

# Introduction to Automata Theory

# Purpose and motivation

- What are the mathematical properties of computer hardware and software?
- What is a **computation** and what is an **algorithm**? Can we give rigorous mathematical definitions of these **notions**?
- What are the limitations of computers? Can “everything” be computed?

**Purpose:** Develop formal mathematical models of computation that reflect real-world computers.

# Alan Turing (1912-1954)

- Father of Modern Computer Science
- English mathematician
- Studied abstract machines called **Turing machines** even before computers existed



# Theory of Computation: A historical perspective

1930s	<ul style="list-style-type: none"><li>• Alan Turing studies <b>Turing machines</b></li><li>• <b>Decidability</b></li><li>• <b>Halting problem</b></li></ul>
1940-1950s	<ul style="list-style-type: none"><li>• “<b>Finite automata</b>” machines studied</li><li>• Noam Chomsky proposes the <b>“Chomsky Hierarchy”</b> for formal languages</li></ul>
1969	Cook introduces “intractable” problems or “ <b>NP-Hard</b> ” problems
1970-	Modern computer science: <b>compilers</b> , <b>computational &amp; complexity theory</b> evolve

# Theory of Computation, nowadays

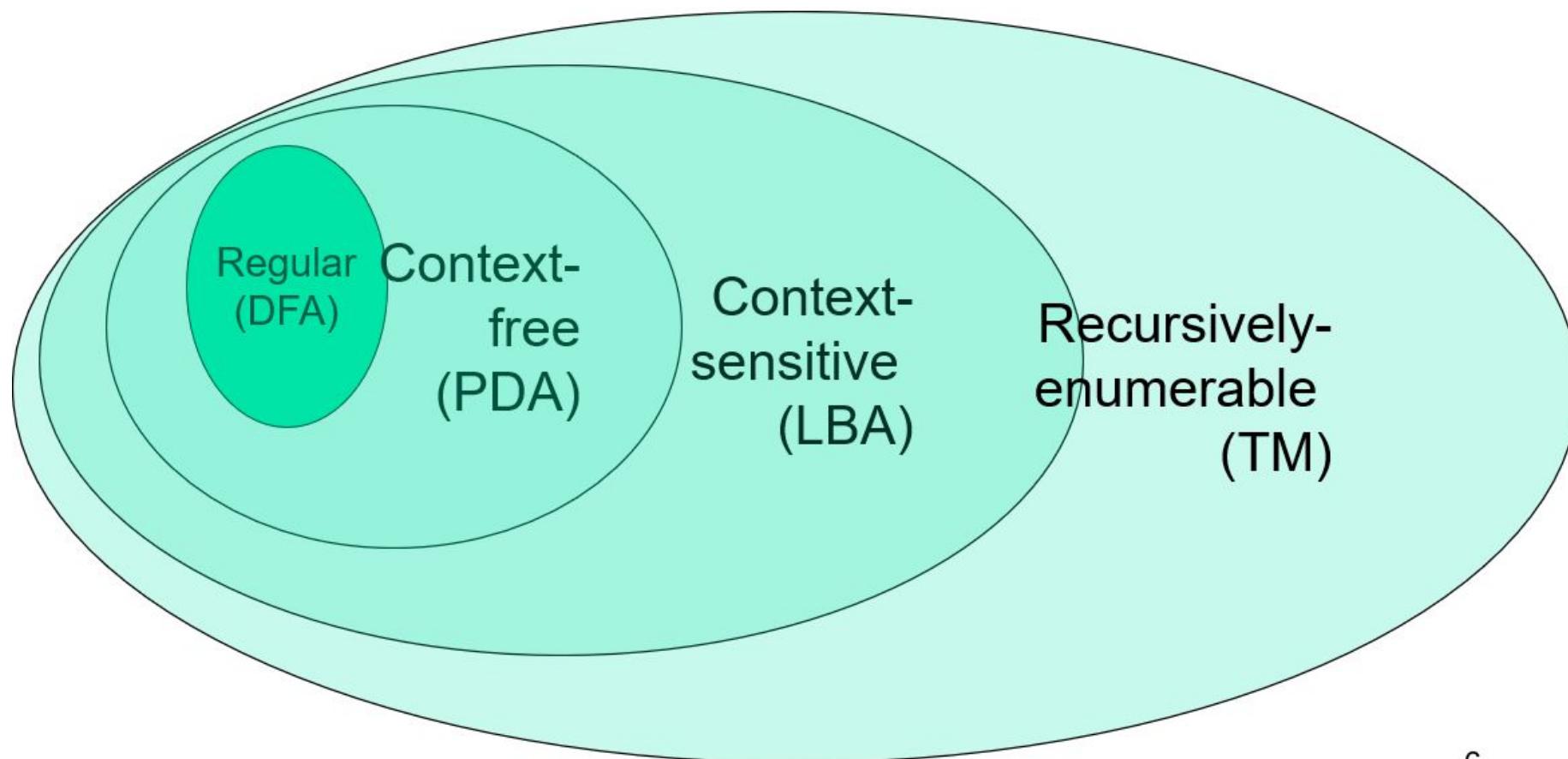
- Nowadays, the Theory of Computation can be divided into the following three areas:
- **Complexity theory**: What makes some problems computationally **hard** and other problems **easy**?
- **Computability theory**: Classify problems as being **solvable** or **unsolvable**.
- **Automata theory**: It deals with definitions and properties of different types of **computation models**.

# Computation models

- **Finite Automata:** These are used in text processing, compilers, and hardware design.
- **Context-Free Grammars:** These are used to define programming languages and in Artificial Intelligence.
- **Turing Machines:** These form a simple abstract model of a “real” computer, such as our PC at home.

# The Chomsky hierarchy

- A hierarchy of classes of formal languages



# Languages & Grammars

An alphabet is a set of symbols:

{0,1}

Sentences are strings of symbols:

0,1,00,01,10,1,...

A language is a set of sentences:

$L = \{000,0100,0010,..\}$

A grammar is a finite list of rules defining a language.

$S \rightarrow 0A$

$B \rightarrow 1B$

$A \rightarrow 1A$

$B \rightarrow 0F$

$A \rightarrow 0B$

$F \rightarrow \epsilon$

- Languages: “A language is a collection of sentences of finite length all constructed from a finite alphabet of symbols”
- Grammars: “A grammar can be regarded as a device that enumerates the sentences of a language” - nothing more, nothing less

Reference: N. Chomsky,  
Information and Control, Vol 2,  
1959

# Rudimentary Elements of Automata Theory

# Alphabet

*An alphabet is a finite, non-empty set of symbols*

- We use the symbol  $\Sigma$  (sigma) to denote an alphabet
- Examples:
  - Binary:  $\Sigma = \{0,1\}$
  - All lower case letters:  $\Sigma = \{a, b, c, ..z\}$
  - Alphanumeric:  $\Sigma = \{a-z, A-Z, 0-9\}$
  - DNA molecule letters:  $\Sigma = \{a, c, g, t\}$
  - ...

# Strings

A string or word is a finite sequence of symbols chosen from  $\Sigma$

- Empty string is  $\epsilon$  (or “epsilon”)
- Length of a string  $w$ , denoted by “ $|w|$ ”, is equal to the number of (non-  $\epsilon$ ) characters in the string
  - E.g.,  $x = 010100$                      $|x| = 6$
  - $x = 01 \epsilon 0 \epsilon 1 \epsilon 00 \epsilon$              $|x| = ?$
  - $xy$  = concatenation of two strings  $x$  and  $y$

# Powers of an alphabet

Let  $\Sigma$  be an alphabet.

- $\Sigma^k$  = the set of all strings of length  $k$
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$

# Languages

***L is said to be a language over alphabet  $\Sigma$ , only if  $L \subseteq \Sigma^*$***

- this is because  $\Sigma^*$  is the set of all strings (of all possible length including 0) over the given alphabet  $\Sigma$

Examples:

1. Let L be *the language of all strings consisting of n 0's followed by n 1's:*

$$L = \{\epsilon, 01, 0011, 000111, \dots\}$$

2. Let L be *the language of all strings of with equal number of 0's and 1's:*

$$L = \{\epsilon, 01, 10, 0011, 1100, 0101, 1010, 1001, \dots\}$$

Canonical ordering of strings in the language

**Definition:  $\emptyset$  denotes the Empty language**

- Let  $L = \{\epsilon\}$ ; Is  $L = \emptyset$ ?

# The membership problem

***Given a string  $w \in \Sigma^*$  and a language  $L$  over  $\Sigma$ , decide whether or not  $w \in L$ .***

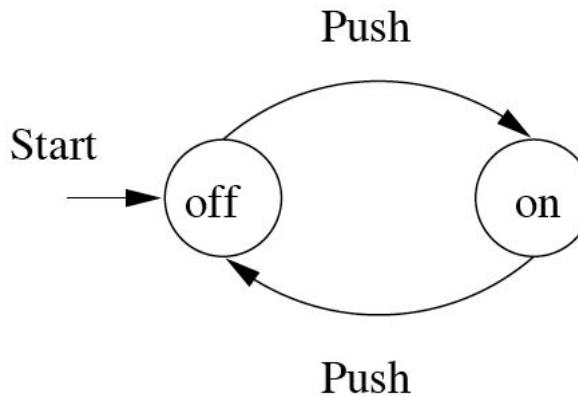
Example:

Let  $w = 100011$

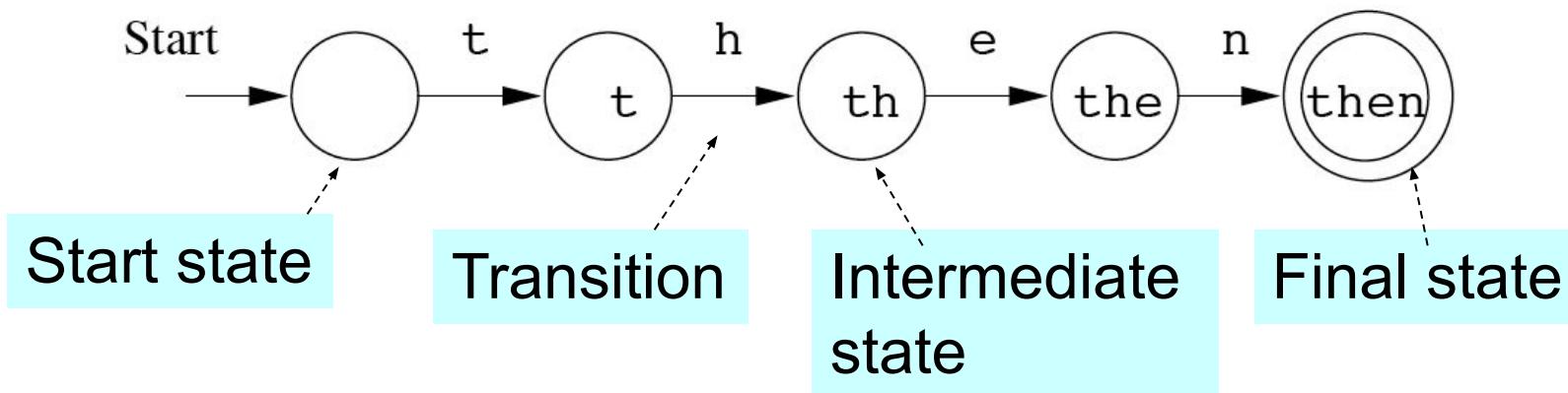
Q) Is  $w \in$  the language of strings with equal number of 0s and 1s?

# Finite Automata : Examples

- On/Off switch



- Modeling recognition of the word “then”



# Finite Automata

- Some Applications
  - Software for designing and checking the behavior of digital circuits.
  - Lexical analyzer of a typical compiler.
  - Software for scanning large bodies of text (e.g., web pages) for pattern finding.
  - Software for verifying systems of all types that have a finite number of states (e.g., stock market transaction, communication/network protocol).

# Formal Proofs

# Definitions, Theorems, Lemma and Corollary

- **Definition:** A precise and unambiguous description of the meaning of a mathematical term. It characterizes the meaning of a word by giving all the properties and only those properties that must be true.
- **Theorem:** A mathematical statement that is proved using rigorous mathematical reasoning. In a mathematical paper, the term theorem is often reserved for the most important results.
- **Lemma:** A minor result whose sole purpose is to help in proving a theorem. It is a stepping stone on the path to proving a theorem. It is an intermediate result that we show to prove a larger result.

# Definitions, Theorems, Lemma and Corollary

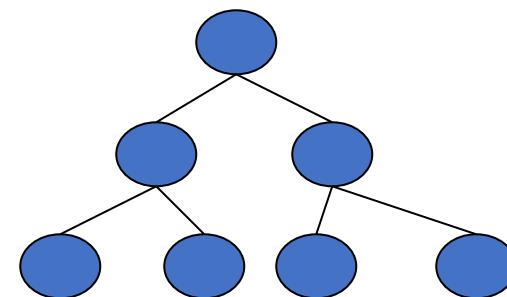
- **Corollary:** A result in which the proof relies heavily on a given theorem. It is a result that follows from an already proven result. (“this is a corollary of Theorem A”).

An example:

Theorem: *The height of an  $n$ -node binary tree is at least  $\text{floor}(\log n)$*

Lemma: *Level  $i$  of a complete binary tree has  $2^i$  nodes.*

Corollary: *A complete binary tree of height  $h$  has  $2^{h+1}-1$  nodes.*



# Proof by contradiction

- We assume that the **theorem is false** and then show that this assumption leads to an **obviously false consequence**, called a contradiction.



# Proof by induction

- For each positive integer  $n$ , let  $P(n)$  be a mathematical statement that depends on  $n$ .
- Assume we wish to prove that  $P(n)$  is true for all positive integers  $n$ .

STEPS:

**Basis:** Prove that  $P(1)$  is true.

**Induction step:** Prove that for all  $n \geq 1$ , the following holds: If  $P(n)$  is true, then  $P(n + 1)$  is also true.

- In the induction step, **we choose an arbitrary integer  $n \geq 1$  and assume that  $P(n)$  is true;** this is called the **induction hypothesis**. Then we prove that  $P(n + 1)$  is also true.

# Proof by induction

- **Theorem:** For all positive integers  $n$ , we have

$$1 + 2 + 3 + \dots + n = \frac{n(n + 1)}{2}$$

- **Proof:**

- We start with the **basis** of the induction. If  $n = 1$ , then the left-hand side is equal to 1, and so is the right-hand side. So the theorem is true for  $n = 1$ .
- For the **induction** step, let  $n \geq 1$  and assume that the theorem is true for  $n$ .
- We have to prove that the theorem is true for  $n + 1$ .

$$1 + 2 + 3 + \dots + (n + 1) = \frac{(n + 1)(n + 2)}{2}$$

# Proof by induction

$$\begin{aligned}1 + 2 + 3 + \dots + (n + 1) &= \underbrace{1 + 2 + 3 + \dots + n}_{=\frac{n(n+1)}{2}} + (n + 1) \\&= \frac{n(n + 1)}{2} + (n + 1) \\&= \frac{(n + 1)(n + 2)}{2}.\end{aligned}$$

- An alternative proof of the theorem: Let  $S = 1 + 2 + 3 + \dots + n$ . Then,

$$\begin{array}{rcl}S &=& 1 + 2 + 3 + \dots + (n - 2) + (n - 1) + n \\ S &=& n + (n - 1) + (n - 2) + \dots + 3 + 2 + 1 \\ \hline 2S &=& (n + 1) + (n + 1) + (n + 1) + \dots + (n + 1) + (n + 1) + (n + 1)\end{array}$$

- Since there are  $n$  terms on the right-hand side, we have  $2S = n(n + 1)$ . This implies that  $S = n(n + 1)/2$ .

# Finite Automata

# Finite State Machine (Prerequisite)

①

Symbol - a, b, c - / 0, 1, 2, 3, - - -

Alphabet -  $\Sigma$  - Collection of Symbols.

