



Landcover Data Limits Our Understanding of **Earth System Processes**

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Blah blah.

carbon | agent-based model | landscape

Abbreviations: GTI, GeoTerralmage; SSA, sub-Saharan Africa

he functioning of the Earth System¹ is fundamentally connected to the characteristics of landcover (1), the 3 physical constituents of the terrestrial surface. Human en-4 deavors are both strongly governed by and shape landcover, 5 whether it be felling ancient forests for timber production or 6 burning savannas to stimulate a green flush for livestock, while 7 landcover is a primary driver of climate and biogeochemical 8 processes (2). The vastness of our modification of the Earth's 9 surface (2) means that socioeconomic and physical processes 10 increasingly interact through landcover. To fully understand 11 these processes and the nature of global change, it is therefore 12 essential to know the nature and distribution of landcover.

This importance is understood by a growing number of so-14 cial, economic, and physical scientists, who increasingly use 15 landcover data to advance understanding in Earth System re-16 search areas ranging from food security (3–5) to carbon cycling 17 (6, 7), biodiversity loss (8, 9), and demographic shifts (10).

The validity of the knowledge resulting from such studies 19 depends on the veracity of the landcover data upon which 20 they are based, much as a building requires a solid founda-21 tion if it is to remain standing. Unfortunately, the evidence 22 so far suggests that Earth System science is being built on 23 a shaky foundation. The reason for this is that landcover 24 data can only practically be derived from satellite imagery, 25 but in many regions the cover types of interest are smaller 26 (e.g. smallholder's farms 11) than the sensor resolution, or $_{\rm 27}$ spectrally indistinct from neighboring covers, which translates 28 into substantial mapping errors (12–14). The result is that 29 landcover maps are generally inaccurate at finer scales and 30 disagree substantially with one another, particularly in those 31 parts of the world undergoing the most rapid land use changes ₃₂ (15–17). These errors mean that we are still unable to obtain 33 the granular, mechanistic understanding of global change pro-34 cesses that we need.

These problems with landcover products are known (12, 16– 36 19), and there are a variety of map improvement efforts un-37 derway, particularly for agriculture (14, 20). What remains an 38 open question is exactly how much the maps Earth System re-39 searchers typically use deviate from actual landcover, and how 40 this in turn impacts our understanding of Earth System pro-

41 cesses. Answering this question depends on having spatially 42 comprehensive ground truth data, which are unavailable for landcover | bias | remote sensing | agriculture | crop yield | harvested area 43 most parts of the world, particularly over Africa and other 44 developing regions (12). Our understanding of map accuracy 45 is therefore built primarily on bottom-up tests made with a 46 relatively small number of ground truth points (relative to the 47 total mapped area), or from top-down "sanity checks" made 48 in comparison to aggregated survey data. This allows us to 49 quantify between map discrepancies (e.g. 16, 21), or to un-50 derstand map fidelity to country-level statistics (e.g. 16), but 51 offers little direction for how to arrive at a true number.

> Being unable to fully quantify the errors in landcover maps 53 makes it difficult, if not impossible, to gauge their impact on 54 downstream analyses. There has been some work examining 55 how such error influences climate simulations (22), agricultural 56 land use patterns (23), carbon flux measurements (24), and 57 human population estimates (10), but these either use simu-58 lated landcover errors (22) or compare relevant differences in 59 estimates between different satellite-derived landcover maps 60 (10, 24). One exception is a Belgian study (23) that used 61 ground-collected farm parcel data to assess how landcover er-62 rors bias measurements of agricultural land use patterns, but 63 the study extent was fairly small and the validation data were 64 discontiguous.

> Fortunately, the recent, explosive growth in public and pri-66 vate initiatives to develop new Earth observing capabilities, 67 which range from small drones² to new high resolution satel-68 lite arrays³ and better mapping methods (15, 20, 25), are fi-69 nally providing the means to comprehensively interrogate the 70 accuracy and biases in the landcover products that have be-71 come commonplace in global change research—and which are 72 often used to make policy decisions (26).

