



Landcover Data Limits Our Understanding of **Global Change**

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Our knowledge of global change processes is built upon landcover 17 maps, particularly in rapidly developing regions, and thus do not fully comprehend how map quality impacts our understanding of global change. We used a unique, high quality, national-scale cropland map to assess the bias and accuracy in a) current generation landcover products, and b) analyses built on these. Maps have high bias and low accuracy, particularly at finer resolutions (1-10 km), which transfers into, and is often amplified, in downstream analyses of vegetative carbon stock, gridded crop production, and processmisguided policy. Maps derived from coarse resolution sensors (such as MODIS and GlobCover) should be aggregated to at least 50-100 km resolution (and sometimes more). Map accuracy is lowest at intermediate levels of cover, thus coarser aggregation may be necessary in highly mixed landscapes. Higher resolution imaging systems (e.g. Landsat) produce maps that require less aggregation (1-25 km) to achieve high accuracy and low bias. For regional to global analyses, new fusion products, such as the GeoWiki cropland map, need cations, but can require \geq 25 km of aggregation to have sufficient accuracy. Widely used global datasets for carbon, crop area, and crop yield should be rebuilt on GeoWiki and similar maps, while new approaches methods that fuse human judgement, the latest computer vision-based algorithms, and growing high resolution image archives are needed to produce the next generation of landcover maps.

landcover | bias | remote sensing | agriculture | crop yield | harvested area carbon | agent-based model | landscape

Abbreviations: GTI, GeoTerralmage; SSA, sub-Saharan Africa

he functioning of the Earth System is fundamentally connected to the characteristics of landcover (1), the phys-3 ical constituents of the terrestrial surface. Human endeavors 4 are strongly governed by and shape landcover, whether it be 5 felling forests for timber or burning savannas to rejuvenate 6 forage, and landcover is a primary factor in many climate and ⁷ biogeochemical processes (2). Our increasing modification of 8 the Earth's surface (2) means that socioeconomic and physi-9 cal processes increasingly interact through landcover. To fully 10 understand these processes and the nature of global change, it 11 is essential to know the nature and distribution of landcover. This importance is understood by a growing number of so-13 cial, economic, and natural scientists, who are using landcover 14 data to advance understanding of food security (3–5), carbon 15 cycling (6, 7), biodiversity loss (8, 9), demographic shifts (10), 16 and other important facets of global change.

The value of the insights resulting from such studies depends data, but we lack the means to comprehensively assess landcover 18 upon the veracity of their underlying landcover data, much as 19 a house requires a solid foundation in order to remain standing. 20 Unfortunately, the evidence to date indicates that much of our 21 understanding of global change is built on shaky foundations. 22 The reason for this is that landcover data can only practically 23 be derived from satellite imagery, and in many regions the 24 cover types of interest are smaller (e.g. smallholder's farms 25 11) than the sensor resolution, or spectrally indistinct from based food security models. Substantial map aggregation is needed 26 neighboring covers, which translates into substantial mapping to avoid potential misunderstandings of global change processes or 27 errors (12-14). Landcover maps are therefore often inaccurate 28 at finer scales and disagree widely between products, partic-29 ularly in the world's most rapidly developing regions (15–17). $_{30}$ These errors mean that we are still unable to obtain the granu-31 lar, mechanistic understanding of global change processes that 32 we need.

These problems with landcover products are known (12, 16– 34 19), and there are a variety of map improvement efforts unjust 5 km of aggregation to produce unbiased maps for most appli- 35 derway, particularly for agriculture (14, 20). What remains $_{36}\,\mathrm{an}$ open question is exactly how much the maps researchers 37 typically use deviate from actual landcover, and how this in 38 turn impacts our understanding of global change processes. 39 Answering this question depends on having spatially compre- $_{40}$ hensive ground truth data, which are unavailable for most 41 parts of the world, particularly over Africa and other devel-⁴² oping regions (12). Our understanding of map accuracy is 43 therefore built primarily on bottom-up tests made with a rel-44 atively small number of ground truth points (relative to the 45 total mapped area), or from top-down "sanity checks" made 46 in comparison to aggregated survey data. This allows us to 47 quantify between-map discrepancies (e.g. 16, 21), or to un-48 derstand map fidelity to country-level statistics (e.g. 16), but 49 offers little direction for how to arrive at a true number.

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51 makes it difficult, if not impossible, to gauge their impact on 117 late each map's bias (the mean pixel-wise error) and accuracy 52 downstream analyses. There has been some work examining 113 (the mean absolute pixel-wise error, where a lower value in-55 how such error influences climate simulations (22), agricultural 119 dicates higher accuracy). We performed this analysis for the 54 land use patterns (23), carbon flux measurements (24), and 120 original 1 km resolution, and for maps that were further ag-55 human population estimates (10), but these either use simu- 121 gregated to 5, 10, 25, 50, and 100 km, in order to evaluate 56 lated landcover errors (22) or compare relevant differences in 122 how error and bias changes with scale. We also assessed how 57 estimates between different satellite-derived landcover maps 123 landcover pattern impacts map performance by modeling the 58 (10, 24). One exception is a Belgian study (23) that used 124 correlation between map accuracy and cropland density. 59 ground-collected farm parcel data to assess how landcover er- 125 We then used these maps to conduct four further analy-60 rors bias measurements of agricultural land use patterns, but 126 ses typical of Earth System science research. The first two 61 the study extent was fairly small and the validation data were 127 related to physical processes, namely the calculation of vege-62 discontiguous.

70 often used to make policy decisions (26).

72 to address the urgent need to more thoroughly and precisely 138 gregation, while for the more complex cases, our assessments 73 quantify landcover map errors and how they might impact 139 were confined to each numerical model's standard output res-74 our understanding of change in the world's most dynamic 140 olution. $_{75}$ regions. We use a unique, high accuracy landcover map of $_{141}\,\text{Map}$ quality π sive, bottom-up quantification of error in several widely used ¹⁴² Bias and accuracy. Our reference map indicated that crop 76 South African crop fields to conduct a spatially comprehen-⁷⁸ landcover maps, and how these errors can propagate through ¹⁴³ fields covered 104,304 km², or nearly 10%, of the total mapped ¹⁴³ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴³ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁴ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁴ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,304 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,404 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,404 km², or nearly 10%, of the total mapped ¹⁴⁵ fields covered 204,404 km², or nearly 10%, of the total mapped ¹⁴⁵ fields ⁷⁹ "downstream" studies investigating into both the physical and ¹⁴⁴ area in the 2009-2011 time period. The test maps derived from 80 socioeconomic components of global change. Our objective is with a better, more up-to-date, understanding of their appro-81 to provide scientists and policy-makers who use landcover data 83 priate uses and limitations.

