

# Lecture 11

Weighted Graphs: Dijkstra and Bellman-Ford

# Announcements

- HW5 will be posted Friday
- We will be doing midterm grading on Sunday.
  - Returned Monday (hopefully)
- The midterm was hard.
  - That's okay, that's what the curve is for.

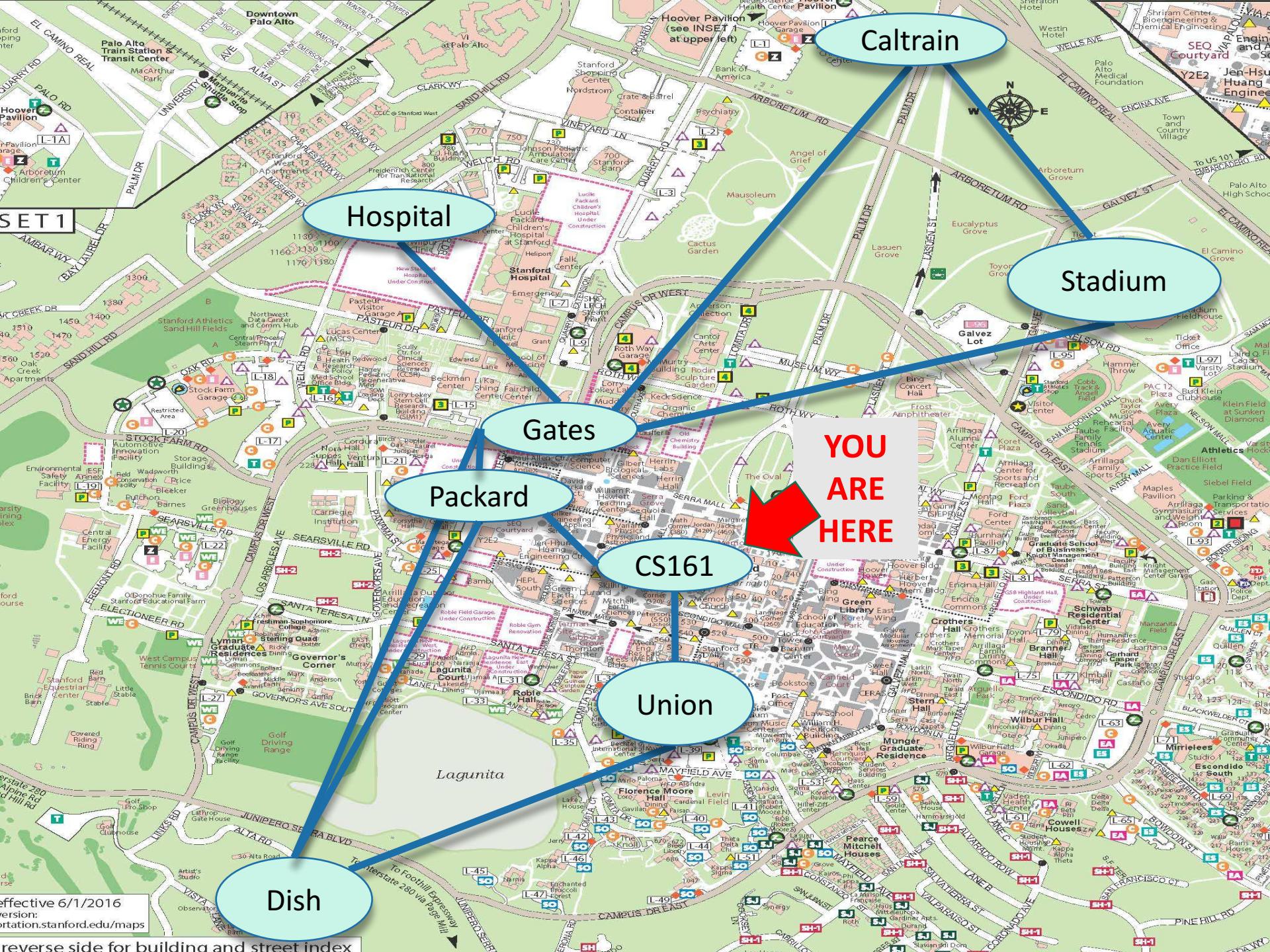
# Last week

- Graphs!
- DFS
  - Topological Sorting
  - Strongly Connected Components
- BFS
  - Shortest Paths in unweighted graphs

# Today

- What if the graphs are **weighted**?
  - All nonnegative weights: Dijkstra!
  - If there are negative weights: Bellman-Ford!





Caltrain

# Hospital

# Stadium

## States

Packard

'YOU  
ARE  
HERE

S161

## Union

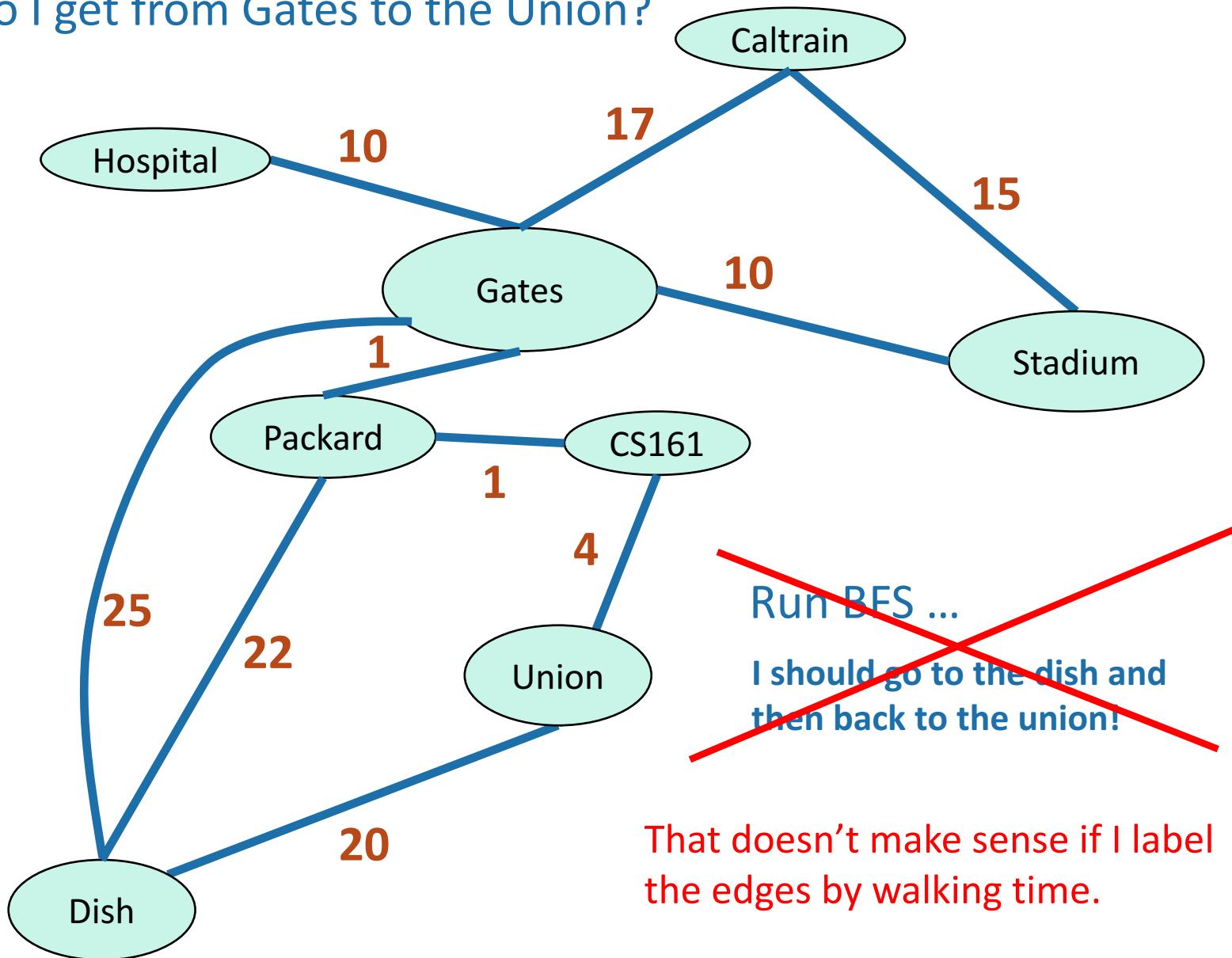
## Dish

effective 6/1/2016  
version:  
[ortation.stanford.edu/maps](http://ortation.stanford.edu/maps)

reverse side for building and street index

# Just the graph

How do I get from Gates to the Union?



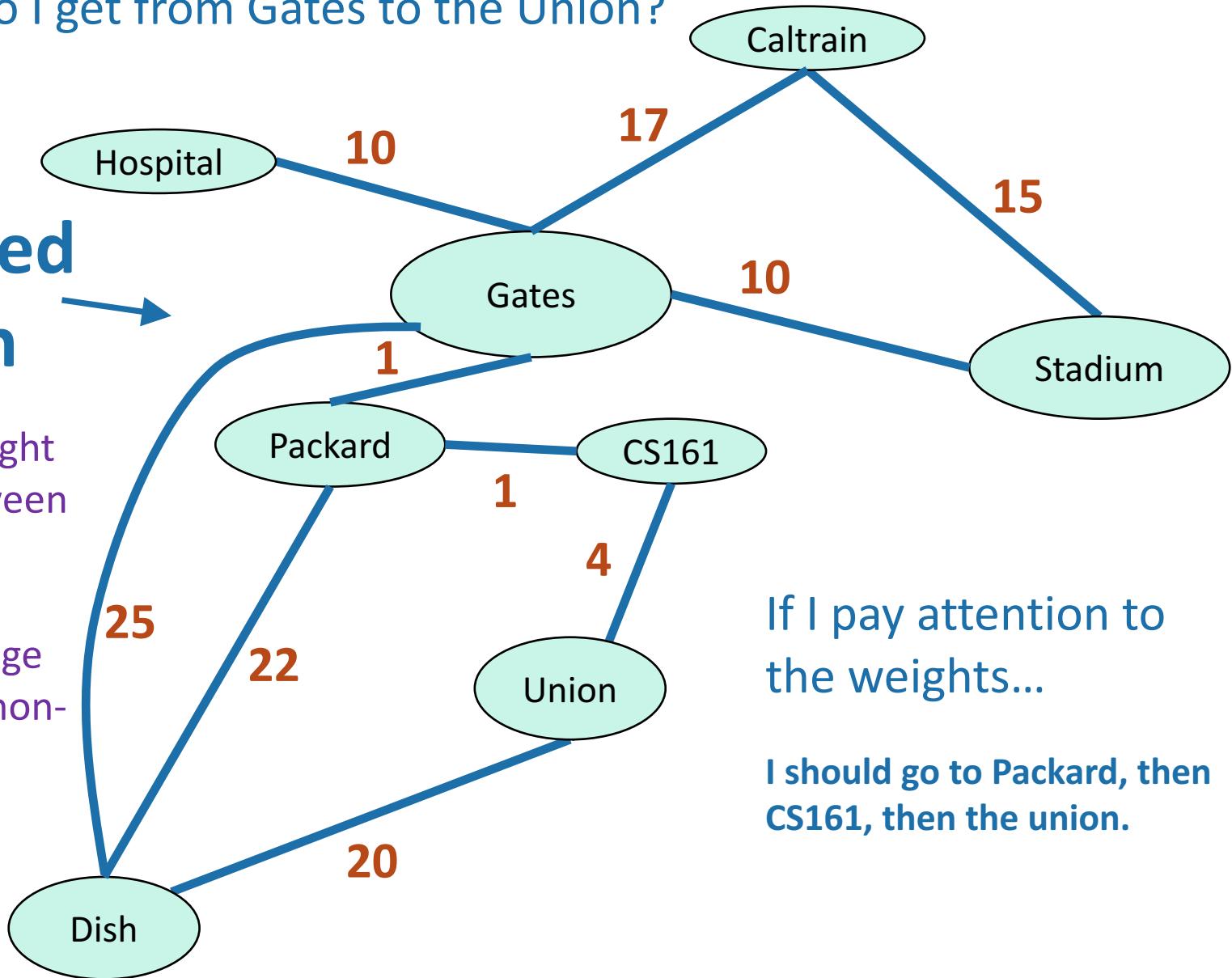
# Just the graph

How do I get from Gates to the Union?

**weighted  
graph**

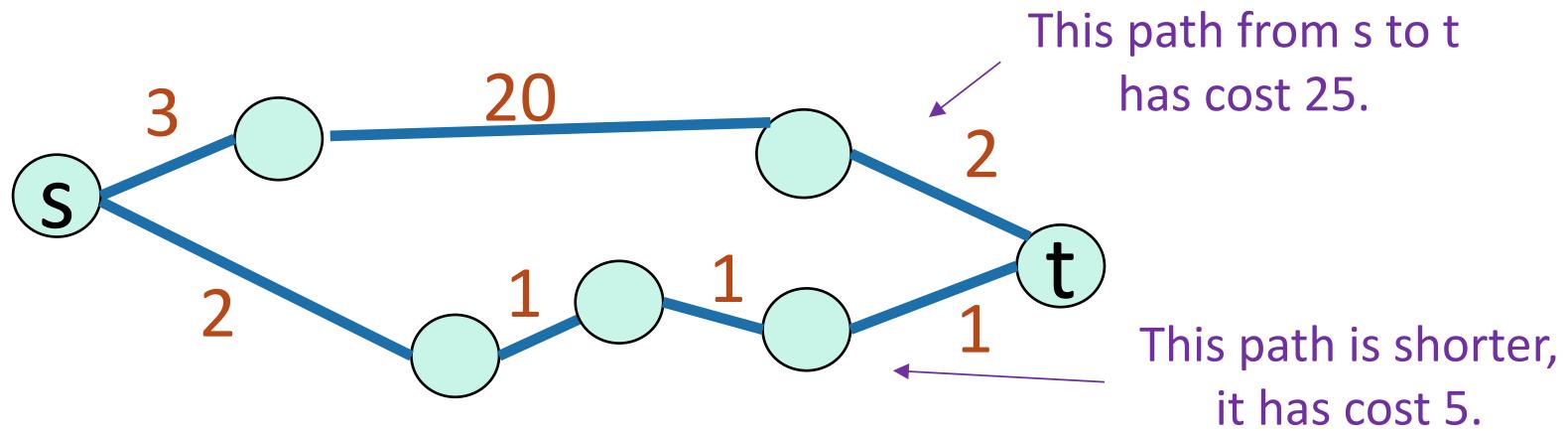
$w(u,v) =$  weight  
of edge between  
 $u$  and  $v$ .

For now, edge  
weights are non-  
negative.



# Shortest path problem

- What is the **shortest path** between  $u$  and  $v$  in a weighted graph?
  - the **cost** of a path is the sum of the weights along that path
  - The **shortest path** is the one with the minimum cost.



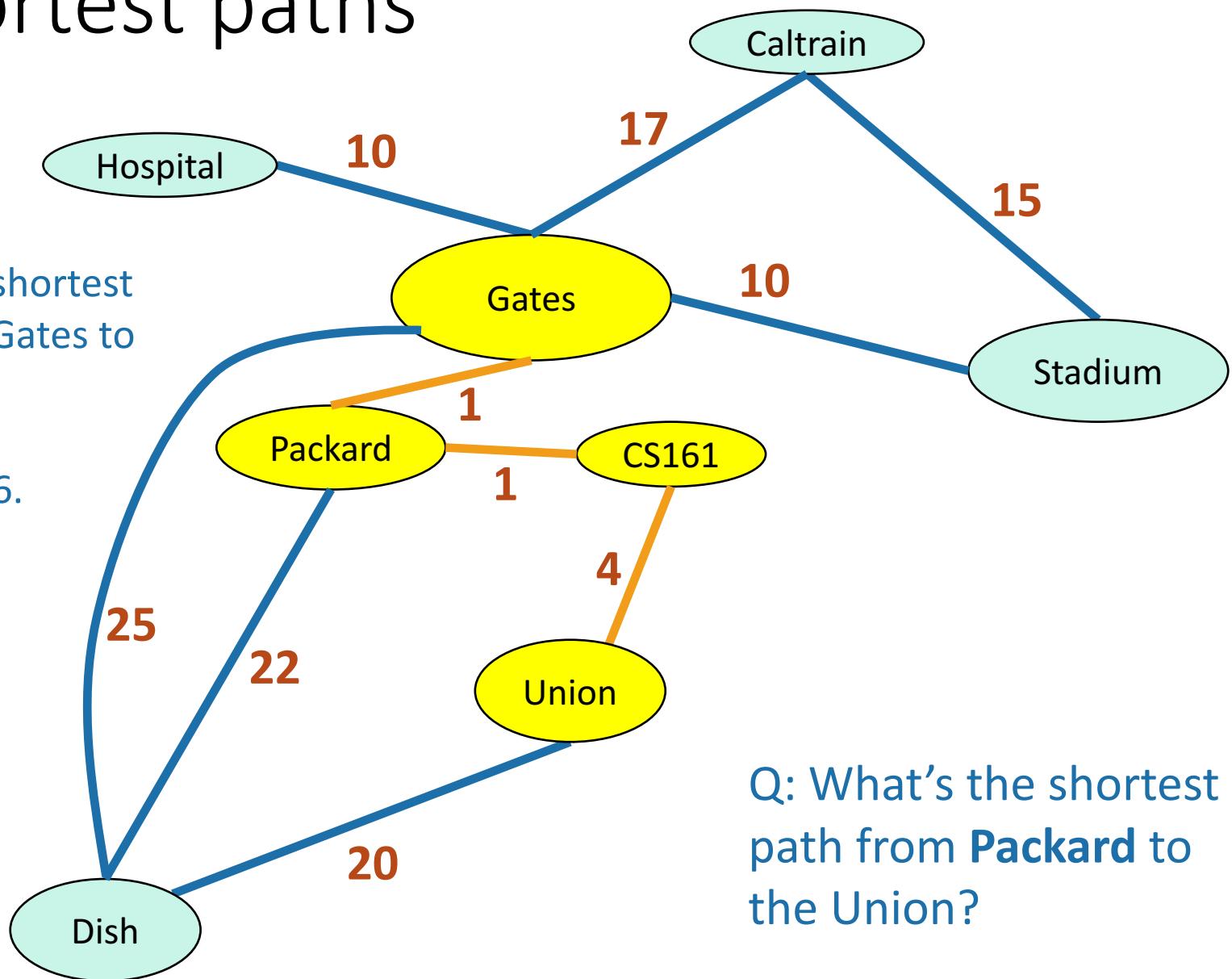
- The **distance**  $d(u,v)$  between two vertices  $u$  and  $v$  is the cost of the the shortest path between  $u$  and  $v$ .
- For this lecture **all graphs are directed**, but to save on notation I'm just going to draw undirected edges.



# Shortest paths

This is the shortest path from Gates to the Union.

It has cost 6.



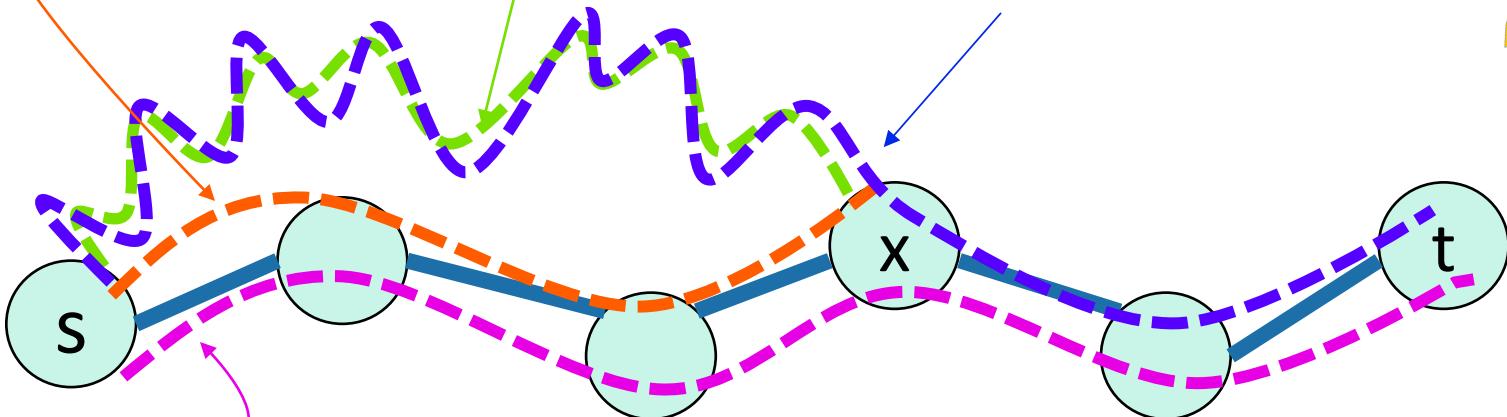
# Warm-up

- A sub-path of a shortest path is also a shortest path.

- Say **this** is a shortest path from s to t.
- Claim: **this** is a shortest path from s to x.

- Suppose not, **this** one is shorter.
- But then that gives an **even shorter path** from s to t!

**CONTRADICTION!**



# Single-source shortest-path problem

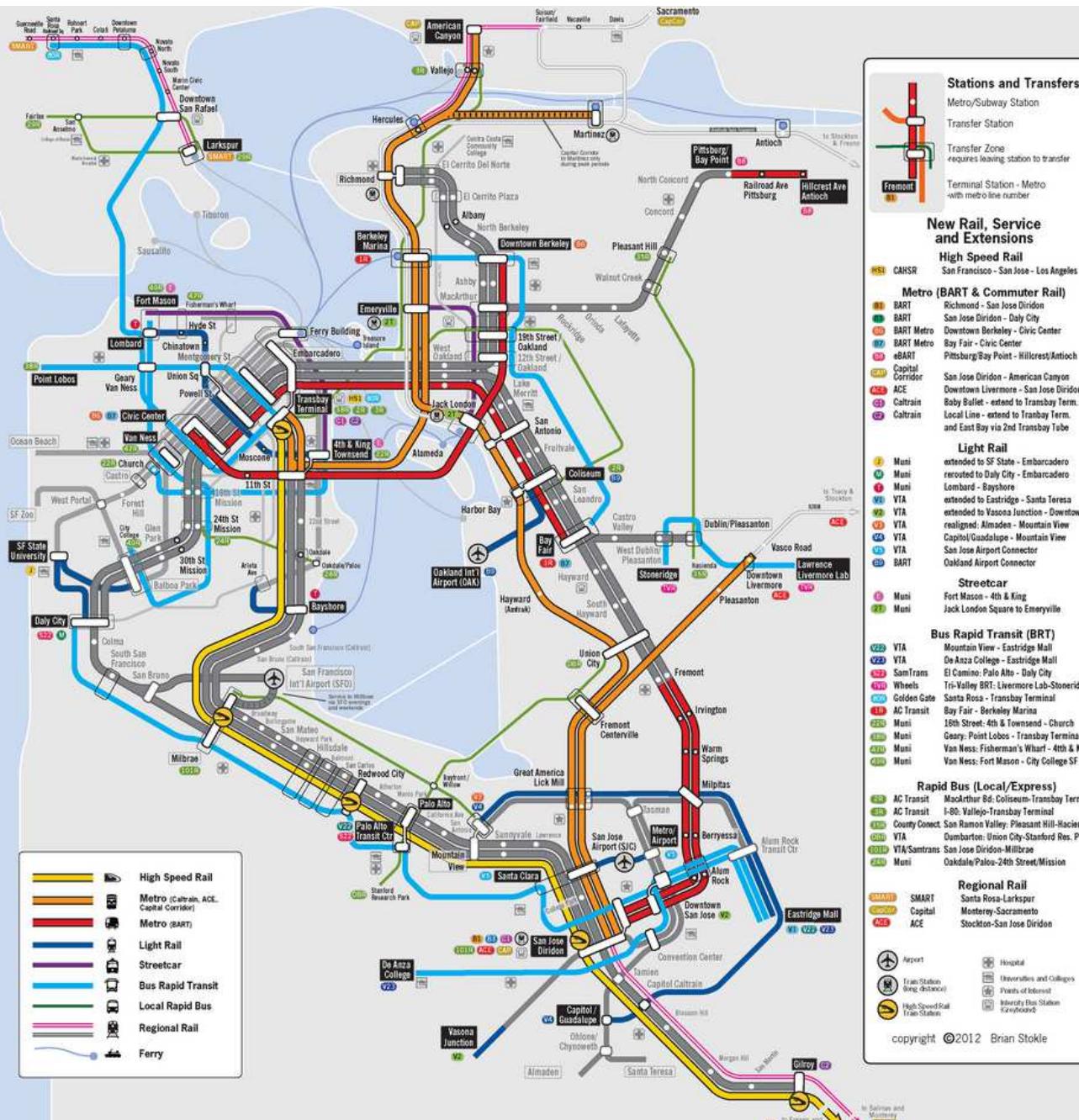
- I want to know the shortest path from one vertex (**Gates**) to all other vertices.

