#### Project 5 - Deep Learning and Reinforcement Learning

### **Objective**

The main objective of my project is to focus on Deep Learning using CNN ARchitecture. The analysis and classification will help detect lung cancer based on the CT scan images.

#### **About Dataset**

The Iraq-Oncology Teaching Hospital/National Center for Cancer Diseases (IQ-OTH/NCCD) lung cancer dataset was collected in the above-mentioned specialist hospitals over a period of three months in fall 2019. It includes CT scans of patients diagnosed with lung cancer in different stages, as well as healthy subjects. IQ-OTH/NCCD slides were marked by oncologists and radiologists in these two centers. The dataset contains a total of 1190 images representing CT scan slices of 110 cases. These cases are grouped into three classes: normal, benign, and malignant. of these, 40 cases are diagnosed as malignant; 15 cases diagnosed with benign, and 55 cases classified as normal cases. The CT scans were originally collected in DICOM format. The scanner used is SOMATOM from Siemens. CT protocol includes: 120 kV, slice thickness of 1 mm, with window width ranging from 350 to 1200 HU a and window center from 50 to 600 were used for reading. with breath-hold at full inspiration. All images were de-identified before performing analysis. Written consent was waived by the oversight review board. The study was approved by the institutional review board of participating medical centers. Each scan contains several slices. The number of these slices range from 80 to 200 slices, each of them represents an image of the human chest with different sides and angles. The 110 cases vary in gender, age, educational attainment, area of residence, and living status. Some of them are employees of the Iraqi ministries of Transport and Oil, others are farmers and gainers. Most of them come from places in the middle region of Iraq, particularly, the provinces of Baghdad, Wasit, Diyala, Salahuddin, and Babylon.

#### **Import Packages**

```
In [1]: %config Completer.use_jedi = False
                     import numpy as np
                     import pandas as pd
                     import matplotlib.pyplot as plt
                     import matplotlib.image as mpimg
                     from PIL import Image
                     import seaborn as sns
                     import cv2
                     import random
                     import os
                     import imageio
                     import plotly.graph objects as go
                     import plotly.express as px
                      import plotly.figure_factory as ff
                      from plotly.subplots import make_subplots
                     from collections import Counter
                     \textbf{from} \  \, \textbf{sklearn.preprocessing} \  \, \textbf{import} \  \, \textbf{StandardScaler}
                     from sklearn.model_selection import train_test_split
                     from sklearn.neighbors import LocalOutlierFactor
                     from sklearn.metrics import accuracy_score, recall_score, precision_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_matrix, plot_confusion_score, classification_report, confusion_score, classification_report, confusion_score, classification_report, confusion_score, classification_report, confusion_score, classification_report, confusion_score, classification_report, confusion_score, classification_report, classification_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_report_repo
                     from sklearn.model_selection import RandomizedSearchCV, cross_val_score, RepeatedStratifiedKFold
                      from imblearn.over_sampling import SMOTE
                     import tensorflow as tf
                     import tensorflow_addons as tfa
                     import keras
                     from keras.models import Sequential
                     from keras.layers import Dense, Dropout, Activation, Flatten
                     from keras.layers import Conv2D, MaxPooling2D, GlobalAveragePooling2D, BatchNormalization
                     from keras.applications.resnet import ResNet50
                     from keras.preprocessing.image import ImageDataGenerator, load_img, img_to_array, array_to_img
```

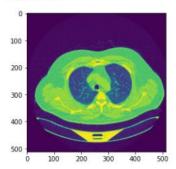
## Image Size Variations

#### **Observations**

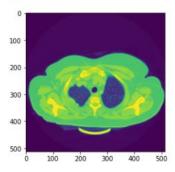
As the image data varies in shape, we have to normalize all to same value for training. Best size of image can be 256x256.

```
In [4]: for i in categories:
    path = os.path.join(directory, i)
    class_num = categories.index(i)
    for file in os.listdir(path):
        filepath = os.path.join(path, file)
        print(i)
        img = cv2.imread(filepath, 0)
        plt.imshow(img)
        plt.show()
```

