

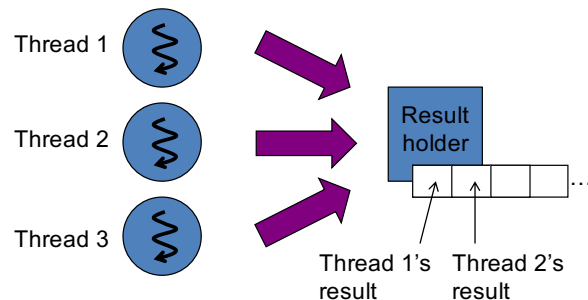
## Thread-Specific Storage (TSS)

- Storage (variable) allocated/reserved per thread.
  - The storage/variable is not accessible by other threads.
- `java.lang.ThreadLocal<T>`

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## Thread-Specific Storage (TSS)

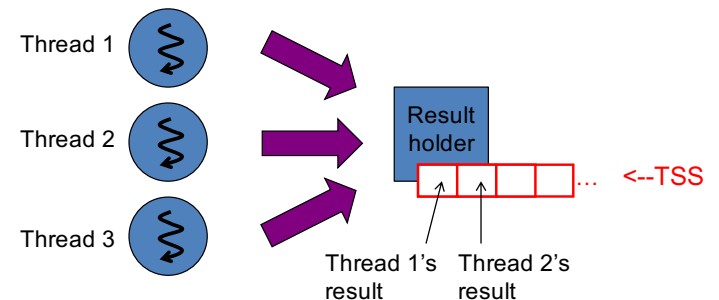
### Imagine this Scenario...



- Different threads
  - generate different data of the same time (T)
  - store them in a result holder
  - read them from the result holder.
- Need to guard the result holder from threads.
  - Locking required. Maybe read-write lock.

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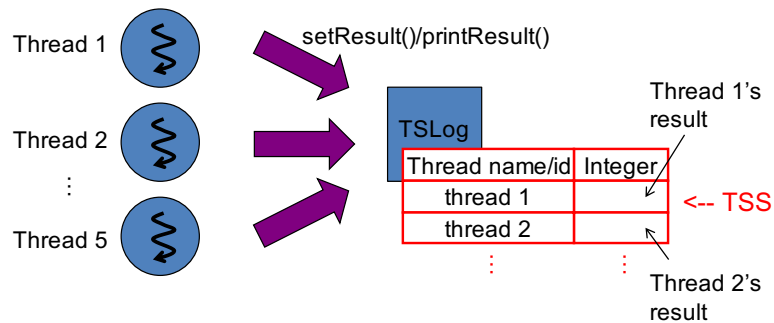
### When does a TSS Work?



- If each element is paired with a thread and accessed only by the thread...
  - TSS works well.
    - Easier-to-read code
    - Safer code

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## Sample Code: TSLog.java

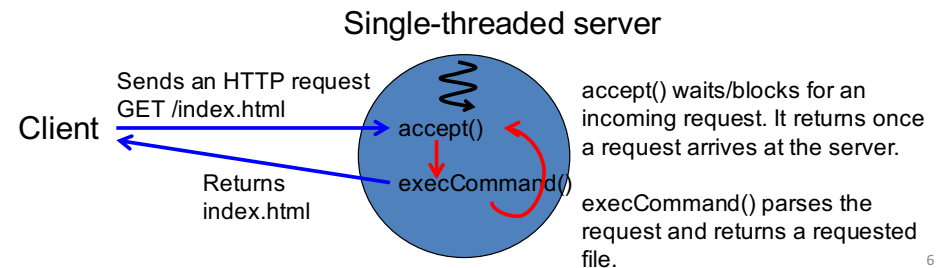


- Locking is encapsulated in ThreadLocal
- No ways to access other threads' TSS.
- No locking in client code
  - Shorter (easier-to-understand) code
  - No worry about race conditions and deadlocks.

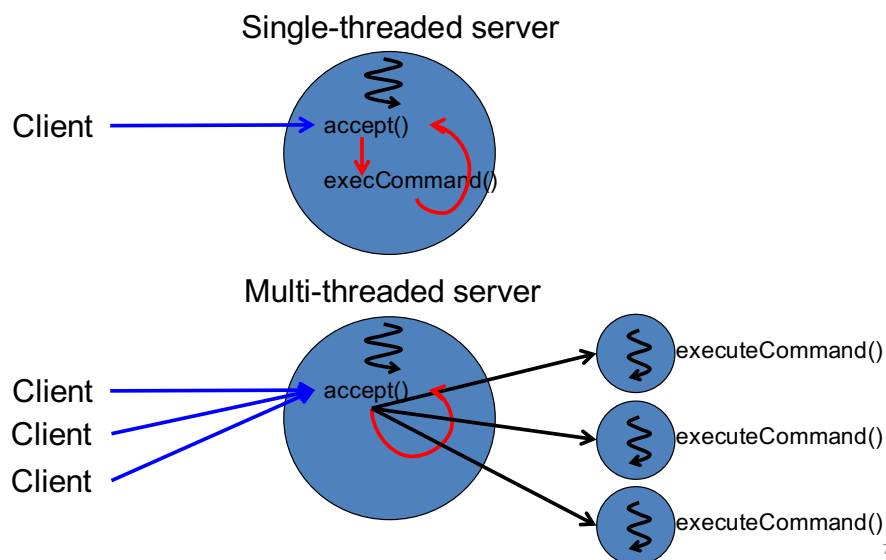
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## Potential Use Case: Concurrent Web Server

- Suppose you implement your own web server.
  - Receives a request that a client (browser) transmits to ask for an HTML file.
  - Returns the requested file to the client.
- What if the server receives multiple requests from multiple clients at the same time?
  - If the server is single-threaded, it processes requests *sequentially*.

