

# FLASH: Fast and Robust Framework for Privacy-Preserving Machine Learning

论文发表在PoPETs20，下载链接[FLASH](#)。

## 1. 设计思想

之前介绍的有关PPML的论文，大多数还是围绕半诚实（semi-honest）模型展开的工作。核心的任务聚焦于在保证隐私的情况下尽可能的提升系统性能。除了ABY3保证了正确性（correct with abort）和ASTRA进一步保证了公平性（Fairness）。FLASH进一步实现了输出可达性（Guaranteed Output Delivery, GOD），即无论恶意敌手进行何种攻击诚实参与方都可以得到计算结果。FLASH采用了4方计算架构，在诚实大多数（最多1方是静态恶意敌手）情况下可以满足GOD安全。其核心的设计思想在于，当检测到恶意行为时可以定位到恶意方在两方之间（但是不能确定具体是哪一方），但是可以确定剩余的两方是诚实参与方。如此，则可以令诚实参与方获得数据明文，从安全计算转化为明文计算（不对诚实参与方保护隐私）。

## 2. 主要工作

- 1) FLASH首先基于Additive Shairing ( $[\cdot]$ -sharing) 设计了4方下的Mirrored Sharing ( $[[\cdot]]$ -sharing) 方案；
- 2) 进一步构造了Bi-Convey原语用来在4方下将 $S_1$  和  $S_2$ 共有的输入 $x$ 在 $T$ 的辅助下传送给 $R$ ，该过程或者成功传送（ $S_1$ 和 $S_2$ 没有恶意行为），或者 $R$ 和 $T$ 能确定敌手在（ $S_1, S_2$ ）之间（之后 $R$ 和 $T$ 交换各自的share恢复明文，进行明文计算）；
- 3) 最终，关于乘法和比较等操作的构造，则是让每一次交互都可以抽象成一次Bi-Convey过程，从而使得整个系统能够满足GOD安全性要求；
- 4) 进一步，FLASH构造了面向机器学习的计算模块，包括向量乘法、激活函数计算、截断、比特转化等，并对这些模块做了进一步优化。例如，向量乘法的通信量与向量大小无关、Sigmoid函数的近似等。并和ABY3、ASTRA进行了比较。性能的理论提升如下表。

Protocol	Equation	ABY3		ASTRA		FLASH	
		Rounds	Comm.	Rounds	Comm.	Rounds	Comm.
Multiplication	$[\![x]\!]\cdot[\![y]\!] \rightarrow [\![x\cdot y]\!]$	5	$21\ell$	7	$25\ell$	5	$12\ell$
Dot Product	$[\![\vec{x} \odot \vec{y}]\!] = [\![\sum_{i=1}^d x_i y_i]\!]$	5	$21m\ell$	7	$23m\ell + 2\ell$	5	$12\ell$
MSB Extraction	$[\![x]\!] \rightarrow [\![\text{msb}(x)]\!]^B$	$\log \ell + 4$	$42\ell$	10	$52\ell + 4$	6	$16\ell + 4$
Truncation	$[\![x]\!]\cdot[\![y]\!] \rightarrow [\![(xy)^t]\!]$	$2\ell - 1$	$\approx 108\ell$	—	—	5	$14\ell$
Bit Conversion	$[\![b]\!]^B \rightarrow [\![b]\!]$	6	$42\ell$	—	—	5	$14\ell$
Bit Insertion	$[\![b]\!]^B [\![x]\!] \rightarrow [\![bx]\!]$	7	$63\ell$	—	—	5	$18\ell$

Table 1. Comparison of FLASH framework with ABY3 and ASTRA;  $\ell$  and  $m$  denote the ring size and number of features respectively.

### 3. Mirrored Sharing Semantics

- Additive Sharing ( $[\![\cdot]\!]$ -sharing)：对于 $x$ ，在2方下可以被分享为 $x^1$ 和 $x^2$ ，满足 $x = x^1 + x^2$ 。其中每一个参与方持有一份。
- Mirrored Sharing ( $[\![\cdot]\!]$ -sharing)：对于 $x$ 在4方下：
  - 存在 $\sigma_x$ 和 $\mu_x$ 满足： $\mu_x = x + \sigma_x$ ；
  - $\sigma_x$ 被 $[\![\cdot]\!]$ -sharing分享在参与方 $E = \{E_1, E_2\}$ 之间，即 $[\![\sigma_x]\!]_{E_1} = \sigma_x^1$ ， $[\![\sigma_x]\!]_{E_2} = \sigma_x^2$ 。而参与方 $V = \{V_1, V_2\}$ 则都持有 $\sigma_x^1$ 和 $\sigma_x^2$ ；
  - 类似的， $\mu_x$ 被 $[\![\cdot]\!]$ -sharing分享在 $V = \{V_1, V_2\}$ 之间，即 $[\![\mu_x]\!]_{V_1} = \mu_x^1$ ， $[\![\mu_x]\!]_{V_2} = \mu_x^2$ 。而 $E = \{E_1, E_2\}$ 则都持有 $\mu_x^1$ 和 $\mu_x^2$ 。整体的语义分享如下：

$E_1: [\![x]\!]_{E_1} = (\sigma_x^1, \mu_x^1, \mu_x^2)$	$V_1: [\![x]\!]_{V_1} = (\sigma_x^1, \sigma_x^2, \mu_x^1)$
$E_2: [\![x]\!]_{E_2} = (\sigma_x^2, \mu_x^1, \mu_x^2)$	$V_2: [\![x]\!]_{V_2} = (\sigma_x^1, \sigma_x^2, \mu_x^2)$

很显然，从 $[\![\cdot]\!]$ -sharing 的线性性质可以很容易的推导出 $[\![\cdot]\!]$ -sharing 的线性，即支持非交互计算加法和明文-密文乘法。

## 4. Robust 4PC

### 4.1 Bi-Convey

如前所属，Bi-Convey  $\Pi_{bic}(S_1, S_2, x, R, T)$ 在4方下将 $S_1$  和  $S_2$ 共有的输入 $x$ 在 $T$ 的辅助下传送给 $R$ ，该过程或者成功传送（ $S_1$ 和 $S_2$ 没有恶意行为），或者 $R$ 和 $T$ 能确定敌手在（ $S_1, S_2$ ）之间（之后 $R$ 和 $T$ 交换各自的share恢复明文，进行明文计算）。具体来说：