84 Overview of study area and analyses

85 In the late 2000s, the South African government commissioned 86 a cropland map that was made by manually interpreting and 87 digitizing fields visible within high resolution satellite imagery 88 (27). The resulting vectorized field boundaries provide highly 89 accurate data on field sizes and distribution for the period 90 2009-2011. This dataset is particularly valuable because South 91 Africa represents nearly 6% of sub-Saharan Africa's (SSA) 92 area, which is a region that is poised to undergo rapid agricul-93 tural expansion (26), yet is notably lacking trustworthy maps 94 of existing agriculture land (16). Moreover, South Africa's 96 systems found throughout SSA, ranging from large commer-97 cial operations to smallholder farms (28, 29).

We used this dataset as a reference layer for evaluating four 99 landcover products representative of the type commonly used 100 in Earth Systems research. The first was South Africa's own 167 racy (23% MAE) was only half as good as SA-LC's at 1 km 100 III Earth Systems resolution 2009 National Landcover map (SA-LC)(30), 168 (11% MAE), which despite its uniform overestimation bias which is typical of the higher-resolution, Landsat-based maps 169 (Fig. 1A) was the most accurate map at aggregation scales that are typically available only for individual countries (e.g. 170 < 10km. Above this, GeoWiki became slightly more accurate, 104 31). The second and third were respectively the 300 m Glob-104 S1). The second and third will be second and the sec 106 cover products, which are widely used global-scale products 173 the heterogeneity of residuals, which traded between positive 106 Cover products, which are widely about global 107 (e.g. 33, 34). The fourth dataset was the new 1 km GeoWiki 174 and negative residuals over short distances, thereby inflating 108 hybrid-fusion cropland map for Africa (18), which incorporates 109 the first three datasets and represents the current state-of-theart in landcover mapping. For comparison, we converted all 176 Error and landcover density. Given that landcover character-111 five datasets into 1 km gridded maps of cropland density, ex117 istics can influence landcover map quality (12, 14, 25), we set 112 pressed as the pixel-wise percent cover.

In our first set of comparisons, we evaluated map quality by 114 subtracting each of the four landcover product-derived per-115 cent cropland maps (hereafter we refer to these as the "the

Being unable to fully quantify the errors in landcover maps 116 test maps") from the reference map, so that we could calcu-

128 tative carbon stocks and the simulation of evapotranspiration Fortunately, the recent, explosive growth in public and pri- 129 by a hydrological model. The second two were socio-economic 64 vate initiatives to develop new Earth observing capabilities, 130 in nature: the estimation of agriculture yield and production, 65 which range from small drones to new high resolution satel- 131 and an agent-based model assessment of household food se-66 lite arrays² and better mapping methods (15, 20, 25), are fi- 132 curity. The first and third analyses were relatively simple, in 67 nally providing the means to comprehensively interrogate the 133 that the variable(s) of interest were mapped onto landcover 68 accuracy and biases in the landcover products that have be- 134 using empirical relationships. The second and fourth relied on 69 come commonplace in global change research-and which are 135 more complex numerical methods, where landcover was one of 136 several variables needed to run each model. For the simpler In this study, we take advantage of these recent advances 137 analyses, we examined how results were influenced by map ag-

145 SA-LC and GeoWiki overestimated this area by 31 and 10%, 146 respectively, while GlobCover and MODIS underestimated it 148 ence maps created pixel-wise residuals, where negative and 149 positive values respectively represent overestimates and un-150 derestimates by the test map (Fig. 1A).

The most pronounced errors were in the MODIS and Glob-152 Cover maps, which showed large positive residuals in the cen-153 ter of the country where cropland is most concentrated (blue 154 areas in Fig. 1A), and negative residuals (red areas) along 155 the eastern and northern margins. These patterns translated 156 into substantial map bias (Fig. 1.B), with GlobCover and 157 MODIS mean error (weighted by the reference cropland den-158 sity) exceeding 45% and 25% respectively at 1 km resolution, 159 meaning that each map tends to underestimate cropland by 160 that amount at that resolution. This bias declined with each 161 level of map aggregation, being reduced to nearly 15% for 95 large agricultural sector represents the diversity of farming 162 GlobCover and 5% for MODIS at 100 km. The magnitude 163 of (density weighted) mean absolute error (MAE) was some-164 what higher in all cases. The GeoWiki map, in contrast, was $_{165}\,\mathrm{the}$ least biased overall, showing just a ~7% bias at 1 km and 166 near 0 for all other scales of aggregation, although its accu-171 having <5% MAE at 100 km resolution. The reason GeoWiki 175 MAE at this scale.



¹e.g. 3DRobotics, DJIA

²Planet Labs, Skybox



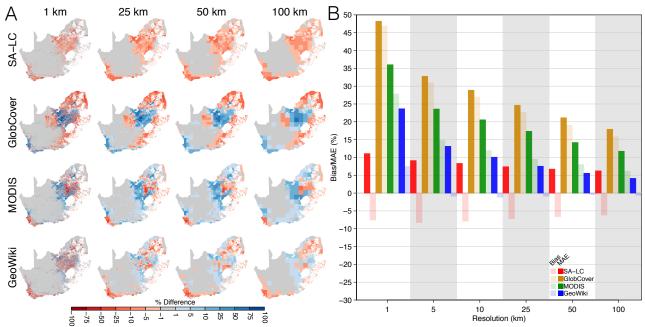
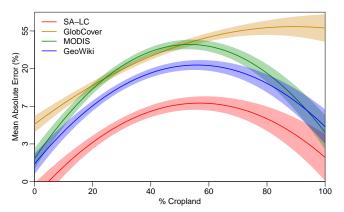


Fig. 1. (A) Errors in the percent cropland estimates resulting from each of the four test maps relative to the reference map at different scale of pixel aggregation. Rows indicate the test map being assessed (by subtraction from the reference map), while columns refer to resolution of aggregation. White indicates areas where areas under communal farmlands or permanent tree crops were removed from analysis. (B) The bias (mean error) and accuracy (mean absolute error [MAE]) of each test map at each scale of aggregation, weighted by the percentage cropland in each cell of the reference map. Bias estimates are indicated by the semi-transparent bars, accuracy (lower is more accurate) by the solid bars, with bar colors coded to specific cropland maps

178 out to elucidate the relationship between cropland configu-191 scape is dominated either by cropland or by another type (Fig. 184 district-wise MAE for each test map.

