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FEDERAL BUREAU OF INVESTIGATION

Frank Sinatra

PART # 1 OF 5

PAGES AVAILABLE THIS PART 2/5



FEDERAL BUREAU OF INVESTIGATION

FILES CONTAINED IN THIS PART

FILE #	PAGES AVAILABLE
<u>166-5477</u>	<u>30</u>
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Freedom of Information

and

Privacy Acts Release of

FRANK SINATRA

File # 166-5477



Federal Bureau of Investigation

UNITED STATES GOVERNMENT

Memorandum

TO : DIRECTOR, FBI

DATE: 3/15/71

FROM : *JFM/KS* SAC, NEW YORK (166-3211)

SUBJECT:

✓ ANIELLO DELLA CROCE aka
Mr. Neil, Aniel;
✓ JOE PARIS aka
Joseph Guarnera;
✓ CARLO GAMBINO aka
Carlo Basso, Don Carlo, Carlo Don,
Gari Gambino, Carlo Gambrieno,
Carlo Gambrino, Gambrino T. Goldberg,
Mr. O'Connor, Carlo Stone, Carlo Seaman,
Harry Stone;
✓ JOSEPH LUCCO PAGANO aka
Joey P.;
✓ GUISEPPI GALLO, Jr. aka
Joseph N. Gallo, Joe Gallo,
Joseph Nicholas Gallo;
✓ FRANK SINATRA; aka
✓ ANTHONY CARILLO aka
"Tony the Sheik";
✓ JILLY RIZZO aka;
✓ MICHAEL MAIONE aka
Michael Louis Maione, Mike Maione,
Mike Rosie;
✓ FRANKIE BUTCH aka;
✓ FAT JIMMY aka;
✓ FRANKIE DELLA aka
Francis Desisto;
RONALD ALPERT - VICTIM
ITAR EXTORTION

b2
b7D

[REDACTED] advised that the captioned subjects have been involved in an attempt to extort \$100,000.00 from one RONALD ALPERT by the use of physical force and by threatening ALPERT's life.

The informant advised that RONALD ALPERT, 16 Canterbury Road, Great Neck, New York, a former stockbroker,

(2) - Bureau
1 - New York

MCT-6

REC 12

ST-115

5477

DHH:ebc

(3)

MAR 17 1971

53



1971

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

5010-108



DATE

12/5/81

BY *SP/Magistrate*

NY 166-3211

has in the past been involved in the financing of a stock by the name Computer Fields Expressway.

Informant stated that one JACK POURTNEY, an employee of 1st William Street Company, NYC, the underwriter of Computer Fields Expressway stock, went to RONALD ALPERT to find buyers for Computer Fields Expressway stock.

ALPERT came up with an investor by the name of JOE PARIS, who bought \$100,000.00 worth of Computer Fields Expressway stock, allegedly with money put up by CARLO GAMBINO, FRANK SINATRA, and JILLY RIZZO. This stock was bought through the 1st William Street Company, New York City.

- Subsequently, the value of Computer Fields Expressway stock dropped, and the \$100,000.00 investment was lost.

The informant indicated that [REDACTED]

b7D

The informant advised [REDACTED]

NY 166-3211

b7D

b7C

[REDACTED]

RONALD ALPERT, the victim, has been interviewed by SA [REDACTED] and has refused to cooperate.

On 2/23/71, Chief Assistant United States Attorney ROBERT MORVELLO, Southern District of New York, New York City, was advised of the facts in this case, and is familiar with several of the captioned subjects.

On 2/25/71, ROBERT MORVELLO advised that this case would necessitate the issuance of subpoenas to the captioned subjects, and in this regard he issued a subpoena for RONALD ALPERT to appear before a Federal Grand Jury, SDNY, on 3/4/71, at 10:00 a.m., to testify and give evidence in regard to an alleged violation of Section 371, Title 18, United States Code.

b7C

This subpoena was served on ALPERT on 3/2/71, by SA [REDACTED] per Bureau authority.

On 3/4/71, AUSA MORVELLO advised that ALPERT did not appear before the Federal Grand Jury on 3/4/71, as scheduled. MORVELLO advised he is presently talking with ALPERT's attorney regarding ALPERT's failure to appear before this Grand Jury.

[REDACTED]

[REDACTED]

b7C

b7D

NY 166-3211

AUSA MORVELLO has advised he would prefer having Bureau Agents serve subpoenas on all captioned subjects in the near future as he wants these subjects interviewed as an aid in inducing their cooperation; therefore, UACB, Bureau Agents will serve subpoenas on captioned subjects when deemed appropriate.

It is noted that of the aforementioned subjects, CARLO GAMBINO, ANIELLO DELLACROCE, JCSEPH LUCCO PAGANO, GUISEPPO GALLO, Jr., ANTHONY CARILLO, and MICHAEL MAIONE have been identified as members of La Cosa Nostra.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN NEW YORK	DATE APR 12 1971	INVESTIGATIVE PERIOD 2/1/71 - 3/31/71
TITLE OF CASE b7c CHANGED ANIELLO DELLACROCE aka Mr. Neil, Aniel; JOE PARIS aka Joseph Guarnera; CARLO GAMBINO aka <u>TITLE CONT'D NEXT PAGE</u>		REPORT MADE BY [REDACTED]	TYPE mcc
		CHARACTER OF CASE ITAR-EXTORTION	

Title is marked "Changed" to reflect the true name and aliases of JILLY RIZZO, FRANKIE BUTCH and FRANCIS DE SISTO.

REFERENCE

NYlet to Bu, dated 3/15/71.

ADMINISTRATIVE

- P -

For the information of the Bureau, ANIELLO DELLACROCE has been identified as the "underboss" of the CARLO GAMBINO "family" of La Cosa Nostra (LCN) and is the subject of Bufile 92-4510, NYfile 92-1611. B

FRANK SINATRA has been identified as the noted actor-singer.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/29/88 BY SP/ma/bre

COVIC	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES	<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
DO NOT WRITE IN SPACES BELOW							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
APPROVED JFM/S	SPECIAL AGENT IN CHARGE						166-5477-2	
COPIES MADE:							MCI-57	
DATE 3/29/88	7 APR 15 1971						REC-46	
Dissemination Record of Attached Report							Notations	
Agency	2. 60, AAG, Criminal Division, Organized Crime & Racketeering Section, Room 2744						ST 3 SECT. W/ONE	
Request Recd.								
Date Fwd.								
How Fwd.								
By								
APR 29 1971 4/26/71							U.S. GOVERNMENT PRINTING OFFICE : 1970 O-375-139	

NY 166-3211

TITLE CONT'D

Carlo, Basso,
Don Carlo,
Carlo Don,
Carl Gambino,
Carlo Gambrieno,
Carlo Gambrino,
Gambrino T. Goldberg,
Mr. O'Connor,
Carlo Stone,
Carlo Seaman,
Harry Stone;
JOSEPH LUCCO PAGANO aka
Joey P;
GUISEPPI GALLO, JR. aka
Joseph N. Gallo,
Joe Gallo,
Joseph Nicholas Gallo;
FRANK SINATRA aka;
ANTHONY CARILLO aka
"Tony the Shiek";
JILLY RIZZO aka
Ermiglio Rizzo (true name),
Jerry Rizzo,
Vermiglio Rizzo;
MICHAEL MAIONE aka
Michael Louis Maione,
Mike Maione,
Mike Rosie;
FRANCESCO GUGLIELMINI aka
Frank Guglielmini,
Frank Bruno,
Frankie Butch;
FAT JIMMY aka;
FRANCIS JOSEPH DE SISTO aka
Frank De Sisto,
Frankie Dell;
RONALD ALPERT-VICTIM
ITAR-EXTORTION

NY 166-3211

ADMINISTRATIVE (cont'd)

CARLO GAMBINO has been identified as the head of the GAMBINO "family" of LCN, Bufile 92-2811, NYfile 92-657.

JOSEPH LUCCO PAGANO has been identified as a member of the GENOVESE "family" of LCN, [REDACTED]

GUISEPPI GALLO, Jr. has been identified as the "consiglieri" of the GAMBINO "family" of LCN, [REDACTED]

b7C
ANTHONY CARILLO has been identified as a "capo" in the GENOVESE "family" of LCN, [REDACTED]

MICHAEL MAIONE has been identified as a member of the GENOVESE "family" of LCN.

FRANK GUGLIELMINI has been identified as a member of the GAMBINO "family" of LCN.

b2
b7D

[REDACTED] one RONALD ALPERT, [REDACTED] became involved in the financing of a stock by the name Computer Fields Expressway, which stock was being touted by one JACK POURTNEY.

JACK POURTNEY, the President of 1st William Street Corporation, NYC, the underwriter of Computer Fields Expressway stock requested RONALD ALPERTS assistance in finding buyers for this stock.

ALPERT was able to come up with an investor by the name of JOE PARIS, who bought \$100,000 worth of Computer Fields Expressway stock.

NY 166-3211

ADMINISTRATIVE (cont'd)

JOE PARIS claimed to be a relative of CARLO GAMBINO, and the money which JOE PARIS invested in Computer Fields Expressway stock allegedly was put up by CARLO GAMBINO, FRANK SINATRA and JILLY RIZZO.

After JOE PARIS invested the money, Computer Fields Expressway stock began losing value allegedly because JACK POURTNEY was stealing assets from the underwriter company, the 1st William Street Corporation. In a short time the value of Computer Fields Expressway stock dropped from \$12.00 a share to \$.75 a share.

[REDACTED]

[REDACTED]

b7D

[REDACTED]

[REDACTED]

[REDACTED]

NY 166-3211

ADMINISTRATIVE (cont'd)

b7D

[REDACTED] was aware that
this money represented an investment by CARLO GAMBINO,
FRANK SINATRA and JILLY RIZZO, [REDACTED] knows exactly how
this money was entered in Computer Fields Expressway stock.

[REDACTED]

[REDACTED]

[REDACTED]

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XXXXXX

FEDERAL BUREAU OF INVESTIGATION
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Section 552 (b)(1) (b)(2) (b)(3)

 (b)(4) (b)(5) (b)(6) (b)(7)(A) (b)(7)(B) (b)(7)(C) (b)(7)(D) (b)(7)(E) (b)(7)(F) (b)(8) (b)(9)**Section 552a** (d)(5) (j)(2) (k)(1) (k)(2) (k)(3) (k)(4) (k)(5) (k)(6) (k)(7)

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166 - 5477-2 Cover Page F

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| 3

NY 166-3211

ADMINISTRATIVE (cont'd)

On 3/31/71, LOIS YOHONN, Attorney, Securities and Exchange Commission (SEC) Federal Building, 26 Federal Plaza, NY, NY, advised the SEC is familiar with several stockbrokers who have been involved in an attempted manipulation of Computer Fields Expressway stock.

YOHONN advised the SEC would furnish AUSA MORVELLO with the germane records of the Computer Fields Expressway stock which would aid in establishing the violation in this matter. YOHONN stated that JACK POURTNEY, the President of 1st William Street Corporation, NYC, has been cooperating with the SEC on this matter.

[REDACTED] On 3/31/71, AUSA MORVELLO advised [REDACTED]

b7c

[REDACTED]
It is noted that [REDACTED]

It is noted that AUSA MORVELLO was furnished the informant information in captioned matter by letter, as it is essential the AUSA be aware of this information in order to successfully prosecute this matter.

LEADS

NEW YORK

AT NEW YORK CITY 1. Will continue efforts to develop a prosecutive violation re captioned subjects.

2. Will continue efforts to fully identify all individuals involved in this matter.

- G* -
COVER PAGE

14

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to: 2 - USA, SDNY (ATTN:ROBERT MORVELLO)

*b7C*Report of:
Date:

Office: New York, New York

APR 12 1971

Field Office File #:

166-3211

Bureau File #:

Title:

ANIELLO DELLACROCE;
JOE PARIS;
CARLO GAMBINO;
JOSEPH LUCCO PAGANO;
GIUSEPPI GALLO, JR.;
FRANK SINATRA;
ANTHONY CARILLO;
JILLY RIZZO;
MICHAEL MAIONE;
FRANCESCO GUGLIELMINI;
FAT JIMMY;
FRANCIS JOSEPH DE SISTO;
RONALD ALPERT-VICTIM~~SECRET~~~~SyKopReX~~Character: INTERSTATE TRANSPORTATION IN AID OF RACKETEERING-
EXTORTION

Synopsis:

*b7C**b7D*

On 2/23/71, AUSA, SDNY was advised of the facts in this case. On 3/2/71, RONALD ALPERT was served a subpoena which directed ALPERT to appear at the Federal Court House, SDNY, NYC on 3/4/71, to answer questions before a FGJ in regard to an alleged violation of Title 18, USC, section 371. ALPERT subsequently appeared and took the 5th Amendment to all questions.

- P -

DETAILS:

Investigation in this case was instituted to determine if the above listed individuals have been in violation of Title 18, United States Code, Section 371.

**ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12/15/01 BY SP7/mjg**

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16

FEDERAL BUREAU OF INVESTIGATION

Date 3/15/71

1.

On March 11, 1971, [REDACTED] was interviewed at Queens, New York, and was immediately advised of his rights as listed on form entitled "Interrogation; Advice of Rights".

[REDACTED] subsequently furnished the following information:

He stated he has never been threatened by any New Jersey hoodlum, and has never borrowed any money from a bank to pay off a New Jersey hoodlum.

[REDACTED] stated that [REDACTED]

[REDACTED] denied that either FRANK SINATRA or CARLO GAMBINO invested any money in Computer Fields Expressway Stock through the Parker England Company, Hicksville, Long Island, New York.

b7C
b7D

He advised that [REDACTED]

[REDACTED] indicated [REDACTED]

[REDACTED] stated that [REDACTED]

[REDACTED] stated [REDACTED]

On 3/11/71 at Queens, New York File # NY 166-3211

b7C by SA [REDACTED] Date dictated 3/15/71

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166-5477-2, pages 10-15

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NY 166-3211

On February 23, 1971, Chief Assistant United States Attorney ROBERT MORVELLO, Southern District of New York, New York was advised of the facts in this case.

On February 25, 1971, Assistant United States Attorney MORVELLO advised that this case would necessitate the issuance of subpoenas to the aforementioned individuals and in this regard he issued a subpoena for the victim RONALD ALPERT to appear before a Federal Grand Jury, Southern District of New York, on March 4, 1971, at 10:00 am, to testify and give evidence in regard to this matter.

MORVELLO subsequently advised that ALPERT has appeared before the Federal Grand Jury and has taken the 5th Amendment to all questions asked him.

On March 31, 1971, the facts in this case were discussed with Assistant United States Attorney MORVELLO.

Mr. MORVELLO advised that RONALD ALPERT, the victim, has been uncooperative to date and MORVELLO requested additional investigation to help establish the violation in this matter.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN NEW YORK	DATE JUL 22 1971	INVESTIGATIVE PERIOD 4/12/71 - 7/14/71
TITLE OF CASE CHANGED ANIELLO DELLA CROCE aka; JOSEPH GUARNERA aka Joe Paris; CARLO GAMBINO aka; JOSEPH LUCCO PAGANO aka; (TITLE CONT'D NEXT PAGE)		REPORT MADE BY [REDACTED]	TYPED kms
		CHARACTER OF CASE ITAR - EXTORTION	

b7c
 Title is marked "Changed" to reflect the true name of JOE PARIS to be JOSEPH GUARNERA; to reflect the true name of FAT JIMMY to be ONOFRIO JAMES CAVERA, JR. CAVERA has an additional alias of BIG JIMMY.

REFERENCE

NYrep of SA [REDACTED] dated, 4/12/71.
 NKlet to New York dated, 4/21/71.
 NKlet to New York dated, 6/23/71.

-P-

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HEREIN IS UNCLASSIFIED
DATE 12/15/81 BY SP7MK/66

ACCOMPLISHMENTS CLAIMED						<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES			PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

APPROVED [Signature]	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: ③-Bureau 2-USA, SDNY (ATT: JAMES TRIPP) 1-Strike Force, SDNY (INFO) 2-New York (166-3211)		166-3477-3	MGT-29 (REC)
		17 JUL 23 1971	

Dissemination Record of Attached Report					
Agency					
Request Recd.					
Date Fwd.	CC, AAC, Criminal Division, Organized Crime & Racketeering Section, Room 2744				
G2AUG2 1971					
Date Recd.					
By					

Notations BERK STAT SECT., Greens

NY 166-3211

TITLE (cont'd)

GUISEMPI GALLO, JR. aka;
FRANK SINATRA aka;
ANTHONY CARILLO aka;
JILLY RIZZO aka;
MICHAEL LOUIS MAIONE aka;
FRANCESCO GUGLIELMINI aka;
ONOFRIO JAMES CAVERA, JR. aka
Fat Jimmy, Big Jimmy;
FRANCIS JOSEPH DE SISTO aka;
RONALD ALPERT - VICTIM

ADMINISTRATIVE

[REDACTED]

It is noted that RONALD ALPERT, the victim in this matter, to date has not cooperated with the government on this matter.

b7c

[REDACTED] was again contacted by SA [REDACTED]

[REDACTED]
ROBERT MORVELLO, AUSA, SDNY, NYC, advised [REDACTED]

MORVELLO advised that [REDACTED]

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166 - 5477 - 3, cover page C

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22

NY 166-3211

b7C
b7D

It is noted that [REDACTED]

All subjects in this case have now been identified.