Bengin cases



Malignant cases



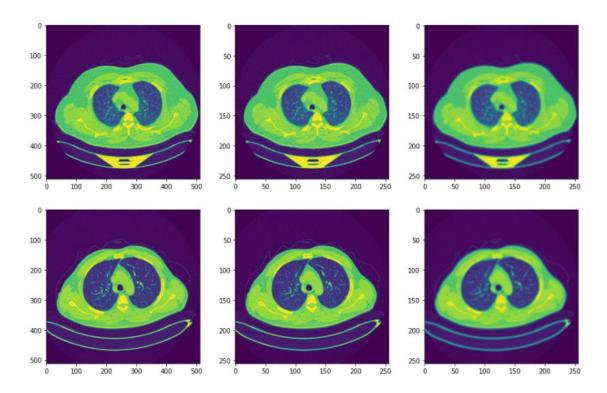
# 

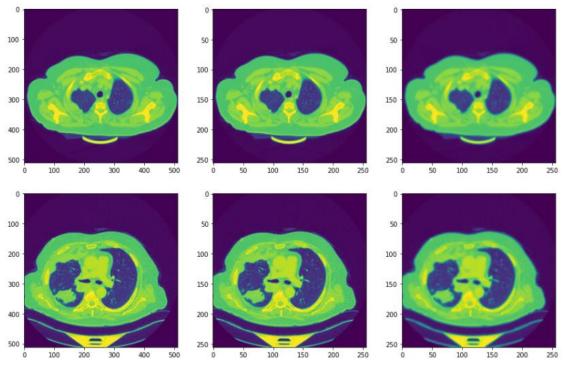
## Image Preprocessing and Testing

300 400

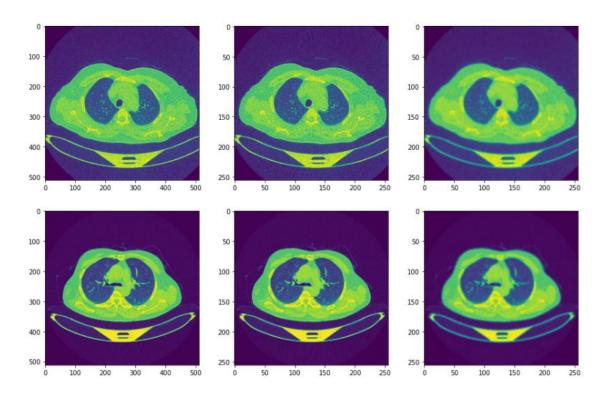
```
In [5]: img_size = 256
          for i in categories:
               cnt, samples = 0, 3
               fig, ax = plt.subplots(samples, 3, figsize=(15, 15)) fig.suptitle(i)
               path = os.path.join(directory, i)
               class_num = categories.index(i)
for curr_cnt, file in enumerate(os.listdir(path)):
    filepath = os.path.join(path, file)
                   img = cv2.imread(filepath, 0)
                   img0 = cv2.resize(img, (img_size, img_size))
                   img1 = cv2.GaussianBlur(img0, (5, 5), 0)
                   ax[cnt, 0].imshow(img)
                   ax[cnt, 1].imshow(img0)
                   ax[cnt, 2].imshow(img1)
                   cnt += 1
                   if cnt == samples:
                        break
          plt.show()
```

Bengin cases





Normal cases



## Model Building Strategy

I have designed 3 ways of architecture along with differentiable training data as the data I'm using is imbalanced among classes.

- 1. As the data is imbalanced, the first approach is using SMOTE to oversampling the minority classes.
- 2. Second approach is to use Class Weights making the model to differentiate and bias towards minority class.
- 3. To add data, third approach uses Data Augmentation. As I'm using medical reports, the augmentation options are limited to dual axes flips only.

