A study of allelic series using transcriptomic phenotypes

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Expression profiling holds great promise for genetics because of its ability to measure thousands of genes quantitatively in parallel. Although transcriptomes have recently been used to perform epistasis analyses for pathway reconstruction, there has not been a systematic effort to understand how expression profiles will vary among various mutants of the same gene. Here, we study an allelic series in C. elegans consisting of one wild type and two mutant alleles of mdt-12, a highly pleiotropic gene whose gene product is a subunit of Mediator complex, which is essential for transcriptional initiation in eukaryotes. We developed a false hit analysis to identify which populations of genes commonly differentially expressed with respect to the wild type are likely the result of statistical artifact. We concluded that expression perturbations caused by these alleles split into four distinct modules called phenotypic classes. To understand the dominance relationship between the two mutant alleles, we developed a dominance analysis for transcriptional data. Dominance analysis of these phenotypic classes support a model where mdt-12 has multiple functional units that function independently to target the Mediator complex to specific genetic loci.

Author Summary

Expression profiling is a way to quickly and quantitatively measure the expression level of every gene in an organism. As a result, these profiles could be used as phenotypes with which to perform genetic analyses (i.e., to figure out what genes interact with each other) as well as to dissect the molecular properties of each gene. Before we can perform these analyses, we have to figure out the rules that apply to these measurements. In this paper, we develop new concepts and methods with which to study an allelic series. Briefly, allelic series are an important aspect of genetics because different alleles encode different versions of a gene. By studying these different versions, we can make statements about how function is encoded within the sequence of a gene. We apply our methods to the mdt-12 gene, which encodes a subunit of the Mediator complex. Though we know it is essential for all transcriptional activity in eukaryotes, we understand very little about how the Mediator complex functions to generate both general and specific phenotypes. The reason for this is the genes that encode these subunits are associated with general sickness and multiple phenotypes when mutated, which makes them challenging to study genetically. We show that transcriptomic phenotypes renders the study of general factors such as mdt-12 feasible.

Supplementary Data

The website for the Supplementary Data for this project is still under construction and will be available shortly. All code, data and figures are available upon request.

Introduction

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The term 'allelic series' refers to the study of alleles with different phenotypes to understand the molecular properties that this locus controls. Allelic series are historically important for genetics¹. In early pioneering work, McClintock studied a deficiency of the tail end of chromosome 9 of maize by generating trans-heterozygotes with mutants of various genes that she knew existed near the end of chromosome 9. Her work allowed her to infer that the deficiency was modular, effectively generating a double mutant that behaved as a single allele but which could participate phenotypically in two distinct allelic series. From this study, McClintock inferred that deletions could span multiple genes, which behaved as independent modules, and which were identified via complementation assays. This work set the foundations for later observations in yeast that showed two mutant alleles of the same genetic unit, when placed in trans to each other, could complement and generate a wildtype phenotype². Allelic series have also been used to study the dose response curve of a phenotype for a particular gene and to infer null phenotypes from hypomorphs. In C. elegans, the let-23, lin-3 and lin-12 allelic series stand out as examples 3,4,5 .

Over the last decade, biology has moved from expression measurements of single genes towards genome-wide measurements. Expression profiling via RNA-sequencing⁶ (RNA-seq) is a popular method because it enables the simultaneous measurement of transcript levels for all genes in a genome. These measurements can now be made on a whole-organism scale and on single cells 7. Although initially expression profiles had a qualitative purpose as descriptive methods to identify genes that are downstream of a perturbation, these profiles are now being used as phenotypes for genetic analysis. As a result, transcriptomes have been successfully used to identify new cell or organismal states^{8,9}. Genetic pathways have been reconstructed via sequencing cDNA from single cells ¹⁰ or by sequencing transcripts from wholeorganisms ¹¹. However, to fully characterize a genetic pathway, it is often necessary to build allelic series to explore whether independent functional units within a gene mediate different aspects of the phenotypes associated with a pathway or gene, or whether the phenotypes are simply the result of gene dosage.

As a proof of principle, we selected a subunit of the Mediator complex in C. elegans, mdt-12 (previously known as dpy-22 12), for genetic analysis. We explored three alleles, including the wild-type allele, of this highly pleiotropic gene because its biological roles are poorly understood. The mutant alle-

les were generated in previous screens 13,14, where they were associated with specific phenotypes in the male tail and in the vulva. Mediator is a macromolecular complex that contains approximately 25 subunits¹⁵ and which globally regulates RNA polymerase II (Pol II) 16,17. Mediator is a versatile regulator, a quality often associated with its variable subunit composition ¹⁶, and it can promote transcription as well as inhibit it. The Mediator complex consists of four modules: the Head, Middle and Tail modules and a CDK-8-associated Kinase Module (CKM). The CKM can associate reversibly with Mediator. Certain models propose that the CKM functions as a molecular switch, which inhibits Pol II activity by sterically preventing its interaction with the other Mediator modules ^{18,19}. Other models propose that the CKM negatively modulates interactions between Mediator and enhancers ²⁰. In *C. elegans*, the CKM consists of CDK-8, MDT-13, CIC-1 and DPY-22²¹. Since dpy-22 is orthologous to the human Mediator subunits MED-12 and $MED-12L^{13}$, we will henceforth refer to this gene as mdt-12. mdt-12 has been studied in the context of the male tail ¹³, where it was found to interact with the Wnt pathway. It has also been studied in the context of vulval formation²², where it was found to be an inhibitor of the Ras pathway. Loss of mdt-12 is lethal in XO animals^{23,24}, and developmental studies have relied on reduction-of-function alleles to understand the role of this gene in development. Studies of the male tail were carried out using an allele, dpy-22(bx93), that generates a truncated DPY-22 protein missing its C-terminal 949 amino acids as a result of a premature stop codon, Q2549STOP¹³. In spite of the premature truncation, animals carrying this allele grossly appear phenotypically wild-type. In contrast, the allele used to study the role of mdt-12 in the vulva, dpy-22(sy622), is a premature stop codon, Q1698STOP, that predicted to remove 1,800 amino acids from the C-terminus 14 (see Fig. 1). Animals carrying this mutation are severely dumpy (Dpy), have egg-laying defects (Egl) and have a low penetrance multivulva (Muv) phenotype. These alleles could form a single quantitative series, affecting the same sets of target genes but to different degrees, in which case the *trans*-heterozygote would exhibit a single dosage-dependent phenotype intermediate to the two homozygotes. Alternatively, they could form a single qualitative series, in which case the transheterozygote should have the same phenotype as the homozygote of the bx93 allele, since this allele encodes the longer protein. These alleles could also form a mixed series, in which case multiple separable phenotypes would appear that have qualitative or

