ordered data and encode transition probabilities based on the observations in the original data. They were applied, for example, in the domains of next-track music recommendation (Zheleva et al. 2010; Chen et al. 2012), recommendation of learning courses (Reddy et al. 2016), or next point-of-interest (POI) recommendation (Feng et al. 2015). However, a general challenge when using item embeddings is that they can be computationally demanding and sometimes require substantial amounts of training data to be effective. In the context of our work, we experimented with item embeddings as an alternative representation of the user sessions. However, the usage of embeddings did not lead to an improvement in terms of the prediction accuracy for our problem settings, which is why we do not report the detailed outcomes of these experiments in this paper.

To overcome the limitations of pure sequence learning methods, a number of hybrid methods were proposed that, for instance, combine the advantages of matrix factorization techniques with sequence modeling approaches in the form of Factorized Markov Chains (Rendle et al. 2010; Lian et al. 2013; Cheng et al. 2013; He et al. 2016; He and McAuley 2016). Rendle et al. (2010) proposed the Factorized Personalized Markov Chain (FPMC) approach as an early method for next-item recommendations in e-commerce settings, where user interactions are represented as a three-dimensional tensor (user, current item, next-item). Later on, variations of FPMC were proposed and successfully applied for a variety of application problems, e.g., by Kabbur et al. (2013) and He and McAuley (2016). Other hybrid techniques that, for example, use some form of clustering or Latent Dirichlet Allocation in combination with a sequential recommendation method were proposed, e.g., in Hariri et al. (2012), Natarajan et al. (2013), and Song et al. (2015), for the problems of next-track or next-app recommendation. In our experimental evaluation, we include both the FPMC method by Rendle et al. (2010) as well as the recent variations and improvements described by Kabbur et al. (2013) (FISM) and He and McAuley (2016) (FOSSIL).

Besides pure *session-based* techniques, which solely consider a user's action of the ongoing session, there are also approaches that consider previous interactions of the same user in the recommendation process. Such techniques are called *session-aware* according to the terminology of Quadrana et al. (2018). Examples of such works include Baeza-Yates et al. (2015), Billsus et al. (2000), Hariri et al. (2012), Jannach et al. (2015a, 2017a), Quadrana et al. (2017), and session-aware approaches were applied for various application domains like e-commerce, music, news, or next-app recommendation. Considering longer-term user preferences in these papers shows to be helpful to improve the recommendations in the current, ongoing session. In some cases, like in Jannach et al. (2015a), it however turns out that the short-term user intents are much more important than the longer-term models. In the research



presented herein, we therefore exclusively focus on session-based recommendation scenarios. We however consider the combination of long-term and short-term models as an important area for future research.

3 Details of the investigated methods

Based on these discussion, we include the following four types of techniques in our comparison of session-based recommendation algorithms: simple heuristics as baseline methods, nearest-neighbor techniques, recurrent neural networks, and factorization-based methods. The main input to all methods is a training set of past user sessions, where each session consists of a set of sequentially ordered actions of a given type, e.g., an item view event in an online shop or a consumption event on a media streaming site. The models learned by the algorithms can then be used to predict the next event in a given user session in the test set. In our evaluations, we follow a pragmatic approach to determine user sessions—in case these are not provided in the datasets—and use user inactivity times to determine session borders. The details for each dataset are described later in this paper.

Regarding the choice of the algorithms, we focus on collaborative filtering methods based on implicit feedback signals, e.g., item view or music listening events. Depending on the specific application, content-based and hybrid algorithms can be designed that use additional meta-data or content features. Since these features are domain specific and such features are only available for very few of our datasets, we limit ourselves to methods that do not rely on such types of data in this paper.

3.1 Baseline methods

We include the following baseline techniques in our comparison: a method that we call Simple Association Rules (AR), first-order Markov Chains (MC), and a method that we named Sequential Rules (SR). All baselines implement very simple prediction schemes, have a low computational complexity both for training and recommending, and only consider the very last item of a current user session to make the predictions. Furthermore, we include a prediction method based on Bayesian Personalized Ranking (BPR-MF) proposed by Rendle et al. (2009) as an alternative baseline.

3.1.1 Simple Association Rules (AR)

Simple Association Rules (AR) are a simplified version of the association rule mining technique (Agrawal et al. 1993) with a maximum rule size of two. The method is designed to capture the frequency of two co-occurring events, e.g., "Customers who bought... also bought". Algorithmically, the rules and their corresponding importance are "learned" by counting how often the items i and j occurred together in a session of any user.

Let a session s be a chronologically ordered tuple of item click events $s = (s_1, s_2, s_3, \dots, s_m)$ and S_p the set of all past sessions. Given a user's current ses-



sion s with $s_{|s|}$ being the last item interaction in s, we can define the score for a recommendable item i as follows, where the indicator function $1_{EQ}(a, b)$ is 1 in case a and b refer to the same item and 0 otherwise.

$$score_{AR}(i, s) = \frac{1}{\sum_{p \in S_p} \sum_{x=1}^{|p|} 1_{EQ}(s_{|s|}, p_x) \cdot (|p| - 1)}$$
$$\sum_{p \in S_p} \sum_{x=1}^{|p|} \sum_{y=1}^{|p|} 1_{EQ}(s_{|s|}, p_x) \cdot 1_{EQ}(i, p_y)$$
(1)

In Eq. 1, the sums at the right-hand side represent the counting scheme. The term at the left-hand side normalizes the score by the number of total rule occurrences originating from the current item $s_{|s|}$. A list of recommendations returned by the AR method then contains the items with the highest scores in descending order. No minimum support or confidence thresholds are applied. In our implementation, as shared online, we create the rules in one iteration over the training data and store them (sorted by weight) in nested maps to support fast lookups in the recommendation phase. With this data structure, top-n recommendations can be created almost instantaneously.

3.1.2 Markov Chains (MC)

The MC baseline can be seen as a variant of AR with a focus on sequences in the data. Here, the rules are extracted from a first-order Markov Chain, see Norris (1997), which describes the transition probability between two *subsequent* events in a session. In our baseline approach, we simply count how often users viewed item q immediately after viewing item p. Technically, the score for an item i given the current session s with the last event $s_{|s|}$ can be defined as a simplified version of Eq. 1:

$$score_{MC}(i, s) = \frac{1}{\sum_{p \in S_p} \sum_{x=1}^{|p|-1} 1_{EQ}(s_{|s|}, p_x)}$$
$$\sum_{p \in S_p} \sum_{x=1}^{|p|-1} 1_{EQ}(s_{|s|}, p_x) \cdot 1_{EQ}(i, p_{x+1})$$
(2)

where the function $1_{EQ}(a, b)$ again indicates whether a and b refer to the same item or not. Here, with the right-hand side of the formula, we count how often item i appears immediately after $s_{|s|}$. The normalization term transforms the absolute count into a relative transition probability. In line with AR, in our implementation the rules and weights are recorded in nested maps in one single iteration over the training data to ensure short training times and to support the fast generation of the recommendations.

3.1.3 Sequential Rules (SR)

Finally, the SR method as proposed in Kamehkhosh et al. (2017) is a variation of MC or AR respectively. It also takes the order of actions into account, but in a less restrictive



manner. In contrast to the MC method, we create a rule when an item q appeared after an item p in a session even when other events happened between p and q.

When assigning weights to the rules, we consider the number of elements appearing between p and q in the session. Specifically, we use the weight function $w_{SR}(x) = 1/(x)$, where x corresponds to the number of steps between the two items. Given the current session s, the SR method calculates the score for the target item i as follows:

$$score_{SR}(i, s) = \frac{1}{\sum_{p \in S_p} \sum_{x=2}^{|p|} 1_{EQ}(s_{|s|}, p_x) \cdot x}$$

$$\sum_{p \in S_p} \sum_{x=2}^{|p|} \sum_{y=1}^{x-1} 1_{EQ}(s_{|s|}, p_y) \cdot 1_{EQ}(i, p_x) \cdot w_{SR}(x - y)$$
(3)

In contrast to Eq. 1 for AR, the third inner sum only considers indices of previous item view events for each session p. In addition, the weighting function $w_{\rm SR}(x)$ is added. Again, we normalize the absolute score by the total number of rule occurrences for the current item $s_{|s|}$. As for AR and MC, the algorithm was implemented using nested sorted maps, which can be created in a single iteration over the training data.

3.1.4 Bayesian Personalized Ranking (BPR-MF)

To make our results comparable with previous research, we finally include a prediction method based on BPR-MF as a baseline in our experiments. BPR-MF proposed by Rendle et al. (2009) is a learning-to-rank method designed for implicit-feedback recommendation scenarios. The method is usually applied for matrix-completion problem formulations based on longer-term user-item interactions. In BPR-MF the matrix is factorized into two smaller matrices of latent user and item features (W and H), optimizing the following criterion:

$$BPR_{OPT} = \sum_{(u,i,j) \in D_S} \ln \sigma(r_{u,i} - r_{u,j}) - \lambda_{\Theta} ||\Theta||^2$$
(4)

In the above formula, a ranking $r_{u,i}$ for user u and item i is approximated with the dot product of the corresponding rows in the matrices W and H ($r_{u,i} = \langle W_u, H_i \rangle$). The model parameters $\Theta = (W, H)$ are learned using stochastic gradient descent in multiple iterations over the dataset D_S , which consists of triplets of the form (u, i, j), where (u, i) is a positive feedback pair and (u, j) is a sampled negative example. The optimization criterion in Eq. 4 aims to rank the positive sample (u, i) higher than a non-observed sample (u, j).

To apply the method for the session-based recommendation scenario—where there are no long-term user profiles—we attribute each session in the training set to a different user, i.e., each session corresponds to a user in the user-item interaction matrix. At

³ The method was proposed by Hidasi et al. in the context of the GRU4REC method.



² Other weighting functions, e.g., with a logarithmic decay, are possible as well. Using the linear function however led to the best results, on average, in our experiments.

prediction time, we use the average of the latent item vectors of the current session so far as the user vector.

Generally, BPR and other methods designed for the matrix-completion problems in their original form, i.e., without considering the short-term session context, do not lead to competitive results in session-based recommendation scenarios, as reported, e.g., in Jannach et al. (2015a). Therefore, we do not consider such algorithms, e.g., traditional matrix factorization techniques, as baselines in our experiments.

3.2 Nearest neighbors

Despite their simplicity, nearest-neighbor-based approaches often perform surprisingly well as discussed, e.g., by Verstrepen and Goethals (2014) and in our previous work (Jannach and Ludewig 2017; Kamehkhosh et al. 2017). We, therefore, include different nearest neighbor schemes in our comparison. First, we consider a more traditional item-based variant, which was also employed as a baseline method by Hidasi et al. (2016a). Furthermore, we evaluate three variations of a more recent session-based nearest neighbor technique in our experiments.

3.2.1 Item-based kNN (IKNN)

The IKNN method as used in Hidasi et al. (2016a) only considers the last element in a given session and then returns those items as recommendations that are most similar to it in terms of their co-occurrence in other sessions. Technically, each item is encoded as a binary vector, where each element corresponds to a session and is set to "1" in case the item appeared in the session. The similarity of two items can then be determined, e.g., using the cosine similarity measure, and the number of neighbours k is implicitly defined by the desired recommendation list length.

Conceptually, the method implements a certain form of a "Customers who bought ... also bought" scheme like the AR baseline. The use of the cosine similarity metric however makes it less susceptible to popularity biases. Although item-to-item approaches are comparably simple, they are commonly used in practice and sometimes considered a strong baselines (Linden et al. 2003; Davidson et al. 2010). In terms of the technical implementation, all similarity values can be pre-computed and sorted in the training process to ensure fast responses at recommendation time.⁴

3.2.2 Session-based kNN (SKNN)

Instead of considering only the last event in the current session, the SKNN method compares the entire current session with the past sessions in the training data to determine the items to be recommended, see also Hariri et al. (2012), Bonnin and Jannach (2014), and Lerche et al. (2016). Technically, given a session s, we first determine the k most similar past sessions (neighbors) N_s by applying a suitable session similarity measure, e.g., the Jaccard index or cosine similarity on binary vectors over the item space (Bonnin and Jannach 2014). In our experiments, the binary cosine similarity



⁴ We use the implementation published at https://github.com/hidasib/GRU4Rec.

measure led to the best results. As in Jannach and Ludewig (2017), using k = 500 as the number of neighbors to consider led to good performance results for many datasets. Next, given the current session s, its neighbors N_s , and the chosen similarity function $sim(s_1, s_2)$ for two sessions s_1 and s_2 , the recommendation score for each item i can as defined by Bonnin and Jannach (2014):

$$score_{SKNN}(i, s) = \sum_{n \in N_s} sim(s, n) \cdot 1_n(i)$$
 (5)

Here, the indicator function $1_n(i)$ returns 1 if session n contains item i and 0 otherwise. Scalability considerations Given a current session s, we cannot scan a potentially large set of past sessions for possible neighbors in an online recommendation scenario. Therefore, in our implementation of the algorithm, as described in Jannach and Ludewig (2017) in more detail, we rely on pre-computed in-memory index data structures and on neighborhood sampling to enable fast recommendation responses. The index is used to quickly locate past sessions that contain a certain item, i.e., the index allows us to retrieve possible neighbor sessions that contain at least one element of the current session through fast lookup operations. On the other hand, sampling only a smaller fraction of all past sessions in our experiments as potential neighbors has shown to lead to comparably small accuracy compromises. In fact, in some domains like e-commerce, only looking for neighbors in the most recent sessions—thereby capturing recent trends in the community—proved to be very effective (Jannach et al. 2017b) and led to even better results than when all past sessions were taken into account.

Our nearest neighbor implementations, therefore, have an additional parameter m, which determines the size of the sample from which the neighbors of a target session are taken. In the experiments reported in Jannach and Ludewig (2017), it was, for example, sufficient to consider only the 1000 most recent sessions from several million existing ones.

Sequence-aware extensions V-SKNN, S-SKNN, and SF-SKNN The described SKNN method does not consider the order of the elements in a session when using the Jaccard index or cosine similarity as a distance measure. Since the order of the elements might, however, be relevant in some domains and since the user preferences might change within a single session depending on the already seen items, we propose three variations of the SKNN method.⁵

- Vector Multiplication Session-Based kNN (V-SKNN): The idea of this variant is to put more emphasis on the more recent events of a session when computing the similarities. Instead of encoding a session as a *binary* vector as described above, we use real-valued vectors to encode the current session. Only the very last element of the session obtains a value of "1"; the weights of the other elements are determined using a linear decay function that depends on the position of the element within the session, where elements appearing earlier in the session obtain

⁵ We made additional experiments using other ways of encoding sequential information, e.g., by using embeddings of sessions and items with the popular *Word2Vec* and *Doc2Vec* approaches. However, none of these variations led to better accuracy results than the SKNN method in our experiments. We therefore omit these results from our later discussions.



- a lower weight. As a result, when using the *dot product* as a similarity function between the current weight-encoded session and a binary-encoded past session, more emphasis is given to elements that appear later in the sessions.
- Sequential Session-based kNN (S-SKNN): This variant also puts more weight on elements that appear later in the session. This time, however, we achieve the effect with the following scoring function:

$$score_{s-sknn}(i,s) = \sum_{n \in N_s} sim(s,n) \cdot w_n(s) \cdot 1_n(i)$$
 (6)

Here the indicator function $1_n(i)$ is complemented with a weighting function $w_n(i,s)$, which takes the order of the events in the current session s into account. The weight $w_n(i,s)$ increases when the more recent items of the current session s also appeared in a neighboring session s. If an item s_x is the most recent item of the current session s that also appears in the neighbor session s, then the weight will be defined as $w_n(s) = x/|s|$, where the index s indicates the position of s within the session. If, for example, the second-to-last item of the current session with a length of s is the most recent item also included in the neighbor session s, the weight would be s0 be a s1. Items from this neighbor can, therefore, potentially obtain a higher score than, e.g., items from neighbor sessions that only include the third from last item of the current session, which are assigned a weight of s2.

– Sequential Filter Session-based kNN (SF-SKNN): This method also uses a modified scoring function, but in a more restrictive way. The basic idea is that given the last event (and related item $s_{|s|}$) of the current session s, we only consider items for recommendation that appeared directly after $s_{|s|}$ in the training data at least once.

$$score_{SF-SKNN}(i, s) = \sum_{n \in N_s} sim(s, n) \cdot 1_n(s_{|s|}, i)$$
 (7)

While the general scoring function is identical to the one of SKNN (Eq. 5), we use a different implementation of the indicator function $1_n(s_{|s|}, i)$. Here, 1 is only returned if there exists any past session which contains the sequence $(s_{|s|}, i)$, given $s_{|s|}$ is the item currently viewed in the user's current session s. Though the sequence $(s_{|s|}, i)$ can be part of any past session, the item i obviously still has to be a part of the neighbor session n for the indicator function to return 1.

3.3 Neural networks—GRU4REC

Approaches based on Recurrent Neural Networks (RNNs), as discussed in Sect. 2, represent the most recently explored family of techniques for session-based recommendation problems. Among these methods, GRU4REC is one of the latest deep learning approaches that was specifically designed for session-based recommendation scenarios (Hidasi et al. 2016a; Hidasi and Karatzoglou 2017).

⁶ Note that the weighting function is designed to work independently from the similarity function. We rely on the binary session representation for the similarity calculation without considering the order of the items to ensure computational efficiency.