We then used a generalized additive model to evaluate the 198 mixture range. $_{186}$ shape of the relationship between district MAE and cropland 187 density. The model shows that map accuracy is typically low-189 for all but the GlobCover map (where accuracy continues to 199 The impact of map error on physical analyses



maps and the actual cropland cover within agricultural landscapes (reference map 221 map, and calculated bias and accuracy scores. pixels having >0.5% cropland), here defined by the boundaries of magisterial districts 222 The spatial patterns of test map errors transmitted into the lighter shading the standard error of the coefficients.

179 ration and map error. We first calculated the average 1 km 192 2). In other words, accuracy is generally lowest when cropland 180 reference cropland percentage within each of South Africa's 193 cover is mixed evenly with other cover types. GlobCover's ac-181 354 magisterial districts (the finest administrative unit, aver- 194 curacy continued to decrease with cropland density because 182 aging 3,445 km²; SI), which yielded a landscape-scaled metric 195 the dominant agricultural cover class contributing to the test 183 of characteristic cropland density, and similarly calculated the 196 map was defined as 50-70% crops mingled with other vegeta-197 tion, thus the maximum percentage was constrained by this

190 decline with cropland cover), and is highest when the land- 200 Estimating vegetative carbon stocks. To understand the car-201 bon cycle and climate forcing due to land use change, it is $_{202}$ important to have accurate, high resolution maps of vegeta-203 tive carbon stocks (26). One widely used vegetative carbon 204 dataset is that of (35), who mapped estimated carbon density 205 values for different vegetation types to the classes of a global $_{\rm 206}\,{\rm landcover}$ product. The resulting data were intended to pro-207 vide a baseline for climate policy by the Intergovernmental 208 Panel on Climate Change (IPCC), as well as input to other 209 land use and biogeochemical analyses (35).

We followed this method to create vegetative carbon maps 211 for South Africa. Since our maps represented cropland per-212 centage, we developed several variants by assigning the carbon 213 densities of different vegetation types (forest, secondary forest, 214 shrubland, grassland, and sparse vegetation (35)) to the non-215 cropland fraction of our maps. These hypothetical maps rep-216 resented the range in potential carbon densities, and allowed 217 us to investigate how carbon estimates can vary as a function 218 of i) test map errors and ii) the properties of neighboring cover 219 types. To assess carbon estimation error, we subtracted test Fig. 2. The relationship between map accuracy (the mean absolute error) in test 220 map-derived carbon maps from those based on the reference

(n = 345), as fit with a generalized additive model. Prediction curves are color-coded 223 carbon estimation errors, but the sign varied as a function to the different test maps, with the solid line indicating predicted absolute bias, and 224 of the density of carbon adjacent to croplands (SI). Where

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225 cropland was underestimated and the surrounding cover type 255 at 1 km, dropping to <10 only with 25 km of aggregation. In 226 was more carbon dense than cropland, carbon density was 256 contrast, SA-LC's carbon estimates were twice as accurate at 227 overestimated, but when the cover type was less dense than 257 l km, and was slightly more accurate up to 25 km of aggrega-228 croplands (e.g. sparse vegetation), then carbon density was 258 tion were GeoWiki achieved parity. 229 underestimated. The inverse was true where cropland was 230 overestimated.

The magnitude of carbon errors varied as a function of the 232 carbon density of surrounding cover, as demonstrated by the 259 Evapotranspiration estimates. Accurate estimation of hydro-233 bias statistics (Fig. 3). Bias was near zero when grassland was 260 logical fluxes is critical to understanding how land-atmosphere 234 the adjacent cover type (SI), as its carbon density is nearly 261 interactions impact the climate system and runoff (36). Land 235 the same as cropland. However, when forest was adjacent 262 surface hydrological models, such as the Variable Infiltration 236 then bias was a three- to five-fold multiple of cropland map 263 Capacity (36), are used to simulate these processes, and de-237 bias (Fig. 1B). At the most extreme, GlobCover's bias was 264 pend on landcover maps to provide information on the charac-238-276% at 1 km, but even SA-LC and GeoWiki had biases of 265 teristics of vegetation and other materials covering the surface, 239 22% and -50%, respectively. Bias could be substantial even for 266 as these govern the rates of runoff, infiltration, and evapo-²⁴⁰ the least carbon dense vegetation type (sparse), as evidenced ²⁶⁷ transpiration. We used the VIC model to generate 25 km 241 by the 15-25% bias at 1 km for MODIS and GlobCover un- 268 estimates of monthly evapotranspiration throughout South 242 der this class. The mean bias across the different potential 269 Africa, and examined how these were impacted by error in the ²⁴³ adjacent vegetation classes ranged between -20 for GeoWiki ²⁷⁰ test maps, which were used to determine landcover-specific 244 and -123% for GlobCover at 1 km (with MODIS in between 271 values for leaf area index (LAI), plant rooting depth, aerody-245 these), while SA-LC's average bias was 11%. Biases declined 272 namic roughness, and several other variables that VIC uses to 246 fairly rapidly with aggregation, with all datasets having an av- 273 partition water vapor fluxes into their evaporative and tran- $_{247}\,\mathrm{erage}$ (across cover types) bias magnitude of less than 10% by $_{274}\,\mathrm{spirative}$ components. $_{248}$ 25 km of aggregation, except for GlobCover, which was $_{-12\%}$ $_{275}$ Compared to the carbon analysis, the bias and accuracy in ²⁴⁹ at 100 km (SI). As with cropland percentages, GeoWiki pro- ²⁷⁶ evapotranspiration (ET) calculated using the VIC model was $_{250}$ duced the least biased carbon density estimates above $_{above}$ $_{277}$ negligible, averaging less than than $_{+/-2}\%$. However, there 251 1 km resolution.