#### **Preparing Data**

```
In [6]: data = []
        img_size = 256
         for i in categories:
             path = os.path.join(directory, i)
             class_num = categories.index(i)
             for file in os.listdir(path):
                 filepath = os.path.join(path, file)
                 img = cv2.imread(filepath, 0)
                 # preprocess here
                 img = cv2.resize(img, (img_size, img_size))
                 data.append([img, class_num])
         random.shuffle(data)
         X, y = [], []
         for feature, label in data:
             X.append(feature)
             y.append(label)
        print('X length:', len(X))
print('y counts:', Counter(y))
         # normalize
        X = np.array(X).reshape(-1, img_size, img_size, 1)
        X = X / 255.0
        y = np.array(y)
        X length: 1097
         y counts: Counter({1: 561, 2: 416, 0: 120})
 In [7]: X_train, X_valid, y_train, y_valid = train_test_split(X, y, random_state=10, stratify=y)
          print(len(X_train), X_train.shape)
          print(len(X_valid), X_valid.shape)
          822 (822, 256, 256, 1)
275 (275, 256, 256, 1)
```

## Applying SMOTE to oversample the data

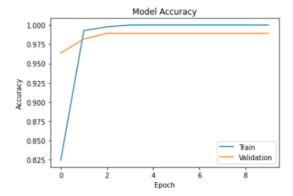
```
In [8]: print(Counter(y_train), Counter(y_valid))
         Counter({1: 420, 2: 312, 0: 90}) Counter({1: 141, 2: 104, 0: 30})
 In [9]: print(len(X_train), X_train.shape)
         X_train = X_train.reshape(X_train.shape[0], img_size*img_size*1)
         print(len(X_train), X_train.shape)
         822 (822, 256, 256, 1)
         822 (822, 65536)
In [10]: print('Before SMOTE:', Counter(y_train))
         smote = SMOTE()
         X_train_sampled, y_train_sampled = smote.fit_resample(X_train, y_train)
         print('After SMOTE:', Counter(y_train_sampled))
         Before SMOTE: Counter({1: 420, 2: 312, 0: 90})
         After SMOTE: Counter({2: 420, 1: 420, 0: 420})
In [11]: X_train = X_train.reshape(X_train.shape[0], img_size, img_size, 1)
          X_train_sampled = X_train_sampled.reshape(X_train_sampled.shape[0], img_size, img_size, 1)
         print(len(X_train), X_train.shape)
         print(len(X_train_sampled), X_train_sampled.shape)
         822 (822, 256, 256, 1)
          1260 (1260, 256, 256, 1)
```