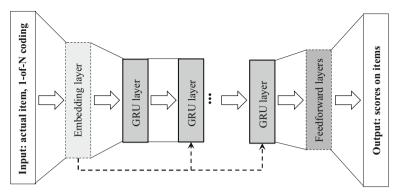


Fig. 1 Architecture of the GRU4REC neural network. Adapted from Hidasi et al. (2016a)

GRU4REC models user sessions with the help of an RNN with Gated Recurrent Units (Cho et al. 2014) in order to predict the probability of the subsequent events (e.g., item clicks) given a session beginning. Figure 1 shows the general architecture of the network, in which the embedding, the feedforward, and additional GRU layers are optional. In fact, the authors of the method found that a single GRU layer of varying width led to the best performance in their experiments.

The input of the network is formed by a single item, which is one-hot encoded in a vector representing the entire item space, and the output is a vector of similar shape that should give a ranking distribution for the subsequent item. Inbetween, the standard GRU layer keeps track of a hidden state that encodes the previously occurring items in the same session. Therefore, while training and predicting with the help of this network architecture, the items of a session have to be fed into the network in the correct order and the hidden state of the GRUs has to be reset after a session ends. In terms of the activation functions, the authors found *tanh* and the *sigmoid* function to work best for the GRU and the ranking layer, respectively.

While the usage of RNNs for session-based, or more generally, sequential prediction problems is a natural choice, the particular network architecture, the choice of the loss functions, and the use of session-parallel mini-batches to speed up the training phase are key innovative elements of the approach.

The model can be trained with stochastic gradient descent (SGD) using established optimizations like *ADAM*, *ADADELTA*, *RMSProp*, or *ADAGRAD* (Duchi et al. 2011; Zeiler 2012; Kingma and Ba 2014). As common in practice when optimizing deep neural networks, Hidasi et al. train the network in batches. To ensure that the items or events are fed into the network in the correct order, they propose the *session-parallel mini-batch* training scheme, which is illustrated in Fig. 2. In the training process, each part of a batch belongs to a specific session in the training data and the network records a separate hidden state for each position. Whenever a session at a position in the batch ends, the corresponding hidden state is reset and the next batch update includes the first event of a new session at that position.

As usual, a number of hyper-parameters can be tuned, including, the learning rate, the layer sizes, a momentum factor, and a drop-out factor to stabilize the network. The choice of the loss function is another key to the quality of the recommendations of



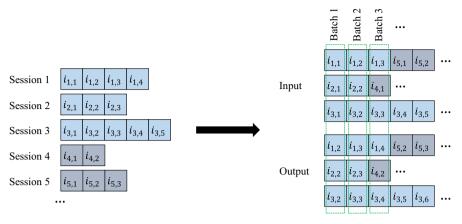


Fig. 2 Illustration of the session-parallel mini-batch scheme of GRU4REC. Adapted from Hidasi et al. (2016a)

GRU4REC. The following loss functions were designed or applied by the authors. In particular the latest function (MAX) proposed by Hidasi and Karatzoglou (2017) led to a significant performance improvement over the previous ones.

 BPR Bayesian Personalized Ranking (BPR), as discussed above, uses a pairwise ranking loss function for the task of creating top-n recommendations. In GRU4REC, a generalized version of this function is applied using the following formula:

$$L_s(\hat{r}_{s,i}, S_N) = -\frac{1}{|S_N|} \cdot \sum_{j \in S_N} log(\sigma(\hat{r}_{s,i} - \hat{r}_{s,j}))$$
(8)

In the loss function, the predicted rating $\hat{r}_{s,i}$ for the actual next item i given the current session s is compared to a set of negative samples S_N with the goal of maximizing the difference between them. Here, the sigmoid and logarithm functions are applied to represent the proportion between the ranking of the negative and the positive example.

- *TOP1* This loss function was introduced by the authors of GRU4REC and can be seen as a regularized approximation of the relative rank of a positive sample $\hat{r}_{s,i}$ and the negative samples S_N :

$$L_s(\hat{r}_{s,i}, S_N) = \frac{1}{|S_N|} \cdot \sum_{j \in S_N} \sigma(\hat{r}_{s,j} - \hat{r}_{s,i}) + \sigma(\hat{r}_{s,j}^2)$$
(9)

Here the proportion is approximated with the sigmoid function, and the regularization term $\sigma(\hat{r}_{s,j}^2)$ is added so that the score of the negative samples is directed to zero.

- MAX In continuation of their work, the authors proposed a generic extension to these two loss functions, where L_s stands for a loss function like BPR or TOP1 defined above:



$$L_{max}(\hat{r}_{s,i}, S_N) = L_s\left(\hat{r}_{s,i}, \left\{ \max_{j \in S_N} \hat{r}_{s,j} \right\} \right)$$
 (10)

Instead of using a sum of differences between the positive item's rating $\hat{r}_{s,i}$ and the negative samples S_N , only the highest rated negative sample $\max_{j \in S_N} \hat{r}_{s,j}$ from S_N is used to calculate the loss. As this function has to be differentiable for SGD training, $\max_{j \in S_N}$ is approximated with the *softmax* function. The resulting functions BPR_{max} and $TOP1_{max}$ showed superior performance when compared to the BPR and TOP1 functions (Hidasi and Karatzoglou 2017).

In our experiments, we used the GRU4REC (v2.0) implementation that the authors shared online. The code is regularly maintained by the authors and includes the implementation of the GRU4REC method, the code of their baseline algorithms, as well as the code for the evaluation procedure proposed in Hidasi et al. (2016a).

3.4 Factorization-based methods

As described in Sect. 2, a number of (hybrid) factorization-based methods were proposed in recent years for *sequential* recommendation problems. We include three existing methods from the literature in our experiments, Factorized Personalized Markov Chains (FPMC) proposed by Rendle et al. (2010), FISM by Kabbur et al. (2013), and FOSSIL by He and McAuley (2016). Generally, these methods aim at predicting the next actions of users, but were not designed for session-based recommendation scenarios with anonymous users. We therefore describe for each method how we applied them to our problem setting. In addition, we propose a novel factorization method called Session-based Matrix Factorization (SMF), which relies on the BPR_{max} and $TOP1_{max}$ loss functions as described above.

3.4.1 Factorized Personalized Markov Chains (FPMC)

The FPMC method was designed for the specific problem of next-basket recommendation. The problem consists of predicting the contents of the next basket of a user, given his or her history of past shopping baskets. By limiting the basket size to one item and by considering the current session as the history of baskets, the method can be directly applied for session-based recommendation problems.

Technically, FPMC combines MC and traditional user-item matrix factorization in a three dimensional tensor factorization approach. As illustrated in Fig. 3, the third dimension captures the transition probabilities from one item to another.

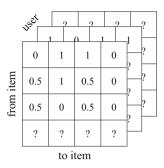
Internally, a special form of the Canonical Tensor Decomposition is used to factor the cube into latent matrices, which can then be used to predict a ranking in the following way:

$$\hat{r}_{u,l,i} = \left\langle v_u^{U,I}, v_i^{I,U} \right\rangle + \left\langle v_i^{I,L}, v_l^{L,I} \right\rangle + \left\langle v_u^{U,L}, v_l^{L,U} \right\rangle \tag{11}$$

where $\hat{r}_{u,l,i}$ is a score for item i with the preferences of user u when he or she previously examined item l. The three-dimensional decomposition results in six latent matrices



Fig. 3 Personalized transition cube. Adapted from Rendle et al. (2009)



 $v^{X,Y}$ representing the latent factors for dimension X regarding dimension Y, e.g., $v^{U,L}$ are the user latent factors in terms of the previously examined item and $v^{I,L}$ the item latent factors regarding the previously examined item. Accordingly, $v^{U,L}_u$ for example represents the factors for a single user u and $v^{I,L}_i$ the factors for item i, which are combined with the regular dot product $(\langle a,b\rangle)$ to calculate the ranking $\hat{r}_{u,l,i}$. Those latent factors are learned using SGD with the pairwise ranking loss function BPR.

In our problem setting, where we have no long-term user histories, each session in the training data corresponds to a user. Once the model is trained, each new session therefore represents a user cold-start situation. To apply the model to our setting, we estimate the session latent vectors as the average of the latent factors of the individual items in the session. This approach was adopted also by Hidasi et al. (2016a) to apply BPR-MF to session-based recommendation scenarios.

3.4.2 Factored Item Similarity Models (FISM)

This method is based on an item-item factorization, which has the advantage of being directly applicable to our session-based cold-start scenario, where no explicit user representation can be learned. However, FISM does not incorporate sequential item-to-item transitions like FPMC does. Equation 12 shows the prediction function which Kabbur et al. (2013) trained using SGD to predict ratings, e.g., for the movie domain.

$$\hat{r}_{u,i} = b_u + b_i + (n_u^+)^{-\alpha} \sum_{j \in R_u^+} p_j q_i^T$$
(12)

Technically, for user u and item i, a score $\hat{r}_{u,i}$ is calculated as the sum of latent vector products $p_j q_i^T$ between item i and the items R_u^+ already rated by the user u. In our scenario, R_u^+ corresponds to the previously inspected items in a session. The terms b_u and b_i are bias terms and n_u^+ specifies the number of ratings by user u, which is combined with a parameter α to normalize the sum of vector products to a certain degree. Instead of using the RMSE as an error metric, we use BPR's pairwise loss function when optimizing the top-n recommendations for the given implicit feedback scenario.



3.4.3 Factorized Sequential Prediction with Item Similarity Models (FOSSIL)

In this approach, FISM is combined with factorized Markov chains to incorporate sequential information into the model. The model can be described as shown in Eq. 13 (from He and McAuley 2016):

$$\hat{r}_{u,l,i} = \sum_{\substack{j \in R_u^+ \setminus \{i\} \\ \text{long-term preferences}}} p_j q_i^T + \underbrace{(w + w_u)}_{\text{personalized weighting}} \cdot \underbrace{n_l m_i^T}_{\text{sequential dynamics}}$$
(13)

Again, $\hat{r}_{u,l,i}$ represents a rating for item i given a user u and his or her previously inspected item l. The first term represents the long-term user preferences and corresponds to the FISM model in Eq. 12. Using a weighted sum with a global factor w and a personalized factor w_u , the model is extended by a factorized Markov chain to capture the sequential dynamics. In the last term of Eq. 13, a latent vector n_l for item l is multiplied with a latent vector m_i for item l to factor in the user-independent probability of item l being followed by item l.

In our scenario, again, the sessions represent the users, R_u corresponds to the current session and BPR is used as the loss function to rank suitable items over negative examples.

3.4.4 Session-based Matrix Factorization (SMF)

Finally, SMF is a novel factorization-based model that we designed for the specific task of session-based recommendation. Similar to FOSSIL it combines factorized Markov chains with classic matrix factorization. In addition, our method considers the cold-start situation of session-based recommendation scenarios as follows.

In contrast to the traditional factorization-based prediction model $r_{u,i} = p_u q_i^T$, in the SMF method, we replace the latent user vector p_u with a session preference vector s_e , which is computed as an embedding of the current session s:

$$s_e = M_{ST} \cdot s^T \tag{14}$$

Here the session s is as a binary vector similar to the representation in SKNN (see Sect. 3.2.2) and M_{ST} is a transformation matrix of size $|I| \cdot |u_s|$, which reduces the size of the binary session vector (number of unique items |I|) to a specific latent vector size $|s_e|$.

Based on the embedded session representation s_e , the prediction function is defined as shown in Eq. 15.

$$\hat{r}_{s,l,i} = w_i \cdot (\underbrace{s_e q_i^T + b_{1,i}}_{\text{session preferences}}) + (1 - w_i) \cdot (\underbrace{n_l m_i^T + b_{2,i}}_{\text{semion preferences}})$$
 (15)



The score $\hat{r}_{s,l,i}$ for a session s with the most recent item l and an item i is computed as a weighted combination of session preferences and sequential dynamics. Here, the session preferences correspond to the long-term user preferences in the traditional matrix factorization model, i.e., the embedded session latent vector s_e for the current session s is multiplied with an item latent vector q_i for item i to compute a relevance score i regarding s. The sequential dynamics are captured exactly as in Eq. 13 for FOSSIL using latent representations for the currently inspected item l and item i. Both partial scores are adjusted with a separate bias term $b_{x,i}$ and combined in a weighted sum with the factor w_i dependent on item i.

To train this model, we incorporated some of the concepts from GRU4REC (see Sect. 3.3). Specifically, we adopted ADAGRAD for SGD-based optimization, and used BPR_{max} and $TOP1_{max}$ as loss functions. Furthermore, we integrated two additional concepts (and corresponding hyper-parameters) in the training phase to avoid model over-fitting: a session drop-out factor and a skip-rate. For a drop-out factor of 0.1, for example, each positive entry of the binary session input vector is set to 0 with a probability of 10%. The skip-rate, in contrast, describes how often not the immediate next item in the log data should be used as a positive sample in the training process, but the subsequent one. A skip rate of 0.1 therefore means that in 10% of the cases the immediate next item is skipped.

4 Experiment setup

In this section, we describe the details of our algorithm comparison in terms of to the used evaluation protocol, the performance measures, and the evaluation datasets. All source code and pointers to the public datasets are provided online to ensure reproducibility of our research.⁷

4.1 Evaluation protocol and performance measures

The general computational task in session-based recommendation problems is to generate a ranked list of objects that in some form "matches" a given session beginning. What represents a good match, depends on the specific application scenario. It could be a set of alternative shopping items in an e-commerce scenario or a continuation of given music listening session.

In offline evaluations for session-based recommendations, researchers often abstract from the underlying purpose of the system (Jannach and Adomavicius 2016), e.g., if the recommender should help discover something new or find alternatives to a currently inspected item. Instead, the recorded user sessions are typically considered as a "gold standard" for the evaluation. To measure the performance of an algorithm, researchers resort to assessing the capability of an algorithm to predict the withheld entries of a session.

Different approaches are found in the literature to withhold certain entries of a session. In some works, only the last element is hidden (Hariri et al. 2012; Bonnin and

 $^{^{7}\} https://www.dropbox.com/sh/dbzmtq4zhzbj5o9/AACldzQWbw-igKjcPTBI6ZPAa?dl=0.$



Jannach 2014), some propose to "reveal" the first n elements of a session (Jannach et al. 2015a), while others, finally, evaluate their approaches by iteratively revealing one entry after the other (Hidasi et al. 2016b). We employed the latter iterative revealing scheme in our experiments as it (i) conceptually includes both of the other techniques and (ii) reflects the user journey throughout a session in the best way.

Selection of the target item and accuracy measures We measured prediction accuracy in two ways and correspondingly report the results in separate tables.

- First, to establish comparability with existing research, we use an evaluation scheme in which the task is to predict the *immediate next item* given the first n elements. For each session, we iteratively increment n, measure the hit rate (HR) and the mean reciprocal rank (MRR), and finally determine the average HR and MRR for all sessions for the different list lengths, as done by Hidasi et al. (2016b).
- Second, instead of focusing only on the next item, we made a measurement where we considered *all subsequent* elements for the given session beginning, because all of them might be relevant to the user. In this scheme, we used the standard information retrieval measures precision and recall at defined list lengths. The number of given elements of the session is also iteratively incremented as in the previously described evaluation scheme.

Sessionization strategies Different strategies exist in the literature to split the user activity logs into sessions. In some of the public datasets used in our evaluation, the activity logs were already split up into sessions, i.e., each log entry was assigned a unique session ID (RSC15, Zalando). For other datasets (RETAILR, NOWPLAYING, 30MUSIC, CLEF), we used a common heuristic-based approach and considered a session as over after a defined user idle time, e.g., 30 minutes of user inactivity (Cooley et al. 1999). For the TMALL dataset, where the timetamps for the recorded events were only available at the granularity of a day, we considered all events of one day as belonging to one session. Finally, for the two playlists dataset (AOTM, 8TRACKS), we considered all elements of a playlist to be part of a session.

Training and test splits, repeated subsampling Hidasi et al. (2016b) used one single training-test split. In the case of an e-commerce dataset, the data was split in a way that the sessions of all six months except those of the very last day of the entire dataset were placed in the training set. The last day was used for testing. We report the results of applying this evaluation scheme to ensure comparability, e.g., with respect to the results obtained for the e-commerce dataset that was used in their experiments.

Since such single-split setups have their limitations, we focus our discussion on the results that were obtained when applying a *sliding-window* protocol, where we split the data into 5 slices of equal size in days. For most e-commerce data, for example, we used the data of about one month for training and the subsequent data (e.g., of one day) for testing (see Sect. 4.2 for the dataset specific configurations). This allows us to make multiple measurements with different test sets. We then evaluate the performance for each of these data samples and report the average of the performance results for all



slices. This latter protocol helps us reduce the danger that the observed outcomes are the results of one particular train-test configuration.⁸

For the playlist datasets *8TRACKS* and *AOTM* no timestamp information is available. For these datasets we therefore applied a standard cross-validation procedure, where elements are randomly assigned to the training and test sets. We did not use such a time-agnostic data splitting procedure for the e-commerce and news datasets for different reasons. First, as the results will show, there are strong temporal effects that should be considered in the recommendation process. Second, in these domains, the set of items is not static and in particular in the news domain new items appear constantly. Randomly splitting the sessions would then potentially result in the effect that future interactions with not-yet-existing items would be considered in the training phase.

Additional quality factors Since accuracy is not the only relevant quality factor in practice, we made the following additional measurements, as was done by Jannach and Ludewig (2017).

- Coverage We report how many different items ever appear in the top-k recommendations. This measure represents a form of catalog coverage, which is sometimes referred to as aggregate diversity (Adomavicius and Kwon 2012).
- Popularity bias High accuracy values can, depending on the measurement method, correlate with the tendency of an algorithm to recommend mostly popular items (Jannach et al. 2015b). To assess the popularity tendencies of the tested algorithms, we report the average popularity score for the elements of the top-k recommendations of each algorithm. This average score is the mean of the individual popularity scores of each recommended item. We compute these scores based on the training set by counting how often each item appears in one of the training sessions and by then applying min-max normalization to obtain a score between 0 and 1.
- Cold start Some methods might only be effective when a significant amount of training data is available. We, therefore, report the results of measurements where we artificially removed parts of the (older) training data to simulate such situations.
- Scalability Training modern machine learning methods can be computationally challenging, and obtaining good results may furthermore require extensive parameter tuning. We, therefore, report the times that the algorithms needed to train the models and to make predictions at runtime. In addition, we report the memory requirements of the algorithms.

