# Simple APDL implementation of a 3D FEM simulator for mutual capacitances of arbitrarily shaped objects like interconnects

# Andreas Hieke

SIEMENS Microelectronics, c/o IBM Semiconductor Research & Development Center (DRAM Development Alliance), Hopewell Junction, NY 12533, USA, e-mail: v2hieke@us.ibm.com

# **ABSTRACT**

This paper describes the implementation of an ANSYS Parametric Design Language (APDL) macro called CAMACO for the computation of capacitance matrixes of arbitrarily shaped 3D objects in arbitrarily distributed dielectrics via the conservation of field energies. APDL as the interface language of the general purpose FEM system ANSYS-Multiphysics<sup>TM</sup> provides an exceptional high level of abstraction and enables the realization with acceptable effort. The most significant advantage of the described implementation is the utilization of the advanced 3D capabilities of ANSYSTM to generate, edit and visualize realistically shaped ('non-Manhattan') 3D structures either by parametric description and/or graphical interaction (e.g. spaceball; VRLM models etc.) exceeding known solution. These features are especially helpful for initial principal design studies of potential structures.

One typical application of CAMACO is the computation of capacitance matrixes for parasitic elements in DRAM memory cells. A 3D multi-level metal interconnect capacitance analysis of a potential trench capacitor 1GBit DRAM cell in 150nm minimum feature size is discussed.

*Keywords*: Capacitance simulation, 3D FEM, Interconnect, ANSYS, APDL

# INTRODUCTION

The exact computation of parasitic electric capacitances of interconnects within integrated structures especially deep sub-micron ULSI and microsystems has become a crucial part of the design and performance prediction. A variety of numerical methods and algorithms for the computation of electrostatic field problems and the subsequent calculation of capacitances of 2D and 3D geometries have been repeatedly reported in the past. Several programs are known which can compute mutual capacitances. However, most of them provide limited capabilities in terms of fast interactive 3D model generation and visualization. Furthermore the simulation of realistically smooth-shaped objects is rarely possible.

In general, this may be due to the additional effort required to create an advanced 3D interface compared to the effort for the realization of the capacitance computation module it self.

# THEORETICAL BACKGROUND

A pure electrostatic system is governed by the Maxwell equation

$$\iiint \rho \, dv = \varepsilon_r \varepsilon_0 \oint \vec{E}(\vec{r}) \, d\vec{A} \tag{1}$$

(Gauss's law) and the derivable Poisson equation

$$\varepsilon_0 \nabla \left[ (\varepsilon_r(\vec{r}) \nabla \varphi(\vec{r})) \right] = -\rho(\vec{r}) . \tag{2}$$

For the given problem dielectrics may be distributed arbitrarily but no space charge effects apply. In a system of n conductors the charge  $Q_i$  on each conductor i for a given set of boundary conditions can be calculated by means of the capacitance matrix

$$Q_i = \sum_{\substack{j=1, \ j \neq i}}^n C_{ij} U_{ij}$$
 (3)

taking into account the voltage difference  $U_{ij}$  between each conductor i and the remaining (n-1) conductors. Due to this definition and the physical nature of the problem the coefficients,  $C_{ij}$ , follow three restriction:

The matrix is (I) square, (II) symmetrical and (III) the diagonal elements are equal to the sum over the remaining elements in a line

$$C_{ii} = -\sum_{j=1, \ j \neq i}^{n} C_{ij} \ . \tag{4}$$

Therefor the number of really unknown values is

$$n_{cap} = \frac{n(n-1)}{2} \tag{5}$$

These values represent the mutual capacitances while the  $C_{ii}$  represent the derivable self capacitance values.

To calculate the charge on a conductor one could use Equation (1) and integrate the electrical field over the closed surface of each conductor

$$Q_i = \varepsilon_r \varepsilon_0 \iint \vec{E}(\vec{r}) d\vec{A}_i \qquad (6)$$

usually referred to as principle of charge conservation. However, for numerical reasons a far more accurate and computationally simpler way is to apply the principle of energy conservation using the electric field energy stored in a given field configuration and determined by the boundary conditions

$$W = \frac{1}{2} \varepsilon_0 \iiint_V \varepsilon_r(\vec{r}) \left[ \nabla \varphi(\vec{r}) \right]^2 dV \tag{7}$$

which can be simplified by recognizing that

$$W = \frac{1}{2} \varepsilon_0 \iiint_V \varepsilon_r(\vec{r}) \left[ \vec{E}(\vec{r}) \right]^2 dV$$
 which simplifies to (8)