1.  $S_1$ 和 $S_2$ 各自将 $x$ 发送给 $R$ 。同时， $S_1$ 和 $S_2$ 将关于 $x$ 的承诺 $com(x)$ 发送给 $T$ 。当然，关于承诺使用的随机数是相同的；
2. 如果 $R$ 收到的 $x$ 是相等的，那么 $S_1$ 和 $S_2$ 均没有作恶。 $R$ 接受 $x$ ，令 $msg_R = continue$ ，并丢弃来自 $T$ 的任何信息；否则，令 $msg_R = I_R$ ，其中 $I_R$ 表示 $R$ 的share和随机数种子；
3. 对于 $T$ ，如果收到的承诺相同，则令 $msg_T = com(x)$ ；否则令 $msg_T = I_R$ ；

4.  $R$ 和 $T$ 互相交换 $msg$ ;

5. 如果 $msg_R = I_R$ 且 $msg_T = com(x)$ , 则 $R$ 接受和 $msg_T$ 匹配的 $x$ 。否则,  $R$ 和 $T$ 可以在本地恢复明文进行明文计算。

## 4.2 Input Sharing

在Input Sharing阶段, Dealer可能是恶意的敌手, 那么其可能分发给不同的参与方的share不匹配。为了确认一致性, 需要在share之后利用承诺进行验证。例如, 如果Dealer是 $V_1$ , 在预计算阶段, 所有的参与方根据共享的随机数种子生成 $(\sigma_x^1, \sigma_x^2, \mu_x^1)$ 。在线计算阶段,  $V_1$ 计算 $\mu_x^2 = x + \sigma_x^1 + \sigma_x^2 - \mu_x^1$ 并把 $\mu_x^2$ 发送给 $E$ 和 $V_2$ 。最后,  $E_1, E_2, V_2$ 利用承诺 $com(\mu_x^2)$ 来进行验证, 取majority为最终分享结果。Dealer是其他参与方的情况类似。具体协议如下。

- **Input:** Party  $D$  inputs value  $x$  while others input  $\perp$ .
- **Output:** Parties obtain  $\llbracket x \rrbracket$  as the output.
- **If  $D = E_1$ :** Parties in  $V$  and  $E_1$  locally sample  $\sigma_x^1$ , while all the parties in  $P$  locally sample  $\sigma_x^2$ . Parties in  $V$  and  $E_1$  locally compute  $\sigma_x = \sigma_x^1 + \sigma_x^2$ . Similar steps are done for  $D = E_2$ .
- **If  $D = V_i$  for  $i \in \{1, 2\}$ :** Parties in  $V$  and  $E_1$  locally sample  $\sigma_x^1$ , while parties in  $V$  and  $E_2$  locally sample  $\sigma_x^2$ . Parties in  $V$  locally compute  $\sigma_x = \sigma_x^1 + \sigma_x^2$ .
- **If  $D = V_1$ :** Party  $V_1$  computes  $\mu_x = x + \sigma_x$ . Parties in  $E$  and  $V_1$  locally sample  $\mu_x^1$ . Party  $V_1$  computes and sends  $\mu_x^2 = \mu_x - \mu_x^1$  to parties in  $E$  and  $V_2$ . Parties in  $E$  and  $V_2$  exchange the received copy of  $\mu_x^2$ . If there exists no majority, then they identify  $V_1$  to be corrupt and engage in semi-honest 3PC excluding  $V_1$  (with default input for  $V_1$ ). Else, they set  $\mu_x^2$  to the computed majority. Similar steps are done for  $D = V_2$ .
- **If  $D = E_i$  for  $i \in \{1, 2\}$ :** Party  $E_i$  computes  $\mu_x = x + \sigma_x$ . Parties in  $E$  and  $V_1$  locally sample  $\mu_x^1$ . Party  $E_i$  computes and sends  $\mu_x^2 = \mu_x - \mu_x^1$  to  $V_2$  and the co-evaluator.  $E_i$  sends  $com(\mu_x^2)$  to  $V_1$ . Parties other than the dealer exchange the commitment of  $\mu_x^2$  to compute majority (the co-evaluator and  $V_2$  also exchange their copies of  $\mu_x^2$ ). If no majority exists, then they identify  $E_i$  to be corrupt and engage in semi-honest 3PC excluding  $E_i$  (with default input for  $E_i$ ). Else, they set  $\mu_x^2$  to the computed majority.

Fig. 3.  $\Pi_{sh}(D, x)$ : Protocol to generate  $\llbracket x \rrbracket$  by dealer  $D$ .

## 4.3 Circuit Evaluation

加法和明文-密文乘法比较容易, 难点在于密文-密文乘法。对于乘法 $z = xy$ ,  $E$ 中两方的目标是计算

$$\begin{aligned}
\mu_z &= xy + \sigma_z \\
&= (\mu_x - \sigma_x)(\mu_y - \sigma_y) + \sigma_z \\
&= \mu_x \mu_y - \mu_x \sigma_y - \mu_y \sigma_x + \sigma_x \sigma_y + \sigma_z
\end{aligned}$$