Eg. {a, b}, {d, e, f, g}  
{0, 1, 2} - - -

String - Sequence of Symbols. Eg. a, b, 0, 1, aa, bb, ab, 01 -

Language - Set of Strings. Eg.  $\Sigma = \{0, 1\}$

Suppose!  
 $L_1$  = set of all strings of length 3  
= {00, 01, 10, 11}  $\rightarrow$  Finite Set

$L_2$  = set of all strings that begin  
with 0  
= {0, 00, 01, 000, 001, 010,  
011, 0000, - - -}  $\rightarrow$  Infinite set

(2)

## Finite State Machine (Prerequisite)

Power of  $\Sigma$ :

$$\Sigma = \{0, 1\}$$

$\Sigma^0$  = set of all strings of length 0:  $\Sigma^0 = \{\epsilon\}$

$\Sigma^1$  = set of all strings of length 1:  $\Sigma^1 = \{0, 1\}$

$\Sigma^2$  = " . . . " length 2:  $\Sigma^2 = \{00, 01, 10,$

⋮

$\Sigma^n$  = set of all strings of length n

Cardinality - Number of elements in a set

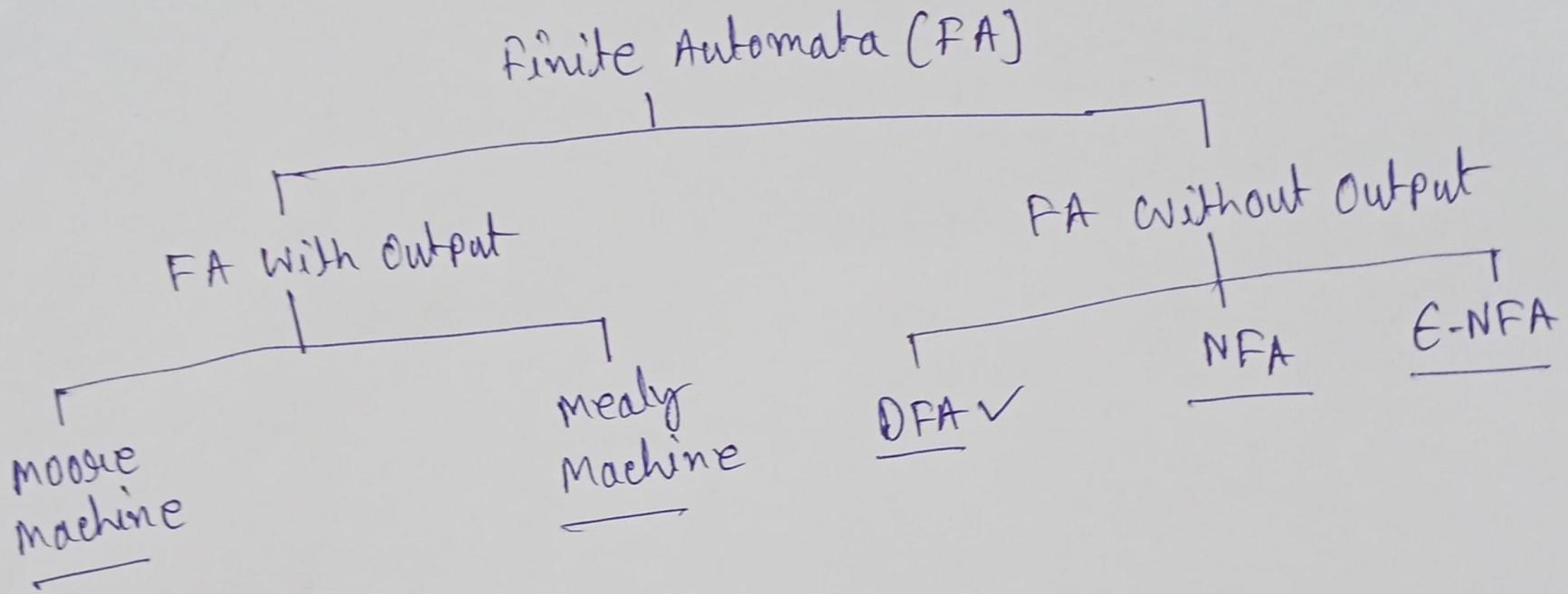
$$\rightarrow \boxed{\Sigma^n = 2^n}$$

$$\begin{aligned}\underline{\Sigma^*} &= \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \\ &= \{\epsilon\} \cup \{0, 1\} \cup \{00, 01, 10, 11\} \cup \dots\end{aligned}$$

= Set of all possible strings of all lengths over  $\{0, 1\}$  → Infinite set.

③

## Finite State machine



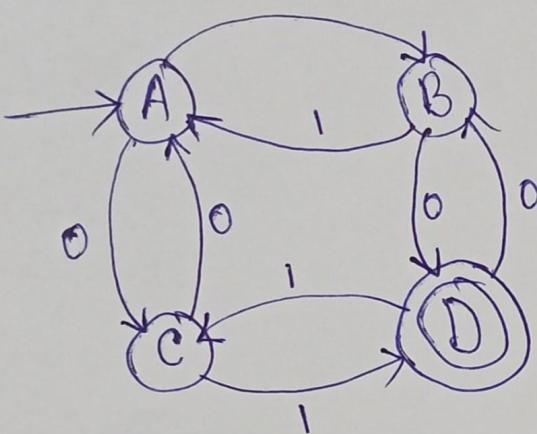
DFA - Deterministic finite Automata

For Finite state machine (Properties)

- It is a simplest model of computation

- It has a very limited memory

DFA



Structure of  
a DFA

↓

$$\mathcal{Q} = \{A, B, C, D\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = A$$

$$F = \{D\}$$

⇒ DFA can be defined using  
5 tuples:

$$(\mathcal{Q}, \Sigma, q_0, F, \delta)$$

$\mathcal{Q}$  = set of all states

$\Sigma$  = inputs

$q_0$  = Start state/initial state

$F$  = Set of final states

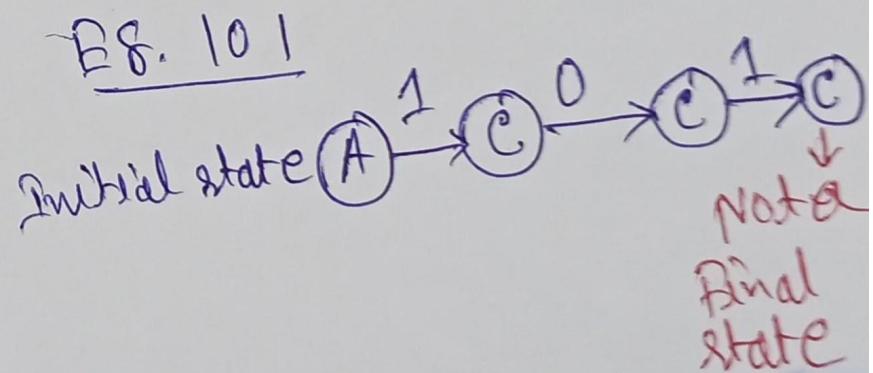
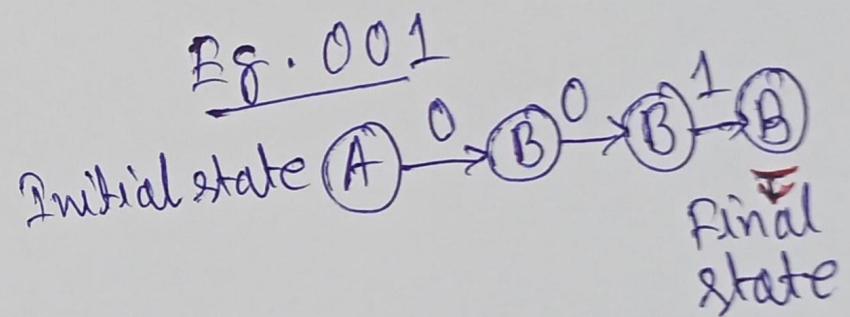
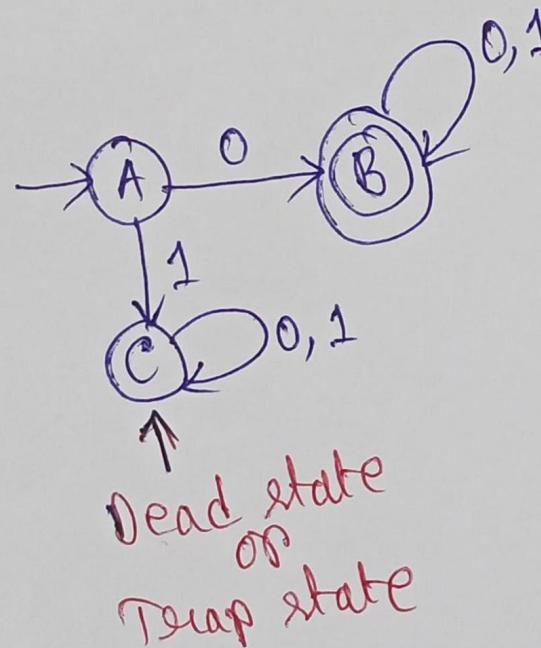
$\delta$  = transition function from  
 $\mathcal{Q} \times \Sigma \rightarrow \mathcal{Q}$

	0	1
A	C	B
B	D	A
C	A	D
D	B	C

⇒  $\delta(A, 0) = C$   
 $\delta(A, 1) = B$   
 $\delta(B, 0) = D$   
 $\delta(B, 1) = C$ .

## Deterministic Finite Automata (Example-1)

$L_1$  = Set of all strings that starts with '0'  
 $= \{0, 00, 01, 000, 010, 011, 0000, \dots\}$



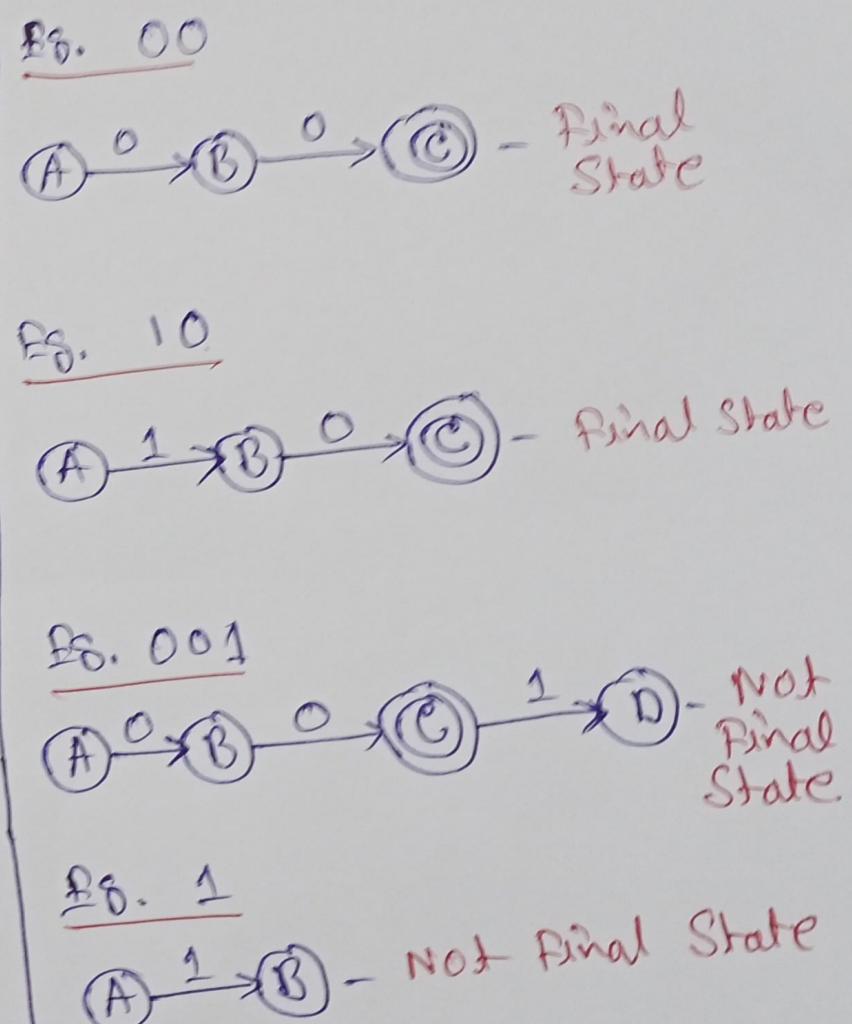
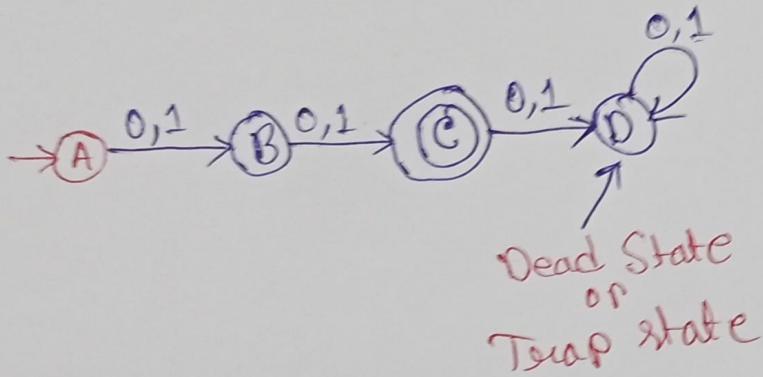
⑥

### DFA (Example-2)

→ Construct a DFA that accepts sets of all strings over  $\{0, 1\}$  of length 2.

$$\Sigma = \{0, 1\}$$

$$L = \{00, 01, 10, 11\}$$



⑦

## DFA (Example-3)

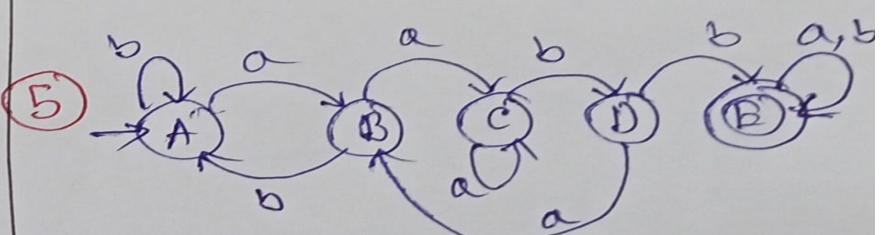
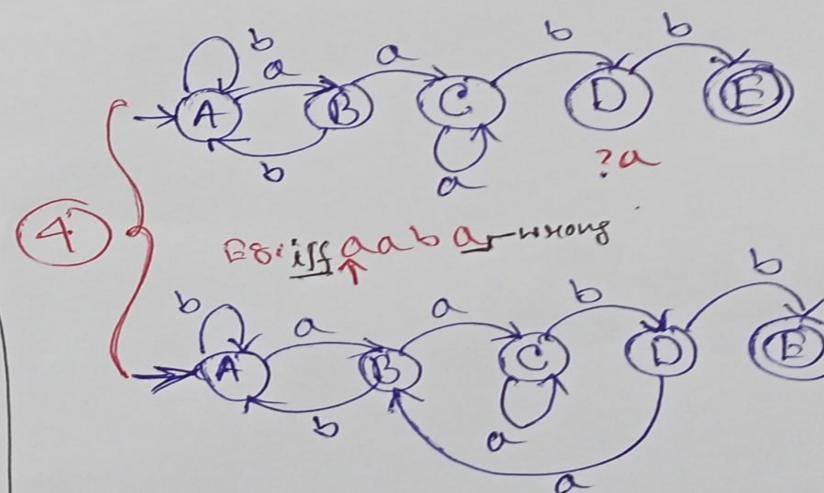
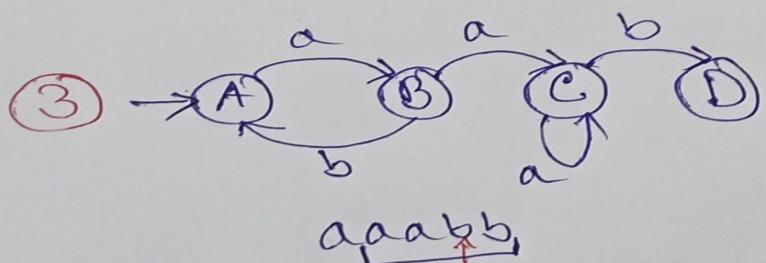
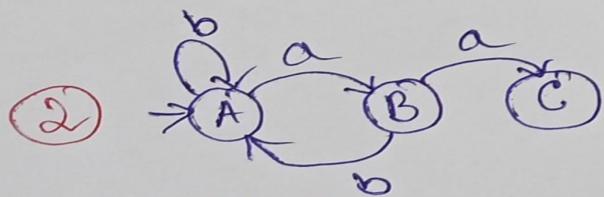
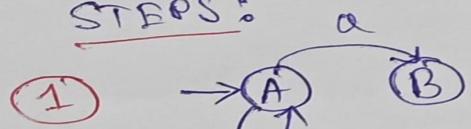
⇒ Construct a DFA that accepts any string over  $\{a, b\}$  that does not contain the string aabb in it.

$$\Sigma = \{a, b\}$$

Try to design a simpler problem

⇒ Let us construct a DFA that accepts all strings over  $\{a, b\}$  that contains the string aabb in it.