By reporting quality factors *coverage* and *popularity bias* our aim is to emphasize that different recommendation strategies can lead to quite different recommendations, even if they are similar in terms of the prediction accuracy, see also Jannach et al. (2015b). Such multi-metric evaluation approaches should also help practitioners to better understand the potential side effects of the recommenders, e.g., reduced average sales diversity and additionally increased sales of top-sellers (Lee and Hosanagar 2014). It remains however difficult to aggregate the individual performance factors

⁸ To ensure that the smaller size of those splits does not negatively affect the performance of the model-based approaches, we tested the single-split configurations as well on all datasets. The obtained results are mostly in line with those obtained with the sliding-window protocol and shown in "Appendix D".



into one single score, as the relative importance of the factors can depend not only on the application domain, but also on the specific business model of the provider.

Parameter optimization Some of the algorithms that we tested require extensive (hyper-)parameter tuning including SMF and GRU4REC. Thus, we systematically optimized the parameters for those algorithms for each dataset. Due to the computational complexity of the methods, we restricted the layer size for GRU4REC as well as the number of latent factors for SMF to 100 and used a randomized search method with 100 iterations for the remaining parameters as described by Hidasi and Karatzoglou (2017). In each iteration, the learning rate, the drop-out factor, the momentum, and the loss function were determined in a randomized process to find the maximum hit rate for a list length of 20. All optimizations were performed on special validation splits, which were created by splitting a training set into a validation training and test set. For the simpler S-KNN-based approaches, we used the same validation sets to manually adjust the number of neighbors and samples when applying cosine similarity as the distance measure (except for V-SKNN). The final parameters for each method and dataset are provided in "Appendix A".

4.2 Datasets

We made measurements for datasets from three different domains: e-commerce, music, and news.

E-commerce datasets We used the following four e-commerce datasets.

- RSC15 This is one of the datasets that was used in Hidasi et al. (2016a) and their later works. It was published in the context of the ACM RecSys 2015 Challenge and contains recorded click sequences (item views, purchases) for a period of six months. We use the label RSC15-S to denote the dataset and measurement where only one single train-test split is used. For RSC15, each split consists of 30 days of training and 1 day of test data.
- TMALL This dataset was published in the context of the TMall competition and contains interaction logs of the tmall.com website for one year. For TMALL, each split consists of 90 days of training and 1 day of test data.
- RETAILR The e-commerce personalization company retailrocket published this
 dataset covering six month of user browsing activities, also in the context of a
 competition. For RETAILR, each split consists of 25 days of training and 2 days
 of test data.
- ZALANDO The final dataset is non-public and was shared with us by the fashion retailer Zalando. It contains user logs of their shopping platform for a period of one year. In our evaluation, we only considered the item *view* events as was done for the other e-commerce datasets. For ZALANDO, each split consists of 90 days of training and 1 day of test data.

Table 1 shows an overview of the characteristics of the e-commerce datasets. Except for the *RSC15-S* dataset, which we include to make our evaluation comparable with previous works (Hidasi and Karatzoglou 2017; Jannach and Ludewig 2017), we report the average values after creating five data splits as described above.



Table 1	Characteristics of the e-commerce datasets

Dataset	RSC15-S	RSC15	TMALL	RETAILR	ZALANDO
Actions	31.71M	5.43M	13.42M	212,182	4.54M
Sessions	7.98M	1.38M	1.77M	59,962	365,126
Items	37,483	28,582	425,348	31,968	189,328
Timespan in days	182	31	91	27	91
Actions per session	3.97	3.95	7.56	3.54	12.43
Unique items per session	3.17	3.17	5.56	2.56	8.39
Actions per day	174,222	175,063	149,096	7858	50,410
Sessions per day	43,854	44,358	19,719	2220	4056

The values are averaged over all five non-overlapping splits for each dataset, except for RSC15-S, where we only use one train-test split

Media datasets: music and news As in Jannach and Ludewig (2017), we use the music domain as an alternative area to evaluate session-based recommendation algorithms, because music is commonly consumed within listening sessions in sequential order. We use the same datasets that were used in Jannach and Ludewig (2017), which consist of two sets of *listening logs* and two datasets of user-created *playlists*. In addition, we made measurements using a dataset from the news recommendation domain.

We in particular consider the news domain because it has certain distinct characteristics (Karimi et al. 2018). First, constantly new items become available for recommendation (Das et al. 2007; Liu et al. 2010). At the same time, items can also quickly become outdated. Second, previous research indicates that short-term popularity trends can be important for the success of a recommender (Ludmann 2017). The experiments based on this dataset should therefore provide an indicator if the general insights obtained from other domains generalize to a domain with very specific characteristics.

- 8TRACKS and AOTM These dataset include playlists created by music enthusiasts. The AOTM dataset was collected from the Art-of-the-Mix platform and is publicly available (McFee and Lanckriet 2012). The non-public 8TRACKS) dataset was shared with us by the 8tracks.com music platform. For all music datasets, each split consists of 90 days of training and 5 days of test data.
- 30MUSIC and NOWPLAYING The 30MUSIC dataset contains listening histories of the last.fm music platform and was published by Turrin et al. (2015). The NOWPLAYING dataset was created from music-related tweets, where users posted which tracks they were currently listening (Zangerle et al. 2014).
- CLEF The dataset was made available to participants of the 2017 CLEF News-REEL challenge.⁹ It consists of a stream of user actions (e.g., article reads) and article publication events, which were collected by the company *plista* for several publishers. In our evaluation we only considered the article read events. We used the data of the publisher with the largest amount of recorded interactions (the pop-

⁹ http://www.clef-newsreel.org/.

	8TRACKS	30MUSIC	AOTM	NOWPLAYING	CLEF
Actions	1.50M	638,933	306,830	271,177	5.54M
Sessions	132,453	37,333	21,888	27,005	1.64M
Items	376,422	210,633	91,166	75,169	742
Timespan in days	95	95	95	95	6
Actions per session	11.32	17.11	14.02	10.04	3.37
Items per session	11.31	14.47	14.01	9.38	3.17
Actions per day	16,663	7099	3409	3013	923,414
Sessions per day	1472	415	243	300	274,074

Table 2 Characteristics of the music and news datasets

The values are again averaged over all five non-overlapping splits

ular German sports news portal Sport1¹⁰). For CLEF, each split consists of 5 days of training and 1 days of test data.

The statistics for the datasets from the media (music and news) domain are given in Table 2.

5 Results

5.1 E-commerce datasets

Table 3 shows the MRR and Hit Rate results at a recommendation list length 20 for the four tested e-commerce datasets. In addition, we report the results when applying the standard measures precision and recall when considering *all* hidden elements in the rest of the session as described above (see Table 4). Finally, we also report coverage and popularity statistics for each algorithm.

5.1.1 Accuracy measures

The results when the task is to predict the *immediate next* element in a session (as done in Hidasi and Karatzoglou (2017) and Jannach and Ludewig (2017)) are shown in Table 3a–e. The following observations in terms of the hit rate and the MRR can be made. ¹¹

The lowest accuracy values are almost consistently achieved across all datasets by the family of Factorized Markov Chain approaches (FISM, FPMC and FOS-SIL) and the session-aware BPR-MF variant. BPR-MF in fact often exhibits the best performance among these methods even though it was not designed for sequential recommendation problems. In two cases, however, the session-based BPR-MF

¹¹ We provide additional results that were obtained for measurements taken at multiple list lengths in "Appendix B".



¹⁰ https://www.sport1.de/.

Table 3 Hit rate (HR), mean reciprocal rank (MRR), catalog coverage (COV), and the average popularity (POP) for a list length of 20 obtained for the e-commerce datasets

Metrics	MRR@20	HR@20	COV@20	POP@20
(a) <i>RSC15</i>				
GRU4REC	0.308	*0.683	0.504	0.054
SR	0.304	0.653	0.668	0.072
SMF	0.302	0.666	0.565	0.055
MC	0.300	0.642	0.645	0.070
AR	0.289	0.636	0.630	0.093
V-SKNN	0.283	0.653	0.619	0.079
S-SKNN	0.272	0.602	0.655	0.072
SF-SKNN	0.270	0.589	0.619	0.066
S-KNN	0.266	0.621	0.634	0.073
IKNN	0.208	0.486	0.755	0.041
FPMC	0.201	0.363	0.975	0.055
BPR-MF	0.176	0.235	0.911	0.088
FISM	0.115	0.162	0.974	0.008
FOSSIL	0.062	0.190	0.917	0.048
(b) TMALL				
S-SKNN	*0.185	0.387	0.467	0.025
S-KNN	0.182	*0.404	0.381	0.026
V-SKNN	0.179	0.373	0.464	0.024
BPR-MF	0.159	0.204	0.723	0.057
SF-SKNN	0.136	0.216	0.436	0.018
GRU4REC	0.129	0.277	0.151	0.035
AR	0.129	0.262	0.509	0.021
SR	0.128	0.242	0.569	0.021
SMF	0.121	0.261	0.261	0.036
MC	0.116	0.200	0.498	0.019
FPMC	0.101	0.119	0.880	0.005
IKNN	0.051	0.150	0.728	0.007
FISM	0.024	0.037	0.752	0.003
FOSSIL	0.001	0.004	0.598	0.016
(c) RETAIL	R			
S-SKNN	*0.345	*0.591	0.596	0.056
V-SKNN	0.338	0.573	0.575	0.060
S-KNN	0.337	0.583	0.566	0.058
BPR-MF	0.303	0.357	0.824	0.060
FPMC	0.273	0.320	0.929	0.022



Table 3 continued

SF-SKNN 0.260 0.358 0.403 0.035 SR 0.245 0.419 0.524 0.042 GRU4REC 0.243 0.480 0.602 0.060 AR 0.241 0.439 0.544 0.053 MC 0.230 0.359 0.411 0.035 SMF 0.225 0.459 0.449 0.085 IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.089 <	Metrics	MRR@20	HR@20	COV@20	POP@20
GRU4REC 0.243 0.480 0.602 0.060 AR 0.241 0.439 0.544 0.053 MC 0.230 0.359 0.411 0.035 SMF 0.225 0.459 0.449 0.085 IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.239 SR 0.308 0.690 V-SKNN 0.274 0.675	SF-SKNN	0.260	0.358	0.403	0.035
AR 0.241 0.439 0.544 0.053 MC 0.230 0.359 0.411 0.035 SMF 0.225 0.459 0.449 0.085 IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 SF-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.308	SR	0.245	0.419	0.524	0.042
MC 0.230 0.359 0.411 0.035 SMF 0.225 0.459 0.449 0.085 IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	GRU4REC	0.243	0.480	0.602	0.060
SMF 0.225 0.459 0.449 0.085 IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021	AR	0.241	0.439	0.544	0.053
IKNN 0.107 0.240 0.584 0.033 FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	MC	0.230	0.359	0.411	0.035
FISM 0.075 0.132 0.848 0.018 FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	SMF	0.225	0.459	0.449	0.085
FOSSIL 0.022 0.058 0.753 0.127 (d) ZALANDO SR 0.304 0.483 0.586 0.061 MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 V-SKNN 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	IKNN	0.107	0.240	0.584	0.033
(d) ZALANDO SR	FISM	0.075	0.132	0.848	0.018
SR	FOSSIL	0.022	0.058	0.753	0.127
MC 0.303 0.455 0.513 0.060 IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-KNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset MRR@20 MR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SR 0.308	(d) ZALANI	00			
IKNN 0.275 0.405 0.714 0.037 GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 MRR@20 MR@20 MER 0.309 0.713 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274	SR	0.304	0.483	0.586	0.061
GRU4REC 0.267 0.468 0.304 0.101 SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	MC	0.303	0.455	0.513	0.060
SMF 0.267 0.447 0.362 0.107 AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 MRR@20 MR@20 Metric MRR@20 HR@20 SMF 0.309 0.719 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	IKNN	0.275	0.405	0.714	0.037
AR 0.258 0.467 0.467 0.089 SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 Ce) RSC15-S GRU4REC 0.312 0.719 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	GRU4REC	0.267	0.468	0.304	0.101
SF-SKNN 0.249 0.438 0.432 0.057 V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset Metric MRR@20 MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	SMF	0.267	0.447	0.362	0.107
V-SKNN 0.233 *0.521 0.432 0.096 S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 MRR@20 HR@20 Metric MRR@20 HR@20 SMF 0.309 0.719 SR 0.308 0.690 V-SKNN 0.274 0.675	AR	0.258	0.467	0.467	0.089
S-SKNN 0.219 0.499 0.435 0.087 S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	SF-SKNN	0.249	0.438	0.432	0.057
S-KNN 0.172 0.456 0.309 0.093 BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SR 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	V-SKNN	0.233	*0.521	0.432	0.096
BPR-MF 0.104 0.162 0.609 0.058 FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	S-SKNN	0.219	0.499	0.435	0.087
FPMC 0.051 0.075 0.812 0.021 FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	S-KNN	0.172	0.456	0.309	0.093
FISM 0.004 0.011 0.624 0.020 FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	BPR-MF	0.104	0.162	0.609	0.058
FOSSIL 0.002 0.005 0.671 0.034 Dataset RSC15 Metric MRR@20 HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	FPMC	0.051	0.075	0.812	0.021
Dataset Metric RSC15 Metric HR@20 (e) RSC15-S GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	FISM	0.004	0.011	0.624	0.020
Metric MRR@20 HR@20 (e) RSC15-S 6RU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	FOSSIL	0.002	0.005	0.671	0.034
GRU4REC 0.312 0.719 SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675					HR@20
SMF 0.309 0.713 SR 0.308 0.690 V-SKNN 0.274 0.675	(e) RSC15-S	S			
SR 0.308 0.690 V-SKNN 0.274 0.675	GRU4REC		0.312		0.719
V-SKNN 0.274 0.675	SMF		0.309		0.713
	SR		0.308		0.690
SKNN 0.250 0.641	V-SKNN		0.274		0.675
	SKNN		0.250		0.641

The table rows are ordered by MRR@20. The best values are highlighted in each column and, in case of accuracy measures, marked with a star when the difference w.r.t. to the second-best performing method was statistically significant

variant led to very competitive results when the measurement was taken at a recommendation list length of 1, although at the potential price of a high popularity bias and low coverage. Apart from this phenomenon, our results indicate that the methods that were designed under the assumption of longer-term and richer user



Dataset	RSC15	RSC15		TMALL		ROCKET		ZALANDO	
Metric	P@20	R@20	P@20	R@20	P@20	R@20	P@20	R@20	
SKNN	0.086	0.464	0.095	0.312	0.056	0.478	0.074	0.202	
V-SKNN	0.092	0.494	0.088	0.291	0.055	0.462	0.076	0.207	
SMF	0.092	0.501	0.068	0.230	0.047	0.397	0.062	0.175	
GRU4REC	0.085	0.470	0.068	0.233	0.046	0.400	0.065	0.181	
SR	0.089	0.488	0.052	0.193	0.038	0.342	0.060	0.174	

Table 4 Precision (P@20) and recall (R@20) for the e-commerce datasets

The rows are ordered by the P@20 values for the TMALL data set, which led to a relatively consistent ranking of the algorithms

profiles are often not particularly well suited for the specifics of session-based recommendation problems.

- The simple pairwise association methods (AR and SR) mostly occupy the middle places in our comparison. In most cases, it is preferable to consider the available sequentiality information (SR). Only for the *TMALL* dataset, where the transactions of an entire day are considered as a session, ¹² and for *RETAILR*, the sequence-agnostic AR method is slightly better in terms of the hit rate. In terms of the overall ranking, the trivial SR method is, to some surprise, among the *top-performing* methods for two of the datasets in terms of the MRR, with good results also for the hit rate. The MC method finally, is usually placed somewhere in the middle of the ranking. Similar to the SR method, it is very strong in terms of the MRR for two of the datasets.
- The performance of the newly-proposed SMF method is very strong for the RSC15 and RSC15-S dataset and in the middle ranges for the other datasets. The SMF method consistently outperforms the factorization-based methods from the literature, apparently due to the embedding of the current user session.
- GRU4REC is consistently among the top five algorithms in this comparison in terms of the hit rate and exhibits competitive performance results also with respect to the MRR. The method is outperforming all other methods on the RSC15(-S) datasets in terms of the hitrate and is competitive w.r.t. the MRR, where the differences between the top-performing methods are tiny. On the other datasets, the accuracy results of GRU4REC are, however, often significantly lower than those of the best-performing methods.¹³
- For each of the datasets, one of the proposed neighborhood-based methods was usually the winner in terms of the hit rate and the MRR (except for RSC15(-S) and the MRR on ZALANDO). Using one of the variants that considers sequentiality information is usually favorable, except for the case of the TMALL dataset. The most consistent performance of the neighborhood-based methods is achieved with the V-SKNN method which uses a specific sequence-aware similarity measure that gives more weight to the most recent interactions. Generally, the results suggest

¹³ We applied the Wilcoxon signed-rank test ($\alpha = 0.05$) to determine the significance of differences between the two best performing approaches for each dataset.



¹² In the dataset, timestamps are only available at the granularity of days.