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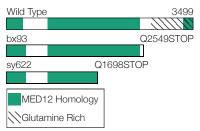


Figure 1. The mdt-12 allelic series, consisting of two amino acid truncations. Diagram of the MDT-12 wild-type protein and the protein product of bx93 and sy622 alleles.

quantitative behaviors in the *trans*-heterozygote.

Expression profiles have the potential to facilitate dissection of molecular structures within genes. For the mdt-12 allelic series, we found that the perturbations caused by the weak loss-of-function allele, bx93, are entirely contained within the perturbations caused by the strong loss-of-function allele, sy622. Further, we found three phenotypic classes affected by mdt-12. For one class, termed the sy622-specific class, the bx93 homozygote, but not the sy622 homozygote, shows wild-type functionality. In a transheterozygote of sy622/bx93 these perturbations are suppressed to wild-type levels from the su622 levels, which shows that bx93 is wild-type dominant for this phenotype. A second class, called the sy622associated class, similarly shows wild-type functionality in the bx93 homozygote but not in the sy622homozygote, yet in the trans-heterozygote these perturbations are modulated in a gene-dosage dependent manner. Finally, we identified a third class, called the bx93-specific class, which contained genes that were altered in both homozygotes, but which showed an expression level most similar to the bx93 homozygote, showing that bx93 has a dominant mutant phenotype for this subset. For each class, we were able to quantitatively measure the dominance level of each allele.

Results

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A conceptual framework for analyzing allelic series

Allelic series offer a way to study the functional units within a gene without requiring prior knowledge about the molecular structure of the mutations involved. Alleles are placed in *trans* to each other to check whether one allele is dominant or co-dominant over the other for a given phenotype. These dominance relationships can then be used to identify functional units within a gene. To perform an allelic series

analysis using transcriptome profiling, we needed to identify transcriptional modules that corresponded to different phenotypes, and, subsequently, test the alleles for dominance or semidominance at these modules. We reasoned that these modules would represent phenotypic classes that directly correspond to potentially observable phenotypes associated with any two alleles for a given gene.

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For arbitrary alleles, it is possible to imagine that two alleles impact exactly the same set of phenotypes; impact entirely disjoint phenotypes; or share some phenotypes but not others. It is also possible to imagine that the alleles share dominance relationships that may or may not be the same for each genotype. The results for any allelic series can be hypothesized and represented as a Venn diagram (see Fig. 2), where the circles represent transcripts that are differentially expressed in one genotype relative to the wild type control, and overlaps between circles represent the fact that a set of transcripts is differentially expressed in the overlapping genotypes relative to the wild type. Crucially, different genetic scenarios may be expected to generate a specific number of phenotypic classes.

Although the diagrams can be drawn for each genetic scenario, differential expression analyses always have a number of false positive and false negative hits. After idealizing a number of genetic scenarios, we asked what the effect of statistical noise would be on the number of classes. In every case, statistical artifacts lead to the creation of more phenotypic classes than would be expected. In some cases, the statistically artifactual classes can outnumber the real number of classes by a factor of 6. Since each class can be interpreted in terms of functional units, failure to identify artifactual classes could contribute disproportionally to the inferred complexity of the gene under study. For example, if two alleles were genetically identical, statistical artifacts would still be expected to generate 6 artifactual classes, each containing a few genes, if the two homozygotes and the transheterozygote were sequenced simultaneously. Instead of concluding that the alleles are genetically identical, we might conclude that the alleles have a shared core activity, but that they differ in their specific activities for small, yet highly specific, set of genes. This pitfall highlights the importance of signal-to-noise analyses that can accurately identify classes that have high noise. These analyses should also ideally be able to distinguish the contribution of false positive hits, which tend to create classes that must be ignored entirely, from false negative hits, which tend to create classes that could safely be re-classified into the correct categories.

We developed a conceptual method, which we call

false hit analysis, that is in theory capable of identifying classes with low signal-to-noise ratios and that can identify whether the noise contribution is mainly from false positive or false negative hits. Briefly, to perform a false hit analysis, an idealized Venn diagram must be derived. The problem of selecting an appropriate Venn diagram is not solved generally. After selecting a hypothesized Venn diagram, the sizes of each class are estimated from the data. These sizes can then be used to re-calculate the sizes of classes due to the estimated false positive and false negative rates. If the size of a class from the false hits is similar to the size of the equivalent observed class, then this class should be considered artifactual.