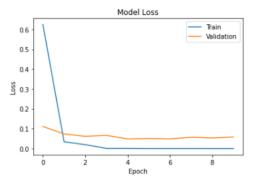
### Model Building with SMOTE data

```
In [12]: model1 = Sequential()
      model1.add(Conv2D(64, (3, 3), input_shape=X_train.shape[1:]))
      model1.add(Activation('relu'))
      model1.add(MaxPooling2D(pool_size=(2, 2)))
       model1.add(Conv2D(64, (3, 3), activation='relu'))
      model1.add(MaxPooling2D(pool_size=(2, 2)))
       model1.add(Flatten())
      model1.add(Dense(16))
      model1.add(Dense(3, activation='softmax'))
      model1.summary()
      Model: "sequential"
      Layer (type)
                            Output Shape
                                               Param #
               -----
      conv2d (Conv2D)
                            (None, 254, 254, 64)
                                               640
      activation (Activation)
                            (None, 254, 254, 64)
      max_pooling2d (MaxPooling2D) (None, 127, 127, 64)
      conv2d_1 (Conv2D)
                            (None, 125, 125, 64)
                                               36928
      max_pooling2d_1 (MaxPooling2 (None, 62, 62, 64)
                                               a
      flatten (Flatten)
                            (None, 246016)
                                               a
      dense (Dense)
                                               3936272
                            (None, 16)
      dense_1 (Dense)
                                               51
                            (None, 3)
       Total params: 3,973,891
       Trainable params: 3,973,891
      Non-trainable params: 0
In [13]: model1.compile(loss='sparse_categorical_crossentropy', optimizer='adam', metrics=['accuracy'])
In [14]: history = model1.fit(X_train_sampled, y_train_sampled, batch_size=8, epochs=10, validation_data=(X_valid, y_valid))
       2021-11-30 18:01:40.004451: I tensorflow/compiler/mlir_graph_optimization_pass.cc:185] None of the MLIR Optimization Passe
       s are enabled (registered 2)
       Epoch 1/10
       2021-11-30 18:01:41.415383: I tensorflow/stream_executor/cuda/cuda_dnn.cc:369] Loaded cuDNN version 8005
       158/158 [==========] - 10s 19ms/step - loss: 0.6241 - accuracy: 0.8246 - val_loss: 0.1110 - val_accuracy:
       Epoch 2/10
       158/158 [==
                  9818
       Epoch 3/10
       158/158 [==
                9891
       Epoch 4/10
       158/158 [==
                    y: 0.9891
       Epoch 5/10
       158/158 [====
                   y: 0.9891
       Epoch 6/10
       158/158 [==
                   v: 0.9891
       Epoch 7/10
       158/158 [============] - 3s 16ms/step - loss: 1.2933e-04 - accuracy: 1.0000 - val_loss: 0.0486 - val_accurac
       y: 0.9891
       Epoch 8/10
       158/158 [==
                      y: 0.9891
       Epoch 9/10
                      ==========] - 2s 16ms/step - loss: 8.7605e-05 - accuracy: 1.0000 - val loss: 0.0533 - val accurac
       158/158 [==
       y: 0.9891
       Epoch 10/10
       158/158 [===========] - 3s 16ms/step - loss: 5.8848e-05 - accuracy: 1.0000 - val_loss: 0.0581 - val_accurac
       v: 0.9891
```

#### Results

```
In [15]: y_pred = model1.predict(X_valid, verbose=1)
         y_pred_bool = np.argmax(y_pred, axis=1)
         print(classification_report(y_valid, y_pred_bool))
         print(confusion_matrix(y_true=y_valid, y_pred=y_pred_bool))
         9/9 [======] - 1s 30ms/step
                       precision recall f1-score support
                    0
                            1.00
                                     0.93
                                               0.97
                                                           30
                                                          141
                    1
                            0.99
                                     1.00
                                                1.00
                            0.98
                                     0.99
                                                0.99
                                                          104
             accuracy
                                                0.99
                                                          275
            macro avg
                            0.99
                                     0.97
                                               0.98
                                                          275
         weighted avg
                            0.99
                                     0.99
                                               0.99
                                                          275
         [[ 28 0 2]
[ 0 141 0]
[ 0 1 103]]
plt.show()
         plt.plot(history.history['loss'], label='Train')
plt.plot(history.history['val_loss'], label='Validation')
plt.title('Model Loss')
         plt.ylabel('Loss')
         plt.xlabel('Epoch')
         plt.legend()
         plt.show()
```