Destination	Cost	To get there
Packard	1	Packard
CS161	2	Packard-CS161
Hospital	10	Hospital
Caltrain	17	Caltrain
Union	6	Packard-CS161-Union
Stadium	10	Stadium
Dish	23	Packard-Dish

(Not necessarily stored as a table – how this information is represented will depend on the application)

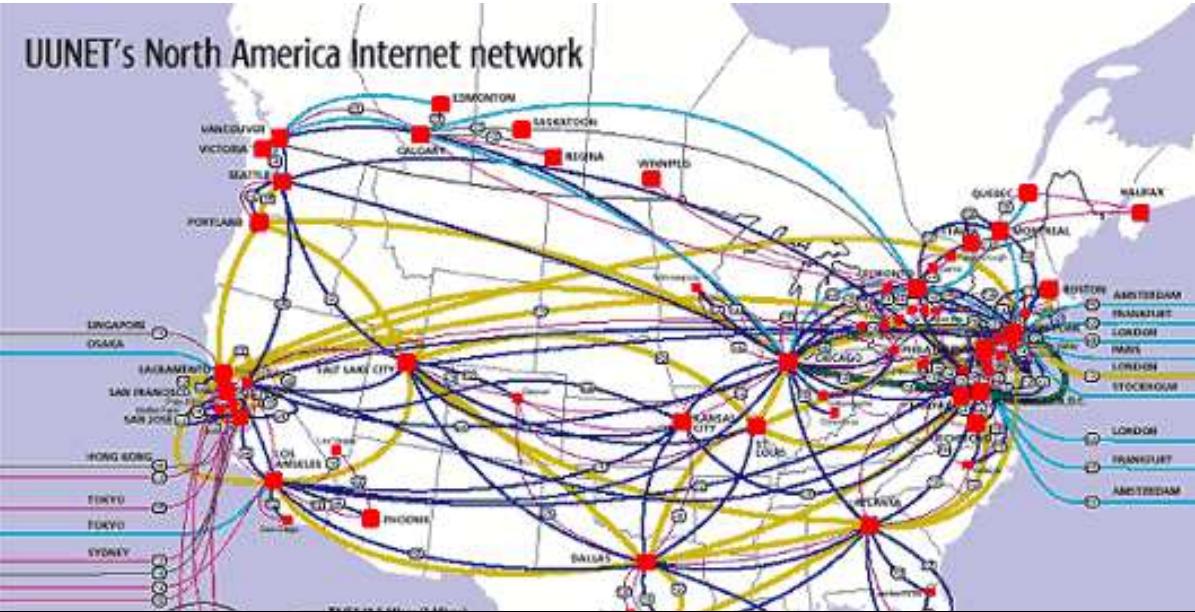
# Example

- I regularly have to solve “**what is the shortest path from Palo Alto to [anywhere else]**” using BART, Caltrain, lightrail, MUNI, bus, Amtrak, bike, walking, uber/lyft.
- Edge weights have something to do with time, money, hassle. (They also change depending on my mood and traffic...).



# Example

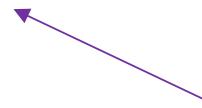
- **Network routing**
  - I send information over the internet, from my computer to all over the world.
  - Each path has a cost which depends on link length, traffic, other costs, etc..
  - **How should we send packets?**



```
[DN0a22a0e3:~ mary$ traceroute -a www.ethz.ch
traceroute to www.ethz.ch (129.132.19.216), 64 hops max, 52 byte packets
 1 [AS0] 10.34.160.2 (10.34.160.2) 38.168 ms 31.272 ms 28.841 ms
 2 [AS0] cwa-vrtr.sunet (10.21.196.28) 33.769 ms 28.245 ms 24.373 ms
 3 [AS32] 171.66.2.229 (171.66.2.229) 24.468 ms 20.115 ms 23.223 ms
 4 [AS32] hpr-svl-rtr-vlan8.sunet (171.64.255.235) 24.644 ms 24.962 ms 17.129 ms
 5 [AS2152] hpr-svl-hpr2--stan-ge.cenic.net (137.164.27.161) 22.129 ms 4.914 ms
 6 [AS2152] hpr-lax-hpr3--svl-hpr3-100ge.cenic.net (137.164.25.73) 12.125 ms 1.129 ms
 7 [AS2152] hpr-i2--lax-hpr2-r&e.cenic.net (137.164.26.201) 40.174 ms 38.312 ms
 8 [AS0] et-4-0-0.4079.sdn-sw.lasv.net.internet2.edu (162.252.70.28) 46.573 ms
 9 [AS0] et-5-1-0.4079.rtsw.salt.net.internet2.edu (162.252.70.31) 30.424 ms
10 [AS0] et-4-0-0.4079.sdn-sw.denv.net.internet2.edu (162.252.70.8) 47.454 ms
11 [AS0] et-4-1-0.4079.rtsw.kans.net.internet2.edu (162.252.70.11) 70.825 ms
12 [AS0] et-4-1-0.4070.rtsw.chic.net.internet2.edu (198.71.47.206) 77.937 ms
13 [AS0] et-0-1-0.4079.sdn-sw.ashb.net.internet2.edu (162.252.70.60) 77.682 ms
14 [AS0] et-4-1-0.4079.rtsw.wash.net.internet2.edu (162.252.70.65) 71.565 ms
15 [AS21320] internet2-gw.mx1.lon.uk.geant.net (62.40.124.44) 154.926 ms 1.129 ms
16 [AS21320] ae0.mx1.lon2.uk.geant.net (62.40.98.79) 146.565 ms 146.604 ms
17 [AS21320] ae0.mx1.par.fr.geant.net (62.40.98.77) 153.289 ms 184.995 ms
18 [AS21320] ae2.mx1.gen.ch.geant.net (62.40.98.153) 160.283 ms 160.104 ms
19 [AS21320] swice1-100ge-0-3-0-1.switch.ch (62.40.124.22) 162.068 ms 160.104 ms
20 [AS559] swizh1-100ge-0-1-0-1.switch.ch (130.59.36.94) 165.824 ms 164.212 ms
21 [AS559] swiez3-100ge-0-1-0-4.switch.ch (130.59.38.109) 164.269 ms 164.312 ms
22 [AS559] rou-gw-lee-tengig-to-switch.ethz.ch (192.33.92.1) 164.082 ms 170.129 ms
23 [AS559] rou-fw-rz-rz-gw.ethz.ch (192.33.92.169) 164.773 ms 165.193 ms
```

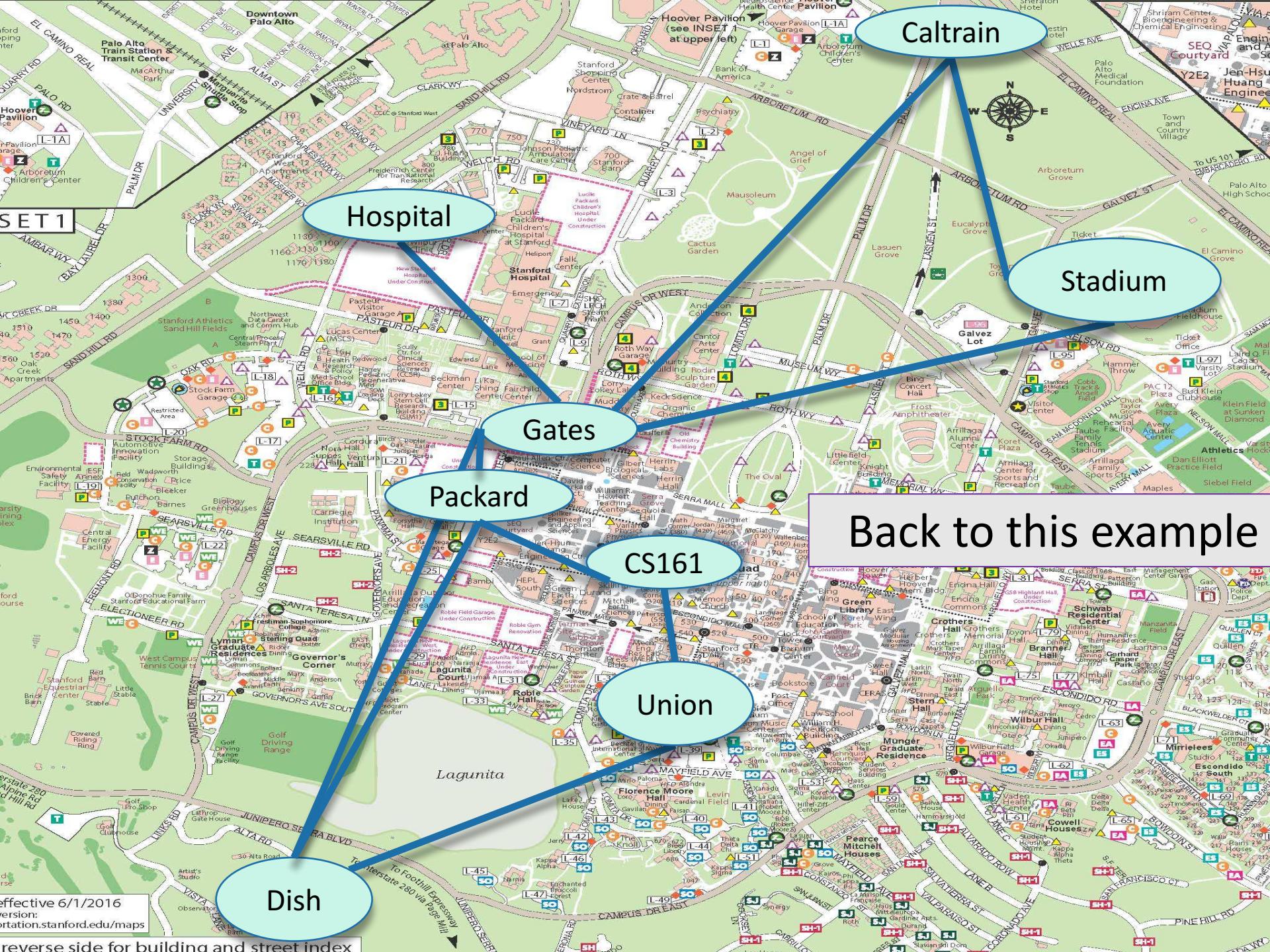
# Aside: These are difficult problems

- Costs may change
  - If it's raining the cost of biking is higher
  - If a link is congested, the cost of routing a packet along it is higher
- The network might not be known
  - My computer doesn't store a map of the internet
- We want to do these tasks really quickly
  - I have time to bike to Berkeley, but not to contemplate biking to Berkeley...
  - More seriously, the internet.



This is a joke.

But let's ignore them for now.



Caltrain

Hospital

Stadium

Gates

Packard

CS161

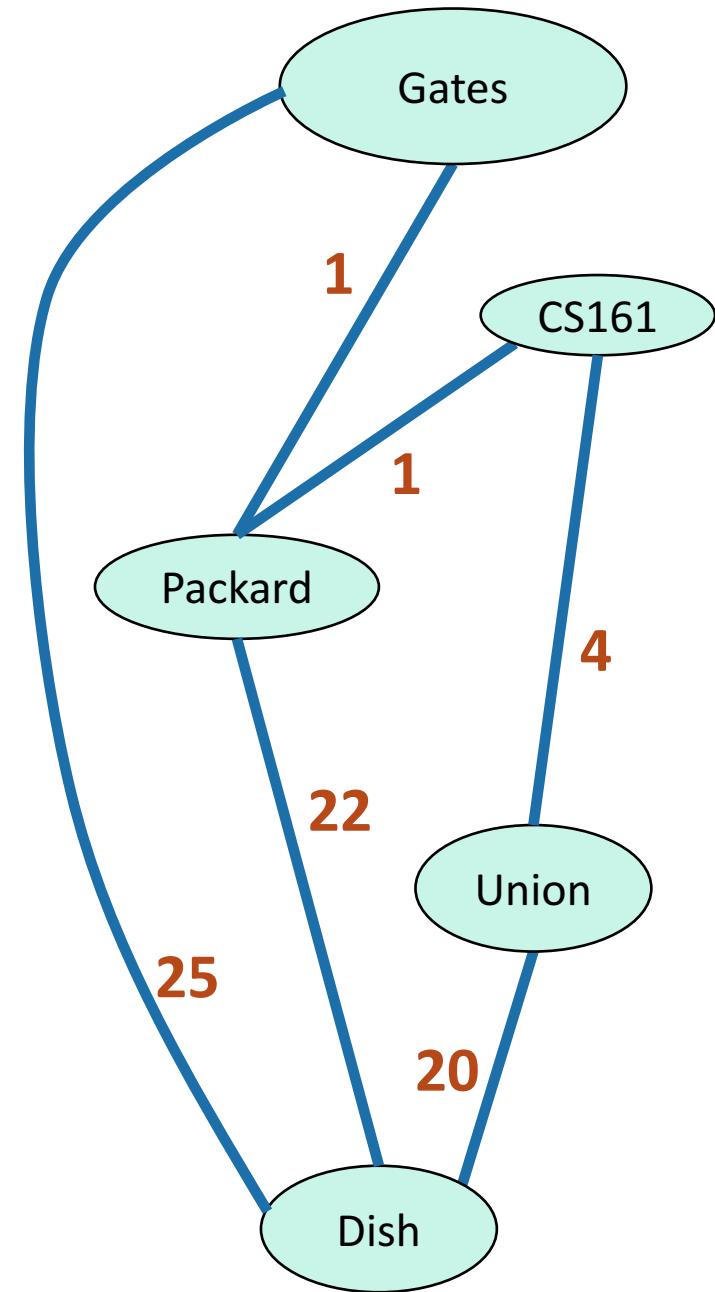
Union

Dish

Back to this example

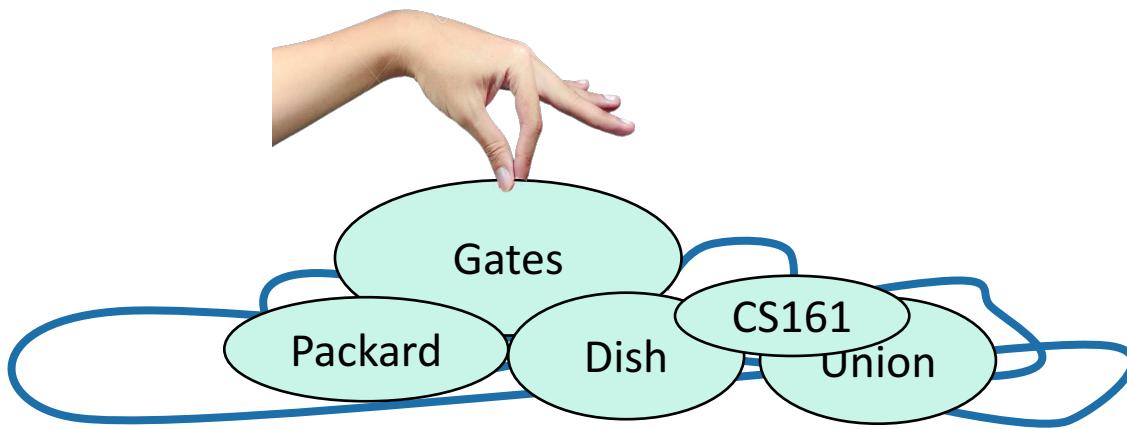
# Dijkstra's algorithm

- What are the shortest paths from Gates to everywhere else?



# Dijkstra intuition

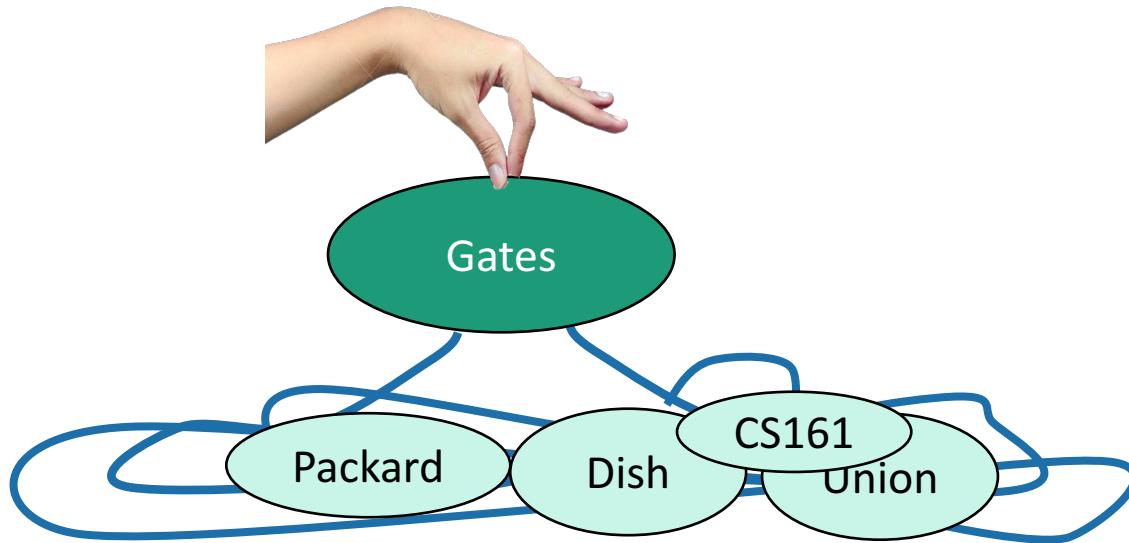
YOINK!



# Dijkstra intuition

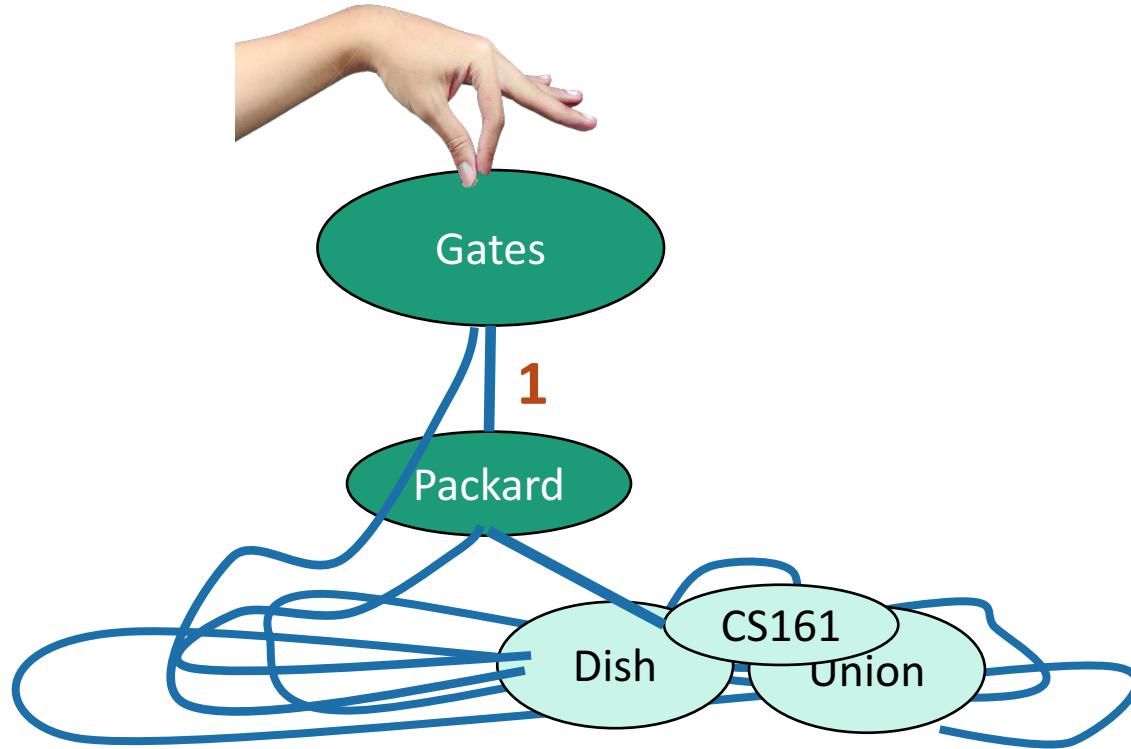
A vertex is done when it's not  
on the ground anymore.

YOINK!



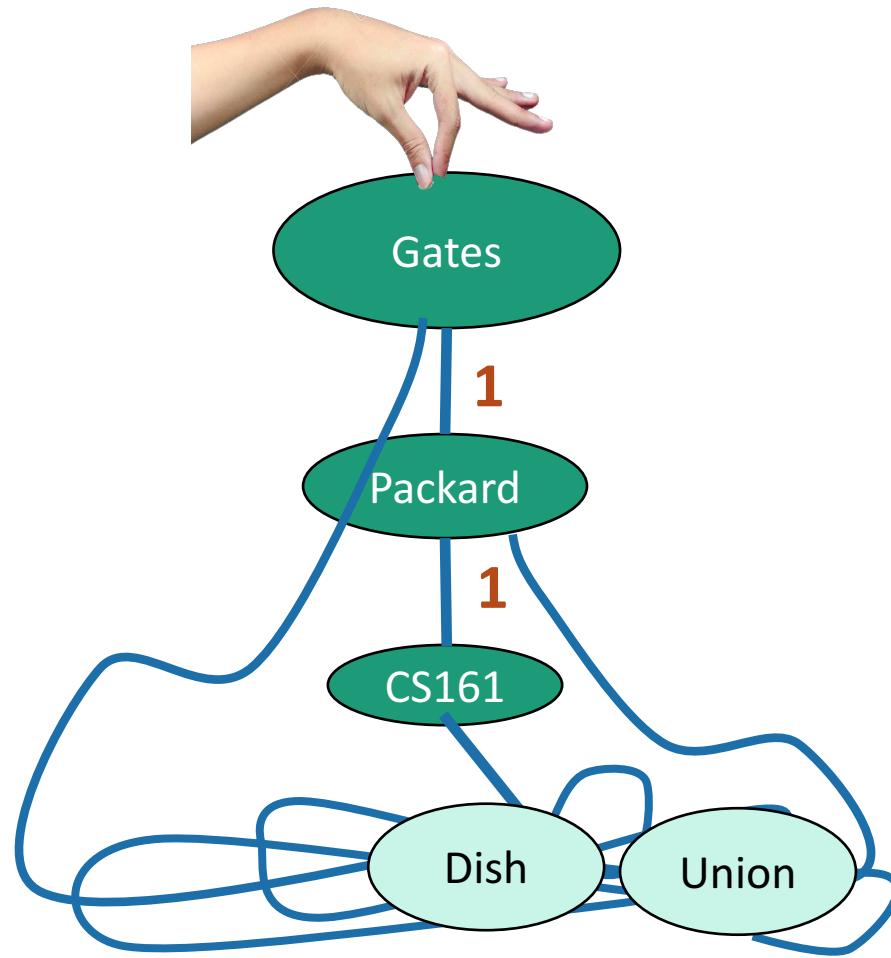
# Dijkstra intuition

YOINK!