$$W = \frac{1}{2} \iiint_{V} \vec{E}(\vec{r}) \vec{D}(\vec{r}) dV \qquad (9)$$

Eq. (7)-(9) apply in general to arbitrarily distributed dielectrics. Furthermore, the relation

$$W = \frac{1}{2} C U^2$$
 (10)

is used to set up a linear system of equations with  $n_{cap}$ unknowns containing the squares of the voltage differences  $\frac{1}{2} \cdot {}_k U_{ij}^2$  and the respective field energies,  $W_k$ ,

here exemplified for a 4-body system with 6 unknowns. A set of  $k=1...n_{cap}$  linear independent vectors containing the squares of the voltage differences  $\frac{1}{2} \cdot {}_{k}U_{ij}^{2}$  has to be created ensuring that that the determinant of the resulting matrix is non-zero. The  $n_{cap}$  energies  $W_k$  of the respective field distributions are the quantities to be calculated.

Using the linear nature of the problem, it is only necessary to calculate n direct field solutions obtained from n orthogonal boundary condition vectors and to generate the remaining  $n_{cap}$ -n solution by superposition. In general, it would be sufficient to calculate the  $\vec{E}(\vec{r})$  and  $\vec{D}(\vec{r})$  fields after the superposition from  $\varphi(\vec{r})$  and  $\varepsilon_{-}(\vec{r})$ . However, this approach, while requiring less memory storage, faces certain practical problems. Therefor the  $\vec{E}(\vec{r})$  and  $\vec{D}(\vec{r})$  fields from the first n field solutions are stored and not  $\varphi(\vec{r})$ . The first k=1...n field energy values are obtained by

$$W_{k} = \frac{1}{2} \sum_{l=1}^{n_{element\_max}} \sqrt{E_{x\,k,l}^{2} + E_{y\,k,l}^{2} + E_{z\,k,l}^{2}} \quad \cdot \quad \sqrt{D_{x\,k,l}^{2} + D_{y\,k,l}^{2} + D_{z\,k,l}^{2}} \quad \cdot \quad v_{elem\ l}$$

$$\tag{12}$$

and the remaining  $n_{cap}$ -n solutions require superposition

$$W_{k} = \frac{1}{2} \sum_{l=1}^{n_{cloment}, \max} \sqrt{\left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} E_{x k, l}\right]^{2} + \left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} E_{y k, l}\right]^{2} + \left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} E_{z k, l}\right]^{2}}$$

$$\cdot \sqrt{\left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} D_{x k, l}\right]^{2} + \left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} D_{y k, l}\right]^{2} + \left[\sum_{\substack{l=1 \ \forall U(l \neq 0)}}^{n} D_{z k, l}\right]^{2}} \cdot v_{elem \ l}}$$

$$(13)$$

Equation (11), with the actual number of  $n_{cap}$ , is solved via a single APDL command and the capacitance matrix  $C_{ij}$  is filled by obtaining the  $C_{ii}$  via (4) and using the symmetry condition.

# PRACTICAL ASPECTS

Two version of CAMACO for 2D and 3D problems exist. User input (from an input script or command line) is a sequence of commands that defines the number of bodies (volumes or areas) and their reference number which will then be considered as the bodies for which the capacitance matrix has to be calculated.

However, a user must be aware of the model generation principles of ANSYSTM since creating and meshing of a model is in general more complicated than in classical capacitance simulation programs like e.g. RAPHAEL<sup>TM</sup> (TMA/Avant!) or Clever<sup>TM</sup> (Silvaco) but on the other hand also significantly more flexible and interactive.

The results presented in the next section have been obtained under WindowsNTTM 4.0 on a Dual-PentiumIITM 400MHz machine with 1Gbyte RAM and an Intergraph<sup>TM</sup> Intense 3D Pro 3410 graphics accelerator. To run jobs with resolutions necessary for realistically shaped 3D objects at least 512Mbyte RAM are advisable.

Among the variety of provided ANSYS<sup>TM</sup> solvers the Preconditioned Conjugate Gradient solver has been used due to its speed; single electrostatic 3D problems with 10<sup>6</sup> unknowns have been solved in less than 1hour.

# COMPUTATIONAL RESULTS

It is generally complicated to determine the precision of results from multi-body capacitance simulations. The systematic evaluation of the numerical behavior remains crucial to establish a trustworthy tool. Only few cases with analytical solution for the capacitance of two conductors are known. More complicated models can only be judged by observing the convergence behavior and correct transfer of physical symmetry of the model to symmetry of the capacitance matrix. For these tests, artificial and highly symmetrical models are preferred since "real" structures contain excessive complexity to determine reasons for

usually observed differences in results between different programs operating on the same input model. The functionality of CAMACO has been verified by comparison with results from other programs for a variety of 2D and 3D multi-body cases. For brevity, only two very simple examples can be given thereafter.