LEAD

NEW YORK

AT NEW YORK CITY, NEW YORK. Will continue efforts to develop a prosecutive violation re captioned subjects.

-D*-
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to: 2-USA, SDNY (ATT: JAMES TRIPP)
1-Strike Force, SDNY (INFO)

b7C Report of: [REDACTED] Office: New York, New York
Date: JUL 22 1971

Field Office File #: 166-3211 Bureau File #:

Title: ANIELLO DELLACROCE;
JOSEPH GUARNERA;
CARLO GAMBINO;
JOSEPH LUCCO PAGANO;
GIUSEPPI GALLO, JR.;
FRANK SINATRA;
ANTHONY CARILLO;
JILLY RIZZO;
MICHAEL LOUIS MAIONE;
FRANCESCO GUGLIELMINI;
JAMES CAVERA, JR.;
FRANCIS JOSEPH DE SISTO;
RONALD ALPERT - VICTIM

xSuspect
xStrategic
Character: INTERSTATE TRANSPORTATION IN AID OF RACKETEERING -
EXTORTION

Synopsis: [REDACTED]

b7C
b7D

AUSA
ROBERT MORVELLO, SDNY, NYC, advised victim RONALD ALPERT has still refused to cooperate with the government.

-P-

DETAILS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12/15/81 BY [Signature]

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Section 552 (b)(1) (b)(2) (b)(3)

 (b)(4) (b)(5) (b)(6) (b)(7)(A) (b)(7)(B) (b)(7)(C) (b)(7)(D) (b)(7)(E) (b)(7)(F) (b)(8) (b)(9)**Section 552a** (d)(5) (j)(2) (k)(1) (k)(2) (k)(3) (k)(4) (k)(5) (k)(6) (k)(7)

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- The following number is to be used for reference regarding these pages:

166-5477-3, pages 2-9

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25

NY 166-3211

[REDACTED] Detective [REDACTED] Glen Ridge, New Jersey Police Department, advised Investigative Clerk [REDACTED] on April 27, 1971, that he could locate no record for [REDACTED] New Jersey.

b7C

b7D

[REDACTED] Garden State Credit Bureau, 429 Getty Avenue, Clifton, New Jersey, advised Investigative Clerk [REDACTED] that she was unable to locate a record for [REDACTED] New Jersey.

On June 22, 1971, a search of the records of the Identification Section, New York City Police Department, by Special Agent [REDACTED]

On July 19, 1971, the facts of this case were discussed with Assistant United States Attorney ROBERT MORVELLO, Southern District of New York, New York City.

Mr. MORVELLO advised that RONALD ALPERT, the victim in this matter, has been uncooperative to date.

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

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Section 552 (b)(1) (b)(2) (b)(3)

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166-5477-4 through 27

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27

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN NEW YORK	DATE JUN 28 1973	INVESTIGATIVE PERIOD 2/16/73 - 5/25/73
TITLE OF CASE RS CHANGED ANIELLO DELLACROCE, aka; JOSEPH GUARNERA, aka; JOSEPH LUCCO PAGANO, aka; GIUSEPPI GALLO, JR., aka; TITLE CONTINUED COVER PAGE B		REPORT MADE BY [REDACTED]	TYPED BY amw
		CHARACTER OF CASE ITAR - EXTORTION ECT - PERJURY	DATE 12/5/73

b7c
Title marked "Changed" to delete as subjects:
CARLO GAMBINO, FRANK SINATRA and JILLY RIZZO.

REFERENCES:

3/13/73. New York report of SA [REDACTED] dated
New York letter to Bureau, dated 4/9/73.

- P -

ADMINISTRATIVE:

Subpoenas served in this case by Bureau agents were with
SAC's approval.

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:	
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			PENDING OVER ONE YEAR <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
					SPECIAL AGENT IN CHARGE			

APPROVED <i>J. M. K.</i>	COPIES MADE	DO NOT WRITE IN SPACES BELOW		
③ Bureau (166-5477) 1-USA, SDNY (ATTN: AUSA HAROLD MC GUIRE) 1-Strike Force, SDNY 2-New York (166-3211)		166-5477-28	REC-37	
		— — —	ST-100	
		5 JUL 2 1973		
		— — —		

Dissemination Record of Attached Report				
Agency		FBI		
Request Recd.	"	CC, AAG, Criminal		
Date Fwd.		Division, Organized Crime		
How Fwd.		& Racketeering Section		
By	70 JUL 13 1973	X 7-10-73	-A-	

Notations

*RECD
DRAFT PROOF*

COVER PAGE

28

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

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Section 552

- (b)(1)
 (b)(2)
 (b)(3)

 (b)(4)
 (b)(5)
 (b)(6)

- (b)(7)(A)
 (b)(7)(B)
 (b)(7)(C)

 (b)(7)(D)
 (b)(7)(E)
 (b)(7)(F)
 (b)(8)
 (b)(9)

- (d)(5)
 (j)(2)
 (k)(1)

 (k)(2)
 (k)(3)
 (k)(4)
 (k)(5)
 (k)(6)
 (k)(7)

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has been deleted from the title.

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- The following number is to be used for reference regarding these pages:

166-5477-28 cover pages B-C, pages 1-29

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29

K#17

F B I

Date: 9/13/73

Transmit the following in _____
 (Type in plaintext or code)

Via AIRTEL
 (Priority)

b7C

TO: DIRECTOR, FBI (166-5477)
 FROM: SAC, NEW YORK (166-3211) (P)
 SUBJECT: ANIELLO DELLA CROCE aka;
 JOSEPH GUARNERA aka;
 ET AL
 RONALD ALPERT - VICTIM
 ITAR-EXTORTION; ECT;
 PERJURY

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 12/15/81 BY SP7 MAC/KA

b7C ReNYrep of SA [REDACTED] 6/28/73.

AUSA WALTER HIGGINS, SDNY, advised that he was recently assigned instant case. AUSA HIGGINS indicated that he has interviewed [REDACTED]

[REDACTED] could not provide any information of a substantive nature; however, after [REDACTED]

[REDACTED] he provided substantive information concerning instant case to AUSA ROBERT MORVILLE, SDNY.

AUSA HIGGINS also advised that he has interviewed [REDACTED]

[REDACTED] provided extensive information concerning instant case to the Bureau. REC-28 166-5477-29

AUSA HIGGINS anticipates utilizing [REDACTED] testimony during trial. AUSA HIGGINS has requested

(2) - Bureau
 1 - New York
 JEM:mmm
 (4)

5 SEP 15 1973

Approved: 13M Sent M Per

8 SEP 25 1973
 244
 20

None

NY 166-3211

release of information in informant files pertaining to instant case. With this information and utilizing Bureau Agents testimony, AUSA HIGGINS is attempting to show prior consistent statements of these potential witnesses whose testimony the defense attorneys will undoubtedly attempt to impeach.

b7D

[REDACTED] provided information of a singular nature outlining how RONALD ALPERT became involved with JACK POURNEY in a stock named Computer Fields, Inc. ALPERT brought JOE PARIS into the scheme with an investment of \$100,000 which was allegedly put up by CARLO GAMBINO, FRANK SINATRA and JILLY RIZZO.

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] was aware the money came from GAMBINO, SINATRA,

NY 166-3211

and RIZZO [REDACTED]

b7D
b7C

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

NY 166-3211

After excising items not pertinent to instant case, NYO will provide the above described memorandums to AUSA HIGGINS, UACB.

XXXXXX
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XXXXXX

FEDERAL BUREAU OF INVESTIGATION
FOIPA
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 (b)(4) (b)(5) (b)(6)

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____ Page(s) withheld for the following reason(s): _____

The following number is to be used for reference regarding these pages:

160 - 5477 - 30

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X Deleted Page(s) X
X No Duplication Fee X
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34

"READ AS COMMERCIAL"FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

Date: 11/21/73

NOV 21 1973

Transmit the following in _____

(Type in plaintext or code)

TELETYPE FAXSIMILE

TELETYPE

Via

(Priority)

Assoc. Dir.
Asst. Dir.:
Admin.
Comp. Syst.
Ext. Affairs
Files & Com.
Gen. Inv.
Ident.
Inspection
Intell.
Laboratory
Plan. & Eval.
Proc. Inv.
Training
Tech. Coun.
Telephone Rec.
Transport Rec.

b6 b7c

TO: DIRECTOR, FBI (166-5477) AND SAC, LOS ANGELES
 FROM: SAC NEW YORK (166-3211) (P)
 ANIELLO DELLA CROCE AKA: JOSEPH GUARNERA AKA: ET AL,
 RONALD ALPERT - VICTIM, ITAR-EXTORTION; ECT-PERJURY

RE NEW YORK REPORT OF SA [REDACTED] DATED 9/28/73.

ENCLOSED FOR LOS ANGELES BY FACSIMILE IS ONE COPY
 OF A SDNY SUBPOENA FOR JILLY RIZZO, DIRECTING HIM TO APPEAR
 AT SDNY ON 12/3/73.

FOR INFORMATION OF BUREAU AND LOS ANGELES, CASE INVOLVED AN ATTEMPTED MANIPULATION OF STOCK NAMED COMPUTER FIELDS EXPRESS AND HOME OF COUNTRY BY VICTIM ALPERT, BERNARD WIESE, AND OTHERS. WHILE ATTEMPTING TO INFLATE PRICE OF THE STOCK, THEY RAN SHORT OF FUNDS. TO OBTAIN MONIES THEY APPROACHED GUARNERA, KNOWN AS JOE PARIS, WITH THE PROMISE THAT IF HE PURCHASED STOCK REC-90, HE WOULD DEFINITELY NOT LOSE MONEY AND THAT, POTENTIALLY, HE COULD MAKE A LARGE PROFIT.

GUARNERA INVESTED IN THE STOCK PERSONALLY, "FRONTED" FOR OTHER INVESTORS, AND ALLEGEDLY CARLO GAMBINO, FRANK SINATRA, AND JILLY RIZZO INVESTED IN THE STOCK. THE STOCK COLLAPSED AND

(1) - New York

1 - Supv #54

JEM: mma/b

5 NOV 28 1973

Special Agent in Charge

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 12/15/91

BY Per. 527 Mackie

U.S. Government Printing Office: 1972 - 422-374

35

F B I

Date:

Transmit the following in

(Type in plaintext or code)

Via _____

(Priority)

NY 165-321

PAGE TWO

THE QUARNERA GROUP LOST APPROXIMATELY \$100,000.

b7C
b7D

Approved: _____

6cm M P

F.B.I.

Date:

Transmit the following in _____

(Type in plaintext or code)

Via _____

(Priority)

NY 166-3211

PAGE THREE

ALL ACTIVELY INVOLVED IN THIS CASE HAVE BEEN INDICTED AND ARRESTED WITH THE EXCEPTION OF DELLA ROCHE, GALLO, AND CARILLO. GAMBINO'S AND SINATRA'S INVOLVEMENT WERE NOT SHOWN IN THE RECORDS OF THE BROKERAGE COMPANIES INVOLVED IN THE SCHEME, PARKER - ENGLAND OR FIRST WILLIAMS STREET. PARKER - ENGLAND RECORDS REFLECT AN UNACCOUNTED \$30,000 INVESTMENT.

PAST INVESTIGATION REVEALS JILLY RIZZO IS A CLOSE ASSOCIATE OF SINATRA. ON 11/19/73, AUSA WALTER HIGGINS, SDNY, REQUESTED BUREAU SERVE SUBPOENAS ON VARIOUS WITNESSES IN THIS CASE. DUE TO THE ORGANIZED CRIME ACTIVITIES OF POTENTIAL WITNESSES, SAC, NEW YORK, AUTHORITY WAS GIVEN TO SERVE THE ABOVE MENTIONED SUBPOENAS. ON 11/21/73, AUSA HIGGINS ALSO REQUESTED SINATRA BE INTERVIEWED AND ASKED SPECIFIC QUESTIONS INVOLVING THIS CASE. IF SINATRA REFUSES TO ANSWER QUESTIONS, AUSA HIGGINS WILL PROBABLY SUBPOENA HIM FOR TESTIMONY.

LEADLOS ANGELES

Approved:

Sent:

M Per

FBI

Date:

Transmit the following in _____
(Type in plaintext or code)

Via _____

(Priority)

NY 166-3211**PAGE FOUR****AT PALM SPRINGS, CALIFORNIA, WILL DETERMINE IF****SINATRA AND RIZZO ARE IN PALM SPRINGS.**

2. IF RIZZO CAN BE LOCATED, WILL SERVE HIM A COPY
OF THE ENCLOSED SUBPOENA.

3. WILL INTERVIEW SINATRA AND QUESTION HIM AS FOLLOWS:

A. DID HE OR A NOMINEE ACTING IN HIS BEHALF, EVER
INVEST IN COMPUTER FIELDS EXPRESS OR HOME OF COUNTRY.

B. HAS HE OR A NOMINEE ACTING IN HIS BEHALF, EVER
PURCHASED STOCK THROUGH THE BROKERAGE FICE OF PARKER - ENGLAND
OR FIRST WILLIAMS STREET.

C. DOES HE KNOW JOSEPH DE MODICA, CPA, FROM NEW YORK.

D. HAS HE OR A NOMINEE ACTING IN HIS BEHALF, EVER
INVESTED IN STOCK UPON DE MODICA'S RECOMMENDATION.

NEW YORK

AT NEW YORK, NEW YORK, WILL KEEP BUREAU ABREAST OF
INVESTIGATION.

Approved: _____ Sent: _____ M Per: _____
Special Agent in Charge

WJH:dm

United States District Court
SOUTHERN DISTRICT OF NEW YORK

TO Jilly Rizzo

GREETING:

WE COMMAND YOU that all and singular business and excuses being laid aside, you and each of you appear and attend before the Judge of the District Court of the United States for the Southern District of New York, at a District Court to be held in Courtroom No. 128 , in the United States Courthouse, Foley Square, in the Borough of Manhattan, City of New York, in and for the said Southern District of New York, on the 3rd day of December , 19 73 , at 10:00 o'clock in the fore noon, to testify and give evidence in a certain cause now pending in said Court and then and there to be tried between the United States of America, Plaintiff, and Guglielmini, et al, 73 Cr. 166.

Defendant, on the part of the United States, and not to depart the Court without leave thereof, or of the United States Attorney.

And for failure to attend you will be deemed guilty of contempt of Court and liable to punishment of the law.

DATED: New York, N.Y. November 16, 1973

Paul Curran
PAUL J. CURRAN
United States Attorney for the
Southern District of New York.

Raymond F. Berghardt

NOTE: Report at Room 450. In order to secure your witness fees and mileage, it is necessary that you retain this Subpoena and present the same at the United States Attorney's Office, Room 450, upon each day on which you attend Court as a witness.

Assistant WALTER J. HIGGINS, JR., Room 301A
Tel: (212) 264-6522

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

NOV 26 1973

TELETYPE

NR 003 LA PLAIN

4:00 PM NITEL 11-26-73 SMA

TO/DIRECTOR (166-3477)

✓ NEW YORK (166-3211)

FROM LOS ANGELES (166-4151) (RUC) 2P

ANIELLO DELLA CROCE, AKA; ET AL; RONALD ALPERT - VICTIM;
ITAR - EXTORTION; ECT - PERJURY.

RE NEW YORK TELETYPE TO BUREAU [REDACTED], 11/21/73, WHICH WAS
FURNISHED LOS ANGELES BY FACSIMILE, 11/21/73.
SUBPOENA SERVED ON JILLY RIZZO, 11/23/73, BY SA [REDACTED]
[REDACTED] RIZZO STATED HE HAD BEEN EXPECTING SUBPOENA AND WAS
AWARE OF THE CASE. FRANK SINATRA, WHEN CONTACTED 11/23/73 BY
TELEPHONE TO ARRANGE INTERVIEW, ADVISED SA [REDACTED]
HE HAD NEVER HEARD OF COMPUTER FIELDS EXPRESS OR HOME OF
COUNTRY. HE SAID DE MODICA WAS THE ACCOUNTANT FOR JILLY
RIZZO. SINATRA ADVISED HE WOULD HAVE HIS ATTORNEY, MILTON
RUDIN CONTACT SA [REDACTED] REC-85 166-3477

b7c
DURING THE EVENING HOURS OF 11/23/73, ATTORNEY MILTON
A. RUDIN, TELEPHONE 213-278-6868, ADVISED THAT HE HANDLES ALL
END PAGE ONE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12/15/01 BY SP1/marke

NOV 30 1973

40

Assoc. Dir.	<input type="checkbox"/>
Asst. Dir.:	<input checked="" type="checkbox"/>
Admin.	<input type="checkbox"/>
Comp. Syst.	<input type="checkbox"/>
Ext. Affairs	<input type="checkbox"/>
Files & Com.	<input type="checkbox"/>
Gen. Inv.	<input type="checkbox"/>
Ident.	<input type="checkbox"/>
Inspection	<input type="checkbox"/>
Intell.	<input type="checkbox"/>
Laborat.	<input type="checkbox"/>
Plan.	<input type="checkbox"/>
Spec. In.	<input type="checkbox"/>
Training	<input type="checkbox"/>
Legal Counse	<input type="checkbox"/>
Telephone	<input type="checkbox"/>
Director	<input type="checkbox"/>

LA 166-4151

PAGE TWO

FRANK SINATRA INVESTMENTS AND IF SINATRA HAD INVESTED IN A COMPANY, HE WOULD PROBABLY NOT BE AWARE OF THE COMPANY NAME. RUDIN SAID HE WOULD HAVE TO CHECK THROUGH THEIR BOOKS OF RECORDS TO DETERMINE IF THEY HAD EVER PURCHASED STOCK THROUGH BROKERAGE FIRMS OF PARKER-ENGLAND OR FIRST WILLIAMS STREET. HOWEVER, HE DID NOT THINK THEY HAD EVER USED THESE FIRMS. HE SAID THAT JOSEPH DE MODICA IS JILLY RIZZO'S ACCOUNTANT AND WAS ALWAYS PUSHING SOME STOCK OR INVESTMENT AND HE BELIEVES THAT HE PERSONALLY BOUGHT 500 OR 1,000 SHARES OF COMPUTER FIELDS EXPRESS AND HOME OF COUNTRY STOCK. MR. RUDIN SAID HE WOULD PERSONALLY TELEPHONE AUSA WALTER J. HIGGINS, JR., IN NEW YORK ON THE MORNING OF 11/26/73 TO DISCUSS THIS MATTER AND TO DETERMINE WHETHER MR. HIGGINS WOULD WANT TO SUBPOENA HIM TO TESTIFY IN THIS MATTER.