Reserved for Publication Footnotes



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¹ give definition here

²e.g. 3DRobotics, DJIA

³Planet Labs, Skybox



73 In this study, we take advantage of these recent advances 139 analyses, we examined how results were influenced by map ag-74 to address the urgent need to more thoroughly and precisely 140 gregation, while for the more complex cases, our assessments 75 quantify landcover map errors and how they might impact 141 were confined to each numerical model's standard output res-76 our understanding of Earth System processes in the world's 142 olution. 77 most dynamic regions. We use a unique, high accuracy land-78 cover map of South African crop fields to conduct a spatially 79 comprehensive, bottom-up quantification of error in several 143 Map quality widely used landcover maps, and how these errors can propagate through "downstream" studies investigating into both last fields covered 104,304 km², or nearly 10%, of the total mapped 85 derstanding of their appropriate uses and limitations.

86 Overview of study area and analyses

 $_{87}$ In the late 2000s, the South African government commissioned $_{152}$ derestimates by the test map (Fig. 1A). 88 a cropland map that was made by manually interpreting and $_{153}$ 89 digitizing fields visible within high resolution satellite imagery 154 Cover maps, which showed large positive residuals in the cen-90 (29). The resulting vectorized field boundaries provide highly 155 ter of the country where cropland is most concentrated (blue 91 accurate data on field sizes and distribution for the period 156 areas in Fig. 1Å), and negative residuals (red areas) along 92 2009-2011. This dataset is particularly valuable because South 157 the eastern and northern margins. These patterns translated 93 Africa represents nearly 6% of sub-Saharan Africa's (SSA) 158 into substantial map bias (Fig. 1.B), with GlobCover and 94 area, which is a region that is poised to undergo rapid agricul- 159 MODIS mean error (weighted by the reference cropland den-95 tural expansion (26), yet is notably lacking trustworthy maps 160 sity) exceeding 45% and 25% respectively at 1 km resolution, 96 of existing agriculture land (16). Moreover, South Africa's 161 meaning that each map tends to underestimate cropland by 97 large agricultural sector represents the diversity of farming 162 that amount at that resolution. This bias declined with each 98 systems found throughout SSA, ranging from large commer- 163 level of map aggregation, being reduced to nearly 15% for 99 cial operations to smallholder farms (27, 28).

loo landcover products representative of the type commonly used 166 what higher in all cases. The GeoWiki map, in contrast, was 102 in Earth Systems research. The first was South Africa's own 167 the least biased overall, showing just a 7% bias at 1 km and 103 30 m resolution 2009 National Landcover map (SA-LC)(30), 168 near 0 for all other scales of aggregation, although its accu- $_{104}$ which is typical of the higher-resolution, Landsat-based maps $_{169}\,\mathrm{racy}$ (23% MAE) was only half as good as SA-LC's at 1 km 105 that are typically available only for individual countries (e.g. 170 (11% MAE), which despite its uniform overestimation bias 106 34). The second and third were respectively the 300 m Glob- 171 (Fig. 1A) was the most accurate map at aggregation scales 107 Cover 2009 (33) and 500 m resolution 2011 MODIS Land- 172 < 10km. Above this, GeoWiki became slightly more accurate, $_{108}$ cover products, which are widely used global-scale products $_{173}\,\mathrm{having} < 5\%$ MAE at 100 km resolution. The reason GeoWiki (e.g. 35, 36). The fourth dataset was the new 1 km GeoWiki ₁₇₄ had relatively poor accuracy at 1 km resolution was due to hybrid-fusion cropland map for Africa (18), which incorporates 175 the heterogeneity of residuals, which traded between positive 111 the first three datasets and represents the current state-of-the116 and negative residuals over short distances, thereby inflating
117 MAE at this scale. 113 five datasets into 1 km gridded maps of cropland density, ex-114 pressed as the pixel-wise percent cover.