253 as bias magnitudes, except for GeoWiki's, which were twice 280 estimates in the center of the country caused when VIC was

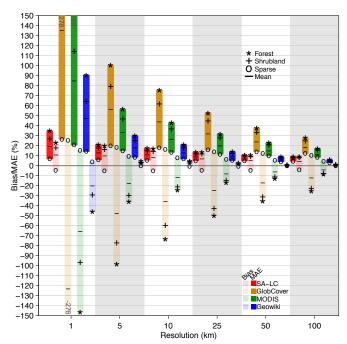


Fig. 3. Biases and accuracies (mean absolute errors) of carbon densities derived from cropland maps, calculated as percents relative to the reference map. Bias estimates (represented by symbols) fall within the semi-transparent floating bars, while accuracies are contained in the solid bars. Bar colors are coded to specific cropland map, symbols indicate which cover type was used to calculate cropland-adjacent carbon density. The bar represents the mean biases calculated across each of the 5 cover types. Shrubland and grassland bias values were near zero, while secondary forest values were close to forest values, and thus these are not shown for display clarity (but see Table S2). MODIS and GlobCover values at 1 km exceeding the plot's Y limits are provided near their truncated tops

278 were several error hotspots in the resulting ET residual maps In terms of accuracy, MAE values were essentially the same ²⁷⁹ (Fig. 4). The most pronounced of these were the 5-15% over- $_{254}\,\mathrm{as}$ large. The average MAE across vegetation classes was 47% $^{281}\,\mathrm{initialized}$ with MODIS and GlobCover, while overestimates 282 along the southern and western coasts reached 25%. These 283 locations correspond primarily to the margins of major crop 284 production regions-in the center is the westernmost boundary ²⁸⁵ of the summer rainfall growing region, marked approximately 286 by the 400 mm isohyet, where maize is the primary crop. The 287 west coast hotspot falls at the western edge of the wheat-288 dominated winter rainfall region (28), where growing season 289 rainfall is approximately 200 mm.

> SA-LC and GeoWiki also resulted in ET errors estimates 291 along the southern and western coasts, but here the tendency 292 was to underestimate ET, while biases in the center of the

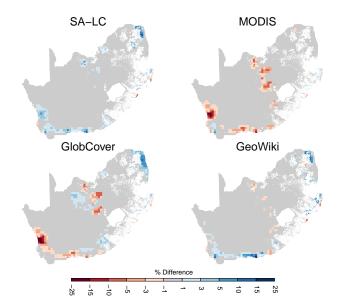


Fig. 4. Differences in annual mean evapotranspiration estimates from 29-year runs of the VIC land surface hydrology model when initialized with LAI response curves derived from the reference map, versus those from the four test maps.

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²⁹⁴ derestimated ET by 5-15% in the northern tip of the country. ³⁵⁸ (SI), thereby increasing absolute errors.

293 country were either negligible to absent. All but MODIS un- 357 shorten the distance between negative and positive residuals

295 Socio-economic analyses

298 standing a host of social, economic, and environmental issues, 364 functioning of such systems, it is important to calibrate an 300 expansion and intensification (5, 37). The most reliable source 366 and land users, so that the model realistic represents the so-302 which are provided for relatively coarse-scaled administrative 368 example, we used an ABM of household food security that 303 boundaries. To obtain higher spatial resolutions, efforts have 369 simulates the food production by individual farming house-306 constrained to match the agricultural statistics within the 372 including the area and physical characteristics of fields (40). 308 increasing use because they are considered to be more accu- 374 its designated share of cropland, and then produces a crop 310 on which to base studies of global change (12, 38)

 $_{314}$ assigned to cells have harvested areas greater than zero. We $_{380}$ single farmers. To match these computational characteristics, 316 further aggregated the yield and production grids to 5, 10, 25, 382 1,040-1,343 km², Fig. S8) in the eastern part of the country $_{317}$ 50, and 100 km resolutions before quantifying the bias and ac- $_{383}$ with similar climate and 28-45% of their areas devoted to crop- $_{318}$ curacy of each test map's yield and production values. In this $_{384}$ land. The initialization process iteratively assigns households 319 case, we could not convert cell-wise errors into percentages of 385 to the landscape using a function that factors in neighbor and 320 the reference map values (because many cells had zero values 386 cropland proximity, to ensure that households are grouped into 321 for one map but not the other), so calculated bias and accu- 387 communities and that their fields are within a realistic prox-322 racy from the map residuals and then normalized their values 388 imity. The number of households and the cropland area per 323 to the reference map means.

325 marked differences relative to the reference map, but only at 326 the margins of the major crop production areas where crop-327 land is sparser (SI). These differences resulted when a yield 328 value was mapped onto a grid cell where the reference map 329 had no harvested area, and thus zero yield. In more densely 330 cropped areas, such discrepancies were less frequent because 331 both the reference and test maps were both likely to have some 332 maize harvested area, and therefore a yield value. Yield bi-333 ases were thus fairly low (and accuracy high), with the largest 334 being 20% for MODIS at 1 km, following by GlobCover with 335 10% (Fig. 5). These dropped to below 10% with aggregation. Production biases were generally slightly higher, but still

337 low, for most datasets, with the exception of GlobCover, which $_{338}$ had an gigantic underestimation bias of >60% (relative to $_{339}$ mean production) at 1 km, which remained above 10% even 340 at 100 km of aggregation. MODIS production bias was above 341 20% at 1 km, but declined to below 10% at higher levels of 342 aggregation.

The accuracy of production estimates was another story. 344 Here all datasets but SA-LC had MAE values of 30% or higher 345 below 25 km of aggregation (Fig. 5), reaching as high at 100, 346 65, and 45% at 1 km for GlobCover, MODIS, and GeoWiki, 347 respectively. Only GeoWiki's MAE fell below 10% with 100 348 km of aggregation. SA-LC estimated production was most ac- $_{349}\,\mathrm{curate},\;\mathrm{having}\;\mathrm{between}\;10\text{--}20\%\;\mathrm{MAE}\;\mathrm{between}\;1\;\mathrm{and}\;10\;\mathrm{km},$ $_{350}\,\mathrm{and}$ $<\!10\%$ at 25 km and higher. This low accuracy relative to 351 the gridded yield measures relates to the disaggregation pro-352 cess for harvested area, which allocates a fractional value to 353 each pixel, which is itself a fraction. The process of adjust-354 ing the gridded values so that their total match the statistics 355 from which they are derived does not adjust map errors rela-356 tive to the reference map, and the constraint in fact appears to

