

## Read and Write Locks (Read-Write Locks)

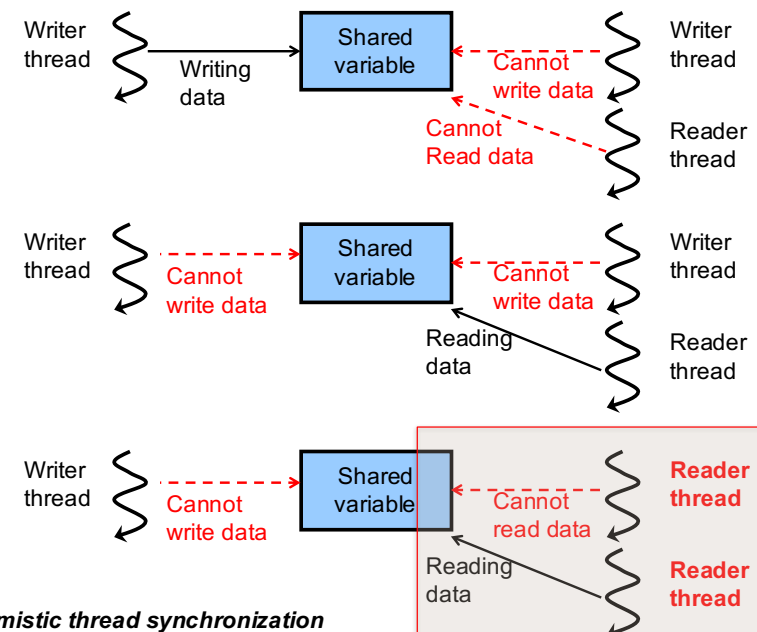
## Read-Write Locks

- Regular lock
  - A variable must be guarded/protected with a (regular) lock when multiple threads share the variable.
  - `java.util.concurrent.locks.ReentrantLock`
- Read-Write lock
  - A slight extension to a regular lock (`ReentrantLock`)
  - A bit more *optimistic* than a regular lock to seek potential performance improvement.
    - `java.util.concurrent.locks.ReentrantReadWriteLock`
      - `java.util.concurrent.locks.ReentrantReadWriteLock.ReadLock`
      - `java.util.concurrent.locks.ReentrantReadWriteLock.WriteLock`

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## Room for Performance Improvement?

- Locking is often computationally expensive.
- Where to gain performance improvement?
  - If you have “reader” threads only, is it necessary to synchronize (i.e., mutually exclude) them?
    - No, if you have no “writer” threads.
    - Why not being optimistic about locking for “reader” threads?

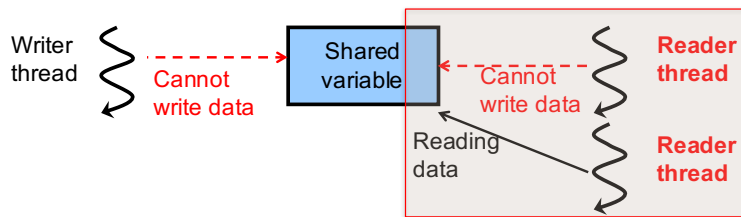


**Pessimistic thread synchronization  
with a `ReentrantLock`**

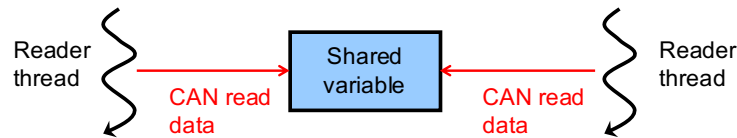
**Do we really need to synchronize (i.e. mutually exclude) these readers?**

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# ReadWriteLock



*Pessimistic thread synchronization with a ReentrantLock*



*Optimistic thread synchronization*

- ReentrantReadWriteLock
  - In java.util.concurrent.locks
- ```
public class ReentrantReadWriteLock implements ReadWriteLock{
    public class ReentrantReadWriteLock.ReadLock
        implements Lock{}
    public class ReentrantReadWriteLock.WriteLock
        implements Lock{}
    public ReentrantReadWriteLock.ReadLock readLock() {}
    public ReentrantReadWriteLock.WriteLock writeLock() {} }
```
- Provides two locks; one for writers, and the other for readers.
  - ReadLock to read data from a shared variable.
  - WriteLock to write data to a shared variable.
- Inner singleton classes
- Provides factory methods for the two locks.