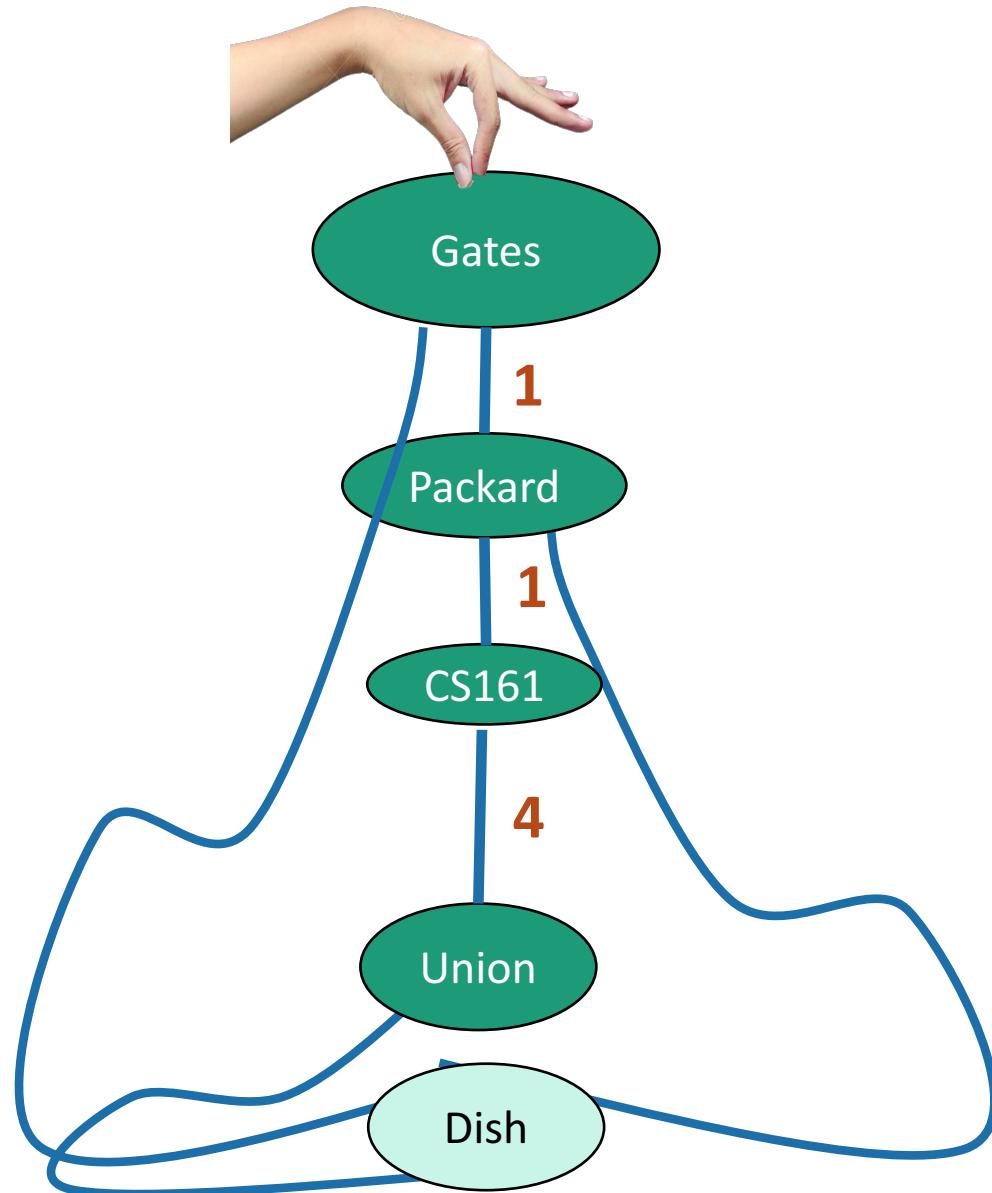
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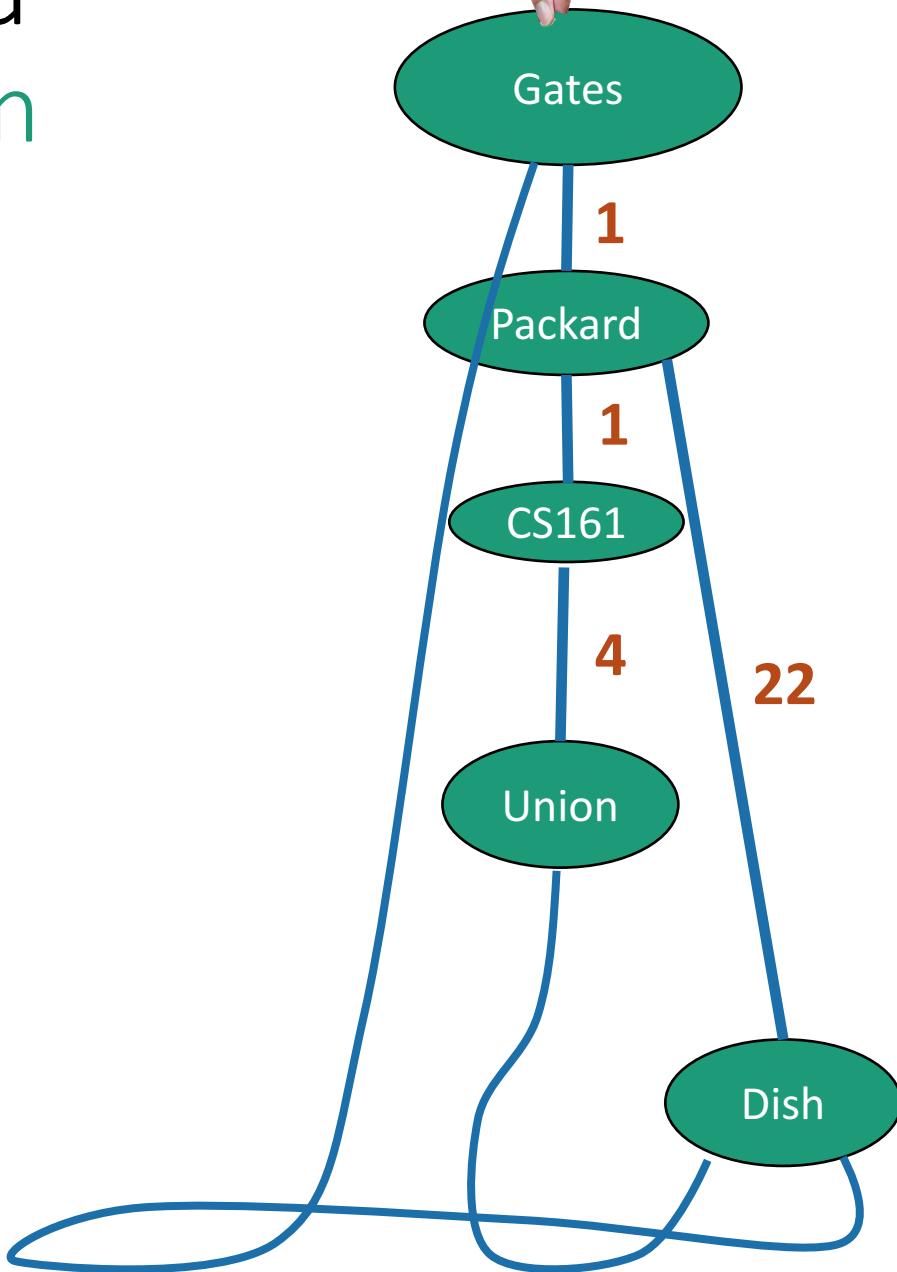


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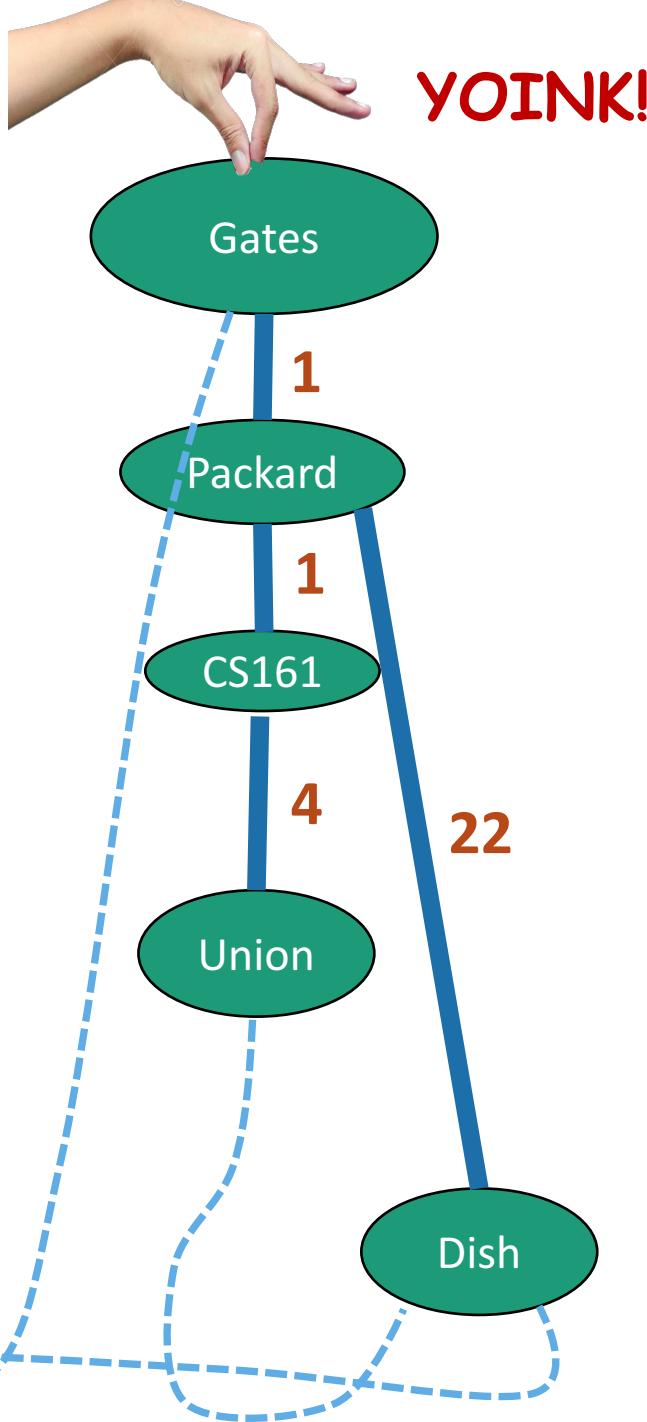
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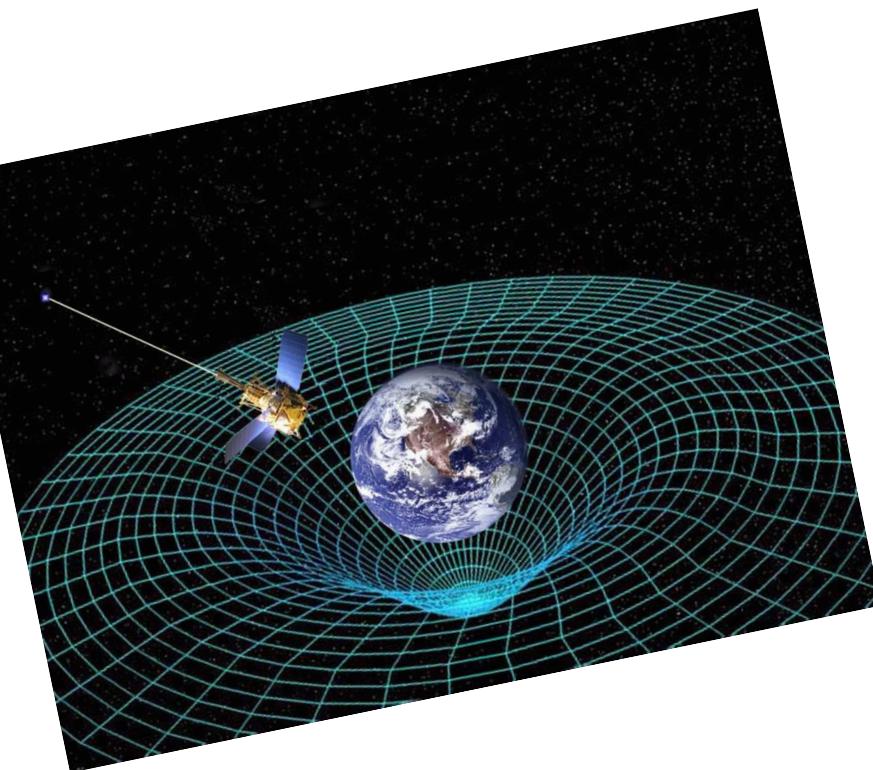
This also creates a tree structure!

The shortest paths are the lengths along this tree.



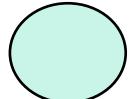
# How do we actually implement this?

- **Without** string and gravity?

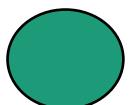


# Dijkstra by example

How far is a node from Gates?



I'm not sure yet



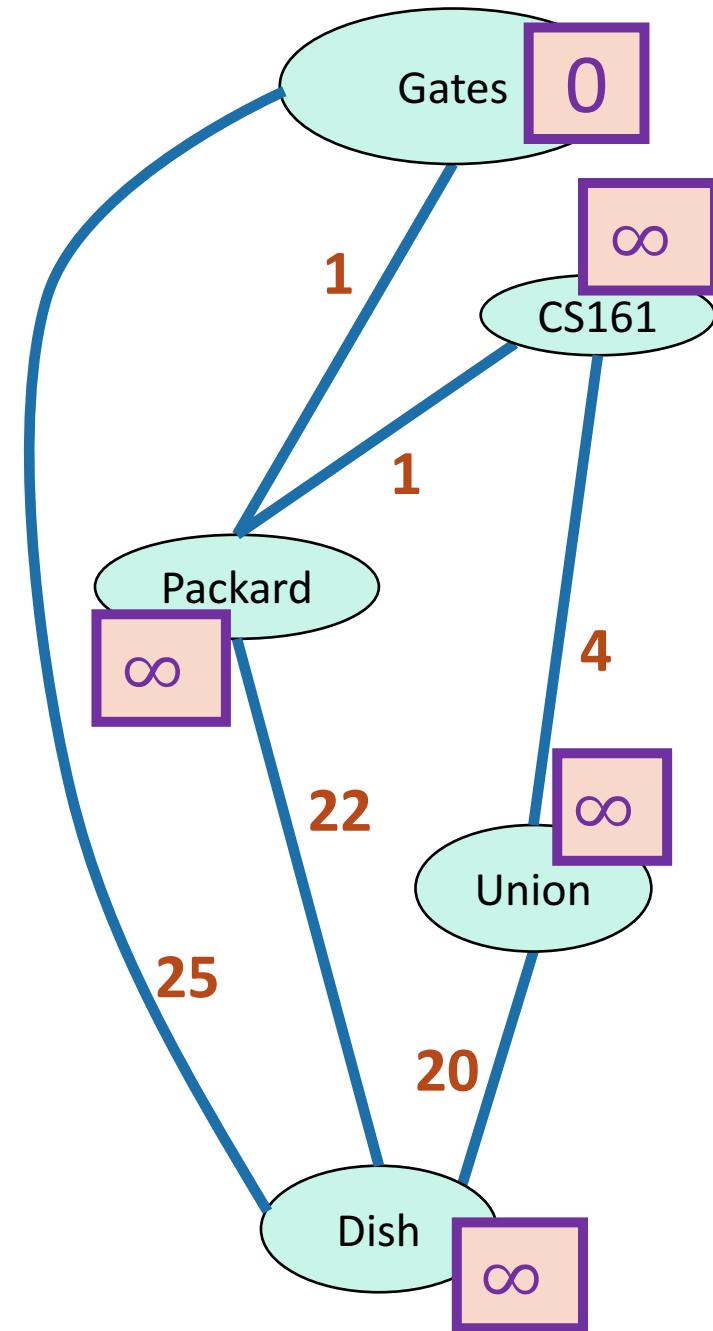
I'm sure



$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{Gates}, v)$ .

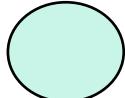
Initialize  $d[v] = \infty$  for all non-starting vertices  $v$ , and  $d[\text{Gates}] = 0$

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .

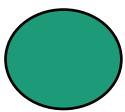


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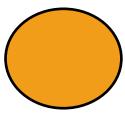
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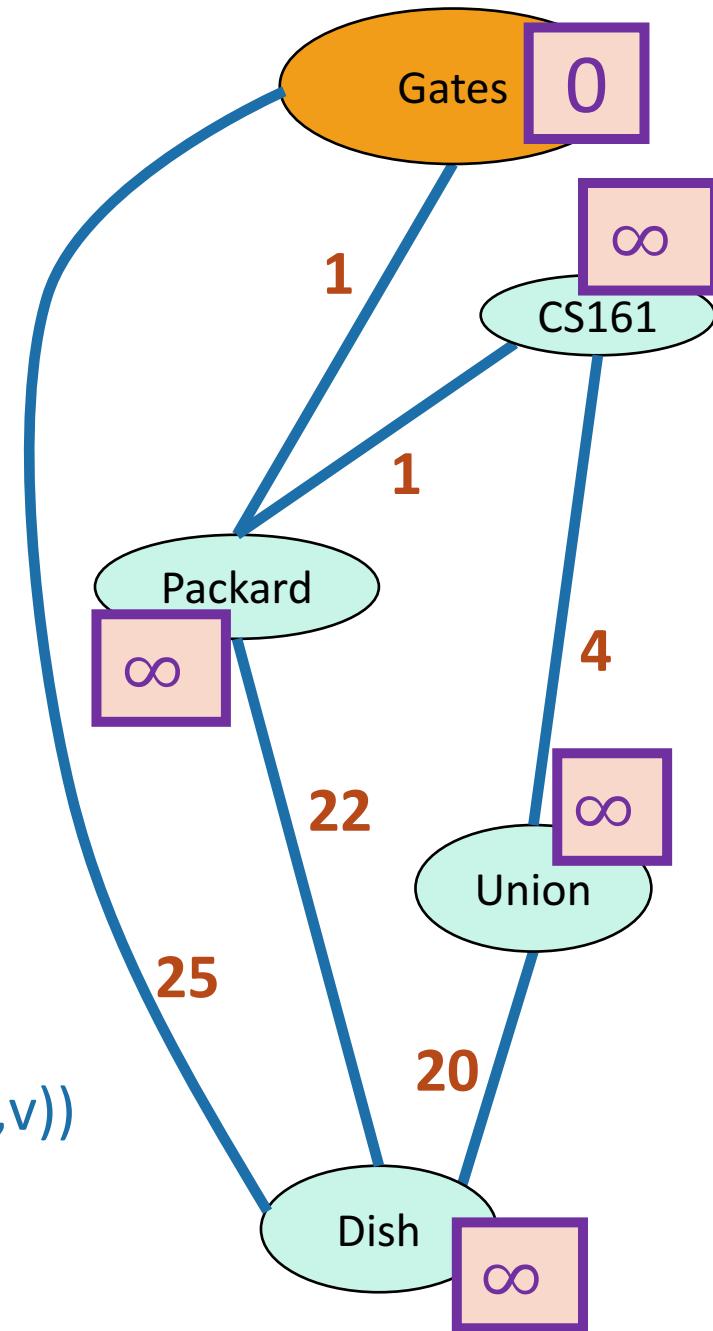


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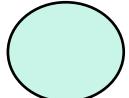
Current node u

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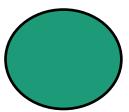


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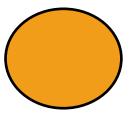
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I'm sure

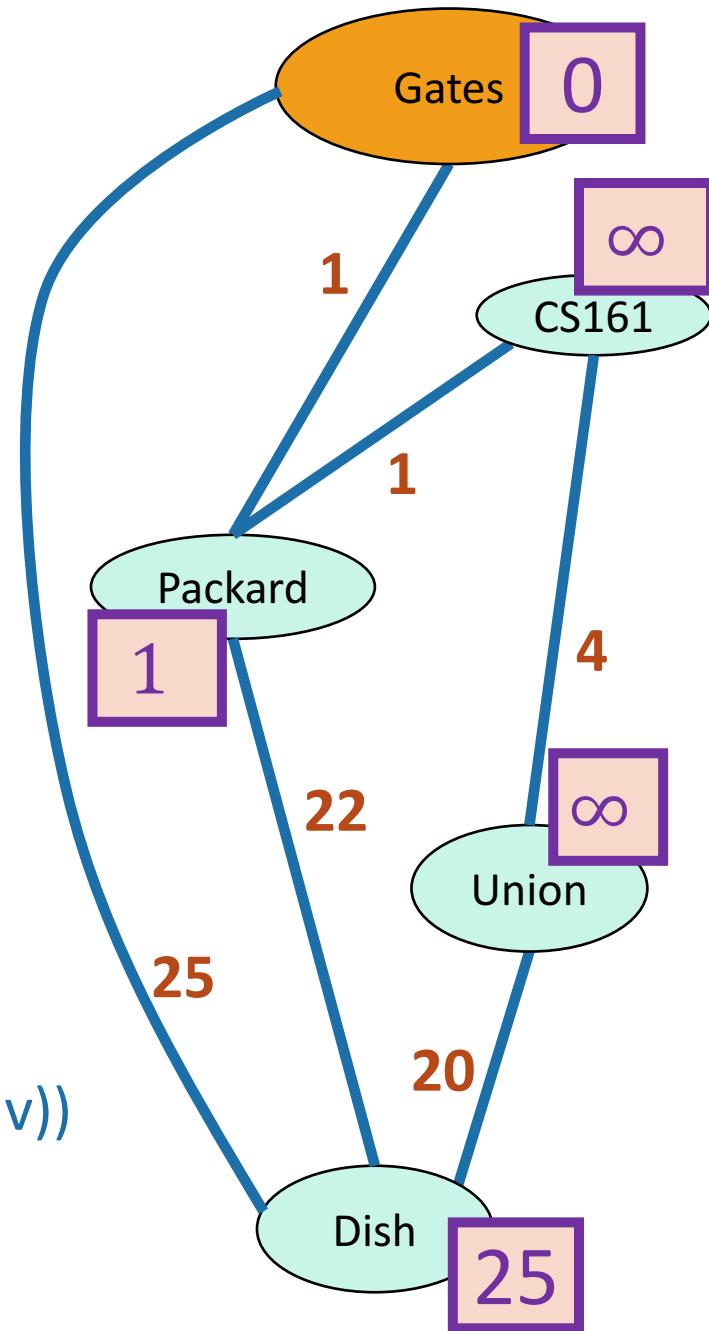


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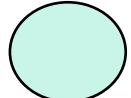
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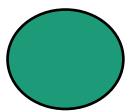


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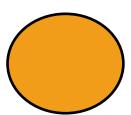
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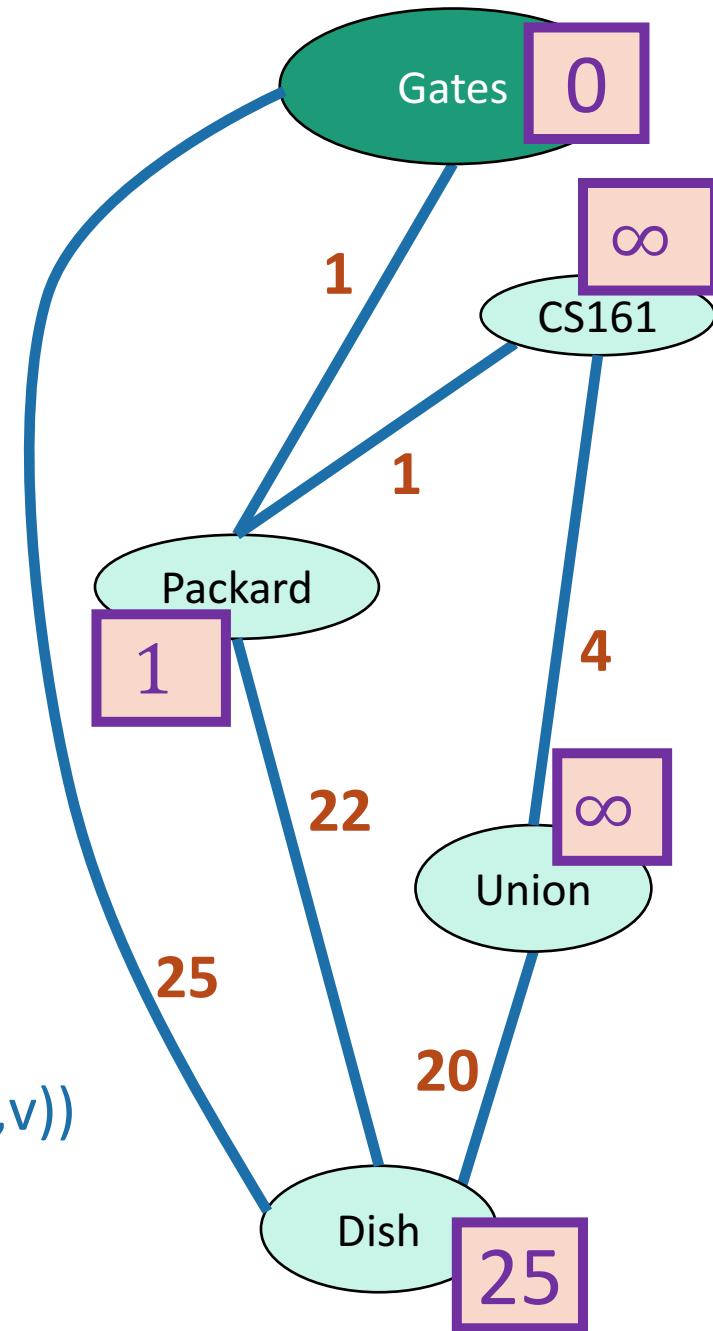