STEPS:



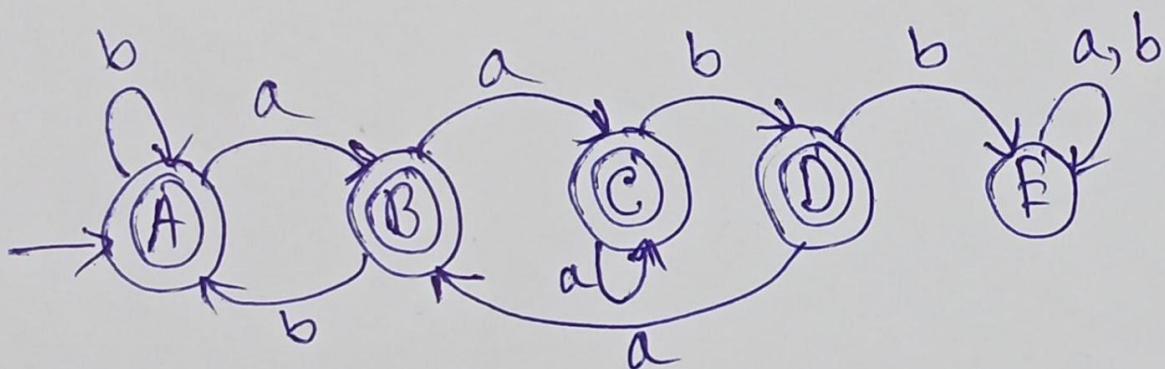
⑧

### DFA (Example 3)

→ Construct a DFA that accepts any strings over  $\{a, b\}$  that does not contain the string aabb in it.

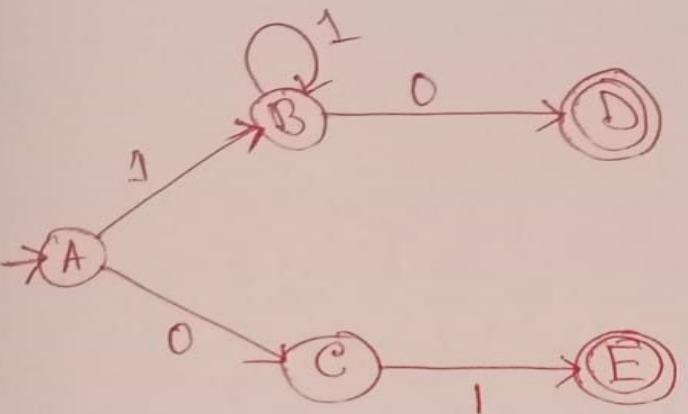
⇒ flip the States

- make the final state into non-final state.  
and
- make the non-final states into final state.



## DFA (Example-4)

→ How to figure out what a DFA recognizes?



10 ✓

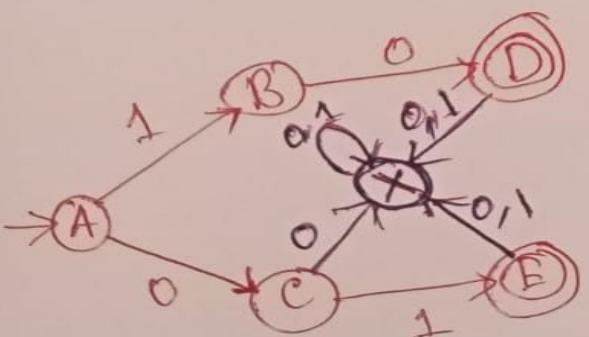
1111 0 ✓  
↑ B  
A      0

01 ✓

At least  
one binary  
digit '1'

$L = \{ \text{Accepts the string } 01 \text{ or a string of at least one '1' followed by a '0'} \}$

Eg. 001, 010, 011, 1101, 1100



→ OK, Complete.

Thank You

# Regular Languages

## Regular Languages

⇒ A language is said to be a Regular Language if and only if some Finite State Machine ~~recognizes~~ recognizes it.

So what languages are not Regular?

The languages

- ⇒ which are not recognized by any PSM.
- ⇒ which requires memory.

⇒ When a language not recognized by any PSM?  
Ans: When a language requires memory.

Because of,

- memory of PSM is very limited.
- It cannot store or Count strings

Eg.

ababb, ababb,  
↑      ↑  
|      |  
Store required

$a^N b^N$   
aaa bbb [Count required]  
aaaa bbbb

NOT REGULAR

## Operations on Regular Languages

UNION

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

CONCATENATION

$$A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$$

STAR

$$A^* = \{x_1 x_2 x_3 \dots x_k \mid k \geq 0 \text{ and} \\ \text{each } x_i \in A\}$$

Ex.  $A = \{pq, r\}$ ,  $B = \{\epsilon, uv\}$

$$A \cup B = \{pq, r, \epsilon, uv\}$$

$$A \circ B = \{pqt, pqr, rt, ruv\}$$

$$A^* = \{\epsilon, pq, r, pqr, rpq, pqrq, rr, pqpq, \\ rrr, \dots\}$$

Theorem 1: The class of regular languages is closed under UNION.

Theorem 2: The class of regular languages is closed under CONCATENATION.

⇒ For each of the following language, construct a DFA that accepts the language, In all cases alphabet is  $\{0, 1\}$ .

①  $\{w : w \text{ is a binary string containing an odd number of } 1's\}$

②  $\{w : w \text{ is a binary string containing } 101 \text{ as substring}\}$

③  $\{w : \text{the length of } w \text{ is divisible by three}\}$

④  $\{w : 110 \text{ is not a substring of } w\}$

⑤  $\{w : w \text{ contains the substring } 1011\}$

⑥  $\{w : w \text{ contains an even number of } 0's \text{ or exactly two } 1's\}$

# Non-Deterministic FA

## Deterministic Finite Automata



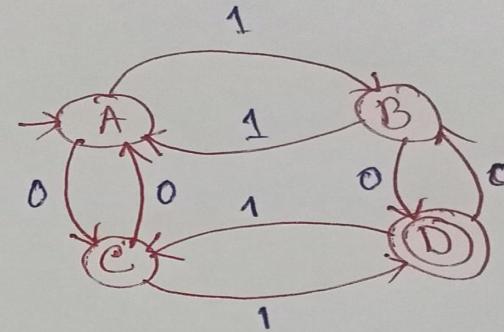
### DETERMINISM

⇒ In DFA, given the current state we know what the next state will be.

⇒ It has only one unique next state.

⇒ It has no choice or randomness.

⇒ It is simple and easy to design.



## Non-deterministic Finite Automata

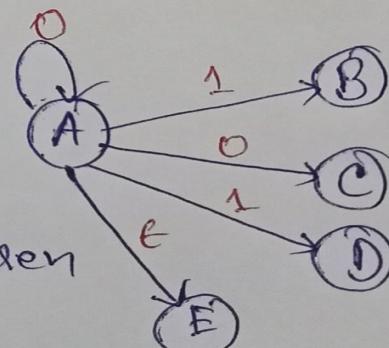


### NON-DETERMINISM

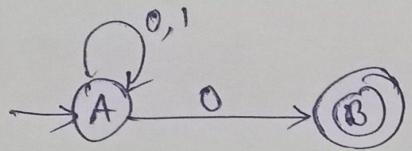
⇒ In NFA, given the current state there could be multiple next states.

⇒ The next state may be chosen at random

⇒ All the next states may be chosen in parallel.



## NFA - Formal Definition



$L = \{ \text{Set of all strings that end with } 0 \}$

$$(Q, \Sigma, q_0, F, \delta)$$

$Q$  = Set of all states

- {A, B}

$\Sigma$  = inputs

- {0, 1}

$q_0$  = start state/initial state

- A

F = set of final states

- B

$$\delta = Q \times \Sigma \rightarrow 2^Q$$

-  $\emptyset$

↓

$$A \times 0 \rightarrow A \checkmark$$

more than one state

$$A \times 0 \rightarrow B \checkmark$$

$$A \times 1 \rightarrow A$$

$$B \times 0 \rightarrow \emptyset$$

$$B \times 1 \rightarrow \emptyset$$

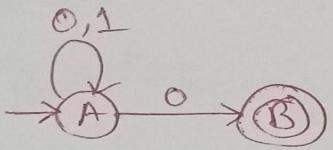
D8.  
 $\Rightarrow A \xrightarrow{1} A, B, AB, \emptyset$   
 $\xrightarrow{2} 4$   
~~• 3 states~~

$\Rightarrow 3 \text{ states} - A, B, C$

$A \xrightarrow{1} A, B, C, AB, AC, BC,$   
 $ABC, \emptyset$

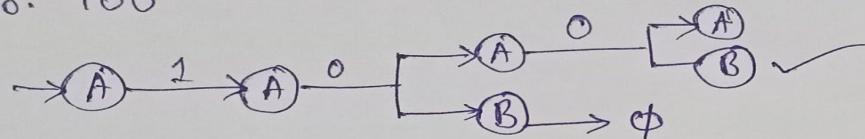
$\xrightarrow{2} 8$

### NFA - Example-1

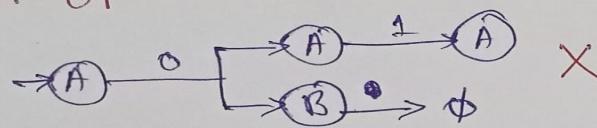


$L = \{ \text{Set of all strings that end with } 0 \}$

Ex. 100



Ex. 01

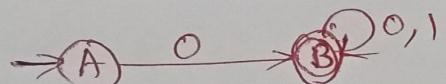


⇒ If there is any way to run the machine that ends in any set of states out of which at least one state is a final state, then the NFA accepts.

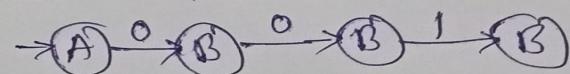
### Example 2

$L = \{ \text{Set of all strings that start with } 0 \}$

$$= \{ 0, 00, 01, 000, \dots \}$$



Ex. 001 ✓



Ex. 101 X

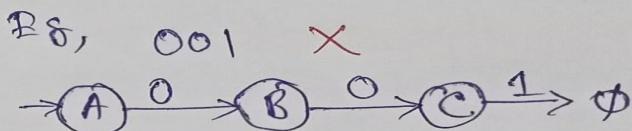
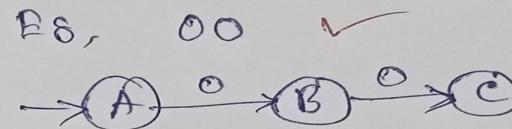
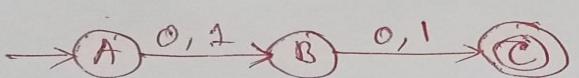
$\rightarrow (A) \rightarrow \phi$  Dead Configuration

### NFA - Example - 3

⇒ Construct a NFA that accepts sets of all strings over  $\{0, 1\}$  of length 2

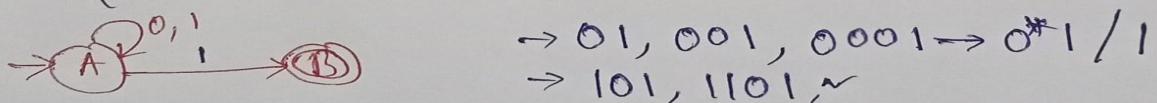
$$\Sigma = \{0, 1\}$$

$$L = \{00, 01, 10, 11\}$$



### Example - 4

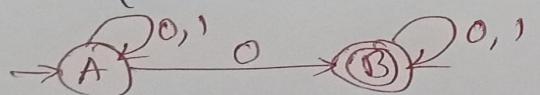
Ex a)  $L_1 = \{ \text{set of all strings that ends with '1'} \}$



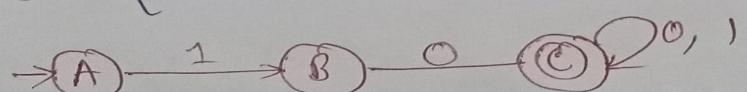
$$\rightarrow 01, 001, 0001 \rightarrow 0^*1 / 1$$

$$\rightarrow 101, 1101, \dots$$

Ex b)  $L_2 = \{ \text{set of all strings that contain '0'} \}$

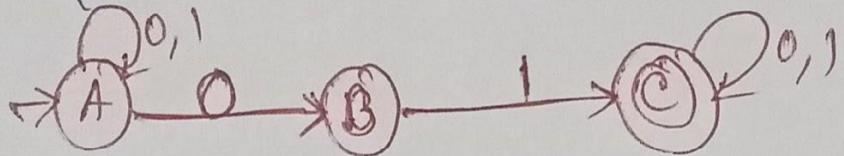


Ex c)  $L_3 = \{ \text{set of all strings that starts with '10'} \}$

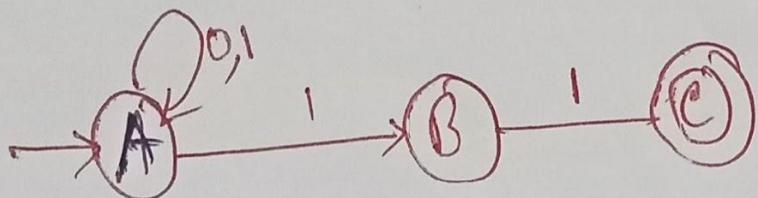


### NFA - Example 4

Ex 4)  $L_4 = \{ \text{set of all strings that contain '01'} \}$



Ex 5)  $L_5 = \{ \text{Set of all strings that ends with '11'} \}$



Assignment: If you were to construct the equivalent DFAs for the above NFAs, then tell that how many minimum number of states would you use for the construction of each of the DFA's

## Conversion of NFA to DFA

Every DFA is an NFA,  
but not vice versa

But there is an equivalent  
DFA for every NFA

DFA

$$\delta = Q \times \Sigma \rightarrow Q,$$

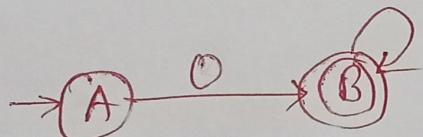
NFA

$$\delta = Q \times \Sigma \rightarrow 2^Q$$

$$NFA \cong DFA$$

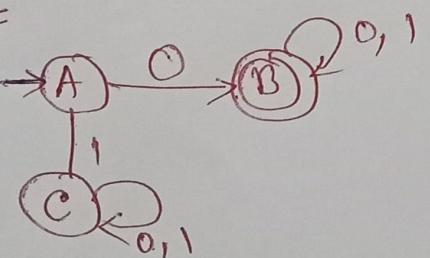
$L = \{ \text{Set of all strings over } (0, 1) \text{ that starts with '0'} \}$

