

CSEN 703 - Analysis and Design of Algorithms

Lecture 9 - Graph Algorithms II

Dr. Nourhan Ehab

nourhan.ehab@guc.edu.eg

Department of Computer Science and Engineering
Faculty of Media Engineering and Technology

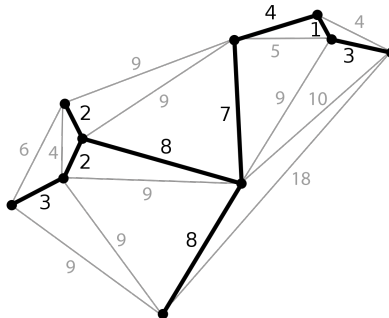
Outline

1 Minimum Spanning Trees

2 Shortest Path Algorithms

3 Recap

Minimum Spanning Trees



Motivating Example

Example

A town has a set of houses and a set of roads. A road connects only two houses u and v and has a repair cost $w(u, v)$. We want to repair enough and (no more) roads such that everyone stays connected (can reach every house from all other houses) such that the **total repair cost is minimum**.

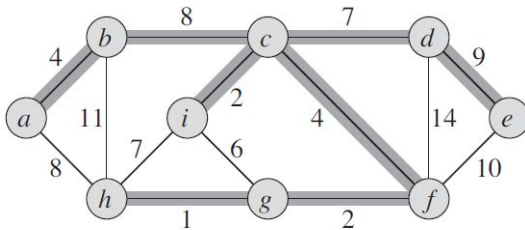
Motivating Example

Example

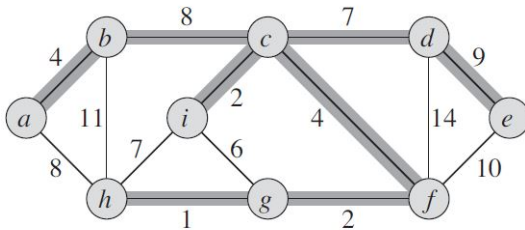
A town has a set of houses and a set of roads. A road connects only two houses u and v and has a repair cost $w(u, v)$. We want to repair enough and (no more) roads such that everyone stays connected (can reach every house from all other houses) such that the **total repair cost is minimum**.

Other applications in communication networks and circuit design.

Properties of MST

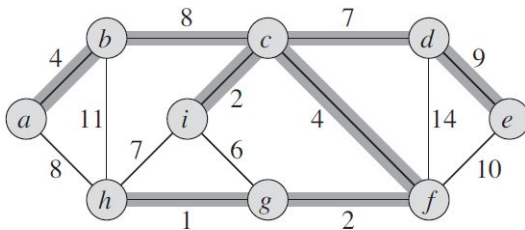


Properties of MST



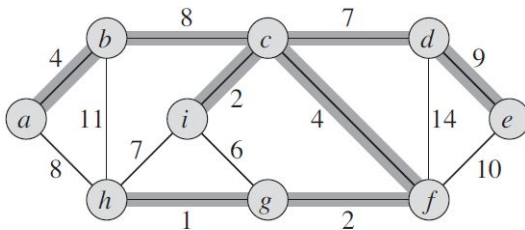
- An MST always has $|V| - 1$ edges.

Properties of MST



- An MST always has $|V| - 1$ edges.
- An MST is acyclic.

Properties of MST



- An MST always has $|V| - 1$ edges.
- An MST is acyclic.
- An MST may **not be unique**. We get another MST by replacing (b, c) with (a, h) with a total cost of **37**.

Generic MST Algorithm



We build a set A of edges:

Generic MST Algorithm



We build a set A of edges:

- Initially A is empty edges.

Generic MST Algorithm

We build a set A of edges:

- Initially A is empty edges.
- As we add edges to A , we maintain that A remains a subset of some MST.

Generic MST Algorithm

We build a set A of edges:

- Initially A is empty edges.
- As we add edges to A , we maintain that A remains a subset of some MST.
- We call an edge (u, v) a **safe edge** if and only if A is a MST and $A \cup \{(u, v)\}$ is also a MST.

Generic MST Algorithm

We build a set A of edges:

- Initially A is empty edges.
- As we add edges to A , we maintain that A remains a subset of some MST.
- We call an edge (u, v) a **safe edge** if and only if A is a MST and $A \cup \{(u, v)\}$ is also a MST.