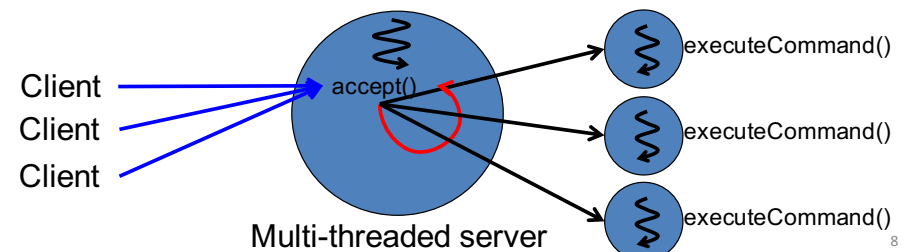


## Concurrent (Multi-threaded) Web Server

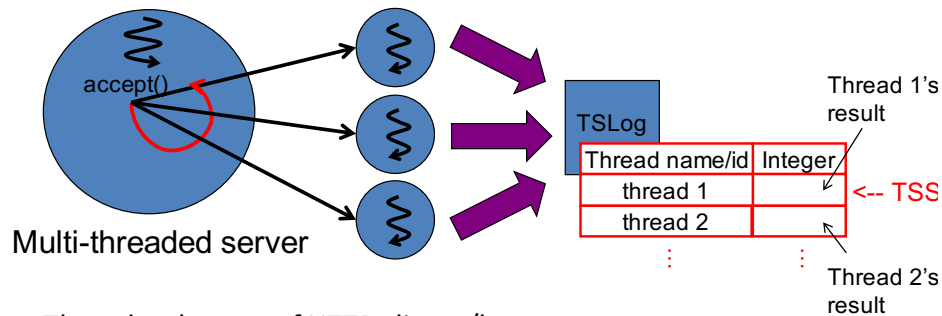


## Thread-per-request Concurrency

- Thread-per-request
  - Once the web server receives a request from a client, it creates a new thread.
  - The thread parses the incoming request and returns a requested file.
  - The thread terminates once the requested file is sent out to the client.



## TSS in Concurrent Web Server



- Threads take care of HTTP clients/browsers.
  - Parsing an incoming HTTP command, retrieving a target content, caching it, incrementing its access counter, logging, etc. etc.
- They may...
  - Need customer info (e.g. customer ID) from browser cookies to display some personalized content.
  - Need client-specific information (e.g., client OS name/type and browser name/type) to display some client-specific content.

## InheritableThreadLocal (Inheritable TSS)

- `java.lang.InheritableThreadLocal<T>`
  - Subclass of `ThreadLocal<T>`
  - Extends `ThreadLocal` to provide inheritance of values from parent thread to child thread.
    - When a child thread is created, the child receives initial values for all inheritable thread-local variables for which the parent has values.
  - Useful in the previous concurrent web server example if more than one threads are used to process a single HTTP request.

## 3 Types of Collections

- Thread-unsafe collections
- Thread-safe collections
  - Synchronized collections
  - Concurrent collections

## Concurrency and Collections

## Thread-unsafe Collections

- Many collection classes are NOT thread safe.
  - e.g., ArrayList, HashMap, etc.
  - Need to use thread synchronization (i.e., locking) to guard collection elements from concurrent accesses.
- Make sure that a collection is thread-safe or not with Java API documentation

## Synchronized Collections

- “Ready-made” thread-safe collections
  - Synchronized classes: Vector and Hashtable
    - All public methods perform thread synchronization (locking).
      - Only one thread can access the collection state at a time.
        - » e.g., When a thread is in the middle of executing `add()` on a Vector, no other threads can call `get()`, `size()`, etc. on that Vector.
  - Synchronized wrapper classes for thread unsafe collections
    - Created by `java.util.Collections.synchronizedXyz()`
      - Factory methods
        - `synchronizedList()`
        - `synchronizedMap()`
        - `synchronizedSet()`

## Java API Doc on ArrayList

- “**Note that this implementation is not synchronized.** If multiple threads access an ArrayList instance concurrently, and at least one of the threads modifies the list structurally, it *must* be synchronized externally. (A structural modification is any operation that adds or deletes one or more elements, or explicitly resizes the backing array; merely setting the value of an element is not a structural modification.)...”

## Vector and Hashtable in Single Threaded Programs

- It makes no sense to use these collections in single-threaded programs in a performance point of view.
  - They perform thread synchronization (locking) even when only one thread runs in a program.
    - Unnecessary performance loss.
  - Use ArrayList and HashMap instead.