NFA



	0	1
A	B	∅
B	B	B

DFA



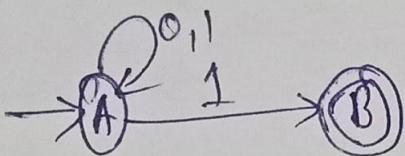
	0	1
A	B	C
B	B	B
C	C	C

C - Dead state/  
Trap state

## Conversion of NFA to DFA - Examples

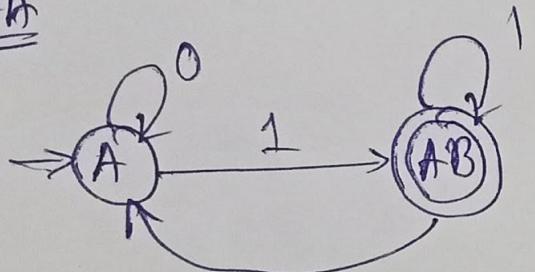
$L = \{ \text{Set of all strings over } \{0, 1\} \text{ that ends with '1'} \}$   
 $\Sigma = \{0, 1\}$

NFA



	0	1
A	{A}	{A, B}
B	$\emptyset$	$\emptyset$

DFA



	0	1
A	{A}	{AB}
AB	{A}	{AB}

AB-Single State

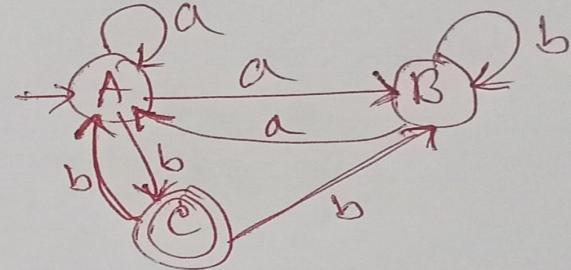
Subset Construction method

## Conversion of NFA to DFA - Examples

⇒ Find the equivalent DFA for the NFA given by

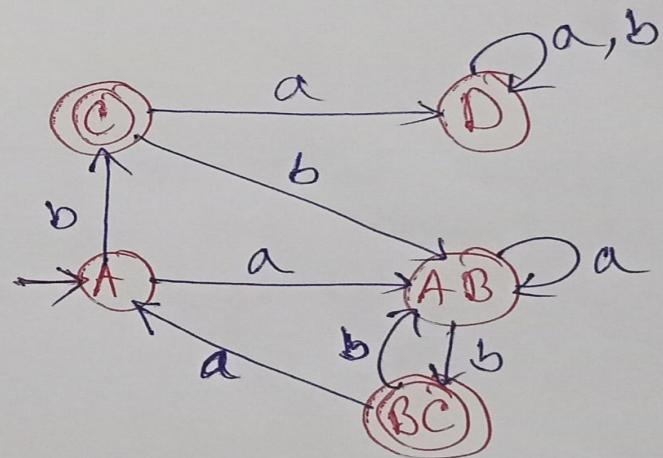
$M = [ \{A, B, C\}, \{a, b\}, f, A, \{C\} ]$  where  $f$  is given by:

	a	b
→ A	A, B	C
B	A	B
(1)	-	A, B



DFA

	a	b
→ A	AB	C
AB	AB	BC
BC	A	AB
(1)	D	AB
D	D	D

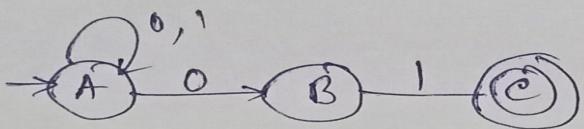


Assignment: Try to find out what does this NFA and its DFA accept.

### Conversion of NFA to DFA - Examples

- ⇒ Given below is the NFA for a language  
 $L = \{ \text{Set of all strings over } (0,1) \text{ that ends with '01' } \}$   
 Converts it to equivalent DFA

NFA



	0	1
A	A, B	A
B	∅	C
C	∅	∅

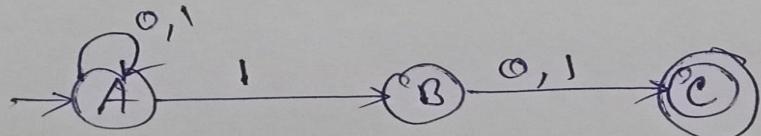
DFA

?

- ⇒ Design an NFA for a language that accepts all strings over  $\{0, 1\}$  in which the second last symbol is always '1'. Then convert it to its equivalent DFA.

Ex.    0010  
       0110  
       110011  
       000111

NFA



	0	1
A		
B		
C		

DFA

?

# Minimization of DFA

## Minimization of DFA

⇒ minimization of DFA is required to obtain the minimized version of any DFA which consists of the minimum number of states possible.

DFA with 5 States / with 4 States

00000  
↓  
Combine (How?)

⇒ Two states can be combined when they are Equivalent.

⇒ Two states 'A' and 'B' are said to be equivalent iff

$$\begin{aligned}\delta(A, x) &\rightarrow F \\ \text{and} \\ \delta(B, x) &\rightarrow F\end{aligned}$$

$$\begin{aligned}\delta(A, x) &\rightarrow F \\ \text{OR} \\ \delta(B, x) &\rightarrow F\end{aligned}$$

where 'x' is any input string

⇒ Types of equivalent (~~for 0, 1, 2, n~~)

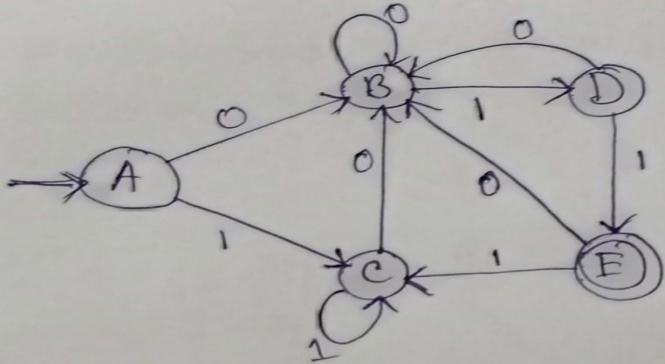
If  $|x|=0$ , then A and B are said to be 0 equivalent

If  $|x|=1$ , then A and B are said to be 1 equivalent

If  $|x|=2$ , then A and B are said to be 2 equivalent

⋮  
If  $|x|=n$ , then A and B are said to be n equivalent

### Minimization of DFA - Example (Part-1)



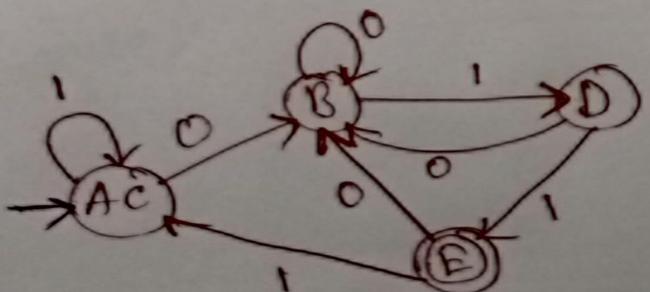
	0	1
A	B	C
B	B	D
C	B	C, E
D	B	E
E	B	C

0-Equivalence:  $\{A, B, C, D\} \{E\}$

1-Equivalence:  $\{A, B, C\} \{D\} \{E\} \leftarrow \begin{bmatrix} AB \checkmark \\ AC \checkmark \\ CD X \end{bmatrix}$

2-Equivalence:  $\{AC\} \{B\} \{D\} \{E\}$

3-Equivalence:  $\{A, C\} \{B\} \{D\} \{E\}$



→ Minimal version of  
above DFA

Partitioning method

## Minimization of DFA - Examples (Part-2)

⇒ Construct a minimum DFA equivalent to the DFA described by

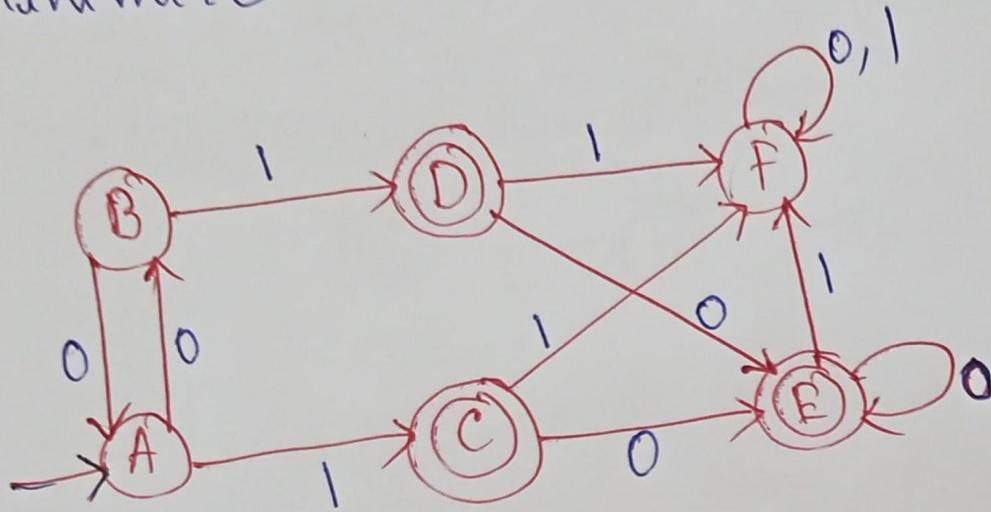
	0	1
$\rightarrow q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$
$q_2$	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_5$
$q_5$	$q_2$	$q_6$
$q_6$	$q_6$	$q_4$
$q_7$	$q_6$	$q_2$

Assignment

## Minimization of DFA - Examples (Part 3)

⇒ When there are more than one final state involved

Minimize the following DFA:

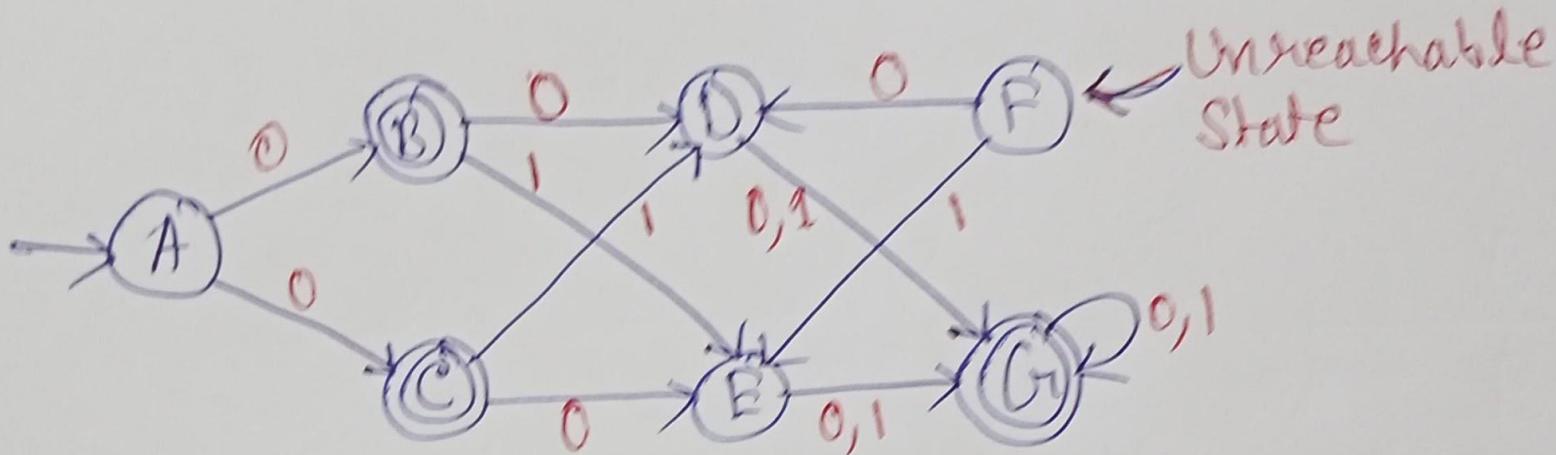


	0	1
→ A	B	C
B	A	D
C	E	F
D	E	F
E	F	F
F	F	F

Assignment

## Minimization of DFA - Examples

⇒ When there are Unreachable states involved



⇒ A state is said to be unreachable if there is no way it can be reached from the Initial State.

⇒ Remove the Unreachable state from DFA.

Assignment

**$\epsilon$ -NFA**

## Epsilon ( $\epsilon$ ) - NFA

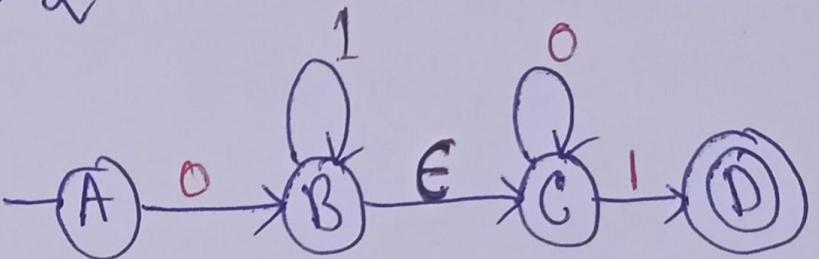
$\epsilon$ -NFA

↳ empty Symbols/Null symbol

$\{Q, \Sigma, q_0, \delta, F\}$



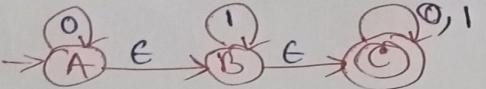
$\delta: Q \times \Sigma \cup \epsilon \rightarrow 2^Q$



Note: Every state on  $\epsilon$  goes to itself.

## Conversion of $\epsilon$ -NFA to NFA

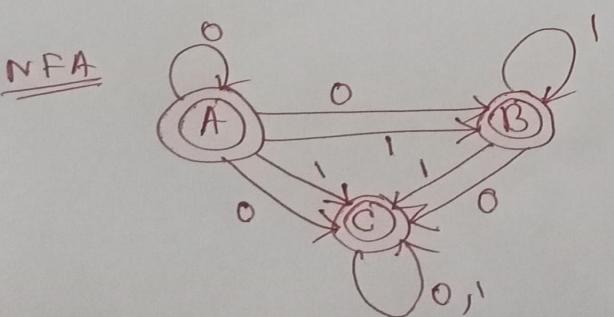
Convert the following  $\epsilon$ -NFA to its equivalent NFA



<u>NFA</u>	0	1
A	{A, B, C}	{B, C}
B	{C}	{B, C}
C	{C}	{C}

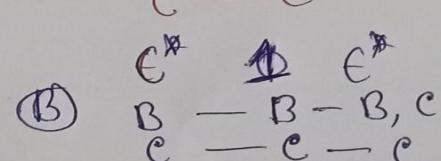
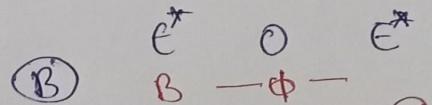
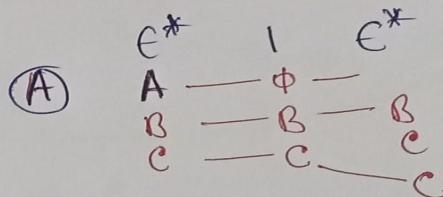
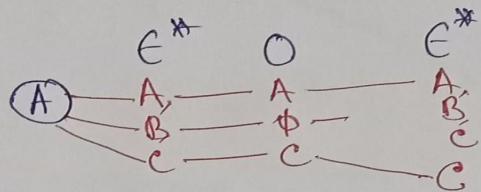
⇒ Final state?

↳ Any state that can reach the final state only by seeing  $\epsilon$ .



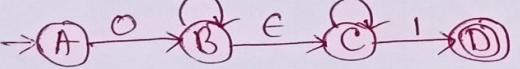
State	$\epsilon^*$	input	$\epsilon^*$
-------	--------------	-------	--------------

$\epsilon$ -closure( $\epsilon^*$ ) - All the states that can be reached from a particular state only by seeing  $\epsilon$  symbol.