Strong and weak loss-of-function alleles of mdt-12 show different transcriptomic profiles

We sequenced in triplicate cDNA synthesized from mRNA extracted from sy622 homozygotes, bx93 homozygotes, trans-heterozygotes of both alleles and wild-type controls at a depth of 20 million reads per replicate. This allowed us to quantify expression levels of 21,954 protein-coding isoforms. We calculated differential expression with respect to a wild-type control using a general linear model (see Methods). Differential expression with respect to the wild-type control for each transcript i in a genotype g is measured via a coefficient $\beta_{g,i}$, which can be loosely interpreted as the natural logarithm of the fold-change. Positive β coefficients indicate up-regulation with respect to the wild-type, whereas negative coefficients indicate down-regulation. Transcripts were tested for differential expression using a Wald test, and the resulting p-values were transformed into q-values that are corrected for multiple hypothesis testing. Transcripts were considered to have differential expression between wild-type and a mutant if the associated qvalue of the β coefficient was less than 0.1. At this threshold, 10% of all differentially expressed genes are expected to be false positive hits.

Using these definitions, we found 481 differentially expressed genes in the bx93 homozygote transcriptome, and 2,863 differentially expressed genes in the sy622 homozygote transcriptome (see Fig. 3).

Transcriptome profiling of mdt-12 trans-heterozygotes

We also sequenced trans-heterozygotic animals with genotype dpy-6(e14) bx93/+ sy622. This trans-heterozygote appears phenotypically wild-type, resembling the bx93 mutant morphologically ¹⁴. The

trans-heterozygote transcriptome had 2,214 differentially expressed genes.

False hit analysis identifies four phenotypic classes

Overlapping three sets of differentially expressed genes from different genotypes can generate at most seven categories. Each of these seven categories could be interpreted biologically if the population is believed to arise from real effects. If these populations are small, however, there is a real chance that they represent statistical noise, and are not biologically meaningful. If that is the case, these populations may consist largely of genes that are mis-classified and belong to a different cluster, in which case they should be re-classified into the most likely cluster, if it can be determined.

We identified three categories of genes that were most likely to be influenced by statistical noise due to their small size. These populations were those that encompassed genes differentially expressed in bx93 homozygotes and one other genotype, as well as genes that were differentially expressed specifically in bx93 homozygotes.

These three categories stand out as candidates for statistical noise not just because of their small size, but also because of the extraordinary biological interpretations required to make sense of them. For example, if there truly is a population of genes that is only perturbed in homozygotes of either allele but not in the *trans*-heterozygote, then this means that the two alleles are somehow intragenically complementing to produce wild-type function. Given the molecular nature of the mutations, this interpretation is unlikely to be correct.

To perform a false hit analysis, we imagined an idealized scenario where the perturbations in bx93 homozygotes were present in all thre genotypes. We also imagined that in this scenario the trans-heterozygote did not exhibit any perturbations not present the sy622 homozygote. In this simplified scenario, we could model where false positive and false negative hits were most likely to fall (see Fig. 3). Next, we present the results of our hit analysis for each perturbation category.

We identified 78 genes that are differentially expressed exclusively in bx93 homozygotes. At a false positive rate of 10% (our defined cut-off) we expect 48 genes to be falsely called as differentially expressed in bx93 homozygotes. The probability that such a false positive is also differentially expressed in another genotype is 20% (4,392 transcripts identified between the two other genotypes divided by 21,954

Allelic relationship	Expected	Observed	Expected Number of Classes	Observed Number of Classes	Reasons for artifact
The phenotypes of <i>a</i> are the same as the phenotypes of <i>b</i>	a/a a/b b/b	a/a a/b b/b	1	7	False negatives and false positives in both
The phenotypes of <i>a</i> contain the phenotypes of <i>b</i>	a/a a/b b/b	a/a b/b	2	7	False positives in <i>b/b</i> and false negatives in <i>a/a</i>
a contains some phenotypes of b	a/a a/b b/b	a/a a/b b/b	3	7	False negatives in both make intersection smaller than expected
The phenotypes of <i>a</i> are different from the phenotypes of <i>b</i>	a/a a/b b/b	a/a a/b b/b	2	6-7	False positives create a non-disjoint set

Figure 2. The expected Venn diagrams for two alleles, a and b, if the two homozygotes and a transheterozygote are sequenced for various possible scenarios. The circles represent the transcripts that are differentially expressed in a given genotype relative to a wild type control. In all scenarios, we assume the a allele is recessive to b, and we do not consider the possibility of gene dosage for simplicity. In theory, different scenarios should have a different number of phenotypic classes, but statistical artifacts (false positive and negative hits) always inflate the observed number of classes.

the total number of transcripts that were successfully sequenced). Thus, on average we expect 39 false positive hits to be classified into the bx93-specific class. On average, half of all genes in the bx93-specific class would be expected to be the result of statistical artifacts. Statistical noise is therefore a major contributor towards the existence of this class. Since the biological interpretation of this class is unclear and requiring extraordinary evidence, we find the most parsimonious explanation to be that the bx93-specific class does not exist.

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We estimated that statistical noise could account for > 80\% of the genes that were differentially expressed in both bx93 and sy622 homozygotes and not differentially expressed in the trans-heterozygote. Further, we estimated that statistical artifacts could explain > 80% of the transcripts that were differentially expressed in the trans-heterozygote and bx93homozygotes but not in sy622 homozygotes. both of these populations, we estimate that the majority of the false hits emerge from false negative results. In other words, most of the noise in these populations is the result of mis-classification. Finally, the biological interpretation of either population is implausible given the molecular nature of the alleles. Taken together, a false hit analysis of these two categories strongly suggests that they contain genes that have been mis-classified and which most likely

are differentially expressed in all three genotypes.