One of the few problems with known analytical solution consists of two infinite long cylinders with different radii  $r_1$  and  $r_2$  in a distance d within a surrounding dielectric:

$$\frac{C}{l} = \frac{2\pi\varepsilon_0\varepsilon_r}{\cosh^{-1}\left(\frac{d^2 + r_1^2 - r_2^2}{2dr_1}\right) + \cosh^{-1}\left(\frac{d^2 - r_1^2 + r_2^2}{2dr_2}\right)}$$
(14)

As **example 1** the parameters were chosen:

 $r_1 = 0.25 \mu \text{m}$   $r_2 = 0.15 \mu \text{m}$   $d = 0.660424919 \mu \text{m}$ and simulated with CAMACO and RAPHAELTM. The expected result is  $C/l = (1 + 2 \cdot 10^{-9}) \cdot 10^{-10}$  F/m. As shown in Fig. 1, absolute values of relative errors for results obtained by applying Eq. (12) on the order of  $|\Delta C/C| \approx 10^{-3}$  can be achieved. Even with almost the minimal possible number of nodes per conductor in order to represent its shape errors in the order of  $|\Delta C/C| \approx 10^{-2}$  are possible. In a special computation mode, using the intrinsic EDENS function not discussed here and applicable only to two-body cases, errors smaller than  $|\Delta C/C| < 10^{-4}$  can be reached.

The **second** simple **example** assembles **three cubes**  $m_1...m_3$  each with  $V=1\mu m^3$  in a distance  $d=2\mu m$  of their centers in a large fourth cube  $m_4$  with  $V=40\mu m^3$ ,  $\epsilon_r=1$  and Neumann boundaries as shown in Fig. 2. Results were again calculated with CAMACO and RAPHAEL<sup>TM</sup> for different discretizations and are show in Fig. 3. Remarkably and very useful for practical applications, CAMACO again achieves more rapid convergence to solutions for DOF $\rightarrow\infty$ .

Finally, Fig.4 illustrates the application of CAMACO in a 3D multi-level metal interconnect capacitance analysis of a potential trench capacitor 1Gbit DRAM cell with 150nm minimal feature size. Crucial in DRAM cells is the bitline capacitance determining the transfer ratio and therewith limiting the maximal possible number of cells per bitline. Typical models consist of  $5\cdot10^4$  to  $2\cdot10^5$  nodes and 8 to 12 interconnects are considered as bodies for the capacitance matrix. Note the realistic shape of the bitline studs in Fig.4.

# **SUMMERY**

An APDL macro has been described for the computation of mutual capacitances of arbitrarily shaped 3D objects which can be used for ULSI, microsystems, packaging, MCMs, printed boards and transmission lines. Extended investigations revealed, that it is possible achieve precision on the order of  $|\Delta C/C| \approx 1 \cdot 10^{-2}$  for practical problems. CAMACO can be used on any platform running ANSYS<sup>TM</sup>: AIX<sup>TM</sup>, Digital Unix<sup>TM</sup>, HP-UX<sup>TM</sup>, IRIX<sup>TM</sup>, Solaris<sup>TM</sup>, UNICOS<sup>TM</sup> and WindowsNT<sup>TM</sup>.

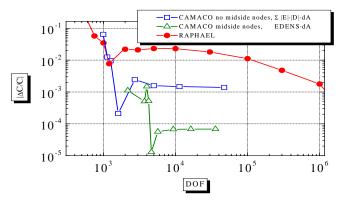


Fig. 1 CAMACO and RAPHAEL<sup>TM</sup> results for example 1: absolute value of relative error of *C/l* as function of DOF (number of grid-points respectively for RAPHAEL<sup>TM</sup> results); Curve 1: standard use of ANSYS Element Plane121 without midside nodes and applying Eq.(12); Curve 2: maximal precision with Element Plane121 with midside nodes and use of intrinsic EDENS function (only applicable to two-body problems)

