

**S U M M A R Y**

Experienced senior finance professional with broad experience in managing cross-functional teams to deliver strong results. Strong leader with proven track record working with business development and execution teams to increase productivity in large global organizations in emerging and developed economies. Core competencies include:

- Leading multi-disciplinary teams
- Treasury accounting & reporting
- Budgeting and resource allocation
- Business process re-engineering
- Investment analysis and risk management
- Auditing and financial resource management
- Policy formulation and compliance
- Talent section, development and retention

**E X P E R I E N C E**

**UNITED NATIONS DEVELOPMENT PROGRAM (UNDP)**

**Chief, Financial Performance and Resources Management, New York**

November 2014 to Present

**Global Head, Treasury Accounting and Reporting, New York**

Aug 2009 to October 2014

- Implemented various strategic decisions made by UNDP's Executive Board including implementation of the rebased budget, harmonized cash transfer framework, cost recovery policy on direct project costing and cost recovery.
- Developed new financial resource management policies and procedures on financial resource management. Developed and rolled out monitoring tools including management and exception reports for use by country offices and facilitate monitoring by HQ to ensure compliance. Carried out training across UNDP and followed-up non-compliance with country office management.
- Established a financial performance management framework including key performance indicators to monitor performance of UNDP country offices to ensure the organizations remains on track to achieve its goals set out in the strategic plan.
- Identified key operational risks facing country offices and roll-out a risk based country ranking methodology used by the Office of Finance in deploying its limited resources in its monitoring and oversight role.
- Streamlined the methodology of pricing services provided by UNDP country offices to other UN Agencies and third parties to ensure full cost recovery. Rolled out a standardized pricing policy resulting in a 15% increase in income upon implementation.
- Oversaw year-end financial closing of revenue, receivables and investments across all UNDP offices including preparing year-end closing guidelines, reviewing country office certifications and following up as appropriate. Prepared audit working papers and was the audit focal point promptly responding to audit issues and ensuring audit observations were promptly addressed.
- Managed the funding and liquidity of all UNDP global offices and setup, through Bank of America, a global automated funding sweep mechanism allowing UNDP Country Offices to centrally drawdown funds to meet their operating needs and automatically sweep excess funds to a central pool for centralized overnight investment. Oversaw operation and reconciliation of over 70 HQ multi-currency bank accounts including accounts operated on behalf of other UN Agencies.
- Managed investment analysis and reporting of a \$7 billion investment portfolio including assets managed for other UN Agencies. Prepared performance and compliance reports for the Investment Committee and was audit focal point for investments including financial statement reporting for all the Agencies.
- Assisted Country offices in negotiating banking services with local banks and in setting up Electronic Funds Transfer systems. Launched mobile payments with selected pilot countries in Africa with plans to rollout to more countries based on pilot results.
- Lead a large team across 170 UNDP Country Offices in the implementation of new International Public Sector Accounting standards for Non-Exchange Transactions (Revenues) and Financial Instruments. Led training, prepared new policies and procedures and setup automated dashboards to monitor compliance across the organization. Successfully implemented a new ERP module and was a key member of a core team at HQ which setup the Global Transaction Processing Center in Malaysia as part of the IPSAS implementation. Traveled on various missions to Country Offices and Malaysia to conduct training.
- Member of UNDP's Investment Committee, HQ Contracts, Assets and Procurement (CAP) Committee and UN Federal Credit Union (UNFCU) Board Loan Review Committee.

**DAVIDSON KEMPNER CAPITAL MANAGEMENT LLC (\$14 billion New York based hedge fund)**

**Senior Investment Professional, New York, New York**

2008-2009

- Managed investment opportunities including leading meetings with senior management and syndicate lending groups to structure and negotiated primary transactions and performing business due diligence on syndicated corporate loans. Prepared investment write-ups for presentation to the credit approval committee.
- Negotiated legal and operating documents with external attorneys and client management and managed closed transactions including monitoring compliance with key covenants.
- Screened and provided structuring advice on early-stage transactions originated through financial intermediary channels, including a dedicated mid-market focused joint venture.
- Supervised and managed junior investment professionals.