359 Agent-based simulation of food security. Spatially-explicit 360 agent-based model (ABMs) are frequently employed to under-361 stand land use decision-making and socio-ecological systems, 296 Gridded crop yield and production data. The spatial variabil- 362 often to facilitate improved policy, particularly in the arena 297 ity of crop productivity and production is critical for under- 363 of human development (39). To obtain valid insight into the 299 such as food security, trade, and the potential for agricultural 365 ABM to empirical data describing the characteristics of land 301 of such data are national to sub-national agricultural statistics, 367 cial and biophysical features of the study region (39). In our 304 been made to disaggregate these statistics using gridded land- 370 holds (the agents) in response to weather and management 305 cover data (37, 38). These disaggregated datasets, which are 371 capacity, which varies as a function of household resources, 307 boundaries of the areas for which they are reported, have seen 373 The model is initialized such that each household is allocated 309 rate than single source methods, and provide consistent data 375 over the course of one to many seasons. Like many spatial 376 ABMs, the model is computationally intensive, and thus run We used these same methods (37, 38) to disaggregate maize 377 over smaller geographic domains (e.g. districts, rather than an $_{312}$ harvested area (South Africa's largest crop (15)) on top of our $_{378}$ entire country) and at higher spatial resolutions (10s to 100s 313 reference and cropland maps, followed by yields, which were 379 of meters) in order to represent the different land units of 315 then used these two layers to calculate maize production, and 381 we selected four contiguous magisterial districts (ranging from 389 household was derived from surveys of farming communities Maize yields disaggregated onto the test maps showed some 390 where all cropland is used. The model was thus considered to

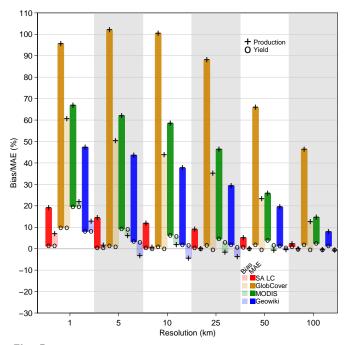


Fig. 5. Bias (mean error) and accuracy (mean absolute error [MAE]) in disaggregated maize yield and production estimates. Bias estimates (represented by symbols) fall within the semi-transparent bars, mean absolute errors in the solid bars, with bar colors coded to specific cropland maps. Symbols code the different variables (production and yield), normalized to their respective means.

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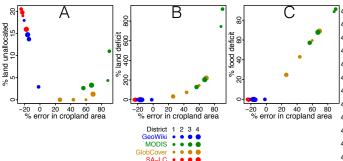
392 propriate area of cropland, and all cropland was allocated.

401 land overestimate by the test maps), the amount of land left 445 poor regions. 402 unallocated had a straight one-to-one relationship with the 403 percentage of overestimation (Fig. 6A). When cropland was 446 General guidelines for selecting and using landcover data. Our 404 underestimated, no land was left unallocated until the under- 447 results suggest several guidelines for selecting and using land-406 districts 1 and 2 was most pronounced for this tendency, where 449 derived from coarse resolution sensors, such as MODIS and $_{408}$ cropland with such a high cropland underestimate (85%) the $_{451}$ biases and inaccuracies that manifest at finer resolutions. Re-410 rious relationship occurred because croplands tend to cluster, 453 reasons, for instance, because they provide consistent, mul-412 in islands of cropland that fall outside of the search radius 455 be tracked across large scales over time (9). The appropriate 414 the landscape.

 $_{417}$ to the mismatch between the cropland map and the statistical $_{460}$ of aggregation is needed to reduce map bias to within +/-10%418 estimate of cropland holdings. Land deficit increased exponen- 461 (Fig. 1B, 3). If the user wants to maximize accuracy (accu-420 800% for MODIS in districts 1 and 2 (Fig. 6B)—and would be- 463 on a single map, or between dates at fixed locations), then $_{421}$ come infinite in the case of a 100% underestimate. This con- $_{464}$ even coarser aggregations are needed to reduce mean absolute 422 trasted with the third measure, food deficit, the shortfall in 465 error below 10% (Fig. 1, 3, 5). 423 the average amount of food that should have been produced by 466 The characteristics of cover at the landscape scale may also 424 each household but wasn't because of the land deficit; this par- 467 require greater levels of aggregation to achieve sufficient accu-425 ticular deficit increased linearly with the percentage of crop- 468 racy. We found that accuracy is lowest where mixing of cover 426 land underestimate (Fig. 6C).

427 Discussion

428 This spatially comprehensive, bottom-up assessment of land-429 cover map bias and accuracy provides new insight into their 430 extent, causes, and consequences for understanding global 431 change, made possible by a unique, high accuracy dataset 432 that likely provides the truest measure of cropland area and 433 distribution currently available for this region. This dataset 479 cover type dominates. In South Africa's farming regions, this 434 is not perfect, being affected by the map-makers' occasional



cropland allocation. Dot sizes correspond to district numbers, colors represent the 500 cultural statistics (17, 18). The procedure is similar to the landcover map

391 well calibrated when all households were allocated their ap- 435 interpretation errors (mostly of omission), while some of the 436 error we identified may be due to slight temporal mismatches We used the reference map and each cropland map to sep- 437 between the reference and test datasets. However, our as-394 arately initialize the model, and examined how map errors 438 sessment (SI) suggests that these errors are small, and do 395 impacted the land allocation process and household food pro- 439 not appreciably impact our findings, which is bolstered by 396 duction estimates. We examined three variables: the percent 440 previous work showing the substantial errors and inconsisten-397 of unallocated cropland, land deficit, and food deficit. The 441 cies between landcover maps (16, 33). These previous studies, 398 percent of unallocated cropland measures how effective the 442 which were conducted over broader extents than ours, also in-399 model was in matching household agents to available crop- 443 dicate that our findings should be generalizable beyond South 400 land resources. Where error was negative (indicating a crop- 444 Africa's borders, particularly to more rapidly developing, data

405 estimation exceeded 50%. The MODIS-based simulation for 448 cover data in global change research. First, landcover products 407 5-10% of cropland remaining unallocated despite the fact that 450 GlobCover, need substantial aggregation to reduce the large 409 majority of households were not allocated cropland. This cu- 452 searchers might need to use these datasets for a variety of 411 and when underestimated clusters tend to be small, resulting 454 tiple landcover classes at a global scale, or allow changes to $_{413}$ within which cropland is sought when agents are seeded onto $_{456}$ scale of aggregation then depends on which error property the 457 user wants to minimize. For instance, if the objective is to The second measure, land deficit, describes the amount of $_{458}$ minimize systematic errors in order to calculate an unbiased $_{416}$ land that the model should have assigned but couldn't due $_{459}$ mean (e.g. average carbon density), then at least 50-100 km 419 tially in relation to cropland underestimation–reaching around 462 racy is important for comparing differences between locations

> 469 types is greatest (Fig. 2), a result echoed in an Africa-wide 470 change detection study made with MODIS (33). Outside of 471 South Africa, where smallholder-driven agriculture predom-472 inates (41) such mixed landscapes are more common, thus 473 greater levels of aggregation may be needed over these areas. 474 Coarser aggregations are also necessary if the cover type of in-475 terest belongs primarily to a mixed class (as with GlobCover). 476 This leads to underestimation bias (Fig. 2) and high inaccu-477 racy, which will persist until the aggregated pixel exceeds the 478 characteristic size of the geographical area within which the $_{480}$ can exceed 1000 km².