E N D

CKS FBIHQ ACK 2

CLR

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FEDERAL BUREAU OF INVESTIGATION
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Section 552

- (b)(1)
 (b)(2)
 (b)(3)

 (b)(4)
 (b)(5)
 (b)(6)

- (b)(7)(A)
 (b)(7)(B)
 (b)(7)(C)
 (b)(7)(D)
 (b)(7)(E)
 (b)(7)(F)
 (b)(8)
 (b)(9)

Section 552a

- (d)(5)
 (j)(2)
 (k)(1)
 (k)(2)
 (k)(3)
 (k)(4)
 (k)(5)
 (k)(6)
 (k)(7)

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166-5477-33 through 56

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42

Freedom of Information

and

Privacy Acts Release of

FRANK SINATRA

File # 25-244122



Federal Bureau of Investigation

FILE 25-244122 IS NOW LOCATED AT THE NATIONAL ARCHIVES. THE
COPIES RELEASED HERE ARE FROM THE 1979 RELEASE MADE TO SINATRA.

44

December 30, 1943

444122

Mr. Walter Winchell
New York Mirror
235 East 45th Street
New York, N. Y.

To Hedges
John
Hedges

Dear Mr. Winchell:

I don't dare give you my name because of my job but here is a bit of news you can check which I think is Front Page:

The Federal Bureau of Investigation is said to be investigating a report that Frank Sinatra paid \$40,000.00 to the doctors who examined him in Newark recently and presented him with a 4-F classification. The money is suppose to have been paid by Sinatra's Business Manager. One of the recipients is said to have talked too loud about the gift in a beer joint recently and a report was sent to the F.B.I.

A former School mate of Sinatra's from Highland, N. J., said recently that Sinatra has no more ear drum trouble than Gen. MacArthur.

If there is any truth to these reports I think that it should be made known. Mothers around this section who have sons in the service are planning a petition to Pres. Roosevelt asking for a re-examination of the singer by a neutral board of examiners. You'll probably read about this in the papers within a few days unless you break the story first.

I wish I could give you my name but I would lose my job within 24 hours if I did. You'd probably recognize it immediately if I did because I have sent you numerous items in the past which appeared in your column.

RECORDED 125- M.O. 244122
SAC MAR 22 1944
SAC

44

444122

45

DIRECTOR



Federal Bureau of Investigation
United States Department of Justice

GCC/ms

Call 3:10 PM.

Transcribed- 3:25 PM.

Washington, D. C.

February 8, 1944

MEMORANDUM FOR MR. LADD

RE: FRANK SINATRA
SELECTIVE SERVICE

When SAC, S. K. McKee of Newark called me at the above time and date I asked him whether or not he had heard any rumors to the effect that Frank Sinatra had paid \$40,000 to obtain a 4-F classification. Mr. McKee stated that he had heard nothing to this effect.

I told McKee that someone had written to Walter Winchell stating that the FBI is supposed to be investigating a report that Sinatra had paid, through his manager, \$40,000 to obtain a 4-F classification. One of the doctors who conducted Sinatra's physical examination is reported to have "talked too much" in a beer parlor. A former schoolmate of Sinatra's from Highland, New Jersey is also reported as saying that Sinatra has no more ear drum trouble than General MacArthur. This anonymous informant also wrote in his letter to Winchell that mothers around that section who have sons in the service are planning a petition to President Roosevelt asking for a re-examination of Sinatra by a neutral board of examiners.

I asked SAC McKee to ascertain definitely whether Sinatra's classification was 4-F and if so, to determine why he received this classification. However, I told him that it would not be necessary at this time to make a full scale investigation or to look into the charges of \$40,000 being paid the examining doctors at Newark. McKee stated that he would do this immediately and advise the Bureau of the results.

Respectfully,

G. C. Callan



APR 15 1944

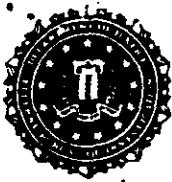
RECORDED

244122-2

46

APR 15 1944
FBI - NEW YORK

Mr. E... _____
Mr. Clegg _____
Mr. Glavin _____
Mr. Ladd _____
Mr. Nichols _____
Mr. Rosen _____
Mr. Tracy _____
Mr. Carson _____
Mr. Coffey _____
Mr. Hendon _____
Mr. Kramer _____
Mr. McGuire _____
Mr. Harbo _____
Mr. Quinn Tamm _____
Tele. Room _____
Mr. Nease _____
Miss Beabm _____
Miss Gandy _____



Federal Bureau of Investigation
United States Department of Justice
Newark 2, New Jersey

JLD:vml

February 10, 1944

Director, FBI

~~Re: FRANK ALBERT SINATRA
SELECTIVE SERVICE~~

40
Dear Sir:

Reference is made to the telephone message from Mr. Christopher Callan at the Seat of Government on February 8, 1944 concerning the receipt by the Bureau of an anonymous letter alleging, in effect, that \$40,000 had been paid to the doctors who examined FRANK ALBERT SINATRA and thereafter gave an opinion that SINATRA had a perforated eardrum and was unsuitable for military service.

In accordance with instructions, the investigation was limited to an examination of SINATRA's Selective Service File in order to obtain from that file certain information as set forth below. On February 9, 1944, the file was examined by Special Agent [REDACTED] at Local Draft Board #19 for Hudson County, Room 308, 26 Journal Square, Jersey City, New Jersey. The Chief Clerk of this board is Mrs. MAE E. JONES. b7C

(1) PRESENT CLASSIFICATION: 4F as of December 11, 1943.

(2) REASON FOR THAT CLASSIFICATION: D.S.S. Form #221, "Report of Physical Examination and Induction", carries under Section 4, "Physical Examination Results", the following certification: "78. I certify that the above-named registrant was carefully examined, that the results of the examination have been correctly recorded in this form, that to the best of my knowledge and belief: . . . (e) FRANK ALBERT SINATRA is physically and/or mentally disqualified for military service by reason of:
1. chronic perforation lt. tympanum; 2. chronic mastoiditis." RECONDUCE 244122-3

INDIA 25
This was supported by the stamped name, "J. WEINTROB, Captain, M.C., Assistant Chief Medical Officer". Immediately following is: DEFERRED

"79. . . . (b) FRANK ALBERT SINATRA was on this date rejected for service in the Army of the United States."



✓ COPIES DESTROYED 2-12-60

1132

OP. 5

47

Letter to the Director
JLD:vml

Newark 2, New Jersey
February 10, 1944

This statement was supported by the typewritten name, "R. G. WALLS, Captain, Infantry". The certification and statement carried the place and date of Newark, New Jersey, December 9, 1943.

More detailed notations appeared in Section 4, "Physical Examination Results", as follows:

"40. EAR, NOSE, THROAT ABNORMALITIES: Chronic perforation lt. drum. Hist of repeated discharge from ear - mastoid areas show coating in remaining cells and deformity of canal. Marks sclerosis in mastoid area."

"50. MUSCULOSKELEAL DEFECTS: Fracture deformity 3rd finger rt. N.D."

"55. MENTAL: Emotional instability. N.D."

"64. HEIGHT: 67 $\frac{1}{2}$ inches."

"65. WEIGHT: 119 lbs."

"75. BLOOD PRESSURE: (a) Systolic: 122; (b) Diastolic: 78."

(3) GENERAL APPEARANCE OF FILE, ETC.: On its face, the file appeared to be in regular order. Mrs. Jones said that the Local Board had been particularly careful not to afford SINATRA special treatment and where any question of importance arose, the Board would immediately communicate with the State Headquarters for advice in view of the "position" held by SINATRA. Mrs. Jones also said that although it had been reported over the radio and otherwise that SINATRA had had a pre-induction physical examination and knew two weeks before the date set for his induction examination that he would be rejected, no such pre-induction examination was ever given by her board for any registrant. It had been the impression of Mrs. Jones that SINATRA had had the belief that he would be rejected inasmuch as he had continued to make plans for his new radio show, but she had readily explained that to herself through the thought that he had probably had his own physician examine him.

The following inconsistencies appeared in the file:
In his Selective Service Questionnaire executed December 17, 1940, under series 2, "Physical Condition", SINATRA wrote in under "Have No" - "To the best of my knowledge, I have no physical or mental defects or diseases." In an undated Current Selective Service Questionnaire which

Letter to the Director
JLD:vml

Newark 2, New Jersey
February 10, 1944

was to be returned prior to November 7, 1941 and in answer to the question, "Do you have any physical or mental defects or diseases?", SINATRA wrote "No". On October 22, 1943, in the "Extract for D.S.S. Form #221 - Report of Physical Examination and Induction", SINATRA answered Question #5, "What Physical or Mental Defects or Diseases Have You Had in the Past, if any?", by the one word, "No". In answer to Question #6, "Have you ever been treated at an institution, sanitarium or asylum?", SINATRA wrote, "No". On October 22, 1943, Local Board Examining Physician A. POVALSKI, M.D., Jersey City, found that SINATRA had none of the defects set forth in Parts 1 or 2 of the List of Defects (form #220).

Independently of this investigation, it had come to b7C the attention of Special Agent [REDACTED] that FRANK SINATRA had a criminal record in Bergen County. From [REDACTED] Bergen County Jail, Hackensack, New Jersey, there was obtained an enclosed picture of FRANK SINATRA and the following information regarding the two occasions on which SINATRA was held in the Bergen County Jail: FRANK SINATRA, Arrest #42799, Bergen County Sheriff's Office, Hackensack, New Jersey was arrested on November 26, 1938 charged with Seduction. Disposition was marked, "Dismissed". FRANK SINATRA, Arrest #42977, was arrested on December 22, 1938, charged with Adultery. The disposition on this charge was not listed. [REDACTED] stated that the fingerprints taken at the time of the listed arrests were submitted to the Federal Bureau of Investigation, Washington, D. C.

b7C-b7D

The following description of SINATRA was obtained from the Selective Service File:

Name:	FRANK ALBERT SINATRA
Race:	White
Age:	28 or 29
Date of birth:	December 12, 1915 is listed in two forms; December 12, 1916, in one.
Place of birth:	Hoboken, New Jersey
Residence:	220 Lawrence Avenue, Hasbrouck Heights, New Jersey
Height:	5'7 $\frac{1}{2}$ "

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Letter to the Director
JLD:vml

Newark 2, New Jersey
February 10, 1944

Weight: 119 lbs.
Build: Slight
Hair: Dark Brown
Eyes: Blue
Complexion: Ruddy
Scars and Marks: Scar on left side of chin below mouth
Occupation: Vocalist
Education: 4 years' high school, one year business school
Marital Status: Married 2-4-39, Jersey City, New Jersey
Immediate relatives: Wife, Mrs. NANCY R. SINATRA, same address; Daughter, NANCY SANDRA SINATRA, born 6-8-40 (no record of second daughter appears in Selective Service File); Father, ANTHONY SINATRA, age 52, Hoboken, New Jersey; Mother, NATALIE SINATRA, age 49, Hoboken, New Jersey.
Social Security #: 138-16-0442
Nationality: United States citizen
Selective Service Order #: 204, Local Draft Board #19, Hudson County, Jersey City, New Jersey

This office has not received any rumors which would substantiate the allegations made in the anonymous letter.

Because of the limited investigation requested, no additional investigation is contemplated by this office.

Very truly yours,

S. K. MCKEE
SAC

Enclosure 1

Name <u>Frank Sinatra</u>			
Address <u>891 Garden St</u>			
City <u>Hoboken</u> State <u>N.J.</u>			
Arrested <u>4/26/38</u> Dept.			
Crime <u>Seduction Record</u>			
Sentenced	yrs.	mo.	days
Institution _____ Judge _____			
Wt 125 Ht 5' 8 Hair Blk Eyes Blue Corp [unclear]			
Born <u>12/12/16</u> Age <u>22</u> Occupation <u>[unclear]</u>			
Remarks			

25-24422-3

51



52

JOHN EDGAR HOOVER
DIRECTOR



Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.
February 10, 1944

GCC:ML
Call: 5:37 p.m.
Transcribed: 5:45 p.m.

MEMORANDUM FOR MR. LADD

Re: FRANK SINATRA
Selective Service

SAC McKee of the Newark Office advised that Sinatra's classification appeared to be regular and that he was disqualified because of a perforated ear drum and chronic mastoiditis and that his mental condition was one of emotional instability. McKee stated that in a prior physical examination in the fall of 1943 none of these defects were noted and that in a questionnaire dated December 17, 1940, in answer to a question as to his physical condition, Sinatra noted there were none to the best of his knowledge. He is classified 4-F as of December 11, 1943.

McKee also said it had come to the attention of one of the Resident Agents at Hackensack, New Jersey, that Sinatra has an arrest record and that [redacted] Hackensack County Jail, who furnished this information, gave the Agent a photograph of Sinatra, arrest #42799. McKee advised that Sinatra was arrested in 1938 on a charge of seduction which was dismissed and he was later arrested on a charge of adultery.



I instructed McKee not to take any further action in this matter. He said he would submit a letter today covering the above.

Respectfully,

G. C. Callan

RECORDED 25-244122-4
35 MAR 22 1944

Mr. E. A. Egan _____
Mr. Clegg _____
Mr. Coffey _____
Mr. Glavin _____
Mr. Ladd _____
Mr. Nichols _____
Mr. Rosen _____
Mr. Tracy _____
Mr. Acers _____
Mr. Carson _____
Mr. Harbo _____
Mr. Hendon _____
Mr. Mumford _____
Mr. Starke _____
Mr. Quinn Tamm _____
Tele. Room _____
Mr. Nease _____
Miss Beahm _____
Miss Gandy _____

b7c
b7d

Office Memorandum

UNITED STATES GOVERNMENT

GCC:mes

Time of Call 4:35

TO : MR. D. M. LADD

FROM : MR. G. C. CALLAN

SUBJECT: FRANK SINATRA - SELECTIVE SERVICE

DATE: FEBRUARY 15, 1944

45

I called SAC McKee of the Newark Office and asked if he could determine the disposition of two cases for which Sinatra was arrested, one for seduction on November 26, 1938, which was reported dismissed, and the other for adultery on December 22, 1938. The Bureau has a record of the first case but there is no record of the second case.

McKee advised that he would obtain the disposition of these cases and would advise me as soon as possible.

RECORDED

25-244122-5

511

56

APR 8 1944

4-

We should be certain this
there is nothing irregular
in this case.
Note Newark's letter which is attached.

54

United States Department of Justice
Washington, D. C.

Feb 14, 1944 2024 am

The following is the record of FBI number 3794610

J. E. Hoover
Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
S.O., Hackensack, N.J.	Frank Sinatra #42799	11/26/38	seduction	
S.O.S. War Dept.	François Albert Sinatra, #20-CAS	Singer 10/7/43		

25-244122 - 5
ENCLOSURE

* Represents notations unsupported by fingerprints in FBI files.

NOTICE: THIS RECORD IS FURNISHED FOR OFFICIAL USE ONLY

GPO 16-15190

55

United States Department of Justice

Washington, D. C.

The following is the record of FBI number 3794610

J. E. Hoover
Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
	<p>The following is the description of the above individual as appearing in the files of this Bureau:</p> <p>"Color, white; sex, male; birthplace, Hoboken, N.J.; Citizenship, American; age, 27 years in 1943; date of birth, December 12, 1915; height, 5 feet, 9 inches; weight, 137 pounds; hair, brown; eyes, blue; complexion, dark; build, slender; addresses, 220 Lawrence Avenue, Hasbrouck Heights, New Jersey (1943), 871 Gadwin Street, Hoboken, New Jersey, (1938); Scars and Marks, Scar on left side of chin, scars on left side of neck and ear."</p> <p>Additional Alias: Frank A. Sinatra.</p>			

* Represents notations unsupported by fingerprints in FBI files.