A false hit analysis identified four non-overlapping phenotypic classes (see Fig. 4). We use the term allele- or genotype-specific to refer to groups of transcripts that are solely perturbed in a single genotype. On the other hand, we use the term alleleassociated to refer to those groups of transcripts that are perturbed in at least two genotypes. We identified a sy622-associated phenotypic class, which consisted of 720 genes differentially expressed in sy622homozygotes and in trans-heterozygotes, but which were not differentially expressed in bx93 homozygotes. We also identified a bx93-associated phenotypic class. Following the argument of the previous paragraph, this class included all genes that were differentially expressed in bx93 homozygotes and at least one other genotype, since it is likely that of these genes should actually be differentially expressed in all genotypes. As a result, this class contains 403 genes. We also identified a sy622-specific phenotypic class (1,841 genes) and a trans-heterozygote-specific phenotypic class (1,226 genes). Having identified these phenotypic classes, we set out to confirm whether each class actually behaved as an independent phenotypic module in an allelic series and whether each class could be interpreted biologically to shed light on the functions of mdt-12.

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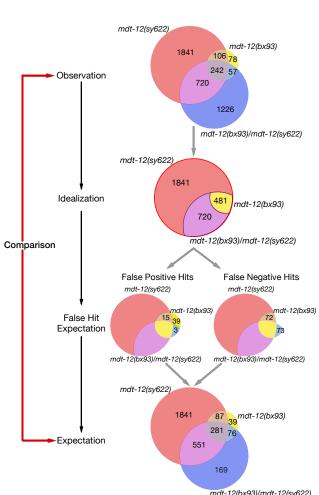


Figure 3. False hit analysis. To assess the extent to which statistical artifacts could affect the interpretation of certain intersections, we first idealized the Venn diagram and asked whether false positive and false negative results could distort the diagram back to its original shape. We estimated the false negative rate at 15% and used a false positive rate of 10%. For simplicity, only false hit analysis for bx93groups is shown. False hits can explain the existence of a groups of genes that are differentially expressed in bx93 homozygotes only, in bx93 homozygotes and trans-heterozygotes, and in bx93 homozygotes and sy622 homozygotes. Genes that are solely expressed in bx93 homozygotes are unlikely to exist, whereas genes that are differentially expressed in bx93 homozygotes and one other genotype are probably misclassified and should be differentially expressed in all genotypes. The trans-heterozygote specific class cannot be explained by statistical artifacts.

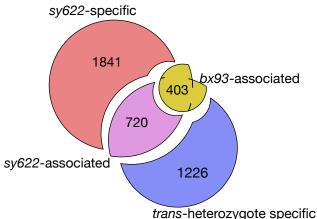


Figure 4. Transcripts under the control of mdt-12 belong to distinct phenotypic classes. Exploded Venn diagram highlighting the four identified phenotypic classes.

Different phenotypic classes behave differently in an sy622 homozygote

We asked whether these classes had perturbation distributions distinct from each other within a single homozygote. Specifically, we wanted to test whether these sets behaved as randomly selected sets. If this were the case, then within a single genotype, each class would be expected to have the same distribution of perturbations (see Fig. 5). We found that that the β coefficients of isoforms within the bx93-associated phenotype on average had the largest absolute value (mean: 1.2). The sy622-associated phenotype had a smaller range of perturbations compared to the bx93-associated phenotype (95th percentiles of the two distributions: 2.9 versus 3.2, respectively), and a statistically smaller median (0.91 vs 1.2, respectively, $p < 10^{-6}$, non-parametric boostrap). The medians of the sy622-specific and -associated classes were the same (p = 0.15). There are systematic differences between the behaviors of each class. This rejects the null hypothesis that the transcripts in each class were randomly selected.

Dominance can be quantified in transcriptomic phenotypes

Dominance relationships between alleles are phenotype-specific. In other words, an allele can be dominant over another for one phenotype, yet not for others. An example is the *let-23* allelic series—nulls of *let-23* are recessive lethal (Let) and presumably also recessive vulvaless (Vul) relative to the wild-type allele. The *sy1* allele of *let-23* is dominant viable relative to null alleles, but is recessive

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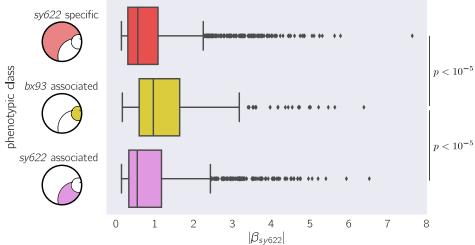


Figure 5. Within the sy622 homozygote mutant, transcripts whose differential expression pattern places them in different phenotypic classes have statistically different distributions. The lines within the boxes show the $25^{\rm th}$, $50^{\rm th}$, and $75^{\rm th}$ percentiles. Whiskers show the $0^{\rm th}$ and $100^{\rm th}$ percentiles, with the exception of outliers (diamonds). Diagrams show what genotypes each gene class is expressed in, but the magnitude of the perturbation plotted always corresponds to the sy622 mutant. The x-axis shows the absolute magnitude of the perturbation for each transcript in sy622 homozygotes, $|\beta_{sy622}|$. The medians of the sy622-specific and the sy622-associated classes were statistically significantly different from the median of the bx93-specific class, as assessed by a non-parametric bootstrap test.

 Vul^3 to the wild-type allele. Above, we postulated that there are four phenotypic classes, three of which are composed of genes whose expression is significantly perturbed in the sy622 homozygote. If these classes are indeed modular phenotypes, then the dominance relationships within each class should be the same from gene to gene. In other words, a single dominance coefficient should be sufficient to explain the gene expression in the trans-heterozygote for every gene within a class.

To quantify this dominance, we implemented and maximized a Bayesian model (see Methods). Briefly, we asked what the linear combination of β coefficients from each homozygote would best predict the observed β values of the heterozygote, subject to the constraint that the coefficients added up to 1 (see Dominance analysis). We reasoned that if this was a modular phenotype controlled by a single functional unit encoded within the gene of interest, then a plot of the predicted β values from the optimized model against the observed β values of the heterozygote for each transcript should show the data falling along a line with slope equal to unity. Systematic deviations from linear behavior would indicate that the transcripts plotted are not part of a modular phenotypic class controlled by a functional unit.