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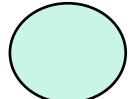
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- Repeat

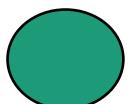


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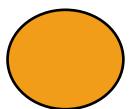
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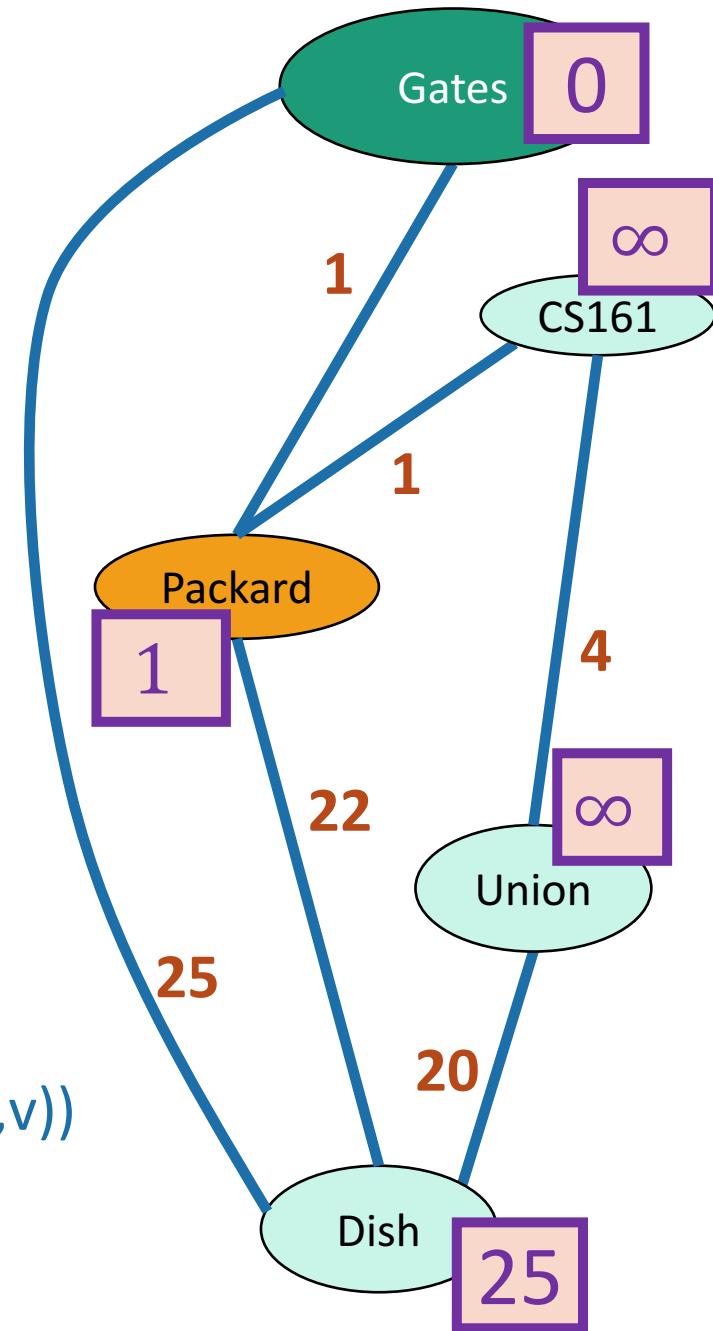


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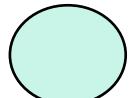
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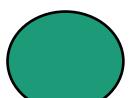


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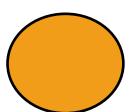
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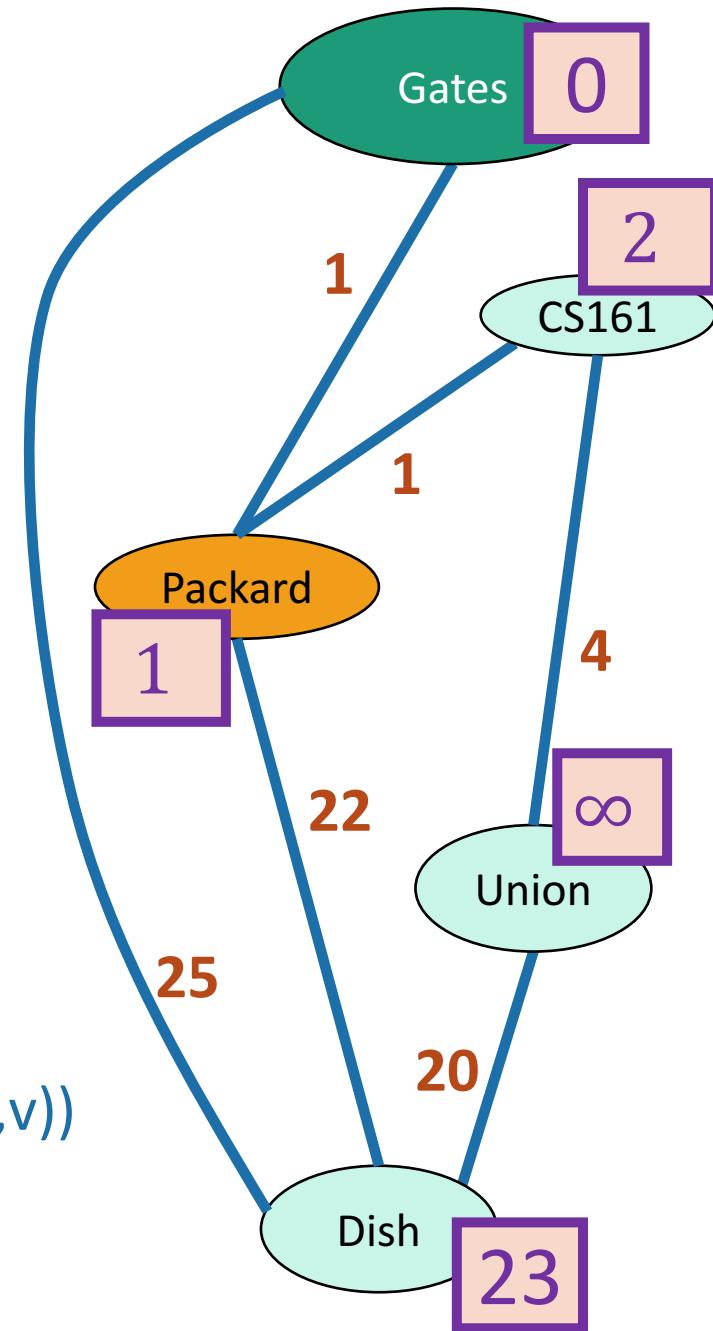


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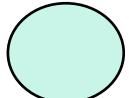
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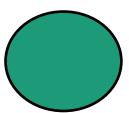


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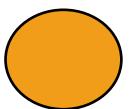
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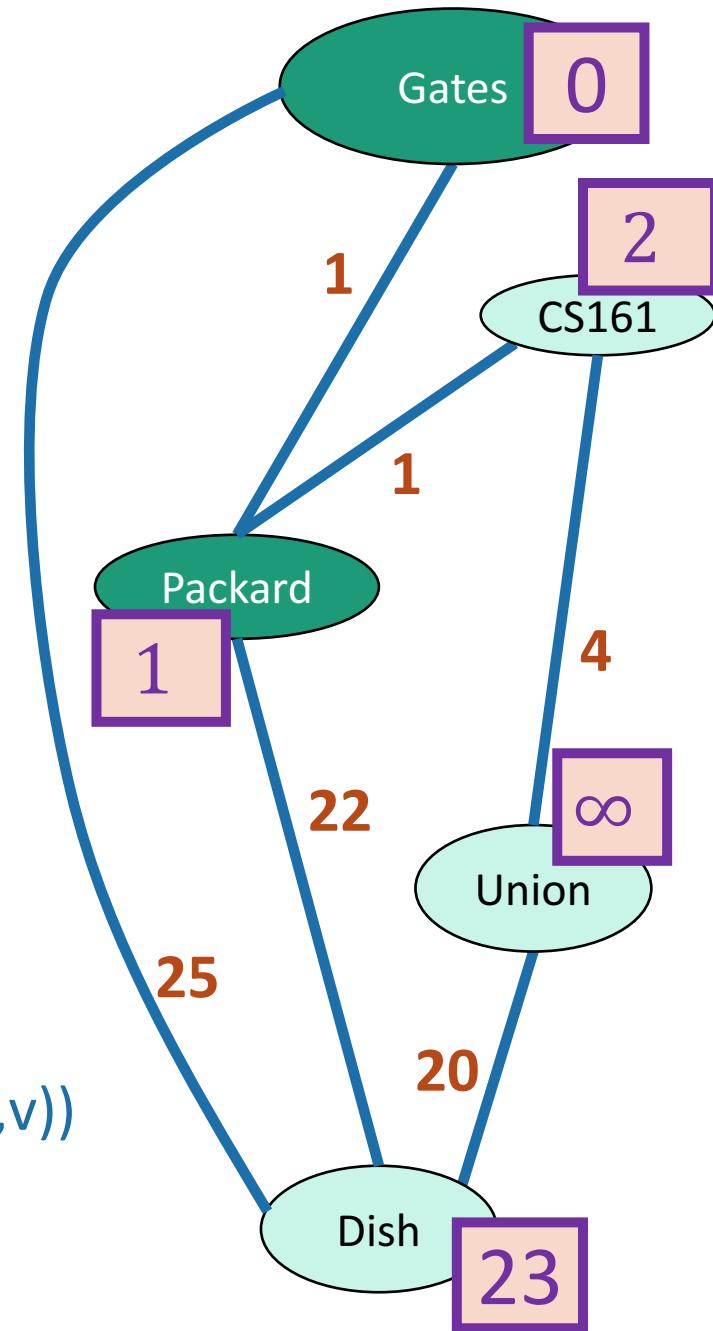


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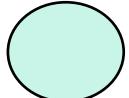
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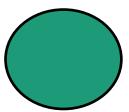


# Dijkstra by example

How far is a node from Gates?



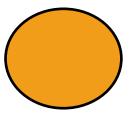
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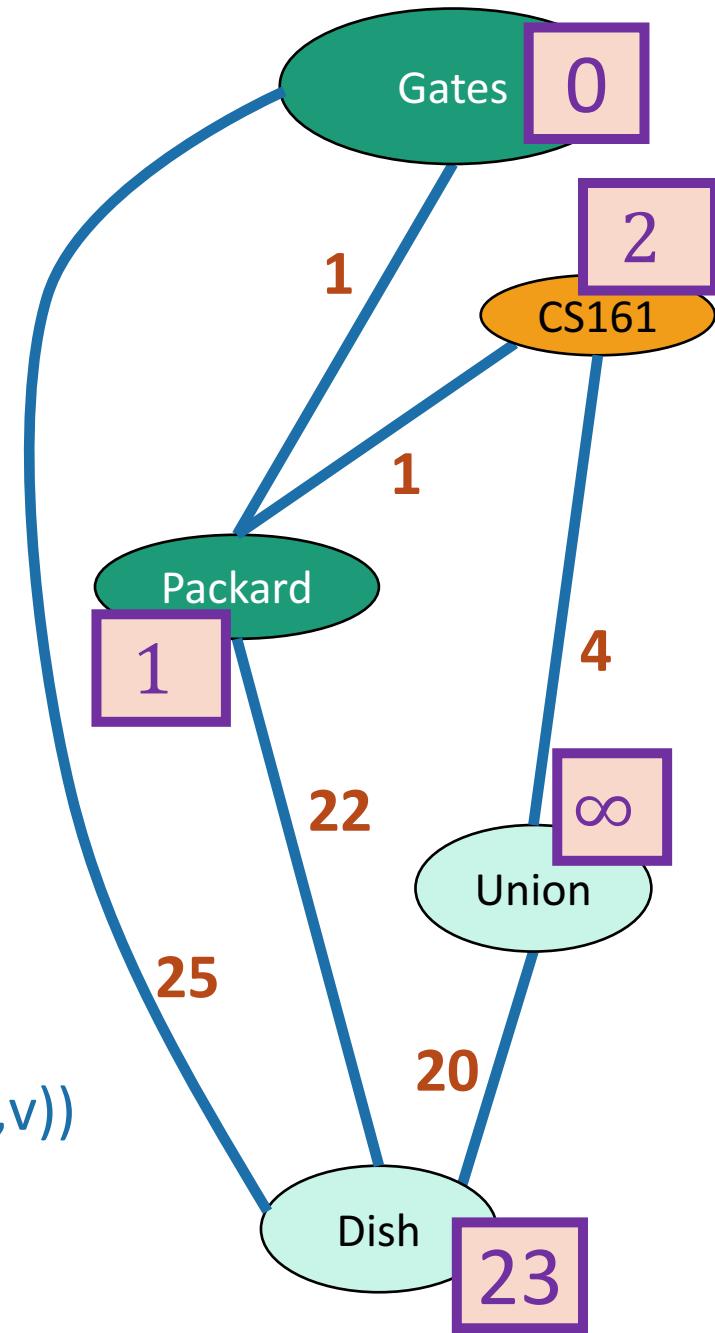


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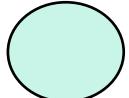
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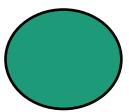


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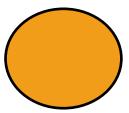
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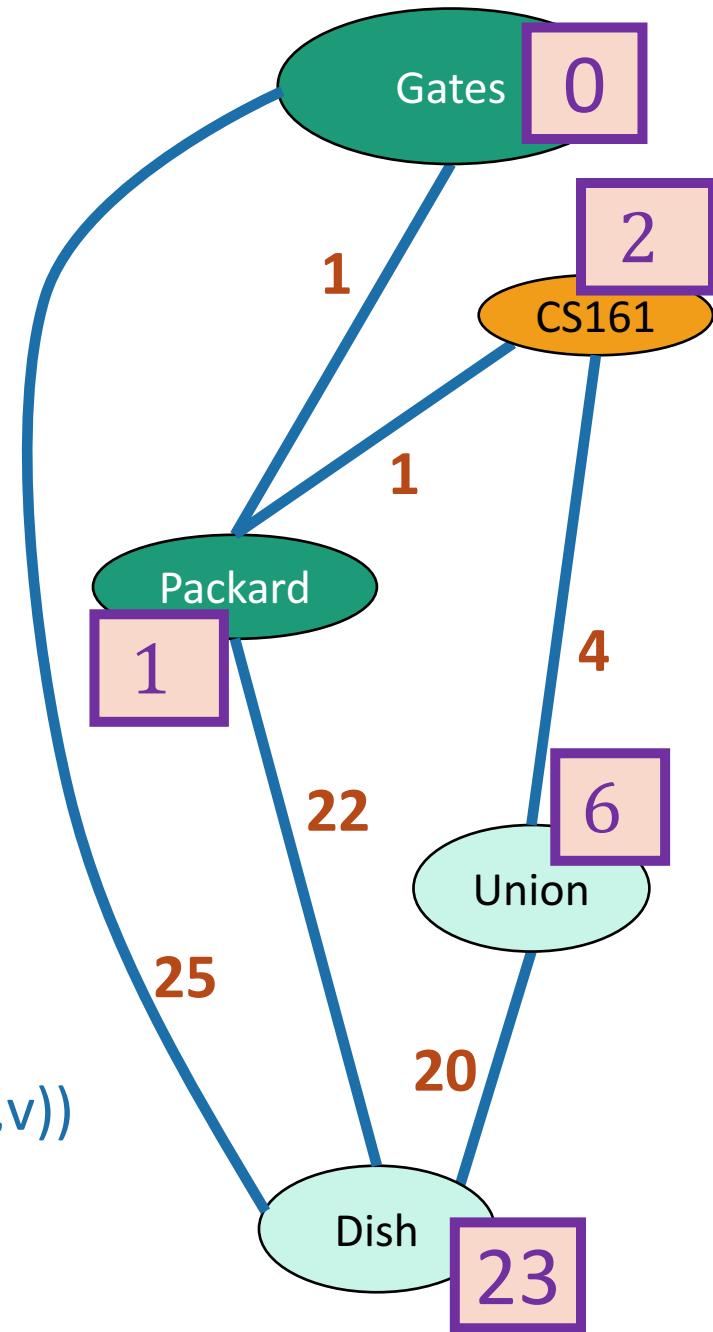


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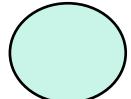
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  - $d[v] = \min( d[v] , d[u] + \text{edgeWeight}(u,v))$
- Mark u as **SURE**.
- Repeat

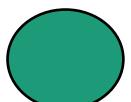


# Dijkstra by example

How far is a node from Gates?



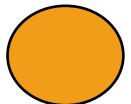
I'm not sure yet



I'm sure

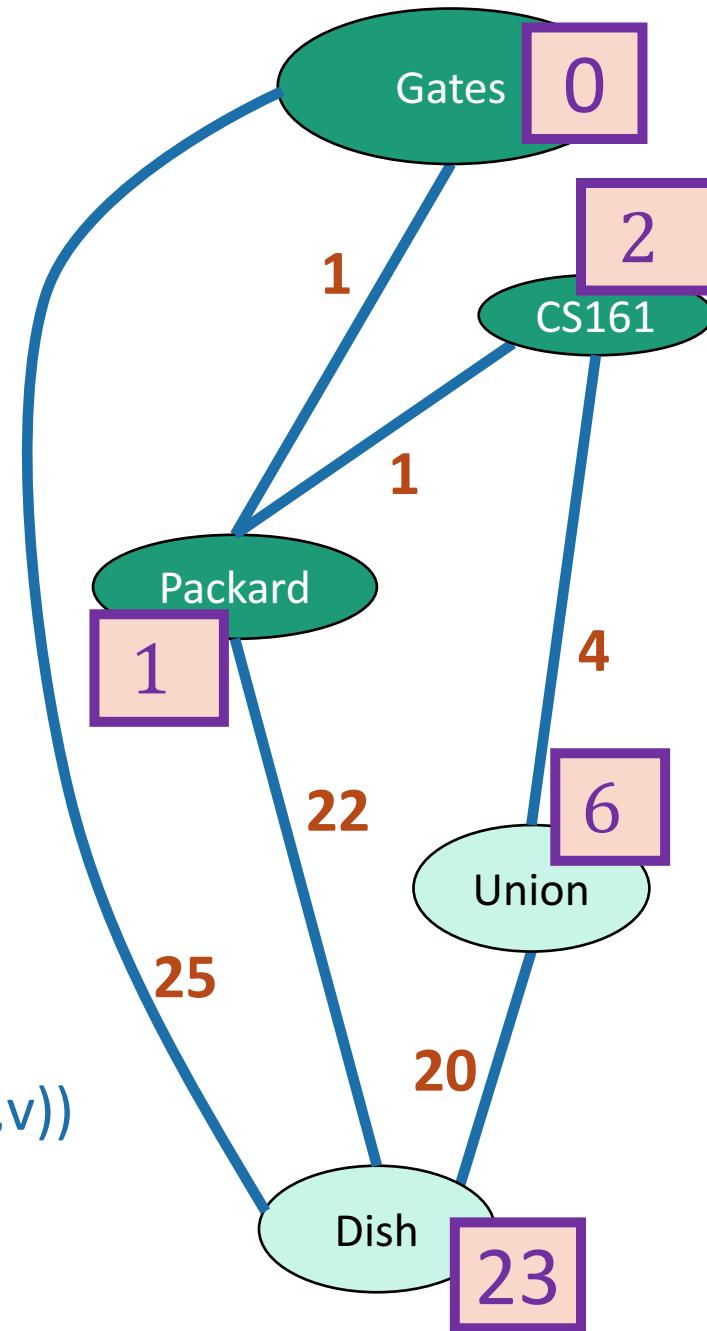


$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{Gates}, v)$ .



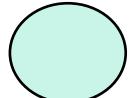
Current node u

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] = \min(d[v], d[u] + \text{edgeWeight}(u, v))$
- Mark  $u$  as **Sure**.
- Repeat

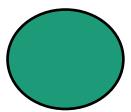


# Dijkstra by example

How far is a node from Gates?



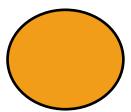
I'm not sure yet



I'm sure

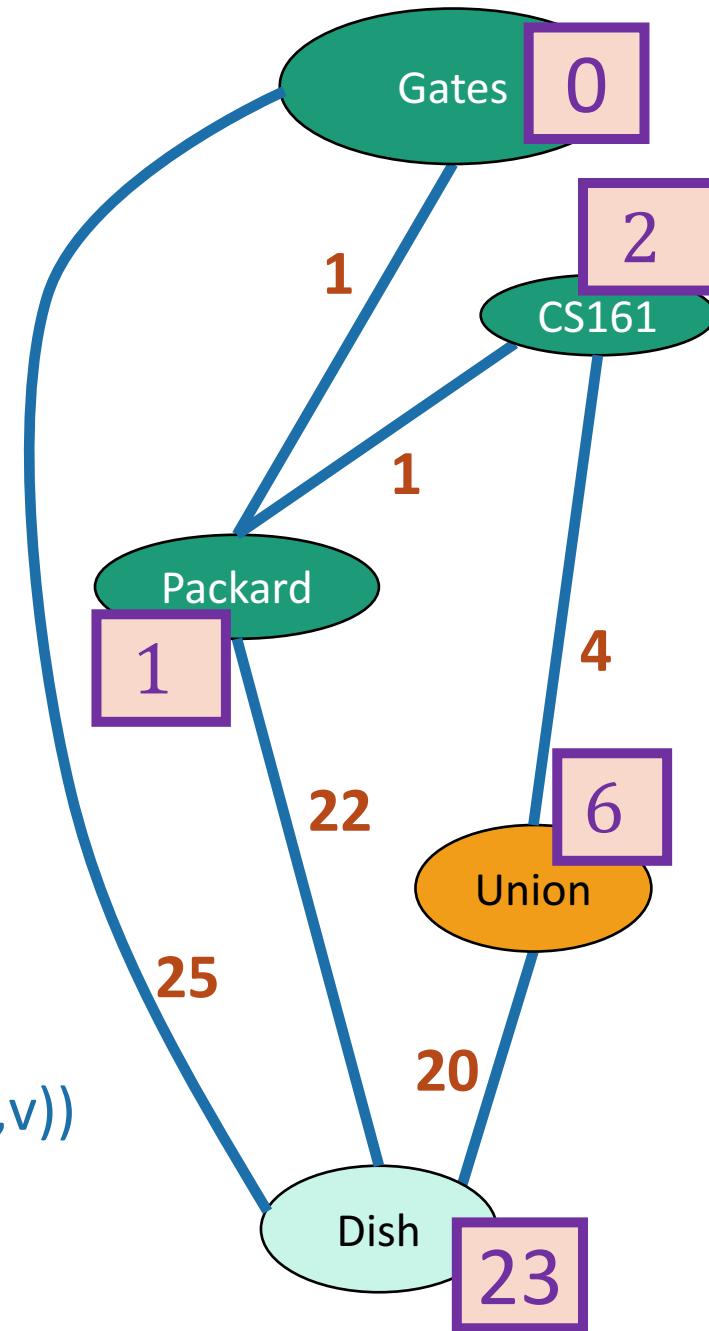


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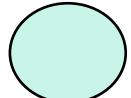
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- Pick the **not-sure** node u with the smallest estimate  $d[u]$ .
- Update all u's neighbors v:
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- Mark u as **SURE**.
- Repeat

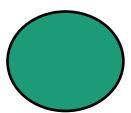


# Dijkstra by example

How far is a node from Gates?