NOTICE: THIS RECORD IS FURNISHED FOR OFFICIAL USE ONLY

GPO 16-15198

56

United States Department of Justice
 Washington, D. C.

Feb 14, 1944 2024 am

The following is the record of FBI number 3794610

J. E. Hoover
 Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
S.O., Hackensack, N.J.	Frank Sinatra #42799	11/26/38	seduction	Dismissed
S.O.S. War Dept.	Francis Albert Sinatra, #20-CAS	Singer 10/7/43		

* Represents notations unsupported by fingerprints in FBI files.

NOTICE: THIS RECORD IS FURNISHED FOR OFFICIAL USE ONLY

57 16-15190

United States Department of Justice

Washington, D. C.

The following is the record of FBI number

3794610

J. E. Hoover

Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
	The following is the description of the above individual as appearing in the files of this Bureau: "Color, white; sex, male; birthplace, Hoboken, N.J.; Citizenship, American; age, 27 years in 1943; date of birth, December 12, 1915; height, 5 feet, 9 inches; weight, 137 pounds; hair, brown; eyes, blue; complexion, dark; build, slender; addresses, 220 Lawrence Avenue, Hasbrouck Heights, New Jersey (1943), 871 Gavin Street, Hoboken, New Jersey, (1938); Scars and Marks, Scar on left side of chin, scars on left side of neck and ear."			
	Additional Alias: Frank A. Sinatra.			

* Represents notations unsupported by fingerprints in FBI files.

NOTICE: THIS RECORD IS FURNISHED FOR OFFICIAL USE ONLY

GPO 16-15100

58



Federal Bureau of Investigation
United States Department of Justice
Newark, New Jersey

February 17, 1944

JLD:MB
25-8050

Director, FBI

Re: FRANK ALBERT SINATRA
SELECTIVE SERVICE

Dear Sir:

Reference is made to my letter of February 10, 1944,
and to the telephone message from Mr. Christopher Callan on February 15,
1944.

Mr. William Guthrie, Clerk of the Second Criminal
Judicial District of the County of Bergen, County Court House, Hackensack,
New Jersey, furnished Special Agent [REDACTED] the following infor-
mation regarding SINATRA:

Under docket #15228 of that court in the STATE vs.
FRANK SINATRA, SINATRA was charged, on November 26, 1938, by [REDACTED]
N.J., with having committed the
following offense: "On the second and ninth days of November 1938 at the
Borough of Lodi ... under the promise of marriage [SINATRA] did then and
there have sexual intercourse with the said complainant, who was then and
there a single female of good repute ... contrary to and in violation of
the revised statute of 1937." The Peerless Casualty Company, 241 Main
Street, Hackensack, N.J., went bond for SINATRA in the amount of \$1500.
This complaint was withdrawn on December 7, 1938 because it was ascertained
that the complainant was in fact married. In place of that complaint and
under docket #15307, the STATE vs. FRANK SINATRA, a complaint was filed on
December 21, 1938 by [REDACTED]

N.J., charging SINATRA with adultery in that he, "On the second and
ninth days of November 1938 ... did then and there commit adultery with the
said complainant, a married woman, the wife of [REDACTED]. SINATRA
went bond for himself in the amount of \$500. On January 4, 1939, the case
was remanded to the Grand Jury by order of Judge McINTYRE.

According to Mr. Guthrie, SINATRA'S attorney was Mr.
HARRY L. TOWE of Rutherford, New Jersey, who at the present time is the
Congressman (U. S. House of Representatives) from the 7th District of New
Jersey.



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RECORDED
&
INDEXED

3 MAR 22 1944

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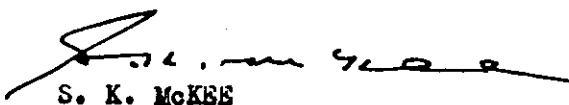
Letter to Director
25-8050

Newark, New Jersey
February 17, 1944

Under docket #18450 for the Prosecutor of the Pleas of Bergen County, it appears that a no-bill was returned on January 17, 1939 by the Grand Jury in connection with the second complaint. In accord with the no-bill the complaint was dismissed in open court of Quarter Sessions of Bergen County on January 24, 1939.

Because of the limited investigation requested, no additional investigation is contemplated by this office.

Very truly yours,


S. K. McKEE
SAC



Federal Bureau of Investigation
United States Department of Justice
Newark, New Jersey

February 24, 1944.

JLD:MB
25-8050

Director, FBI

Re: FRANK ALBERT SINATRA
SELECTIVE SERVICE

Dear Sir:

Reference is made to the two letters from this office, dated February 10, 1944 and February 17, 1944, and to telephone message from Mr. CHRISTOPHER CALLAN on February 21, 1944. In accordance with instructions given by Mr. CALLAN, JOSEPH R. WEINTROB, Captain, U. S. Army Medical Corps, Chief Medical Officer, Armed Forces Induction Station, 113th Infantry Armory, Sussex Avenue and Jay Street, Newark 4, New Jersey, was interviewed by Special Agent [REDACTED] on February 23, 1944. The line officer in command of this induction station is Captain RAYMOND E. WALLS. Captain WEINTROB'S superior is Major FRANK GUIDOTTI, 39 Whitehall Street, New York, N.Y. b7C

Captain WEINTROB said that he had personally examined SINATRA'S ears and had rejected SINATRA against his, WEINTROB'S, wishes on the basis of a perforation of the left tympanum and chronic mastoiditis, left, either one of which would have sufficed for having rejected the registrant. The rejection was based on Mobilization Regulation #1-9, War Department, Washington, D. C., issued October 15, 1942 and amended thereafter. These regulations entitled "Standards of Physical Examination during Mobilization" set forth under Section 5, "Ears", paragraph #25, that the following defects make a registrant "not acceptable":

- "(C) Perforation membrana tympani
(D) Acute or chronic mastoiditis"

Captain WEINTROB stated he had discussed this case with Major GUIDOTTI and with Colonel CHARLES E. WALSON, Chief, Medical Branch, Second Service Command, New York City, and he had, at the request of Colonel WALSON, forwarded on December 27, 1943 a true copy of the work sheet of the physical examination of SINATRA to General CHARLES C. HILLMAN, M.C., Office of the Surgeon General, War Department, Army Service Forces, 1818 H Street, N. W., Washington 25, D. C. A certified true copy of the cover letter used by Captain WEINTROB in forwarding the copy of the work sheet is enclosed with this letter. There is also enclosed a certified true copy of a letter signed by Captain WEINTROB to Colonel WALSON on December 28, 1943 and this letter is



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5 APR 1944
On 1944
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#32

INDEX 125-244122-7
35 MAR 22 1944

Letter to Director

February 24, 1944

being set forth below:

* ARMED FORCES INDUCTION STATION
113th Infantry Armory
Sussex Avenue and Jay Street
Newark 4, N.J.

28 December 1943
JW/ekk

Subject: Supplementary Information, Frank A. Sinatra.

To : Commanding General, Second Service Command, Army Service Forces, Governors Island, New York, 4, New York. ATTENTION:

1. Supplementing the telephonic conversation of 27 and 28 December and work sheet of the physical examination of Frank A. Sinatra, the following information is submitted:

(a) Selectee stated that at birth he sustained an injury below the left ear, presumably from the blade of forceps. In his early childhood he had several mastoid operations and subsequently has had frequent and repeated attacks of "running ear" on the left side, the last having occurred within the past several months. He also stated that he often suffered from "head noises" on the left side.

(b) Examination of the external ear revealed that the lobule had been removed and there was much post auricular scarification, including what were probably incisional scars of the previously mentioned mastoid surgery. The external auditory canal was narrowed and somewhat deformed and there was a considerable quantity of inspissated wax present. The tympanum was seen to contain a perforation. X-Rays of the left mastoid area revealed a "sclerosing mastoiditis".

(c) During the psychiatric interview the patient stated that he was 'neurotic, afraid to be in crowds, afraid to go in elevator, makes him feel that he would want to run when surrounded by people. He had somatic ideas and headaches and has been very nervous for four or five years. Wakens tired in the A.M., is run down and undernourished'. The examining psychiatrist concluded that this selectee suffered from psychoneurosis and was not acceptable material from the psychiatric viewpoint. Inasmuch as the selectee was to be rejected on an organic basis, namely,

- (1) Perforation of left tympanum
(2) Chronic mastoiditis, left.

the diagnosis of psychoneurosis, severe was not added to the list. Notation of emotional instability was made instead. It was felt that this would avoid undue unpleasantness for both the selectee and the induction service.

For the Commanding Officer:

Letter to Director

February 24, 1944

In explanation of some of the medical terms used above, and in explanation of the material transmitted to Colonel WALSON by Captain WEINTROB, the letter gave the following information:

SINATRA had stated to him that he had had at least three mastoid operations in his youth. If SINATRA mentioned the name or names of the physicians involved, Captain WEINTROB was unable to recall them. The examination, according to Captain WEINTROB, seemed to verify the statement inasmuch as there were found scars behind the ear. The perforation of the drum (tympanum) was a disease perforation so far as Captain WEINTROB could tell and not the result of an incision by human hands. Captain WEINTROB stated that mastoiditis is ordinarily caused by infection of the middle ear. In SINATRA'S case, there was chronic infection of the middle ear. Such infection ordinarily causes the formation of puss, which may seek outlet through the drum causing a perforation and thereafter puss draining or running through the perforation. The puss may stop flowing in which case the perforation of the drum will ordinarily heal over until puss again causes a perforation. However, where a perforation has healed, it is possible to see that a perforation formerly existed at that spot. The diseased middle ear can spread this infection to the brain causing an abscess, or to the mastoid area. In the latter area, a diseased condition is termed "mastoiditis", which may be acute; that is inflamed, or chronic; that is more a case of a hardening of the mastoid area with the laying down of bone deposits. In adverse weather conditions and the like chronic mastoiditis may well develop into acute mastoiditis. In SINATRA'S case, his assertion that "running ear" had recently occurred was borne out by the perforation noted in the x-ray of the mastoid area, which showed that the condition was one of chronic mastoiditis. This was borne out by the appearance on the x-ray of the sclerizing or hardening of that area; that is clotting in the remaining cells of the mastoid area not removed by operations indicating that the chronic mastoiditis had had the effect of petrifying that portion of the head. Captain WEINTROB attempted to locate the x-rays of the mastoid area, but was unable to do so immediately. He said he would continue to search for them and would lay them one side if he were able to locate them.

The Captain also stated that no one had ever attempted to influence his opinion in this case and in fact no one had discussed the SINATRA case with him prior to the actual examination. He added that within the past few weeks he has read an article by one of the New York City columnists to the effect that it was understood FRANK SINATRA'S case was not yet closed so far as induction was concerned. Captain WEINTROB stated he was satisfied in his own mind that SINATRA should not have been inducted and was willing to stake his medical reputation on his findings. He pointed to his training at the Jefferson Medical School and post-graduate work at the University of Pennsylvania Medical School as an indication of his medical qualifications.

Letter to Director

February 24, 1944

Captain WEINTROB stated that although SINATRA was four pounds below the minimum weight for men of his height, his induction station no longer went by the rules regarding minimum weight and for that reason no mention was made of his being below minimum weight. He also stated that many men coming into the induction station are found to have known physical defects which they did not list on the questionnaire or other draft papers. Ordinarily they fail to list such defects in their draft records for fear that people will criticize them for attempting to evade the draft in that fashion.

b7D
[REDACTED] civilian physician, at the Armed Forces Induction Station above mentioned, was likewise interviewed inasmuch as he had examined the x-rays of the mastoid area of FRANK SINATRA. [REDACTED] whose residence and office address is [REDACTED] [REDACTED] stated that the x-rays indicated to him that SINATRA had chronic mastoiditis. He explained that the marked sclerosis or hardening of that area made that statement necessary. [REDACTED] likewise indicated that he was certain that it was absolutely necessary to reject SINATRA in the induction.

Because of the limited investigation requested, no additional investigation is contemplated by this office.

Very truly yours,

S. K. McKee
S. K. MCKEE
SAC

Enclosures (2)

ARMED FORCES INDUCTION STATION
113TH INFANTRY ARMORY
SUSSEX AVENUE AND JAY STREET
NEWARK 4, N.J.

27 December 1943
JW/eak

Subject: Transmittal of Record.

To : Office of The Surgeon General, War Department, Army Service Forces, 1818 "H" Street, Northwest, Washington, 25, D. C.
ATTENTION: General Charles C. Hillman, M. C.

1. In compliance with the request of Colonel Walson, Chief, Medical Branch, Second Service Command, there is forwarded herewith a true copy of the work sheet of the physical examination of Frank A. Sinatra, examined this station 9 December 1943.

For the Commanding Officer:

JOSEPH WEINTROB,
Captain, M. C.,
Chief Medical Officer.

1 Incl.

True cpy Work sheet

A CERTIFIED TRUE COPY:

Joseph Weintrob
JOSEPH WEINTROB,
Captain, M. C.

ENCLOSURE
25-244122-7

65

ARMED FORCES INDUCTION STATION

113TH INFANTRY ARMORY
SUSSEX AVENUE AND JAY STREET
NEWARK 4, N. J.

28 December 1943
JW/ek

Subject: Supplementary Information, Frank A. Sinatra.

To : Commanding General, Second Service Command, Army Service Forces, Governors Island, New York, 4, New York. ATTENTION: Surgeon.

1. Supplementing the telephonic conversation of 27 and 28 December and work sheet of the physical examination of Frank A. Sinatra, the following information is submitted:

(a) Selectee stated that at birth he sustained an injury below the left ear, presumably from the blade of forceps. In his early childhood he had several mastoid operations and subsequently has had frequent and repeated attacks of "running ear" on the left side, the last having occurred within the past several months. He also stated that he often suffered from "head noises" on the left side.

(b) Examination of the external ear revealed that the lobule had been removed and there was much post auricular scarification, including what were probably incisional scars of the previously mentioned mastoid surgery. The external auditory canal was narrowed and somewhat deformed and there was a considerable quantity of inspissated wax present. The tympanum was seen to contain a perforation. X-Rays of the left mastoid area revealed a "sclerozizing mastoiditis".

(c) During the psychiatric interview the patient stated that he was 'neurotic, afraid to be in crowds, afraid to go in elevator, makes him feel that he would want to run when surrounded by people. He had somatic ideas and headaches and has been very nervous for four or five years. Wakens tired in the A.M., is run down and undernourished'. The examining psychiatrist concluded that this selectee suffered from psychoneurosis and was not acceptable material from the psychiatric viewpoint. Inasmuch as the selectee was to be rejected on an organic basis, namely,

- (1) Perforation of left tympanum
- (2) Chronic mastoiditis, left,

the diagnosis of psychoneurosis, severe was not added to the list. Notation of emotional instability was made instead. It was felt that this would avoid undue unpleasantness for both the selectee and the induction service.

For the Commanding Officer:

A CERTIFIED TRUE COPY: 25 - 244122-7

Joseph Weintrob
JOSEPH WEINTROB,
Captain, M. C.

ENCLOSURE

s/JOSEPH WEINTROB,
JOSEPH WEINTROB,
Captain, M. C.,
Chief Medical Officer.

66

Office Memorandum • UNITED STATES GOVERNMENT

GCC:ML

TO : MR. D. M. LADD
FROM : MR. G. C. CALLAN
SUBJECT: FRANK ALBERT SINATRA
Selective Service

DATE: 2-26-44

You will recall that we inquired into the Selective Service status of Frank Sinatra because of the receipt from Walter Winchell of an anonymous letter which he received alleging that \$40,000 had been paid by Sinatra to procure a 4-F classification. Newark found that Sinatra received a 4-F classification because of an ear ailment. It also discovered that Sinatra had been arrested twice--once for seduction and once for adultery, both arrests resulting in dismissals, and both based on same act.

The Director penned a notation on my memorandum to you dated February 15, 1944, "We should be certain that there is nothing irregular in this case," and upon your instructions, I called the Newark Office on February 21, 1944 at 4:45 p. m. and instructed ASAC R. W. Bachman to have the physician who examined Sinatra interviewed. The Newark Office has complied with these instructions and there is attached hereto Newark's letter of February 24, 1944 which indicates very definitely that Sinatra was properly rejected because of a perforation of the membrana tympani and acute or chronic mastoiditis. During the course of the interview, it was ascertained from the examining physician, Dr. Joseph R. Weintrob, Captain, U. S. Army, Medical Corps, that Sinatra stated he had, in his early youth, been operated on on several occasions for mastoiditis. X-ray pictures have borne out Sinatra's statements in this regard. Captain Weintrob said the scar tissue which was the result of the operation was readily perceptible and in this connection the operational scars very clearly appear on the left profile photograph taken on the occasion of one of Sinatra's arrests by the Sheriff's Office at Hackensack, New Jersey. Either the perforation of the tympani or the mastoid condition is, as Captain Weintrob points out, cause for rejection of a registrant under War Department regulations.

It therefore appears that Sinatra was properly rejected from military service and there is no indication that the statements made in the anonymous letter above referred to have any foundation. Consequently, in the absence of further instructions, no additional inquiries will be conducted in this matter.