The sy622-specific class expression phenotype of the sy622 homozygote is complemented to wild-type levels by the presence of a bx93 allele

Since our previous testing showed that the transcript expression of genes in this class was dysregulated in sy622 homozygotes, and wild-type in both bx93 homozygotes and trans-heterozygotes we can conclude that these transcripts are complemented to their wild-type levels by the presence of the bx93 allele. Applying the Bayesian model yields identical results ($d_{bx93} = 1$). Thus, there is a module that has wild-type functionality in the bx93 allele but is partially or completely deleted in the sy622 allele. This functionality must require protein encoded between the amino acid position 1,698 where the sy622 protein product truncates prematurely, and the position 2,549 where the bx93 protein product ends.

The bx93 allele is dominant over the sy622 for the bx93-associated phenotype

We explored how expression levels of transcripts within the bx93-associated phenotypic class were controlled by these two alleles. Transcripts in this class are differentially expressed in homozygotes of either allele. Moreover, transcripts in this class are more perturbed in sy622 homozygotes than in bx93 homozygotes. This is consistent with a single functional

unit that is impaired in the bx93 allele, and even more impaired in the sy622 allele (see Fig. 6).

If a single functional unit is being impaired, then we would expect these alleles to form a quantitative allelic series for this phenotypic class. In a quantitative series, alleles exhibit semidominance. We quantified the dominance coefficient for this class and found that the bx93 allele is largely but not completely dominant over the sy622 allele ($d_{bx93} = 0.81$; see Fig. 6). Dominance in the context of an allelic series indicates a qualitative allelic series, which is evidence that MDT-12 protein produced from the bx93 allele has an intact functional unit that is deleted in protein product from the sy622 allele. Mixed evidence for quantitative and qualitative allelic series at this phenotypic class precludes a definitive conclusion.

The bx93 allele is semidominant with sy622 for the sy622-associated phenotypic class

We quantified the relative dominance of bx93 and sy622 on the expression level of transcripts that belonged to the sy622-associated class. We found that both alleles are semidominant ($d_{bx93} = 0.51$). This suggests that there is a structure distributed evenly throughout the gene body starting the first amino acid position and ending before position 2,549. Since the two alleles are semidominant for transcript expression in this class, the functionality encoded in this gene must be dosage-dependent for this model to hold.

The sy622-specific class is strongly enriched for a Dpy transcriptional signature

bx93 homozygotic animals are almost wild-type, but careful measurements show that they have a slight body length defect causing them to be slightly Dpy, and sy622 homozygotic animals are known to be severely Dpy ¹⁴, but this phenotype is complemented almost to bx93 levels when this allele is placed in trans to the sy622 allele. The only class that is fully complemented to wild-type levels is the sy622-specific class. Therefore, we hypothesized that the sy622-specific class should show a strong transcriptional Dpy signature.

To test this hypothesis, we derived a Dpy signature from two Dpy mutants (dpy-7 and dpy-10, DAA, CPR and PWS unpublished) consisting of 628 genes. We used this gene set to look for a transcriptional Dpy signature in each phenotypic class using a hypergeometric probabilistic model (see Methods). We found that the sy622-specific and -associated classes

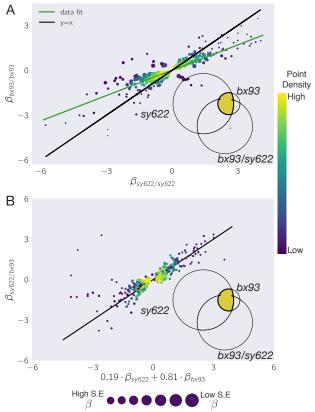


Figure 6. The bx93-associated class has properties of both quantitative and qualitative allelic series. A In bx93 homozygotes, transcripts within the bx93-associated class are less perturbed than in sy622 homozygotes. The line of best fit (green) is $\beta_{bx93/bx93} = 0.56 \cdot \beta_{sy622/sy622}$. B In a trans-heterozygote, the bx93 allele is largely dominant over the sy622 allele for the expression levels of transcripts in the bx93-associated class. In the graphs above, densely packed points are colored yellow as a visual aid. The size of the point is inversely proportional to the standard error of the β coefficients.

were enriched in genes that are transcriptionally associated with a Dpy phenotype. The bx93-associated class also showed significant enrichment (fold-change $= 2.2, p = 4 \cdot 10^{-10}, 68 \text{ genes observed}$). The enrichment was of considerably greater magnitude in the sy622-specific class (fold-change enrichment = 3, $p = 2 \cdot 10^{-40}$, 167 genes observed) than the enrichment in the sy622-associated class (fold-change = 1.9, $p = 9 \cdot 10^{-9}$, 82 genes observed) or in the bx93-associated class. Correlation analysis showed that a majority of the genes in the sy622-specific class were strongly correlated between the expression levels in the Dpv signature and the expression levels in sy622 homozygotes, while 25% of the genes were anti-correlated (Spearman R = 0.42, $p = 6 \cdot 10^{-15}$ see Fig. 7). If the anti-correlated values are excluded from the Spearman regression, the statistical value of the regression improves significantly (Spearman R = $0.94, p = 2 \cdot 10^{-108}$). Taken together, this suggests that the sy622-specific phenotypic class contains a transcriptional signature that can be associated with the morphological Dpy phenotype.