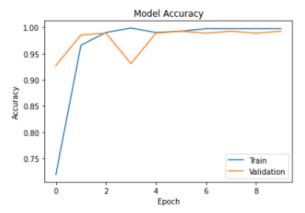


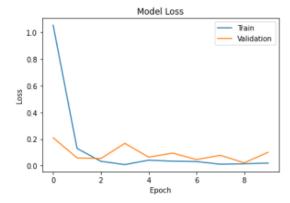
#### Model Building with Class Weighted Approach

```
In [17]: model2 = Sequential()
      model2.add(Conv2D(64, (3, 3), input_shape=X_train.shape[1:]))
      model2.add(Activation('relu'))
      model2.add(MaxPooling2D(pool_size=(2, 2)))
      model2.add(Conv2D(64, (3, 3), activation='relu'))
      model2.add(MaxPooling2D(pool_size=(2, 2)))
      model2.add(Flatten())
      model2.add(Dense(16))
      model2.add(Dense(3, activation='softmax'))
      model2.summary()
      Model: "sequential 1"
      Layer (type)
                         Output Shape
                                          Param #
      conv2d_2 (Conv2D)
                         (None, 254, 254, 64)
                                          640
      activation_1 (Activation)
                         (None, 254, 254, 64)
      max_pooling2d_2 (MaxPooling2 (None, 127, 127, 64)
                                          0
      conv2d 3 (Conv2D)
                         (None, 125, 125, 64)
                                          36928
      max_pooling2d_3 (MaxPooling2 (None, 62, 62, 64)
                                          0
                         (None, 246016)
      flatten 1 (Flatten)
                                          0
      dense_2 (Dense)
                         (None, 16)
                                          3936272
      dense_3 (Dense)
                         (None, 3)
                                          51
      Total params: 3,973,891
      Trainable params: 3,973,891
      Non-trainable params: 0
In [18]: model2.compile(loss='sparse_categorical_crossentropy', optimizer='adam', metrics=['accuracy'])
In [19]: new weights = {
        0: X train.shape[0]/(3*Counter(y train)[0]),
        1: X_train.shape[0]/(3*Counter(y_train)[1]),
        2: X_train.shape[0]/(3*Counter(y_train)[2]),
      \# new_weights[0] = 0.5
      \# new\_weights[1] = 20
      new weights
Out[19]: {0: 3.0444444444444443, 1: 0.6523809523809524, 2: 0.8782051282051282}
In [20]: history = model2.fit(X_train, y_train, batch_size=8, epochs=10, validation_data=(X_valid, y_valid), class_weight=new_weights)
      Epoch 1/10
      103/103 [==
               9273
      Epoch 2/10
      103/103 [==
              9855
      Epoch 3/10
      103/103 [============= ] - 2s 17ms/step - loss: 0.0324 - accuracy: 0.9903 - val loss: 0.0535 - val accuracy: 0.
      9891
      Epoch 4/10
      9309
      Epoch 5/10
      9891
      Epoch 6/10
      103/103 [=
                  9927
      Epoch 7/10
      103/103 [==========] - 2s 17ms/step - loss: 0.0306 - accuracy: 0.9976 - val loss: 0.0455 - val accuracy: 0.
      9891
      Epoch 8/10
      103/103 [==
                   9927
      Epoch 9/10
      103/103 [==
                9891
      Epoch 10/10
      103/103 [==:
                 9927
```

#### Results

```
In [21]: y_pred = model2.predict(X_valid, verbose=1)
             y_pred_bool = np.argmax(y_pred, axis=1)
             print(classification_report(y_valid, y_pred_bool))
             \verb|print(confusion_matrix(y_true=y_valid, y_pred=y_pred_bool))| \\
             9/9 [======] - 0s 14ms/step
precision recall f1-score support
                            0
                                       1.00
                                                   0.93
                                                                   0.97
                                                                                   30
                                                     1.00
                                                                   1.00
                                                                                  141
                                       1.00
                            1
                                       0.98
                                                     1.00
                                                                   0.99
                                                                                  104
                            2
                  accuracy
                                                                   0.99
                                                                                  275
                                       0.99
                 macro avg
                                                     0.98
                                                                   0.99
                                                                                  275
             weighted avg
                                       0.99
                                                     0.99
                                                                   0.99
                                                                                  275
             [[ 28  0  2]
[ 0 141  0]
[ 0  0 104]]
In [22]: plt.plot(history.history['accuracy'], label='Train')
    plt.plot(history.history['val_accuracy'], label='Validation')
    plt.title('Model Accuracy')
             plt.ylabel('Accuracy')
plt.xlabel('Epoch')
             plt.legend()
             plt.show()
             plt.plot(history.history['loss'], label='Train')
plt.plot(history.history['val_loss'], label='Validation')
plt.title('Model Loss')
             plt.ylabel('Loss')
plt.xlabel('Epoch')
             plt.legend()
             plt.show()
```