Maps derived from higher resolution sensors, such as the 482 SA-LC dataset, do not have this mixture problem because ▶ 483 the pixels are fine enough to be assigned to a single cover 484 type. This characteristic leads to higher accuracy, which is what we observed here: the SA-LC dataset needed the least $_{486}$ aggregation–just 5-10 km–to achieve $<\!10\%$ MAE in most of 487 the example applications we tested (note, however, another 488 guideline in this result: even with high resolution maps some aggregation is needed to achieve higher accuracy)

Unfortunately, Landsat-scaled maps are typically developed 491 for specific countries, using varying methods, and can be hard 492 to obtain (an exception to this is the newly released GLC30, 493 which has a reported classification accuracy of 80% (42)). Our 494 results also suggest that, despite their higher accuracy, they Fig. 6. Biases in agent-based model results relative to the district-wise errors 495 are not necessarily the least biased maps. Except for a few (as a percent) in total cropland area, in terms of A) the percent of cropland in each 496 results (notably the 1 km carbon and maize production esdistrict that was not allocated to any household, B) the land deficit, or the percent of 497 timates), GeoWiki-based maps had the least amount of bias cropland that should have been allocated to households but wasn't, and C) the food 498 across most scales. GeoWiki's lower bias results from its prodeficit, or the average food production across households resulting from inadequate 499 cess of calibrating the cropland percentages to reported agri-





502 which led to fairly unbiased estimates of maize production 568 26, 49). Finding win-wins fundamentally requires accurate, 503 and yield (Fig. 5) above 1 km (except for GlobCover's pro- 569 fine-scale data in order to identify "win-win" solutions, which 504 duction estimates). This result, together with GeoWiki's unbi-570 are comprised of areas where there is some deviation from an 505 ased cropland estimates (Fig 1.B), indicates the value of fusing 571 expected relationship; for example, an area of unusually low 506 inventory data with remote sensing to reduce map bias.

508 data themselves must be faithful to reality, but in many places, 574 due to real biophysical properties, or simply landcover error. 517 mission errors within the landcover maps.

519 for high accuracy, users should select well-validated datasets 585 tions when the values of these variables in the adjacent land-520 from higher resolution sensors-this option should be increas-556 covers are similar to that of cropland, which is the case in much 521 ingly available as computational power grows and high reso-587 of VIC's landcover scheme for South Africa. In other regions, 522 lution image archives expand (42, 45); 2) where low bias is 588 where the matrix landcover's LAI values depart substantially 523 needed over large areas, the best option is to use newer gen-559 from cropland's (e.g. in forest), the ET errors would likely 524 eration, statistically constrained maps such as GeoWiki (and 590 be much larger. The carbon example illustrates how cover 525 possibly the GLC-Share ³ datasets for other cover types). But 591 properties can mute or amplify map error. 526 in either case some aggregation-5-25 km, depending on appli- 592 Despite the low values of the overall error metrics, the 527 cation and whether low bias or high accuracy is the objective—593 hotspots of ET errors (Fig. 4) are important, because they 528 will still likely be necessary to achieve sufficiently low error. 594 occurred at the climatic margins of the major crop growing re-529 Additionally, these higher accuracy, lower bias product are 595 gions, where a substantial share of farms use irrigation. Since 550 made infrequently, thus applications requiring high frequency 596 VIC does not simulate irrigation, the magnitude of these er-531 landcover data will depend on MODIS and confreres, as pre-597 rors is likely to have been underestimated, because irrigation

534 ing landcover data selection and usage guidelines, our findings 601 this result and that of Ge et al. (22).), and thereby give mis-535 also have broader implications for global change analyses and 602 leading insight into the climatic effects of land use. 536 associated policy decisions. The simpler, most direct uses of 603 Relative to the ET example, map errors had a much larger $_{537}$ landcover data have substantial to skew our understanding of $_{604}$ impact on the agent-based model's results. For the model's $_{538}$ global change processes. For example, the global carbon $\stackrel{-}{\text{car-}}$ $_{605}$ most important metric of food security-household-level crop 539 bon density map of (35), of which we created facsimiles here, 606 production—the result was fairly straightforward for a class of 540 has been widely used, but our results suggest that any analyses 607 models where the interactions of multiple agents often produce 541 of the spatial variability of carbon stocks, or of mean carbon 608 analytically intractable outcomes (51). In this case, the model 542 densities, can be highly misleading if the map is not first suf- 609 was only sensitive to cropland underestimates, which had the 543 ficiently aggregated. For example, a study of deforestation 610 perfectly predictable effect of lowering average household food 544 risks in the Democratic Republic of the Congo estimated for- 611 production, which would in turn overstate the degree of food 545 est rents as a function of carbon densities based on this map. 612 insecurity. Overestimates did not matter in this case, because $_{546}$ Although the map was aggregated to ~ 50 km, we found that $_{613}$ the number of households remained fixed, and their cropland 547 mean absolute errors can still reach 40% at this scale (Fig. 3). 614 holdings were constrained to match the survey statistics (but 548 The same carbon map provided country-specific mean forest 615 this would matter for models that allow these parameters to 549 carbon densities for an evaluation of climate mitigation poli- 616 vary). Less predictable was the finding that the spatial distri-550 cies (46), which our results suggest could be underestimated 617 bution of cover can impact model parameterization, with land 551 by 70% (Fig. 3). In contrast to these, an assessment of the 618 being left unallocated even when needed, thus such models 552 congruence between carbon and biodiversity (47) aggregated 619 also require spatial, not just statistical, accuracy in their base $_{553}$ the carbon data to ~ 110 km resolution, where accuracy is $_{620}$ landcover maps.

561 can have greater confidence, it is not inconceivable that the 628 from these models could be highly misleading. 562 maps themselves are used to inform policy. For this reason, 629 Beyond these examples, there are other applications where 563 it is advisable to degrade maps until their accuracy is of an 630 landcover map errors could alter understanding and policy. 564 acceptable standard (i.e. ≥ 100 km).