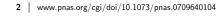
In our first set of comparisons, we evaluated map quality by 116 subtracting each of the four landcover product-derived per- 178 Error and landcover density. Given that landcover character-117 cent cropland maps (hereafter we refer to these as the "the 179 istics can influence landcover map quality (12, 14, 25), we set 118 test maps") from the reference map, so that we could calcu- 180 out to elucidate the relationship between cropland configu-119 late each map's bias (the mean pixel-wise error) and accuracy 181 ration and map error. We first calculated the average 1 km 120 (the mean absolute pixel-wise error, where a lower value in- 182 reference cropland percentage within each of South Africa's 121 dicates higher accuracy). We performed this analysis for the 183 354 magisterial districts (the finest administrative unit, aver-122 original 1 km resolution, and for maps that were further ag- 184 aging 3,445 km²; SI), which yielded a landscape-scaled metric 123 gregated to 5, 10, 25, 50, and 100 km, in order to evaluate 185 of characteristic cropland density, and similarly calculated the 124 how error and bias changes with scale. We also assessed how 186 district-wise MAE for each test map. 125 landcover pattern impacts map performance by modeling the 187 We then used a generalized additive model to evaluate the 126 correlation between map accuracy and cropland density.

128 ses typical of Earth System science research. The first two 190 est at intermediate levels of cropland density (50-60% cover) 129 related to physical processes, namely the calculation of vege- 191 for all but the GlobCover map (where accuracy continues to 130 tative carbon stocks and the simulation of evapotranspiration 192 decline with cropland cover), and is highest when the land-131 by a hydrological model. The second two were socio-economic 193 scape is dominated either by cropland or by another type (Fig. 132 in nature: the estimation of agriculture yield and production, 1942). In other words, accuracy is generally lowest when cropland 133 and an agent-based model assessment of household food se- 195 cover is mixed evenly with other cover types. GlobCover's ac-134 curity. The first and third analyses were relatively simple, in 196 curacy continued to decrease with cropland density because 135 that the variable(s) of interest were mapped onto landcover 197 the dominant agricultural cover class contributing to the test 136 using empirical relationships. The second and fourth relied on 198 map was defined as 50-70% crops mingled with other vegeta-137 more complex numerical methods, where landcover was one of 199 tion, thus the maximum percentage was constrained by this 138 several variables needed to run each model. For the simpler 200 mixture range.

the physical and socioeconomic components of the Earth Sys146 area in the 2009-2011 time period. The test maps derived from stem. Our objective is to provide scientists and policy-makers 147 SA-LC and GeoWiki overestimated this area by 31 and 10%, 84 who use landcover data with a better, more up-to-date, un- 148 respectively, while GlobCover and MODIS underestimated it 149 by 18 and 23%. Subtracting each test map from the refer-150 ence maps created pixel-wise residuals, where negative and 151 positive values respectively represent overestimates and un-

The most pronounced errors were in the MODIS and Glob- $_{164}\,\mathrm{GlobCover}$ and 5% for MODIS at 100 km. The magnitude We used this dataset as a reference layer for evaluating four 165 of (density weighted) mean absolute error (MAE) was some-

188 shape of the relationship between district MAE and cropland We then used these maps to conduct four further analy- 100 density. The model shows that map accuracy is typically low-











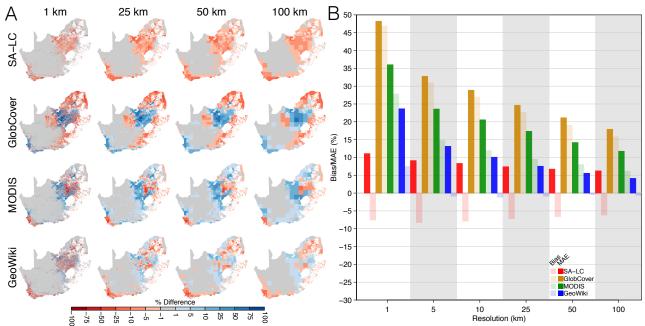


Fig. 2. (A) Errors in the percent cropland estimates resulting from each of the four test maps relative to the reference map at different scale of pixel aggregation. Rows indicate the test map being assessed (by subtraction from the reference map), while columns refer to resolution of aggregation. White indicates areas where areas under communal farmlands or permanent tree crops were removed from analysis. (B) The bias (mean error) and accuracy (mean absolute error [MAE]) of each test map at each scale of aggregation, weighted by the percentage cropland in each cell of the reference map. Bias estimates are indicated by the semi-transparent bars, accuracy (lower is more accurate) by the solid bars, with bar colors coded to specific cropland maps

