## Lecture 6: Regular Expressions

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### **OUTLINE**: Regular Expressions

Inductive Definition

Examples

Regular Expressions and Finite Automata

Generalized Nondeterministic Finite Automata

Three Basic Cases

Example

### Regular Expressions

Given an alphabet  $\Sigma$  we can construct Regular Expressions inductively:

- 1. If  $a \in \Sigma$ , then a is a regular expression,
- 2.  $\varepsilon$  is a Regular Expression,
- 3. ∅ is a Regular Expresson.

These three form our "Base Cases" for the inductive definition. (Think of them as "virtual Legos".)

Now, given Regular Expressions  $R_1$  and  $R_2$ :

- 4.  $R_1 \cup R_2$  is a Regular Expression,
- 5.  $R_1 \circ R_2$  is a Regular Expression, and
- 6.  $R_1^*$  is a Regular Expression.

#### Order of Precedence

Just as  $3+4\times 5=23$  rather than 60 because we give  $\times$  higher precedence than +, we rank our three Regular Operations: The Kleene Star takes precedence over concatenation which takes precedence over union.

Hence  $a \cup b \circ a^*$  differs from  $((a \cup b) \circ a)^*$ .

Furthermore, we will frequently have need to express non-empty, arbitrary strings. We express possibly empty arbitrary strings with  $\Sigma^*$ . We can guarantee at least one symbol with  $\Sigma\Sigma^* = \Sigma^+$ , for convenience.

## Examples

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Given \Sigma = \{0, 1\}:
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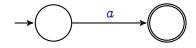
- 1.  $0*10* = \{w|w \text{ contains a single 1}\}.$
- 2.  $\Sigma^* 1 \Sigma^* = \{ w | w \text{ contains at least one } 1 \}.$
- 3.  $\Sigma^* 001\Sigma^* = \{w | w \text{ contains substring } 001\}.$
- 4.  $1^*(01^+)^* = \{w | \text{ every 0 in } w \text{ is followed by a 1} \}$ .
- 5.  $(\Sigma \Sigma)^* = \{w | w \text{ is a string of even length.}\}$
- 6.  $(\Sigma\Sigma\Sigma)^* = \{w | \text{ the length of } w \text{ is a multiple of 3} \}.$
- 7.  $01 \cup 10 = \{01, 10\}.$
- 8.  $0\Sigma^*0 \cup 1\Sigma^*1 \cup 0 \cup 1 = \{w|w \text{ start and end in same symbol }\}.$
- 9.  $(0 \cup \varepsilon)1^* = \{01^*, 1^*\}.$
- 10.  $(0 \cup \varepsilon)(1 \cup \varepsilon) = \{01, 0, 1, \varepsilon\}$ . (FOIL)
- 11.  $1^*\emptyset = \emptyset$ . The empty set annihilates anything concatenated with it.
- 12.  $\emptyset^* = \{\varepsilon\}$ . Take no strings as many times as you like, and you get an empty string.

# Regular Expressions and Regular Languages

A language is regular iff a regular expression describes it.

It is easy to see that a language described by a regular expression is regular:

If  $a \in \Sigma$ , then:



accepts a.

2. Furthermore:



accepts  $\varepsilon$ , and

3. Given the empty set:



### Regular Expressions and Regular Languages

And we have seen over the last week(s) that, given regular languages  $R_1$  and  $R_2$ ...

- 4. We can construct an NFA for  $R_1 \cup R_2$  (closure property), and
- 5. We can construct an NFA for  $R_1 \circ R_2$  (closure property), and
- 6. We can construct an NFA for  $R_1^*$  (closure property).

Hence, given a regular expression, we can construct an NFA that recognizes the language it represents. Hence, the language it represents is regular.

### Generalized Nondeterministic Finite Automaton

In order to do the other direction of our proof, we must show that any DFA corresponds to a regular expression.

We will accomplish this by introducing the notion of a Generalized NFA.

Given a DFA, convert it to an NFA as we did last time. Next, augment it with two new states, a new start state with  $\varepsilon$ -edge to the old start state and a single new final state with  $\varepsilon$ -edges entering it from (only) the old final states.

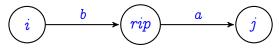
If multiple edges from state i (labeled a) to state j (labeled b), replace them with a single edge with a union labeled  $a \cup b$ .

Finally, add edges between states that had no edges, and label them  $\emptyset$ .

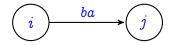
(We will omit this step in the examples that follow.)

#### Three Basic Cases: Case 1

We will systematically reduce our (n+2)-state GNFA to a 2-state GNFA wherein the only remaining label is the regular expression recognized by the GNFA.

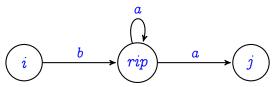


As we remove state rip, we leave ba as an edge:

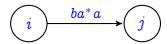


### Three Basic Cases: Case 2

We will systematically reduce our (n+2)-state GNFA to a 2-state GNFA wherein the only remaining label is the regular expression recognized by the GNFA.

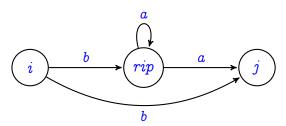


As we remove state rip, we leave  $ba^*a$  as an edge:

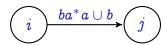


### Three Basic Cases: Case 3

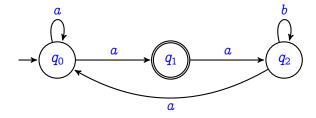
We will systematically reduce our (n+2)-state GNFA to a 2-state GNFA wherein the only remaining label is the regular expression recognized by the GNFA.



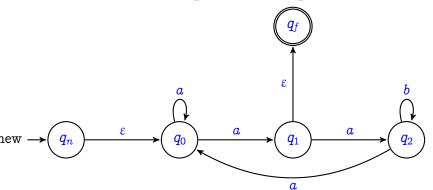
As we remove state rip, we leave  $ba^*a \cup b$  as an edge:



### Dare We Attempt An Example...?



## Dare We Attempt An Example...?



Two correct Regular Expressions:

$$a^+(ab^*a^+a)^*$$
 (Removal orders:  $q_0, q_2, q_1$  and  $q_2, q_0, q_1$ )  $(a^2b^*a \cup a)^*a$  (Removal order:  $q_1, q_2, q_0$ )