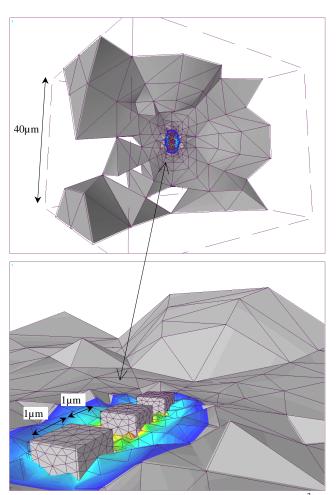


Fig. 2 Example 2: Capacitances of the three cubes  $V=1\mu m^3$  in  $d=2\mu m$  distance to each other inside a large fourth cube  $V=40\mu m^3$  representing the surrounding free space with Neumann boundaries on the outer areas; most elements have been removed to enable visibility; bottom: contour plot of absolute electric field strength in vicinity of cubes

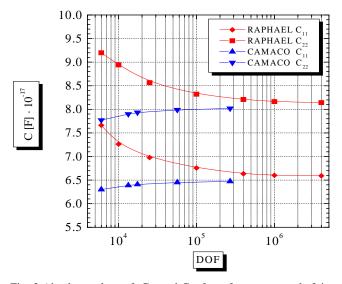


Fig. 3 Absolute values of  $C_{11}$  and  $C_{22}$  for reference example 2 in Fig. 2 as a function of number of DOF (number of grid-points respectively) obtained from CAMACO and RAPHAEL<sup>TM</sup>

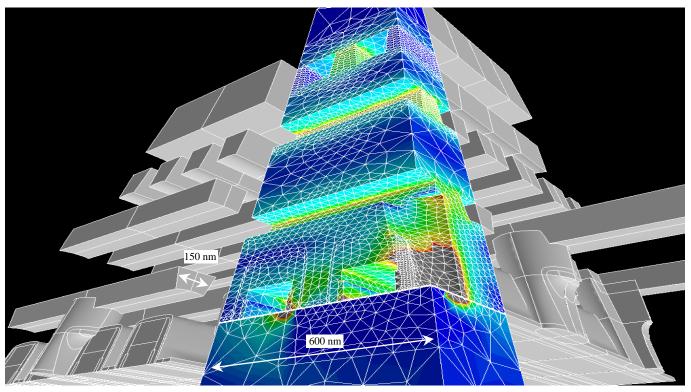


Fig. 4 Application of CAMACO/ANSYS<sup>TM</sup> in a 3D multi level metal interconnect capacitance analysis of a potential trench capacitor 1GBit DRAM cell with 150nm minimum feature size; elements and contour plot of absolute electric field strength in dielectrics of unit cell, metals and some liner volumes; model consists of approximately 120,000 nodes; [9×9] capacitance matrix

#### REFERENCES

- [1] Ernst Weber: "Electromagnetic Theory Static Fields and Their Mapping", Dover Publications, Inc., New York, NY, USA, 1965
- [2] Albert E. Ruehli and Pierce A. Brennan: "Efficient Capacitance Calculation for Three-Dimensional Multiconductor Systems", IEEE Transactions on Microwave Theory and Techniques, Feb 1973, Vol. MTT-21, No.2, pp. 76
- [3] Albert E. Ruehli: "Survey of Computer-Aided Electrical Analysis of Integrated Circuit Interconnections", IBM Journal of Research and Development, Nov 1979, Vol. 23, No. 6, pp 626
- [4] W. H. Dierking and J. D. Bastian: "VLSI Parasitic Capacitance Determination by Flux Tubes", IEEE Circuits and System Magazine, Mar. '82, pp. 11
- [5] Leonard T. Olson: "Application of the Finite Element Method to Determine the Electric Resistance, Inductance, Capacitance Parameters for the Circuit Package Environment", IEEE Transactions on Components, Hybrids and Manufacturing Technology, Dec 1982, Vol-ChMT-5, No.4, pp. 486
- [6] Simon Ramo, John R. Whinnery, Theodore van Duzer: "Fields and Waves in Communication Electronics", 2nd Edition, John Wiley & Sons, Inc., New York, NY, USA, 1984, page 252
- [7] F. Straker and S. Selberherr: "Capacitance Computation for VLSI Structures", Proc. Int. Conf. Simulation of Semiconductor Devices and Processes (Swansea, UK), Jul 1984, pp. 39
- [8] Albert Seidl, Helmut Klose, Miloš Svoboda, Joachim Oberndorfer, Wolfgang Rösner: "CAPCAL- A 3D Capacitance Solver for Support of CAD Systems", IEEE Trans. on Computer-Aided Design, May 1988, Vol. 7, No.5, pp. 549
- [9] Keith Nabors and Jacob White: "FastCap: A Multipole Accelerated 3-D Capacitance Extraction Program", IEEE Transactions on Computer-Aided Design, Nov 1991, Vol. 10, No 11, pp. 1447
- [10] K. Nabors, S. Kim, J. White: "Fast Capacitance Extraction of General Three-Dimensional Structures", IEEE Transactions on Microwave Theory and Techniques, Jul 1992, Vol. 40, No. 7, pp. 1496
- [11] Raj Mittra, W. Dale Becker, Paul H. Harms: "A General Purpose Maxwell Solver for the Extraction of Equivalent Circuits of Electronic Package Components for Circuit Simulation", IEEE Transactions on Circuits and Systems-I: Fundamental Theory and Applications, Nov 1992, Vol. 39, No. 11, pp. 964
- [12] J. R. Phillips and J. White: "A Precorrected-FFT Method for Capacitance Extraction of Complicated 3-D Structures", International Conference on Computer Aided Design, San Jose, CA, USA, Nov 1994
- [13] R. Bauer: "Numerische Berechnung von Kapazitäten in dreidimensionalen Verdrahtungsstrukturen", Institut for Microelectronics, Technical Univerity Vienna, Austria". Nov., 1994