We also tested a hypoxia dataset 11 , since mdt-12is not known to be upstream of the hif-1-dependent hypoxia response in C. elegans. Enrichment tests revealed that the hypoxia response was significantly enriched in the bx93-associated (fold-change = 2.1, $p = 10^{-8}$, 63 genes observed), the sy622-associated (fold-change = 1.9, $p = 4 \cdot 10^{-8}$, 78 genes observed) and the sy622-specific classes (fold-change = 2.4, $p = 9 \cdot 10^{-55}$, 186 genes observed). However, there was no correlation between the expression levels of these genes in mdt-12 genotypes and the expression levels expected from the hypoxia response. Although the hypoxia gene battery can be found in mdt-12 mutants, these genes are not used to deploy a hypoxia response, and the animals do not have a hypoxicresponse phenotype.

Discussion

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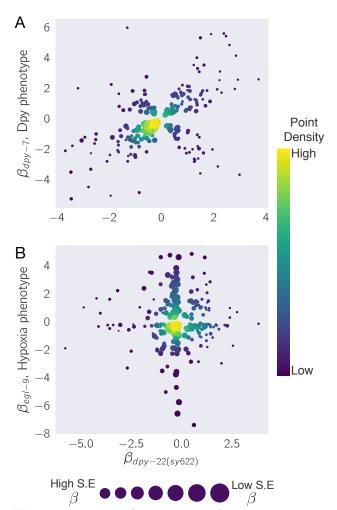
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Allelic series using transcriptomic phenotypes can dissect the functional units of a gene

We have shown that whole-organism transcriptomic phenotypes can be analyzed in the context of an allelic series to partition the transcriptomic effects of a large, pleiotropic gene into separable classes. Analysis of these modules can inform structure/function predictions at the molecular level, and enrichment analysis of each class can be subsequently correlated with other morphologic or behavioral phenotypes.



sy622 homozygotes show a transcrip-Figure 7. tional response associated with the Dpy phenotype. **A** We obtained a set of transcripts associated with the Dpy phenotype from dpy-7 and dpy-10 mutants. We identified the transcripts that were differentially expressed in sy622 homozygotes. Next, we plotted the β values of each transcript in sy622 homozygotes against the β values in a dpy-7 mutant. A significant portion of the genes are correlated between the two genotypes, showing that the signature is largely intact. 25% of the genes are anti-correlated. **B** We performed the same analysis using a set of transcripts associated with the hif-1-dependent hypoxia response as a negative control. Although sy622 is enriched for the transcripts that make up this response, there is no correlation between the β values in sy622 homozygotes and the β values in eql-9 homozygotes. In the plots, a colormap is used to represent the density of points. The standard error of the mean is inversely proportional to the standard error of $\beta_{mdt-12(sy622)}$.

This method shows promise for analysing pathways that have major effects on gene expression in an organism, and which do not have complex, antagonistic tissue-specific effects on expression. Given the importance of allelic series for fully characterizing genetic pathways, we are optimistic that this method will be a useful addition towards making full use of the potential of these molecular phenotypes. Specifically, allelic series coupled with false hit analyses show great promise to identify distinct phenotypic classes that would be difficult or impossible to measure using standard methods. The sensitivity and quantitative nature of transcriptomic phenotypes makes identification of these phenotypes considerably more feasible. Once the phenotypic classes have been identified, dominance and enrichment analyses can be performed easily with significant statistical power. These properties highlight the power of coupling the genetical properties of *C. elegans* with next-generation sequencing methods.

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A structure/function diagram of mdt-12

Our results strongly suggest the existence of various functional units in mdt-12 that control distinct phenotypic classes (see Fig. 8). The sy622-specific class of transcripts is regulated normally in the presence of the bx93 allele, indicating that the mutated protein product retains wild-type functionality for regulating these genes. This functionality is decreased or absent in MDT-12 produced from the sy622 allele. Therefore, the functional unit that controls this class, functional unit 1 (FC1), must require sequence between amino-acid position 1,689 and position 2,549.

A similar argument can be made for a functional unit that controls sy622-associated transcripts, functional unit 2 (FC2). These genes are strongly perturbed in sy622 homozygotes and they are also perturbed in bx93/sy622 trans-heterozygotes, albeit to a lesser degree. For this argument to hold, however, the functional unit must work in a dosage-dependent manner, since the bx93 allele is semidominant with the sy622 allele, and this unit is likely intact in the protein product made by the bx93 allele. This is in contrast to FC1, which is not dosage-dependent.

Evidence in favor of a bx93-associated functional unit was mixed. Although dominance analysis suggested that the bx93 allele was largely dominant over the sy622 allele for expression levels of genes in this class, the expression of these genes deviated from wild-type levels in both alleles. The latter suggests that the bx93-associated module is perturbed quantitatively in both alleles, whereas dominance analyses

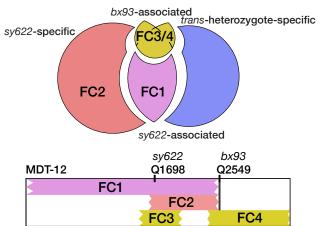


Figure 8. The functional units associated with each phenotypic class can be mapped to intragenic locations. The beginning and end positions of these functional units are unknown, so edges are drawn as ragged lines. Thick horizontal lines show the limit where each function could end, if known. We postulate that the bx93-associated class is controlled by two functional units, FC3 and FC4, in the tail region of this gene. Some of the modules shown may represent the same structures. Future experiments are required to make a more complete determination of the number and nature of these modules.

favor an interpretation where the module is present in one allele but not in the other. One possibility is that the bx93-associated function we observed is the joint activity of two distinct effectors, functional units 3 and 4 (FC3, FC4, see Fig. 8). In this model, FC4 loses partial function in the bx93 allele, whereas the FC3 retains its complete activity. This leads to non-wild-type expression levels of the bx93associated class of transcripts. In the sy622 allele, FC4 is further impaired, causing an increase in the severity of the observable phenotype. A rigorous examination of this model requires studying alleles that mutate the region between Q1689 and Q2549 using homozygotes and *trans*-heterozygotes. Future work should be able to establish how many modules exist in total, and how they may interact to drive gene expression. The phenotypic classes identified here could be compared against transcriptomic signatures from other transcription factors to identify candidate cofactors.