令  $A = -\mu_x^1 \sigma_y - \mu_y^1 \sigma_x + \delta_{xy} + \sigma_z + \Delta$ ,  $B = -\mu_x^2 \sigma_y - \mu_y^2 \sigma_x - \Delta$ , 其中  $\delta_{xy} = \sigma_x \sigma_y$ 。那么根据  $\Pi$ -sharing 的语义, 在预计算阶段的随机数生成基础上,  $V_1$  可以在本地计算  $A$ ,  $V_2$  可以在本地计算  $B$ 。但是这并不能保证  $A$  和  $B$  能够成功的被  $E$  获得。为了解决这个问题, 进一步将  $A$  和  $B$  分解为  $A = A_1 + A_2$ ,  $B = B_1 + B_2$ 。其中,  $A_j = -u_x^1 \sigma_y^j - \mu_y^1 \sigma_x^j + \delta_{xy}^j + \Delta_j$  被  $V_1$  和  $E_j$  共有,  $B_j = -u_x^2 \sigma_y^j - \mu_y^2 \sigma_x^j - \Delta_j$  被  $V_2$  和  $E_j$  共有,  $j \in \{1, 2\}$ 。如此一来,  $A_j, B_j$  则可以利用  $\Pi_{bic}$  成功发送给  $E$  中两方。其中  $\delta_{xy}^j, \Delta_j$  可以在预计算也利用共享随机数种子和原语  $\Pi_{bic}$  生成。最终,  $E$  在计算  $\mu_z$  之后便可以计算  $\mu_z^2 = \mu_z - \mu_z^1$ , 并利用  $\Pi_{bic}(E_1, E_2, \mu_z^2, V_2, V_1)$  将  $\mu_z^2$  发送给  $V_2$ 。具体协议  $\Pi_{mult}$  如下。

- **Input:** Parties input their  $[x]$  and  $[y]$  shares.
- **Output:** Parties obtain  $[z]$  as the output, where  $z = xy$ .
- Parties in  $V$  and  $E_1$  collectively sample  $\sigma_z^1$  and  $\delta_{xy}^1$ , while parties in  $V$  and  $E_2$  together sample  $\sigma_z^2$ .
- Verifiers  $V_1, V_2$  compute  $\delta_{xy} = \sigma_x \sigma_y$ , set  $\delta_{xy}^2 = \delta_{xy} - \delta_{xy}^1$  and invoke  $\Pi_{bic}(V_1, V_2, \delta_{xy}^2, E_2, E_1)$ , which makes sure that  $E_2$  receives  $\delta_{xy}^2$ .
- Parties in  $V$  and  $E_1$  collectively sample  $\Delta_1$ . Parties  $V_1$  and  $E_1$  compute  $A_1 = -\mu_x^1 \sigma_y^1 - \mu_y^1 \sigma_x^1 + \delta_{xy}^1 + \sigma_z^1 + \Delta_1$  and invoke  $\Pi_{bic}(V_1, E_1, A_1, E_2, V_2)$ , such that  $E_2$  receives  $A_1$ .
- Similarly, parties in  $V$  and  $E_2$  collectively sample  $\Delta_2$ . Parties  $V_1$  and  $E_2$  compute  $A_2 = -\mu_x^1 \sigma_y^2 - \mu_y^1 \sigma_x^2 + \delta_{xy}^2 + \sigma_z^2 + \Delta_2$  and invoke  $\Pi_{bic}(V_1, E_2, A_2, E_1, V_2)$ , such that  $E_1$  receives  $A_2$ .
- Parties  $V_2$  and  $E_1$  compute  $B_1 = -\mu_x^2 \sigma_y^1 - \mu_y^2 \sigma_x^1 - \Delta_1$  and invoke  $\Pi_{bic}(V_2, E_1, B_1, E_2, V_1)$ . Similarly,  $V_2$  and  $E_2$  compute  $B_2 = -\mu_x^2 \sigma_y^2 - \mu_y^2 \sigma_x^2 - \Delta_2$  and invoke  $\Pi_{bic}(V_2, E_2, B_2, E_1, V_1)$ .
- Evaluators compute  $\mu_z = A_1 + A_2 + B_1 + B_2 + \mu_x \mu_y$  locally. Parties in  $E$  and  $V_1$  collectively sample  $\mu_z^1$  followed by evaluators setting  $\mu_z^2 = \mu_z - \mu_z^1$  and invoking  $\Pi_{bic}(E_1, E_2, \mu_z^2, V_2, V_1)$  for  $V_2$  to receive  $\mu_z^2$ .

Fig. 4.  $\Pi_{mult}(x, y, z)$ : Multiplication Protocol

## 4.4 Output Computation

恢复算法比较直观, 因为每一方缺失的份额都被其他三方持有, 所以可以令其他三方中两方发送缺失的份额, 而剩下的一方直接发送对应的哈希值, 最终结果取majority。具体协议  $\Pi_{oc}$  如下。

- **Input:** Parties input their  $\llbracket z \rrbracket$  shares.
  - **Output:** Parties obtain  $z$  as the output.
- For  $i, j \in \{1, 2\}$  and  $i \neq j$ ,  $E_i$  receives  $\sigma_z^j$  from parties in  $V$  and  $H(\sigma_z^j)$  from  $E_j$ .
  - $V_2$  receives  $\mu_z^1$  from parties in  $E$  and  $H(\mu_z^1)$  from  $V_1$ .
  - $V_1$  receives  $\mu_z^2$  from parties in  $E$  and  $H(\mu_z^2)$  from  $V_2$ .
  - Each party sets the missing share as the majority among the received values and outputs  $z = \mu_z^1 + \mu_z^2 - \sigma_z^1 - \sigma_z^2$ .

Fig. 5.  $\Pi_{oc}$ : Protocol for Robust Reconstruction

## 5. ML Building Blocks

进一步构造面向ML计算的安全计算模块。

### 5.1 Arithmetic/Boolean Couple Sharing Primitive

在之后的计算中，会出现秘密值 $x$ 只被 $E$ 或者 $V$ 中两方持有，持有双方试图生成 $\llbracket x \rrbracket$ 的情况。对于这种情况的sharing，可以令被另外两方完全持有的分享为0，从而减少开销。具体来说，如果 $x$ 被 $E$ 中两方持有，则 $\sigma_x^1 = \sigma_x^2 = 0$ ；如果 $x$ 被 $V$ 中两方持有，则 $\mu_x^1 = \mu_x^2 = 0$ 。具体协议 $\Pi_{cSh}$ 如下。

#### Case 1: (S = E)

- **Input:**  $E_1$  and  $E_2$  input  $x$  while others input  $\perp$ .
  - **Output:** Parties obtain  $\llbracket x \rrbracket$  as the output.
- Parties set  $\sigma_x^1 = 0$  and  $\sigma_x^2 = 0$ . Parties in  $E$  and  $V_1$  collectively sample random  $\mu_x^1 \in \mathbb{Z}_{2^\ell}$ .
  - $E_1$  and  $E_2$  set  $\mu_x^2 = x - \mu_x^1$ . Parties then execute  $\Pi_{bic}(E_1, E_2, \mu_x^2, V_2, V_1)$ , such that  $V_2$  receives  $\mu_x^2$ .