# Synchronized Wrapper Classes

- ```
List<String> list = Collections.synchronizedList( new ArrayList<String>() );
```
- `list`: an instance of a class that wraps/contains an `ArrayList`.
  - `list.getClass()` → `java.util.Collections$SynchronizedRandomAccessList`
  - The wrapper class offers “synchronized” (or thread-safe) versions of `ArrayList`’s public methods.
    - `add()`, `get()`, `remove()`, etc. etc.

## Example Compound Actions

- ```
List<String> list = Collections.synchronizedList( new ArrayList<String>() );
```

```
Iterator it = list.iterator();
```

```
while( it.hasNext() )           // check-then-act for iterations
```

```
    doSomething( it.next() );    // navigation
```
- ```
if( list.size() > 10 )           // check-then-act
```

```
    doSomething( list );
```

# A Thread Safety Issue in Synchronized Collections

- All (public) methods are thread-safe in all synchronized collection classes.
- Need *client-side locking* in *compound actions* on a synchronized collection
  - Iteration (element-traversal)
    - Repeatedly get elements until a collection becomes empty
  - Navigation
    - Find the next element after a given element
  - Conditional operations (check-then-act)
    - e.g., Check if a Map has a key-value pair for the key K, and if not, add the pair (K, V)

## Potential Problems in Compound Actions

- ```
List<String> list = Collections.synchronizedList( new ArrayList<String>() );
```

```
Iterator it = list.iterator();
```

```
while( it.hasNext() )           // check-then-act for iterations
```

```
    doSomething( it.next() );    // navigation
```
- ```
if( list.size() > 10 )           // check-then-act
```

```
    doSomething( list );
```

Race conditions can occur here.

- Race conditions
- `ConcurrentModificationException`
  - Raised if a writer thread tries to add/remove elements before a reader thread completes a traversal on the entire set of elements.

# Client-side Locking

- `List<String> list = Collections.synchronizedList( new ArrayList<String>());`
- `synchronized(list){`  
    `Iterator it = list.iterator();`  
    `while( it.hasNext() )`                    `// nested locking`  
        `doSomething( it.next() );`        `// nested locking`  
    `}`
- `synchronized(list){`  
    `if( list.size() > 10 )`                    `// nested locking`  
        `doSomething( ... );`  
    `}`
- `synchronized(list)`: acquires the lock that the “list” uses for thread synchronization in its public methods.

## Performance Implications on Client-side Locking

- `List<String> list = Collections.synchronizedList( new ArrayList<String>());`  
    `synchronized(list){`  
        `Iterator it = list.iterator();`  
        `while( it.hasNext() )`                    `// nested locking`  
            `doSomething( it.next() );`        `// nested locking`  
    `}`
- Perfectly thread-safe.
  - Writer threads need to wait until a reader thread completes a traversal on the entire set of elements.
- Degraded performance
  - if many reader threads run.
  - if iterations occur very often.

# Why Not Using ReentrantLock?

- `synchronized(list){`  
    `if( list.size() > 10 )`  
        `doSomething( ... );`  
    `}`
- `ReentrantLock lock = new ReentrantLock();`  
    `lock.lock();`  
    `if( list.size() > 10 )`  
        `doSomething( ... );`  
    `lock.unlock();`
- `synchronized(list)`: acquires the lock that “list” owns/uses for thread synch in its public methods.
- “lock” is different from the lock that “list” owns/uses for thread synch in its public methods.

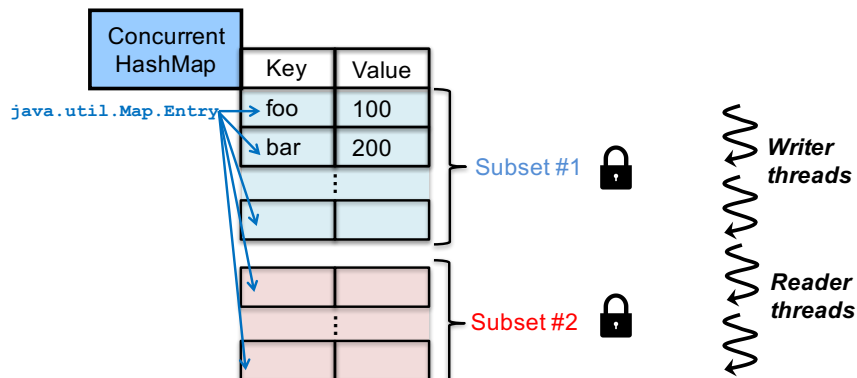
- `ReentrantLock lock = new ReentrantLock();`  
    `List<String> list = new ArrayList<String>();`  
    `...`  
    `lock.lock();`  
    `Iterator it = list.iterator();`  
    `while( it.hasNext() )`  
        `doSomething( it.next() );`  
    `lock.lock();`
- This code is more efficient than the previous one.
  - No nested locking.
  - Use a read-write lock if you have many reader threads.