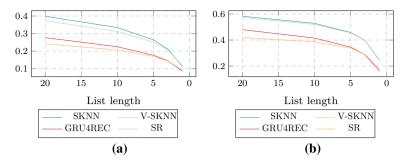


Fig. 4 Hit rate (HR) for two of the e-commerce datasets when reducing the recommendation list length from 20 to 1. a TMALL, b RETAILR

that there is even room for further improvement in the context of the neighborhoodbased methods. In the experiments reported in this work, we could, for example, observe that using a slightly different similarity measure already led to substantial performance improvements for some of the datasets.

Precision and recall for the remaining session The ranking of the *best-performing algorithms* when evaluating *all* subsequent elements of a session (not only the immediate next click) and measuring precision and recall is given in Table 4. We report the detailed results for all algorithms for all measurements in the Appendix.

The obtained results are mostly in line with the previously reported observations. The best performance is achieved by the neighborhood-based methods, with V-SKNN working very well across all datasets. Differently from the previous measurement, GRU4REC shows a lower performance for the *RSC-15* dataset than the other methods. This is probably due to the fact that GRU4REC is optimized to predict the *immediate* next action. Generally, which type of accuracy measurement—focusing on the prediction of the immediate next element or considering the prediction of any item that is relevant in the session as a success—is more appropriate, depends on the application domain. Our results show that the kNN-based methods are successful in both forms, i.e., they are often good at predicting the next element while, at the same time, they many times include *more* items that are relevant for the given session than, e.g., GRU4REC.

Impact of different list lengths To see if the recommendation list length at which the measurement is taken has an influence on the algorithm ranking, we varied the length from 20 to 1. Figure 4a, b show how the best algorithms perform for the *TMALL* and *RETAILR* datasets when different list lengths are used in the evaluation. The results show that the ranking of the algorithms can in fact be affected by the change of the list length.

Specifically, the differences between the nearest-neighbor methods and the GRU4REC and SR methods becomes gradually smaller for shorter list lengths. This is not too surprising because both GRU4REC and SR focus on the prediction of the immediate next action and often lead to better performance values in terms of the MRR. Since the particular evaluation protocol here also only focuses on the correct prediction of the next item, the effect might however be overemphasized due to the specific measurement method. An interesting observation is that at list length 1, BPR-MF and to



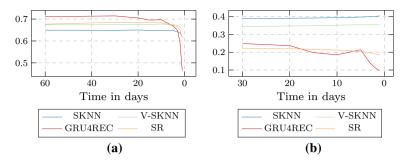


Fig. 5 HR@20 for two e-commerce datasets when artificially reducing the size of the training set from 60 days to 1 day. a RSC15-S, b TMALL

some extent the FPMC method lead to the best results for some e-commerce datasets. In the case of BPR-MF, this however comes at the price of a high popularity tendency of the algorithm and a comparably low coverage (see Table 15 in "Appendix B").

5.1.2 Cold-start and sparsity effects

Previous experiments on the *RSC15* dataset revealed that discarding major parts of the older data has no strong impact on the prediction accuracy, at least in the e-commerce domain (Jannach and Ludewig 2017). We therefore made additional experiments to analyze the effects in more depth. Figure 5a, b show the results of this simulation for two of the e-commerce datasets.

The results for the *RSC15-S* (single-split) dataset (Fig. 5a) are in line with what was previously reported in Jannach and Ludewig (2017). In the e-commerce domain, the user behavior seems to be strongly influenced by recent sales trends, an effect that was also reported in Jannach et al. (2017b). Discarding most of the historical data has almost no influence on the resulting hit rates. This behavior is similar for all compared algorithms. Only in the extreme case when only the data of the last few days is considered, the performance of the algorithms degrades. A similar observation can be made for the *TMALL* dataset. Generally, the observations also explain why the recency-based neighborhood sampling approach implemented in the kNN methods does not have a strong negative effect on the accuracy. In fact, focusing on the most recent sessions when looking for similar neighbors has shown to have a positive effect in Jannach and Ludewig (2017), when compared to a random neighborhood selection scheme.

Considering other types of events In the reported experiments, the models were trained with past item view events and we also predicted the next view event for a given session beginning. This choice was made to make our work comparable with previous research. Additional types of events (e.g., "add-to-wishlist", "add-to-cart") can easily incorporated as positive preference signals into the investigated algorithms. How to weight the different types of signals nd how to interpret signals like "remove-from-wishlist" is an area for future research.

Depending on the domain, also different types of events might be in the focus as well in the prediction phase. In the experiments reported here, we predict item



views. In our previous research on the topic (Jannach and Ludewig 2017), we also made experiments in which we focused on the prediction of purchase events. In these experiments, the ranking of the algorithms was similar for the item prediction and the purchase prediction tasks. However, some previous research suggests that view-based collaborative filtering algorithms lead to sometimes quite different recommendations than purchase-based ones and also differ in their effectiveness (Lee and Hosanagar 2014). In general, the choice of the prediction target should therefore be made with the goal of the recommender in mind, e.g., increase user attention and click-through-rates vs. increasing sales, see, e.g., Jannach and Hegelich (2009).

5.1.3 Coverage and popularity bias

The results listed in Table 3a–d show that in terms of the coverage (or: aggregate diversity), the factorization-based methods consistently lead to the highest values, i.e., they place the largest number of different items into the top-n lists of the users. GRU4REC represents in all datasets, except *RETAILR*, the other extreme and seems to focus its recommendations on a comparably narrow range of items. In particular in the case of the *TMALL* dataset, the coverage of the item space of GRU4REC is as low as 0.15, i.e., the top-20 recommendations for all given sessions in the test set cover only 15% of the available items. To what extent low coverage is undesired, again depends on the specific application domain.

Not many consistent patterns can be identified with regard to the popularity biases of the different algorithms. BPR-MF, as was previously discussed by Jannach et al. (2015b), has a comparably strong tendency to focus on generally popular items. Our newly proposed SMF method exhibits a similar tendency across all datasets. The FPMC method usually represents the other end of the spectrum. The tendency of the many of the other algorithms to recommend popular items seems to strongly depend on the dataset characteristics. According to our previous work (Jannach and Ludewig 2017), the basic SKNN method tends to recommend slightly more popular items than GRU4REC. In this new series of measurements, this is, however, not consistently the case across the datasets.

5.2 Media datasets

Tables 5, 6 and 7 show the results for the music and news domains, respectively.

Accuracy The accuracy results generally exhibit similar patterns as the results obtained for the e-commerce datasets. For these datasets, however, the winning strategy more strongly depends on the chosen measure. When the MRR is used as a performance measure, often the trivial baselines SR or AR lead to the best results. In terms of the hitrate, in contrast, usually one of the nearest neighbor methods again performs best.

With respect to the MRR measure also GRU4REC exhibited very competitive performance, except for the *8TRACKS* and *AOTM* datasets, where the highest MRR values were achieved with the AR and the SKNN method. Looking at the playlist datasets (*8TRACKS* and *AOTM*), the comparably good results of the sequence-agnostic AR



Table 5 Hit rate (HR), mean reciprocal rank (MRR), catalog coverage (COV), and the average popularity (POP) for a list length of 20 tested on the music datasets

Metrics	MRR@20	HR@20	COV@20	POP@20
(a) NOWPL	AYING			
SR	0.105	0.203	0.466	0.025
GRU4REC	0.102	0.197	0.433	0.052
MC	0.097	0.158	0.294	0.028
SF-SKNN	0.095	0.165	0.277	0.031
SMF	0.088	0.183	0.242	0.092
V-SKNN	0.078	0.255	0.428	0.064
S-SKNN	0.078	*0.262	0.415	0.062
AR	0.071	0.208	0.453	0.051
S-KNN	0.069	0.243	0.301	0.069
IKNN	0.057	0.182	0.580	0.029
(b) 8TRACE	KS			
AR	*0.0071	0.0255	0.4529	0.0912
SMF	0.0064	0.0230	0.1527	0.0864
SR	0.0063	0.0170	0.4967	0.0531
SF-SKNN	0.0063	0.0118	0.3049	0.0362
V-SKNN	0.0057	0.0352	0.4080	0.1194
S-KNN	0.0053	*0.0375	0.2430	0.1079
IKNN	0.0050	0.0176	0.6956	0.0245
GRU4REC	0.0050	0.0189	0.0692	0.1222
S-SKNN	0.0047	0.0293	0.4509	0.0806
MC	0.0046	0.0098	0.3496	0.0320
(c) 30MUSI	TC			
SR	*0.238	0.332	0.389	0.023
MC	0.232	0.284	0.204	0.021
GRU4REC	0.226	0.326	0.345	0.056
SF-SKNN	0.208	0.286	0.185	0.022
SMF	0.178	0.284	0.151	0.105
V-SKNN	0.110	0.382	0.317	0.054
IKNN	0.109	0.297	0.460	0.023
S-SKNN	0.108	*0.386	0.293	0.052
AR	0.096	0.309	0.352	0.039
S-KNN	0.090	0.344	0.191	0.057
(d) $AOTM$				
SMF	0.0111	0.0297	0.2456	0.1998
SF-SKNN	0.0110	0.0144	0.3558	0.0508
SR	0.0076	0.0195	0.5864	0.0533
GRU4REC	0.0071	0.0156	0.4652	0.1151
MC	0.0063	0.0132	0.3803	0.0497
AR	0.0059	0.0233	0.5531	0.1049



Table 5 continued

Metrics	MRR@20	HR@20	COV@20	POP@20
V-SKNN	0.0054	0.0377	0.5362	0.1397
S-SKNN	0.0054	0.0397	0.5356	0.1289
S-KNN	0.0053	*0.0429	0.2802	0.1677
IKNN	0.0049	0.0186	0.7879	0.0472

The tables show the top ten algorithms ordered by MRR@20. Again, the best results are highlighted and significant differences are marked with a star

Table 6 Precision (P@20) and recall (R@20) for the music datasets

Dataset	LFM		8TRACK	S	30MUSI	2	AOTM	
Metric	P@20	R@20	P@20	R@20	P@20	R@20	P@20	R@20
V-SKNN	0.0717	0.1909	0.0122	0.0308	0.1094	0.2321	0.0133	0.0361
SKNN	0.0680	0.1824	0.0117	0.0313	0.1035	0.2140	0.0155	0.0440
SMF	0.0499	0.1453	0.0086	0.0218	0.0746	0.1655	0.0084	0.0259
SR	0.0501	0.1465	0.0055	0.0140	0.0878	0.2010	0.0053	0.0146
GRU4REC	0.0272	0.0810	0.0037	0.0095	0.0404	0.0988	0.0010	0.0027

The results are ordered by P@20 for 8TRACKS, which represents the largest music dataset in our evaluation

Table 7 Hit rate (HR), mean reciprocal rank (MRR), precision (P), recall (R), item coverage (COV), and average popularity (POP) results for a list length of 20 on the *CLEF* dataset (ordered by MRR@20)

Metrics	MRR@20	HR@20	COV@20	POP@20	P@20	R@20
SMF	0.234	0.706	0.650	0.083	0.062	0.527
V-SKNN	0.224	0.776	0.621	0.083	0.068	0.584
SR	0.223	0.672	0.655	0.093	0.058	0.502
GRU4REC	0.220	0.568	0.174	0.094	0.072	0.626
S-KNN	0.219	0.778	0.613	0.084	0.066	0.577

and SKNN strategy indicate that the ordering of the tracks is not too important for the playlist creators. Among the neighborhood-based methods, V-SKNN was again consistently among the top-performing methods. When looking at the standard precision and recall measurements for the five best-performing approaches in Table 6, we can see that V-SKNN is the winning strategy across all datasets and that GRU4REC is again less effective for this particular measurement.

Finally, looking at the *news* domain, the average results shown in Table 7 in general confirm the trends observed for the other datasets. The V-SKNN method is top-performing on almost all measures. GRU4REC also works comparably well on this dataset, especially on the precision and recall measures. Again, however, we can also observe a comparably low level of coverage and a comparably high tendency to recommend popular items. In contrast to all other domains and datasets however, when looking at the results of the individual splits for the *CLEF* dataset, we could observe



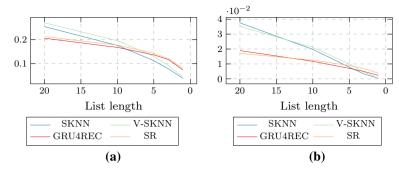


Fig. 6 Hit rate (HR) for two of the music datasets when reducing the result list length from 20 to 1. a NOWPLAYING, b 8TRACKS

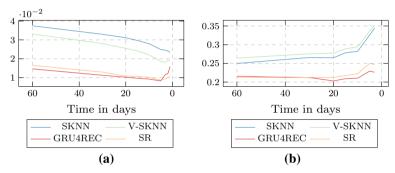


Fig. 7 HR@20 for two music datasets when incrementally reducing the size of the training set to 1 day. a 8TRACKS. b NOWPLAYING

that those are subject to large fluctuations. Depending on the day that was chosen for testing, the ranking of the algorithms in terms of the accuracy measures changes drastically, which we could not observe for any other dataset.

As mentioned in Sect. 4, we conducted additional single split experiments to ensure that the reduced amount of training data in the sliding window protocol does not affect the performance of the model-based approaches. The single-split results in "Appendix D" reveal GRU4REC as the best-performing approach for this particular dataset, which was also the case for two of the individual splits. Thus, even though such large fluctuations did only occur in the news domain, this is an indicator that applying a single-split evaluation protocol can easily lead to "random" and misleading results.

The effects when considering different list lengths for two of the datasets is shown in Fig. 6a (NOWPLAYING) and Fig. 6b (8TRACKS). In contrast to the e-commerce datasets, the relative ranking of the algorithms even changes when the list lengths become shorter. For both datasets, the GRU4REC method and the very simple AR and SR methods, respectively, are better in terms of the hit rate when it comes to very short list lengths. Considering the good results for the MRR for these methods (Table 5a, b), this was expected. Again, the good performance of certain methods can be explained by the fact that these methods are optimized to predict the immediate next item of a given session.



Cold-start and sparsity effects An interesting effect can be observed when older data is discarded to simulate sparsity effects. Figure 7a, b show the results for the 8TRACKS and NOWPLAYING datasets, respectively. He while for the 8TRACKS playlist dataset the accuracy values more or less consistently decrease when older data is discarded, we can observe an increase in accuracy for the NOWPLAYING dataset. Remember that this dataset is based on the analysis of user posts on Twitter about their current listening behavior. Obtaining the highest accuracy values when only considering the very last days means that this dataset is strongly dominated by short-term popularity trends and that the recommendation of older, non-trending tracks is detrimental to the accuracy results.

Coverage and popularity bias In terms of coverage (see Table 5), the findings for datasets from the media domain are also mostly in line with those for the e-commerce datasets. The ranking of the algorithms varies largely across the datasets. The differences are, however, often less pronounced. Regarding the popularity tendency of the algorithms, methods that are based on pairwise sequences (SR and MC) in most cases lead to the recommendation of lesser known items, while nearest-neighbor-based techniques quite often focus on the recommendation of comparably popular objects.

5.3 Computational complexity and memory usage

The methods included in our comparison vary largely in terms of the computational complexity and their memory requirements. Since neighborhood-based methods do not scale well when applied in a naive manner, we used implementation variants that rely on neighborhood sampling and specific in-memory data structures. The comparison of SKNN method and GRU4REC in Jannach and Ludewig (2017) showed that, with such an implementation, recommendations can be quickly computed at prediction time with nearest neighbor methods, even though the prediction performance of model-based techniques like GRU4REC could not be achieved.

To enable comparability with previous research (Jannach and Ludewig 2017), we report the running times and memory demands for the single-split RSC15-S dataset, which is also the largest one in terms of the recorded user actions. Additionally, we include the 8TRACKS dataset, which is rather small compared to RSC15-S in terms of the number of events, but has the largest product catalog of all datasets. Table 8 shows the times required for training the model (if applicable), the time needed to compute a recommendation at prediction time, and the memory requirements for the internal data structures. The reported results were obtained when using an Intel Core i7 4790K processor with 32GB of DDR3-1600 memory and a Nvidia GeForce GTX 960 graphics card with 2GB of memory. The following observations can be made. Running times The simple methods in our comparative evaluation need from less than one to about three minutes of "training" (e.g., co-occurrence counting or in-memory data structure setup) for the RSC15-S dataset. The factorization-based methods and the deep learning based method, on the other hand, need about 6 to 8 hours to learn a model for the single data split. Note that while the deep learning method GRU4REC and the factorization-based approach SMF do not take the longest absolute time in

¹⁴ The other media datasets did not exhibit any notable particularities.



Dataset	RSC15-S		8TRACE	8TRACKS		
Technique/metric	Train. (min)	Pred. (ms)	Mem. (MB)	Train.	Pred.	Mem.
MC	0.77	3.34	38	0.05	14.71	144
S-KNN	1.24	33.05	6051	0.04	52.96	353
S-SKNN	1.26	30.26	6051	0.05	51.01	353
V-SKNN	1.30	32.67	6051	0.04	52.43	353
SF-SKNN	1.72	29.82	6254	0.06	18.82	493
SR	2.41	3.14	54	0.18	15.88	284
AR	3.00	3.36	40	0.28	15.79	257
FISM	356.84	8.40	4937	35.07	60.72	387
GRU4REC (GPU)	385.35	7.43	59	19.08	58.08	588
BPR-MF	392.60	8.37	8009	42.69	65.15	771
SMF (GPU)	446.66	14.02	1640	77.50	43.36	1805
FPMC	469.39	9.08	6786	60.92	71.58	1302
FOSSIL	499.19	10.56	4987	50.99	64.91	582

Table 8 Overview of computation times and memory requirements for the *RSC15-S* dataset and the first split of the *8TRACKS* dataset, ordered in terms of required training times for the *RSC15-S* dataset

this comparison, they are the only method for which the computations are done on the GPU. Running GRU4REC, for example, on a CPU tripled the computation times according to the measurements in Jannach and Ludewig (2017).