#### Case 2: (S = V)

- **Input:**  $V_1$  and  $V_2$  input  $x$  while others input  $\perp$ .
  - **Output:** Parties obtain  $\llbracket x \rrbracket$  as the output.
- Parties set  $\mu_x^1 = 0$  and  $\mu_x^2 = 0$ . Parties in  $V$  and  $E_1$  collectively sample random  $\sigma_x^1 \in \mathbb{Z}_{2^\ell}$ .
  - $V_1$  and  $V_2$  set  $\sigma_x^2 = x - \sigma_x^1$ . Parties then execute  $\Pi_{bic}(V_1, V_2, \sigma_x^2, E_2, E_1)$ , such that  $E_2$  receives  $\sigma_x^2$ .

注意，Case 2存在笔误：1) $\sigma_x^2 = -x - \sigma_x^1$ ；2) $\Pi_{bic}(V_1, V_2, \sigma_x^2, E_2, E_1)$ 。

### 5.2 Dot Product

对于向量内积  $z = \vec{x} \odot \vec{y} = \sum_{i=1}^d x_i y_i$ ，可以简单的调用乘法协议 $\Pi_{mult}$ 完成，但是这会使得通信和向量大小正相关。为了使得通信量独立于向量大小，可以借鉴ABY3中的方法，即现在share上做完

加法求和再对求和结果进行通信。需要改变的则是对于 $\delta_{xy}^2 = \sum_{i=1}^d \delta_{x_i y_i} - \delta_{xy}^1$ 的计算，类似的还有关于 $A_j, B_j$ 的计算。其余计算则没有太大改变。具体协议 $\Pi_{dp}$ 如下。

- **Input:** Parties input their  $[\vec{x}]$  and  $[\vec{y}]$  shares.
- **Output:** Parties obtain  $[z]$  as output, where  $z = \vec{x} \odot \vec{y}$ .
- Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample  $\sigma_z^1$  and  $\delta_{xy}^1$ , while parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  together sample  $\sigma_z^2$ .
- Verifiers  $\mathbf{V}_1, \mathbf{V}_2$  compute  $\delta_{xy} = \sum_{i=1}^d \sigma_{x_i} \sigma_{y_i}$ , set  $\delta_{xy}^2 = \delta_{xy} - \delta_{xy}^1$  and invoke  $\Pi_{bic}(\mathbf{V}_1, \mathbf{V}_2, \delta_{xy}^2, \mathbf{E}_2, \mathbf{E}_1)$ , such that  $\mathbf{E}_2$  receives  $\delta_{xy}^2$ .
- Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample  $\Delta_1$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_1$  compute  $A_1 = \sum_{i=1}^d (-\mu_{x_i}^1 \sigma_{y_i}^1 - \mu_{y_i}^1 \sigma_{x_i}^1) + \sigma_z^1 + \delta_{xy}^1 + \Delta_1$  and invoke  $\Pi_{bic}(\mathbf{V}_1, \mathbf{E}_1, A_1, \mathbf{E}_2, \mathbf{V}_2)$ , such that  $\mathbf{E}_2$  receives  $A_1$ .
- Similarly, parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  collectively sample  $\Delta_2$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_2$  compute  $A_2 = \sum_{i=1}^d (-\mu_{x_i}^1 \sigma_{y_i}^2 - \mu_{y_i}^1 \sigma_{x_i}^2) + \sigma_z^2 + \delta_{xy}^2 + \Delta_2$  and invoke  $\Pi_{bic}(\mathbf{V}_1, \mathbf{E}_2, A_2, \mathbf{E}_1, \mathbf{V}_2)$ , such that  $\mathbf{E}_1$  receives  $A_2$ .
- $\mathbf{V}_2$  and  $\mathbf{E}_1$  compute  $B_1 = \sum_{i=1}^d (-\mu_{x_i}^2 \sigma_{y_i}^1 - \mu_{y_i}^2 \sigma_{x_i}^1) - \Delta_1$  and invoke  $\Pi_{bic}(\mathbf{V}_2, \mathbf{E}_1, B_1, \mathbf{E}_2, \mathbf{V}_1)$ . Similarly,  $\mathbf{V}_2$  and  $\mathbf{E}_2$  compute  $B_2 = \sum_{i=1}^d (-\mu_{x_i}^2 \sigma_{y_i}^2 - \mu_{y_i}^2 \sigma_{x_i}^2) - \Delta_2$  and execute  $\Pi_{bic}(\mathbf{V}_2, \mathbf{E}_2, B_2, \mathbf{E}_1, \mathbf{V}_1)$ .
- Evaluators compute  $\mu_z = \mu_x \mu_y + A_1 + A_2 + B_1 + B_2$  locally. Parties in  $\mathbf{E}$  and  $\mathbf{V}_1$  collectively sample  $\mu_z^1$  followed by evaluators setting  $\mu_z^2 = \mu_z - \mu_z^1$  and execute  $\Pi_{bic}(\mathbf{E}_1, \mathbf{E}_2, \mu_z^2, \mathbf{V}_2, \mathbf{V}_1)$  for  $\mathbf{V}_2$  to receive  $\mu_z^2$ .