# Concurrent Collections

- Ready-made “thread-safe” collections
  - `java.util.concurrent.ConcurrentXYZ` classes
    - `ConcurrentHashMap`
    - `ConcurrentLinkedQueue`
    - `ConcurrentLinkedDeque`
    - `ConcurrentSkipListMap`
    - `ConcurrentSkipListSet`
  - `java.util.concurrent.CopyOnWriteXYZ` classes
    - `CopyOnWriteArrayList`
    - `CopyOnWriteArraySet`

## Lock Stripping

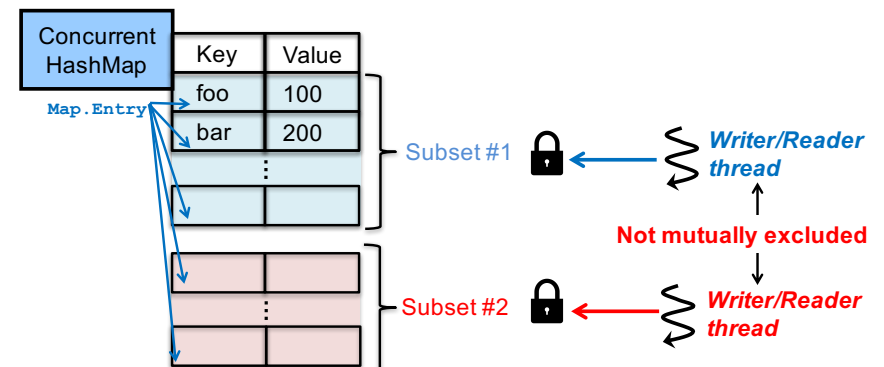
- `ConcurrentHashMap` uses multiple locks to guard a table (i.e., key-value pairs).
  - 16 locks by default
    - Configurable with the “`concurrencyLevel`” parameter in a constructor.
  - Each lock is associated with a subset of the table.



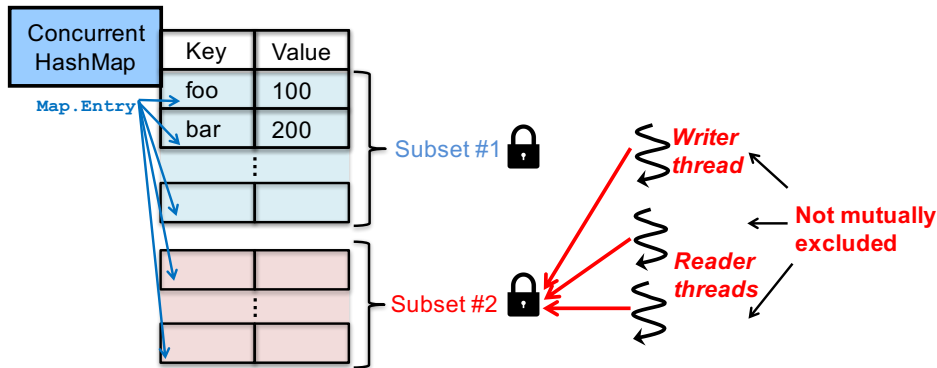
## What is ConcurrentHashMap?

- A replacement for synchronized hash-based Map implementations (e.g., `Hashtable` and `synchronized HashMap`).
- Performs *finer-grained* locking, called “lock stripping”
  - Compared to coarse-grained (i.e., class-wide) locking in synchronized hash-based Map implementations.
- Aims
  - Greater degree of shared, concurrent access
  - Greater performance

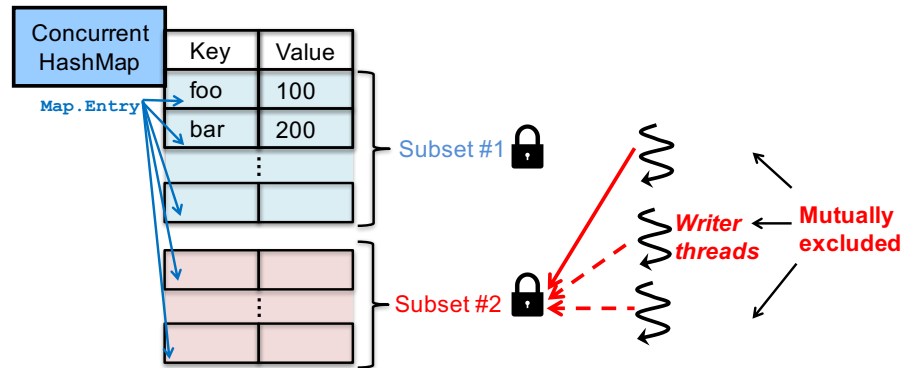
- Threads are not synchronized (not mutually excluded) with each other
  - as far as they access different subsets of the table through different locks.



- To access a subset of the table,
  - Reader threads
    - are NOT synchronized (NOT mutually excluded) with each other.
      - c.f. read-write lock
    - are NOT synchronized (NOT mutually excluded) with writer threads.
      - c.f. inner class Node<K, V>