Looking at the times needed to compute a single recommendation list, given a session beginning, we can observe that the simple rule-based methods AR, MC, and SR are among the fastest ones with prediction times at about 3 ms for the *RSC15-S* dataset. The factorization-based methods and GRU4REC are also very efficient, with prediction times mostly below 10 ms on average. The nearest-neighbor methods are slower for this task as they have to consider the neighbors in the prediction process. Since the neighbors can be determined through fast lookup operations, the overall prediction time even for the more elaborate S-SKNN and V-SKNN similarity schemes never exceeds 33 ms for creating a recommendation list.

Looking at the *8TRACKS* dataset with its large number of items, we can, however, see that the prediction times for many algorithms, including GRU4REC and several of the factorization-based ones significantly increase, while the prediction time for the neighborhood models only doubles. In the end, making the neighborhood-based computations is at least as fast as computing the predictions based on the offline-trained models. Overall, due to the used in-memory data structures and through the neighborhood sampling approach, such neighborhood models are also suited under the narrow time constraints of real-time recommendations. Differently from other methods, newly arriving interaction signals can be easily included in the underlying model without re-training (Jugovac et al. 2018).

Memory requirements In terms of the memory requirements, the rule-based methods AR, MC, and SR that basically record item co-occurrences of size two require the least memory, i.e., below 100 megabytes. Also the memory demands of GRU4REC are very



low in this comparison, and GRU4REC occupies only about 60 MB of memory on the graphics card for the *RSC15-S* dataset. The factorization-based methods and the neighborhood methods, in contrast, have substantially higher memory requirements. The lookup data structures of the neighborhood-based methods, for example, in our implementation occupy about 6 GB of memory. When additional recency-based sampling is applied, which according to the analyses above does not hurt accuracy, these demands could, however, be substantially lowered.

For some algorithms, the memory requirements largely depend on the characteristics of the datasets. Looking at the numbers for the 8TRACKS dataset, which covers over 300,000 different items (in contrast to the about 30,000 of the RSC15 dataset), we see that in particular the memory demand of GRU4REC substantially increases with the number of items. As a result, GRU4REC's network even needs more memory than neighborhood-based methods for this dataset. Given these observations it seems promising to implement additional data sampling strategies within the more complex methods—as we did for the nearest neighbor methods—to decrease their computational demands.

6 Conclusion and future directions

6.1 Summary of main insights

Being able to predict the user's short-term interest in an online session is a highly relevant problem in practice, which has raised increased interest also in the academic field in recent years. Even though a number of different algorithmic approaches were proposed over the years, no standard benchmark datasets and baseline algorithms exist today. In this work, we have compared a number of very recent and computationally complex algorithms for session-based recommendation with more light-weight approaches based, e.g., on session neighborhoods. The experimental analyses on a number of different datasets show that in many cases one of the simpler methods is able to outperform even the most recent methods based on recurrent neural networks in terms of the prediction accuracy. At the same time, the computational demands of these methods can be kept comparably low when using in-memory cache data structures and data sampling.

Overall, the results, therefore, indicate that additional research is required with respect to the development of sophisticated models that are more flexible in terms of how much sequential information is contained in the training data. This is in particular the case as several improvements for the nearest-neighbor methods can be imagined as well. In this work, we could for example observe that already using a different similarity measure, as done in the V-SKNN method, can lead to substantial performance improvements for different datasets. As a side result, we noticed that using the latent feature vectors of the items of the current session for sequential factorization-based methods does not lead to high accuracy values and that such methods are usually not strong baselines when comparing session-based algorithms.



Currently, constantly new deep learning-based algorithms for session-based recommendation are proposed, e.g., Liu et al. (2018) and Li et al. (2018), which, for example, report improvements over GRU4REC. We performed an initial evaluation of the STAMP method proposed in Liu et al. (2018). Our first results indicate that STAMP does not outperform the trivial SR technique in terms of the MRR on the *Diginetica* dataset that was used for the evaluation in Liu et al. (2018). The STAMP method, however, seems to be advantageous in terms of the hit rate for this particular dataset.

Generally, it is of course surprising that a recent and popular RNN-based method is not substantially better than longer-existing nearest neighbor approaches. We believe that this might be a result of the fact that for the specific task of session-based recommendation no "standard" existed so far with respect to baseline techniques and evaluation protocols. With this work, our aim is to contribute better baselines to benchmark session-based algorithms in the future. A limitation of our work in some sense is that we could not identify *one* best baseline method across all settings and datasets. While we would identify at least one very-well performing simpler method for each dataset, the relative performance of the algorithms seems depend on a number of factors, which are not yet fully understood.

Nevertheless, as the simple baseline approaches AR, SR, and S-KNN are computationally cheap and easy to test, their results obtained for a given dataset can potentially be used as an indicator for the general characteristics that a more complex model should aim to implement. If it, for example, turns out that SR is the best performing baseline method, GRU4REC, an extension to GRU4REC or a different sequential model might probably be a good choice. In contrast, a good performance of S-KNN indicates that a more sophisticated model should not necessarily focus too much on the order of the items in a session.

6.2 Future directions

From an algorithmic perspective, we believe that future complex models should consider more than the last event in a session when making the next-item prediction. Even in GRU4REC, the previous items of a session are only considered implicitly through the hidden states in the prediction process. Our neighborhood models are in most cases much better when they consider all events in a session, albeit with a focus on the most recent interactions. In that context and in particular for longer sessions, it might also be helpful to detect interest changes that happen *within* an individual session. This could, for example be achieved by considering *semantic* information (e.g., meta-data or content features) about the items of the session, as was done, for example, in Hariri et al. (2012) or Hidasi et al. (2016b). Recent advances in the area of deep learning might be particularly helpful in this context to extract such content features, e.g., from text, images, or videos, and to use this information in *hybrid* approaches. Furthermore, the work in this paper focused on item-view events and more research is required to understand how to leverage other types of user actions like "add-to-wishlist" or "add-to-cart" in the learning and prediction process. With that information at hand, also



other types of prediction problems can be addressed, e.g., whether or not a session will lead to a purchase or if there is a high probability that the user will abandon the session.

Going beyond the current session, more research also seems required in the area of *session-aware* recommendation and the consideration of previous sessions of the current user. Open questions in this area are, for example, how to model general long-term user preferences (e.g., towards certain brands in e-commerce), how to detect user-individual preference drifts, or how to identify a subset of past sessions that are good predictors for the current one. This latter aspect was for example explored in Lerche et al. (2016) in the context of using recommendations as reminders. In addition, more elaborate strategies than static weighting schemes can be envisioned when combining short-term and long-term models. The importance weights, could for example be determined based on the length of the current session or the specific items that were considered.

Besides the consideration of signals at the individual user level, future research might also explore the incorporation of additional contextual factors or short-term trends in the community as a whole, when predicting the relevance of individual items. Recent works Tan et al. (2016), Jannach and Ludewig (2017), and Jannach et al. (2017b) for example showed that considering short-term popularity trends and recency effects can lead to significant performance improvements in the e-commerce domain. Item recency (freshness) also plays a particular role in other domains such as music and news recommendation, and more work is required to understand how to integrate these aspects in today's recommendation algorithms.

Finally, since the relative performance of the different algorithms tested in our work sometimes varies across different datasets, more research is required to understand in which situations certain algorithms are better suited than others. These insights can then be further used to inform the design of hybrid recommendation approaches, which have shown to lead to the highest recommendation accuracy for session-based recommendation also in Jannach and Ludewig (2017). Generally, many factors can influence the performance of a certain recommendation algorithm. Adomavicius and Zhang (2012) have, for example, made a number of important analyses aiming to relate dataset characteristics, e.g., rating distributions and dataset sizes, with prediction accuracy. In the context of session-based recommendation problems, additional factors may have an influence, for example, the existence and strength of the sequential patterns that can be found in the data. Furthermore, often domain-specific aspects like item freshness and general item popularity might play important roles and should be further explored in future research.



A Parameter configurations

See Tables 9, 10, 11 and 12.

Table 9 Parameters for algorithm GRU4REC for all datasets

Dataset	Layer_size	Objective	lr	Momentum	Drop_out
RSC15	100	BPR_{max}	0.20	0.5	0.0
TMALL	100	$TOP1_{max}$	0.05	0.0	0.3
RETAILROCKET	100	$TOP1_{max}$	0.15	0.3	0.0
8TRACKS	100	$TOP1_{max}$	0.10	0.0	0.7
AOTM	100	BPR_{max}	0.10	0.3	0.1
NOWPLAYING	100	BPR_{max}	0.10	0.5	0.1
30MUSIC	100	$TOP1_{max}$	0.10	0.1	0.1
ZALANDO	100	BPR_{max}	0.20	0.1	0.1
CLEF	100	$TOP1_{max}$	0.20	0.2	0.5
LASTFM	100	BPR_{max}	0.15	0.4	0.3

Table 10 Parameters used for the SMF algorithm for all datasets

Dataset	Layer_size	Objective	lr	Momentum	Drop_out	Skip
RSC15	100	$TOP1_{max}$	0.085	0.2	0.30	0.00
TMALL	100	BPR_{max}	0.015	0.6	0.00	0.00
RETAILROCKET	100	BPR_{max}	0.045	0.1	0.40	0.20
8TRACKS	100	$TOP1_{max}$	0.010	0.5	0.30	0.35
AOTM	100	BPR_{max}	0.090	0.8	0.40	0.20
NOWPLAYING	100	$TOP1_{max}$	0.055	0.2	0.40	0.20
30MUSIC	100	$TOP1_{max}$	0.100	0.1	0.20	0.20
ZALANDO	100	$TOP1_{max}$	0.030	0.3	0.25	0.00
CLEF	100	BPR_{max}	0.050	0.0	0.40	0.25
LASTFM	100	$TOP1_{max}$	0.015	0.3	0.15	0.45

Table 11 Parameters used for the V-SKNN algorithm for all datasets

Dataset	K	Samples
RSC15	200	2000
TMALL	200	2000
RETAILROCKET	200	2000
ZALANDO	200	2000
8TRACKS	200	2000
AOTM	200	2000
NOWPLAYING	100	1000
30MUSIC	100	1000
CLEF	100	1000
LASTFM	200	2000



Table 12 Parameters used for the SKNN, S-SKNN, and SF-SKNN algorithm for all datasets

Dataset	K	Samples
RSC15	500	1000
TMALL	100	500
RETAILROCKET	100	500
ZALANDO	100	500
8TRACKS	100	500
AOTM	100	500
NOWPLAYING	100	500
30MUSIC	100	500
CLEF	100	500
LASTFM	100	500

B Full result tables

See Tables 13, 14, 15, 16, 17, 18, 19, 20, 21 and 22.

Table 13 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the RSC15 dataset (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
GRU4REC	0.308	0.683	0.504	0.054	0.301	0.591	0.431	0.058
SR	0.304	0.653	0.668	0.072	0.298	0.569	0.592	0.073
SMF	0.302	0.666	0.565	0.055	0.295	0.575	0.486	0.058
MC	0.300	0.642	0.645	0.070	0.295	0.562	0.584	0.071
AR	0.289	0.636	0.630	0.093	0.283	0.550	0.548	0.091
V-SKNN	0.283	0.653	0.619	0.079	0.277	0.563	0.534	0.081
S-SKNN	0.272	0.602	0.655	0.072	0.267	0.531	0.543	0.077
SF-SKNN	0.270	0.589	0.619	0.066	0.266	0.524	0.545	0.074
S-KNN	0.266	0.621	0.634	0.073	0.259	0.526	0.520	0.078
IKNN	0.208	0.486	0.755	0.041	0.203	0.408	0.671	0.046
FPMC	0.201	0.363	0.975	0.055	0.198	0.311	0.908	0.056
BPR-MF	0.176	0.235	0.911	0.088	0.175	0.223	0.793	0.079
FISM	0.115	0.162	0.974	0.008	0.114	0.149	0.917	0.012
FOSSIL	0.062	0.190	0.917	0.048	0.058	0.135	0.806	0.047



Table 13 continued

Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
GRU4REC	0.285	0.470	0.355	0.062	0.263	0.371	0.180	0.180
SR	0.283	0.457	0.503	0.075	0.262	0.365	0.182	0.182
SMF	0.280	0.459	0.406	0.061	0.258	0.364	0.177	0.177
MC	0.280	0.452	0.506	0.073	0.259	0.362	0.181	0.181
AR	0.268	0.438	0.455	0.092	0.248	0.348	0.171	0.171
V-SKNN	0.261	0.446	0.451	0.084	0.239	0.351	0.154	0.154
S-SKNN	0.252	0.424	0.437	0.082	0.231	0.333	0.153	0.153
SF-SKNN	0.252	0.421	0.457	0.081	0.232	0.332	0.154	0.154
S-KNN	0.244	0.411	0.417	0.084	0.224	0.322	0.149	0.149
IKNN	0.190	0.315	0.566	0.050	0.174	0.244	0.121	0.121
FPMC	0.191	0.261	0.774	0.058	0.183	0.226	0.148	0.148
BPR-MF	0.174	0.214	0.630	0.070	0.172	0.205	0.144	0.144
FISM	0.112	0.135	0.810	0.019	0.110	0.126	0.096	0.096
FOSSIL	0.052	0.092	0.653	0.046	0.047	0.067	0.031	0.031

 $\label{eq:coverage} \textbf{Table 14} \quad \text{Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the RSC15-S dataset (sorted by MRR@20)}$

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SMF	0.309	0.713	0.512	0.052	0.301	0.606	0.414	0.054
GRU4REC	0.308	0.719	0.350	0.033	0.301	0.609	0.277	0.034
SR	0.308	0.690	0.512	0.038	0.301	0.591	0.407	0.038
MC	0.296	0.667	0.518	0.039	0.289	0.567	0.413	0.039
AR	0.281	0.655	0.473	0.045	0.273	0.543	0.374	0.043
V-SKNN	0.274	0.675	0.427	0.037	0.266	0.562	0.328	0.039
S-SKNN	0.266	0.667	0.417	0.035	0.258	0.548	0.309	0.038
SF-SKNN	0.260	0.670	0.446	0.037	0.251	0.545	0.339	0.039
S-KNN	0.250	0.641	0.398	0.036	0.242	0.521	0.293	0.038
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SMF	0.284	0.476	0.320	0.056	0.259	0.368	0.177	0.177
GRU4REC	0.283	0.473	0.207	0.037	0.259	0.369	0.175	0.175
SR	0.284	0.468	0.308	0.040	0.262	0.371	0.179	0.179
MC	0.273	0.444	0.314	0.041	0.252	0.354	0.174	0.174
AR	0.257	0.422	0.283	0.046	0.236	0.333	0.163	0.163
V-SKNN	0.248	0.429	0.242	0.042	0.225	0.329	0.145	0.145
S-SKNN	0.240	0.414	0.221	0.041	0.218	0.318	0.142	0.142
SF-SKNN	0.233	0.406	0.246	0.042	0.210	0.307	0.137	0.137
S-KNN	0.224	0.390	0.207	0.041	0.203	0.297	0.133	0.133



Table 15 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the TMALL dataset (sorted by MRR@20)

-					MDD @ 10			DOD@10
Algorithm	MRR@20	HK@20	COV@20	POP@20	MRR@10	HK@10	COV@10	POP@10
S-SKNN	0.185	0.387	0.467	0.025	0.181	0.330	0.309	0.027
S-KNN	0.182	0.404	0.381	0.026	0.177	0.334	0.249	0.029
V-SKNN	0.179	0.373	0.464	0.024	0.175	0.312	0.320	0.026
BPR-MF	0.159	0.204	0.723	0.057	0.159	0.197	0.534	0.076
SF-SKNN	0.136	0.216	0.436	0.018	0.135	0.203	0.338	0.022
GRU4REC	0.129	0.277	0.151	0.035	0.125	0.225	0.109	0.039
AR	0.129	0.262	0.509	0.021	0.126	0.217	0.358	0.024
SR	0.128	0.242	0.569	0.021	0.125	0.206	0.421	0.023
SMF	0.121	0.261	0.261	0.036	0.118	0.213	0.193	0.039
MC	0.116	0.200	0.498	0.019	0.114	0.178	0.391	0.022
FPMC	0.101	0.119	0.880	0.005	0.100	0.114	0.730	0.007
IKNN	0.051	0.150	0.728	0.007	0.048	0.112	0.575	0.008
FISM	0.024	0.037	0.752	0.003	0.023	0.032	0.586	0.003
FOSSIL	0.001	0.004	0.598	0.016	0.001	0.003	0.457	0.021
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
S-SKNN	0.173	0.267	0.196	0.031	0.161	0.217	0.119	0.119
S-KNN	0.168	0.264	0.161	0.032	0.156	0.212	0.113	0.113
V-SKNN	0.167	0.251	0.218	0.029	0.157	0.207	0.118	0.118
BPR-MF	0.157	0.189	0.343	0.097	0.156	0.181	0.134	0.134
SF-SKNN	0.132	0.182	0.243	0.026	0.127	0.160	0.101	0.101
GRU4REC	0.119	0.177	0.078	0.043	0.112	0.145	0.086	0.086
AR	0.120	0.175	0.235	0.027	0.113	0.145	0.089	0.089
SR	0.120	0.170	0.286	0.026	0.114	0.144	0.091	0.091
SMF	0.112	0.168	0.140	0.041	0.105	0.138	0.079	0.079
MC	0.111	0.151	0.284	0.025	0.106	0.131	0.086	0.086
FPMC	0.100	0.109	0.540	0.010	0.099	0.105	0.093	0.093
IKNN	0.044	0.079	0.403	0.009	0.039	0.058	0.025	0.025
FISM	0.023	0.028	0.419	0.004	0.022	0.026	0.019	0.019
FOSSIL	0.001	0.002	0.310	0.028	0.001	0.002	0.001	0.001