Attachment

RECORDED INDEXED 25-244122-8
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35 MAR 22 1944

65 APR 6 1944

67

no

25-244122-9, 10, 11, 12

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62-83219-4, 6, 5, 7

C

68

Mr. Clegg _____
Mr. Coffey _____
Mr. Glavin _____
Mr. Ladd _____
Mr. Nichols _____
Mr. Rosen _____
Mr. Tracy _____
Mr. Carson _____
Mr. Egan _____
Mr. Hendon _____
Mr. Pennington _____
Mr. Quinn Tamm _____
Mr. Nease _____
Miss Gandy _____
C. H. Martin

Sinatra Leaves Coast In Answer to Draft

HOLLYWOOD, Feb. 1 (U.P.). Frank Sinatra, crooning idol of the bobby-sox set, left today by train for New York to appear before his draft board for possible reclassification. He was classified 4-F at his first examination because of a punctured eardrum. Sinatra planned to report first to board 19 in New York city, which had summoned him, and then wait for an appointment with his Jersey City, N. J., board.

125-244122-A
NOT RECORDED
87, FEB 26 1945

278
55 FEB 27 1945

FEB 27, 1945

WASHINGTON TIMES-HERALD
Page

69

J. EDGAR HOOVER

Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Feb 28 1947

The following is the record of FBI number

3794610

J. E. Hoover
Director

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
SO, Minneapolis, Minn.	Frank Cianetti #42789	11/26/38	Seduction	
S. C. I., Bar Top.	Francis Albert Stratton, #30-GAS	Singer 10/7/43		
SO, Los Angeles, Calif.	Frank Albert Stratton #...	Gun permit 1-30-47		

SE

25-244122-

NOT RECORDED
31 FEB 28 1947

52 MAR 4 1947

Represents notations unsupported by fingerprints in FBI files.

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U. S. GOVERNMENT PRINTING OFFICE 16-16100

70

Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Feb 29 1948 3293 n

the record of FBI number 3794610

J. E. Hoover
Director.

NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION

The following is the description of the above individual
as appearing in the files of this Bureau:

"Color, white; sex, male; birthplace, Hoboken, N.J.;
Citizenship, American; age, 27 years in 1948; date of
birth, December 12, 1915; height, 5 feet, 9 inches;
weight, 157 pounds; hair, brown; eyes, blue; complexion,
dark; build, slender; addresses, 220 Lawrence Avenue,
Hasbrouck Heights, New Jersey (1943), 871 Cadwin Street,
Hoboken, New Jersey, (1938); Sears and Marke, Star on left
side of chin, scar on left side of neck and ear."

Additional Alias: Frank A. Sinatra.

Federal Bureau of Investigation
 United States Department of Justice
 Washington, D. C.

FEB 25 1941 1293

The following is the record of FBI number 3794610

J. E. Hoover
 Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
SO, Milwaukee, Wis.	Frank Sinatra #42799	11/26/38	seduction	
S. O. S. War Dept.	Francis Albert Sinatra, #20-OAS	Slager 10/7/43		
SO, Los Angeles, Calif.	Frank Albert Sinatra #...	gun permit 1-30-47		

* Represents notations unsupported by fingerprints in FBI files.

U. S. GOVERNMENT PRINTING OFFICE 16-15100

72
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Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

-2-

FEB 25 1947 3293-11

The following is the record of FBI number 3794610

J. E. Hoover
Director.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
	The following is the description of the above individual as appearing in the files of this Bureau: "Color, white; sex, male; birthplace, Hoboken, N.J.; Citizenship, American; age, 27 years in 1943; date of birth, December 12, 1915; height, 5 feet, 9 inches; weight, 137 pounds; hair, brown; eyes, blue; complexion, dark; build, slender; addresses, 220 Lawrence Avenue, Hasbrouck Heights, New Jersey (1943), 571 Cadwal Street, Hoboken, New Jersey, (1938); Scars and Marks, Scar on left side of chin, scars on left side of neck and ear."			
	Additional Alias: Frank A. Sinatra.			

* Represents notations unsupported by fingerprints in FBI files.

U. S. GOVERNMENT PRINTING OFFICE 16-1510

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73

Sinatra Held for Trial on Battery Charge On Columnist's Complaint Singer Hit Him

b7c
HOLLYWOOD, April 9 (UPI)—Frank Sinatra was arrested in the middle of a tune today and hauled off to court, where he pleaded not guilty to a charge of hitting Lee Mortimer, New York columnist, outside Ciro's restaurant last night. The singer accused the columnist of insulting him.

This afternoon two burly investigators picked up the crooner on a battery warrant at the Columbia Broadcasting Company studio, where he was rehearsing for a broadcast.

The singer said "with a grin that he couldn't produce \$500 bail when he was brought before Judge Bert Woodward in Beverly Hills Justice Court. So obliging newspaper men, lawyers and court spectators provided the necessary funds.

"I waive reading of the complaint," said the crooner affably, "and request a trial late next month."

The judge set May 27 for a jury trial on the battery complaint, signed by Mr. Mortimer.

The columnist, gingerly stroking the left side of his head where the singer's fist connected, said that was all right.

There were hours of indecision before the arrest. Mr. Mortimer got out of bed at the Beverly-Wilshire Hotel this morning with the announcement that he would wait to see the X-rays of his injury before he took any action.

About noon he showed up at Judge Woodward's court and con-

ferred for two hours with Assistant District Attorney M. F. Howard. After numerous telephone calls he signed the battery complaint.

Meanwhile the crooner, assured he would have plenty of time to surrender, wound up make-up tests for a motion picture at Metro-Goldwyn-Mayer and confidently sauntered to the rehearsal at the CBS Vine Street Playhouse.

"Oh, What a Bee-yoo-tiful Morrrning," he was crooning when the two men from the District Attorney's office strode down the aisle and led him away.

He walked briskly into the court. "I plead innocent and wish a jury trial," he said in a firm voice.

Everyone agreed that the crooner struck the newspaper man in the foyer of Ciro's. But exactly why was a moot point.

"For two years he's been needling me," said the crooner. "He called me a Dago. I saw red."

Said Mr. Mortimer:

"I was standing on the steps outside the restaurant when I was hit without warning from behind."

Mr. Sinatra, weighing 130 pounds, said he entered the club alone and joined some friends. He spied the columnist at a table and nodded in greeting.

"He gave me a look," said the singer. "I can't describe it. It was one of those contemptuous. Who do you amount to' looks. It followed him outside. I hit him. I'm all mixed up."

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. McPhale
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Hendon
Mr. Pennington
Mr. Quinn Tamm
Mr. Nease
Mr. Gandy

61 Rep

25-244122-A

NOT RECORDED
80 APR 14 1947

gile
This is a clipping from page _____ of the New York Times for

Clipped at the Se-
Government

61 APR 25 1947

74

Mr. Tolson
Mr. E. A. Tamm
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Carson
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Hendon
Mr. Jones
Mr. Leonard
Mr. Pennington
Mr. Quinn Tamm
Mr. Nease
Miss Gandy

64 Ryan

The Voice Will Lack (a Gun's) Authority Now

HOLLYWOOD, April 10—Crooner Frank Sinatra flew to New York today to receive an award for fighting intolerance after promising to appear in court here next month for fighting a New York Columnist. Frankie's victim, Leo Mortimer, was considering civil damage suit against the spindly singer.

Frankie is to be tried on a battery charge May 27. If convicted he faces a possible \$1000 fine and six months' jail sentence.

NO SIX-SHOOTER NOW

This isn't the singer's first to-do with the press. A few months ago he threatened by telegram to give The News Hollywood Columnist Erskine Johnson "a belt in you vicious and stupid mouth" if the two ever met. So far they haven't.

Frankie Sinatra was arrested yesterday at a radio rehearsal. Judge Bert Woodward figured on letting him surrender but changed his mind when he heard Frankie was to fly to New York.

Frankie strode briskly into Beverly Hills court and affably waived, reading of the complaint.

His smile faded when the judge proclaimed \$500 bail. There was an embarrassed silence while Frankie and his attorney, Albert Pearson, frisked their pockets.

"Oh, I'm a little short today," said the man with the Million-dollar-a-year lynx.

KIDS, NOT MORONS

Court spectators and newsmen passed the hat to save Frankie the ignominy of going to jail. But he was chastened further when Undersheriff Arthur

Jewell announced the singer's gun permit had been suspended.

Frankie explained he took out the permit two months ago to "protect personal funds." Shortly thereafter he went to Cuba, where he met Gambler Lucky Luciano. Their alleged friendship was blistered in Mr. Mortimer's column and was believed to be the spark for Tuesday night's fracas.

(Frankie's "friendship" with Luciano was first revealed by Robert Ruark, 6-foot, 200-pound columnist for The News and other Scripps-Howard papers.)

Frankie earlier charged Mr. Mortimer muttered: "There's that dago" to his date when the singer entered Ciro's.

"I don't take that kind of talk," said Frankie. "I followed him outside. I saw red. I hit him. He's needled me for two years. He's referred to my bobby-soxer fans as morons. They're not morons. They're just kids."

Mr. Mortimer, however, claimed he hadn't even seen Sinatra in the club and knew nothing until he felt the crooner's fist on the left side of his head.

"I was standing on top of the steps outside the club when three men

grabbed me and Sinatra hit me," he said. "I've got scratched wrists and a broken watch to prove they held me."

SE 12

25-244122-A

INDEXED

NOT RECORDED

8C APR 14 1947

R& ADD 9 E 104

WASHINGTON NEWS
DATE 4-10-47

75

TO : MR. ROSEN
FROM : MR. DINSMORE
SUBJECT: DANNY KAYE, was.
Daniel Daye, David Kaminsky;
FRANK ALBERT SINATRA
SELECTIVE SERVICE

DATE: November 14, 1947

449.11

The attached Westbrook Pegler column implies that Danny Kaye, the comedian, and Frank Sinatra, the singer, are both draft evaders. The Bureau has conducted investigation of both of these individuals and the Pegler insinuations do not appear to be borne out by the facts developed.

[REDACTED]

b6 - b7C

In February, 1944 Walter Winchell furnished the Bureau with an anonymous communication which alleged that Frank Sinatra had paid \$40,000 for a 4F classification. Inquiry by the Newark Division developed that Sinatra was properly rejected for military service because of a perforated eardrum. The examining physician stated that there was evidence that Sinatra had undergone an operation for a mastoid in his youth.

RECOMMENDATION:

It is recommended that no action be taken in this matter.

25-251771

AMD:fef

CC: Mr. Rosen
Mr. Carroll
Mr. Callan
Mr. Dinsmore "

COPIES DESTROYED 10-60 #32

RECORDED
25-244122-13

53 Nov 14 1947

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INITIALS ON ORIGINAL

RECD

76

FAIR ENOUGH

By WESTBLOCK PEGLER

I HAVE just received a letter from an old comrade of Danny Kaye, the great morale-builder of the movies, who served in the war with him for almost three-quarters of an hour in Grand Central Palace on Dec. 31, 1942.

Grand Central Palace was the big store of the draft, the plant in which "all the different groups" as Eleanor the Great, with lofty objectivity, refers to the lower orders of the human race, were stripped to nakedness and, in this dignified condition, answered freedom's call.

After some preliminary remarks, Mr. Kaye's old sidekick in the no-hundred and nothingth battalion of nothing, begins:

"This brings us to Danny Kaye and back to Dec. 31, 1942.

"I being a resident of East New York, adjoining Brownsville, (which is the old home grounds of the beloved, in a manner of speaking, 'Kid From Brooklyn') was registered with Draft Board No. 229 (Danny Kaye's board). I had just turned 18 and was being drafted.

"I will never forget that day. We were to report to the local board, Sutter and Warwick, or Ashford, street. As we waited around for all the fellows to show up, we were informed that Danny Kaye was registered in this board and would be at Grand Central with us.

"I suppose it was a little too early in the morning or maybe he didn't like to ride the subway, because he didn't go with us, but arrived at the place later on. As we sat in the auditorium and were being briefed on what was to come, it was only natural the fellows were trying to pick Danny Kaye out. Which they finally did. Although it wasn't a laughing Danny we saw. He gave no response to guys hollering out his name. He seemed very annoyed and just sat motionless.

"ABOUT three-quarters of the way through is when I next spotted him. I hardly recognized him. He had had his shoulders on—that is to say a well-padded suit, etc., but now we were all stripped to our shorts. There was about three other fellows in front of me waiting for the next doctor.

"And here was Danny Kaye, putting on probably his best performance yet.

"The doc would tell him to bend from the waist. As he did this he gave out with a cry and immediately straightened up as if to say it was impossible to do."

POSSIBLY that is what his Local Board, No. 229, had in mind when it rejected unanimously a request from the U.S.O. that Danny be permitted to go out as an entertainer and insisted that he would go in a soldier suit or not at all.

The board wrote: "Neither his physical nor his mental condition or attitude tends to show that the morale of the armed forces would be benefitted by his performance before them as a civilian."

"He then commenced to explain something to the doc," says Danny's old buddy of somewhat less than an hour in the war against the savage barbarian hordes for the preservation of human liberty. "After which the doc pressed his back with his fingers but only to hear Danny sigh again. By this time, another doctor came over and started examining us."

"Soon after, I was through with that section and started to move on when I got my last glance at 'The Kid From Brooklyn.' He was attempting a knee bend, but had lost his balance unrealistically. If this was his talent that was

supposed to have lifted our morale overseas, I was glad I never heard or saw of him while in the service."

WELL, that is the way it goes in the rough-and-ready banting of fighting men. This chap went on to do his bit in the dumb crude way of "all the different groups," but Danny went to Fort Jay for some careful checking and the word came back which caused his local board to comment on his "mental attitude."

And, after a while Danny's whole draft file vanished permanently from the jurisdiction of the boards and he devoted himself unreluctantly to esthetic works. He is most esthetic when he plays blubber-lip and emits a highly spiritual sound something like the drooling of a committed idiot in a lunatic asylum.

Like Frankie Boy Sinatra, another ferocious, though vicarious fighter against the bloody-handed Hun, Danny Kaye was denied his chance to visit the zones of war until they were mere tourist areas. Like Frankie Boy, also, he developed aggressive social and political ideas.

Frankie Boy gave \$7,500 to Roosevelt's fourth campaign fund and developed a case of 4-F cardrum, but he came right to the water's edge, in New York, in May, 1946, only a year after it ended, and fearlessly denounced double-talking diplomats at a show under the auspices of the Independent Citizens Committee of the Arts and Sciences.

If you want to know how many times this committee has been cited as a front by the Dies and Thomas committees in official reports to Congress, you will just have to look it up.

However, Frankie Boy's manager, George Evans, says that thereafter he put himself under the political guidance of two reporters who are experts on Communism, and agreed not to mess around with any outfit which they disapproved. It shows Frankie Boy's wonderful political grasp and wisdom.

ABOUT the same time, Frankie Boy snarled menacingly at Ashton Stevens, of the Chicago Herald-American, who is rising 80 years, because Mr. Stevens had called his bobby-soxers "morons."

Frankie spoke very affectionately and prettily about his beloved little blabbiy-babe, as Danny Kaye would call them. He regards them as innocent American girlhood, but, by a curious difference of opinion, Mr. Stevens thinks of them as several million sexually excited specimens of jail-bait.

Mr. Stevens adds that Frankie Boy is always on guard to protect his precious name because when the little morons seem to be wanting nothing but his autograph, actually, they are trying to tear his clothes off."

Sordid, isn't it? But that's life.

DANNY KAYE was one of those glamorous gods of the unreal world of romantic enchantment who flew to Washington to protest against the undemocratic procedure of the United States Congress in proving that certain Hollywood Communists were Communists.

Back in his beginning days he made comical faces and went "blabbiy-blubbety-blub" in a show produced under the political auspices of the New Masses.

It is too near closing time for me to try to explain to you the politics of the New Masses. But if you go out and bet the rent that it isn't anti-Joe Stalin, you are shot with Jack G'bye now!

(Copyright 1947 by Star Features Syndicate, Inc.)