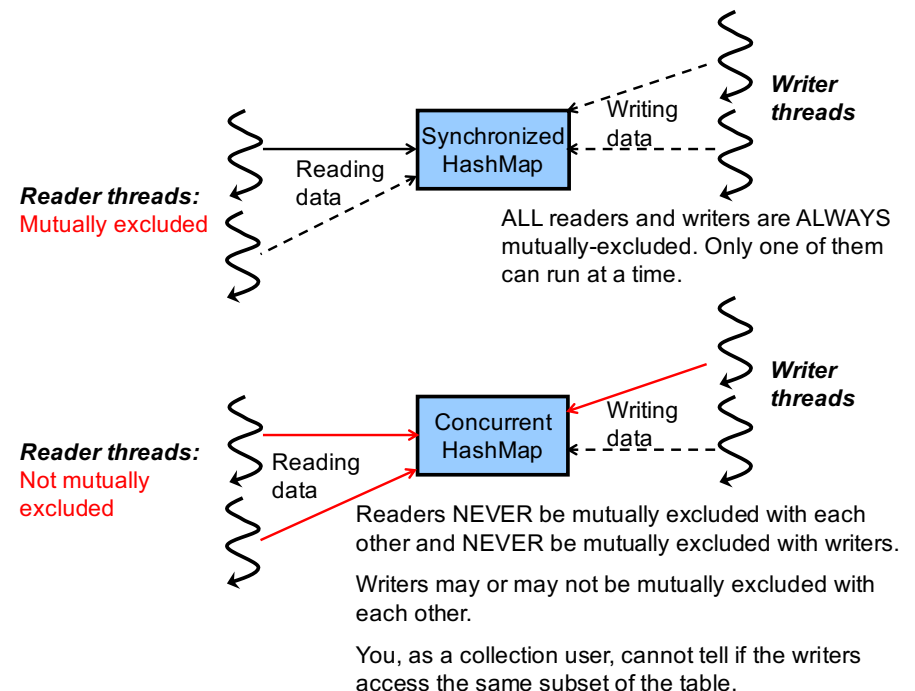
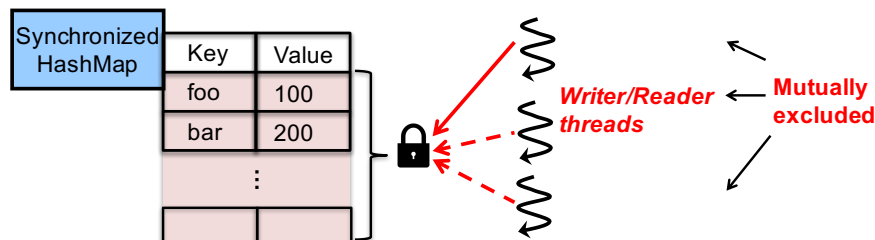


- To access a subset of the table,
  - Writer threads ARE (mutually excluded) with each other.



## Synchronized Hash-based Map Impls

- A *single* lock is used to guard the *entire* table in Hashtable and synchronized HashMap
  - No lock stripping.
- All writer/reader threads ARE ALWAYS synchronized (mutually excluded) with each other.
  - A potential performance bottleneck as the number of key-value pairs increases and the number of threads increases.





# Concurrent Iteration in ConcurrentHashMap

- Supports concurrent iterators

- Iterators are obtained through `entrySet()`, `keySet()` and `values()`.

- `entrySet()`: Returns key-value pairs as a Set.

- `Set<Map.Entry<K,V>>`

- `keySet()`: Returns keys as a Set.

- `ConcurrentHashMap.KeySetView<K,V>`

- `values()`: Returns values as a Collection.

- `Collection<V>`

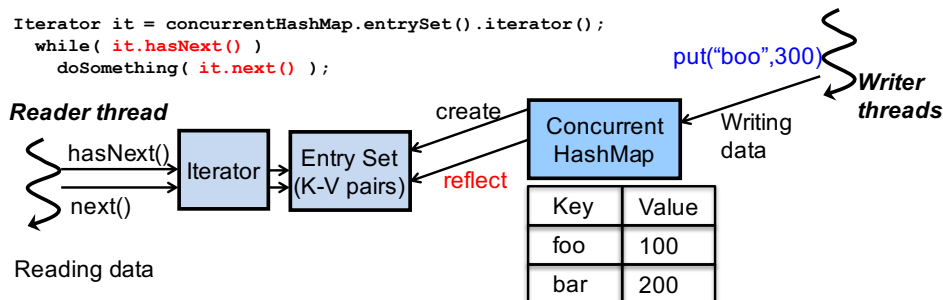
```

- Iterator it = aConcurrentHashMap.entrySet().iterator();
  while( it.hasNext() )
    doSomething( it.next() );
    
```

- Pros

- No client-side locking is necessary in client code.
  - Readers are fully concurrent (not mutually excluded).
  - Writers can add/remove elements while readers read elements.
    - » It is guaranteed that writers and readers do not corrupt elements.

- The iterator “it” is *backed* by the map, so changes to the map are reflected in the set.



```

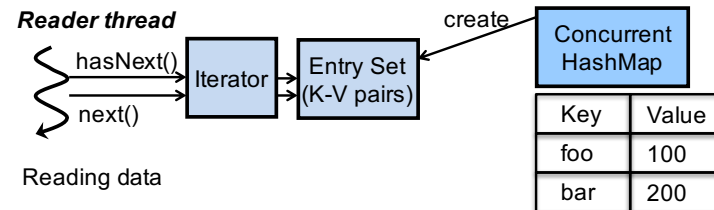
• Iterator it = aConcurrentHashMap.entrySet().iterator();
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```

Iterator it = concurrentHashMap.entrySet().iterator();
while( it.hasNext() )
  doSomething( it.next() );
    
```