#### **Data Augmentation**

```
In [49]: train_datagen = ImageDataGenerator(horizontal_flip=True, vertical_flip=True)
       val_datagen = ImageDataGenerator()
In [50]: train_generator = train_datagen.flow(X_train, y_train, batch_size=8)
       val_generator = val_datagen.flow(X_valid, y_valid, batch_size=8)
In [51]: model3 = Sequential()
       model3.add(Conv2D(64,\ (3,\ 3),\ input\_shape=X\_train.shape[1:]))
       model3.add(Activation('relu'))
       model3.add(MaxPooling2D(pool size=(2, 2)))
       model3.add(Conv2D(64, (3, 3), activation='relu'))
       model3.add(MaxPooling2D(pool_size=(2, 2)))
       model3.add(Flatten())
       model3.add(Dense(16))
       model3.add(Dense(3, activation='softmax'))
       model3.summary()
       Model: "sequential_5"
       Layer (type)
                             Output Shape
                                                 Param #
       conv2d 10 (Conv2D)
                             (None, 254, 254, 64)
                                                 640
       activation_5 (Activation)
                             (None, 254, 254, 64)
       max_pooling2d_10 (MaxPooling (None, 127, 127, 64)
       conv2d_11 (Conv2D)
                             (None, 125, 125, 64)
                                                 36928
       max_pooling2d_11 (MaxPooling (None, 62, 62, 64)
                                                 a
       flatten_5 (Flatten)
                             (None, 246016)
                                                 0
       dense 10 (Dense)
                             (None, 16)
                                                 3936272
       dense_11 (Dense)
                             (None, 3)
       Total params: 3,973,891
       Trainable params: 3,973,891
       Non-trainable params: 0
In [52]: model3.compile(loss='sparse_categorical_crossentropy', optimizer='adam', metrics=['accuracy'])
In [53]: history = model3.fit_generator(train_generator, epochs=5, validation_data=val_generator, class_weight=new_weights)
       Epoch 1/5
       103/103 [=
                 7164
       Epoch 2/5
       103/103 [==
                8073
       Epoch 3/5
       8655
       Epoch 4/5
       103/103 [=
                9491
       Epoch 5/5
       9636
In [54]: y_pred = model3.predict(X_valid, verbose=1)
       y_pred_bool = np.argmax(y_pred, axis=1)
       print(classification_report(y_valid, y_pred_bool))
       \verb|print(confusion_matrix(y_true=y_valid, y_pred=y_pred_bool))|\\
       9/9 [======] - 0s 13ms/step
                  precision recall f1-score support
                      0.96
                             0.83
                                     0.89
                                     0.98
                     0.95
                             0.96
                                     0.96
          accuracy
                                     0.96
                                             275
          macro avg
                     0.96
                             0.93
                                     0.94
                                             275
       weighted avg
                     0.96
                             0.96
                                     0.96
                                             275
       [[ 25 0 5]
[ 1 140 0]
        [ 1 140 0]
[ 0 4 100]]
```

# Key Findings that describes the Best Model

As mentioned earlier, I have used 3 approaches to train over the dataset. All the 3 models showed significant results.

	Models	Accuracy	Recall
	SMOTE Model	98%	97%
	Class Weights Model	99%	98%
	Data Augmented Model	96%	93%

The Class Weights Approach works efficiently in terms of Accuracy and Recall. The accuracy is 99% and recall score 98%. Over here I have considered Recall Score because the project objective is to predict lung cancer and in a medical prediction recall score plays a vital role in describing the False Negative cases.

# **Future Scope**

- Ahead I have plans to experiment with Transfer Learning and use ResNet or VGG16 with my model.
- Also to get more understanding on CNN parameters, I'm planning to experiment with different values for CNN parameters.

Notebook File available at: GitHub

Thank You for reviewing, Aditya Mahimkar