Mr. Tolson
Mr. E. A. T.
Mr. Clegg
Mr. Glavin
Mr. Ladd
Mr. Nichols
Mr. Rosen
Mr. Tracy
Mr. Egan
Mr. Gurnea
Mr. Harbo
Mr. Mohr
Mr. Pennington
Mr. Quinn T.
Mr. Nease
Miss Gandy

Dir. Cbr
Re Attributed
invent
Gm-k

EX-
ENCLOSURE

RECORDED

NOV 18 1947

TIMES-HERE

11/14/47

25-244122

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

____ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

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Section 552 (b)(1) (b)(2) (b)(3)

 (b)(7)(A) (b)(7)(B) (b)(7)(C) (b)(7)(D) (b)(7)(E) (b)(7)(F) (b)(8) (b)(9)**Section 552a** (d)(5) (j)(2) (k)(1) (k)(2) (k)(3) (k)(4) (k)(5) (k)(6) (k)(7)

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X for this page X

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FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

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Section 552

- (b)(1)
 (b)(2)
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 (b)(4)
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- (b)(7)(A)
 (b)(7)(B)
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 (b)(8)
 (b)(9)

- (d)(5)
 (j)(2)
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 (k)(6)
 (k)(7)

- Information pertained only to a third party with no reference to the subject of your request or the subject of your request is listed in the title only.
 Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

____ Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

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79

Freedom of Information

and

Privacy Acts Release of

FRANK SINATRA

File # 63-8341



Federal Bureau of Investigation

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: April 11, 1963

FROM : A. Rosen

PLEASE FORWARD IN
CLOSED ENVELOPE

SUBJECT:

JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC.
 doing business as, CAL-NEVA LODGE
LAKE TAHOE, NEVADA
 FRANK SINATRA; HENRY W. SANICOLA
 SANFORD WATERMAN
 MISCELLANEOUS - INFORMATION CONCERNING

5-
 8-
 2-
 10-
 9-
 11-

In view of the extremely confidential nature of the source furnishing information in connection with a Teamsters Union Pension Fund loan being sought by the subjects of this case, it is recommended a new file be opened concerning this investigation and that this file be maintained in the Confidential File Room.

b7D
 b2
 By way of background, information has been received from [REDACTED] to the effect that the owners of Cal-Neva, Lake Tahoe, have conducted negotiations to obtain a loan of approximately \$8,000,000 from the Teamsters Union Pension Fund. It was further reported that [REDACTED]

RECOMMENDATIONS:

(1) If approved, it is recommended that this memorandum be forwarded to the Files and Communications Division in order that a Miscellaneous - Information Concerning file be opened in this matter and maintained in the Confidential File Room.

(2) It is further recommended that access to this file be had only with the specific approval of one of the following: Assistant Director Alex Rosen, General Investigative Division; Section Chief Albert J. McGrath; and Supervisors Ernest F. Kieffer and Wayne A. Frankenfield of the Accounting and Fraud Section.

1 - Mr. Tavel
 WAF:eem
 (7)

67 APR 17 1963

REC-120

17 APR 12 1963

APR 12 1963

81

Tolson _____
 Belmont _____
 Mohr _____
 Casper _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Gale _____
 Rosen _____
 Sullivan _____
 Tavel _____
 Trotter _____
 Tele. Room _____
 Holmes _____
 Gandy _____

PLEASE FORWARD IN CLOSED ENVELOPE

1- Mr. Rosen

4/10/68

Airtel

To: SACs, Las Vegas (PERSONAL ATTENTION)
Chicago (PERSONAL ATTENTION)
Los Angeles (PERSONAL ATTENTION)
New York (PERSONAL ATTENTION ASAC A. H. Bryant)

From: Director, FBI

JAMES RIDDLE RUDIN
PARK LAKE ENTERPRISES, INC.
DMX, CAL - NEVA LODGE
LAKE TAHOE, NEVADA
FRANK SINATRA; MURRAY W.
SANICOLA; SANFORD WATERMAN
MISCELLANEOUS - INFORMATION
CONCERNING
(ACCOUNTING AND FRAUD SECTION)
OO: LAS VEGAS

b7C

b2

It has been alleged that the owners of Cal - Neva,
Lake Tahoe, have conducted negotiations to obtain a loan of
approximately \$8,000,000 from the Teamsters Union Pension Fund.
It has been reported [REDACTED]

b7D

It is noted the Las Vegas Office is origin in a
current investigation captioned "Nevada Gambling Industry,
Cal - Neva Lodge, Crystal Bay, Nevada, AB," Bufile 92-6269,
Las Vegas file 92-696, Chicago file 92-349, Los Angeles file
92-982. A review of this file indicates the present owners
are those identified in the caption of this communication.
During this investigation Frank Sinatra and his attorney,
Milton A. Rudin, were contacted on 1/16/68 by the Los Angeles
Office. Results of these interviews are set forth in report
of SA [REDACTED] dated 1/18/68. During these interviews
it was indicated that the Teamsters Union Pension Fund in Chicago
had been approached by Rudin concerning the possibility of

b7C

Tolson _____
Belmont _____
Mohr _____
Casper _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Gale _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

WAF: raw
(13) *4-230*

SEE NOTE PAGE THREE

63-8341-

R-125 1968

2 wlf

MAIL ROOM TELETYPE UNIT
FRANKLIN 2-6-6 2250

82

UNRECORDED - UNFILED FILED IN

Airtel to SACs, Las Vegas
Chicago
Los Angeles
New York
RE: JAMES RIDDLE HOFFA

securing a loan in connection with a \$4,000,000 expansion program. Rudin further indicated that during the March, 1963, meeting of the Pension Fund in Chicago, Cal - Neva planned to apply for a loan in order to achieve the expansion program. Sinatra and Rudin insisted there were no under-the-table payments of any kind involved in this loan.

Las Vegas and Los Angeles Offices are instructed to immediately review the current investigation of Cal - Neva and any other pertinent office files for any details concerning this proposed Pension Fund Loan. The results of this review, including the interviews with Sinatra and Rudin, are to be set forth in letterhead memorandum form suitable for dissemination. No open inquiries are to be made outside the Bureau at this time in connection with this matter.

The Chicago Office, during the course of making inquiries in connection with the Teamsters Union Pension Fund Loan investigation, should be alert to any information relating to this potential loan. No open inquiries are to be made in this regard and under no circumstances in this matter to be discussed with any one outside the Bureau, including Department attorneys in Chicago. The results of any information coming to the attention of the Chicago Office are to be set out in letterhead memorandum form suitable for dissemination.

New York should recontact the source and attempt to determine whether any additional developments have occurred.

Until further information is obtained in this matter it must be afforded maximum security at all times and no inquiries made other than set forth herein. Results of these inquiries are to be set forth in letterhead memorandum form. New York is to be furnished copies of all communications marked for the PERSONAL ATTENTION ASAC A. M. Bryant.

NOTE:

b7D
b2

Information concerning the proposed loan noted herein was furnished by [REDACTED]. Bureau files indicate Las Vegas is origin in a pending investigation captioned "Nevada Gambling Industry, Cal - Neva Lodge, Crystal Bay, Nevada, AR," Bureau file 92-6259. A review of this file indicated that the present owners of Cal - Neva are as set forth in the title of this communication. During the interview with Frank Sinatra and his attorney, Milton A. Rudin, mention was made of the proposed Pension Fund Loan. It is believed additional highly discreet inquiries are warranted in an effort to ascertain if such a loan does materialize and whether or not any "kick-backs" are involved. Should any "kick-backs" be made this could possibly be a violation of the Welfare and Pension Plans Disclosure Act.

84

F B I

Date: 4/17/63

Transmit the following in _____

(Type in plain text or code)

Via

AIRTEL

AIR MAIL

(Priority or Method of Mailing)

TO: DIRECTOR, FBI
 SAC, LAS VEGAS (PERSONAL ATTENTION ASAC)
 SAC, CHICAGO (PERSONAL ATTENTION SAC)
 SAC, NEW YORK (PERSONAL ATTENTION ASAC A. M. BRYANT)
 SAC, LOS ANGELES (62-5519) (P)

FROM: JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC.
 DBA CAL - NEVA LODGE
 LAKE TAHOE, NEVADA
 FRANT SINATRA; HENRY W. SANICOLA;
 SANFORD WATERMAN
 MISCELLANEOUS - INFORMATION CONCERNING
 (ACCOUNTING AND FRAUD SECTION)

Re Bureau airtel to Las Vegas dated 4/10/63.

Enclosed for the Bureau are four copies, for Las Vegas two copies and for Chicago and New York one copy of a letterhead memorandum. Letterhead memorandum sets out interview of FRANK SINATRA and MILTON A. RUDIN.

The files of the Los Angeles Office contain no other information concerning any proposed pension fund loan for the Cal - Neva Lodge.

- (3) - Bureau (Encls. 3)
 1 - Las Vegas (Encls. 2)
 1 - Chicago (Encl. 1)
 1 - New York (Encl. 1)
 1 - Los Angeles

REC-120

63-8341

19

8 APR 18 1963

AAH:mmw 26th J. +4C Criminal Division, by 6-69
(7) *W.M.C.*

Attention: Mr. Walter J. Sheridan

Room 2309

~~4-19-63~~ 4-19-63 WAF/ke
 1-cc and 1-LTR retained
 by Special Fed. Unit. Destroyed 7-19-64
 WAF/ke

Approved: *[Signature]* Sent _____ M Per _____
 Special Agent in Charge

85



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Los Angeles, California

April 17, 1963

JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INC.
DBA CAL - NEVA LODGE
LAKE TAHOE, NEVADA
FRANK SINATRA; HENRY W. SANICOLA;
SANFORD WATERMAN
MISCELLANEOUS - INFORMATION CONCERNING
(ACCOUNTING AND FRAUD SECTION)

On January 16, 1963, FRANK SINATRA and MILTON A. RUDIN, Attorney at Law and Financial Advisor to SINATRA, were interviewed at the offices of Essex Productions, 9229 Sunset Boulevard, Suite 512, Los Angeles, California, concerning the Cal - Neva Lodge, Crystal Bay, Nevada.

During the course of this interview Mr. RUDIN, with the consent of Mr. SINATRA, advised that their current plans for the Cal - Neva Lodge included quite a substantial expansion of the hotel which would necessitate the bringing in of some \$4,000,000.00 of added capital. RUDIN stated that he found out by checking into the loan situation that banks and insurance companies, in order to make loans, would ask for stock options, which they are not ready to agree to.

Mr. RUDIN advised that he has become familiar with some of the pension fund loans from reading the series of articles run by the "Los Angeles Times" on investigations of loans by the Teamsters to various Nevada casinos and that these are straight forward loans. He advised that he had gone to Chicago and had talked to various Teamster officials in the Chicago area concerning the possibility of securing a loan for Cal - Neva. He advised that the Cal - Neva, at the next meeting of the trustees for the pension fund in March, will, according to their present plans, apply for a loan in order to achieve their expansion program.

Both RUDIN and SINATRA advised they wished to go on record that there were no under-the-table payments of any kind involved, that this was a simple straight forward

86

business transaction with sufficient collateral involved. Mr. RUDIN advised that the person he had contacted regarding the possibility of such a loan was HAROLD GIBBONS. SINATRA mentioned that DORIS DAY had secured a similar type loan from the Teamsters for a motel that she owns, and he had asked her if she had had to cut anybody in, and she advised him that it was not necessary, that it was a straight loan.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

F B I

Date: 4/18/63

Transmit the following in

PLAIN TEXT

(Type in plain text or code)

Via

A I R T E LA I R M A I L

(Priority or Method of Mailing)

TO : DIRECTOR, FBI
 SAC, LOS ANGELES (PERSONAL ATTENTION)

FROM : SAC, LAS VEGAS (62-250) (P)

SUBJECT: JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC.
 DBA, CAL - NEVA LODGE
 LAKE TAHOE, NEVADA
 FRANK SINATRA; HENRY W.
 SANICOLA; SANFORD WATERMAN
 MISCELLANEOUS - INFORMATION
 CONCERNING
 (ACCOUNTING AND FRAUD SECTION)
 OO: LAS VEGAS

RE Bureau airtel to Las Vegas dated 4/10/63.

Las Vegas files reviewed and contain no additional details of proposed loan from Teamsters Union Pension Fund in connection with expansion of Cal - Neva Lodge, Lake Tahoe, Nevada, other than interviews of FRANK SINATRA and his attorney, MILTON A. RUDIN.

LEADS:THE LOS ANGELES DIVISION:AT LOS ANGELES, CALIFORNIA:

Will submit letterhead memorandum regarding above interviews, as same conducted by your division,

- 3 - Bureau REC. 120 63-8341-4
 2 - Los Angeles 63-8341-4
 1 - New York (PERSONAL ATTENTION ASAC A.M. BRYANT) (Info)
 1 - Las Vegas

TGD:kws
 (7)

23

S. C. Wick
 Approved: *[Signature]* *RJ*
 Special Agent in Charge

Sent _____ M

*[Handwritten mark]**88*

LV 62-250

THE LAS VEGAS DIVISION:

AT LAS VEGAS, NEVADA:

Will immediately advise Bureau when any additional information is developed concerning proposed loan.

4/24/63

Airtel

PLEASE FORWARD IN
CLOSED ENVELOPE.

To: SAC, Los Angeles (62-5519) PERSONAL ATTENTION
From: Director, FBI (63-8341)

JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INC., DBA,
CAL-NEVA LODGE,
LAKE TAHOE, NEVADA, ET AL.
MISCELLANEOUS - INFORMATION CONCERNING
(ACCOUNTING AND FRAUD SECTION)
OO: LV

For the information and future guidance of all offices and for the purpose of insuring this matter is given MAXIMUM SECURITY, the Bureau desires that all communications to other offices be marked for the "PERSONAL ATTENTION" of the SAC and forwarded in sealed envelopes bearing notation "PERSONAL ATTENTION" in the lower left hand corner of the envelope. In addition copies of all communications are to be furnished the SAC, New York designated for the PERSONAL ATTENTION: ASAC A. M. BRYANT.

No investigation is to be conducted in this matter other than specifically authorized by the Bureau. In setting out leads for other offices, upon Bureau authority, these administrative instructions are to be furnished auxiliary offices. *Re*

1 - Chicago (PERSONAL ATTENTION)
1 - Las Vegas (62-250) (PERSONAL ATTENTION)
1 - New York (PERSONAL ATTENTION: ASAC A. M. BRYANT)

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Callahan _____
Conrad _____
DeLoach _____
Evans _____
Gale _____
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Sullivan _____
Tavel _____
Trott _____
Tele. Room _____
Holmes _____
Gandy _____

WAF:tab
(7)

VALLEY

63-8341-

way
5

67 APR 25 1963

FIVE
MAIL ROOM TELETYPE UNIT
FRANKFORT FIELD- 1250

10 27 25 63

90

AIRTEL TO SACs, CG, NY
RE: JAMES RIDDLE HOFFA, PARK LAKE ENTERPRISES
63-8341

b7C

NOTE: Department Attorney Charles Z. Smith of the Criminal Division, on 5/10/63, made available to SA [REDACTED] a list of various loan applications discussed by the trustees of the Pension Fund at their meetings in September, 1962, and January and March, 1963. At the request of Mr. Smith, these minutes are now being reviewed by the Chicago Office in connection with several other Pension Fund loans being considered by the Criminal Division for prosecution in Chicago. Chicago can obtain the information requested on a discreet basis since Department attorneys are not in Chicago on a full-time basis.

b7D
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[REDACTED] previously advised our New York Office that Cal-Neva Lodge had been conducting negotiations to obtain a loan of approximately \$8,000,000 from the Pension Fund [REDACTED]

[REDACTED] Frank Sinatra is one of the principal owners of Cal-Neva.

5/16/63

PLEASE FORWARD IN
CLOSED ENVELOPE

AIRTEL

TO: SACs, CHICAGO (PERSONAL ATTENTION)
NEW YORK PERSONAL ATTENTION: ASAC A.M. BRYANT
FROM: DIRECTOR, FBI (63-8341)

JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INC., dba
CAL-NEVA LODGE, LAKE TAHOE, NEVADA; ET AL.
MISCELLANEOUS - INFORMATION CONCERNING
(ACCOUNTING AND FRAUD SECTION)
(OO:LV)

The Bureau is in receipt of advice from the Criminal Division to the effect that captioned matter was discussed before the trustees of the Teamsters Union Pension Fund in September, 1962 and possibly again in March, 1963. It has further been indicated that the minutes of the trustees' meetings for September 1962, January and March, 1963, have been produced before the Chicago grand jury and are available to the Chicago Office. In view of this the Bureau desires that the Chicago Office make a discreet review of these minutes for all pertinent information concerning captioned loan.

At the conclusion of this review the results thereof are to be incorporated in a LHM suitable for dissemination and furnished the Bureau, Las Vegas, Los Angeles and New York. Communications to these offices are to be marked to the "PERSONAL ATTENTION" of the SAC and forwarded in sealed envelopes bearing the same notation as instructed in Buairtel dated 4/24/63. No discussion is to be had with Department attorneys or anyone else outside the Bureau in connection with this proposed loan.

The New York Office is instructed to determine whether any further pertinent developments have occurred in connection with this loan.

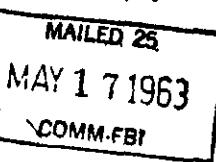
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Callahan _____
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Sullivan _____
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Trotter _____
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Holmes _____
Gandy _____

REC-73 63-8341-6

1 - Las Vegas (62-250) (Info) PERSONAL ATTENTION
1 - Los Angeles (62-5519) (Info) PERSONAL ATTENTION

WAF:eem

(9)



SEE NOTE PAGE TWO

MAIL ROOM TELETYPE UNIT

FBI NEW YORK FIELD - 2250

92

F B I

Date: 5/31/63

Transmit the following in _____

(Type in plain text or code)

Via AIRTEL

(Priority or Method of Mailing)

Mr. Rosen	<input checked="" type="checkbox"/>
Mr. Sullivan	<input type="checkbox"/>
Mr. Tavel	<input type="checkbox"/>
Mr. Trotter	<input type="checkbox"/>
Tele. Room	<input type="checkbox"/>
Miss Holmes	<input type="checkbox"/>
Miss Gandy	<input type="checkbox"/>
	<input type="checkbox"/>
	<input type="checkbox"/>
	<input type="checkbox"/>

TO : DIRECTOR, FBI (63-8341)

FROM : SAC, CHICAGO (62-6067)

SUBJECT: JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC., dba
 CAL-NEVA LODGE, LAKE TAHOE, NEVADA; ET AL
 MISCELLANEOUS - INFORMATION CONCERNING
 (ACCOUNTING AND FRAUD SECTION)
 OO: LAS VEGAS

Re Bureau airtels 4/10 and 5/16/63.