Table 16 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the RETAILROCKET dataset (sorted by MRR@20)

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Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
S-SKNN	0.345	0.591	0.596	0.056	0.341	0.537	0.480	0.066
V-SKNN	0.338	0.573	0.575	0.060	0.334	0.519	0.474	0.069
S-KNN	0.337	0.583	0.566	0.058	0.333	0.528	0.445	0.068
BPR-MF	0.303	0.357	0.824	0.060	0.303	0.352	0.627	0.072
FPMC	0.273	0.320	0.929	0.022	0.272	0.309	0.777	0.026
SF-SKNN	0.260	0.358	0.403	0.035	0.259	0.350	0.373	0.046
SR	0.245	0.419	0.524	0.042	0.243	0.386	0.458	0.050
GRU4REC	0.243	0.480	0.602	0.060	0.238	0.415	0.478	0.066
AR	0.241	0.439	0.544	0.053	0.238	0.390	0.449	0.061
MC	0.230	0.359	0.411	0.035	0.228	0.343	0.383	0.045
SMF	0.225	0.459	0.449	0.085	0.221	0.393	0.360	0.092
IKNN	0.107	0.240	0.584	0.033	0.105	0.202	0.505	0.038
FISM	0.075	0.132	0.848	0.018	0.074	0.112	0.672	0.019
FOSSIL	0.022	0.058	0.753	0.127	0.020	0.043	0.560	0.150
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
Algorithm S-SKNN	MRR@5	HR@5 0.470	COV@5	POP@5 0.076		HR@3 0.406	MRR@1 0.247	HR@1 0.247
					MRR@3			
S-SKNN	0.332	0.470	0.344	0.076	MRR@3	0.406	0.247	0.247
S-SKNN V-SKNN	0.332 0.326	0.470 0.455	0.344 0.348	0.076 0.078	MRR@3 0.318 0.312	0.406 0.396	0.247 0.245	0.247 0.245
S-SKNN V-SKNN S-KNN	0.332 0.326 0.324	0.470 0.455 0.458	0.344 0.348 0.316	0.076 0.078 0.080	MRR@3 0.318 0.312 0.310	0.406 0.396 0.396	0.247 0.245 0.242	0.247 0.245 0.242
S-SKNN V-SKNN S-KNN BPR-MF	0.332 0.326 0.324 0.302	0.470 0.455 0.458 0.345	0.344 0.348 0.316 0.417	0.076 0.078 0.080 0.083	MRR@3 0.318 0.312 0.310 0.300	0.406 0.396 0.396 0.337	0.247 0.245 0.242 0.267	0.247 0.245 0.242 0.267
S-SKNN V-SKNN S-KNN BPR-MF FPMC	0.332 0.326 0.324 0.302 0.271	0.470 0.455 0.458 0.345 0.298	0.344 0.348 0.316 0.417 0.560	0.076 0.078 0.080 0.083 0.032	MRR@3 0.318 0.312 0.310 0.300 0.269	0.406 0.396 0.396 0.337 0.289	0.247 0.245 0.242 0.267 0.251	0.247 0.245 0.242 0.267 0.251
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN	0.332 0.326 0.324 0.302 0.271 0.257	0.470 0.455 0.458 0.345 0.298 0.331	0.344 0.348 0.316 0.417 0.560 0.311	0.076 0.078 0.080 0.083 0.032 0.058	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250	0.406 0.396 0.396 0.337 0.289 0.302	0.247 0.245 0.242 0.267 0.251 0.208	0.247 0.245 0.242 0.267 0.251 0.208
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN SR	0.332 0.326 0.324 0.302 0.271 0.257 0.236	0.470 0.455 0.458 0.345 0.298 0.331 0.337	0.344 0.348 0.316 0.417 0.560 0.311 0.354	0.076 0.078 0.080 0.083 0.032 0.058 0.059	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250 0.225	0.406 0.396 0.396 0.337 0.289 0.302 0.288	0.247 0.245 0.242 0.267 0.251 0.208 0.176	0.247 0.245 0.242 0.267 0.251 0.208 0.176
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN SR GRU4REC	0.332 0.326 0.324 0.302 0.271 0.257 0.236 0.229	0.470 0.455 0.458 0.345 0.298 0.331 0.337 0.345	0.344 0.348 0.316 0.417 0.560 0.311 0.354 0.350	0.076 0.078 0.080 0.083 0.032 0.058 0.059 0.072	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250 0.225 0.215	0.406 0.396 0.396 0.337 0.289 0.302 0.288 0.285	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN SR GRU4REC AR	0.332 0.326 0.324 0.302 0.271 0.257 0.236 0.229	0.470 0.455 0.458 0.345 0.298 0.331 0.337 0.345 0.331	0.344 0.348 0.316 0.417 0.560 0.311 0.354 0.350 0.333	0.076 0.078 0.080 0.083 0.032 0.058 0.059 0.072	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250 0.225 0.215 0.218	0.406 0.396 0.396 0.337 0.289 0.302 0.288 0.285	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN SR GRU4REC AR MC	0.332 0.326 0.324 0.302 0.271 0.257 0.236 0.229 0.230 0.224	0.470 0.455 0.458 0.345 0.298 0.331 0.337 0.345 0.331	0.344 0.348 0.316 0.417 0.560 0.311 0.354 0.350 0.333	0.076 0.078 0.080 0.083 0.032 0.058 0.059 0.072 0.071 0.056	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250 0.225 0.215 0.218 0.215	0.406 0.396 0.396 0.337 0.289 0.302 0.288 0.285 0.280 0.270	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170 0.171
S-SKNN V-SKNN S-KNN BPR-MF FPMC SF-SKNN SR GRU4REC AR MC SMF	0.332 0.326 0.324 0.302 0.271 0.257 0.236 0.229 0.230 0.224	0.470 0.455 0.458 0.345 0.298 0.331 0.337 0.345 0.331 0.308	0.344 0.348 0.316 0.417 0.560 0.311 0.354 0.350 0.333 0.322 0.270	0.076 0.078 0.080 0.083 0.032 0.058 0.059 0.072 0.071 0.056 0.099	MRR@3 0.318 0.312 0.310 0.300 0.269 0.250 0.225 0.215 0.218 0.215 0.198	0.406 0.396 0.396 0.337 0.289 0.302 0.288 0.285 0.280 0.270	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170 0.171	0.247 0.245 0.242 0.267 0.251 0.208 0.176 0.161 0.170 0.171



Table 17 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the ZALANDO dataset (sorted by MRR@20)

-					ibo dataset (
Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.304	0.483	0.586	0.061	0.302	0.462	0.433	0.066
MC	0.303	0.455	0.513	0.060	0.302	0.441	0.412	0.066
IKNN	0.275	0.405	0.714	0.037	0.273	0.385	0.532	0.041
GRU4REC	0.267	0.468	0.304	0.101	0.265	0.433	0.239	0.103
SMF	0.267	0.447	0.362	0.107	0.265	0.418	0.282	0.108
AR	0.258	0.467	0.467	0.089	0.256	0.435	0.337	0.090
SF-SKNN	0.249	0.438	0.432	0.057	0.249	0.430	0.348	0.068
V-SKNN	0.233	0.521	0.432	0.096	0.230	0.482	0.296	0.096
S-SKNN	0.219	0.499	0.435	0.087	0.216	0.456	0.280	0.092
S-KNN	0.172	0.456	0.309	0.093	0.167	0.380	0.201	0.097
BPR-MF	0.104	0.162	0.609	0.058	0.103	0.152	0.415	0.069
FPMC	0.051	0.075	0.812	0.021	0.050	0.067	0.629	0.022
FISM	0.004	0.011	0.624	0.020	0.004	0.008	0.444	0.020
FOSSIL	0.002	0.005	0.671	0.034	0.002	0.004	0.493	0.036
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.298	0.429	0.290	0.069	0.287	0.382	0.211	0.211
MC	0.298	0.415	0.292	0.069	0.289	0.377	0.218	0.218
IKNN	0.270	0.362	0.349	0.047	0.264	0.335	0.205	0.205
GRU4REC	0.259	0.389	0.182	0.100	0.247	0.337	0.177	0.177
SMF	0.259	0.380	0.210	0.104	0.249	0.333	0.183	0.183
AR	0.250	0.393	0.233	0.088	0.237	0.338	0.159	0.159
SF-SKNN	0.245	0.403	0.249	0.074	0.232	0.348	0.142	0.142
V-SKNN	0.222	0.422	0.197	0.092	0.205	0.346	0.095	0.095
S-SKNN	0.207	0.388	0.174	0.095	0.189	0.311	0.095	0.095
S-KNN	0.154	0.290	0.125	0.103	0.137	0.216	0.079	0.079
S-KNN BPR-MF	0.154 0.102	0.290 0.141	0.125 0.247	0.103 0.083	0.137 0.099	0.216 0.130	0.079 0.073	0.079 0.073
BPR-MF	0.102	0.141	0.247	0.083	0.099	0.130	0.073	0.073



Table 18 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the 8TRACKS dataset (sorted by MRR@20)

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Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
AR	0.0071	0.0255	0.4530	0.0912	0.0066	0.0173	0.3178	0.1075
SMF	0.0064	0.0231	0.1528	0.0864	0.0058	0.0148	0.1076	0.0916
SR	0.0064	0.0171	0.4967	0.0531	0.0061	0.0125	0.3645	0.0636
SF-SKNN	0.0064	0.0119	0.3049	0.0363	0.0063	0.0102	0.2439	0.0517
V-SKNN	0.0057	0.0352	0.4081	0.1194	0.0048	0.0211	0.2523	0.1353
S-KNN	0.0053	0.0376	0.2431	0.1080	0.0041	0.0198	0.1544	0.1153
IKNN	0.0051	0.0177	0.6956	0.0245	0.0047	0.0124	0.5101	0.0267
GRU4REC	0.0050	0.0189	0.0693	0.1223	0.0045	0.0118	0.0512	0.1326
S-SKNN	0.0048	0.0293	0.4509	0.0807	0.0040	0.0182	0.2741	0.0961
MC	0.0046	0.0099	0.3496	0.0321	0.0045	0.0079	0.2756	0.0402
BPR-MF	0.0002	0.0004	0.6138	0.0088	0.0002	0.0003	0.4253	0.0131
FOSSIL	0.0001	0.0002	0.6703	0.0084	0.0001	0.0002	0.4941	0.0121
FPMC	0.0000	0.0001	0.7608	0.0031	0.0000	0.0001	0.5729	0.0035
FISM	0.0000	0.0001	0.6210	0.0027	0.0000	0.0000	0.4460	0.0028
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
AR	0.0057	0.0108	0.1998	0.1251	0.0049	0.0073	0.0032	0.0032
SMF	0.0051	0.0092	0.0753	0.0958	0.0045	0.0064	0.0031	0.0031
SR	0.0056	0.0090	0.2395	0.0744	0.0051	0.0069	0.0038	0.0038
SF-SKNN	0.0060	0.0083	0.1794	0.0690	0.0057	0.0071	0.0047	0.0047
V-SKNN	0.0034	0.0102	0.1496	0.1364	0.0022	0.0048	0.0004	0.0004
S-KNN	0.0026	0.0079	0.0975	0.1065	0.0016	0.0033	0.0004	0.0004
IKNN	0.0041	0.0078	0.3293	0.0286	0.0035	0.0053	0.0022	0.0022
GRU4REC	0.0039	0.0070	0.0385	0.1414	0.0034	0.0050	0.0023	0.0023
S-SKNN	0.0026	0.0081	0.1404	0.1028	0.0016	0.0034	0.0004	0.0004
MC	0.0043	0.0062	0.2022	0.0493	0.0040	0.0051	0.0032	0.0032
BPR-MF	0.0002	0.0003	0.2576	0.0203	0.0002	0.0002	0.0001	0.0001
FOSSIL	0.0001	0.0001	0.3338	0.0178	0.0001	0.0001	0.0001	0.0001
FPMC	0.0000	0.0001	0.3879	0.0043	0.0000	0.0000	0.0000	0.0000
FISM	0.0000	0.0000	0.2952	0.0030	0.0000	0.0000	0.0000	0.0000



Table 19 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the AOTM dataset (sorted by MRR@20)

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Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SMF	0.0111	0.0298	0.2457	0.1998	0.0105	0.0205	0.1795	0.2085
SF-SKNN	0.0111	0.0145	0.3559	0.0508	0.0110	0.0139	0.3022	0.0686
SR	0.0077	0.0195	0.5864	0.0533	0.0073	0.0149	0.4481	0.0599
GRU4REC	0.0072	0.0157	0.4653	0.1151	0.0070	0.0125	0.3550	0.1131
MC	0.0063	0.0133	0.3803	0.0498	0.0062	0.0112	0.3198	0.0602
AR	0.0059	0.0233	0.5532	0.1049	0.0053	0.0146	0.4003	0.1178
V-SKNN	0.0055	0.0378	0.5363	0.1397	0.0043	0.0208	0.3357	0.1550
S-SKNN	0.0055	0.0397	0.5357	0.1289	0.0042	0.0209	0.3228	0.1475
S-KNN	0.0054	0.0429	0.2802	0.1678	0.0038	0.0200	0.1785	0.1666
IKNN	0.0049	0.0187	0.7880	0.0473	0.0045	0.0122	0.5777	0.0481
FOSSIL	0.0007	0.0027	0.5529	0.0978	0.0006	0.0017	0.3717	0.1139
BPR-MF	0.0005	0.0018	0.5659	0.0968	0.0005	0.0012	0.3550	0.1180
FPMC	0.0003	0.0007	0.7851	0.0264	0.0003	0.0006	0.5867	0.0289
FISM	0.0001	0.0004	0.6172	0.0272	0.0001	0.0002	0.4296	0.0288
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SMF	0.0097	0.0149	0.1265	0.2136	0.0091	0.0118	0.0070	0.0070
SF-SKNN	0.0109	0.0130	0.2306	0.0875	0.0107	0.0121	0.0096	0.0096
SR	0.0068	0.0107	0.3015	0.0626	0.0062	0.0081	0.0047	0.0047
GRU4REC	0.0067	0.0102	0.2432	0.1141	0.0063	0.0085	0.0045	0.0045
MC	0.0059	0.0089	0.2449	0.0681	0.0055	0.0072	0.0042	0.0042
AR	0.0046	0.0089	0.2543	0.1318	0.0039	0.0059	0.0024	0.0024
V-SKNN	0.0027	0.0085	0.1927	0.1592	0.0016	0.0034	0.0004	0.0004
S-SKNN	0.0025	0.0077	0.1718	0.1558	0.0014	0.0028	0.0005	0.0005
S-KNN	0.0021	0.0063	0.1108	0.1549	0.0011	0.0022	0.0005	0.0005
IKNN	0.0038	0.0073	0.3591	0.0500	0.0033	0.0051	0.0020	0.0020
FOSSIL	0.0005	0.0010	0.2311	0.1308	0.0005	0.0007	0.0003	0.0003
BPR-MF	0.0004	0.0007	0.1900	0.1403	0.0004	0.0005	0.0003	0.0003
FPMC	0.0003	0.0004	0.3884	0.0325	0.0003	0.0003	0.0003	0.0003
FISM	0.0001	0.0001	0.2743	0.0311	0.0000	0.0000	0.0000	0.0000



Table 20 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the 30MUSIC dataset (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.2377	0.3323	0.3893	0.0232	0.2363	0.3120	0.2913	0.0273
MC	0.2318	0.2844	0.2038	0.0205	0.2314	0.2780	0.1804	0.0265
GRU4REC	0.2264	0.3257	0.3447	0.0556	0.2249	0.3042	0.2423	0.0567
SF-SKNN	0.2079	0.2856	0.1854	0.0219	0.2078	0.2834	0.1634	0.0302
SMF	0.1777	0.2843	0.1508	0.1048	0.1756	0.2547	0.1117	0.1062
V-SKNN	0.1099	0.3819	0.3170	0.0538	0.1040	0.3002	0.1944	0.0573
IKNN	0.1086	0.2971	0.4596	0.0226	0.1053	0.2501	0.3122	0.0249
S-SKNN	0.1077	0.3856	0.2931	0.0515	0.1014	0.2975	0.1759	0.0569
AR	0.0960	0.3088	0.3524	0.0394	0.0911	0.2395	0.2375	0.0433
S-KNN	0.0898	0.3443	0.1912	0.0574	0.0832	0.2501	0.1155	0.0637
BPR-MF	0.0427	0.0580	0.4521	0.0281	0.0425	0.0548	0.2792	0.0381
FPMC	0.0293	0.0359	0.6544	0.0078	0.0291	0.0334	0.4556	0.0085
FISM	0.0029	0.0047	0.4676	0.0084	0.0028	0.0038	0.3052	0.0089
FOSSIL	0.0029	0.0100	0.3347	0.0297	0.0027	0.0073	0.1919	0.0436
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.2326	0.2845	0.1920	0.0294	0.2270	0.2601	0.2005	0.2005
MC	0.2298	0.2663	0.1467	0.0309	0.2270	0.2544	0.2043	0.2043
GRU4REC	0.2215	0.2796	0.1629	0.0557	0.2162	0.2564	0.1835	0.1835
SF-SKNN	0.2062	0.2726	0.1318	0.0371	0.2009	0.2499	0.1614	0.1614
SMF	0.1712	0.2223	0.0817	0.1057	0.1655	0.1970	0.1405	0.1405
V-SKNN	0.0882	0.1813	0.1165	0.0612	0.0727	0.1125	0.0442	0.0442
IKNN	0.0956	0.1788	0.1961	0.0248	0.0837	0.1265	0.0523	0.0523
S-SKNN	0.0851	0.1753	0.1043	0.0629	0.0701	0.1086	0.0428	0.0428
AR	0.0803	0.1580	0.1466	0.0476	0.0686	0.1063	0.0413	0.0413
S-KNN	0.0689	0.1424	0.0691	0.0714	0.0566	0.0877	0.0344	0.0344
BPR-MF	0.0421	0.0515	0.1523	0.0518	0.0414	0.0483	0.0356	0.0356
FPMC	0.0289	0.0317	0.2872	0.0096	0.0286	0.0303	0.0272	0.0272
FISM	0.0028	0.0034	0.1859	0.0095	0.0027	0.0030	0.0025	0.0025
FOSSIL	0.0023	0.0048	0.0914	0.0634	0.0019	0.0031	0.0011	0.0011