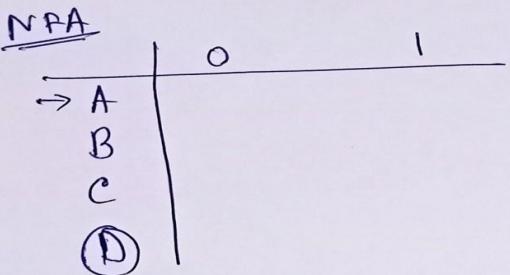


### Conversion of $\epsilon$ -NFA to NFA - Example

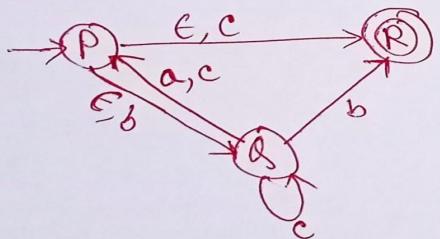
⇒ Convert the following  $\epsilon$ -NFA to its equivalent NFA



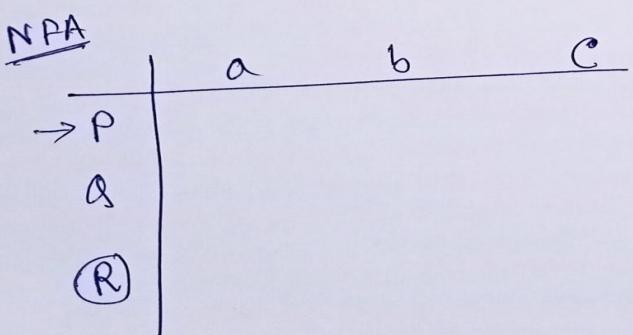
$\epsilon^* 0 \epsilon^* \quad \epsilon^* 1 \epsilon^*$



⇒ Convert the following  $\epsilon$ -NFA to its equivalent NFA



$\epsilon^* a \epsilon^* \quad \epsilon^* b \epsilon^* \quad \epsilon^* c \epsilon^*$



Assignment

# Regular Expression

## Regular Expression

⇒ Regular Expressions are used for representing certain sets of strings in an algebraic fashion.

① Any terminal symbol i.e. symbols  $\Sigma \in \{a, b, c, \dots, \lambda, \phi\}$  including  $\lambda$  and  $\phi$  are regular expressions.

② The union of two regular expressions  $R_1, R_2 \Rightarrow (R_1 + R_2)$  is also a regular expression.

③ The concatenation of two regular expressions  $R_1, R_2 \Rightarrow (R_1 \cdot R_2)$  is also a regular expression.

④ The iteration (or closure) of a regular expression  $R \Rightarrow R^*$   $a^* = \lambda, aa, \dots$  is also a regular expression.

⑤ The regular expression over  $\Sigma$  are precisely those obtained recursively by the application of the above rules once or several times.

## Regular Expression - Examples

⇒ Describe the following sets as Regular Expressions

1)  $\{0, 1, 2\}$       0 or 1 or 2

$$R = 0 + 1 + 2$$

2)  $\{\lambda, ab\}$

$$R = \lambda \cup ab$$

3)  $\{abb, a, b, bba\}$       abb or a or b or bba

$$R = abb + a + b + bba$$

4)  $\{\lambda, 0, 00, 000, \dots\}$       closure of 0

$$R = 0^*$$

5)  $\{1, 11, 111, 1111, \dots\}$

$$R = 1^+$$

## Identities of Regular Expression

$$1) \emptyset + R = R$$

$$2) \emptyset R + R \emptyset = \emptyset$$

$$3) \epsilon R = R \epsilon = R$$

$$4) \epsilon^* = \epsilon \text{ and } \emptyset^* = \epsilon$$

$$5) R + R = R$$

$$6) R^* R^* = R^*$$

$$7) RR^* = R^* R$$

$$8) (R^*)^* = R^*$$

$$9) \epsilon + R R^* = \epsilon + R^* R = R^*$$

$$10) (PQ)^* P = P(QP)^*$$

$$11) (\emptyset + Q)^* = (P^* Q^*)^* = (P^* + Q^*)^*$$

$$12) (P+Q)R = PR + QR \text{ and}$$

$$R(P+Q) = RP + RQ$$

$$R^+ \cup \epsilon = R^*$$

## ARDEN'S THEOREM

⇒ If  $P$  and  $Q$  are two regular expressions over  $\Sigma$ , and if  $P$  does not contain  $\epsilon$ , then the following equation in  $R$  given by  $[R = Q + RP]$  has a unique solution i.e.  $[R = QP^*]$

$$R = Q + RP \rightarrow ①$$

$$= Q + QP^*P$$

$$= Q(\epsilon + P^*P)$$

$$= QP^*$$

Proved that  $R = QP^*$  is a unique solution.

$$R = QP^*$$

$$[\epsilon + R^*R = R^*]$$

⇒ Prove that  $R = QP^*$  is a unique solution.

$$R = Q + RP$$

$$= Q + [Q + RP]P$$

$$= Q + QP + RP^2$$

$$= Q + QP + [Q + RP]P^2$$

$$= Q + QP + QP^2 + RP^3$$

⋮

$$= Q + QP + QP^2 + \dots - QP^n + RP^{n+1}$$

$[R \neq QP^*]$

$$= Q + QP + QP^2 + \dots - QP^n + QP^*P^{n+1}$$

$$= Q[\epsilon + P + P^2 + \dots - P^n + P^*P^{n+1}]$$

$$R = \underline{QP^*}$$

## An Example Proof using Identities of RE

⇒ Prove that  $(1 + 00^* 1) + (1 + 00^* 1)(0 + 10^* 1)^*(0 + 10^* 1)$  is equal to  $0^* 1(0 + 10^* 1)^*$

$$\begin{aligned} \text{LHS} &= (1 + 00^* 1) + \underline{(1 + 00^* 1)} (0 + 10^* 1)^*(0 + 10^* 1) \\ &= \underline{(1 + 00^* 1)} [\epsilon + \underline{(0 + 10^* 1)^*} \underline{(0 + 10^* 1)}] && \epsilon + R^* R = R^* \\ &= \underline{(1 + 00^* 1)} (0 + 10^* 1)^* \\ &= (\underline{\epsilon} \cdot \underline{1 + 00^* 1}) (0 + 10^* 1)^* && \epsilon \cdot R = R \\ &= (\epsilon + 00^*) \mid (0 + 10^* 1)^* \\ &= 0^* 1 (0 + 10^* 1)^* = \underline{\underline{\text{RHS}}} && \epsilon + R^* R = R^* \end{aligned}$$

## Designing RE - Examples

⇒ Design RE for the following languages over {a, b}

- 1) Language accepting strings of length exactly 2
- 2) Language accepting strings of length atleast 2
- 3) Language accepting strings of length atmost 2

Soln

1)  $L_1 = \{aa, ab, ba, bb\}$

$$\begin{aligned} R &= aa + ab + ba + bb \\ &= a(a+b) + b(a+b) \\ &= (a+b)(a+b) \end{aligned}$$

②

2)  $L_2 = \{aa, ab, ba, bb, aaa, \dots\}$

$$R = \underline{(a+b)} \underline{(a+b)} \underline{(a+b)^*} \quad \underline{x} = 0, 1, 2, 3, \dots$$

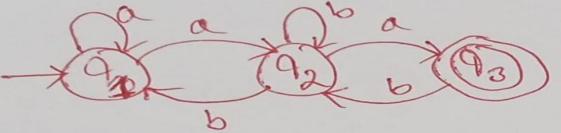
3)  $L_3 = \{\epsilon, a, b, aa, ab, ba, bb\}$

$$\begin{aligned} R &= \epsilon + a + b + aa + ab + ba + bb \\ &= (\epsilon + a + b)(\epsilon + a + b) \end{aligned}$$

# Inter-conversion (RE & FA)

## Designing Regular Expression - Examples

⇒ find the RE for the following NFA



$$q_3 = q_2 a \rightarrow ①$$

$$q_2 = q_1 a + q_2 b + q_3 b \rightarrow ②$$

$$q_1 = \epsilon + q_1 a + q_2 b \rightarrow ③$$

$$\begin{aligned} ① \Rightarrow q_3 &= q_2 a \\ &= (q_1 a + q_2 b + q_3 b) a \\ &= q_1 a a + q_2 b a + q_3 b a \rightarrow ④ \end{aligned}$$

$$② \Rightarrow q_2 = q_1 a + q_2 b + q_3 b \quad \text{Putting value of } q_3 \text{ from } ①$$

$$= q_1 a + q_2 b + (q_2 a) b$$

$$= q_1 a + q_2 b + q_2 a b$$

$$q_2 = \underbrace{q_1 a}_{R} + \underbrace{q_2}_{R} \underbrace{(b + a b)}_{P}$$

$$\begin{aligned} R &= \alpha + RP \\ R &= \alpha P^* \quad [\text{Arden's Theorem}] \end{aligned}$$

$$q_2 = q_1 a (b + a b)^* \rightarrow ⑤$$

$$③ \Rightarrow q_1 = \epsilon + q_1 a + q_2 b$$

Putting value of  $q_2$  from ⑤

$$q_1 = \epsilon + q_1 a + ((q_1 a) (b + a b)^*) b$$

$$q_1 = \underbrace{\epsilon}_{R} + \underbrace{q_1}_{R} \underbrace{(a + a(b+a b)^*) b}_{P}$$

$$q_1 = \epsilon \cdot (a + (a(b+ab)^*)^* b)^*$$

$$q_1 = a + a(b+ab)^* b \xrightarrow{6}$$

$$\epsilon \cdot R = R$$

Final state  $\rightarrow q_3$

$$q_3 = \underline{q_2} a$$

Putting the value of  $q_2$  from (5)

$$q_3 = \underline{q_1} a(b+ab)^* a$$

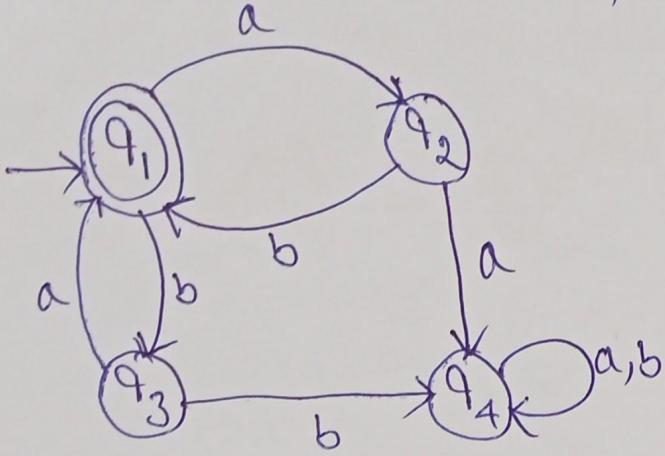
Putting the value of  $q_1$  from (6)

$$q_3 = \underline{(a + a(b+ab)^* b)^* a(b+ab)^* a}$$

= Required RE for the given NFA

## Designing Regular Expression - Examples

Find the RE for the following DFA



$$q_1 = \epsilon + q_2 b + q_3 a \rightarrow \textcircled{I}$$

$$q_2 = q_1 a \rightarrow \textcircled{II}$$

$$q_3 = q_1 b \rightarrow \textcircled{III}$$

$$q_4 = q_2 a + q_3 b + q_1 a + q_1 b \rightarrow \textcircled{IV}$$

$$\textcircled{I} \rightarrow q_1 = \epsilon + \underline{q_2 b} + \underline{q_3 a}$$

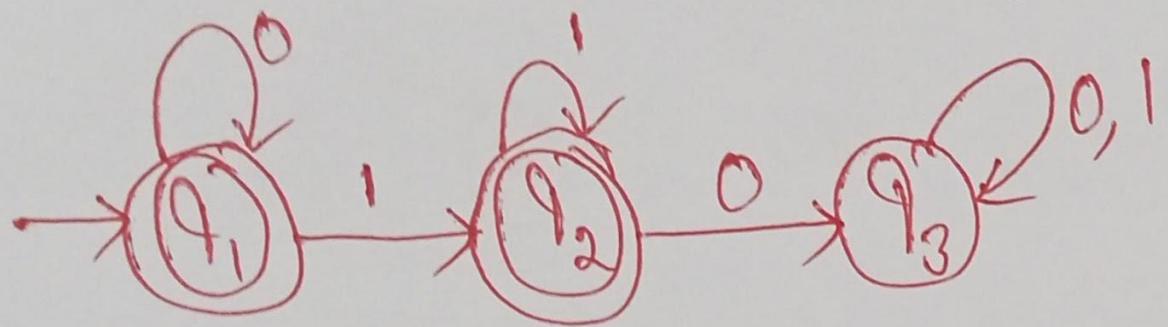
Putting values of  $q_2$  and  $q_3$  from  $\textcircled{II}$  and  $\textcircled{III}$

Assignment

## Designing RE - Examples

(When there are multiple final states)

Find the RE for the following DFA

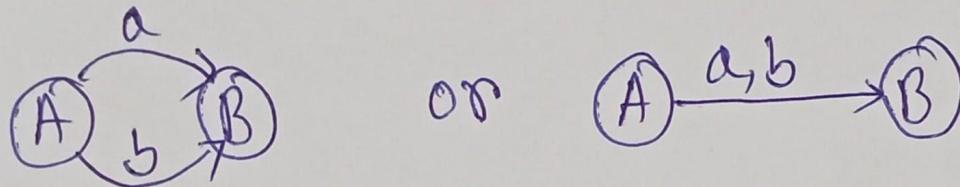


Assignment

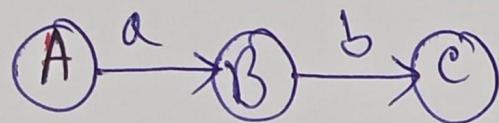
## Conversion of RE to Finite Automata

Important Rules →

$$\Rightarrow (a+b)$$



$$\Rightarrow (a \cdot b)$$



$$\Rightarrow a^*$$

The diagram shows a single state A with a self-loop transition labeled 'a' that loops back to state A.

## Conversion of RB to PA - Examples

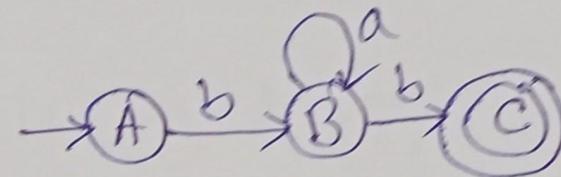
Convert the following RB to their equivalent PA:

①  $b a^* b$

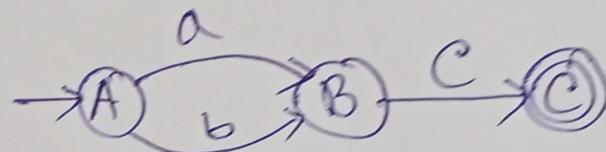
②  $(a+b)c$

③  $a(bc)^*$

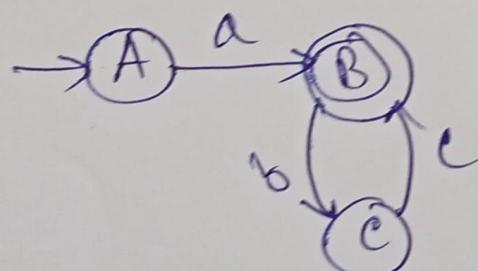
①  $b a^* b \rightarrow \underline{bb}, bab, baab.$



②  $(a+b)c \rightarrow \underline{ac}, \underline{bc}$



③  $a(bc)^* \rightarrow \underline{\underline{a}}, \underline{a\underline{b}\overline{c}}, \underline{a\underline{b}\underline{c}\overline{b}\overline{c}}, \underline{a\underline{b}\underline{c}\underline{b}\overline{c}\overline{c}}, \dots$

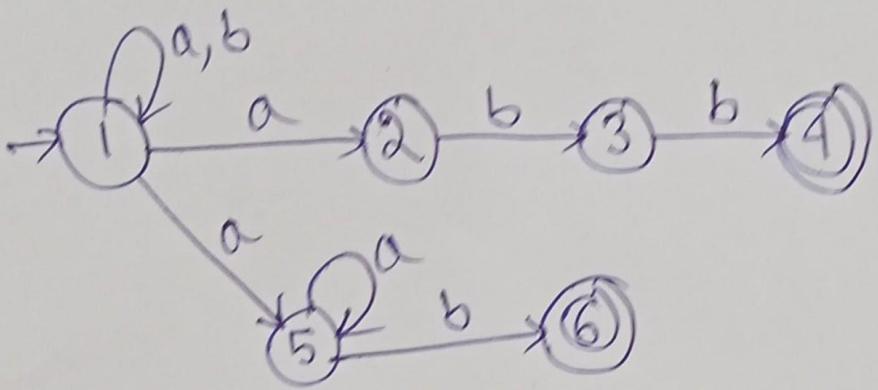


### Conversion of RB to RA - Examples

⇒ Convert the following RB to its equivalent RA!

$$(a|b)^* (abb|a+b)$$

[+/-]



$$\begin{aligned} a^t &= \{a, aa, aaa, \dots\} \\ a^* &= \{\epsilon, a, aa, aaa, \dots\} \end{aligned}$$

⇒ Convert the following RB to its equivalent RA:

$$10 + (0+11)0^*$$

Assignment.