Controlling statistical artifacts

Transcriptomic phenotypes generate large amounts of information that can be used to determine functional units. However, due to the large number of tests performed, false positive and false negative events oc594

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cur frequently enough to create populations of transcripts that have anomalous behaviors. It is necessary to identify what modules or populations are most at risk of these events and to what extent these modules may be polluted by false signals to prevent overinterpretation. In our experiment, we can estimate statistical noise in each population. There is a rich literature in genomics devoted to controlling and estimating false positive rates ^{25,26}, but false negative rates have largely been ignored because they do not create spurious signal in simple experimental designs and because there is ample signal in most RNA-seq experiments. For allelic series experiments to be successful, systematic algorithms to estimate and control false negative rates, and to identify the populations most at risk for enrichment of false hits, must be developed, because false negative hits can create populations of genes that have fantastical biological behaviors (such as contrived examples of intragenic complementation or dosage models).

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We performed a false hit analysis, estimating the false negative rate at 15%, to identify the clusters or classes of genes most at risk for statistical noise. As a general rule, small clusters or classes should be viewed with skepticism, particularly if the biological interpretation is complex. To perform a false hit analysis, we found it crucial is to appropriately idealize the shape of the Venn diagram. This idealized Venn diagram can then be "squeezed" with false negative and false positive rates to observe how it deforms. The deformed diagram can then be compared with reality to estimate the contribution of false hits to the existence of each class.

The *trans*-heterozygote specific phenotypic class is not a statistical artifact

In our study, we found a class of transcripts that were exclusively differentially expressed in transheterozygotes. The size of this class, 1226 genes, means it cannot be a statistical artifact. As a result, this class must be interpreted either as a legitimate aspect of mdt-12 biology, possibly reflecting dosage- or tissue-specific effects, or as a strainspecific artifact. The genotype of the heterozygote includes a mutation at the dpy-6 locus which acts as a cis-marker for the bx93 mutation. One possibility is that the dpy-6 loss-of-function mutation is not recessive for transcriptomic phenotypes and is responsible for the dysregulation of the new genes observed in the heterozygote. Another possibility is that the dpy-6 strain had background mutations that affect gene expression levels in a complex manner. These issues could be addressed by re-generating the alleles used in this study using genome engineering tools like CRISPR Cas9, which have few off-target effects in C. $elegans^{27}$. However, even if these issues were addressed, the biological interpretation of this class is not straightforward.

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Phenotypes that are exacerbated or are unique to trans-heterozygotes often indicate that the protein products of the two alleles are somehow interfering with each other. This interference can often be the result of physical interactions such as homodimerization, or through a dosage reduction of a toxic product 28 . In the case of mdt-12 orthologs, the protein products are not known to form oligomers. Instead, MDT-12 and its orthologs are expected to assemble in a monomeric manner into the CDK-8 Kinase Module.

Α dosage model could explain the transheterozygote specific class if the dosage curve is bellshaped. In this model, a switch is only activated at a very specific *mdt-12* gene dosage. Beyond this dosage, the switch remains off. Although such a model explains the data, mechanisms that could generate such a dosage curve are not immediately ob-One possibility is that this switch is enacted at the level of cell specification or cell division, and that at the appropriate dosage of mdt-12, two cells that would typically collaborate to form a phenotype now act antagonistically, pushing transheterozygotes into a different state from the homozygotes. If this is the case, whole-organism RNA-seq may have limited resolution to identify what tissues or cells are being perturbed. Single-cell sequencing of *C. elegans* has recently been reported. As this technique becomes more widely adopted, and with decreasing cost, single-cell profiling of these genotypes may provide information that complements the whole-organism expression phenotypes, perhaps explaining the mysterious origin of this phenotype.

Analysis of allelic series using transcriptome-wide measurements

The potential of transcriptomes to perform epistasis analyses has been amply demonstrated ^{10,8}, but their potential to perform allelic series analyses has been less studied. Though similar in some respects, epistasis analyses and allelic series studies call for different methods to solve different problems. To successfully perform an allelic series analysis, we must be able to identify the number and identity of the phenotypic classes, and a dominance analysis must be performed for each class to determine whether the alleles interact qualitatively or quantitatively with each other. Additionally, if an allelic series includes more than

two alleles, the number of experimental outcomes and the number of possible outcomes rapidly become large.

The general problem of partitioning a set of genes into phenotypic classes is a common problem in bioinformatics. This problem has been tackled through clustering, matrix-based methods such as PCA or non-negative matrix factorization, or through qvalue-based classification (as we have done here). Although these methods can classify genes or transcripts into clusters, by themselves they cannot ascertain the probability that any one cluster is real. For allelic series studies, this represents a major problem, since each cluster can in theory represent a new, independent functional unit within the molecular structure of the gene under study. Failure to identify clusters that are the result of statistical artifacts in general will cause researchers to identify inflated numbers of functional units within a molecular structure that appear to behave in a biologically spectacular fashion. We attempted to solve this problem for our series by estimating contributions of statistical noise to each class, although a challenge is that we do not know the false negative rate in our experiment. For our analysis, we exploited the molecular structure of our alleles (nested truncations) to create an idealized version of how gene clusters should behave. We then used our false positive rate and an estimated false negative rate to estimate the signal/noise ratio for each class. This method allows us to identify false classes, and in so doing it also reduces the apparent complexity of the molecular structure of the gene un-

A challenge for allelic series studies will be the biological interpretation of unexpected classes, such as the *trans*-heterozygote specific class in our analysis. This class is too large to be explained by statistical anomalies. If this class is not an artifact of background or strain construction, the biological interpretation of this class is still not clear. Moreover, even if the biological interpretation of this class were clear, it is not immediately apparent what experimental design could establish the veracity of our interpretation. This problem could perhaps be ameliorated by correlating transcriptomic signatures with more morphologic, behavioral or cellular phenotypes, as has been done in single-cell studies ²⁹.