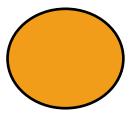
I'm not sure yet



I'm sure

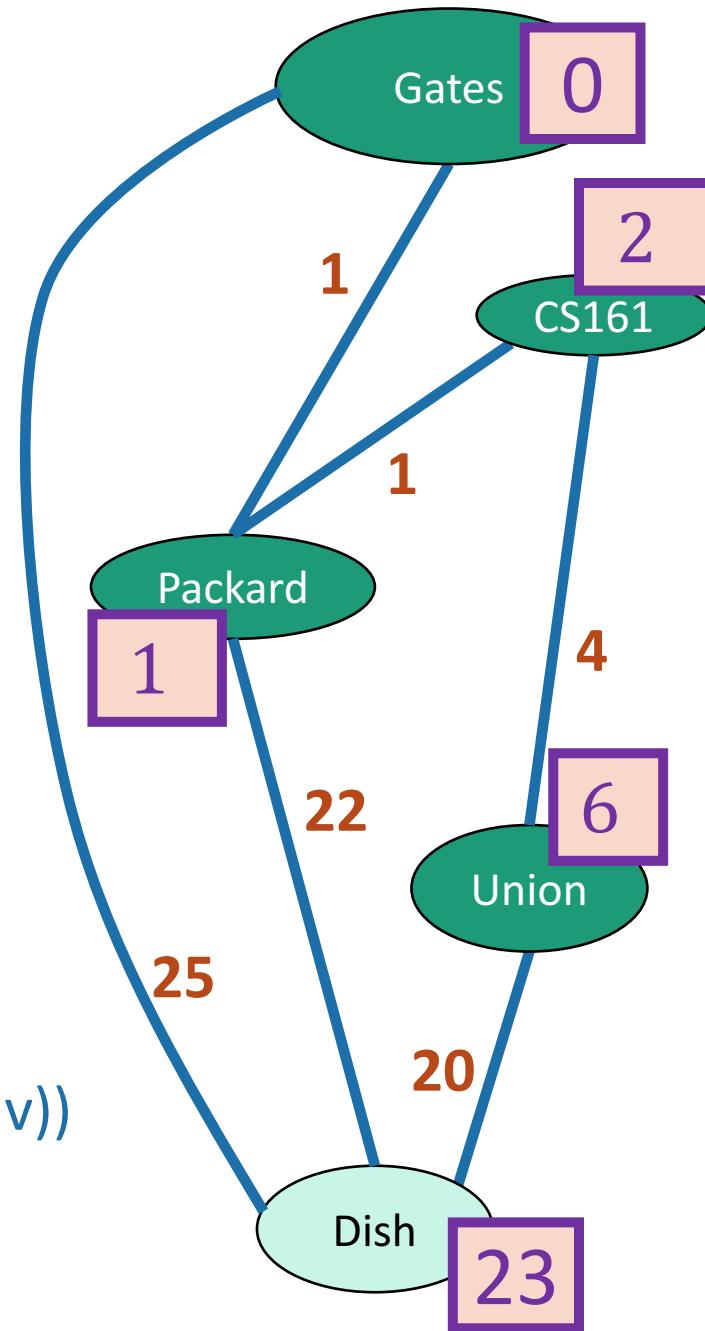


$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{Gates}, v)$ .



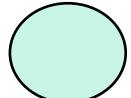
Current node u

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] = \min(d[v], d[u] + \text{edgeWeight}(u, v))$
- Mark  $u$  as **Sure**.
- Repeat

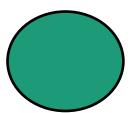


# Dijkstra by example

How far is a node from Gates?



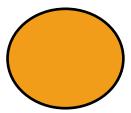
I'm not sure yet



I'm sure

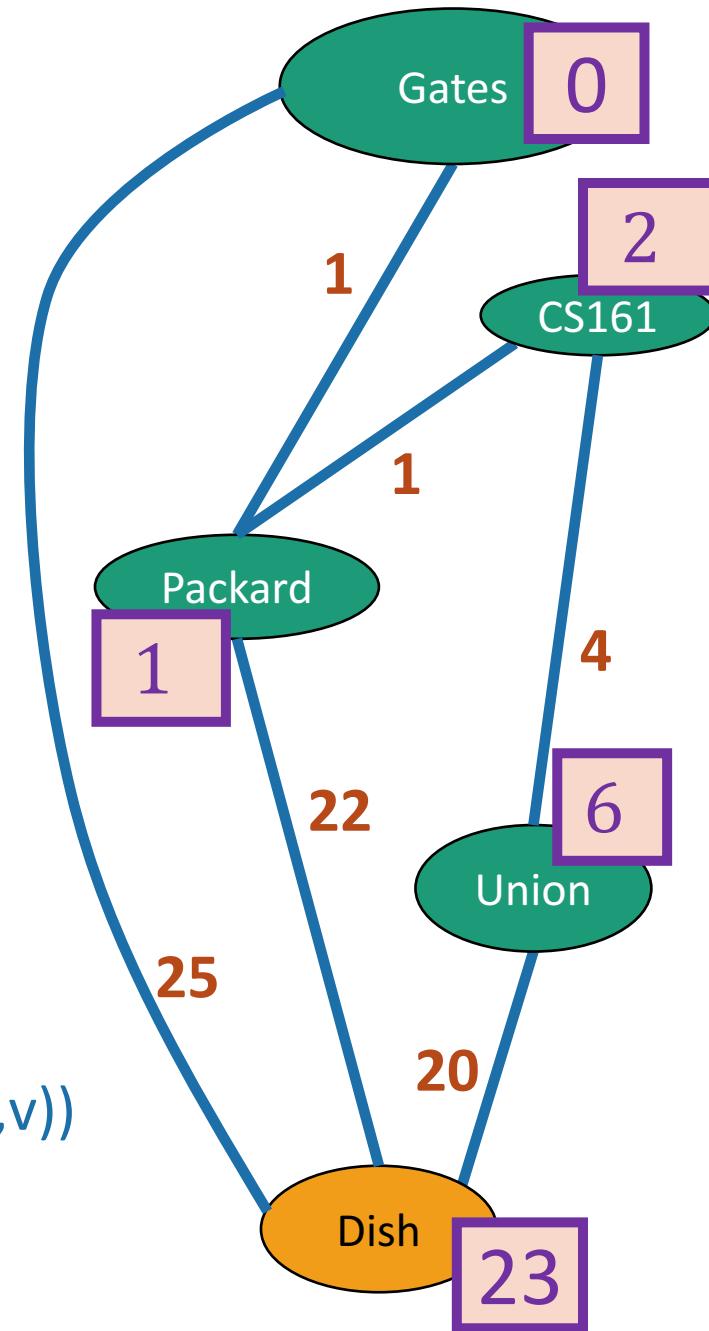


$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{Gates}, v)$ .



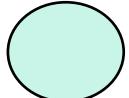
Current node u

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] = \min(d[v], d[u] + \text{edgeWeight}(u, v))$
- Mark  $u$  as **Sure**.
- Repeat

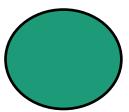


# Dijkstra by example

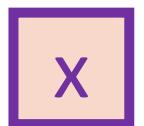
How far is a node from Gates?



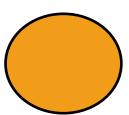
I'm not sure yet



I'm sure

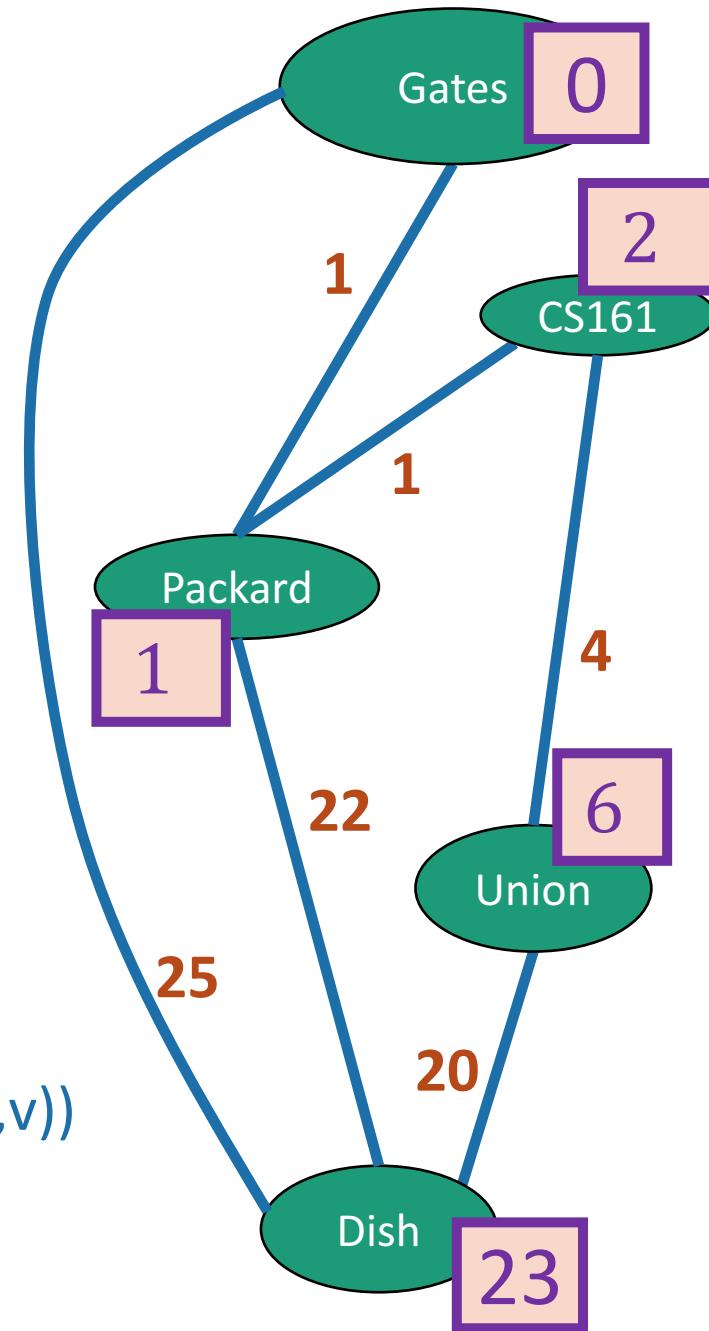


$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{Gates}, v)$ .



Current node u

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] = \min(d[v], d[u] + \text{edgeWeight}(u, v))$
- Mark  $u$  as **Sure**.
- Repeat



# Dijkstra's algorithm

**Dijkstra( $G, s$ ):**

- Set all vertices to **not-sure**
- $d[v] = \infty$  for all  $v$  in  $V$
- $d[s] = 0$
- **While** there are **not-sure** nodes:
  - Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
  - **For**  $v$  in  $u.\text{neighbors}$ :
    - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u, v))$
  - Mark  $u$  as **sure**.
- Now  $d(s, v) = d[v]$

Lots of implementation details left un-explained.  
We'll get to that!

See IPython Notebook for code!

# As usual



- Does it work?
  - Yes.
- Is it fast?
  - Depends on how you implement it.

# Why does this work?

- **Theorem:**

- Run Dijkstra on  $G = (V, E)$ , starting from  $s$ .
- At the end of the algorithm, the estimate  $d[v]$  is the actual distance  $d(s, v)$ .

Let's rename "Gates" to "s", our starting vertex.

- Proof outline:

- **Claim 1:** For all  $v$ ,  $d[v] \geq d(s, v)$ .
- **Claim 2:** When a vertex  $v$  is marked **sure**,  $d[v] = d(s, v)$ .

- **Claims 1 and 2 imply the theorem.**

- By the time we are **sure** about  $v$ ,  $d[v] = d(s, v)$ .
- $d[v]$  never increases, so after  $v$  is **sure**,  $d[v]$  stops changing.
- All vertices are eventually **sure**. (Stopping condition in algorithm)
- So all vertices end up with  $d[v] = d(s, v)$ .

Next let's prove the claims!

# Claim 1

$d[v] \geq d(s,v)$  for all  $v$ .

## Informally:

- Every time we update  $d[v]$ , we have a path in mind:

$$d[v] \leftarrow \min( d[v] , d[u] + \text{edgeWeight}(u,v) )$$

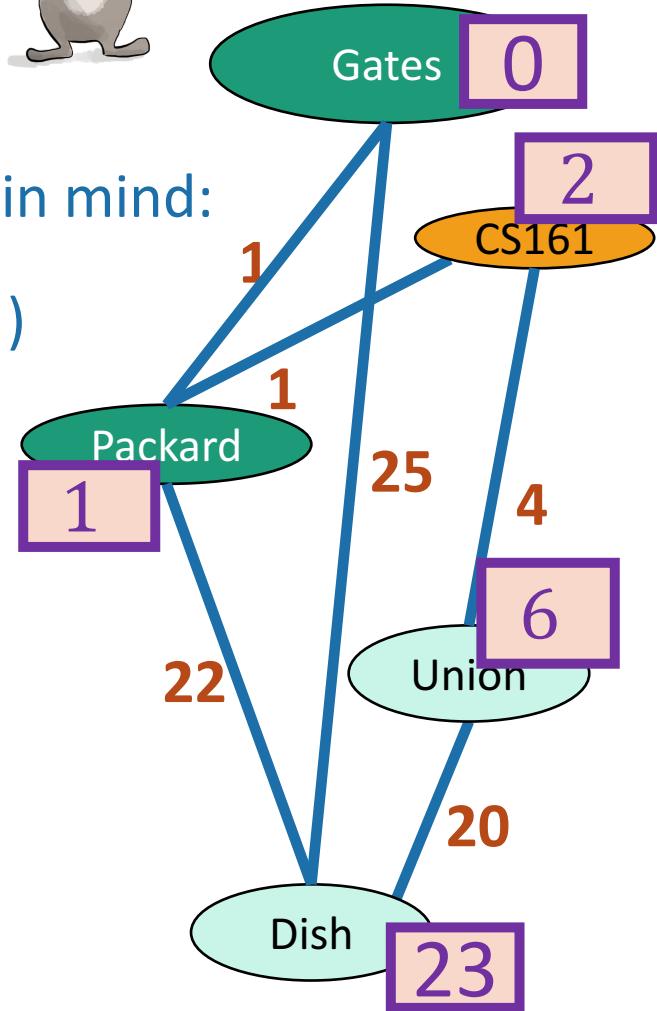
Whatever path we  
had in mind before

The shortest path to  $u$ , and  
then the edge from  $u$  to  $v$ .

- $d[v] = \text{length of the path we have in mind}$   
 $\geq \text{length of shortest path}$   
 $= d(s,v)$

## Formally:

- We should prove this by induction.
  - (See hidden slide or do it yourself)



# THIS SLIDE SKIPPED IN CLASS

## Claim 1

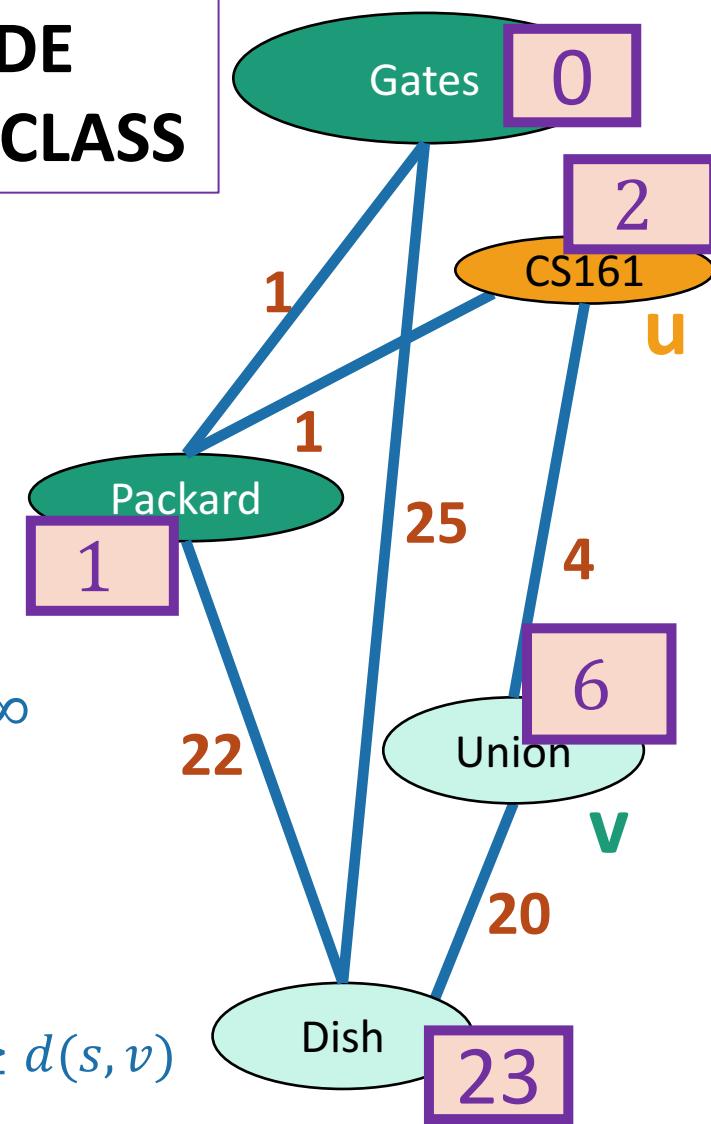
$d[v] \geq d(s, v)$  for all  $v$ .

- Inductive hypothesis.
  - After  $t$  iterations of Dijkstra,  
 $d[v] \geq d(s, v)$  for all  $v$ .
- Base case:
  - At step 0,  $d(s, s) = 0$ , and  $d(s, v) \leq \infty$
- Inductive step: say hypothesis holds for  $t$ .
  - At step  $t+1$ :
    - Pick  $u$ ; for each neighbor  $v$ :
    - $d[v] \leftarrow \min( d[v] , d[u] + w(u,v) ) \geq d(s, v)$

By induction,  
 $d(s, v) \leq d[v]$

$$\begin{aligned} d(s, v) &\leq d(s, u) + d(u, v) \\ &\leq d[u] + w(u, v) \end{aligned}$$

using induction again for  $d[u]$



So the inductive hypothesis holds for  $t+1$ , and Claim 1 follows.

# Claim 2

When a vertex  $u$  is marked **sure**,  $d[u] = d(s,u)$

- For  $s$  (the start vertex):
  - The first vertex marked **sure** has  $d[s] = d(s,s) = 0$ .
- For all the other vertices:
  - Suppose that we are about to add  $u$  to the **sure** list.
  - That is, we picked  $u$  in the first line here:
    - Pick the **not-sure** node  $u$  with the smallest estimate  **$d[u]$** .
    - Update all  $u$ 's neighbors  $v$ :
      - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
    - Mark  $u$  as **sure**.
    - Repeat
  - Want to show that  $d[u] = d(s,u)$ .

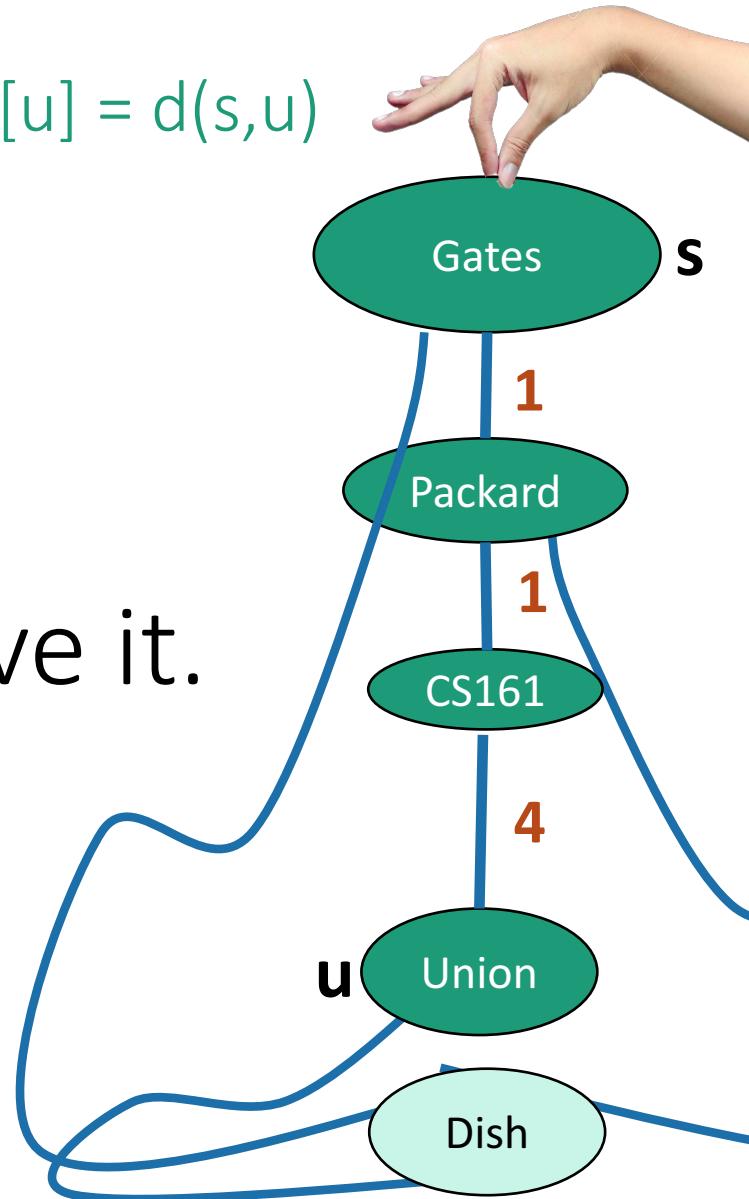
YOINK!

# Intuition

When a vertex  $u$  is marked sure,  $d[u] = d(s,u)$

- The first path that lifts  $u$  off the ground is the shortest one.

But let's actually prove it.

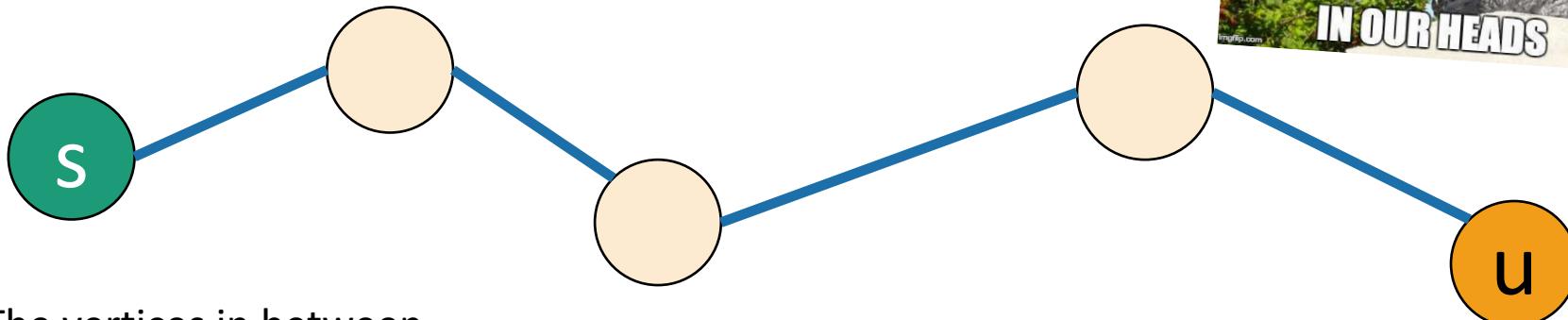


# Claim 2

Temporary definition:  
 $v$  is “good” means that  $d[v] = d(s,v)$

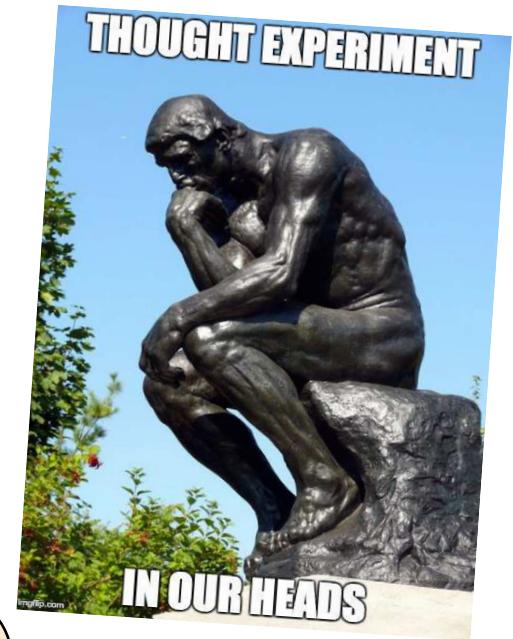
- Want to show that  $u$  is good.