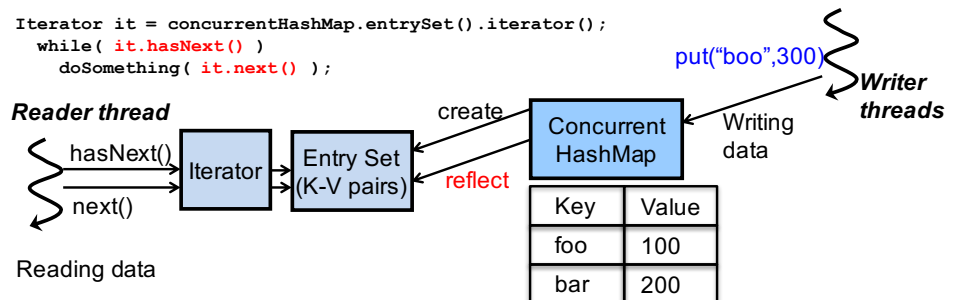


```

• Iterator it = aConcurrentHashMap.entrySet().iterator();
  while( it.hasNext() )
    doSomething( it.next() );
    
```

- Cons: *weak consistency*

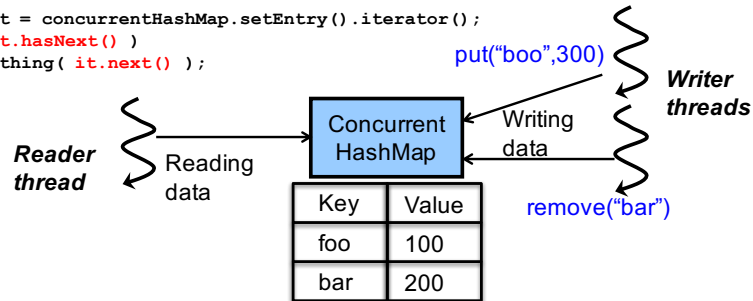
- There is no guarantee about how soon the changes to be reflected in the set.
- The iterator “it” may or may not traverse the most up-to-date key-value pairs in the map.



```

Iterator it = concurrentHashMap.setEntry().iterator();
while( it.hasNext() )
    doSomething( it.next() );

```



- The iterator “it” may traverse
  - {(foo,100), (bar,200)},
  - {(foo,100), (bar,200), (boo,300)},
  - {(foo,100), (boo,300)}, or
  - {(foo,100)}.
- Guaranteed that elements are never corrupted.
  - e.g., {(foo,300)}

## Thread-safe Compound Actions

- Supports common *compound actions* in a thread-safe way
  - put-if-absent: `putIfAbsent(key, value)`
    - Insert a pair of `key` and `value` as a new entry if `key` is not already associated with a value.
  - Conditional remove: `remove(key, value)`
    - Remove the entry for `key` if `key` is associated with `value`.
  - Conditional replace: `replace(key, value)`
    - Replace the entry for `key` if `key` is associated with some value.
  - Conditional replace: `replace(key, oldValue, newValue)`
    - Replace the entry for `key` with `newValue` only if `key` is associated with `oldValue`.
  - No client-side locking is necessary
  - c.f., `ConcurrentMap` interface

## Notes

- `ConcurrentHashMap` trades perfect consistency for performance improvements.
  - If you can live with weak consistency, it is a great concurrent collection class.
    - Pros: performance.
    - Cons:
      - » Iterators may or may not traverse the most up-to-date key-value pairs in the map.
      - » `mappingCount()` and `isEmpty()` are not perfectly reliable.
        - The value returned is an estimate; the actual value may differ if there are concurrent insertions or removals.
  - If you cannot, use `HashMap` with a `ReentrantLock`.
    - `ConcurrentHashMap` has no built-in way to lock the entire map.

## Other Concurrent Collections

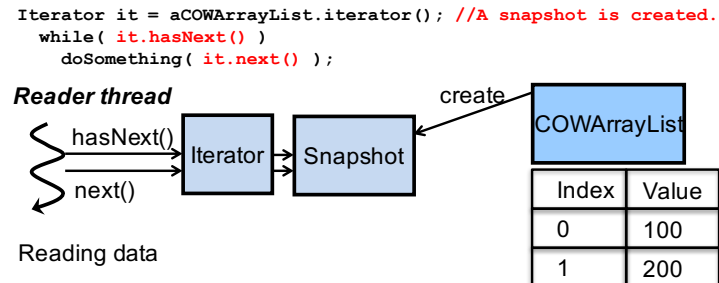
- `ConcurrentLinkedQueue`
  - Concurrent implementation of `java.util.Queue`
    - FIFO (First-In-First-Out) queue
- `ConcurrentLinkedDeque`
  - Concurrent implementation of `java.util.Deque`
- `ConcurrentSkipListMap`
  - An implementation of `ConcurrentNavigableMap`.
  - Map entries are kept sorted according to the natural ordering of their keys or by a custom `Comparator`.
- `ConcurrentSkipListSet`
  - A concurrent implementation of `NavigableSet`.
  - Set elements are kept sorted according to the natural ordering or by a custom `Comparator`.