Expression profiling as a method for phenotypic profiling

The possibility of identifying distinct phenotypes using expression profiling is an exciting prospect. With the advent of facile genome editing technologies, the

allele generation has become routine. As a result, phenotypification is now the rate-limiting step for genetic analyses. We believe that RNA-seq can be used in conjunction with allelic series to exhaustively enumerate independent phenotypes with minor effort. We should push to sequence allelic diversity to more fully understand genotype-genotype variation.

Methods

Strains used

Strains used were N2 wild-type (Bristol), PS4087 mdt-12(sy622), PS4187 mdt-12(bx93), and PS4176 dpy-6(e14) mdt-12(bx93)/+ mdt-12(sy622). All lines were grown on standard nematode growth media (NGM) Petri plates seeded with OP50 $E.\ coli$ at $20^{\circ}\mathrm{C}^{30}$.

Strain synchronization, harvesting and RNA sequencing

All strains were synchronized by bleaching P_0 's into virgin S. basal (no cholesterol or ethanol added) for 8–12 hours. Arrested L1 larvae were placed in NGM plates seeded with OP50 at $20^{\circ}\mathrm{C}$ and allowed to grow to the young adult stage (as assessed by vulval morphology and lack of embryos). RNA extraction was performed as described in 11 and sequenced using a previously described protocol 8 .

Read pseudo-alignment and differential expression

Reads were pseudo-aligned to the *C. elegans* genome (WBcel235) using Kallisto³¹, using 200 bootstraps and with the sequence bias (--seqBias) flag. The fragment size for all libraries was set to 200 and the standard deviation to 40. Quality control was performed on a subset of the reads using FastQC, RNAseQC, BowTie and MultiQC^{32,33,34,35}. All libraries had good quality scores.

Differential expression analysis was performed using Sleuth ³⁶. Briefly, we used a general linear model to identify genes that were differentially expressed between wild-type and mutant libraries. To increase our statistical power, we pooled wild-type replicates from other published and unpublished analysis. All wild-type replicates were collected at the same stage (young adult). In total, we had 10 wild-type replicates from 4 different batches, which heightened our statistical power. Batch effects were smaller

than between-genotype effects, as assessed by principal component analysis (PCA), except when switching between samples constructed by different library methods. Wild-type samples constructed using the same library method clustered together and away from all other mutant samples. However, clustering wild-type samples by themselves revealed that the samples clusters correlated with the person who collected them. Therefore, we added batch correction terms to our model to account for batch effects from library construction as well as from the person who collected the samples.

Non-parametric bootstrap

We performed non-parametric bootstrap testing to identify whether two distributions had the same mean. Briefly, the two datasets were mixed, and samples were selected at random with replacement from the mixed population into two new datasets. We calculated the difference in the means of these new datasets. We iterated this process 10⁶ times. To calculate a p-value that the null hypothesis is true, we identified the number of times a difference in the means of the simulated populations was greater than or equal to the observed difference in the means of the real population. We divided this result by 10^6 to complete the calculation for a p-value. If an event where the difference in the simulated means was greater than the observed difference in the means was not observed, we reported the p-value as $p < 10^{-6}$. Otherwise, we reported the exact p-value. We chose to reject the null hypothesis that the means of the two datasets are equal to each other if p < 0.05.

Dominance analysis

We modeled allelic dominance as a weighted average of allelic activity. Briefly, our model proposed that β coefficients of the heterozygote, $\beta_{a/b,i,\text{Pred}}$, could be modeled as a linear combination of the coefficients of each homozygote:

$$\beta_{a/b,i,\text{Pred}}(d_a) = d_a \cdot \beta_{a/a,i} + (1 - d_a) \cdot \beta_{b/b,i}, \quad (1)$$

where $\beta_{k/k,i}$ refers to the β value of the *i*th isoform in a genotype k/k, and d_a is the dominance coefficient for allele a

To find the parameters d_a that maximized the probability of observing the data, we found the parameter, d_a , that maximized the equation:

$$P(d_a|D, H, I) \propto \prod_{i \in S} \exp -\frac{(\beta_{a/b, i, \text{Obs}} - \beta_{a/b, i, \text{Pred}}(d_a))^2}{2\sigma_i^2}$$
(2)

where $\beta_{a/b,i,\mathrm{Obs}}$ was the coefficient associated with the *i*th isoform in the *trans*-het a/b and σ_i was the standard error of the *i*th isoform in the *trans*-heterozygote samples as output by Kallisto. S is the set of isoforms that participate in the regression (see main text). This equation describes a linear regression which was solved numerically.

 \mathbf{Code}

All code was written in Jupyter notebooks 37 using the Python programming language. The Numpy, pandas and scipy libraries were used for computation 38,39,40 and the matplotlib and seaborn libraries were used for data visualization 41,42 . Enrichment analyses were performed using the WormBase Enrichment Suite 43 . For all enrichment analyses, a q-value of less than 10^-3 was considered statistically significant. For gene ontology enrichment analysis, terms were considered statistically significant only if they also showed an enrichment fold-change greater than 2

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