GENERIC-MST(G, w)

```
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

Generic MST Algorithm

We build a set A of edges:

- Initially A is empty edges.
- As we add edges to A , we maintain that A remains a subset of some MST.
- We call an edge (u, v) a **safe edge** if and only if A is a MST and $A \cup \{(u, v)\}$ is also a MST.

GENERIC-MST(G, w)

```
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

Safe Edges

- But how do we find a safe edge?

Safe Edges

- But how do we find a safe edge?
- Let $S \subset V$ be any set of vertices that includes u but not v (so that v is in $V-S$).

Safe Edges

- But how do we find a safe edge?
- Let $S \subset V$ be any set of vertices that includes u but not v (so that v is in $V-S$).
- In any MST there has to be one edge that connects S with $V-S$.

Safe Edges

- But how do we find a safe edge?
- Let $S \subset V$ be any set of vertices that includes u but not v (so that v is in $V-S$).
- In any MST there has to be one edge that connects S with $V-S$.
- We can choose the one with minimum weight ((u, v) in this case). We call a safe edge with the minimum weight a **light edge**.

Observations about Generic MST Algorithm

- A is a forest of connected components. Initially each component is a single vertex.

Observations about Generic MST Algorithm



- A is a forest of connected components. Initially each component is a single vertex.
- A safe edge merges two components into one.

Observations about Generic MST Algorithm



- A is a forest of connected components. Initially each component is a single vertex.
- A safe edge merges two components into one.
- After $|V| - 1$ iterations of the loop, we are down to only one component, the MST.

Observations about Generic MST Algorithm



- A is a forest of connected components. Initially each component is a single vertex.
- A safe edge merges two components into one.
- After $|V| - 1$ iterations of the loop, we are down to only one component, the MST.
- This means that Generic-MST is actually a **greedy algorithm!**

Observations about Generic MST Algorithm

- A is a forest of connected components. Initially each component is a single vertex.
- A safe edge merges two components into one.
- After $|V| - 1$ iterations of the loop, we are down to only one component, the MST.
- This means that Generic-MST is actually a **greedy algorithm!**
- We will look next into two implementations of the Generic-MST algorithm: **Kruskal's algorithm** and **Prim's algorithm**.

Kruskal's Algorithm

- **Idea:** Add edges to A in increasing order of weight (**light edges first**).

Kruskal's Algorithm



- **Idea:** Add edges to A in increasing order of weight (**light edges first**).
- If the next edge does not induce a cycle among the current set of edges, then it is added to A .

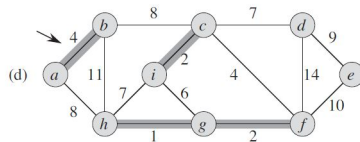
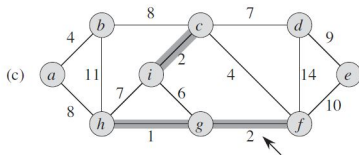
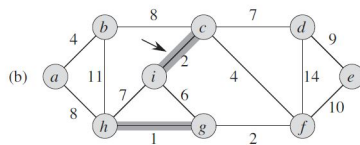
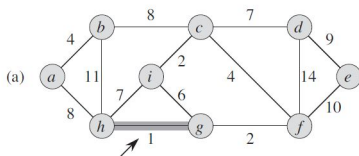
Kruskal's Algorithm

- **Idea:** Add edges to A in increasing order of weight (**light edges first**).
- If the next edge does not induce a cycle among the current set of edges, then it is added to A .
- If it does, then this edge is passed over, and we consider the next edge in order.

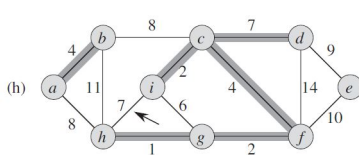
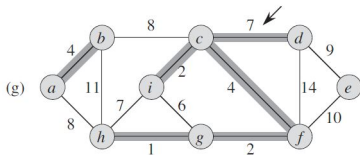
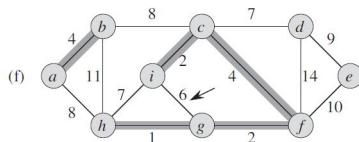
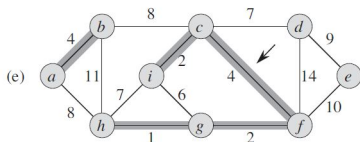
Kruskal's Algorithm

- **Idea:** Add edges to A in increasing order of weight (**light edges first**).
- If the next edge does not induce a cycle among the current set of edges, then it is added to A .
- If it does, then this edge is passed over, and we consider the next edge in order.
- As this algorithm runs, the edges of A will induce a forest on the vertices and the trees of this forest are merged together until we have a single tree containing all vertices.