- [14] R. Bauer: "SCAP 1.2 User's Guide", Institute for Microelectronics, Technical University Vienna, Austria, Dec 1994
- [15] A. Deutsch, et. al.: "Modeling and Characterisation of long on-chip interconnections for high performance microprocessors", IBM Journal of Research and Development, Sep 1995, Vol. 39, No. 5, pp. 547
- [16] Narain D. Arora, Kartik V. Raol, Reinhard Schumann, Llanda M. Richardson: "Modeling and Extraction of Interconnect Capacitances for Multilayer VLSI Circuits", IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems, Jan 1996, Vol. 15, No. 1, pp. 58
- and Systems,Jan 1996, Vol. 15, No. 1, pp. 58

  [17] RAPHAEL™ Interconnect Analysis Program Version 4.0 Reference Manual, TMA/ Avant!, Inc., Sunnyvale, CA, USA, Nov 1996
- [18] M. Bächtold, J. G. Korvink, H. Baltes: "Enhanced multipole acceleration technique for the solution of large Poisson computations", IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems, Dec 1996, Vol. 15, No. 12, pp. 1541
- [19] CLEVER<sup>TM</sup> User's Manual, Silvaco Intern., Santa Clara, CA, USA, Sep '97 [20] J. G. Korvink and J. Funk: User Manual SOLIDIS-ISE<sup>TM</sup>, ISE TCAD Release 4.1, Part 21, ISE AG Zurich, Switzerland / Swiss Federal Institute of Technology (ETH) Zurich, 1997
- [21] Y. Ansel, B. Romanowitcz, M. Laudon, P. Renaud and G. Schröpfer: "Capacitive detection method evaluation for silicon accelerometers by physical parameter extraction from finite element simulations", Intern. Conf. on Simulation of Semiconductor Processes and Devices, Cambridge, MA, USA, Sept.. 1997
- [22] M. Bächtold, S. Taschini, J. G. Korvink, H. Baltes: "Automated Extraction of Capacitances and Electrostatic Forces in MEMS and ULSI Interconnects from the Mask Layout", International Electron Devices Meeting 1997 Technical Digest, WASHINGTON, D. C., Dec 1997
- [23] Hsin-Ming Hou, Chin-Shown Sheen, Chin-Yuan Wu: "A Novel Modeling Technique for Efficiently Computing 3-D Capacitances of VLSI Multilevel Interconnections--BFEM", IEEE Transactions on Electron Devices, Jan 1998, Vol. 45, NO. 1, pp. 200
- [24] Peter Kohnke: "ANSYS<sup>TM</sup> Theory Reference Manual", Release 5.5, ANSYS Inc., Canonsburg, PA, USA, Sep 1998
- [25] "ANSYS $^{\text{TM}}$  Elements Reference Manual", Release 5.5, ANSYS Inc., Canonsburg, PA, USA, Sep 1998
- [26] Barry J. Rubens: "Canonical Package Problem Solved Using Six different Codes", IEEE 7<sup>th</sup> Topical Meeting on Electrical Performance of Electronic Packaging, West Point, NY, USA, Oct. 1998
- [27] Andreas Hieke: "CAMACO 3D FEM computation of mutual capacitances of arbitrarily shaped objects", SIEMENS Technical Report, R\_99\_1098\_PI, Siemens Microelectronics USA, 65p., Oct. 1998, (unpublished)