**Fig. 7.**  $\Pi_{dp}([\vec{x}], [\vec{y}])$ : Dot Product of two vectors

## 5.3 MSB Extraction

对于比较 $u < v$ ，其等价于提取 $a = u - v$ 的最高有效位  $msb(a)$ 。本文采取和ASTRA相同的设计思想：对于随机数 $r \in \mathbb{Z}_{2^\ell}$ ，有 $msb(a) = msb(r) \oplus msb(ra)$ 。因此，可以令参与方在预计算阶段生成 $[r]$ 和 $[p]^B$ ，其中 $p = msb(r)$ 。在线计算阶段，则求调用 $\Pi_{mult}([r], [a])$ 并公开计算结果 $ra$ 从而求得 $q = msb(ra)$ ，然后计算 $[q]^B$ ，最后计算 $[msb(a)]^B = [p]^B \oplus [q]^B$ 。但是利用乘法隐藏真实值是有一定的泄露的：例如，如果 $r$ 是奇数，而公开的 $ra$ 是偶数，那么 $a$ 一定是偶数。所以，这种方法的安全性并不如one-time-pad。

- **Input:** Parties input their  $[a]$  shares.
- **Output:** Parties obtain  $[\text{msb}(a)]^B$  as the output.
- Parties in  $\mathbf{E}$  sample random  $r \in \mathbb{Z}_{2^\ell}$  and set  $p = \text{msb}(r)$ .
- Parties execute  $\Pi_{\text{cSh}}(\mathbf{E}, r)$  and  $\Pi_{\text{cSh}}^B(\mathbf{E}, p)$  to generate  $[r]$  and  $[p]^B$  respectively.
- Parties execute  $\Pi_{\text{mult}}([r], [a])$  to generate  $[ra]$ . Parties also execute  $\Pi_{\text{bic}}(\mathbf{E}_1, \mathbf{E}_2, \mu_{ra}^2, \mathbf{V}_1, \mathbf{V}_2)$  and  $\Pi_{\text{bic}}(\mathbf{E}_1, \mathbf{E}_2, \mu_{ra}^1, \mathbf{V}_2, \mathbf{V}_1)$  to reconstruct  $ra$  towards  $\mathbf{V}_1$  and  $\mathbf{V}_2$  respectively. Verifiers then set  $q = \text{msb}(ra)$ .
- Parties execute  $\Pi_{\text{cSh}}^B(\mathbf{V}, q)$  to generate  $[q]^B$  followed by locally computing  $[\text{msb}(a)]^B = [p]^B \oplus [q]^B$ .

Fig. 8.  $\Pi_{\text{msb}}([a])$ : Extraction of MSB from a value

## 5.4 Truncation

为了防止多次连续乘法造成溢出，本文截断方案采取类似ABY3中的截断方法：首先生成 $(r, r^t)$ 的秘密分享，其中 $r^t = r/2^d$ 。在线计算计算，乘法计算完成时公开 $z - r$ ，并截断 $z - r$ 获得 $(z - r)^t$ 。进一步，利用协议 $\Pi_{\text{cSh}}(\mathbf{E}, (z - r)^t)$ 生成 $[(z - r)^t]$ ，并计算最后结果 $[z^t] = [(z - r)^t] + [r^t]$ 。具体协议 $\Pi_{\text{mulTr}}^A$ 如下。

- **Input:** Parties input their  $[x]$  and  $[y]$  shares.
- **Output:** Parties obtain  $[z^t]$  as output, where  $z^t = (xy)^t$ .
- Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample  $\sigma_z^1$  and  $r_1$ , while parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  together sample  $\sigma_z^2$  and  $r_2$ .
- Verifiers set  $r = r_1 + r_2$  and truncate  $r$  by  $d$  bits to obtain  $r^t$ . Parties execute  $\Pi_{\text{cSh}}(\mathbf{V}, r^t)$  to generate  $[r^t]$  sharing.
- Verifiers locally set  $\delta_{xy} = \sigma_x \cdot \sigma_y$  and compute  $\delta_{xy}^2 = \delta_{xy} - \delta_{xy}^1$ , where  $\delta_{xy}^1$  is collectively sampled by parties in  $\mathbf{V}$  and  $\mathbf{E}_1$ . Parties then execute  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{V}_2, \delta_{xy}^2, \mathbf{E}_2, \mathbf{E}_1)$ , such that  $\mathbf{E}_2$  receives  $\delta_{xy}^2$ .
- Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample  $\Delta_1$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_1$  compute  $A_1 = -\mu_x^1 \sigma_y^1 - \mu_y^1 \sigma_x^1 + \delta_{xy}^1 - r_1 + \Delta_1$  and execute  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{E}_1, A_1, \mathbf{E}_2, \mathbf{V}_2)$ , such that  $\mathbf{E}_2$  receives  $A_1$ .
- Similarly, parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  collectively sample  $\Delta_2$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_2$  compute  $A_2 = -\mu_x^1 \sigma_y^2 - \mu_y^1 \sigma_x^2 + \delta_{xy}^2 - r_2 + \Delta_2$  and execute  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{E}_2, A_2, \mathbf{E}_1, \mathbf{V}_2)$ , such that  $\mathbf{E}_1$  receives  $A_2$ .
- Parties  $\mathbf{V}_2$  and  $\mathbf{E}_1$  compute  $B_1 = -\mu_x^2 \sigma_y^1 - \mu_y^2 \sigma_x^1 - \Delta_1$  and execute  $\Pi_{\text{bic}}(\mathbf{V}_2, \mathbf{E}_1, B_1, \mathbf{E}_2, \mathbf{V}_1)$ . Similarly,  $\mathbf{V}_2$  and  $\mathbf{E}_2$  compute  $B_2 = -\mu_x^2 \sigma_y^2 - \mu_y^2 \sigma_x^2 - \Delta_2$  and execute  $\Pi_{\text{bic}}(\mathbf{V}_2, \mathbf{E}_2, B_2, \mathbf{E}_1, \mathbf{V}_1)$ .
- Evaluators compute  $z - r = \mu_x \mu_y + A_1 + A_2 + B_1 + B_2$  and truncate it by  $d$  bits to obtain  $(z - r)^t$ .
- Parties execute  $\Pi_{\text{cSh}}(\mathbf{E}, (z - r)^t)$  to generate  $[(z - r)^t]$  sharing and locally add to obtain  $[z^t] = [(z - r)^t] + [r^t]$ .