## GE CAPITAL CORPORATION

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|-------------------------------------------------------------------------------|-----------|
| Vice President, GE Corporate Lending, Norwalk, Connecticut                    | 2006-2008 |
| Asia-Pacific Risk Manager, GE Corporate Financial Services, Sydney, Australia | 2005-2006 |
| Vice President, GE Commercial and Industrial Finance, Norwalk, Connecticut    | 2004-2005 |
| Assistant Vice President, Structured Finance Group, Stamford, Connecticut     | 2002-2004 |
- Lead deal underwriting teams at GE Corporate Lending (a GE Division with \$18 billion assets under management) in structuring and carrying out financial due diligence on investment opportunities and presenting approval requests to the investment committee. Successfully closed over \$0.5 billion investments in the US.
  - Managed closed investments including monitoring financial performance, reviewing annual business plans and holding regular update meetings with senior management teams. Successfully led negotiation of an amendment on behalf of a syndicate investor group for a distressed trucking company and managed the workout to achieve full recovery by investors.
  - Managed the risk function in the Asia-Pacific region as the risk manager overseeing GE's Corporate Lending Asia business. Advised origination teams on structuring over \$2 billion of target investments and funded over \$100 million investments in the region, including Kohlberg Kravis Roberts's (KKR) largest LBO in India.
  - Led initiatives to streamline internal deal processes and reducing cycle time to ensure timely and reliable feedback to clients.
  - Core member of GE's recruiting team and served as a financial training instructor. Served as a member of the Diversity Council Leadership Committee tasked with recruiting high-potential candidates and formulating promotion and retention policies.
  - Earned Structured Finance Group's Circle of Excellence Award in 2003 and Corporate Lending Excellence Award in 2008 for outstanding performance.

## BEAR STEARNS & CO. INC.

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|------------------------------------------------------------------------------------------|-----------|
| Investment Banking Associate, Industrial Products and Services Group, New York, New York | 2000-2002 |
|------------------------------------------------------------------------------------------|-----------|
- Worked in deal teams to support debt and equity capital raising transactions for industrial company clients. Performed financial due diligence including valuation analysis, developed detailed financial projection models and prepared deal approval write-ups for the investment committee. Completed transactions include a bond offering for a Brazilian-based petrochemical company and a follow-on equity offering for a public US defense company. Advised on M&A transactions including a cross border transaction of a private US logistics company by a German company and acquisition of a public US chemical company by a financial sponsor.
  - Liaised with leading Wall Street research analysts to assess potential transactions and identify market opportunities.
  - Successfully completed Series 7 and Series 63 securities (broker dealer) license exams.

## PRICEWATERHOUSECOOPERS (former Price Waterhouse)

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|-------------------------------------------------------------------------|-------------|
| Financial Advisory Services, New York                                   | Summer 1999 |
| Manager, Information Systems Risk Management, London, United Kingdom    | 1995-1998   |
| Assistant Manager, Audit and Business Advisory Services, Nairobi, Kenya | 1991-1995   |
- Planned and managed financial audits of banks, insurance, manufacturing and retail trading companies in East, Central and Southern Africa including the preparation and review of statutory financial statements and tax returns to ensure compliance with applicable tax laws and GAAP. Managed the audits of the largest banking clients for the PwC Nairobi Office.
  - Led the investigations, commissioned by the World Bank, of various financial institutions in Kenya suspected of involvement in irregularities and financial crimes in the mid-1990s and presented detailed report of findings to the Central Bank Governor.
  - Identified as a potential future PwC leader and sent on a 3-year secondment to the PwC UK Office to broaden my professional exposure. Specialized in computerized system audits and reviews. Completed assignments include the review of a Russian financial institution in Moscow carried out on behalf of the Bank of England and audit investigations of a public retail trading company carried out on behalf of the Depart of Trade and Industry (DTI).
  - Conducted training sessions for audit trainees and experiences staff on new audit risk-based methodology adopted by PwC.

## EDUCATION

**CORNELL UNIVERSITY**, Johnson Graduate School of Management, Ithaca, New York  
M.B.A. (Distinction), Corporate Finance, 2000

**UNITED STATES INTERNATIONAL UNIVERSITY**, Nairobi, Kenya  
Bachelor of Science, Business Administration, 1991

## CERTIFICATIONS

Chartered Financial Analyst (CFA), 2002  
Certified Public Accountant of Kenya (CPA), 1991  
Certified Information Systems Auditor (CISA), 1998  
Completed Series 7 and Series 63 NY Broker Dealer Certification, 2001