Thank You

# Pumping Lemma for RE

## Pumping Lemma (For Regular Languages)

- » Pumping Lemma is used to prove that a language is NOT REGULAR.
- » It cannot be used to prove that a language is regular.

If  $A$  is a regular language, then  $A$  has a pumping length ' $p$ ' such that any string ' $s$ ' where  $|s| \geq p$  may be divided into 3 parts  $S = \underline{xyz}$  such that the following conditions must be true.

- 1)  $xy^iz \in A$  for every  $i \geq 0$
- 2)  $|y| > 0$
- 3)  $|xy| \leq p$

## Pumping Lemma (For Regular Expression)

→ To prove that a language is not regular using pumping lemma, follow the below steps:

(we prove using Contradiction)

- Assume that A is regular
- It has to have a pumping length (say p)
- All strings longer than p can be pumped  $|S| \geq p$
- Now find a string 'S' in A such that  $|S| \geq p$
- Divide S into xyz
- Show that  $xy^iz \notin A$  for some i
- Then consider all ~~ways~~ ways that S can be divided into xyz.
- Show that none of these can satisfy all the 3 pumping conditions at the same time
- S can not be pumped = Contradiction

## Pumping Lemma (For Regular Languages)-Example

⇒ Using Pumping Lemma prove that the language  
 $A = \{a^n b^n \mid n \geq 0\}$  is not regular

Ques

→ Assume that A is Regular

→ It need to have a pumping length  $\alpha$ , say P

→ Choose string,  $S = \underbrace{a^P}_x \underbrace{b^P}_y \underbrace{\_}_z$

→ For example,  $P=7$ ,  $\Rightarrow S = aaaaaaa bbbbbbb$

→ All the possible ways to divide S are as follows.

Case 1: The y is in the 'a' part

aaaaaaaabbbb  
x y z

$\rightarrow xy^i z \Rightarrow x y^2 z \quad X$   
aaaaaaaabbbb  
11 ≠ 7

Case 2: The y is in the 'b' part

aaaaaaaabb  
x y z

$\rightarrow xy^i z \Rightarrow xy^7 z \quad X$   
aaaaaaaabb  
7 ≠ 11

Case 3: The y is in the 'a' and 'b' part

aaaaaaaabb  
x y z

$\rightarrow xy^i z \Rightarrow xy^7 z \quad X$   
aaaaaaaabb  
not following  $a^n b^n$

Check  $|xy| \leq P, P=7$

## Pumping Lemma (For RL) - Example

⇒ Using pumping lemma prove that the language  
 $A = \{yy \mid y \in \{0,1\}^*\}$  is not regular

0101

### Proof

→ Assume that A is regular

→ Pumping length say P

$$\rightarrow S = 0^P 1 0^P 1$$

X Y Z

$$P=7$$

0000000 | 00000001

$$xy^i z \Rightarrow xy^2 z$$

00000000000100000001

∉ A

$$|y| > 0 \checkmark$$

$$|xy| \leq P = 7 \checkmark$$

Therefore: A is not regular

# Grammars and Context Free Language

## Mathematical model of Grammar

⇒ Noam Chomsky gave a mathematical model of Grammar which is effective for writing Computer languages.

⇒ The four types of Grammar according to Noam Chomsky are:

Grammar Type	Grammar Accepted	Language Accepted	Automaton
Type 0	Unrestricted Grammar	Recursively Enumerable Language	Turing Machine
Type 1	Context Sensitive Grammar	Context Sensitive Language	Linear Bounded Automaton
Type 2	Context Free Grammar	Context Free Language	Pushdown Automata
Type 3	Regular Grammar	Regular Language	Finite State Automaton

## Grammars

$\Rightarrow$  A Grammar 'G' can be formally described using 4 tuples as  $G = (V, T, S, P)$  where,

$V$  = Set of Variables or Non-Terminal Symbols

$T$  = Set of Terminal Symbols

$S$  = Start Symbol

$P$  = Production rules for Terminal and Non-Terminals

A production rule has the form  ~~$\alpha \rightarrow \beta$~~   $\alpha \rightarrow \beta$  where  $\alpha$  and  $\beta$  are strings on  $V \cup T$  and atleast one symbol of  $\alpha$  belongs to  $V$ .

Example:  $G = (S, \{A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$$V = \{S, A, B\}$$

$$T = \{a, b\}$$

$$S = S$$

$$P = S \rightarrow AB, A \rightarrow a, B \rightarrow b$$

Ex.  $S \rightarrow AB$   
 $\rightarrow aB$   
 $\rightarrow \underline{\underline{ab}}$

## Derivations from a Grammar

The set of all strings that can be derived from a Grammar is said to be the Language generated from that Grammar.

Example 1: Consider the Grammar

$$G_{11} = (\{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, \underline{\underline{aA \rightarrow aaAb}}, \underline{\underline{A \rightarrow \epsilon}}\})$$

$$\begin{aligned} S &\rightarrow \underline{aAb} \\ &\rightarrow \underline{aaA}bb \\ &\rightarrow \underline{aaaA}bbb \\ &\rightarrow \underline{aaab}bb \\ L(G_{11}) &= \{a^n b^n \mid n \geq 0\} \end{aligned}$$

Example 2 :  $G_2 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, \underline{\underline{A \rightarrow aa \mid a}}, \underline{\underline{B \rightarrow bb \mid b}}\})$

$$\begin{array}{lll} \textcircled{1} \quad S \rightarrow \underline{AB} & \textcircled{2} \quad S \rightarrow \underline{AB} & \textcircled{3} \quad S \rightarrow \underline{AB} \\ \rightarrow \underline{aB} & \rightarrow \underline{aA}B & \rightarrow \underline{aA}B \\ \rightarrow \underline{ab} & \rightarrow \underline{aAb}B & \rightarrow \underline{aAb} \\ \underline{\underline{\quad}} & \rightarrow \underline{aab}B & \rightarrow \underline{aab} \\ & \rightarrow \underline{aab}b & \end{array}$$

$$\begin{array}{l} \textcircled{4} \quad S \rightarrow \underline{AB} \\ \rightarrow \underline{abB} \\ \rightarrow \underline{abb} \end{array}$$

$$\begin{aligned} L(G_2) &= \{ab, a^m b^n \mid m \geq 0 \text{ and } n \geq 0\} \\ &= \{a^m b^n \mid m \geq 0 \text{ and } n \geq 0\} \end{aligned}$$

## Context Free Language

- ⇒ In formal language theory, a Context Free Language is a language generated by some Context Free Grammar.
- ⇒ The set of all CFL is identical to the set of languages accepted by pushdown Automata.

Context Free Grammar is defined by 4 tuples as

$$G = \{V, \Sigma, S, P\} \text{ where}$$

$V$  = Set of variables or Non-Terminal symbols

$\Sigma$  = Set of Terminal symbols

$S$  = Start Symbol

$P$  = Production Rule

Context Free Grammar has Production Rule of the form

$$A \rightarrow \alpha$$

where,  $\alpha = \{V \cup \Sigma\}^*$  and  $A \in V$

## CFL - Example

⇒ For generating a language that generates equal number of a's and b's in the form  $a^n b^n$ , The CFG will be defined as

$$G_1 = \{ (S, A), (a, b), (S \rightarrow aAb, A \rightarrow aAb | \epsilon) \}$$

$$S \rightarrow aAb$$

$$\rightarrow a a a A b b \quad (\text{by } A \rightarrow aAb)$$

$$\rightarrow a a a A b b b \quad (\text{by } A \rightarrow aAb)$$

$$\rightarrow a a a b b b \quad (\text{by } A \rightarrow \epsilon)$$

$$\Rightarrow a^3 b^3 \Rightarrow a^n b^n$$

Method to find whether a string belongs to a grammar or not.

- ① Start with the Start Symbol and choose the closest production that matches to the given string.
- ② Replace the variable with its most appropriate production. Repeat the process until the string is generated or until no other productions are left.

Example: Verify whether the Grammar  
 $S \rightarrow OB|IA$ ,  $A \rightarrow O(OS|IAA)|^*$ ,  $B \rightarrow I|IS|OBB$   
generates the string OOIIIOI

$$\begin{aligned} S &\rightarrow OB \quad (S \rightarrow OB) \\ &\rightarrow OOBB \quad (B \rightarrow OBB) \\ &\rightarrow OOIB \quad (B \rightarrow I) \\ &\rightarrow OOIIIS \quad (B \rightarrow IS) \\ &\rightarrow OOIIIOB \quad (S \rightarrow OB) \\ &\rightarrow OOIIIOIS \quad (B \rightarrow IS) \\ &\rightarrow OOIIIOIOB \quad (S \rightarrow OB) \\ &\rightarrow \underline{\underline{OOIIIOIOI}} \quad (B \rightarrow I) \end{aligned}$$

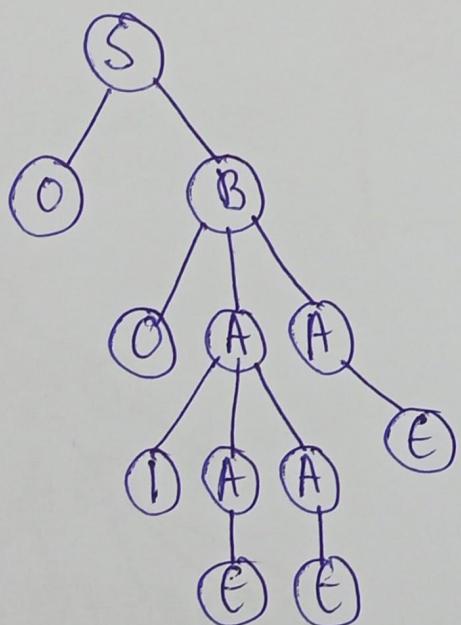
Example: Verify whether the Grammar  
 $S \rightarrow aAb$ ,  $A \rightarrow aAb|^*$  generates the string aabb.

# Derivation Tree, Ambiguous Grammar and PDA

## Derivation Tree

⇒ A Derivation Tree or Parse Tree is an ordered rooted tree that graphically represents the semantic information of strings derived from a CFG.

Example: For the Grammar  $G = \{V, T, P, S\}$  where  
 $S \rightarrow OB, A \rightarrow IA | \epsilon, B \rightarrow OAA$



Root vertex: Must be labelled by the Start symbol

vertex: Labelled by Non-Terminal Symbols

Leaf: Labelled by Terminal Symbols or  $\epsilon$

## Derivation Tree

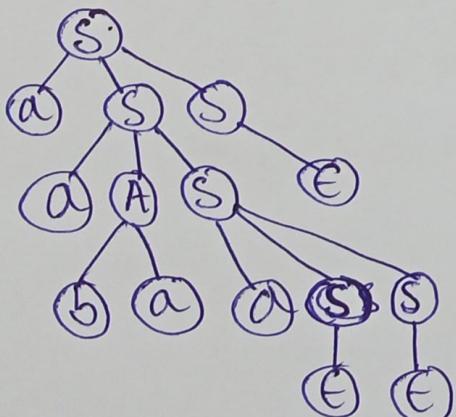
### Left Derivation Tree

A Left Derivation Tree is obtained by applying production to the leftmost variable in each step.

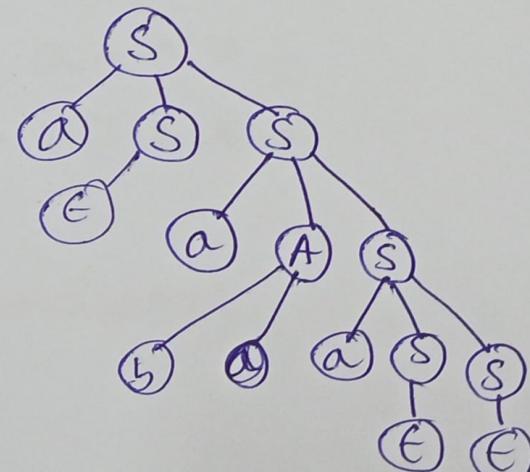
### Right Derivation Tree

A Right Derivation Tree is obtained by applying production to the rightmost variable in each step.