Fig. 9.  $\Pi_{\text{mulTr}}^A(x, y)$ : Truncation Protocol

## 5.5 Bit Conversion

在5.3节中，协议 $\Pi_{msb}$ 求得的是Boolean shares。但是计算得到Boolean shares之后，ML中后续的任务往往又涉及到乘法等算术计算。因此，需要将Bit的Boolean shares转化为等价的Arithmetic shares。对于比特  $b$ ，有：

$$b = \mu_b \oplus \sigma_b = \mu'_b + \sigma'_b - 2\mu'_b\sigma'_b$$

其中， $\mu'_b$ 和 $\sigma'_b$ 是对应比特值的算术表示，明文持有他们的参与者（E中两方持有 $\mu_b$ ，V中两方持有 $\sigma_b$ ）可以在本地进行转化。因此，难点在于计算最后一个乘法。利用前文设计的 $\Pi_{cSh}$ 协议和 $\Pi_{mult}$ ，可以完成Bit Conversion。具体协议 $\Pi_{btr}$ 如下。

- **Input:** Parties input their  $[b]^B$  shares.
- **Output:** Parties obtain  $[b]$  as the output.
- Parties execute  $\Pi_{cSh}(V, \sigma_{b'})$  and  $\Pi_{cSh}(E, \mu_{b'})$  to generate  $[\sigma_{b'}]$  and  $[\mu_{b'}]$  respectively.
- Parties execute  $\Pi_{mult}([\mu_{b'}], [\sigma_{b'}])$  to generate  $[\mu_{b'}\sigma_{b'}]$ , followed by locally computing  $[b] = [\mu_{b'}] + [\sigma_{b'}] - 2[\mu_{b'}\sigma_{b'}]$ .

**Fig. 10.**  $\Pi_{btr}([b]^B)$ : Conversion of a bit to arithmetic equivalent

## 5.6 Bit Insertion

给定Boolean shared的比特  $[b]^B$ 和Arithmetic shared的 $[x]$ ，求 $[bx]$ 在ML计算中是很常见的一种操作，比如ReLU。一种直接的方法可以首先调用 $\Pi_{btr}([b]^B)$ 实现Bit Conversion然后再调用协议 $\Pi_{mult}$ 实现乘法。进一步，本文提出了如下方法减少通信量和通信轮数。具体来说，对于

ParseError: KaTeX parse error: Too many tab characters: & at position 287: ... + \sigma\_{bx} \&= \gamma\_{b'x} ...

其中， $\gamma_{b'x} = \mu_{b'}\mu_x$ ， $\delta_{b'x} = \sigma_{b'}\sigma_x$ 。如此，参与方可以首先对于V生成关于 $\mu_{b'}$ 和 $\gamma_{b'x}$ 的 $[\cdot]$ -shares，对于E生成关于 $\sigma_{b'}$ 和 $\delta_{b'x}$ 的 $[\cdot]$ -shares，如此参与方可以本地计算 $A_1, A_2, B_1, B_2$ （每一项都被2个参与方共有）。最后，调用 $\Pi_{bic}$ 实现传输并计算最终的 $\mu_{bx}$ 。具体协议 $\Pi_{bin}$ 如下。



- **Input:** Parties input their  $\llbracket b \rrbracket^B$  and  $\llbracket x \rrbracket$  shares.
  - **Output:** Parties obtain  $\llbracket bx \rrbracket$  as the output.
- Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample random  $\sigma_{bx}^1 \in \mathbb{Z}_{2^\ell}$ , while parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  together sample random  $\sigma_{bx}^2$ .
  - Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample random  $\sigma_{b'}^1$  followed by  $\mathbf{V}_1$  and  $\mathbf{V}_2$  setting  $\sigma_{b'}^2 = \sigma_{b'} - \sigma_{b'}^1$ . Parties then execute  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{V}_2, \sigma_{b'}^2, \mathbf{E}_2, \mathbf{E}_1)$ , such that  $\mathbf{E}_2$  receives  $\sigma_{b'}^2$ . The same procedure is used for  $\mathbf{E}_2$  to receive  $\delta_{b'x}^2$ .
  - Parties in  $\mathbf{E}$  and  $\mathbf{V}_1$  collectively sample random  $\mu_{b'}^1$  followed by  $\mathbf{E}_1$  and  $\mathbf{E}_2$  setting  $\mu_{b'}^2 = \mu_{b'} - \mu_{b'}^1$ . Parties then execute  $\Pi_{\text{bic}}(\mathbf{E}_1, \mathbf{E}_2, \mu_{b'}^2, \mathbf{V}_2, \mathbf{V}_1)$ , such that  $\mathbf{V}_2$  receives  $\mu_{b'}^2$ . The same procedure is used for  $\mathbf{V}_2$  to receive  $\gamma_{b'x}^2$ .
  - Parties in  $\mathbf{V}$  and  $\mathbf{E}_1$  collectively sample  $\Delta_1$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_1$  compute  $A_1 = -\mu_{b'}^1 \sigma_x^1 + (\mu_x^1 - 2\gamma_{b'x}^1) \sigma_{b'}^1 + (2\mu_{b'}^1 - 1) \delta_{b'x}^1 + \sigma_{bx}^1 + \Delta_1$  and invoke  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{E}_1, A_1, \mathbf{E}_2, \mathbf{V}_2)$ .
  - Similarly, parties in  $\mathbf{V}$  and  $\mathbf{E}_2$  collectively sample  $\Delta_2$ . Parties  $\mathbf{V}_1$  and  $\mathbf{E}_2$  compute  $A_2 = -\mu_{b'}^1 \sigma_x^2 + (\mu_x^1 - 2\gamma_{b'x}^1) \sigma_{b'}^2 + (2\mu_{b'}^1 - 1) \delta_{b'x}^2 + \sigma_{bx}^2 + \Delta_2$  and invoke  $\Pi_{\text{bic}}(\mathbf{V}_1, \mathbf{E}_2, A_2, \mathbf{E}_1, \mathbf{V}_2)$ .
  - Parties  $\mathbf{V}_2$  and  $\mathbf{E}_1$  compute  $B_1 = -\mu_{b'}^2 \sigma_x^1 + (\mu_x^2 - 2\gamma_{b'x}^2) \sigma_{b'}^1 + (2\mu_{b'}^2 - 1) \delta_{b'x}^1 - \Delta_1$  and invoke  $\Pi_{\text{bic}}(\mathbf{V}_2, \mathbf{E}_1, B_1, \mathbf{E}_2, \mathbf{V}_1)$ . Similarly,  $\mathbf{V}_2$  and  $\mathbf{E}_2$  compute  $B_2 = -\mu_{b'}^2 \sigma_x^2 + (\mu_x^2 - 2\gamma_{b'x}^2) \sigma_{b'}^2 + (2\mu_{b'}^2 - 1) \delta_{b'x}^2 - \Delta_2$  and invoke  $\Pi_{\text{bic}}(\mathbf{V}_2, \mathbf{E}_2, B_2, \mathbf{E}_1, \mathbf{V}_1)$ .
  - Evaluators compute  $\mu_{b'x} = A_1 + A_2 + B_1 + B_2 + \gamma_{b'x}$  locally. Parties in  $\mathbf{E}$  and  $\mathbf{V}_1$  collectively sample  $\mu_{b'x}^1$  followed by evaluators setting  $\mu_{b'x}^2 = \mu_{b'x} - \mu_{b'x}^1$  and invoking  $\Pi_{\text{bic}}(\mathbf{E}_1, \mathbf{E}_2, \mu_{b'x}^2, \mathbf{V}_2, \mathbf{V}_1)$ .