Table 21 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the NOWPLAYING dataset (sorted by MRR@20)

		-, -,-,	,				- ,	- /
Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.1053	0.2033	0.4656	0.0247	0.1031	0.1712	0.3605	0.0284
GRU4REC	0.1018	0.1970	0.4331	0.0516	0.0995	0.1632	0.3261	0.0529
MC	0.0971	0.1582	0.2936	0.0284	0.0960	0.1417	0.2547	0.0347
SF-SKNN	0.0954	0.1647	0.2773	0.0311	0.0945	0.1524	0.2369	0.0414
SMF	0.0882	0.1825	0.2417	0.0916	0.0859	0.1484	0.1847	0.0960
V-SKNN	0.0785	0.2552	0.4283	0.0639	0.0737	0.1861	0.2904	0.0719
S-SKNN	0.0777	0.2622	0.4149	0.0624	0.0725	0.1880	0.2727	0.0699
AR	0.0710	0.2076	0.4531	0.0511	0.0672	0.1518	0.3261	0.0584
S-KNN	0.0689	0.2429	0.3007	0.0690	0.0637	0.1676	0.1962	0.0759
IKNN	0.0569	0.1822	0.5799	0.0294	0.0534	0.1321	0.4313	0.0308
BPR-MF	0.0392	0.0621	0.5903	0.0672	0.0387	0.0547	0.3764	0.0843
FPMC	0.0331	0.0470	0.7865	0.0154	0.0327	0.0418	0.5833	0.0190
FOSSIL	0.0136	0.0432	0.5950	0.0336	0.0127	0.0302	0.4035	0.0389
FISM	0.0108	0.0183	0.6451	0.0110	0.0105	0.0145	0.4551	0.0123
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.0988	0.1395	0.2490	0.0305	0.0938	0.1173	0.0760	0.0760
GRU4REC	0.0958	0.1352	0.2282	0.0540	0.0913	0.1154	0.0726	0.0726
MC	0.0935	0.1236	0.2024	0.0409	0.0904	0.1099	0.0751	0.0751
SF-SKNN	0.0921	0.1344	0.1856	0.0518	0.0876	0.1149	0.0665	0.0665
SMF	0.0818	0.1181	0.1358	0.0985	0.0774	0.0985	0.0614	0.0614
V-SKNN	0.0651	0.1213	0.1819	0.0786	0.0562	0.0819	0.0381	0.0381
S-SKNN	0.0632	0.1181	0.1657	0.0771	0.0544	0.0790	0.0371	0.0371
AR	0.0611	0.1060	0.2114	0.0672	0.0543	0.0763	0.0379	0.0379
S-KNN	0.0556	0.1048	0.1226	0.0825	0.0476	0.0695	0.0318	0.0318
IKNN	0.0477	0.0884	0.2869	0.0317	0.0416	0.0615	0.0265	0.0265
BPR-MF	0.0378	0.0482	0.2043	0.0995	0.0367	0.0433	0.0314	0.0314
FPMC	0.0321	0.0371	0.3807	0.0238	0.0316	0.0346	0.0293	0.0293
FOSSIL	0.0113	0.0195	0.2502	0.0439	0.0102	0.0148	0.0069	0.0069
FISM	0.0102	0.0122	0.2934	0.0143	0.0100	0.0111	0.0092	0.0092



Table 22 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the CLEF dataset (sorted by MRR@20)

-						-		
Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SMF	0.234	0.706	0.650	0.083	0.222	0.529	0.582	0.097
MC	0.225	0.687	0.732	0.095	0.213	0.514	0.705	0.123
V-SKNN	0.224	0.776	0.621	0.082	0.211	0.596	0.566	0.113
SR	0.223	0.672	0.655	0.093	0.212	0.513	0.608	0.123
GRU4REC	0.220	0.568	0.174	0.094	0.212	0.462	0.129	0.118
S-KNN	0.219	0.778	0.613	0.084	0.205	0.588	0.545	0.122
AR	0.216	0.666	0.724	0.100	0.204	0.490	0.656	0.148
IKNN	0.188	0.596	0.746	0.059	0.177	0.436	0.722	0.047
FPMC	0.171	0.598	0.847	0.082	0.159	0.414	0.721	0.093
FOSSIL	0.166	0.571	0.963	0.079	0.155	0.417	0.864	0.093
FISM	0.129	0.403	0.997	0.080	0.122	0.297	0.963	0.108
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SMF	0.198	0.354	0.511	0.109	0.176	0.255	0.117	0.117
MC	0.190	0.339	0.653	0.144	0.170	0.249	0.113	0.113
V-SKNN	0.185	0.404	0.495	0.154	0.154	0.264	0.076	0.076
SR	0.189	0.337	0.542	0.146	0.169	0.246	0.111	0.111
GRU4REC	0.195	0.331	0.101	0.138	0.177	0.252	0.118	0.118
S-KNN	0.179	0.394	0.476	0.164	0.148	0.254	0.070	0.070
AR	0.183	0.339	0.559	0.215	0.161	0.242	0.102	0.102
IKNN	0.159	0.300	0.669	0.046	0.138	0.209	0.084	0.084
FPMC	0.138	0.258	0.601	0.108	0.120	0.178	0.078	0.078
FOSSIL	0.136	0.268	0.703	0.101	0.119	0.195	0.064	0.064
FISM	0.109	0.201	0.857	0.140	0.096	0.142	0.064	0.064



C Additional results for precision and recall

See Tables 23, 24, 25, 26, 27, 28, 29 and 30.

Table 23 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the TMALL dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
S-KNN	0.095	0.312	0.141	0.257	0.196	0.199	0.235	0.156
S-SKNN	0.094	0.263	0.139	0.215	0.191	0.165	0.229	0.129
V-SKNN	0.091	0.291	0.131	0.239	0.186	0.187	0.230	0.150
SMF	0.068	0.230	0.099	0.184	0.139	0.141	0.172	0.113
GRU4REC	0.068	0.233	0.098	0.187	0.137	0.143	0.170	0.115
AR	0.057	0.173	0.082	0.138	0.115	0.106	0.143	0.085
SR	0.052	0.193	0.081	0.162	0.121	0.131	0.158	0.109
IKNN	0.043	0.112	0.059	0.082	0.077	0.057	0.091	0.042
SF-SKNN	0.041	0.136	0.072	0.125	0.116	0.108	0.154	0.092
MC	0.036	0.124	0.058	0.107	0.090	0.089	0.119	0.075
BPR-MF	0.027	0.113	0.050	0.108	0.092	0.102	0.142	0.097
FPMC	0.015	0.078	0.028	0.073	0.050	0.068	0.077	0.064
FISM	0.009	0.046	0.015	0.042	0.027	0.038	0.040	0.035
FOSSIL	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

Table 24 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the RETAILROCKET dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
S-SKNN	0.057	0.480	0.096	0.434	0.156	0.376	0.214	0.326
S-KNN	0.056	0.478	0.095	0.433	0.152	0.373	0.208	0.323
V-SKNN	0.055	0.462	0.093	0.417	0.152	0.364	0.209	0.316
SMF	0.047	0.397	0.074	0.335	0.113	0.271	0.148	0.219
GRU4REC	0.046	0.400	0.073	0.339	0.111	0.271	0.143	0.215
AR	0.041	0.360	0.068	0.318	0.109	0.268	0.147	0.225
SR	0.038	0.342	0.067	0.313	0.110	0.269	0.149	0.227
MC	0.030	0.284	0.056	0.271	0.097	0.242	0.136	0.210
SF-SKNN	0.030	0.285	0.057	0.280	0.105	0.263	0.156	0.240
BPR-MF	0.029	0.286	0.056	0.282	0.107	0.277	0.172	0.272
IKNN	0.026	0.199	0.042	0.167	0.061	0.129	0.077	0.103
FPMC	0.023	0.253	0.043	0.246	0.083	0.239	0.133	0.233
FOSSIL	0.006	0.057	0.009	0.045	0.012	0.032	0.016	0.025
FISM	0.005	0.059	0.008	0.045	0.011	0.034	0.014	0.027



Table 25 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the ZALANDO dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
V-SKNN	0.076	0.219	0.129	0.195	0.210	0.168	0.282	0.141
S-SKNN	0.075	0.217	0.127	0.192	0.198	0.159	0.252	0.127
S-KNN	0.074	0.202	0.115	0.169	0.164	0.130	0.196	0.098
GRU4REC	0.065	0.181	0.109	0.161	0.181	0.141	0.247	0.120
SMF	0.062	0.175	0.103	0.154	0.169	0.133	0.228	0.112
AR	0.060	0.179	0.105	0.161	0.178	0.141	0.241	0.118
SR	0.060	0.174	0.106	0.161	0.185	0.146	0.260	0.127
MC	0.054	0.167	0.100	0.157	0.176	0.142	0.246	0.123
SF-SKNN	0.053	0.165	0.101	0.160	0.182	0.147	0.254	0.129
IKNN	0.045	0.134	0.080	0.122	0.137	0.109	0.196	0.096
BPR-MF	0.026	0.089	0.048	0.084	0.088	0.078	0.133	0.072
FPMC	0.016	0.060	0.029	0.055	0.051	0.051	0.078	0.047
FOSSIL	0.009	0.026	0.013	0.020	0.018	0.015	0.023	0.011
FISM	0.007	0.028	0.013	0.024	0.021	0.021	0.031	0.018

 $\begin{tabular}{ll} \textbf{Table 26} & Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the 8TRACKS dataset (sorted by $P@20) \end{tabular}$

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
V-SKNN	0.0122	0.0308	0.0138	0.0184	0.0125	0.0084	0.0109	0.0047
S-KNN	0.0117	0.0313	0.0119	0.0171	0.0090	0.0067	0.0059	0.0026
S-SKNN	0.0100	0.0266	0.0114	0.0162	0.0097	0.0070	0.0065	0.0029
AR	0.0087	0.0219	0.0112	0.0147	0.0136	0.0090	0.0155	0.0062
SMF	0.0086	0.0218	0.0104	0.0135	0.0118	0.0078	0.0126	0.0052
IKNN	0.0060	0.0149	0.0077	0.0102	0.0092	0.0063	0.0103	0.0043
SR	0.0055	0.0140	0.0077	0.0099	0.0098	0.0067	0.0111	0.0046
GRU4REC	0.0037	0.0095	0.0046	0.0061	0.0056	0.0039	0.0064	0.0027
SF-SKNN	0.0032	0.0081	0.0052	0.0067	0.0079	0.0052	0.0104	0.0042
MC	0.0025	0.0064	0.0036	0.0048	0.0051	0.0035	0.0065	0.0028
FOSSIL	0.0021	0.0043	0.0024	0.0025	0.0027	0.0013	0.0029	0.0009
BPR-MF	0.0018	0.0037	0.0021	0.0020	0.0022	0.0011	0.0019	0.0006
FPMC	0.0005	0.0012	0.0008	0.0009	0.0012	0.0007	0.0016	0.0006
FISM	0.0004	0.0008	0.0004	0.0005	0.0004	0.0002	0.0005	0.0002



Table 27 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the AOTM dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
S-KNN	0.0155	0.0440	0.0157	0.0214	0.0099	0.0058	0.0056	0.0023
V-SKNN	0.0133	0.0361	0.0145	0.0196	0.0118	0.0078	0.0106	0.0056
S-SKNN	0.0125	0.0353	0.0122	0.0178	0.0084	0.0065	0.0054	0.0027
SMF	0.0084	0.0259	0.0105	0.0163	0.0130	0.0104	0.0143	0.0070
AR	0.0066	0.0183	0.0082	0.0119	0.0095	0.0068	0.0107	0.0049
IKNN	0.0056	0.0155	0.0069	0.0102	0.0082	0.0062	0.0090	0.0043
SR	0.0053	0.0146	0.0070	0.0098	0.0082	0.0061	0.0092	0.0041
SF-SKNN	0.0024	0.0074	0.0043	0.0068	0.0075	0.0062	0.0108	0.0055
MC	0.0022	0.0069	0.0034	0.0056	0.0049	0.0043	0.0060	0.0033
FOSSIL	0.0012	0.0036	0.0013	0.0023	0.0015	0.0013	0.0017	0.0008
GRU4REC	0.0010	0.0027	0.0011	0.0015	0.0014	0.0008	0.0015	0.0005
BPR-MF	0.0004	0.0018	0.0005	0.0012	0.0005	0.0007	0.0005	0.0005
FISM	0.0004	0.0013	0.0005	0.0007	0.0005	0.0004	0.0004	0.0002
FPMC	0.0002	0.0007	0.0003	0.0006	0.0004	0.0004	0.0005	0.0004

Table 28 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the 30MUSIC dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
V-SKNN	0.1117	0.2438	0.1585	0.1948	0.1947	0.1371	0.2239	0.1044
S-SKNN	0.1110	0.2353	0.1439	0.1672	0.1431	0.0832	0.1344	0.0458
S-KNN	0.1035	0.2140	0.1295	0.1462	0.1283	0.0722	0.1216	0.0402
IKNN	0.0935	0.2023	0.1336	0.1611	0.1529	0.1015	0.1585	0.0651
AR	0.0914	0.1923	0.1244	0.1435	0.1354	0.0825	0.1386	0.0514
SR	0.0878	0.2010	0.1393	0.1750	0.1884	0.1353	0.2204	0.1042
SMF	0.0746	0.1655	0.1025	0.1272	0.1290	0.0876	0.1451	0.0626
GRU4REC	0.0404	0.0988	0.0627	0.0856	0.0932	0.0715	0.1236	0.0611
SF-SKNN	0.0319	0.0865	0.0591	0.0852	0.1027	0.0793	0.1447	0.0702
MC	0.0313	0.0852	0.0553	0.0811	0.0928	0.0743	0.1333	0.0679
BPR-MF	0.0172	0.0340	0.0290	0.0292	0.0442	0.0227	0.0569	0.0185
FOSSIL	0.0123	0.0188	0.0134	0.0117	0.0134	0.0055	0.0099	0.0027
FPMC	0.0046	0.0146	0.0079	0.0126	0.0137	0.0110	0.0205	0.0100
FISM	0.0015	0.0036	0.0019	0.0022	0.0025	0.0014	0.0025	0.0009



Table 29 Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the NOWPLAYING dataset (sorted by P@20)

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
S-SKNN	0.0726	0.1944	0.0890	0.1296	0.0950	0.0694	0.0935	0.0405
V-SKNN	0.0718	0.1909	0.0900	0.1303	0.1048	0.0873	0.1169	0.0633
S-KNN	0.0680	0.1824	0.0841	0.1186	0.0868	0.0622	0.0890	0.0362
AR	0.0554	0.1551	0.0724	0.1086	0.0876	0.0705	0.0968	0.0491
SR	0.0501	0.1465	0.0717	0.1132	0.0945	0.0826	0.1080	0.0614
SMF	0.0499	0.1453	0.0668	0.1043	0.0840	0.0709	0.0966	0.0525
IKNN	0.0492	0.1385	0.0639	0.0974	0.0755	0.0608	0.0809	0.0405
SF-SKNN	0.0280	0.0903	0.0495	0.0816	0.0761	0.0660	0.0992	0.0539
GRU4REC	0.0272	0.0810	0.0383	0.0601	0.0523	0.0444	0.0636	0.0343
MC	0.0250	0.0845	0.0415	0.0724	0.0625	0.0573	0.0810	0.0473
FOSSIL	0.0169	0.0412	0.0229	0.0291	0.0308	0.0204	0.0359	0.0149
BPR-MF	0.0156	0.0393	0.0231	0.0328	0.0358	0.0275	0.0492	0.0240
FPMC	0.0061	0.0244	0.0102	0.0211	0.0171	0.0181	0.0248	0.0161
FISM	0.0023	0.0077	0.0033	0.0064	0.0051	0.0053	0.0072	0.0044

 $\begin{tabular}{ll} \textbf{Table 30} & Precision (P) and recall (R) results for a list length of 20, 10, 5, and 3 on the CLEF dataset (sorted by $P@20) \end{tabular}$

Algorithm	P@20	R@20	P@10	R@10	P@5	R@5	P@3	R@3
GRU4REC	0.072	0.626	0.100	0.454	0.128	0.298	0.144	0.204
V-SKNN	0.069	0.593	0.089	0.413	0.108	0.262	0.119	0.180
S-SKNN	0.066	0.579	0.086	0.404	0.097	0.244	0.101	0.154
S-KNN	0.066	0.577	0.085	0.399	0.096	0.241	0.099	0.152
SF-SKNN	0.064	0.565	0.082	0.390	0.095	0.241	0.098	0.151
SMF	0.062	0.527	0.084	0.377	0.107	0.246	0.120	0.167
FPMC	0.060	0.515	0.078	0.347	0.093	0.216	0.110	0.154
MC	0.059	0.510	0.081	0.368	0.107	0.251	0.119	0.170
FOSSIL	0.059	0.504	0.075	0.334	0.090	0.205	0.103	0.143
AR	0.058	0.506	0.080	0.364	0.101	0.239	0.115	0.170
SR	0.058	0.502	0.081	0.366	0.108	0.251	0.115	0.162
FISM	0.058	0.506	0.077	0.357	0.095	0.227	0.105	0.156
IKNN	0.050	0.418	0.065	0.290	0.086	0.197	0.099	0.141
BPR-MF	0.016	0.147	0.024	0.120	0.042	0.105	0.062	0.094



D Additional single split results

See Tables 31, 32, 33, 34, 35, 36, 37 and 38.