Aggregating until acceptable accuracy is achieved will be 566 particularly important in growing efforts to find tradeoffs be-

501 one we used in disaggregating maize harvested areas (37, 38), 567 tween agricultural development and ecological protection (e.g. 572 carbon density but high crop fertility (26). Without sufficient Of course, for the constraint to be effective, the inventory 573 aggregation, it is difficult to know whether such efficiencies are

509 particularly Africa, agricultural statistics are suspect (43, 44), 575 What then, of the impacts of landcover data on more com-510 which is a vulnerability of the fusion approach (12). Beyond 576 plex analysis? Here the results appear to be mixed. Map 511 this limitation, this method does not improve map accuracy, 577 error appears to have had little impact on the overall bias and 512 which is evident in GeoWiki's relatively high MAE for crop- 578 accuracy of VIC's evapotranspiration (ET) estimates, which 513 land percentage at 1 km (23%, Fig. 1B), and by all maps' (ex-579 contrasts with a related study that examined how landcover 514 cept SA-LC's) highly inaccurate maize production estimates 580 maps impact rainfall simulations (22). There are two reasons 515 (Fig. 5). The reason that accuracy is not improved is because 581 for this. First, map accuracy increased nearly two-fold by $_{516}$ the statistical constraint cannot correct the omission and com- $_{582}$ aggregating to 25 km, the resolution of VIC. Second, VIC's 583 landcover-derived variables (e.g. LAI curves, effective rooting These last observations suggest two further guidelines: 1) 584 depth) could dampen the effect of map errors on ET calcula-

532 viously mentioned, and therefore much coarser aggregations. 598 substantially increases latent heat flux (50). This also sug-599 gests the potential for map error to magnify in a coupled land- ${}_{533}\,\textbf{Implications}\,\,\textbf{for}\,\,\textbf{global}\,\,\textbf{change}\,\,\textbf{analyses}.\, \text{Besides}\,\,\textbf{the}\,\,\text{preced-}\,\,\textbf{600}\,\,\textbf{atmosphere}\,\,\textbf{model}\,(\textbf{which}\,\,\textbf{could}\,\,\textbf{explain}\,\,\textbf{the}\,\,\textbf{difference}\,\,\textbf{between}$

The errors in the cropland data we tested were large, and A related example can be seen in studies that create high 622 it is unlikely that current ABM-based studies, mostly of small 556 resolution maps (10 km) showing the potential food produc- 623 regions, are based on such inaccurate landcover data. How-557 tion benefit of closing yield gaps (e.g. Figure 3 in 48). Such 624 ever, as computing power increases, so, too, does the feasible 558 maps give an inflated sense of the precision with which such 625 application extent of ABMs, which then become more reliant 559 locations can be identified. Although the study in this ex- 626 on larger-scaled, more erroneous, landcover data, with the at-560 ample is careful to report aggregated statistics, in which we 627 tendant risk that insights into socio-ecological systems arising

 $^{^3 {\}sf GLC} ext{-Share.} \ {\sf www.glcn.org}$









631 One of these lies in assessments of land availability for agri- 695 the probability of cropland occurrence (14). We sub-divided each cells into 200 m 632 cultural expansion, which could be particularly erroneous if 696 sub-cells, and visually interpreted whether crop fields presence/absence within these 633 they use a "residual approach" (41), where existing cropland 697 against Google Earth imagery, and compared these results to the digitized field poly-634 and other occupied cover types are used to filter a map of po-698 gons to determine the reference map's sensitivity (0.89) and specificity (0.99), overall 635 tential suitability. Our results suggest that the pronounced 699 map accuracy (97%), and its true skill statistic (0.88; 53). We intersected the field 635 tential suitability. Our results suggest that the pronounced 700 vectors with a 1 km grid, and calculated the percent of each cell occupied by fields to 701 create the gridded cropland reference map. In the resulting grid, we masked out areas 637 availability estimates, and therefore increase the risk of unjust 702 classified as communal farmland, because only their outer perimeters were digitized $_{638}$ land allocation policies (52). Another example is the growing $_{703}$ (27), which posed a risk of overestimating cropland extent. We also excluded the 639 efforts to minimize the environmental and climate impacts on 704 permanent tree crop class, to avoid confusion with landcover maps that include these 640 new agricultural expansion frontiers, particularly Africa's Sa- 705 in their cropland classes. For the same reason, we used other high resolution land-641 vannas, which requires identifying areas that provide the high- 706 cover maps for selected provinces of South Africa to mask out commercially afforested $_{642}$ est agricultural benefit for the lowest environmental cost $(\bar{26})$. $_{707}$ areas and sugarcane plantations, which were also not included in the reference map. 643 In order to be effective, such analyses must be undertaken 708 The resulting masked reference grid covered 90% of South Africa (1,081,000 km²), at the fine scales—hectares, rather than square kilometers—at 709 of which 104,304 km² was cropland. We extracted the cropland classes from SA-LC, 645 which land use decisions are made, thus their value for in- 710 MODIS, and GlobCover, and converted these into percent cropland estimates at 1 646 forming land use policy is contingent on the accuracy of their 711 km resolution (GeoWiki is already a cropland percentage map). Both MODIS and 647 underlying landcover/land use data (26).

648 **The way forward.** Our analysis demonstrates that the land-649 cover data that increasingly inform much global change re-650 search and policy can be substantially misleading, depending 718 scaled unit for calculating characteristic cover density. We filtered out pixels with on the dataset selected, its application case, the scale to which $_{719}$ <0.05% (0.5 ha) cropland, to prevent the much larger areas of non-agricultural 652 it is aggregated, and whether the insight being drawn from the 720 districts from drowning out any relationship. We extracted the absolute values of 653 map depends on how accuracy or low bias. We provide some 721 test map errors and corresponding reference cropland percentages, calculated their 654 basic guidelines for selecting and using landcover data that 722 district-wide means, and then modeled the relationship between mean absolute error 655 can help increase confidence in the conclusions drawn from 723 (response) and cropland density (predictor) as a polynomial function within a gener-656 landcover-based studies.

beyond these guidelines, we recommend updating several roof the model by the number of agricultural pixels per district (?).