Kruskal's Algorithm - Example



Kruskal's Algorithm - Example



Visualization: <https://visualgo.net/en/mst>

Cycles Detection



Cycles Detection

- We can do this by DFS, but this is expensive!

Cycles Detection

- We can do this by DFS, but this is expensive!
- **Solution?** Use Disjoint Set data structure. It supports three operations:
 - **Create-Set(u)**: creates a set containing u .
 - **Find-Set(u)**: find the set that contains u .
 - **Union(u,v)**: merge the sets containing u and v .

Cycles Detection

- We can do this by DFS, but this is expensive!
- **Solution?** Use Disjoint Set data structure. It supports three operations:
 - **Create-Set(u)**: creates a set containing u .
 - **Find-Set(u)**: find the set that contains u .
 - **Union(u,v)**: merge the sets containing u and v .
- The vertices of the graph will be elements to be stored in the sets; the sets will be vertices in each tree of A (stored as a simple list of edges).

Kruskal's Algorithm - Pseudo Code

MST-KRUSKAL(G, w)

```
1   $A = \emptyset$ 
2  for each vertex  $v \in G.V$ 
3      MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7           $A = A \cup \{(u, v)\}$ 
8          UNION( $u, v$ )
9  return  $A$ 
```

Kruskal's Algorithm - Analysis

- $O(V)$ to create V sets.
- $O(E \log(E))$ to sort the edges in line 4.
- $O(E)$ iterations for the loop from lines 5-8.
- There is an implementation of disjoint sets called disjoint forests that performs the find and union operations in $O(\log(V))$ time.
- Total time then is $O(E \log(E) + E \log(V))$. Assuming that the graph is connected, then $E \geq V - 1$. Therefore, the runtime is $O(E \log(E))$.

Prim's Algorithm



- It works by adding leaves one at a time to the **current tree**.

Prim's Algorithm

- It works by adding leaves one at a time to the **current tree**.
- Start with the root vertex r (it can be any vertex). At any time, the subset of edges A forms a single tree.

Prim's Algorithm

- It works by adding leaves one at a time to the **current tree**.
- Start with the root vertex r (it can be any vertex). At any time, the subset of edges A forms a single tree.
- Let S be the vertices of A . At each step, a **light edge** connecting a vertex in S to a vertex in $V - S$ is added to the tree.

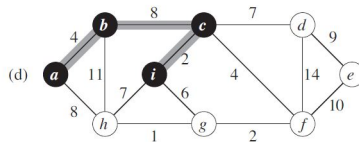
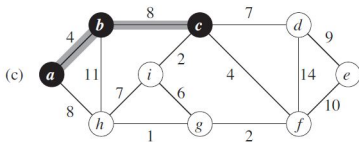
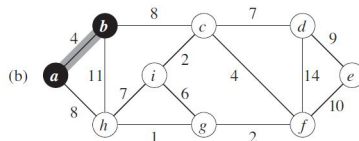
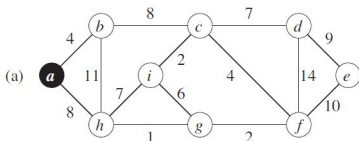
Prim's Algorithm

- It works by adding leaves one at a time to the **current tree**.
- Start with the root vertex r (it can be any vertex). At any time, the subset of edges A forms a single tree.
- Let S be the vertices of A . At each step, a **light edge** connecting a vertex in S to a vertex in $V - S$ is added to the tree.
- The tree grows until it spans all the vertices in V .

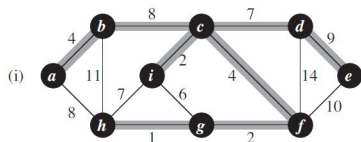
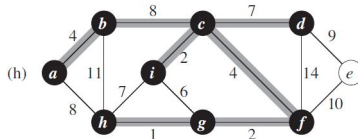
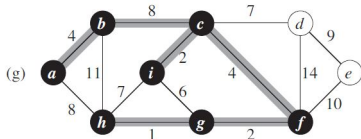
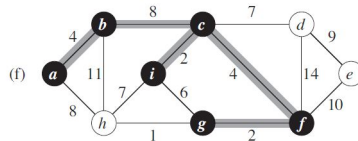
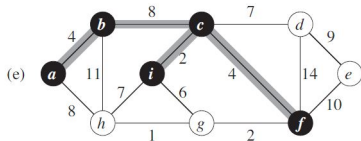
Prim's Algorithm