- Enclosed for the Bureau and interested offices are copies of a letterhead memorandum setting forth information obtained from a review of the Teamsters Union Pension Fund minutes for a meeting held on September 21, 1962. These minutes were produced before the Federal Grand Jury at Chicago, Illinois, pursuant to the issuance of a subpoena duces tecum.

ENCLOSURE

- (3) - Bureau (Encls. 4)
 - 2 - Las Vegas (62-250) (Encls. 2) PERSONAL ATTENTION
 - 1 - Los Angeles (62-5519) (Info.) (Encl. 1) PERSONAL ATTENTION
 - 1 - New York (Encl. 1) (Info.) PERSONAL ATTENTION ASAC A. M. BRYANT
 - 1 - Chicago 1-1441- Criminal Division REC-64 63-8341-7
Attention: Mr. Walter J. Sheridan
 - HH:bas C. C. Wick Room 2509 by 0-6-63
 - (8)
- 1-cc 24M + anti utmng of
Special John D. Johnson
6/3/63

Approved:

SAC

Special Agent in Charge

67 JUN 6 1963

MO 8-19-63 Destroyed 100%
Sent NAF/ka M

17 JUN 4 1963 Per

93



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Chicago, Illinois
May 31, 1963

JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INCORPORATED,
Doing Business As CAL-NEVA LODGE,
LAKE TAHOE, NEVADA; ET AL
MISCELLANEOUS - INFORMATION CONCERNING

A review of the minutes of a meeting held on September 21, 1962, by the Trustees of the Central States, Southeast and Southwest Areas, Pension Fund of the International Brotherhood of Teamsters revealed the following pertinent information:

Mr. Milton A. Ruden appeared before the Trustees on behalf of Park Lake Enterprises, Incorporated, Cal-Nova Lodge, Nevada.

The borrower was seeking an immediate loan of \$3,000,000 with an additional commitment for \$2,000,000. This loan was to be used for the purpose of developing the resort.

Trustee James R. Hoffa summarized the application as follows:

The request for the loan was on Park Lake Enterprises, Cal-Nova Lodge, Nevada, for a \$3,000,000 present loan with an additional commitment of \$2,000,000. Hoffa asked Ruden to explain the application.

Mr. Ruden advised the Trustees that Mr. Frank Sinatra was the principal stockholder of the corporation. Cal-Nova had been operated for 30 years at Lake Tahoe. Sinatra had acquired majority control over the past two years and started an expansion program without permanent financing.

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**JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INCORPORATED,
Doing Business As CAL-NEVA LODGE,
LAKE TAHOE, NEVADA; ET AL**

Ruden detailed the application and said they were asking for \$5,000,000 with the understanding that the additional \$2,000,000 which would be only 60% of the applicant's actual cash was additional investment in additional construction.

In response to a question asked by Trustee Albert Matheson, Ruden stated that Sinatra and others will have \$540,000 plus some monies they have loaned in, namely, a quarter million dollars from various companies.

Ruden continued that it was their intention to put other Sinatra ventures into this which would supply income and additional capitalization rather than put in tax paid dollars. Ruden also stated that if the Fund put \$5,000,000 into this project the property would then be worth from \$8,000,000 to \$10,000,000.

A motion was made by Trustee Frank Fitzsimmons that the loan be rejected on the basis presented and "that the man come in" and give a definite presentation for a future request on the actualities of what is going to be entailed in his request for money.

The motion which was seconded by Trustee John Spickerman was carried with dissent.

After the vote, Hoffa who was acting as chairman, advised Ruden as follows:

"The committee has rejected the presentation presented today and recommends that you present at the next meeting here, more concise program - namely, exactly how much money you are going to spend, how much money you are

**JAMES RIDDLE HOFFA
PARK LAKE ENTERPRISES, INCORPORATED,
Doing Business As CAL-NEVA LODGE,
LAKE TAHOE, NEVADA; ET AL**

going to have in this from Sinatra and the other stockholders' interest in it, and what your projection is going to be for the next five years, so we will know exactly what this Fund is investing in. Plus, we want to have an outline as to what other enterprises will Sinatra put into this operation to show that there will be ability to pay back the money he borrows from us".

This document contains neither recommendations nor conclusions of the Federal Bureau of Investigation. It is the property of the Federal Bureau of Investigation and is loaned to your agency; it and its contents are not to be distributed outside your agency.

F B I

Date: 6/24/63

Transmit the following in _____

PLAIN

(Type in plain text or code)

AIRTEL

Via _____

(Priority or Method of Mailing)

TO: DIRECTOR, FBI (63-8341)

FROM: SAC, NEW YORK (62-12988)(RUC)

SUBJECT: JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC. dba
 CAL-NEVA LODGE, LAKE TAHOE, NEVADA; ET AL.
 MISCELLANEOUS-INFORMATION CONCERNING
 (ACCOUNTING AND FRAUD SECTION)
 (OO: LV)

[REDACTED] who has been contacted on a continuing basis, advised that he has no further information concerning proposed loan to captioned corporation and does not anticipate receiving any further details.

b2
b7D01-07-
Revd Sub 6-24-631-ecc airtel retained by
Special Agent [REDACTED] Durk
6/20/63 wmg

- (3) - Bureau (63-8341)
 1 - Los Angeles (62-5519) (Info) (PERSONAL ATTENTION)
 1 - Chicago (62-6067) (Info) (PERSONAL ATTENTION)
 2 - Las Vegas (62-250) (PERSONAL ATTENTION)
 1 [REDACTED]
 1 - New York (62-12988)
 WAV: pcs
 (10)

REC-89

63-8341-8
10 JUN 25 1963

Approved: [Signature]

Special Agent in Charge

67 JUL 1 1963

Sent _____ M Per _____

97

F B I

Date: 6/25/63

Transmit the following in

PLAIN
(Type in plain text or code)

Via

AIRTEL

(Priority or Method of Mailing)

TO : DIRECTOR, FBI (63-8341)
 SAC, LAS VEGAS (62-250) (PERSONAL ATTENTION)
 SAC, LOS ANGELES (62-5519) (PERSONAL ATTENTION)

FROM : SAC, NEW YORK (62-12988)

SUBJECT: JAMES RIDDLE HOFFA;
 PARK LAKE ENTERPRISES, INC.
 dba Cal-Neva Lodge,
 Lake Tahoe, Nevada; ET AL
 MISCELLANEOUS - INFORMATION CONCERNING
 (ACCOUNTING AND FRAUD SECTION)
 (OO:LV)

5/31/63. 1 Re Bureau airtel 5/16/63 and Chicago airtel

b2
b7D

[REDACTED] advised he has received no further information concerning the above matter and the informant indicated that he does not anticipate that he will receive any further information in this matter.

In the event additional information is received by the informant in this matter, this information will be immediately furnished to interested offices.

REC-38

- 3 - Bureau
 2 - Las Vegas (PERSONAL ATTENTION)
 1 - Los Angeles (Info) (PERSONAL ATTENTION) 63-8341-9
 1 - New York (62-12988)

SWL:nmm
(8)1-cc airtel returned by
Special Agent Depp

12 JUN 26 1963

6/27/63
wmg

G. B. Nick

U.S.A.

Approved: J. E. Hill Sent _____ M Per _____
 Special Agent in Charge
 67 JUL 1 1963

98

PLEASE FORWARD IN CLOSED
ENVELOPE

SAC, Las Vegas (62-250)

7/2/63

Director, FBI (63-8341)

PERSONAL ATTENTION

JAMES RIDDLE HOFFA;
PARK LAKE ENTERPRISES, INC., dba
CAL - NEVA LODGE, LAKE TAHOE,
NEVADA, ET AL.

MISCELLANEOUS - INFORMATION CONCERNING
(ACCOUNTING AND FRAUD SECTION)

OO: LAS VEGAS

This case should be placed in a closed status in view of the fact that the trustees of the Teamsters Union Pension Fund during the 9/21/62 meeting rejected the loan application in this matter.

All offices in the future should be alert to any indication this loan is being reactivated. Should this occur, the Bureau and other interested offices are to be furnished a letterhead memorandum suitable for dissemination. No open inquiries are to be made in connection with this loan in absence of specific advice from the Bureau.

1 - Chicago (62-6067) - PERSONAL ATTENTION
1 - Los Angeles (62-5519) - PERSONAL ATTENTION
1 - New York (62-12988) - PERSONAL ATTENTION ASAC A. M. BRYANT

WAF/rrf.
(7)

44

REC-38

10

JUL - 1963
COMM-FBI

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MAIL ROOM TELETYPE UNIT

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99

Sinatra Ends His Gambling Ties in Nevada

LAS VEGAS, Nev., Oct. 8 (AP). — Frank Sinatra, who surrendered \$3.4 million in Nevada gaming interests, says he's going to concentrate on the entertainment field from now on.

But he wished the gambling industry good luck in parting. Casinos, he said, make jobs for entertainers and he's all for them.

Mr. Sinatra announced yesterday he would not fight an attempt by the Nevada Gaming Commission to revoke his license. He said he had been planning to pull out for several months.

The commission had been seeking to revoke Mr. Sinatra's license on grounds that he entertained underworld figure Sam Giancana at Mr. Sinatra's Cal-Neva lodge in Lake Tahoe.

Mr. Sinatra's attorney, Harry Claiborne, asked the gaming commission in a letter yesterday to terminate the singer's license, adding that he was withdrawing from the gaming industry.

Considered Admission

The singer's withdrawal was expected to end further action by the commission, although technically it still could proceed.

"No useful purpose would be served by my devoting my time and energies convincing the Nevada gaming officials that I should be part of their gambling industry," Mr. Sinatra said in a statement issued here yesterday. The singer was in New York.

A commission spokesman said Mr. Sinatra's failure to respond to the commissions' charges "shall constitute an admission of all matters and facts contained in the complaint."

"In such cases," the spokesman said, "the commission may take action based upon such admission or upon any other evidences . . . and without any further notice whatever to respondent (Sinatra)."

Rights Waived

By failing to file a notice of defense, Mr. Sinatra waives all rights to both a hearing before the commission and any judiciary review of the commission's decision.

The gaming commission had asked the State Gaming Control Board, its parent organization, to revoke Mr. Sinatra's gaming license at a hearing to force him to sell his 50 per cent interest in the Sands Hotel in Las Vegas.

Mr. Sinatra's interest in Cal-Neva was estimated at \$3.4 million. His Sands Hotel holdings of about \$380,000.

The five-count charge was filed in Carson City September 11. Mr. Sinatra later was given until yesterday to reply to the charges or risk losing his license without benefit of a hearing.

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Sullivan _____
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Holmes _____
Gandy _____

63-8341-A

NOT A... 263
14 OCT 16 1963

The Washington Post and Times Herald _____
The Washington Daily News _____
The Evening Star A3
New York Herald Tribune _____
New York Journal-American _____
New York Mirror _____
New York Daily News _____
New York Post _____
The New York Times _____
The Worker _____
The New Leader _____
The Wall Street Journal _____
The National Observer _____
Date 10-8-63

OCT 16 1963

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100

63-8341

JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC.
 dba CAL-NEVA LODGE
 LAKE TAHOE, NEVADA
 FRANK SINATRA, ET AL.
 MISCELLANEOUS - INFORMATION CONCERNING

BUFILE 63-8341

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 Sullivan _____
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 Holmes _____
 Gandy _____

Frank Sinatra

Frank Sinatra, 50, has been given a 90-day revocation of his gambling license in Nevada, and he will sell his \$3.5 million Las Vegas casino holdings after being accused of being involved in Chicago hoodlum Sam Giancana.

16 Oct 1963
 NOT RECORDED
 141 OCT 10 1963

The Washington Post and Times Herald
 The Washington Daily News 3
 The Evening Star _____
 New York Herald Tribune _____
 New York Journal-American _____
 New York Mirror _____
 New York Daily News _____
 New York Post _____
 The New York Times _____
 The Worker _____
 The New Leader _____
 The Wall Street Journal _____
 The National Observer _____
 Date **OCT 8 1963**

63-8341

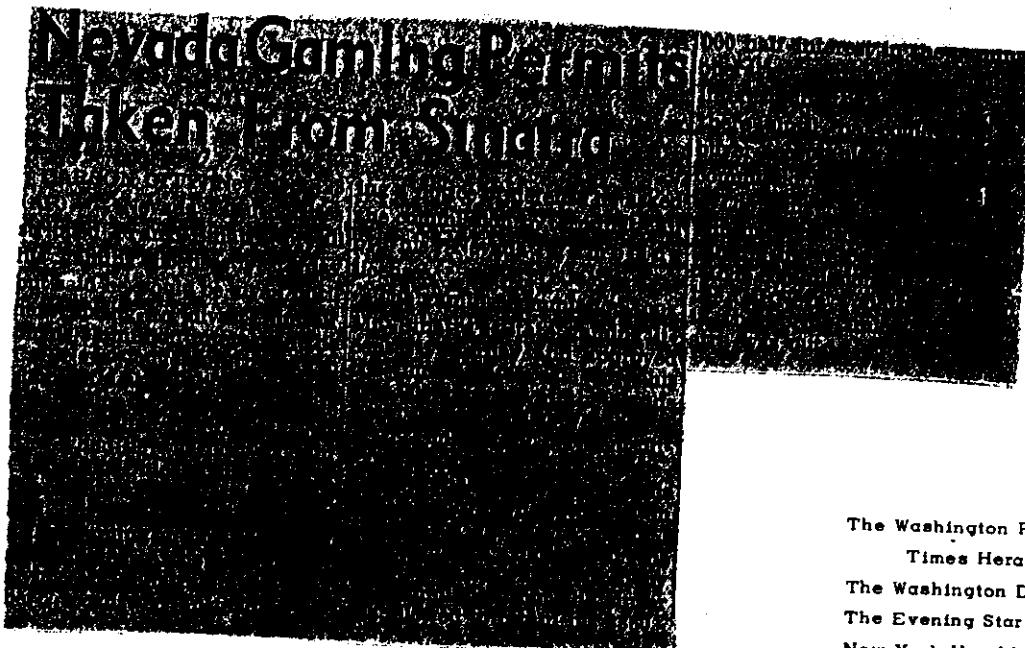
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101

J. R. Hoffa

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JAMES RIDDLE HOFFA
 PARK LAKE ENTERPRISES, INC.
 LMRA - IM



102-63-8341
 NOT RECORDED
 101 OCT 28 1963

The Washington Post and _____
 Times Herald _____
 The Washington Daily News _____
 The Evening Star 010
 New York Herald Tribune _____
 New York Journal-American _____
 New York Mirror _____
 New York Daily News _____
 New York Post _____
 The New York Times _____
 The Worker _____
 The New Leader _____
 The Wall Street Journal _____
 The National Observer _____
 People's World _____
 Date *10-23-63*

102

63-8341
102-63-8341

UNITED STATES GOVERNMENT

Memorandum

TO: Mr. Rosen

FROM: A. J. McGrath

SUBJECT: [REDACTED]

OOJ; BRIBERY

DATE: August 10, 1965

1 - Mr. Tavel
1 - Mr. Rosen
1 - Mr. Malley
1 - Mr. McGrath
1 - Mr. Frankenfield

Tolson _____
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Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

This is submitted to recommend that captioned file as well as other files identified herein no longer be maintained in the special file room:

- b7c
1. [REDACTED] Bribery.
Bufile 58-5517.
 2. James Riddle Hoffa, Sheraton - Tenny Motel; et al. Welfare and Pension Plans Disclosure Act.
Bufile 156-134.
 3. James Riddle Hoffa, Forum Motor Lodge, Incorporated, Welfare and Pension Plans Disclosure Act.
Bufile 156-126.
 4. James Riddle Hoffa, Beacon Motel; et al. Miscellaneous-Information Concerning. Bufile 63-8743.
 5. James Riddle Hoffa; Howard Park Hospital, Miscellaneous-Information Concerning. Bufile 63-8506.
 6. James Riddle Hoffa, Fair Lawn Memorial Hospital, Welfare and Pension Plans Disclosure Act.
Bufile 63-8505.
 7. James Riddle Hoffa, Park Lake Enterprises, Incorporated; Et al., Miscellaneous - Information Concerning.
Bufile 63-8341.
 8. James Riddle Hoffa; Angelo DeSpirito, et al. Miscellaneous - Information Concerning. Bufile 63-8328.

72-1481

WAF:bda(14)

CONTINUED - OVER

1 - 58-5517 [REDACTED]
1 - 156-134 (Sheraton - Tenny Motel)
1 - 156-126 (Forum Motor Lodge, Incorporated)
1 - 63-8743 (Beacon Motel)
1 - 63-8506 (Howard Park Hospital)
1 - 63-8505 (Fair Lawn Memorial Hospital)
1 - 63-8341 (Park Lake Enterprises, Incorporated)
1 - 63-8328 (Angelo DeSpirito)

63-1341-1
NOT RECORDED
170 AUG 13 1965

103

TO
3M

Adv
or

AM
USA

b7C

Memorandum to Mr. Rosen
RE: [REDACTED]

By way of background, the foregoing cases were opened as result of information supplied [REDACTED]

b2

b7D

For these reasons all of the above-mentioned cases have been maintained in the special file room.