Consider a **true** shortest path from  $s$  to  $u$ :



The vertices in between are beige because they may or may not be **sure**.

True shortest path.



# Claim 2

**Temporary definition:**

$v$  is “good” means that  $d[v] = d(s,v)$



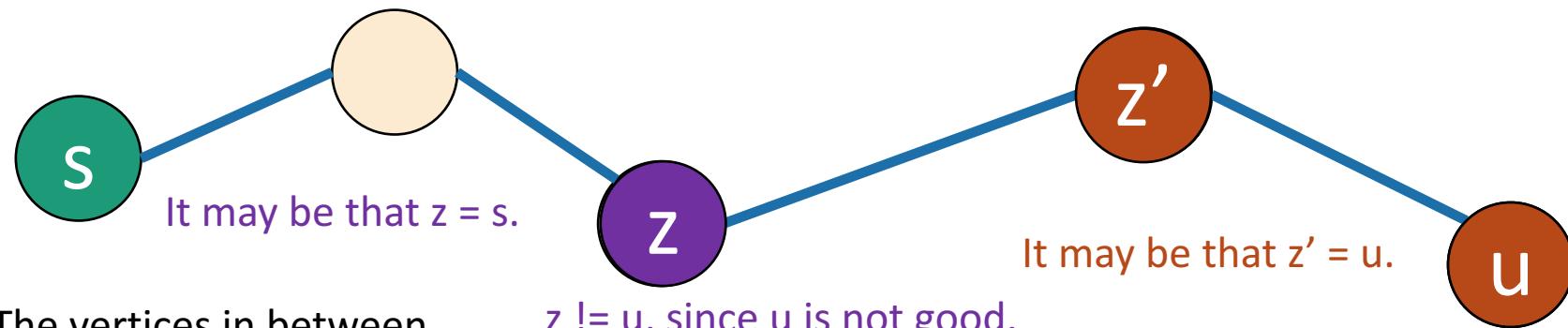
means good



means not good

“by way of contradiction”

- Want to show that  $u$  is good. **BWOC**, suppose  $u$  isn’t good.
- Say  $z$  is the last good vertex before  $u$ .
- $z'$  is the vertex after  $z$ .



True shortest path.

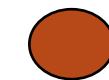
# Claim 2

Temporary definition:

$v$  is “good” means that  $d[v] = d(s, v)$



means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

$$d[z] = d(s, z) \leq d(s, u) \leq d[u]$$

$z$  is good

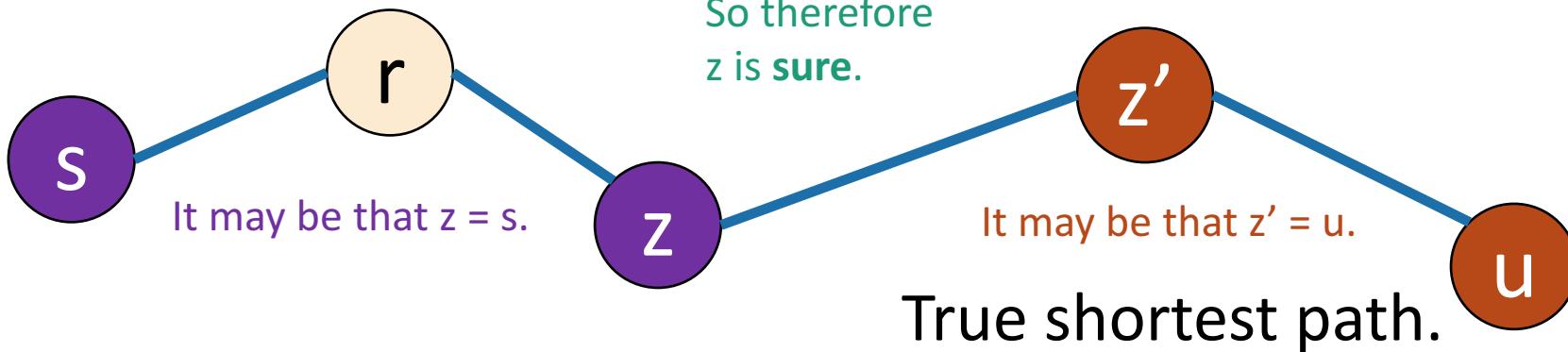
This is the shortest path from  $s$  to  $u$ .

Claim 1

- If  $d[z] = d[u]$ , then  $u$  is good.
- If  $d[z] < d[u]$ , then  $z$  is **sure**.



We chose  $u$  so that  $d[u]$  was smallest of the unsure vertices.



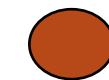
# Claim 2

Temporary definition:

$v$  is “good” means that  $d[v] = d(s, v)$



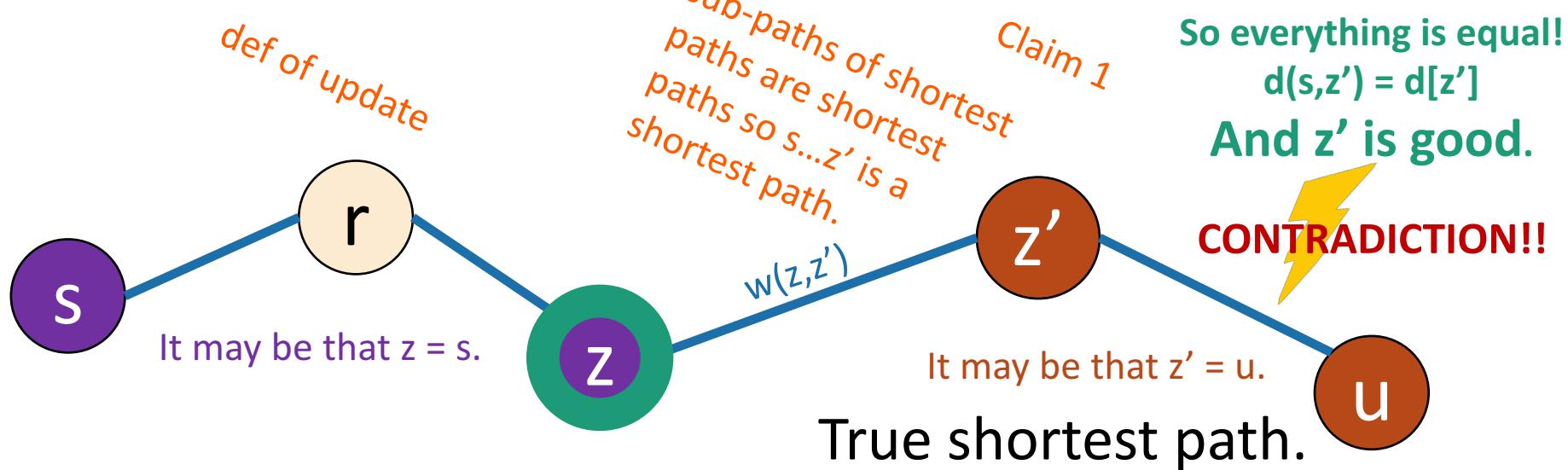
means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.
- If  $z$  is **sure** then we've already updated  $z'$ :
  - $d[z'] \leftarrow \min\{d[z'], d[z] + w(z, z')\}$ , so

$$d[z'] \leq d[z] + w(z, z') = d(s, z') \leq d[z']$$



**Back to this slide**

## Claim 2

**Temporary definition:**

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

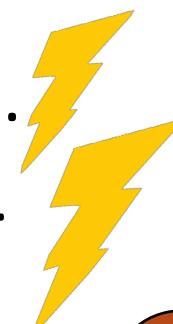
$$d[z] = d(s,z) \leq d(s,u) \leq d[u]$$

Def. of  $z$

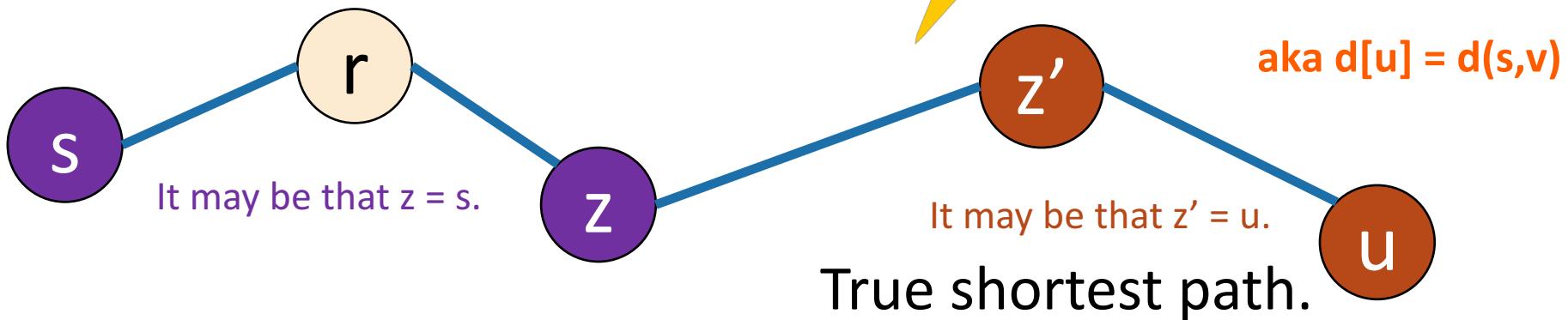
This is the shortest  
path from  $s$  to  $x$

Claim 1

- If  $d[z] = d[u]$ , then  $u$  is good.
- If  $d[z] < d[u]$ , then  $z$  is **sure**.



**So  $u$  is  
good!**



[Back to this slide](#)

## Claim 2

When a vertex is marked **sure**,  $d[u] = d(s,u)$



- For  $s$  (the starting vertex):
  - The first vertex marked **sure** has  $d[s] = d(s,s) = 0$ .
- For all other vertices:
  - Suppose that we are about to add  $u$  to the **sure** list.
  - That is, we picked  $u$  in the first line here:
    - Pick the **not-sure** node  $u$  with the smallest estimate  **$d[u]$** .
    - Update all  $u$ 's neighbors  $v$ :
      - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
    - Mark  $u$  as **sure**.
    - Repeat

**Then  $u$  is good! aka  $d[u] = d(s,u)$**

*Now back to  
this slide*

# Why does this work?

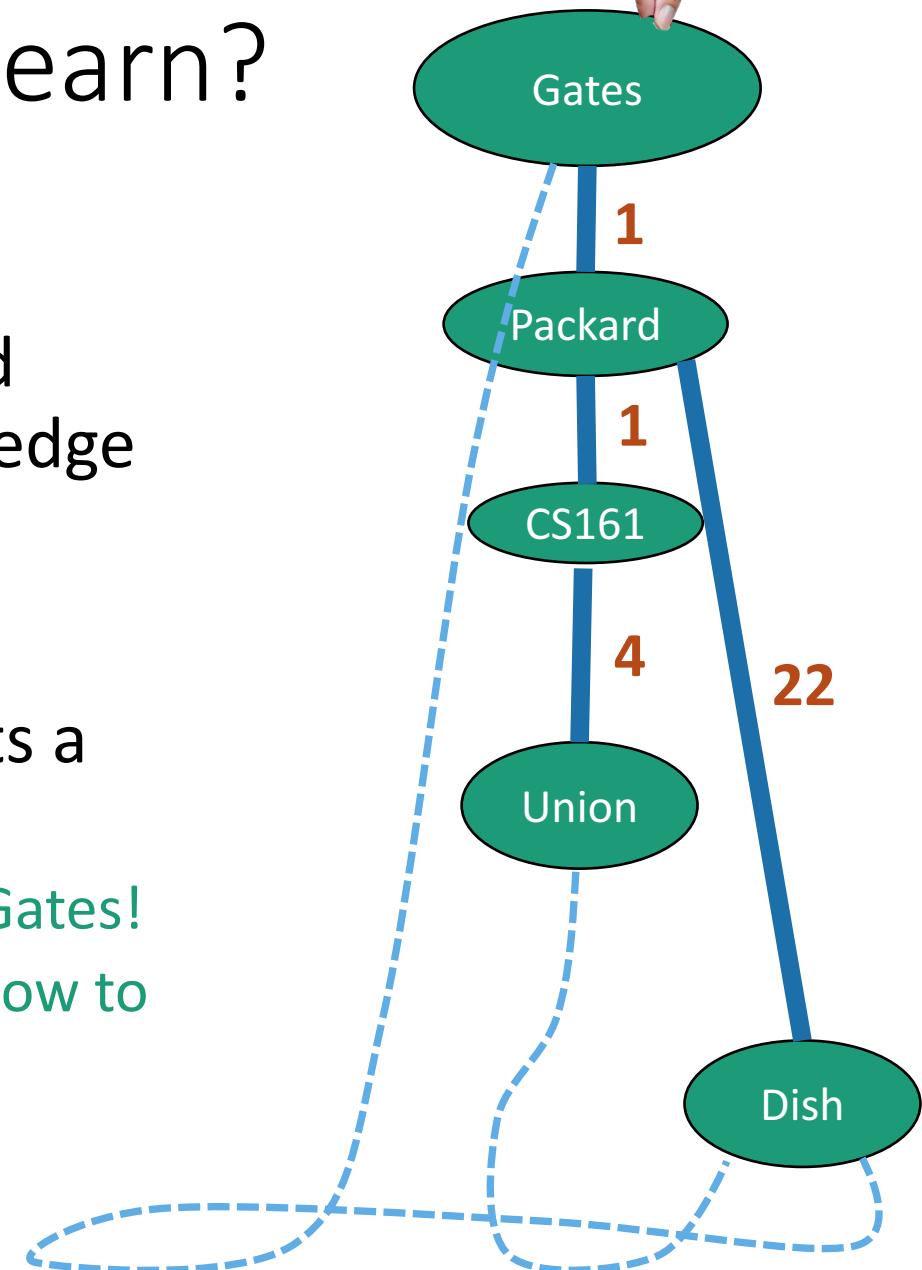
- **Theorem:**
  - Run Dijkstra on  $G = (V, E)$  starting from  $s$ .
  - At the end of the algorithm, the estimate  $d[v]$  is the actual distance  $d(s, v)$ .
- Proof outline:
  - **Claim 1:** For all  $v$ ,  $d[v] \geq d(s, v)$ .
  - **Claim 2:** When a vertex is marked **sure**,  $d[v] = d(s, v)$ .
- **Claims 1 and 2 imply the theorem.**



YOINK!

# What did we just learn?

- Dijkstra's algorithm finds shortest paths in weighted graphs with non-negative edge weights.
- Along the way, it constructs a nice tree.
  - We could post this tree in Gates!
  - Then people would know how to get places quickly.



# As usual

- Does it work?
  - Yes.
- Is it fast?
  - Depends on how you implement it.

# Running time?

## Dijkstra( $G, s$ ):

- Set all vertices to **not-sure**
- $d[v] = \infty$  for all  $v$  in  $V$
- $d[s] = 0$
- **While** there are **not-sure** nodes:
  - Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
  - **For**  $v$  in  $u.\text{neighbors}$ :
    - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u, v))$
    - Mark  $u$  as **sure**.
  - Now  $\text{dist}(s, v) = d[v]$
- $n$  iterations (one per vertex)
- How long does one iteration take?

Depends on how we implement it...

# We need a data structure that:

- Stores unsure vertices  $v$
- Keeps track of  $d[v]$
- Can find  $u$  with minimum  $d[u]$ 
  - `findMin()`
- Can remove that  $u$ 
  - `removeMin(u)`
- Can update (decrease)  $d[v]$ 
  - `updateKey(v, d)`

Just the inner loop:

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
- Mark  $u$  as **sure**.

Total running time is big-oh of:

$$\sum_{u \in V} \left( T(\text{findMin}) + \left( \sum_{v \in u.\text{neighbors}} T(\text{updateKey}) \right) + T(\text{removeMin}) \right)$$

$$= n(T(\text{findMin}) + T(\text{removeMin})) + m T(\text{updateKey})$$

# If we use an array

- $T(\text{findMin}) = O(n)$
- $T(\text{removeMin}) = O(n)$
- $T(\text{updateKey}) = O(1)$
- Running time of Dijkstra
  - $= O(n(T(\text{findMin}) + T(\text{removeMin})) + m T(\text{updateKey}))$
  - $= O(n^2) + O(m)$
  - $= O(n^2)$

# If we use a red-black tree

- $T(\text{findMin}) = O(\log(n))$
- $T(\text{removeMin}) = O(\log(n))$
- $T(\text{updateKey}) = O(\log(n))$
- Running time of Dijkstra
  - $= O(n( T(\text{findMin}) + T(\text{removeMin}) ) + m T(\text{updateKey}))$
  - $= O(n\log(n)) + O(m\log(n))$
  - $= O((n + m)\log(n))$

Better than an array if the graph is sparse!  
aka if  $m$  is much smaller than  $n^2$

$$O(n(\text{T}(\text{findMin}) + \text{T}(\text{removeMin})) + m \text{T}(\text{updateKey}))$$

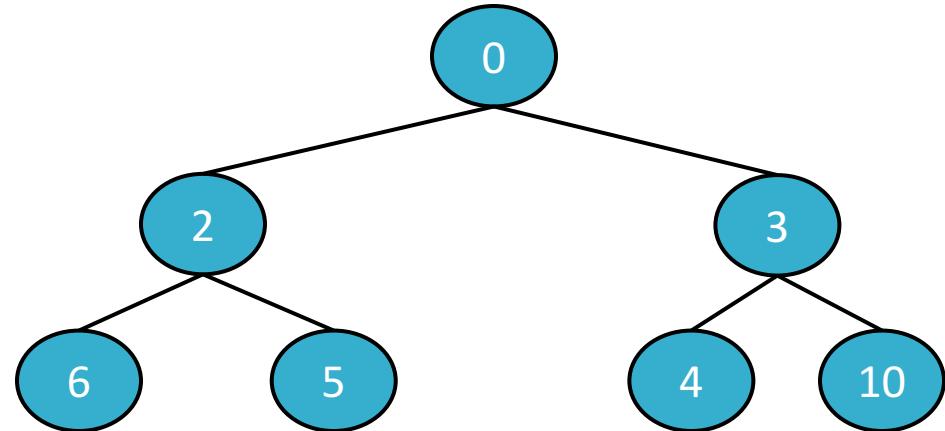
Is a hash table a good idea here?

- **Not really:**
  - **Search(v)** is fast (in expectation)
  - But **findMin()** will still take time  $O(n)$  without more structure.

Slide skipped in class

# Heaps support these operations

- T(findMin)
- T(removeMin)
- T(updateKey)



- A **heap** is a tree-based data structure that has the property that **every node has a smaller key than its children.**
- Not covered in this class – see CS166! (Or CLRS).
- But! We will use them.

# Many heap implementations

Nice chart on Wikipedia:

Operation	Binary <sup>[7]</sup>	Leftist	Binomial <sup>[7]</sup>	Fibonacci <sup>[7][8]</sup>	Pairing <sup>[9]</sup>	Brodal <sup>[10][b]</sup>	Rank-pairing <sup>[12]</sup>	Strict Fibonacci <sup>[13]</sup>
find-min	$\Theta(1)$	$\Theta(1)$	$\Theta(\log n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
delete-min	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$	$O(\log n)^{[c]}$	$O(\log n)^{[c]}$	$O(\log n)$	$O(\log n)^{[c]}$	$O(\log n)$
insert	$O(\log n)$	$\Theta(\log n)$	$\Theta(1)^{[c]}$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
decrease-key	$\Theta(\log n)$	$\Theta(n)$	$\Theta(\log n)$	$\Theta(1)^{[c]}$	$O(\log n)^{[c][d]}$	$\Theta(1)$	$\Theta(1)^{[c]}$	$\Theta(1)$
merge	$\Theta(n)$	$\Theta(\log n)$	$O(\log n)^{[e]}$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$

# Say we use a Fibonacci Heap

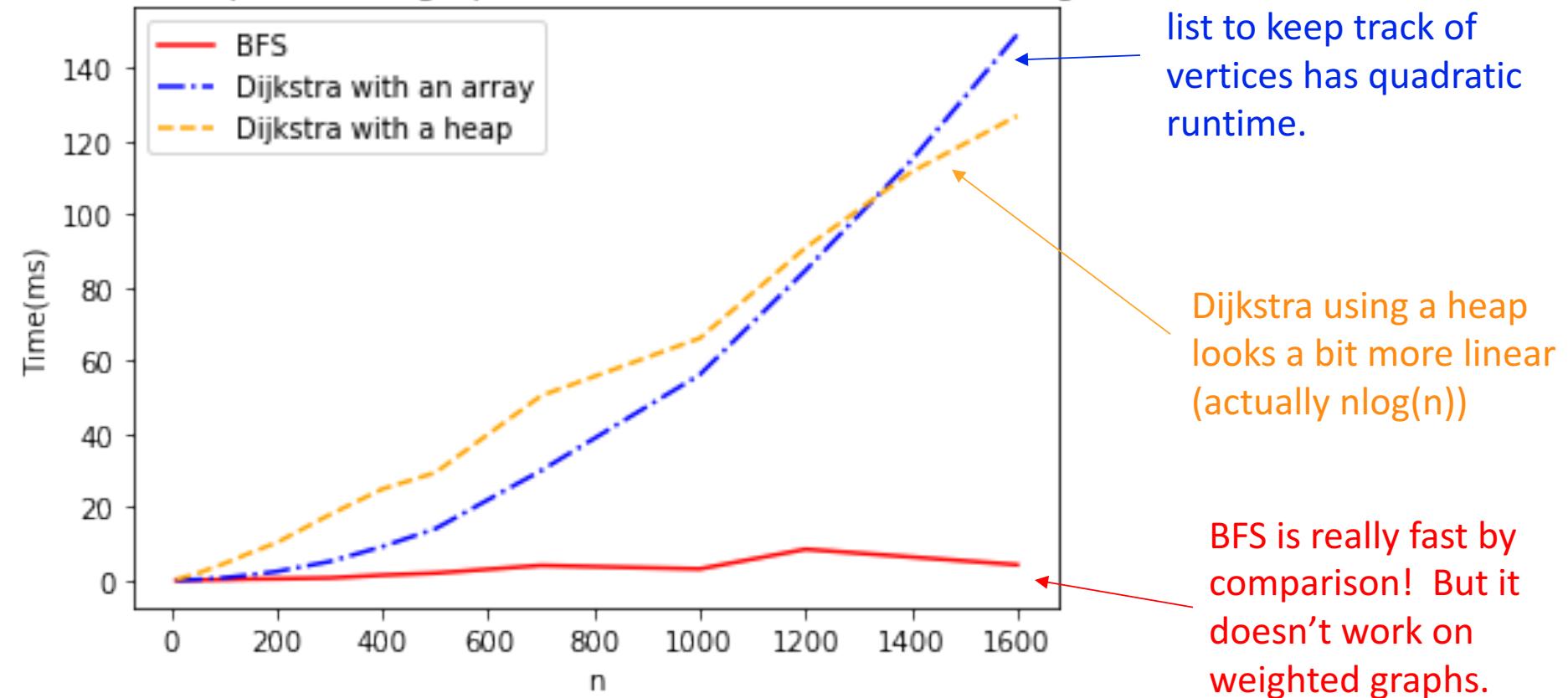
- $T(\text{findMin}) = O(1)$  (amortized time\*)
- $T(\text{removeMin}) = O(\log(n))$  (amortized time\*)
- $T(\text{updateKey}) = O(1)$  (amortized time\*)
- See CS166 for more! (or CLRS)
- Running time of Dijkstra
  - =  $O(n(T(\text{findMin}) + T(\text{removeMin})) + m T(\text{updateKey}))$
  - =  $O(n\log(n) + m)$  (amortized time)

\*This means that any sequence of  $d$  `removeMin` calls takes time at most  $O(d\log(n))$ .  
But a few of the  $d$  may take longer than  $O(\log(n))$  and some may take less time..