Eg. For generating the string aabaa from the Grammar  
 $S \rightarrow aAS | ass | \epsilon, A \rightarrow SbA | ba$



aabaa



aabaa

## Ambiguous Grammar

⇒ A Grammar is said to be Ambiguous if there exists two more derivation tree for a string w (that means two or more left derivation trees)

Example:  $G_1 = \{S\}, \{a+b, +, *\}, P, S\}$  where P consists of  
 $S \rightarrow S+S \mid S*S \mid a \mid b$ . The string a+a\*b can  
be generated as:

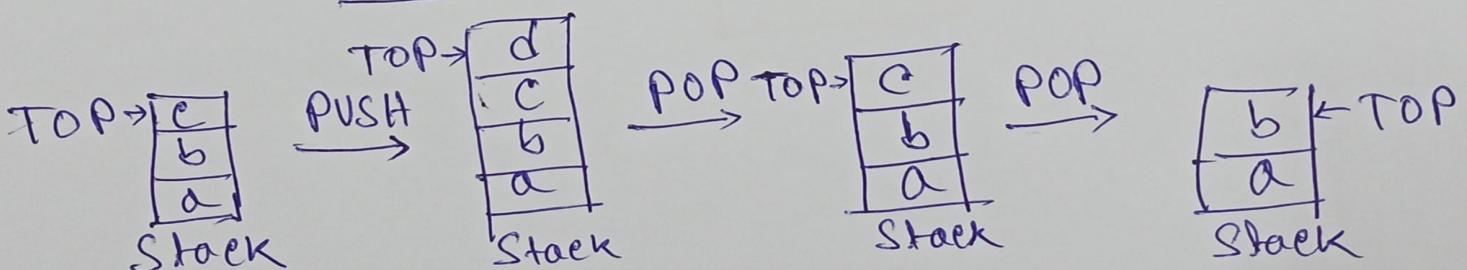
$$\begin{aligned} S &\rightarrow \underline{S+S} \\ &\rightarrow \underline{a+S} \\ &\rightarrow a+\underline{S}*S \\ &\rightarrow a+a*\underline{S} \\ &\rightarrow a+a*b \end{aligned}$$

$$\begin{aligned} S &\rightarrow \underline{S*S} \\ &\rightarrow \underline{S+S}*S \\ &\rightarrow a+\underline{S}*S \\ &\rightarrow a+a*\underline{S} \\ &\rightarrow a+a*b \end{aligned}$$

Thus, this Grammar is Ambiguous

## Pushdown Automata (Introduction)

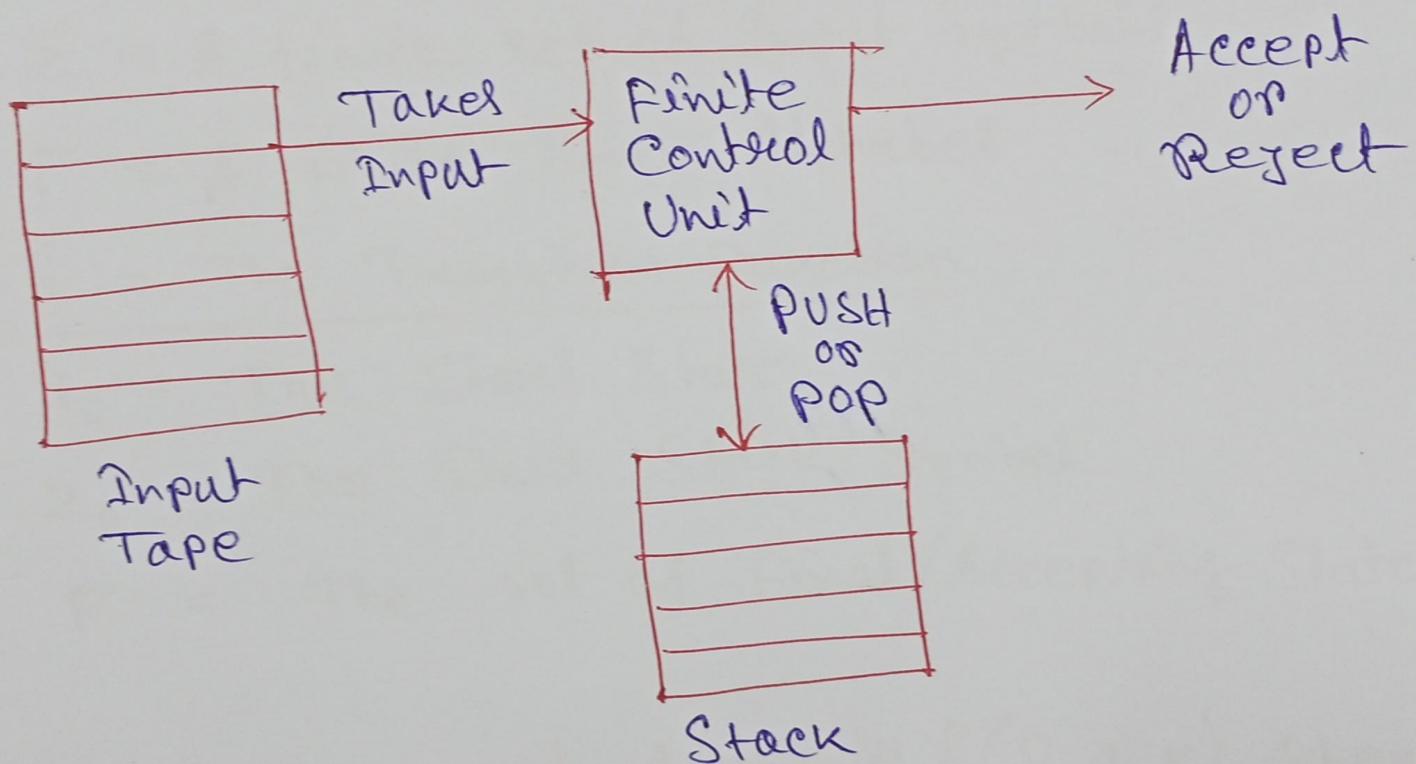
- ⇒ A pushdown Automata (PDA) is a way to implement a CFG in a similar way we design FA for Regular Grammars
  - It is more powerful than PSM
  - PSM has a very limited memory but PDA has more memory
  - PDA = Finite State Machine + A Stack
- ⇒ A stack is a way we arrange elements one on top of another.
- ⇒ A stack does two basic operations:
  - PUSH: A new element is added at the top of the stack,
  - POP: The top element of the stack is read or removed



## Pushdown Automata (Introduction)

⇒ A pushdown Automata has 3 Components

- 1) An input tape
- 2) A Finite Control Unit
- 3) A Stack with infinite size



## Pushdown Automata (Formal Definition)

⇒ A Pushdown Automata is formally defined by 7 Tuples as shown below:

$$P = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

where

$Q$  = A finite set of States

$\Sigma$  = A finite set of Input Symbols

$\Gamma$  = A finite Stack Alphabet

$\delta$  = The Transition Function

$q_0$  = The Start State

$z_0$  = The Start Stack Symbol

$F$  = The set of Final/Accepting States



$\delta$  takes as argument a triple  $\delta(q, a, x)$  where:

- i)  $q$  is a state in  $Q$
- ii)  $a$  is either an Input Symbol in  $\Sigma$  or  $a = \epsilon$
- iii)  $x$  is a Stack Symbol, that is a member of  $\Gamma$

⇒ The output of  $\delta$  is finite set of pairs  $(p, Y)$  where

→  $p$  is a new state

→  $Y$  is a string of stack symbols that replaces  $X$  at the top of the stack.

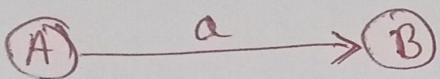
Eg. If  $Y = \epsilon$  then the stack is popped.

If  $Y = X$  then the stack is unchanged.

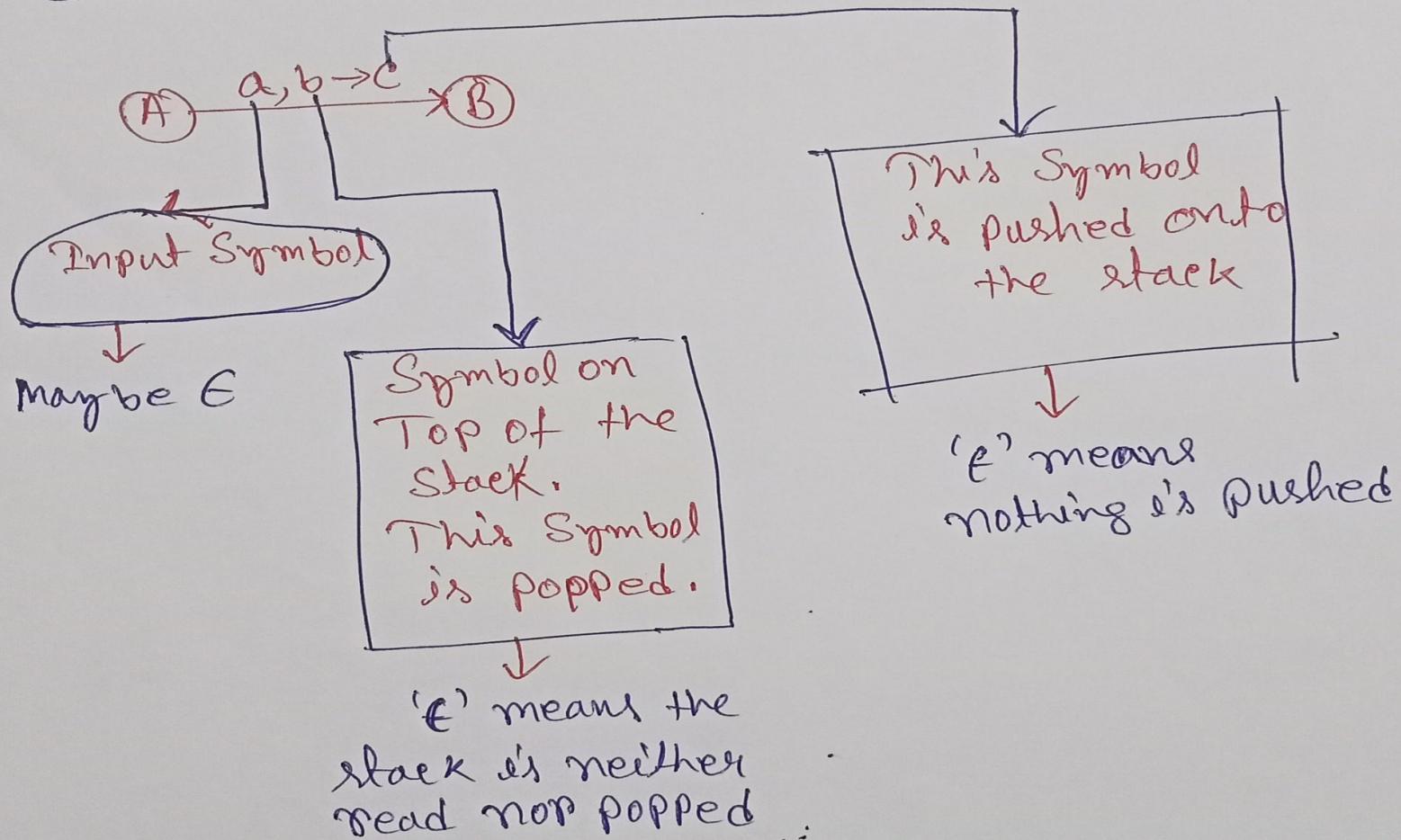
If  $Y = YZ$  then  $X$  is replaced by  $Z$  and  $Y$  is pushed onto the stack.

# Pushdown Automata (Graphical Notation)

## Finite State Machine

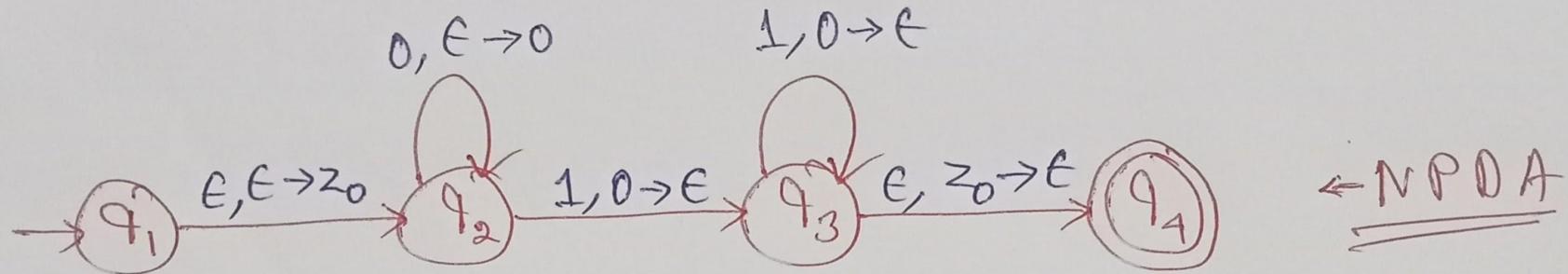


## Pushdown Automata

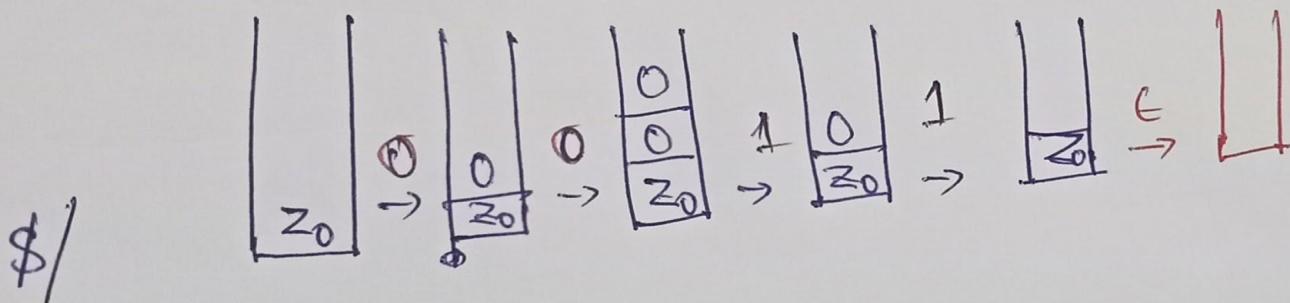


## Pushdown Automata - Example

Example: Construct a PDA that accepts  $L = \{0^n 1^n \mid n \geq 0\}$



0011 - ✓



Accepted cases  $\rightarrow$

- ① Reach the Final state
- ② Stack is empty.

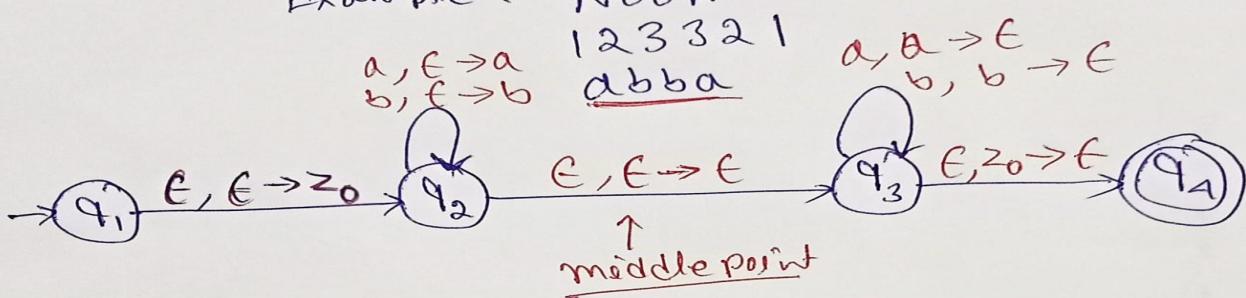
## Pushdown Automata - Example (Even Palindrome)

Construct a PDA that accepts Even Palindromes of the form  
 $L = \{wwR \mid w = (a+b)^+\}$

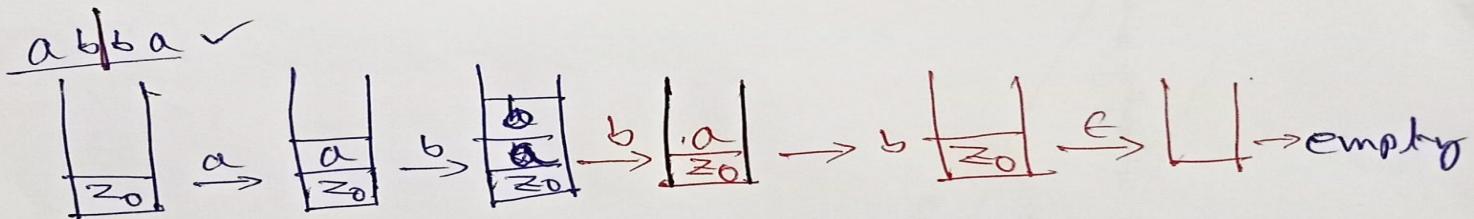
PALINDROMES: A word or sequence that reads the same backwards as forwards

Example : NOON

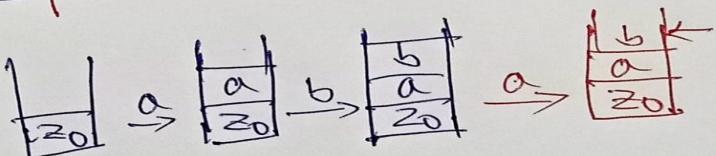
$a, \epsilon \rightarrow a$       1 2 3 3 2 1  
 $b, \epsilon \rightarrow b$       abba       $a, a \rightarrow \epsilon$   
 $\qquad\qquad\qquad$        $b, b \rightarrow \epsilon$



middle point



ab|ba ✗

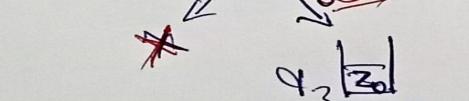
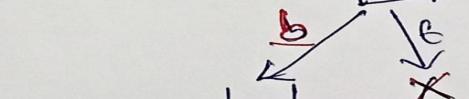
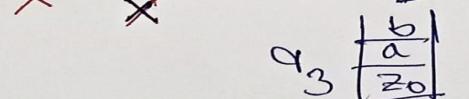
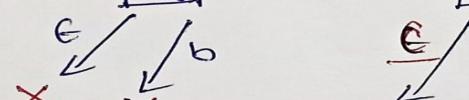
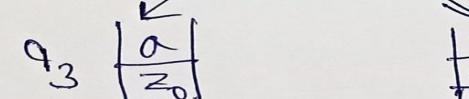
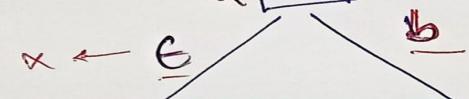
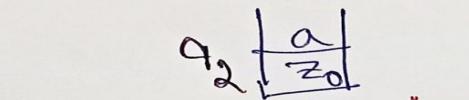
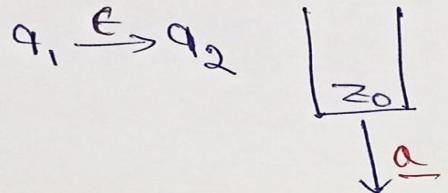


doubt?