- It works by adding leaves one at a time to the **current tree**.
- Start with the root vertex r (it can be any vertex). At any time, the subset of edges A forms a single tree.
- Let S be the vertices of A . At each step, a **light edge** connecting a vertex in S to a vertex in $V - S$ is added to the tree.
- The tree grows until it spans all the vertices in V .
- A **priority queue** is used supporting the following operations:
 - **Insert** (Q, u, key): Insert u with the key value key in Q .
 - **Extract_Min**(Q): Extract the item with minimum key value in Q .

Prim's Algorithm - Example



Prim's Algorithm - Example



Prim's Algorithm - Pseudo Code

MST-PRIM(G, w, r)

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

Prim's Algorithm - Analysis

- Initialization takes $O(V)$ time.
- Loop on lines 6-11 extracts V vertices.
- Extract-Min takes $O(\log(V))$.
- Total time for all Extract-Mins is thus $O(V \log(V))$.
- The total number of iterations of the loop on lines 8-11 is $O(E)$.
- Testing membership of v in Q takes $O(\log(V))$. Changing the key takes constant time.
- Total running time is then $O(V \log(V) + E \log(V))$.
Assuming that the graph is connected, this is $O(E \log(E))$.

Outline

1 Minimum Spanning Trees

2 Shortest Path Algorithms

3 Recap

Shortest Path Problems

- Generalization of BFS to weighted graphs. Shortest here is defined by the **weight** of the edges not their number.

Shortest Path Problems



- Generalization of BFS to weighted graphs. Shortest here is defined by the **weight** of the edges not their number.
- Problem Variants:
 - ① **Single-source shortest path**: given a graph G , we want to find a shortest path from a given source vertex to all other vertices.
 - ② **All-pairs shortest path**: Find a shortest path from u to v for every pair of vertices u and v .

Shortest Path Problems

- Generalization of BFS to weighted graphs. Shortest here is defined by the **weight** of the edges not their number.
- Problem Variants:
 - ① **Single-source shortest path**: given a graph G , we want to find a shortest path from a given source vertex to all other vertices.
 - ② **All-pairs shortest path**: Find a shortest path from u to v for every pair of vertices u and v .
- We shall focus on the single-source shortest path problem in this course.

Dijkstra's Algorithm

- Works on weighted graphs with **positive weights**.

Dijkstra's Algorithm

- Works on weighted graphs with **positive weights**.
- Dijkstra's algorithm is a **greedy algorithm** choosing to visit the cheapest connected vertices first.

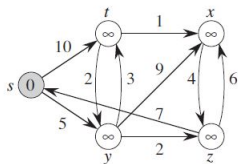
Dijkstra's Algorithm

- Works on weighted graphs with **positive weights**.
- Dijkstra's algorithm is a **greedy algorithm** choosing to visit the cheapest connected vertices first.
- The algorithm takes as input a graph G and a source vertex x .

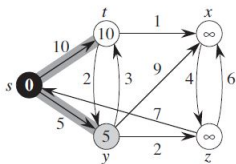
Dijkstra's Algorithm

- Works on weighted graphs with **positive weights**.
- Dijkstra's algorithm is a **greedy algorithm** choosing to visit the cheapest connected vertices first.
- The algorithm takes as input a graph G and a source vertex x .
- Data Structures:
 - S : set of vertices whose shortest paths from x has been determined (visited vertices).
 - Q : a priority queue containing the non-visited vertices ordered by their distance from x .

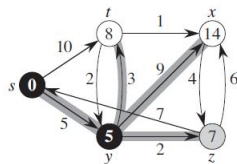
Dijkstra's Algorithm - Example



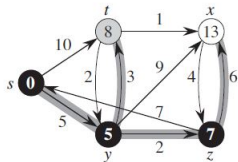
(a)



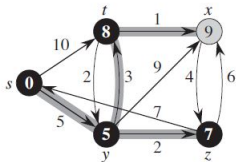
(b)



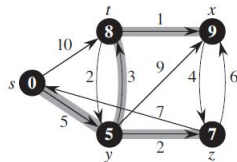
(c)



(d)



(e)



(f)