See IPython Notebook for Lecture 11  
The heap is implemented using `heapdict`

# In practice

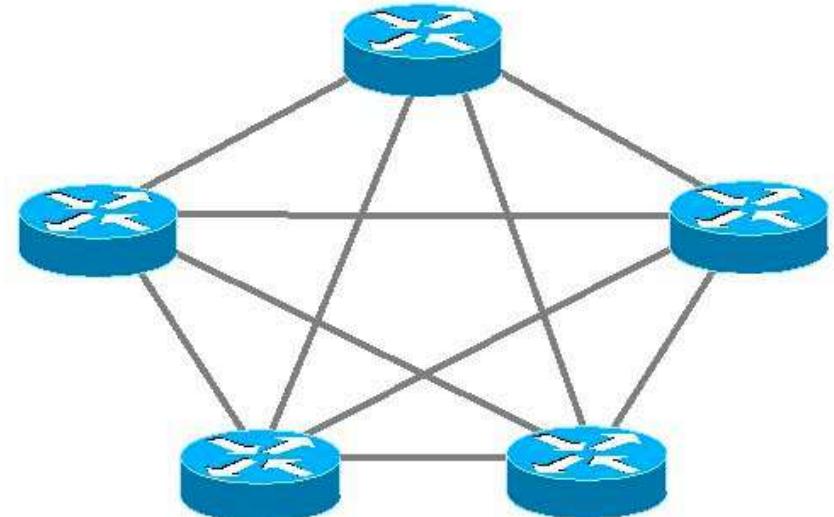
Shortest paths on a graph with  $n$  vertices and about  $5n$  edges



# Dijkstra is used in practice

- eg, [OSPF \(Open Shortest Path First\)](#), a routing protocol for IP networks, uses Dijkstra.

But there are  
some things it's  
not so good at.

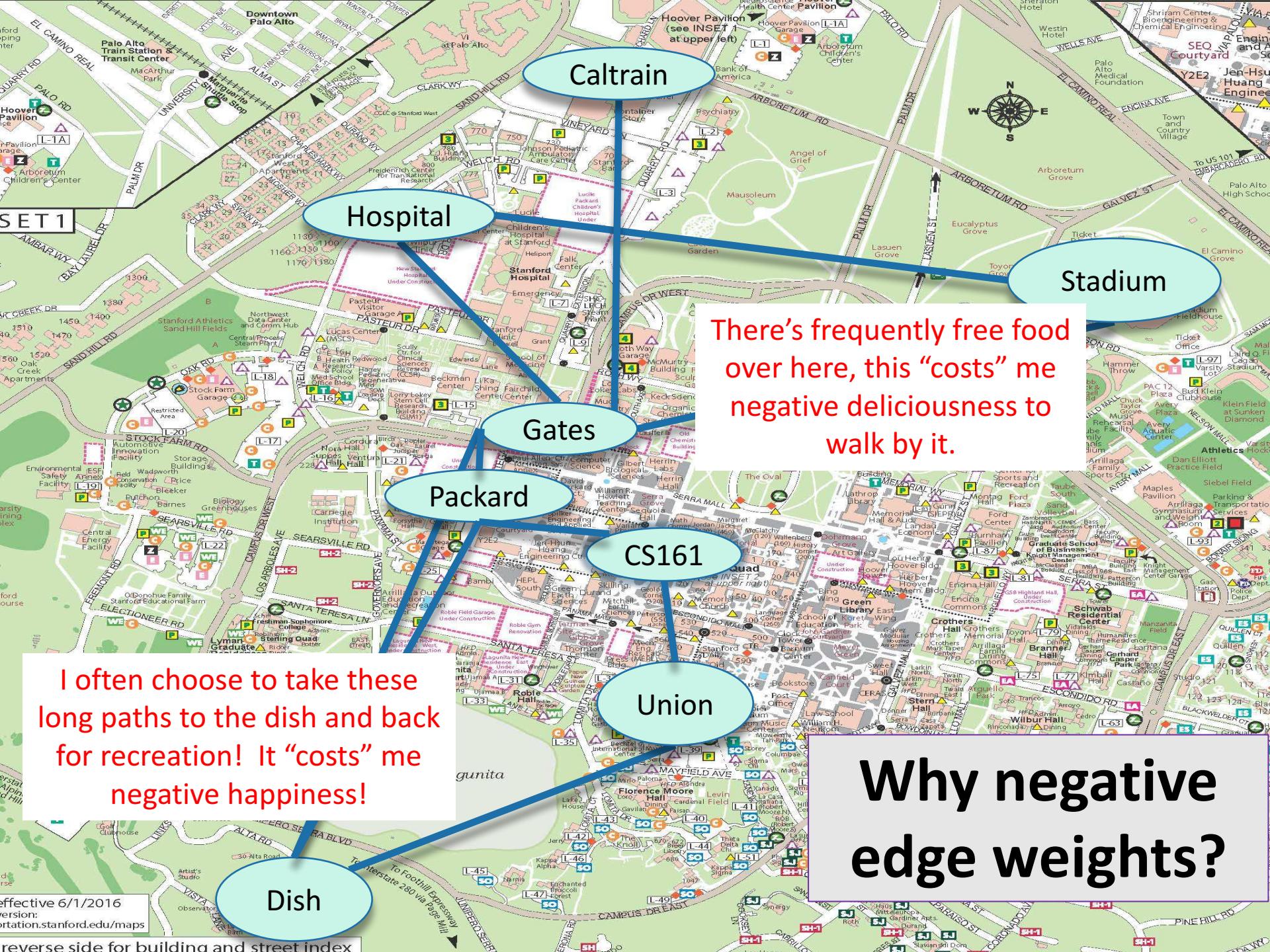


# Dijkstra Drawbacks

- Needs **non-negative edge weights**.
- If the weights change, we need to re-run the whole thing.
  - in OSPF, a vertex broadcasts any changes to the network, and then every vertex re-runs Dijkstra's algorithm from scratch.

# Bellman-Ford algorithm

- (-) Slower than Dijkstra's algorithm
- (+) Can handle negative edge weights.
- (+) Allows for some flexibility if the weights change.
  - We'll see what this means later

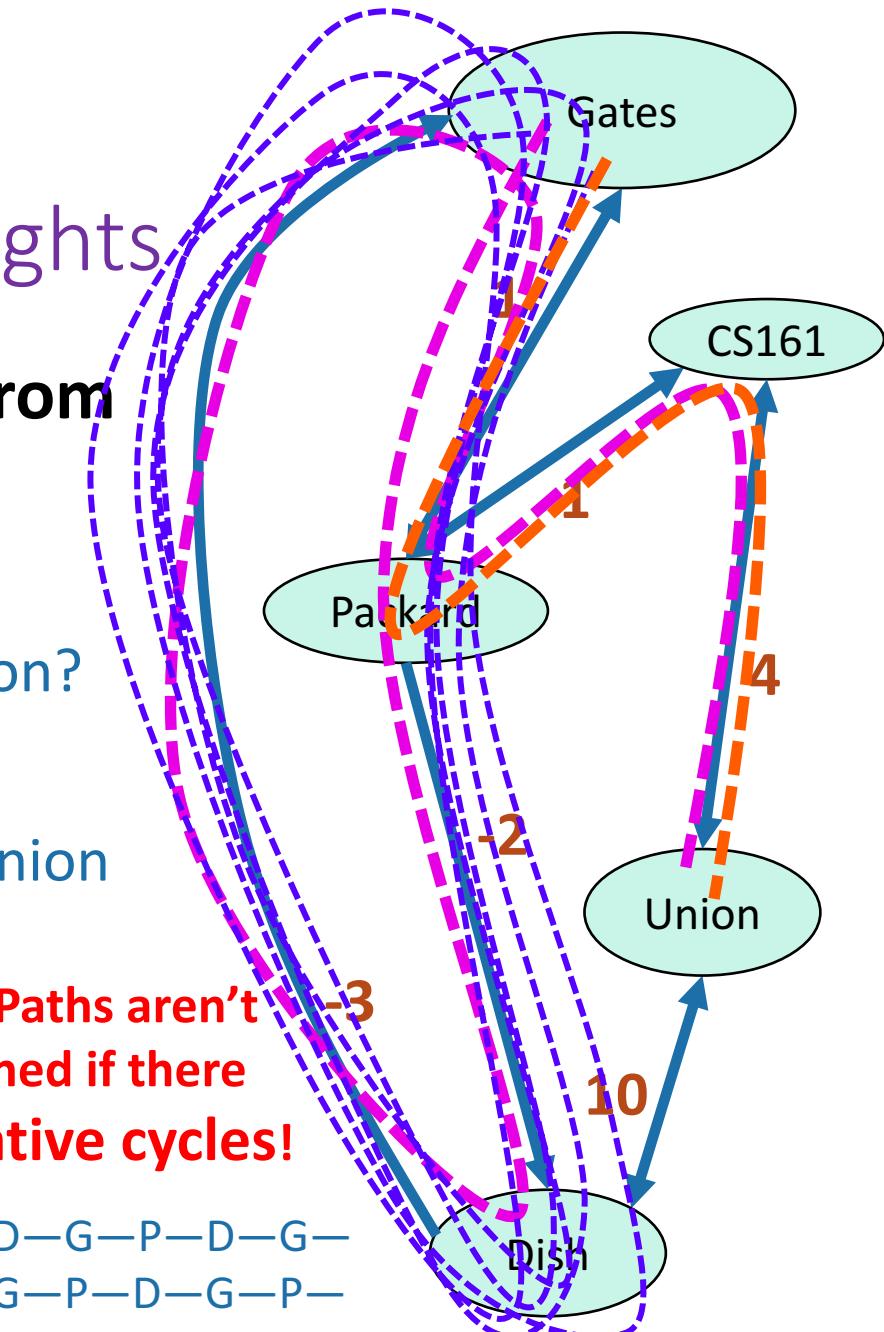


# One problem with negative edge weights

- What is the shortest path from Gates to the Union?
- Should it still be Gates—Packard—CS161—Union?
- But what about
  - G—P—D—G—P—CS161—Union
- That costs
  - $1-2-3+1+1+4 = 2.$
- And why not

G—P—D—G—P—D—G—P—D—G—P—D—G—  
P—D—G—P—D—G—P—D—G—P—D—G—P—D—  
D—G—P—D—G—P—D—G—P—D—G—P—D—etc....

Shortest Paths aren't  
well-defined if there  
are negative cycles!



Let's put that aside for a moment



**Onwards!**

To the Bellman-Ford  
algorithm!

# Bellman-Ford algorithm

**Bellman-Ford( $G, s$ ):**

- $d[v] = \infty$  for all  $v$  in  $V$
  - $d[s] = 0$
  - **For**  $i=0, \dots, n-1$ :
    - **For**  $u$  in  $V$ :
    - **For**  $v$  in  $u.\text{neighbors}$ :
      - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
- Instead of picking  $u$  cleverly,  
just update for all of the  $u$ 's.

Compare to Dijkstra:

- **While** there are **not-sure** nodes:
  - Pick the **not-sure** node  $u$  with the smallest estimate  **$d[u]$** .
  - **For**  $v$  in  $u.\text{neighbors}$ :
    - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
  - Mark  $u$  as **sure**.

# For pedagogical reasons which we will see next week

- We are actually going to change this to be dumber.
- Keep  $n$  arrays:  $d^{(0)}, d^{(1)}, \dots, d^{(n-1)}$

Bellman-Ford\*(G,s):

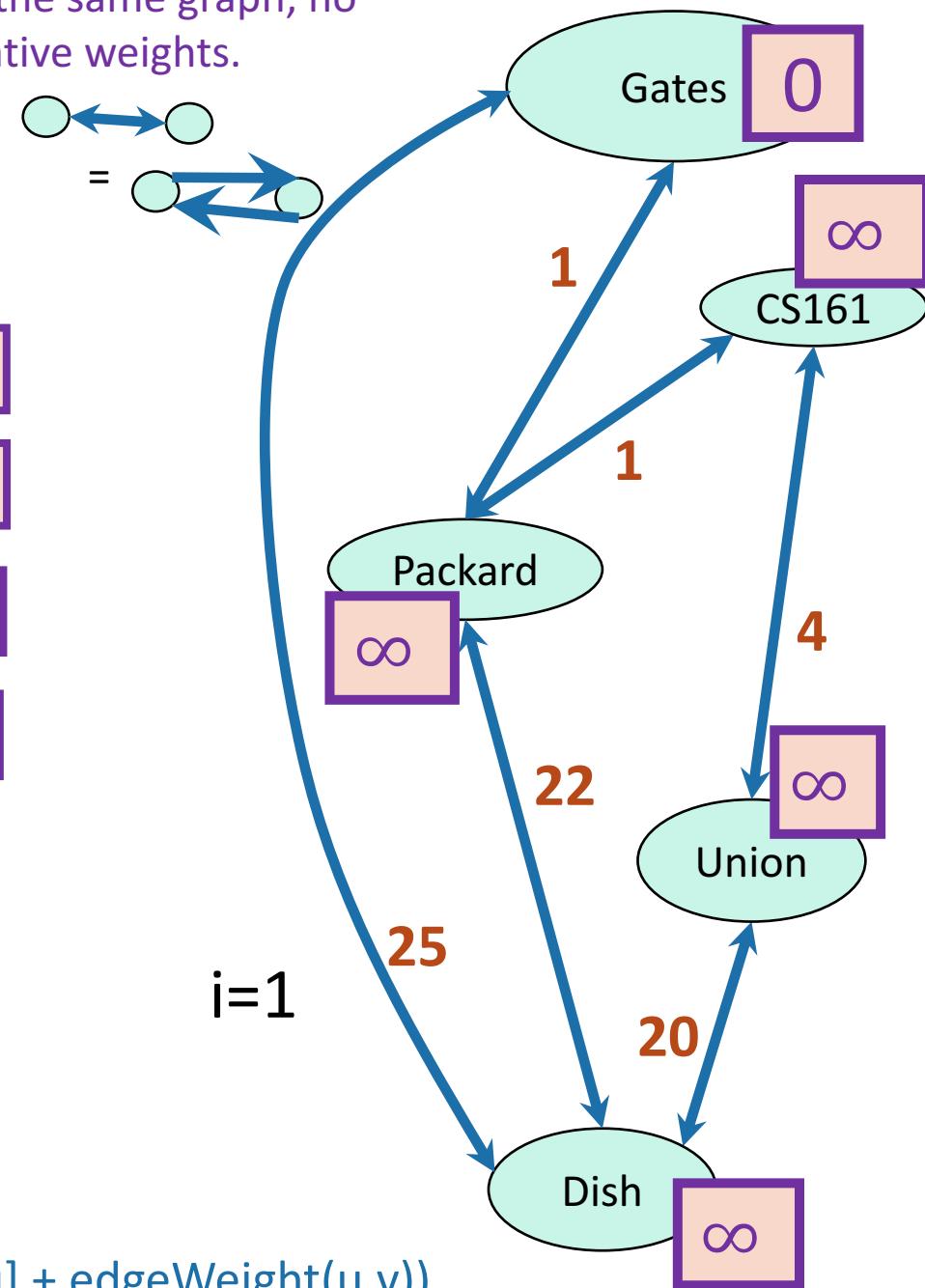
- $d^{(0)}[v] = \infty$  for all  $v$  in  $V$
- $d^{(0)}[s] = 0$
- **For**  $i=0, \dots, n-1$ :
  - **For**  $u$  in  $V$ :
    - **For**  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$
  - Then  $\text{dist}(s,v) = d^{(n-1)}[v]$

# Bellman-Ford

How far is a node from Gates?

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(2)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(3)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(4)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

Start with the same graph, no negative weights.



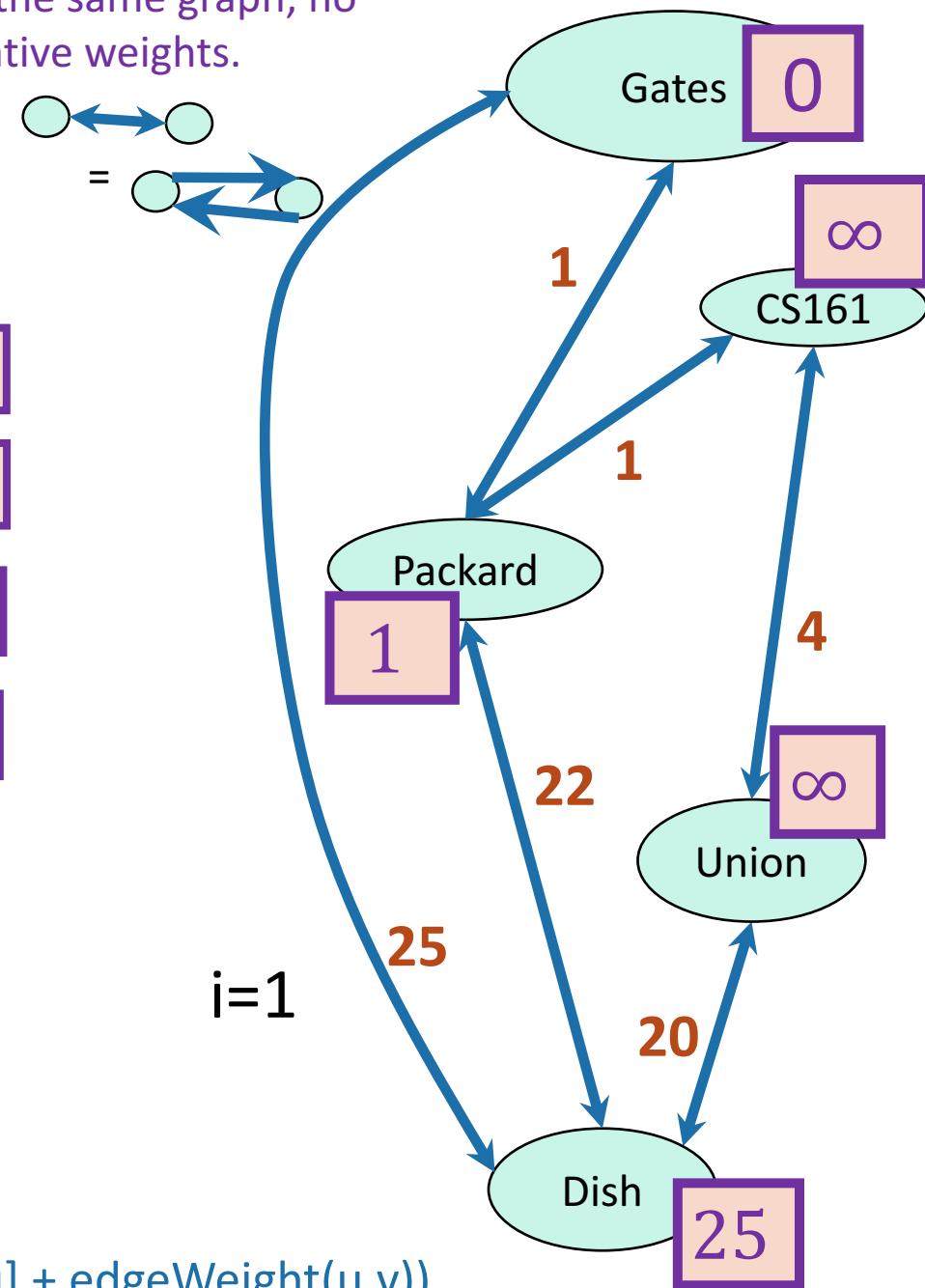
- For  $i=0, \dots, n-2$ :
  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
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# Bellman-Ford

How far is a node from Gates?

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$					
$d^{(3)}$					
$d^{(4)}$					

Start with the same graph, no negative weights.



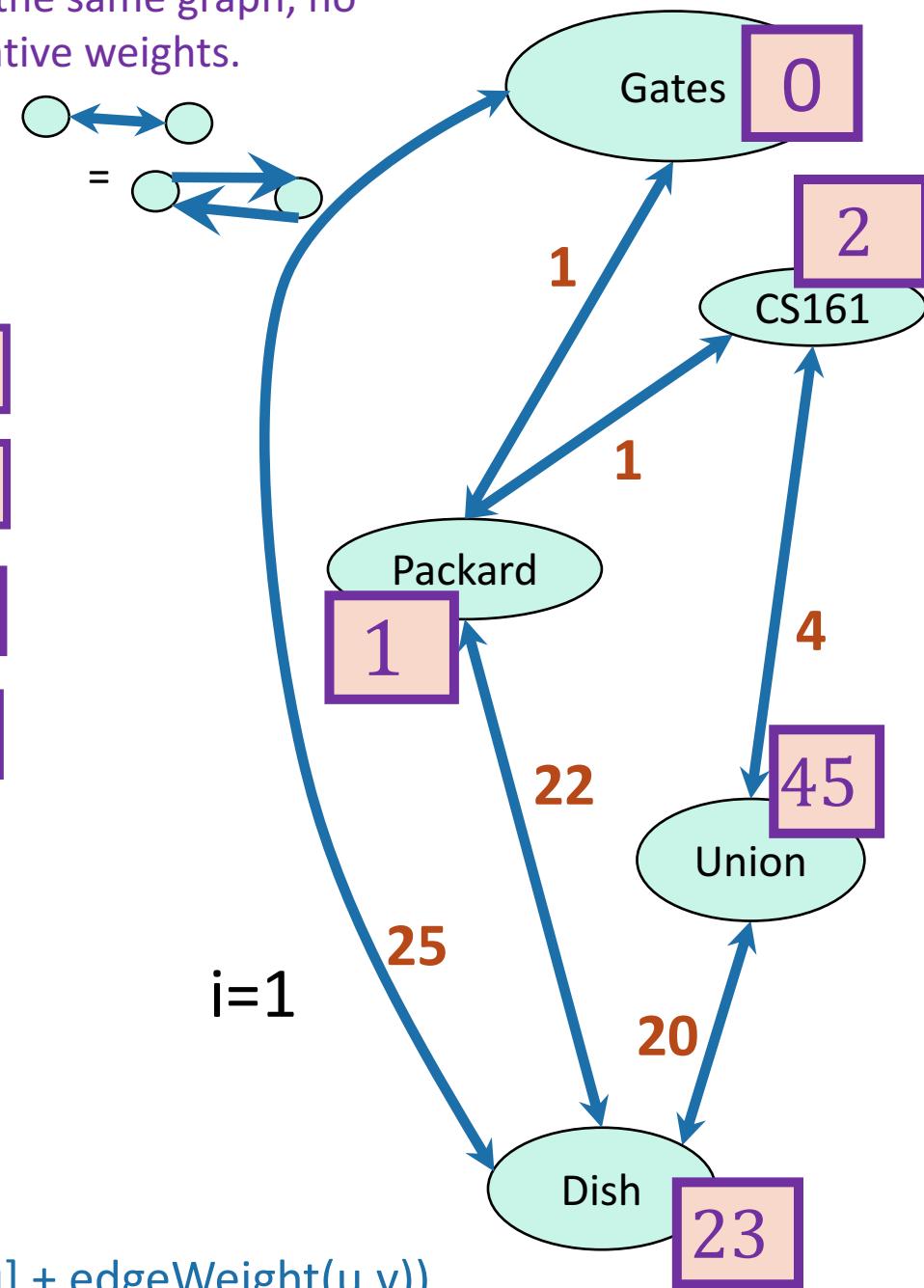
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  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
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How far is a node from Gates?

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$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$					
$d^{(4)}$					

Start with the same graph, no negative weights.