**Fig. 11.**  $\Pi_{\text{bin}}(\llbracket b \rrbracket^B, \llbracket x \rrbracket)$ : Insertion of bit  $b$  in a value

## 6. Evaluation

本文做了关于关键模块的性能测试，进一步进行了ML模型的性能实验。对于ML中的算子，矩阵乘法、卷积可以归约到向量乘法，Sigmoid可以用分段函数计算（分段方法和SecureML一样），而ReLU则使用可以先做再求乘积。实验结果和ABY3进行比较。

### 6.1 模块实验

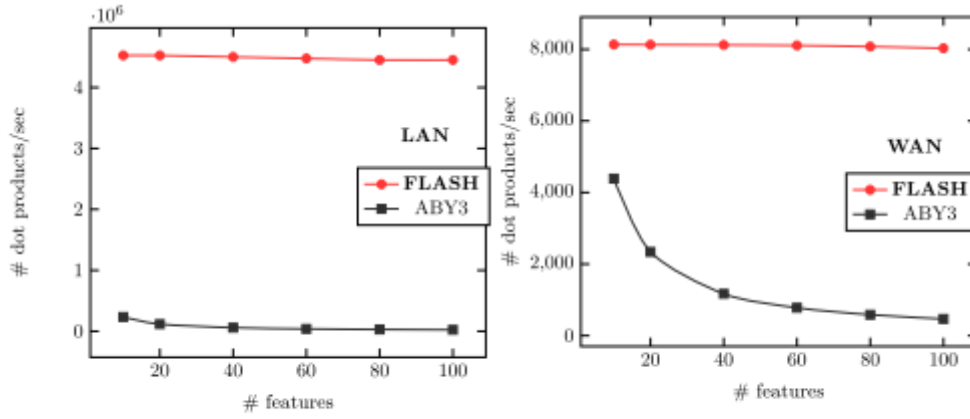
#### 1) Dot Product:

首先在固定向量长度下比较FLASH和ABY3的Latency；

Work	LAN Latency ( <i>ms</i> )	WAN Latency ( <i>s</i> )
ABY3	3.55	1.10
FLASH	1.51	1.08

**Table 5.** Latency of 1 dot product computation for 784 features

其次，比较性能在特征增加时带来的Latency变化。



**Fig. 12.** # of dot product computations with increasing features.

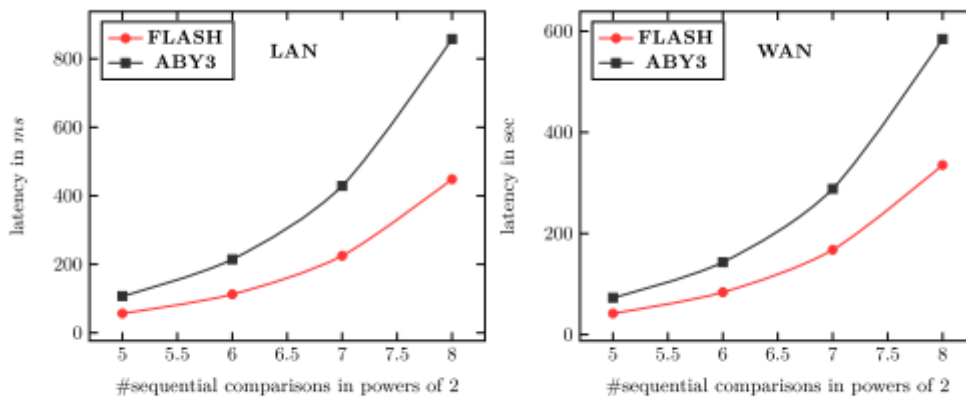
## 2) MSB Extraction

首先比较一次协议调用的Latency：

Work	LAN Latency ( <i>ms</i> )	WAN Latency ( <i>s</i> )
ABY3	3.53	2.29
FLASH	1.77	1.31

**Table 6.** Latency for single execution of MSB Extraction protocol

进一步，比较多次调用的Latency增长趋势：



**Fig. 13.** Latency with increasing sequential comparisons

## 3) Truncation

Latency 和 Throughput 比较如下：

Work	LAN Latency ( <i>ms</i> )	WAN Latency ( <i>s</i> )
ABY3	1.52	1.11
FLASH	1.51	1.07

**Table 7.** Latency for a single execution of Truncation protocol

Work	LAN		WAN	
	#mult/sec	Improv.	#mult/min	Improv.
ABY3	0.45M	8.8×	4.76M	8.81×
FLASH	3.97M		0.54M	