To develop the carbon maps, we followed the methods used by Ruesch and Gibbs for example, rebuilding the widely used crop-roof (are their vegetative carbon map. We took the average of the biome specific 660 land distribution and yield maps (37, 38) using the GeoWiki 729 carbon density values they provided for African forests, secondary forests, shrublands, 661 map could substantially improve our knowledge about cur- 730 grasslands, sparse habitats, and croplands. We multiplied cropland densities by crop-662 rent global agricultural distribution and productivity. Simi- 731 land fractions and added these to each of the five other densities multiplied by the 663 larly, reconstructing the carbon map of (35) using the GLC- 732 residual non-cropland fractions to create five different carbon density maps. We ag-664 Share datasets (in which GeoWiki provides the cropland layer) 733 gregated each carbon map up to the five coarser resolutions for scaling comparisons. 665 would improve our confidence in regional to global assess- 734 We used the Variable Infiltration Capacity (36) land surface hydrology model run 666 ments of vegetative carbon stocks. Alternatively, the new 735 with the Africa Flood and Drought Monitor's meteorological data (54) to produce for high-resolution, Landsat-based GLC30 (42), aggregated and resolution, Landsat-based GLC30 (42), aggregated and resolution. Landsat-based GLC30 (42), aggregated and resolution was adjusted VIC's native landscover scheme so that its cropland fractions 668 converted to fractional cover classes at 1 km—and fused with 738 matched those of the 25 km reference and test maps (each reprojected and resampled 669 high quality national landcover maps, where available (similar 739 to VIC's 0.250 resolution). We ran one instance of VIC for each of the five landcover $_{670}$ to $17,\ 18)$ -may provide a preferable base map for rebuilding $_{740}$ permutations, and compared the mean annual ET produced by the reference map 671 these datasets.

Fusing human judgement with expanding high resolution 742 To create the gridded maize yield and production maps, we followed (38) to first 673 satellite data archives, improved classification algorithms, and 743 calibrate the test cropland maps against cropland areas reported by administrative-674 expanding computing power appears to offer the greatest 744 level agricultural censuses. In place of census reports, we used the reference map 674 Expanishing Computing power appears to other the greens. The 745 to calculate total cropland areas for South Africa's nine provinces, then adjusted the 676 GeoWiki project and the new high resolution global forest 746 pixel-wise cropland percentages in the four test maps so that their province-wise sums 747 matched these totals (SI). We then followed Monfreda et al's procedure (37) to discrete the four test maps so that their province-wise sums 747 matched these totals (SI). We then followed Monfreda et al's procedure (37) to discrete the four test maps so that their province-wise sums 747 matched these totals (SI). We then followed Monfreda et al's procedure (37) to discrete the four test maps so that their province-wise sums 747 matched these totals (SI). 677 change map (45) are the most advanced examples of these 748 aggregate maize (South Africa's largest crop 55) planted area and yields onto the 678 newer methods. Another approach is that of the Mapping 749 reference and adjusted test maps. Per (37), the gridded planted area and yield esti-679 Africa project⁴, which, inspired by quality of the reference 750 mates were obtained from magisterial district-level agricultural censuses, in this case $680~\mathrm{map}$ used in this study, enlists internet-based workers to man- $751~\mathrm{for}$ the most recent census of 2007 (56). We then aggregated gridded harvested areas 681 ually digitize cropland boundaries (14). Merging this crowd- 752 and yields (weighted by the corresponding harvested area) to each coarser resolution, 682 sourced approach to vector map creation with newer computer 753 and multiplied yield by harvested area to calculate production. 683 vision-based mapping algorithms (25) is a near-term goal of 754 The agent-based model of food security used here calculates average householdthis project, as the former can interactively provide high qual-756 properties, weather), management inputs (planting decisions, labor availability), and test data (by using humans' superior capacity 757 farm assets (total field area) (40). The frequency distributions of household cropland 686 to delineate discrete cover types in noisy images (14)) for the 758 holdings were derived from household survey data obtained from Zambia (no equivalent properties). 687 latter, which has the advantage of speed and the ability to 759 lent data were available for South Africa). We used these statistics to calculate the 688 handle high-dimensional datasets. Approaches such as these 760 "true" number of households per district by dividing reference cropland areas by the 669 will be needed to provide the next generation of landcover 761 mean cropland area (2.2 ha), and preserved the cropland area distributions by multi-690 maps, so that we can gain a clearer, unbiased understanding 762 plying the total number of households by the frequencies. To create cropland surfaces 691 of global change.

692 Materials and Methods

693 Methods. To establish the accuracy of the cropland boundary reference map, we 694 randomly selected 609 cell from a 1 km² grid covering South Africa, weighted by

712 GlobCover had mixed pixel classes, thus we used the upper, mean, and lower cropland 713 estimates from these classes to produce three versions of the gridded percentages. 714 We used the mean map for the main analysis, but estimated error variability using all

724 alized additive model. We accounted for potential spatial autocorrelation by fitting a Beyond these guidelines, we recommend updating several 725 two-dimensional smoothing spline to each district's centroid coordinates, and weighted

741 variant with those from the test maps.

763 for the, we disaggregated all five cropland maps to binary cropland/non-cropland cover 764 types with 100 m resolution, in order to match the survey-recorded average field size of $765\ 1$ ha. In initializing, the model takes a weighted (by cropland area frequency) random



⁴http://mappingafrica.princeton.edu





767 its required number of "fields" (cropland pixels), which must be within 1.5 km of the $_{768}$ household's location and not already assigned to another household. This process is $_{850}^{849}\,24.$ 769 iterated until all households are assigned cropland, or all available cropland is allo-770 cated. The model then runs separately for each district, with the household agents 852 $^{25} \cdot$ 771 planting and managing a maize crop throughout the growing season, with possible $\frac{853}{854}$ 772 final maize yields drawn from a look-up table that relates yield to particular climatic $\frac{1}{855}$ $\frac{1}{26}$. 773 outcomes and management decisions. We ran the model for a single season, once per 856 774 district and when initialized by each of the cropland maps (20 simulations total).

In calculating map error statistics, and with the exception of the agent-based model $\frac{850}{859}$ 776 results, we use the reference cropland percentages to calculate the weighted mean map 860 28. Hardy M, Dziba L, Kilian W, Tolmay J (2011) Rainfed Farming Systems 777 error (bias) and mean absolute map error (accuracy). For the comparisons between 861 778 aggregated map values, we applied a further weight—the number of pixels contribut- $\frac{862}{110}$ 779 ing to each aggregated pixel, to prevent pixels close to national boundaries or where 780 non-target cover types were masked out from having outsize influence on the statistics. 865

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