Dijkstra's Algorithm - Pseudo Code

```
Dijkstra(G,w,s)
1  INITIALIZE-SINGLE-SOURCE(G,s)  % distance is infinite, predecessor is NIL
2  S ← ∅
3  Q ← V[G]                        % list of vertices of the graph G
4  While Q ≠ ∅
5      do u ← EXTRACT-MIN(Q)        % pick a node with shortest path estimate to s
6      S ← S ∪ {u}
7      for each vertex v ∈ Adj[u]
8          do RELAX(u,v,w)          % test whether we can improve shortest path
                                     distance to v going through u. w is the weight
                                     function between adjacent pairs of nodes w(u,v)
```

```
RELAX(u,v,w)
1  If d[v] > d[u] + w(u,v)
2      then d[v] ← d[u] + w(u,v)
3      π[v] ← u
```

Dijkstra's Algorithm - Analysis

- Initialization takes $O(V)$.
- The loop on lines 4-8 loops $O(V)$ times.
- Extract-Min takes $O(\log(V))$.
- The total number of iterations of the loop on lines 7-8 are $O(E)$.
- Relax takes constant time.
- Total running time is $O(V \log(V) + E)$.

Bellman-Ford Algorithm



- The Bellman-Ford algorithm solves the single-source shortest-paths problem in the general case in which edge weights **may be negative**.

Bellman-Ford Algorithm

- The Bellman-Ford algorithm solves the single-source shortest-paths problem in the general case in which edge weights **may be negative**.
- If there is a negative-weight cycle that is reachable from the source, the algorithm indicates that **no solution exists**. If there is no such cycle, the algorithm produces **the shortest paths and their weights**.

Bellman-Ford Algorithm

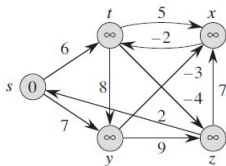
- The Bellman-Ford algorithm solves the single-source shortest-paths problem in the general case in which edge weights **may be negative**.
- If there is a negative-weight cycle that is reachable from the source, the algorithm indicates that **no solution exists**. If there is no such cycle, the algorithm produces **the shortest paths and their weights**.
- The algorithm relaxes edges, progressively decreasing an estimate $v.d$ on the weight of a shortest path from the source s to each vertex until it achieves the actual shortest-path weight.

Bellman-Ford Algorithm - Pseudo Code

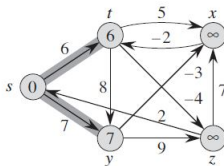
BELLMAN-FORD(G, w, s)

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  for  $i = 1$  to  $|G.V| - 1$ 
3      for each edge  $(u, v) \in G.E$ 
4          RELAX( $u, v, w$ )
5  for each edge  $(u, v) \in G.E$ 
6      if  $v.d > u.d + w(u, v)$ 
7          return FALSE
8  return TRUE
```

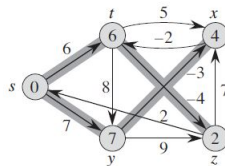
Bellman-Ford Algorithm - Example



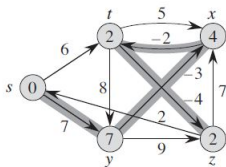
(a)



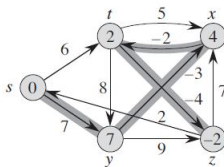
(b)



(c)



(d)



(e)

Bellman-Ford Algorithm - Analysis

- Initialization takes $O(V)$.
- Loop on line 2 loops $O(V)$ times and the inner loops does $O(E)$ iterations.
- The loop on line 5 does $O(E)$ iterations.
- Total time is $O(VE)$.

Outline

1 Minimum Spanning Trees

2 Shortest Path Algorithms

3 Recap

Points to Take Home

- ① Minimum Spanning Trees.
- ② Kruskal's and Prim's Algorithm.
- ③ Dijkstra's Algorithm.
- ④ Bellman-Ford Algorithm.
- ⑤ **Reading Material:**
 - Introduction to Algorithms. Chapter 23, Sections 23.1 and 23.2.
 - Introduction to Algorithms. Chapter 24, Sections 24.1 and 24.3.

Next Lecture: Graph Algorithms III!

Due Credits

The presented material is based on:

- 1 Previous editions of the course at the GUC due to Dr. Wael Aboulsaadat, Dr. Haythem Ismail, Dr. Amr Desouky, and Dr. Carmen Gervet.
- 2 Stony Brook University's Analysis of Algorithms Course.
- 3 MIT's Introduction to Algorithms Course.
- 4 Stanford's Design and Analysis of Algorithms Course.