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## ReadLock and WriteLock

- A reader can acquire a read lock even if it is already held by another reader,
  - **AS FAR AS** no writers hold a write lock.
- Writers can acquire a write lock **ONLY IF** no other writers and readers hold read/write locks.

| When another thread holds ...?<br>Can a thread acquire...? | ReadLock | WriteLock |
|------------------------------------------------------------|----------|-----------|
| ReadLock                                                   | <b>Y</b> | N         |
| WriteLock                                                  | N        | N         |

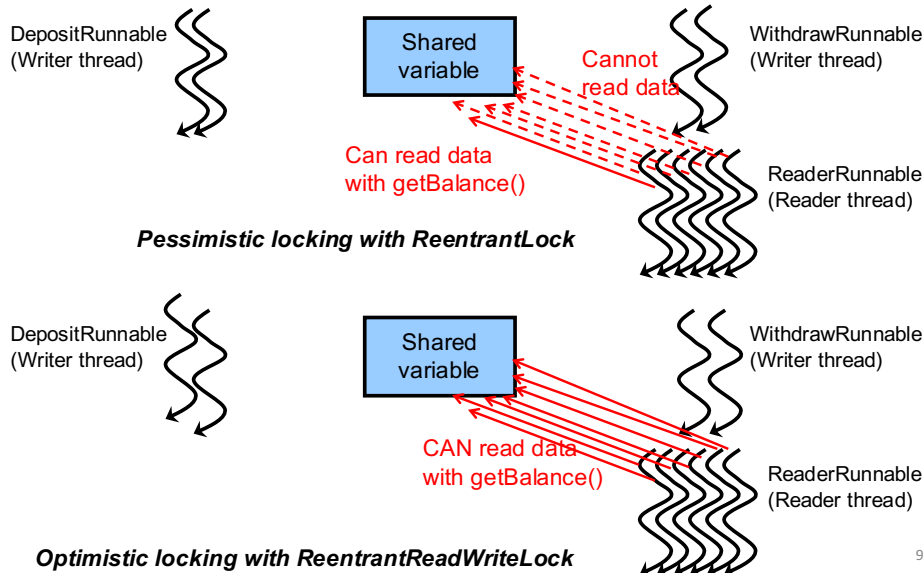
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- Work similarly to ReentrantLock.
  - Support nested locking and thread reentrancy.
- A condition object is returned when calling newCondition() on a write lock.
- Calling newCondition() on a read lock generates an UnsupportedOperationException.
  - Readers never need condition objects.
  - Readers never call signal() and signalAll().

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## Sample Code

- ThradSafeBankAccount3 and ThradSafeBankAccount4



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## Sample Code

- ThradSafeBankAccount3
  - 43 msec
- ThradSafeBankAccount4
  - 33 msec
    - 23% (10/43) faster
      - thanks to optimistic locking

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## When to Use a Read-Write Lock?

- When many reader threads run.
- When reader threads run more often than writer threads.
- When a read operation takes a long time.

## HW 16

- Recall a previous HW to implement a concurrent access counter, assuming the development of a web server
- AccessCounter
  - Maintains a HashMap that pairs a relative file path and access count.
  - increment() accepts a file path and increments the file's access count.
  - getCount() accepts a file path and returns the file's access count.
- Use a ReentrantReadWriteLock rather than ReentrantLock.
  - Writer threads
    - Threads to call increment()
  - Reader threads
    - Threads to call getCount()

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## HW 17

- Recall a previous HW to implement a concurrent caching mechanism, assuming the development of a web server
- Replace a ReentrantReadWriteLock with a ReentrantLock.
  - FileCache (abstract class)
  - FileCacheLFU (w/ ReentrantLock)
  - FileCacheLRU (w/ ReentrantLock)
  - FileCacheLFURW (w/ ReentrantReadWriteLock)
  - FileCacheLRURW (w/ ReentrantReadWriteLock)

## Note

- Using a ReentrantLock
  - `public String fetch( String targetFile ){  
 acquire a lock;  
 if ( targetFile is cached ){  
 return targetFile's content; }  
 return cacheFile( targetFile );  
 release a lock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- Using a RW lock
  - `public String fetch( String targetFile ){  
 acquire a readlock;  
 if ( targetFile is cached ){  
 return targetFile's content; }  
 release a readlock;  
 acquire a writelock;  
 return cacheFile( targetFile );  
 release a writelock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- Is this thread safe?

## Note

- Using a ReentrantLock
  - `public String fetch( String targetFile ){  
 acquire a lock;  
 if ( targetFile is cached ){  
 return targetFile's content; }  
 return cacheFile( targetFile );  
 release a lock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- Using a RW lock
  - `public String fetch( String targetFile ){  
 acquire a readlock;  
 if ( targetFile is cached ){  
 return targetFile's content; }  
 release a readlock;  
 // Ctx switch can occur here.  
 acquire a writelock;  
 return cacheFile( targetFile );  
 release a writelock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- Is this thread safe? NO. What if a context switch occurs b/w releasing a read lock and acquiring a write lock?

## Note

- Using a RW lock
  - `public String fetch( String targetFile ){  
 targetFile ){  
 acquire a readlock;  
 if ( targetFile is cached ){  
 return targetFile's content; }  
 release a readlock;  
 // Ctx switch can occur here.  
 acquire a writelock;  
 return cacheFile( targetFile );  
 release a writelock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- Using a RW lock
  - `public String fetch( String targetFile ){  
 acquire a writelock;  
 if ( targetFile is NOT cached ){  
 return cacheFile( targetFile ); }  
 acquire a readlock;  
 release a writelock;  
 return targetFile's content;  
 release a readlock; }`
  - `private String cacheFile( ... ){  
 open and cache targetFile;  
 return its content; }`
- A thread can acquire the write lock and then the read lock before releasing the write lock.
  - Lock downgrading
  - Lock upgrading is not possible.