Table 31 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the TMALL dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
S-SKNN	0.181	0.385	0.560	0.019	0.177	0.329	0.375	0.021
S-KNN	0.177	0.398	0.461	0.020	0.173	0.334	0.306	0.022
V-SKNN	0.169	0.353	0.570	0.019	0.166	0.298	0.405	0.021
SF-SKNN	0.141	0.237	0.577	0.015	0.140	0.220	0.441	0.018
SMF	0.140	0.298	0.461	0.023	0.136	0.245	0.341	0.023
AR	0.131	0.254	0.628	0.019	0.128	0.214	0.457	0.021
SR	0.131	0.243	0.683	0.019	0.129	0.209	0.507	0.020
GRU4REC	0.123	0.263	0.171	0.029	0.120	0.213	0.117	0.032
MC	0.123	0.214	0.673	0.018	0.121	0.188	0.516	0.019
IKNN	0.049	0.147	0.801	0.006	0.047	0.111	0.644	0.006
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
S-SKNN	0.168	0.265	0.240	0.023	0.157	0.215	0.112	0.112
S-KNN	0.163	0.263	0.199	0.024	0.151	0.209	0.106	0.106
V-SKNN	0.158	0.241	0.282	0.023	0.148	0.199	0.109	0.109
SF-SKNN	0.136	0.194	0.309	0.020	0.131	0.168	0.101	0.101
SMF	0.129	0.195	0.244	0.024	0.122	0.160	0.092	0.092
AR	0.123	0.174	0.312	0.023	0.117	0.147	0.094	0.094
SR	0.124	0.173	0.348	0.021	0.118	0.148	0.095	0.095
GRU4REC	0.114	0.169	0.082	0.034	0.107	0.139	0.083	0.083
MC	0.117	0.160	0.361	0.021	0.113	0.139	0.092	0.092
IKNN	0.042	0.077	0.471	0.007	0.038	0.056	0.024	0.024

Table 32 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the RETAILROCKET dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
S-SKNN	0.333	0.580	0.272	0.051	0.329	0.524	0.170	0.060
S-KNN	0.332	0.571	0.250	0.052	0.329	0.520	0.155	0.061
V-SKNN	0.327	0.582	0.272	0.061	0.323	0.520	0.172	0.069
SF-SKNN	0.301	0.463	0.224	0.036	0.299	0.444	0.168	0.049
SR	0.270	0.504	0.299	0.047	0.267	0.452	0.201	0.054
SMF	0.270	0.557	0.313	0.056	0.264	0.479	0.198	0.061
AR	0.265	0.485	0.286	0.058	0.261	0.425	0.189	0.064
MC	0.261	0.468	0.250	0.040	0.258	0.425	0.184	0.049



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Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
GRU4REC	0.260	0.559	0.291	0.055	0.254	0.475	0.187	0.062
IKNN	0.121	0.284	0.334	0.031	0.118	0.238	0.219	0.036
S-SKNN	0.320	0.456	0.098	0.069	0.305	0.391	0.236	0.236
S-KNN	0.320	0.453	0.090	0.070	0.305	0.386	0.242	0.242
V-SKNN	0.313	0.446	0.104	0.075	0.298	0.383	0.230	0.230
SF-SKNN	0.293	0.399	0.110	0.060	0.281	0.346	0.230	0.230
SR	0.257	0.379	0.123	0.062	0.244	0.322	0.184	0.184
SMF	0.252	0.388	0.119	0.067	0.234	0.309	0.177	0.177
AR	0.253	0.366	0.115	0.074	0.240	0.310	0.187	0.187
MC	0.250	0.366	0.121	0.059	0.236	0.308	0.180	0.180
GRU4REC	0.240	0.377	0.114	0.069	0.223	0.300	0.164	0.164
IKNN	0.111	0.185	0.131	0.041	0.103	0.154	0.067	0.067

Table 33 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the ZALANDO dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.306	0.498	0.525	0.059	0.304	0.473	0.381	0.060
MC	0.304	0.469	0.504	0.052	0.302	0.452	0.381	0.055
IKNN	0.271	0.410	0.597	0.033	0.269	0.388	0.432	0.036
GRU4REC	0.267	0.483	0.290	0.073	0.265	0.442	0.223	0.074
AR	0.265	0.483	0.431	0.073	0.262	0.450	0.311	0.073
SMF	0.253	0.463	0.329	0.075	0.250	0.422	0.248	0.076
SF-SKNN	0.251	0.451	0.419	0.046	0.250	0.440	0.323	0.053
V-SKNN	0.237	0.517	0.396	0.077	0.234	0.478	0.275	0.076
S-SKNN	0.224	0.510	0.395	0.066	0.221	0.464	0.257	0.068
S-KNN	0.181	0.461	0.301	0.069	0.176	0.392	0.197	0.071
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.299	0.435	0.256	0.058	0.287	0.384	0.210	0.210
MC	0.298	0.422	0.262	0.056	0.288	0.379	0.216	0.216
IKNN	0.266	0.363	0.287	0.040	0.258	0.330	0.199	0.199
GRU4REC	0.258	0.394	0.167	0.073	0.245	0.336	0.174	0.174
AR	0.256							
	0.256	0.404	0.216	0.069	0.243	0.347	0.163	0.163
SMF	0.256	0.404 0.372	0.216 0.182	0.069 0.074	0.243 0.230	0.347 0.317	0.163 0.163	0.163
SMF SF-SKNN								
	0.243	0.372	0.182	0.074	0.230	0.317	0.163	0.163
SF-SKNN	0.243 0.245	0.372 0.406	0.182 0.227	0.074 0.057	0.230 0.231	0.317 0.345	0.163 0.143	0.163 0.143



Table 34 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the 8TRACKS dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
AR	0.0135	0.0410	0.7769	0.0399	0.0126	0.0276	0.5772	0.0545
SR	0.0123	0.0329	0.8875	0.0243	0.0116	0.0233	0.7261	0.0302
SMF	0.0115	0.0476	0.0772	0.1197	0.0102	0.0290	0.0556	0.1303
V-SKNN	0.0110	0.0490	0.7180	0.0290	0.0098	0.0313	0.5317	0.0322
MC	0.0101	0.0234	0.8365	0.0152	0.0098	0.0179	0.7050	0.0179
S-KNN	0.0098	0.0438	0.6122	0.0272	0.0086	0.0267	0.4343	0.0298
S-SKNN	0.0097	0.0402	0.8543	0.0197	0.0087	0.0265	0.6465	0.0238
GRU4REC	0.0095	0.0376	0.0593	0.1930	0.0085	0.0231	0.0445	0.2140
SF-SKNN	0.0089	0.0217	0.7713	0.0157	0.0086	0.0171	0.6555	0.0219
IKNN	0.0072	0.0251	0.9852	0.0063	0.0066	0.0165	0.8756	0.0069
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
AR	0.0114	0.0185	0.3933	0.0774	0.0104	0.0140	0.0078	0.0078
SR	0.0107	0.0166	0.5237	0.0374	0.0099	0.0128	0.0078	0.0078
SMF	0.0087	0.0172	0.0406	0.1401	0.0073	0.0113	0.0044	0.0044
V-SKNN	0.0079	0.0167	0.4041	0.0324	0.0063	0.0097	0.0040	0.0040
MC	0.0092	0.0134	0.5477	0.0216	0.0086	0.0107	0.0070	0.0070
S-KNN	0.0068	0.0128	0.3056	0.0267	0.0055	0.0074	0.0044	0.0044
S-SKNN	0.0070	0.0137	0.3984	0.0256	0.0057	0.0079	0.0043	0.0043
GRU4REC	0.0073	0.0140	0.0336	0.2350	0.0062	0.0093	0.0040	0.0040
SF-SKNN	0.0080	0.0131	0.5072	0.0286	0.0074	0.0101	0.0052	0.0052
IKNN	0.0058	0.0107	0.6635	0.0075	0.0051	0.0075	0.0034	0.0034

Table 35 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the AOTM dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SF-SKNN	0.0275	0.0440	0.8591	0.0828	0.0273	0.0403	0.7673	0.1025
SMF	0.0204	0.0468	0.9262	0.0941	0.0197	0.0361	0.8294	0.0941
GRU4REC	0.0154	0.0427	0.6523	0.1665	0.0146	0.0312	0.5081	0.1759
SR	0.0152	0.0449	0.9439	0.1061	0.0143	0.0318	0.8140	0.1143
MC	0.0134	0.0348	0.8996	0.0813	0.0128	0.0262	0.7889	0.0902
AR	0.0119	0.0426	0.8523	0.1409	0.0109	0.0283	0.6723	0.1536
V-SKNN	0.0104	0.0721	0.7971	0.1567	0.0083	0.0415	0.6049	0.1662
IKNN	0.0100	0.0384	0.9854	0.0482	0.0090	0.0242	0.8660	0.0490
S-SKNN	0.0095	0.0737	0.8917	0.1192	0.0071	0.0406	0.6652	0.1322
S-KNN	0.0087	0.0740	0.6400	0.1414	0.0059	0.0345	0.4599	0.1416



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Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SF-SKNN	0.0267	0.0360	0.6205	0.1192	0.0257	0.0315	0.0212	0.0212
SMF	0.0186	0.0280	0.6845	0.0927	0.0175	0.0232	0.0132	0.0132
GRU4REC	0.0134	0.0225	0.3629	0.1840	0.0121	0.0166	0.0087	0.0087
SR	0.0130	0.0217	0.6154	0.1226	0.0118	0.0167	0.0083	0.0083
MC	0.0119	0.0198	0.6326	0.0985	0.0109	0.0151	0.0078	0.0078
AR	0.0095	0.0178	0.4853	0.1689	0.0083	0.0127	0.0052	0.0052
V-SKNN	0.0051	0.0174	0.4490	0.1646	0.0027	0.0067	0.0001	0.0001
IKNN	0.0079	0.0153	0.6629	0.0499	0.0067	0.0103	0.0040	0.0040
S-SKNN	0.0035	0.0124	0.4265	0.1392	0.0016	0.0040	0.0000	0.0000
S-KNN	0.0028	0.0096	0.3142	0.1387	0.0014	0.0033	0.0001	0.0001

 $\label{eq:thm:policy} \textbf{Table 36} \quad \text{Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the 30MUSIC dataset with a single split (sorted by MRR@20) and the solution of the so$

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.2690	0.3744	0.5904	0.0373	0.2672	0.3499	0.4619	0.0389
MC	0.2653	0.3302	0.4001	0.0283	0.2646	0.3203	0.3455	0.0340
GRU4REC	0.2354	0.3651	0.4029	0.0665	0.2334	0.3372	0.3077	0.0669
SMF	0.2145	0.3614	0.3974	0.0608	0.2121	0.3275	0.3013	0.0605
SF-SKNN	0.2123	0.3320	0.3404	0.0284	0.2118	0.3258	0.2929	0.0366
IKNN	0.1352	0.3412	0.6758	0.0219	0.1319	0.2943	0.5043	0.0234
V-SKNN	0.1192	0.4134	0.4384	0.0589	0.1130	0.3263	0.2911	0.0599
AR	0.1157	0.3518	0.5352	0.0437	0.1107	0.2810	0.3886	0.0456
S-SKNN	0.1153	0.4119	0.4195	0.0544	0.1087	0.3190	0.2681	0.0593
S-KNN	0.0938	0.3609	0.2848	0.0595	0.0869	0.2626	0.1799	0.0658
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.2629	0.3179	0.3270	0.0387	0.2572	0.2930	0.2282	0.2282
MC	0.2625	0.3051	0.2790	0.0374	0.2590	0.2900	0.2332	0.2332
GRU4REC	0.2286	0.3011	0.2289	0.0653	0.2212	0.2688	0.1830	0.1830
SMF	0.2064	0.2851	0.2200	0.0587	0.1981	0.2488	0.1583	0.1583
SF-SKNN	0.2083	0.3013	0.2364	0.0432	0.1987	0.2598	0.1507	0.1507
IKNN	0.1215	0.2176	0.3427	0.0227	0.1084	0.1600	0.0700	0.0700
V-SKNN	0.0958	0.1972	0.1927	0.0630	0.0785	0.1202	0.0494	0.0494
AR	0.0985	0.1905	0.2579	0.0493	0.0849	0.1304	0.0519	0.0519
S-SKNN	0.0913	0.1881	0.1700	0.0660	0.0745	0.1135	0.0471	0.0471
S-KNN	0.0719	0.1481	0.1129	0.0742	0.0589	0.0904	0.0367	0.0367



Table 37 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the NOWPLAYING dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
SR	0.0856	0.1825	0.4629	0.0309	0.0831	0.1466	0.3390	0.0346
MC	0.0813	0.1474	0.3576	0.0248	0.0798	0.1255	0.2833	0.0287
SF-SKNN	0.0787	0.1602	0.3015	0.0264	0.0774	0.1431	0.2383	0.0333
SMF	0.0782	0.1881	0.3560	0.0322	0.0753	0.1454	0.2585	0.0332
GRU4REC	0.0771	0.1792	0.2202	0.0523	0.0742	0.1370	0.1647	0.0568
V-SKNN	0.0670	0.2291	0.3358	0.0445	0.0624	0.1627	0.2248	0.0497
S-SKNN	0.0669	0.2406	0.3436	0.0407	0.0618	0.1674	0.2186	0.0468
AR	0.0647	0.1866	0.4137	0.0381	0.0613	0.1378	0.2869	0.0441
S-KNN	0.0604	0.2241	0.2312	0.0453	0.0554	0.1512	0.1487	0.0509
IKNN	0.0467	0.1554	0.5526	0.0144	0.0437	0.1120	0.3960	0.0145
Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
SR	0.0789	0.1146	0.2290	0.0390	0.0744	0.0949	0.0584	0.0584
MC	0.0769	0.1041	0.2090	0.0336	0.0738	0.0903	0.0610	0.0610
SF-SKNN	0.0737	0.1157	0.1743	0.0403	0.0682	0.0914	0.0500	0.0500
SMF	0.0705	0.1085	0.1799	0.0341	0.0658	0.0879	0.0491	0.0491
GRU4REC	0.0700	0.1053	0.1196	0.0604	0.0651	0.0839	0.0510	0.0510
V-SKNN	0.0543	0.1019	0.1465	0.0543	0.0466	0.0677	0.0316	0.0316
S-SKNN	0.0532	0.1017	0.1329	0.0523	0.0450	0.0655	0.0306	0.0306
AR	0.0555	0.0947	0.1830	0.0531	0.0499	0.0701	0.0350	0.0350
S-KNN	0.0472	0.0888	0.0936	0.0560	0.0402	0.0578	0.0282	0.0282
IKNN	0.0384	0.0724	0.2597	0.0141	0.0332	0.0494	0.0214	0.0214

Table 38 Hit rate (HR), mean reciprocal rank (MRR), item coverage (COV), and average popularity (POP) results for a list length of 20, 10, 5, 3, and 1 on the CLEF dataset with a single split (sorted by MRR@20)

Algorithm	MRR@20	HR@20	COV@20	POP@20	MRR@10	HR@10	COV@10	POP@10
GRU4REC	0.253	0.724	0.154	0.051	0.242	0.564	0.123	0.064
SR	0.213	0.623	0.754	0.055	0.202	0.451	0.715	0.084
MC	0.213	0.625	0.755	0.056	0.202	0.459	0.724	0.080
SMF	0.207	0.646	0.765	0.042	0.194	0.472	0.709	0.042
V-SKNN	0.197	0.683	0.756	0.053	0.183	0.487	0.682	0.080
S-SKNN	0.190	0.670	0.764	0.052	0.175	0.463	0.682	0.081
S-KNN	0.186	0.661	0.760	0.052	0.172	0.453	0.675	0.083
SF-SKNN	0.179	0.636	0.750	0.052	0.165	0.433	0.680	0.082
AR	0.178	0.631	0.733	0.058	0.164	0.435	0.653	0.103
IKNN	0.158	0.510	0.796	0.009	0.148	0.363	0.757	0.010



Tab	Ie 38	continued

Algorithm	MRR@5	HR@5	COV@5	POP@5	MRR@3	HR@3	MRR@1	HR@1
GRU4REC	0.219	0.384	0.101	0.088	0.195	0.280	0.132	0.132
SR	0.183	0.308	0.636	0.110	0.167	0.238	0.113	0.113
MC	0.184	0.325	0.652	0.110	0.165	0.241	0.107	0.107
SMF	0.172	0.300	0.639	0.023	0.155	0.225	0.104	0.104
V-SKNN	0.160	0.316	0.585	0.082	0.137	0.215	0.081	0.081
S-SKNN	0.154	0.304	0.595	0.101	0.133	0.208	0.077	0.077
S-KNN	0.151	0.298	0.592	0.103	0.130	0.205	0.076	0.076
SF-SKNN	0.145	0.284	0.593	0.104	0.126	0.199	0.072	0.072
AR	0.143	0.278	0.517	0.170	0.125	0.198	0.070	0.070
IKNN	0.131	0.242	0.673	0.010	0.117	0.179	0.073	0.073

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