# Copy-On-Write (COW) Collections

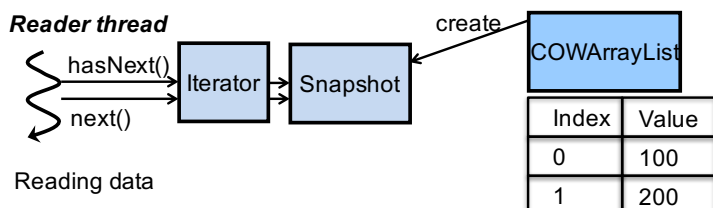
- `CopyOnWriteArrayList`
- `CopyOnWriteArraySet`
- Concurrent replacements of synchronized wrappers for `ArrayList` and `ArraySet`.
  - No client-side locking is necessary.
  - Readers are not mutually excluded.
  - Readers and writers are not mutually excluded.
    - c.f. *snapshot-based* iteration over Observers in the Observable-Observer API.

# Snapshot-based Iteration in COW Collections

- Support snapshot-based iterators
  - A reader thread references and operates on a *collection snapshot*, which is a collection that was up-to-date when an iterator was created.

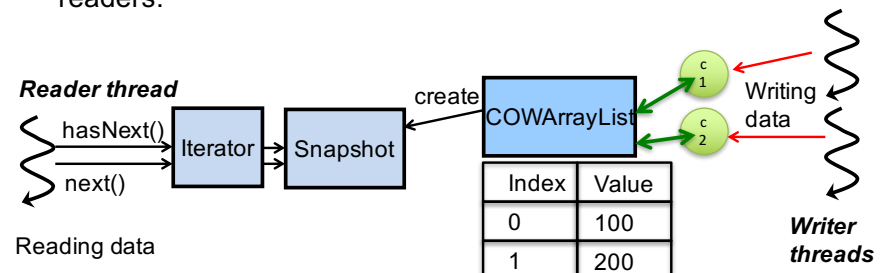


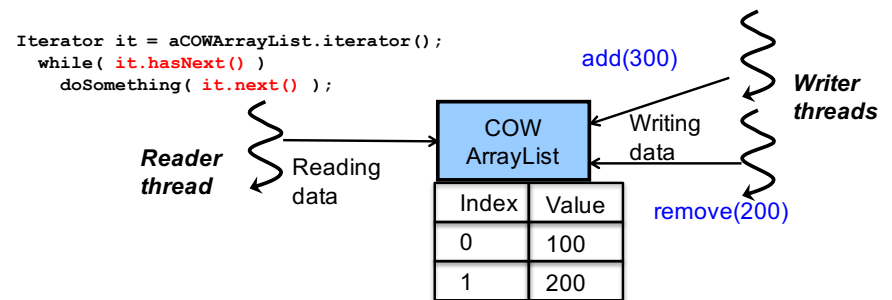
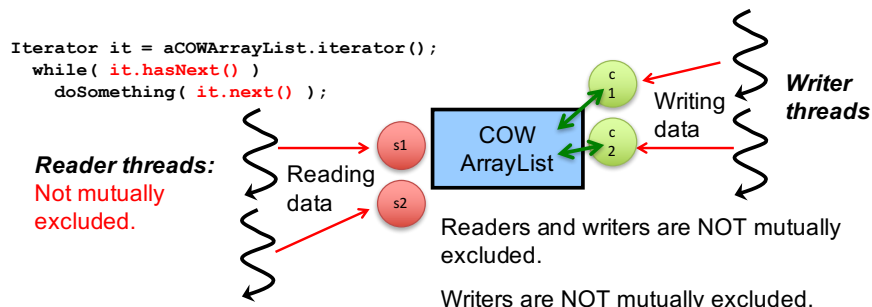
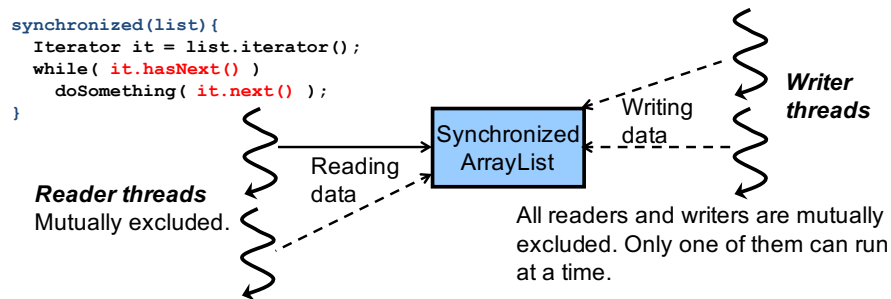
- Pros
  - No client-side locking is necessary.
    - Reader threads ARE NOT mutually excluded with each other and with writer threads.
      - The iterator "it" has a thread-specific snapshot of List elements.
      - Different readers get different snapshots and access them concurrently.
- Cons
  - The snapshot may not be perfectly consistent (maybe outdated)
    - e.g., if a writing threads add/remove collection elements after a snapshot is created.
  - The iterator will not reflect additions, removals, or changes to the list since the iterator was created.
- Trades perfect consistency for performance



# Copy-On-Write (COW)

- Making a copy of a collection when a writer thread updates the collection's elements
- A writer thread
  - Performs `add()`, `remove()`, `set()` and other element-changing methods on **the duplicate copy** of collection elements.
  - Synchronizes the updated/modified copy with the original element set.
- Writer threads ARE NOT mutually excluded with each other and with readers.