**Table 8.** Throughput Comparison wrt # multiplications with truncation

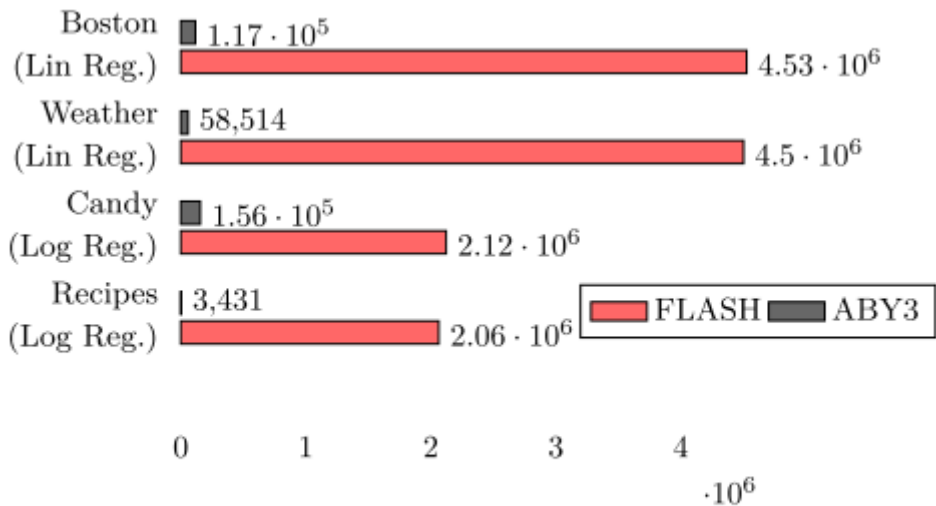
4) ML Evaluation

首先比较关于线性回归和Logistic回归的Latency。

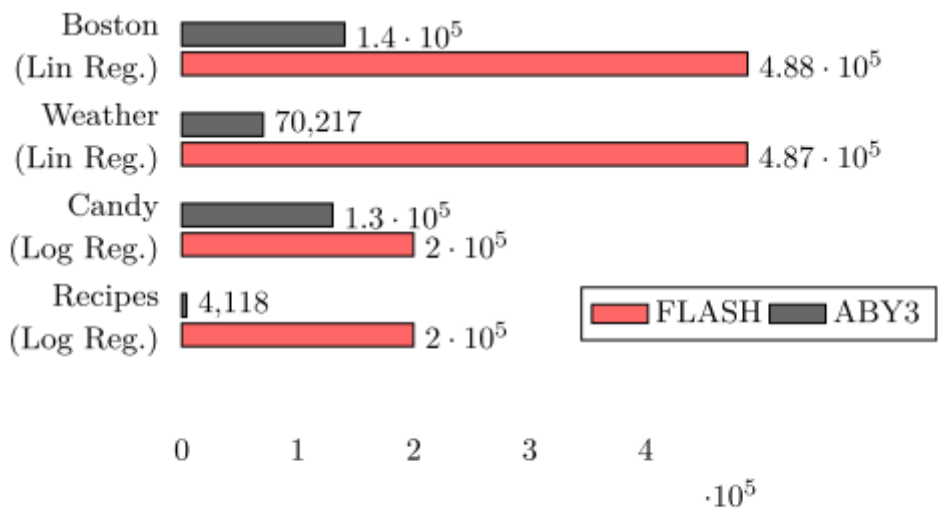
Setting	# Features	Ref.	Linear Reg.	Logistic Reg.
LAN ( <i>ms</i> )	10	ABY3	1.68	5.59
		FLASH	1.51	3.26
	100	ABY3	2.03	5.94
		FLASH	1.51	3.26
	1000	ABY3	3.63	7.54
		FLASH	1.52	3.27
WAN ( <i>sec</i> )	10/100/1000	ABY3	1.11	3.78
		FLASH	1.08	2.46

**Table 9.** Latency of frameworks for Linear and Logistic Reg.

接下来，比较两种回归的Throughput。



**Fig. 14.** Throughput Comparison (# queries/sec) for Linear and Logistic Regression in LAN setting

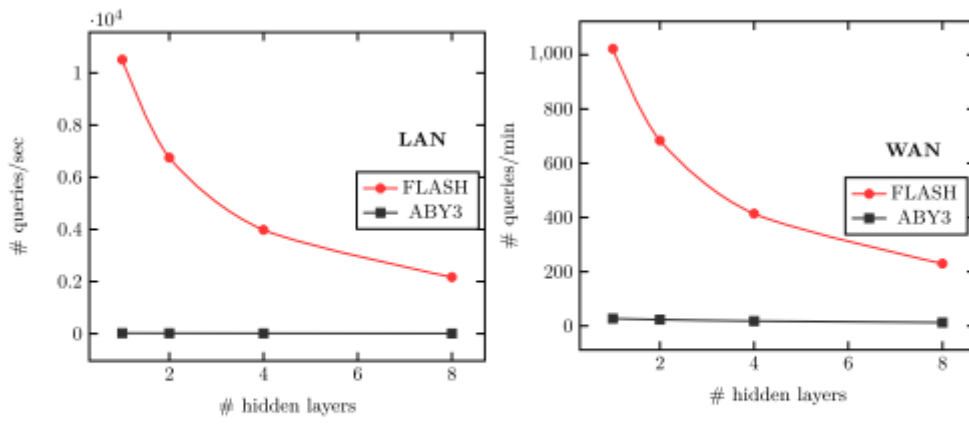


**Fig. 15.** Throughput Comparison (# queries/min) for Linear and Logistic Regression in WAN setting

最后比较NN模型的Latency和Throghtput。

Setting	# Features	Ref.	DNN	BNN
LAN (ms)	10	ABY3	59.71	59.73
		FLASH	18.65	23.37
	100	ABY3	67.78	67.77
		FLASH	18.74	23.69
	1000	ABY3	146.37	147.36
		FLASH	19.06	23.80
WAN (sec)	10/100/1000	ABY3	13.56	13.56
		FLASH	11.24	13.68

**Table 10.** Latency of frameworks for DNN and BNN



**Fig. 16.** Throughput Comparison for DNN with increasing number of hidden layers.

## 7. 结论

本文是比较早的一项实现GOD安全性的安全ML的工作，而且不再需要用广播。对后来的工作有很多借鉴意义。但是，也有许多需要改进之处，例如如何在实现GOD的情况下实现Privacy尚未得到很好的解决。