⇒ How do we know the ~~the~~ the middle point of the string?

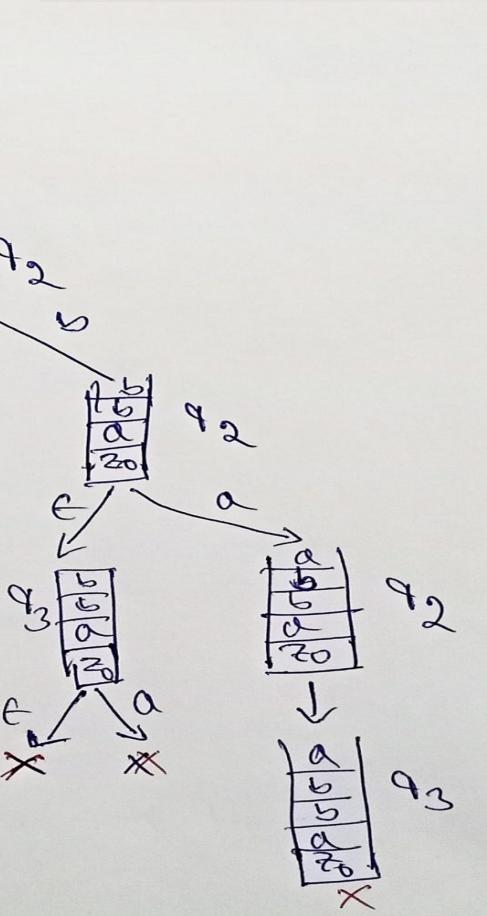
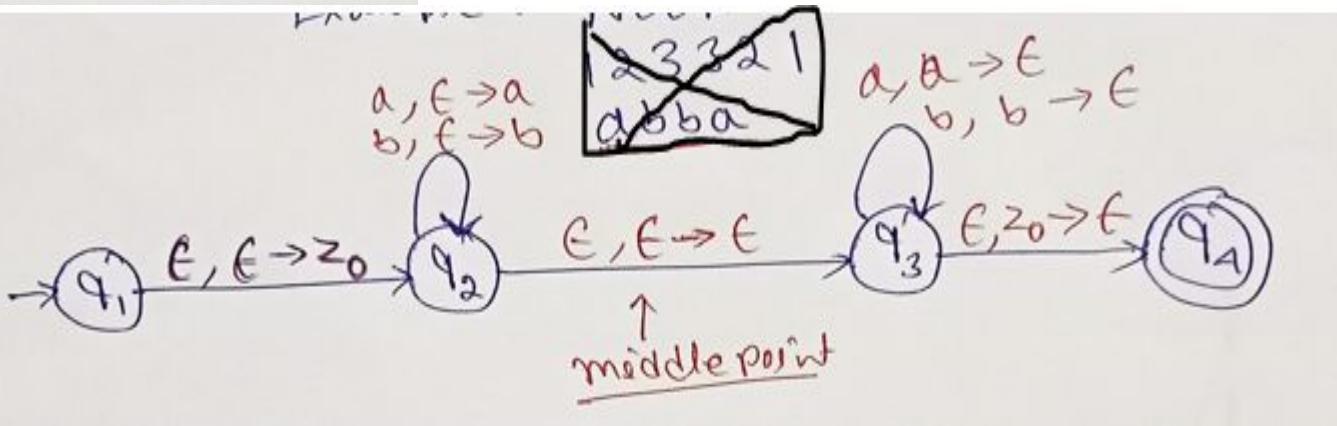
# PDA - Example (Even Palindrome)

Example:  $\epsilon \underline{a} \underline{\epsilon} \underline{b} \underline{\epsilon} \underline{b} \underline{\epsilon} \underline{a} \epsilon$



ab  $\epsilon$  ba

Final state  $\rightarrow q_3$



## Pushdown Automata - Example

Example:  $\epsilon a \epsilon b \epsilon a \epsilon b \epsilon$

Check how the PDA is working?

# Simplification of Context-free Grammar

## Simplification of Context Free Grammar

### Reduction of CFG

In CFG, sometimes all the production rules and symbols are not needed for the derivation of strings. Besides this, there may also be some NULL productions and Unit productions. Elimination of these productions and symbols is called Simplification of CFG.

Simplification consists of the following steps:

- ① Reduction of CFG.
- ② Removal of Unit productions.
- ③ Removal of NULL production.

## Reduction of CPG

$\Rightarrow$  CPG are reduced in two phases:

Phase 1: Derivation of an equivalent grammar  $G_1'$  from the CPG  $G_1$ , such that each variable derives some terminal string.

### Derivation Procedure:

Step 1: Include all symbols  $w_i$ , that derives some terminal and initialize  $i=1$ .

Step 2: Include symbols  $w_{i+1}$ , that derive  $w_i$ .

Step 3: Increment  $i$  and repeat Step 2, until  $w_{i+1} = w_i$ .

Step 4: Include all production rules that have  $w_i$  in it.

Phase 2: Derivation of an equivalent grammar  $G_1''$  from the CPG  $G_1$ , such that each symbol appears in a sentential form.

### Derivation Procedure:

Step 1: Include the Start Symbol in  $y_1$  and initialize  $i=1$ .

Step 2: Include ~~the~~ all symbols  $y_{i+1}$ , that can be derived from  $y_i$  and include all Production rules that have been applied.

Step 3: Increment  $i$  and repeat Step 2, until  $y_{i+1} = y_i$ .

- Example -

$\Rightarrow$  Find a reduced grammar equivalent ~~to~~ to the grammar  $G_1$ , having production rules  
 $P: S \rightarrow AC | B, A \rightarrow a, C \rightarrow c / BC, B \rightarrow aA/e$

Phase 1

$$T = \{a, c, e\}$$

$$W_1 = \{A, C, E\}$$

$$W_2 = \{A, C, E, S\}$$

$$W_3 = \{A, C, E, S\}$$

$$\underline{G'_1} = \{(A, C, E, S), \{a, c, e\}, P, (S)\}$$

$$\underline{P}: S \rightarrow AC, A \rightarrow a, C \rightarrow c, B \rightarrow aA/e$$

Phase 2:

$$Y_1 = \{S\}$$

$$Y_2 = \{S, A, C\}$$

$$Y_3 = \{S, A, C, a, c\}$$

$$Y_4 = \{S, A, C, a, c\}$$

$$\underline{G''_1} = \{(A, C, S), \{a, c\}, P, \{S\}\}$$

$$\underline{P}: S \rightarrow AC, A \rightarrow C, C \rightarrow c. \quad \checkmark$$

## Simplification of CFG

### ② Removal of unit productions:

Any production rule of the form  $A \rightarrow B$  where  $A, B \in$  Non-Terminals is called Unit Production.

#### Procedure for Removal

Step 1: To remove  $A \rightarrow B$ , add production  $A \rightarrow \underline{x}$  to the grammar rule whenever  $B \rightarrow \underline{x}$  occurs in the grammar. [ $x \in$  Terminal,  $x$  can be NULL]

Step 2: Delete  $A \rightarrow B$  from the grammar.

Step 3: Repeat from step 1 until all unit productions are removed.

- Example -

Remove Unit productions from the Grammar whose production rule is given by

$$P: \underline{S \rightarrow XY, X \rightarrow a, Y \rightarrow Z|b, Z \rightarrow M, M \rightarrow N, N \rightarrow a}$$

Ans:

$$\cancel{Y \rightarrow Z}, \cancel{Z \rightarrow M}, \underline{M \rightarrow N}$$

i) Because  $N \Rightarrow a$ , we add  $M \Rightarrow a$

$$P: \underline{S \rightarrow XY, X \rightarrow a, Y \rightarrow Z|b, Z \rightarrow M, \cancel{M \rightarrow a}, \cancel{N \Rightarrow a}}$$

ii) Because  $M \Rightarrow a$ , we add  $Z \Rightarrow a$

$$P: \underline{S \rightarrow XY, X \rightarrow a, Y \rightarrow Z|b, \cancel{Z \rightarrow a}, M \Rightarrow a, N \Rightarrow a}$$

iii) Because  $Z \Rightarrow a$ , we add  $Y \Rightarrow a$

$$P: \underline{S \rightarrow XY, X \rightarrow a, \cancel{Y \Rightarrow a|b}, Z \Rightarrow a, M \Rightarrow a, N \Rightarrow a}$$

$\Rightarrow$  Unreachable Symbols:  $Z, M, N$ .

From Start symbol there is no way to ~~reach~~ reach those symbols. [Remove it]

$$P: \underline{S \rightarrow XY, X \rightarrow a, Y \rightarrow a|b}.$$

## Simplification of CFG

### ③ Removal of NULL productions.

In a CFG, a Non-Terminal Symbol 'A' is a nullable variable if there is a production  $A \rightarrow \epsilon$  or there is a derivation that starts at 'A' and leads to  $\epsilon$ .

#### Procedure for Removal :

Step 1: To remove  $A \rightarrow \epsilon$ , look for all productions whose right side contains A.

Step 2: Replace each occurrence of 'A' in each of these productions with  $\epsilon$ .

Step 3: Add the resultant production to the Grammar.

- Example -

Remove NULL productions from the following Grammar

P:  $S \rightarrow ABAC, A \rightarrow aA|\epsilon, B \rightarrow bB|\epsilon, C \rightarrow c.$

NULL production:  $A \rightarrow \epsilon, B \rightarrow \epsilon$

1) To eliminate  $\underline{A \rightarrow \epsilon}$

$$\begin{aligned} \rightarrow S &\rightarrow \underline{\underline{ABAC}} \\ &\rightarrow \underline{\underline{ABC}} | BAC | BC \end{aligned}$$

$$\rightarrow A \rightarrow aA$$

$$\underline{A \rightarrow a}$$

P:  $S \rightarrow \underline{\underline{ABAC}} | ABC | BAC | BC$   
 $A \rightarrow aA | a, B \rightarrow bB | \epsilon, C \rightarrow c$

2) To eliminate  $\underline{B \rightarrow \epsilon}$

$$\rightarrow B \rightarrow bB$$

$$\underline{B \rightarrow b}$$

$$\rightarrow S \rightarrow AAC | AC | C$$

✓ P:  $S \rightarrow \underline{\underline{ABAC}} | ABC | BAC | BC | AAC | AC | C$   
 $A \rightarrow aA | a$   
 $B \rightarrow bB | b$   
 $C \rightarrow c$

Chomsky Normal Form,  
Conversion (CFG-CNF)

## Chomsky Normal Form (CNF)

⇒ In Chomsky Normal Form (CNF), we have a restriction on the length of Right Hand Side (R.H.S) where ~~the~~ elements in RHS should either be two variables or a terminal.

⇒ A CFG is in CNF if the productions are in the following forms:

$$\begin{array}{l} A \rightarrow a \\ A \rightarrow BC \end{array}$$

where  $A, B$  and  $C \in V$ ,  
 $a \in T$

## CNF

⇒ Steps to Convert a given CFG to CNF Form:

Step1: If the Start Symbol  $S$  occurs on some right side of production rules then Create a new Start Symbol  $S'$  and add a new production  $S' \rightarrow S$ , otherwise add the Start Symbol  $S$  in production rules.

Step2: Remove NULL production. ✓

Step3: Remove UNIT production. ✓

Step4: Replace each production  $A \rightarrow B_1 \dots B_n$  where  $n > 2$ , with  $A \rightarrow B_1 C$  where  $C \rightarrow B_2 \dots B_n$ .

Repeat this step for all productions having two or more symbols on the right side.

Step5: If any production is in the form of  $A \rightarrow aB$ , where  $a \in T$  and  $A, B \in V$  then the production is replaced by  $A \rightarrow XB$  and  $X \rightarrow a$ .

Repeat this step for every production which is in the form of  $A \rightarrow aB$ .

## Conversion of CFG to CNF

⇒ Convert the following CFG to CNF:

$$P: S \rightarrow ASA|aB, A \rightarrow B|S, B \rightarrow b|\epsilon$$

Step 1:  $P: S' \rightarrow S, S \rightarrow ASA|aB, A \rightarrow B|S, B \rightarrow b|\epsilon$

Step 2: Remove the null production:  $B \rightarrow \epsilon$  and  $A \rightarrow \epsilon$

After removing  $B \rightarrow \epsilon$ :

$$P: S' \rightarrow S, S \rightarrow ASA|aB|a, A \rightarrow B|S|\epsilon, B \rightarrow b$$

After removing  $A \rightarrow \epsilon$ :

$$\begin{aligned} P: S' \rightarrow S, S &\rightarrow ASA|aB|a|AS|SA|S, \\ &A \rightarrow B|S, B \rightarrow b \end{aligned}$$

Step 3: Remove the Unit productions:  $\underline{S \rightarrow S}, \underline{S' \rightarrow S}, \underline{A \rightarrow B}$   
and  $\underline{A \rightarrow S}$

After removing  $S \rightarrow S$ :

$$P: S' \rightarrow S, S \rightarrow ASA|aB|a|AS|SA, A \rightarrow B|S,$$
  
$$\qquad\qquad\qquad\qquad\qquad\qquad B \rightarrow b$$

After removing  $S' \rightarrow S$ :

$$\begin{aligned} P: S' &\rightarrow ASA|aB|a|AS|SA \\ S &\rightarrow ASA|aB|a|AS|SA \\ A &\rightarrow B|S \\ B &\rightarrow b \end{aligned}$$

After removing  $A \rightarrow B$ : P:  $S' \rightarrow ASA|aB|a|AS|SA$ ,  
 $S \rightarrow ASA|aB|a|AS|SA$   
 $A \rightarrow b|S$ ,  $B \rightarrow b$ .

After removing  $A \rightarrow S$ : P:  $S' \rightarrow ASA|aB|a|AS|SA$ ,  
v  $S \rightarrow ASA|aB|a|AS|SA$ ,  
 $A \rightarrow b|ASA|aB|a|AS|SA$ ,  
 $B \rightarrow b$

Step 4: Now find out the productions that has more than two variables in RHS.

$S' \rightarrow ASA$ ,  $S \rightarrow ASA$ ,  $A \rightarrow ASA$

After Removing: P:  $S' \rightarrow A\underline{x}|aB|a|AS|SA$ ,  
 $S \rightarrow A\underline{x}|aB|a|AS|SA$ ,  
v  $A \rightarrow b|A\underline{x}|aB|a|AS|SA$ .  
 $B \rightarrow b$   
 $\underline{x} \rightarrow SA$

:  $S' \rightarrow aB$ ,  $S \rightarrow aB$ ,  $A \rightarrow aB$

P:  $S' \rightarrow AX|YB|a|AS|SA$ ,  
 $S \rightarrow AX|YB|a|AS|SA$ ,  
 $A \rightarrow b|AX|YB|a|AS|SA$ ;  
 $B \rightarrow b$ ,  
 $X \rightarrow SA$ ,  
 $Y \rightarrow a$

CNF