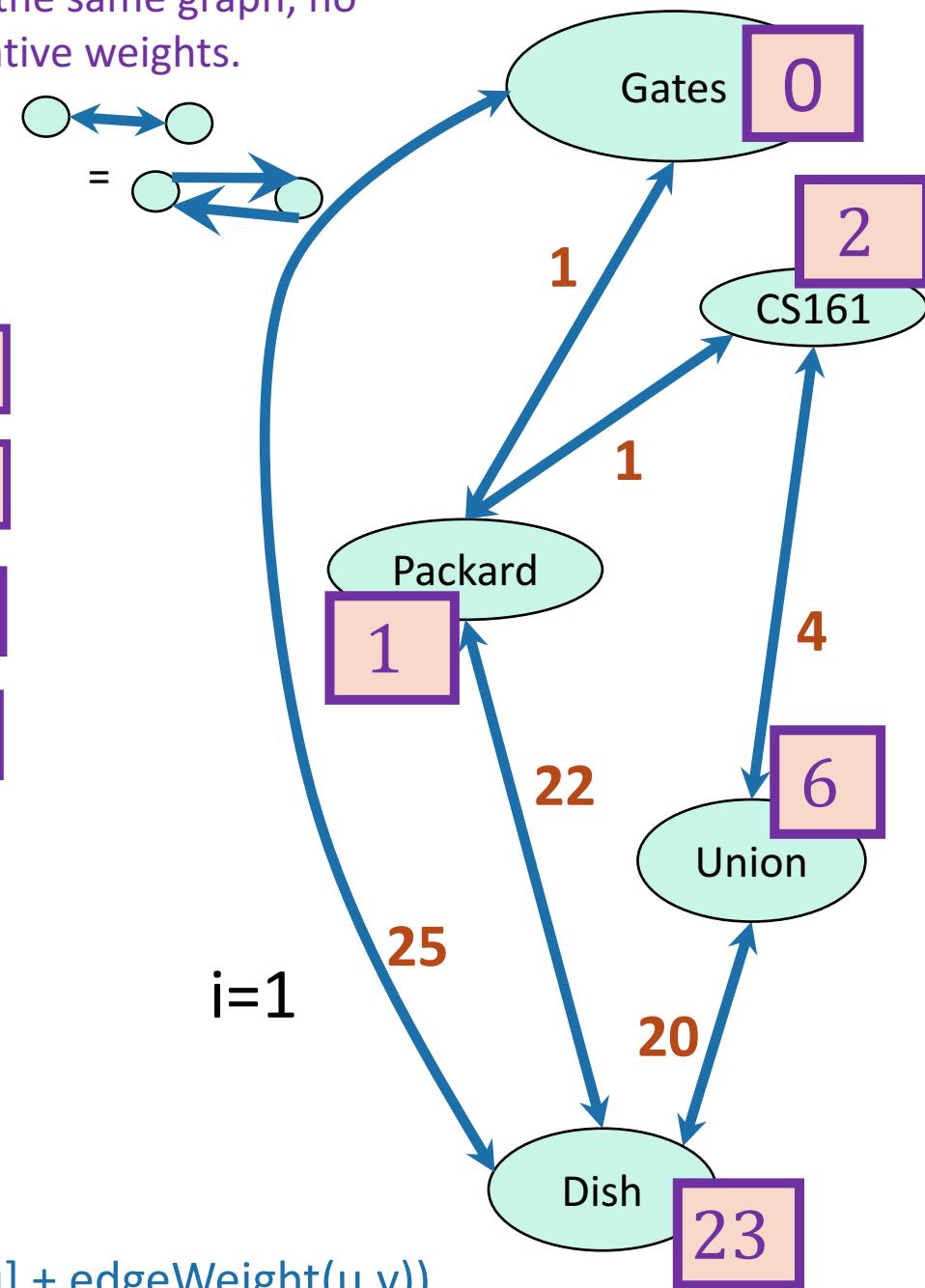
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$d^{(4)}$					

Start with the same graph, no negative weights.



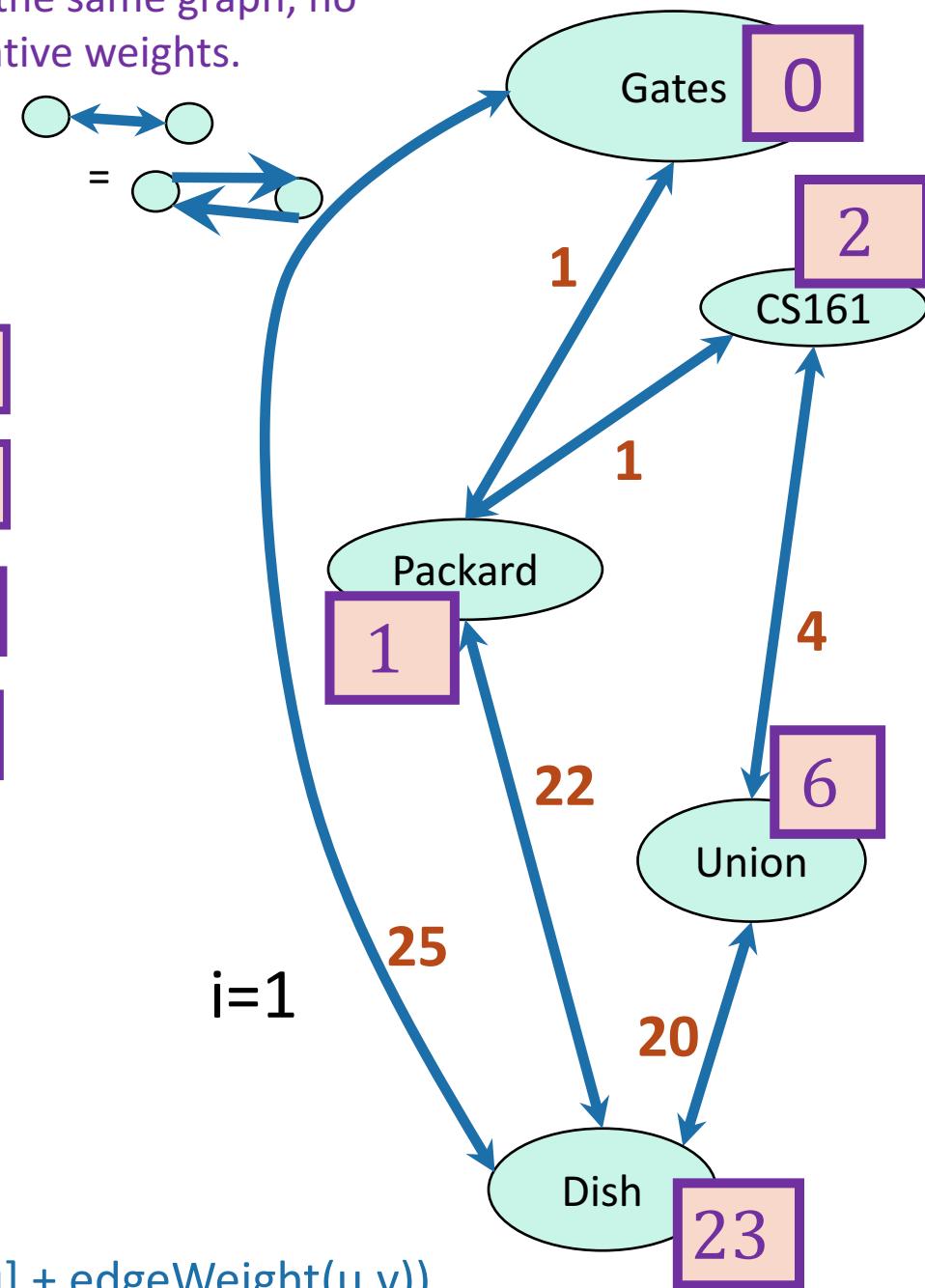
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Start with the same graph, no negative weights.



- For  $i=0, \dots, n-2$ :
  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$

# As usual

- Does it work?
  - Yes
  - Idea to the right.
  - (Base case and inductive step similar to Dijkstra)
  - (See hidden slides for details)
- Is it fast?
  - Not really...

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23

**Idea:** proof by induction.

**Inductive Hypothesis:**

$d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  **with at most  $i$  edges**.

**Conclusion:**

$d^{(n-1)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$ .  
**(Since all simple paths have at most  $n-1$  edges).**

Skipped in class

# Proof by induction

- **Inductive Hypothesis:**

- After iteration  $i$ , for each  $v$ ,  $d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

- **Base case:**

- After iteration 0...



- **Inductive step:**

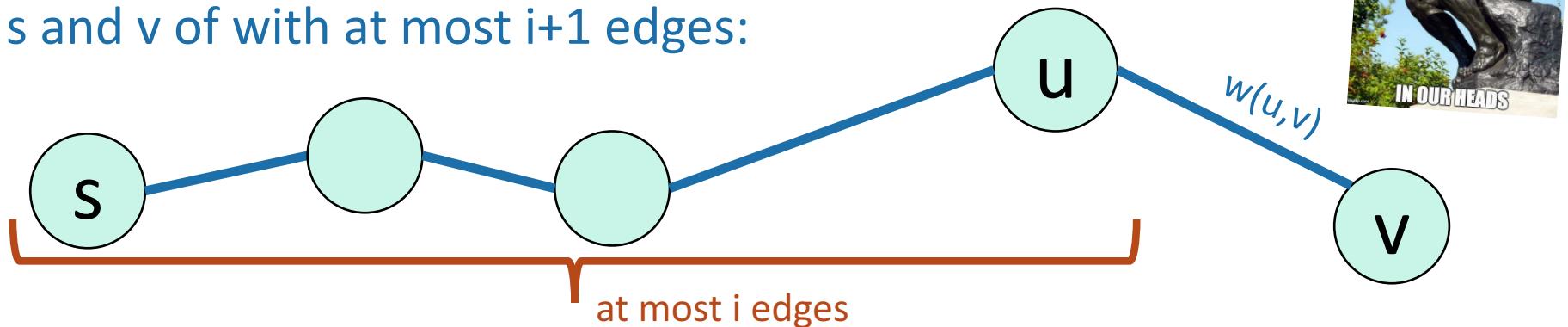
# Skipped in class

# Inductive step

**Hypothesis:** After iteration  $i$ , for each  $v$ ,  $d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

- Suppose the inductive hypothesis holds for  $i$ .
- We want to establish it for  $i+1$ .

Say this is the shortest path between  $s$  and  $v$  of with at most  $i+1$  edges:



Let  $u$  be the vertex right before  $v$  in this path.

- By induction,  $d^{(i)}[u]$  is the cost of a shortest path between  $s$  and  $u$  of  $i$  edges.
- By setup,  $d^{(i)}[u] + w(u,v)$  is the cost of a shortest path between  $s$  and  $v$  of  $i+1$  edges.
- In the  $i+1$ 'st iteration, we ensure  $d^{(i+1)}[v] \leq d^{(i)}[u] + w(u,v)$ .
- So  $d^{(i+1)}[v] \leq$  cost of shortest path between  $s$  and  $v$  with  $i+1$  edges.
- But  $d^{(i+1)}[v] =$  cost of a particular path of at most  $i+1$  edges  $\geq$  cost of shortest path.
- So  $d[v] =$  cost of shortest path with at most  $i+1$  edges.

Skipped in class

# Proof by induction

- **Inductive Hypothesis:**

- After iteration  $i$ , for each  $v$ ,  $d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  **of length at most  $i$  edges**.

- **Base case:**

- After iteration 0...



- **Inductive step:**

- **Conclusion:**



- After iteration  $n-1$ , for each  $v$ ,  $d[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  **of length at most  $n-1$  edges**.

- **Aka,  $d[v] = d(s,v)$  for all  $v$  as long as there are no cycles!**



# This seems much slower than Dijkstra

- And it is:

Running time  $O(mn)$

- However, it's also more flexible in a few ways.
  - Can handle negative edges
  - If we keep on doing these iterations, then changes in the network will propagate through.

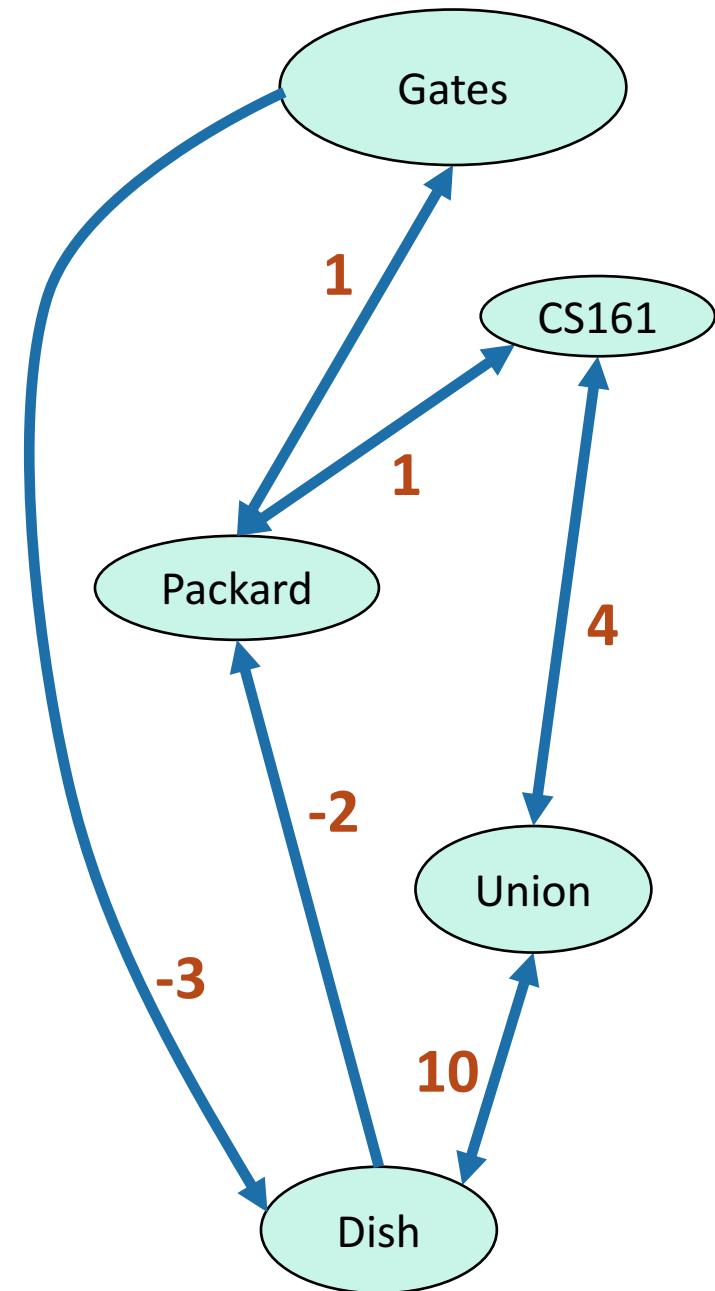
- **For**  $i=0, \dots, n-1$ :
    - **For**  $u$  in  $V$ :
      - **For**  $v$  in  $u.\text{neighbors}$ :
        - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$

# Negative edge weights

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	-3
$d^{(2)}$	0	-5	2	7	-3
$d^{(3)}$	-4	-5	-4	6	-3

This is not looking good!

- For  $i=0, \dots, n-2$ :
  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$



# Negative edge weights

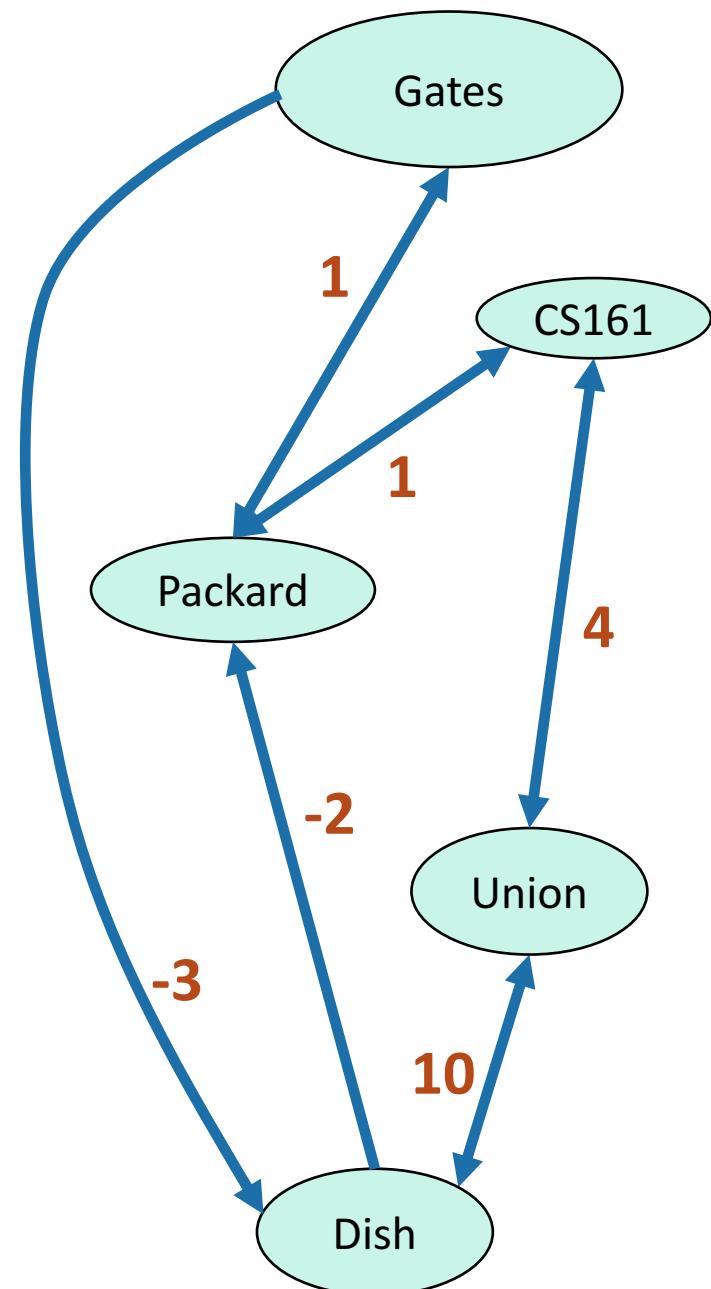
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$d^{(1)}$	0	1	$\infty$	$\infty$	-3
$d^{(2)}$	0	-5	2	7	-3
$d^{(3)}$	-4	-5	-4	6	-3
$d^{(4)}$	-4	-5	-4	6	-7

But we can tell that it's not looking good:

$d^{(5)}$	-4	-9	-4	3	-7

Some stuff changed!

- For  $i=0, \dots, n-1$ :
- For  $u$  in  $V$ :
  - For  $v$  in  $u.\text{neighbors}$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$



# Back to the correctness

- Does it work?
  - Yes
  - Idea to the right.
  - (Base case and inductive step similar to Dijkstra)

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
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Idea: proof by induction.

**Inductive Hypothesis:**

$d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  **with at most  $i$  edges**.

**Conclusion:**

$d^{(n-1)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$ .  
**(Since all simple paths have at most  $n-1$  edges).**

If there are negative cycles,  
then non-simple paths matter!



# How Bellman-Ford deals with negative cycles

- If there are no negative cycles:
  - Everything works as it should.
  - The algorithm stabilizes after  $n-1$  rounds.
  - Note: Negative *edges* are okay!!
- If there are negative cycles:
  - Not everything works as it should...
    - Note: it couldn't possibly work, since shortest paths aren't well-defined if there are negative cycles.
  - The  $d[v]$  values will keep changing.
- Solution:
  - Go one round more and see if things change.

# Bellman-Ford algorithm

**Bellman-Ford\*(G,s):**

- $d^{(0)}[v] = \infty$  for all  $v$  in  $V$
- $d^{(0)}[s] = 0$
- **For**  $i=0, \dots, n-1$ :
  - **For**  $u$  in  $V$ :
    - **For**  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$
  - If  $d^{(n-1)} \neq d^{(n)}$  :
    - **Return NEGATIVE CYCLE** ☹
  - Otherwise,  $\text{dist}(s,v) = d^{(n-1)}[v]$

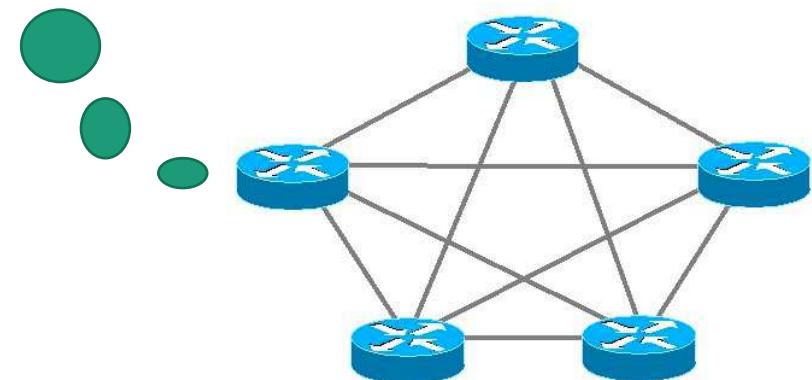
# What have we learned?

- The Bellman-Ford algorithm:
  - Finds shortest paths in weighted graphs with negative edge weights
  - runs in time  $O(nm)$  on a graph  $G$  with  $n$  vertices and  $m$  edges.
- If there are no negative cycles in  $G$ :
  - the BF algorithm terminates with  $d^{(n-1)}[v] = d(s,v)$ .
- If there are negative cycles in  $G$ :
  - the BF algorithm returns **negative cycle**.

# Bellman-Ford is also used in practice.

- eg, **Routing Information Protocol (RIP)** uses something like Bellman-Ford.
  - Older protocol, not used as much anymore.
- Each router keeps a **table** of distances to every other router.
- Periodically we do a Bellman-Ford update.
- This means that if there are changes in the network, this will propagate. (maybe slowly...)

Destination	Cost to get there	Send to whom?
172.16.1.0	34	172.16.1.1
10.20.40.1	10	192.168.1.2
10.155.120.1	9	10.13.50.0



# Recap: shortest paths

- **BFS:**
  - (+)  $O(n+m)$
  - (-) only unweighted graphs
- **Dijkstra's algorithm:**
  - (+) weighted graphs
  - (+)  $O(n\log(n) + m)$  if you implement it right.
  - (-) no negative edge weights
  - (-) very “centralized” (need to keep track of all the vertices to know which to update).
- **The Bellman-Ford algorithm:**
  - (+) weighted graphs, even with negative weights
  - (+) can be done in a distributed fashion, every vertex using only information from its neighbors.
  - (-)  $O(nm)$

Andrés found a Dijkstra joke on  
the internets – thanks Andrés!



Bae: Come over

Dijkstra: But there are so many routes to take and  
I don't know which one's the fastest

Bae: My parents aren't home

Dijkstra:

## Dijkstra's algorithm

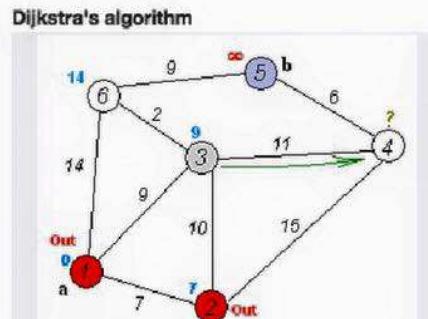


Graph search algorithm

Not to be confused with Dykstra's projection algorithm.

Dijkstra's algorithm is an algorithm for finding the shortest paths between nodes in a graph, which may represent, for example, road networks. It was conceived by computer scientist Edsger W. Dijkstra in 1956 and published three years later.<sup>[1][2]</sup>

The algorithm exists in many variants; Dijkstra's original variant found the shortest path between two nodes,<sup>[2]</sup> but a more common variant fixes a single node as the "source" node and finds shortest paths from the source to all other nodes in the graph, producing a shortest-path tree.



Perhaps this is why  
Dijkstra invented the  
algorithm?

# Next Time

- More Bellman-Ford, plus Floyd-Warshall and dynamic programming!

## Before next time

- Pre-lecture exercise:
  - How **NOT** to compute Fibonacci numbers.

# Mini-topic (bonus slides; not on exam)

## Amortized analysis!

- We mentioned this when we talked about implementing Dijkstra.

\*Any sequence of  $d$  `deleteMin` calls takes time at most  $O(d \log(n))$ . But some of the  $d$  may take longer and some may take less time.

- What's the difference between this notion and expected runtime?

# Example

- Incrementing a binary counter n times.

0	1	10	11	100	101	110	111	1000	1001	1010	1011	1100	1101	1110	1111
1	2	1	3	1	2	1	4	1	2	1	3	1	2	1	1

- Say that flipping a bit is costly.
  - Above, we've noted the cost in terms of bit-flips.

# Example

- Incrementing a binary counter n times.

0	1	10	11	100	101	110	111	1000	1001	1010	1011	1100	1101	1110	1111
1	2	1	3	1	2	1	4	1	2	1	3	1	2	1	1

- Say that flipping a bit is costly.
  - Some steps are very expensive.
  - Many are very cheap.
- *Amortized* over all the inputs, it turns out to be pretty cheap.
  - $O(n)$  for all  $n$  increments.

This is different from expected runtime.

- The statement is **deterministic**, no randomness here.



- But it is still weaker than **worst-case** runtime.
  - We may need to wait for a while to start making it worth it.