By communication dated 2/26/65 our [REDACTED]

ACTION:

In view of the foregoing, it is recommended the files identified herein no longer be maintained in the special file room and that this memorandum be directed to the Files and Communications Division for appropriate handling.

63-8341- 11 CHANGED TO 92-6259-15X
12 15X1
13 33X
14 38X

SEP 19 1979

CMK/MF

J

105

Freedom of Information

and

Privacy Acts Release of

FRANK SINATRA

File # 120-11757



Federal Bureau of Investigation

106

memorandum

DATE: January 8, 1979
 REPLY TO: BAB:HLL:CHatfield:mg
 ATTN OF:
 SUBJECT: Frank Sinatra, et al. v. Jet Avia Ltd., et al.
 and Related Actions; Civil Nos. CV-77-4424,
 CV-78-0118, CV-78-1457, CV-78-1067, CV-78-1068,
 and CV-78-1028; USDC CD of CA
 TO:

157-12C-1509

Federal Bureau of Investigation
 Director
 J. Edgar Hoover Bldg.
 Washington, D.C. 20535

Attn: J. Harper Wilson
Special Agent - Room 3046

The Government is being sued in various actions arising out of the crash of Lear Jet No. N12MK on January 6, 1977. We will need assistance in the investigation of the crash as well as other aspects of the parties suing the Government.

We would appreciate your opening a file on this case. The attorney assigned is Cecile Hatfield of the Torts Branch, Civil Division, who may be reached on FTS No. 724-7333.

Barbara Allen Babcock
 BARBARA ALLEN BABCOCK
 Assistant Attorney General
 Civil Division

REC-103

cc: Andrea Sheridan Ordin *REC-103*
 United States Attorney
 Los Angeles, California

Federal Aviation Administration
 Department of Transportation
 Washington, D.C.

DE-79

120-11757-1

16 JAN 22 1979

ENCLOSURE



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National Transportation Safety Board

Washington, D.C. 20594

Safety Information

FOR IMMEDIATE RELEASE

Thursday
October 6, 1977

SB 77-44/2184
(202) 426-8787

The National Transportation Safety Board said today that the crash of a chartered jet which killed four persons, including the mother of entertainer Frank Sinatra, was caused by the crew's failure to properly interpret their flight clearance.

The plane, a Gates Learjet 24B, operated by Jet Avia Ltd., took off at 1655:00^{1/} on January 6, 1977 from runway 30 at Palm Springs Municipal Airport, California on an Instrument Flight Rules clearance to Las Vegas, Nevada. About five minutes later the Learjet crashed in the mountains at approximately 9,700 feet altitude some 23 miles northwest of Palm Springs. The local weather observation reported a ceiling estimated at 3,000 feet, broken, 5,000 feet overcast, visibility 8 miles, light rain, wind calm.

The jet's air traffic control clearance required it to make a right turn after takeoff and position itself on a straight line course between Palm Springs and Twenty-nine Palms. The jet was to maintain an altitude of 9,000 feet and was told to report "crossing" the Palm Springs VORTAC^{2/} 051 degree radial 10 mile fix.

The Board's investigation showed the plane took off, climbed to 9,000 feet, but never changed its runway heading and flew directly into the mountains, killing the two-man crew, and two passengers, 82-year-old Mrs. Natalie Sinatra, and her traveling companion.

"The National Transportation Safety Board determines the probable cause of the accident was the flightcrew's misinterpretation of an instrument flight rules clearance issued by the Palm Springs Departure Control and subsequent Air Traffic Control instructions.

"Contributing to the accident was the controller's failure to detect the aircraft's deviation from the route of flight contained in the ATC clearance and the flightcrew's failure to recognize their proximity to the high terrain."

1/ 4:55 P.M.; P.S.T.

2/ A radio navigation station

-- more --

- - 120-1757 - - 1

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ENTRANCE

At 1654:10 the FAA local controller cleared the Learjet for take-off and to maintain "niner thousand." After the aircraft was airborne the crew contacted Palm Springs departure control and reported "out of" 1,500 feet and climbing to 9,000 and the controller requested they report reaching 9,000. The controller then requested the flight to "report crossing 051 radial 10 mile fix." The flight responded "okay" and ten seconds later the controller transmitted "and that's the Palm Springs 051 radial, sir," and again the flight responded "okay."

Subsequently, at 1656:25 the Learjet crew reported they had "crossed the radial." The crew did not specify the "Palm Springs 051 degree radial" or the "10 mile fix," both of which were included in the clearance. The Board said that the flightcrew's report that they had crossed the radial "was erroneous" because the Palm Springs 051 degree radial exists in the northeast quadrant of the VORTAC and the flight never entered that area. The Board concluded that when the crew reported they had crossed the radial they were actually crossing the Palm Springs 231 degree radial which is the reciprocal course of the Palm Springs 051 radial. At the same time when the controller received this report he "assumed" that the crew were crossing the Palm Springs 051 radial at the 10 mile fix, as specified in the clearance.

The Board said it is difficult to rationalize how the flightcrew concluded that the runway heading of 300 degrees -- which led them from the point of takeoff directly into the mountains -- was to be maintained. The Palm Springs controller cleared the flight, in part, to Las Vegas "via Palm Springs, direct Twenty-nine Palms." Based on the existing regulations the Board concluded that the pilot was required to fly on the straight line course between these two points, which meant turning off the 300 degree runway heading as soon as practical after takeoff. The controller had specifically defined the point at which the Learjet was to report along its cleared route; crossing the Palm Springs 051 degree radial "10 mile fix." "There was no way this fix could be reached by maintaining the runway heading," the Board said, "and no request was levied on the flightcrew to hold runway heading after takeoff."

The Board noted that one possible explanation for the crew's misinterpretation of their clearance could have been that the crew were unaware they were operating in non-radar environment and believed they were under radar control. However, at the time of the accident the Palm Springs Tower had no radar capability. Consequently, until the Learjet reached about 9,000 feet -- the lowest altitude that the Los Angeles Center radar could pick up its transponder target -- no radar information was available to monitor the Learjet's progress. It was not until "just before impact" that the Los Angeles Center's radar picked up the Learjet on their radarscope but there was not sufficient time to establish radio contact with the flight and vector it away from the mountain. Thus, without radar, the Palm Springs departure

controller's knowledge of the Learjet's position could only have been based on the route for which he cleared the aircraft -- and the pilot's progress reports.

In commenting on the flightcrew's "failure to recognize their proximity to high terrain," the Board pointed out that "proper exercise of responsibility" during descents and takeoffs can only be based on knowledge of terrain hazards. However, in this case, the pilot was familiar with the Palm Springs airport and its surrounding terrain. Thus, the Board said, it could not explain the Learjet pilot's continuance of a heading that, if maintained would aim his aircraft toward the highest mountain in the area and at an altitude more than 2,000 feet "below" the highest terrain. The Board did note, however, that several aircraft accident investigations have indicated that, since the advent of radar control and its capability to vector traffic, there has been "a derogation of pilot knowledge of terrain over which they fly."

In commenting on the controller's failure to detect the aircraft's deviation from the route contained in the air traffic control clearance the Board noted that at 1656:55 the Learjet crew reported they were approaching 9,000 feet and asked if they were cleared "via" the 051 degree radial to Twenty-nine Palms. The controller replied in the affirmative and added "I had a change of route there. Maintain 9,000, I'll keep you advised." The crew then asked if they were to maintain 9,000 feet "straight ahead, right?" and the controller said they were. The controller's use of "I had a change of route there" appeared to confirm their belief that their present heading (approximately 300 degrees) was to be maintained until they received clearance to "turn back" toward Twenty-nine Palms and "intercept" the 051 radial.

On the other hand the controller stated that when he said he would "keep them advised" he was only considering the "altitude restriction" of 9,000 feet. Moreover, since the controller was convinced the flight was already established outbound on the 051 radial course the query about flying "straight ahead" merely meant "continued flight" toward Twenty-nine Palms on the 051 radial. The Board noted that during this exchange the flightcrew did not apprise the controller of one vital piece of information -- their heading, or direction of flight.

Subsequently, at 1658:30 the crew reported they were about 14 miles from Palm Springs and maintaining 9,000 feet on a heading of "three one zero (310 degrees)" and asked "what's our clearance from here." This was the only transmission from the flightcrew that gave the controller "heading information" the Board said, and a chance to make a reasonably accurate estimate of the aircraft's position. However, the Board said, the controller did not recognize the significance of the 310 degree heading or it did not register with sufficient impact to overturn his conviction

that the Learjet was on the 051 radial heading and proceeding toward Twenty-nine Palms.

The same defects in communication procedures uncovered in this accident appeared in several earlier accidents, the Board said. As a result, on July 25, 1977, the Board recommended that the Federal Aviation Administration require a controller who issues an altitude assignment, or a heading, to require the crew to read back the clearance -- if the pilot fails to do so on his own. The Board also recommended that the FAA alert its personnel to the circumstances surrounding the Palm Springs accident in an effort to prevent any possible recurrence.

Copies of the Safety Board's complete report cannot be printed for several weeks. When they are available, however, single copies may be obtained without charge by writing to the Publications Branch, National Transportation Safety Board, Washington, D.C. 20594. Multiple copies may be purchased by mail from the National Technical Information Service, U.S. Department of Commerce, Springfield, Virginia 22151.

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NATIONAL TRANSPORTATION SAFETY BOARD
WASHINGTON, D.C. 20594

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FEDERAL GOVERNMENT

1/19/79

1 - Mr. Stewart

To SAC Las Vegas

From Director FBI

FRANK SINATRA ET AL V. JET AVIA LTD.
ET AL AND RELATED ACTIONS CIVIL NOS.
CV-77-4424 CV-78-0118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT
CC LAS VEGAS

Enclosed herewith for Las Vegas are two copies of a Department of Justice memorandum dated 1/9/79, and one copy of a four-page report from the National Transportation Safety Board dated 10/6/77.

On 1/15/79, Bureau Supervisor Willie E. Stewart met with Departmental Attorneys Cecilia Hatfield and Herbert Lyons, Chief, Torts Branch, Civil Division, who requested a discreet background investigation on the following individuals in connection with this matter:

[REDACTED]
[REDACTED]
Las Vegas, Nevada

b7C

REC'D

[REDACTED]
[REDACTED]
Las Vegas, Nevada

120-11759-2

[REDACTED]
[REDACTED]
Las Vegas, Nevada

16 JAN 22 1979

Assoc. Dir. _____
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Dep. AD Inv. _____
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Director's Secy. _____

1/23/1979
Telephone Rep.
Director's Secy.
MAIL ROOM

FBI/DOJ

112

[REDACTED]
Las Vegas, Nevada

b7c

Jet Avia Limited
300 South Fourth Street
Las Vegas Nevada

Hatfield advised that [REDACTED] Lear
Jet No. N12MK which was leased to Jet Avia. [REDACTED] Jet Avia Ltd. The aircraft was subsequently leased to Frank Sinatra before the crash on January 6, 1977, which killed Sinatra's 82 year-old mother, Mrs. Natalie Sinatra. Hatfield indicated she was particularly interested in [REDACTED] in this aircraft. It was reported \$4,500 a month and 15 hours "free time" on the aircraft by Jet Avia.

Hatfield advised she would be in Las Vegas on 1/22/79, accompanied by Bill Gallo, Attorney, Federal Aviation Administration and would contact Supervisor Robert Bryant at that time.

Las Vegas is requested to conduct credit, criminal and indices checks regarding the aforementioned individuals and Jet Avia Ltd. Upon contact by Departmental Attorney Hatfield institute any additional requested investigation.

FBIHQ should be kept advised by communications suitable for dissemination.

Enclosures (3)

FBI

Date: 2/23/79

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL
(Precedence)

TO: DIRECTOR, FBI

FROM: SAC, LAS VEGAS (120-143) (P)

SUBJECT: FRANK SINATRA, ETAL V. JET AVIA LTD.,
ETAL AND RELATED ACTIONS, CIVIL NCS,
CV-77-4424, CV-78-9118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028;
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT
OO: LAS VEGAS

Enclosed for the Bureau is the original and two copies of an LHM regarding investigation conducted at Las Vegas.

REC-130

5 MAR 2 1979

② - Bureau (Enc. 3)
2 - Las Vegas

MTH: jkk

(4)

Approved: 62 ADD 10 1979
Special Agent in Charge

Sent _____ M Per _____

114



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Las Vegas, Nevada

February 23, 1979

FRANK SINATRA, ETAL V. JET AVIA LTD.,
ETAL AND RELATED ACTIONS; CIVIL NOS.
CV-77-4424, CV-78-0118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028;
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT

On January 24, 1979, Departmental Attorneys Cecilia Hatfield and Herbert Lyons, Chief Torts Branch, Civil Division, arrived in Las Vegas, Nevada, and met with Supervisor Robert M. Bryant and Special Agent Michael T. Hanley. During the above meeting, a request was made by Hatfield to analyze business, books and records made available by Jet Avia, Ltd., on January 25, 1979, and make a determination of which records would require detailed examination. The detailed examination is required to determine the correctness and accuracy of the civil claim against the Government regarding [REDACTED] lease agreement with Jet Avia and the loss of use of the aircraft.

b7C

On January 25, 1979, the above analysis was performed and it was mutually agreed upon by Hatfield, Lyons and Jet Avia that records requiring detailed examination would be set aside for Xeroxing. Hatfield was to be notified when these records would be turned over to the Government for their examination.

Examination of those records set aside will be performed upon notification by the Department of Justice that the records are available.

Las Vegas criminal and indices checks regarding [REDACTED]



120-11157-3
EX-100128

115

FRANK SINATRA, ETAL V. JET AVIA LTD.,

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b7D

[REDACTED] were performed. No derogatory information was reflected in the records with the exception that a confidential source stated [REDACTED] could not be substantiated.

Additional requests were made by Hatfield to determine if Barbara Jeanne Weier and/or Paula Lucille Nicola Foley had remarried after the death of their husbands. The Clark County Recorders Office does not reflect any marriage licenses in 1977, 1978, or 1979, where Weier or Foley were remarried. Nevada Power Company Records reflect that electricity is still being provided in the names of Donald J. Weier, 304 Duke Circle, Las Vegas, and Jerald W. Foley, 6992 West Tara Avenue, Las Vegas.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency: it and its contents are not to be distributed outside your agency.

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CLASSIFICATION:

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 UNCLAS

DATE 4/6/79

TO: DIRECTOR, FBI
FROM: SAC, LAS VEGAS (120-143) (P)
SUBJECT: FRANK SINATRA, ETAL V. JET AVIA LTD.,
ETAL AND RELATED ACTIONS; CIVIL NOS.
CV-77-4424, CV-78-0118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028;
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT
OO: Las Vegas

Enclosed for the Bureau is the original and two copies of an LHM regarding investigation conducted at Las Vegas.

REC-113

120-11757-4

PT.105

22 APR 14 1979

2 - Bureau (Enc. 3) *2-11757-4*
2 - Las Vegas
MTH:cae
(4)

SAC

APPROVED: _____ TRANSMITTED: _____ PER: _____

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Las Vegas, Nevada

April 6, 1979

FRANK SINATRA, ETAL V. JET AVIA LTD.,
ETAL AND RELATED ACTIONS; CIVIL NOS.
CV-77-4424, CV-78-0118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028;
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT

In March, 1979, Departmental Attorney Cecilia Hatfield requested the Las Vegas FBI Office provide the addresses for [REDACTED]

b7C
On April 3, 1979, Las Vegas criminal and indices checks regarding [REDACTED] were performed and the following was obtained:

[REDACTED], date of birth [REDACTED], last known address was [REDACTED] Las Vegas, Nevada, telephone number [REDACTED]

[REDACTED], last known address was [REDACTED]
[REDACTED] Las Vegas, Nevada, date of birth [REDACTED]
[REDACTED]



b7c

[REDACTED] last known address was [REDACTED]
[REDACTED] Las Vegas, Nevada. Further description of [REDACTED] is
date of birth [REDACTED] Social Security
Account Number [REDACTED] U. S. Passport Number [REDACTED]
Las Vegas indices reveals that [REDACTED]
the Jet Avia Ltd. Corporation.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNITED STATES GOVERNMENT

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Memorandum

TO : DIRECTOR, FBI

DATE: 6/7/79

FROM : SAC, LAS VEGAS (120-143) (C)

SUBJECT: FRANK SINATRA, ETAL V. JET AVIA LTD.,
ET AL AND RELATED ACTIONS; CIVIL NOS.
CV-77-4424, CV-78-0118, CV-78-1457,
CV-78-1067, CV-78-1068 AND CV-78-1028;
UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
FEDERAL TORT CLAIMS ACT
OO: Las Vegas

For information of the Bureau, Las Vegas is closing
investigation on above subjects as there is no further
investigation to be conducted at Las Vegas.

② - Bureau
1 - Las Vegas
MTH:arw
(3)

EX-124

REC-30

120-11757-5

11 JUN 12 1979



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6/1379

SPW

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