- The iterator “it” always traverse – (100, 200)
- Guaranteed that connection elements are never corrupted.

## Pros and Cons in COW Performance

- Pros
  - No concerns about thread safety
  - Improved performance for iterators
    - Iterators can be concurrent even if writer threads exist.
    - Writer threads are perfectly concurrent (i.e. no mutual exclusions).
- Cons
  - Element-changing methods (e.g., add(), remove()) are very slow.
    - Never use COW collections in single-threaded programs.
    - Their overhead grows exponentially as the number of elements increases.
  - The overhead of add() [msec]
 

| # of elems | ArrayList | SyncArrayList | COWArrayList |
|------------|-----------|---------------|--------------|
| » 1,000    | 0         | 0             | 14           |
| » 5,000    | 0         | 0             | 102          |
| » 10,000   | 0         | 0             | 409          |
| » 20,000   | 0         | 0             | 1,712        |
| » 30,000   | 15        | 16            | 4,566        |

## When to Use COW Collections?

- When the # of reader threads is greater than the # of writer threads.
- When element-changing methods are rarely called.
- When each writer thread updates a small number of elements at a time.
- When the # of elements is relatively small.
- When a snapshot-based element traversal is preferable over
  - Weekly-consistent traversals in ConcurrentXyz classes
  - Perfectly thread-safe (race condition free) traversals with a synchronized collection and a read-write lock.

# Recap: Observable.notifyObservers()

```

class Observable {
    private Vector obs;           //observers
    private boolean changed = false;

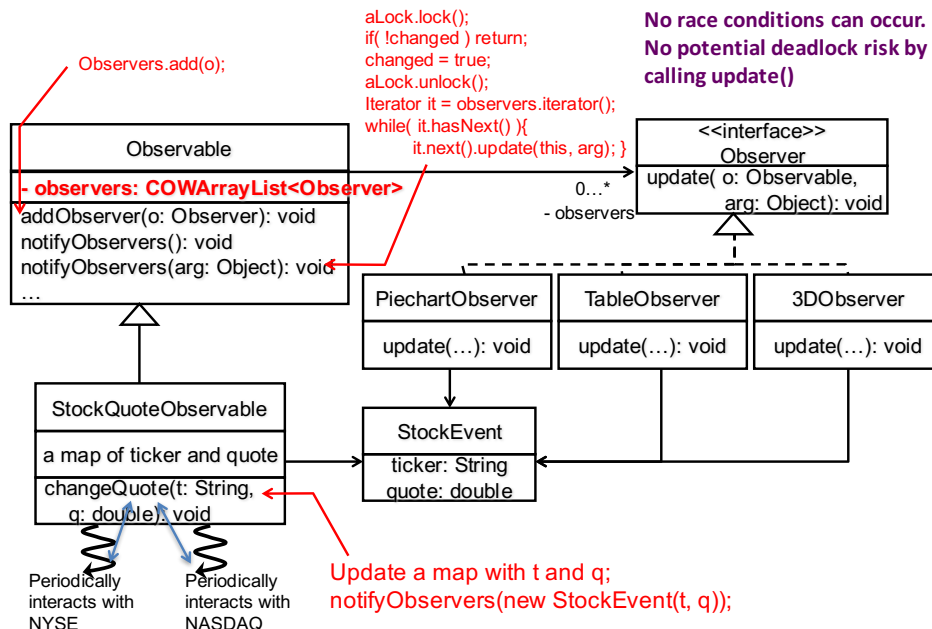
    public void notifyObservers(Object arg){
        Object[] arrLocal;
        synchronized (this){      // lock.lock();
            if (!changed) return;  // balking
            arrLocal = obs.toArray(); // observers copied to arrLocal
            changed = false;
        }                          // lock.unlock();
        for (int i = arrLocal.length-1; i >= 0; i--)
            ((Observer)arrLocal[i]).update(this, arg); // OPEN CALL
    }
    .....
}

```

# HW 24

- Implement a thread-safe version of the Observable class in the concurrent Observer design pattern.
  - Use CopyOnWriteArrayList, not ArrayList
  - c.f. HW 23

## Concurrent Observer



## Observable.notifyObservers()

```

class Observable {
    private ArrayList<Observer> obs;
    private boolean changed = false;
    private ReentrantLock lock;

    void addObserver(Observer o) {
        lock.lock();
        obs.add(o);
        lock.lock();
    }

    void notifyObservers(Object arg) {
        ArrayList<Observer> obsLocal;
        lock.lock();
        if (!changed) return;
        lock.unlock();
        obsLocal = new ArrayList<Observer>(obs);
        changed = false;
        Iterator it = obsLocal.iterator();
        while (it.hasNext()) {
            it.next().update(this, arg);
        }
    }
}

```

```

class Observable {
    private CopyOnWriteArrayList<Observer> obs;
    private boolean changed = false;
    private ReentrantLock lock;

    void addObserver(Observer o) {
        obs.add(o);
    }

    void notifyObservers(Object arg) {
        lock.lock();
        if (!changed) return;
        lock.unlock();
        Iterator it = obs.iterator();
        while (it.hasNext()) {
            it.next().update(this, arg);
        }
    }
}

```