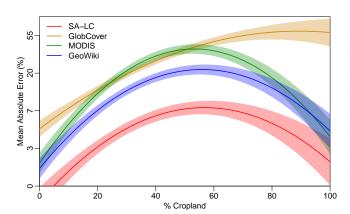


Fig. 1. The relationship between map accuracy (the mean absolute error) in test maps and the actual cropland cover within agricultural landscapes (reference map pixels having > 0.5% cropland), here defined by the boundaries of magisterial districts (n = 345), as fit with a generalized additive model. Prediction curves are color-coded the lighter shading the standard error of the coefficients

201 The impact of map error on physical analyses

210 Panel on Climate Change (IPCC), as well as input to other 211 land use and biogeochemical analyses (37).

We followed this method to create vegetative carbon maps 213 for South Africa. Since our maps represented cropland per-214 centage, we developed several variants by assigning the carbon 215 densities of different vegetation types (forest, secondary forest, 216 shrubland, grassland, and sparse vegetation (37)) to the non-217 cropland fraction of our maps. These hypothetical maps rep-218 resented the range in potential carbon densities, and allowed 219 us to investigate how carbon estimates can vary as a function 220 of i) test map errors and ii) the properties of neighboring cover 221 types. To assess carbon estimation error, we subtracted test 222 map-derived carbon maps from those based on the reference 223 map, and calculated bias and accuracy scores.

The spatial patterns of test map errors transmitted into 225 carbon estimation errors, but the sign varied as a function 226 of the density of carbon adjacent to croplands (SI). Where 227 cropland was underestimated and the surrounding cover type 228 was more carbon dense than cropland, carbon density was 229 overestimated, but when the cover type was less dense than to the different test maps, with the solid line indicating predicted absolute bias, and 230 croplands (e.g. sparse vegetation), then carbon density was 231 underestimated. The inverse was true where cropland was 232 overestimated.

The magnitude of carbon errors varied as a function of the 234 carbon density of surrounding cover, as demonstrated by the ${}_{202}\textbf{Estimating vegetative carbon stocks.} \ \text{To understand the car-} \ {}_{235} \ \text{bias statistics (Fig. 3)}. \ \text{Bias was near zero when grassland was}$ 203 bon cycle and climate forcing due to land use change, it is 236 the adjacent cover type (SI), as its carbon density is nearly 204 important to have accurate, high resolution maps of vegeta- 237 the same as cropland. However, when forest was adjacent 205 tive carbon stocks (26). One widely used vegetative carbon ²³⁸ then bias was a three- to five-fold multiple of cropland map 206 dataset is that of (37), who mapped estimated carbon density 239 bias (Fig. 1B). At the most extreme, GlobCover's bias was ²⁰⁷ values for different vegetation types to the classes of a global ²⁴⁰ -276% at 1 km, but even SA-LC and GeoWiki had biases of 208 landcover product. The resulting data were intended to pro- ²⁴¹ 22% and -50%, respectively. Bias could be substantial even for 209 vide a baseline for climate policy by the Intergovernmental 242 the least carbon dense vegetation type (sparse), as evidenced 243 by the 15-25% bias at 1 km for MODIS and GlobCover un-244 der this class. The mean bias across the different potential 245 adjacent vegetation classes ranged between -20 for GeoWiki

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246 and -123% for GlobCover at 1 km (with MODIS in between 274 vapor fluxes into their evaporative and transpirative compo-247 these), while SA-LC's average bias was 11%. Biases declined 275 nents. 248 fairly rapidly with aggregation, with all datasets having an av- 276 Compared to the carbon analysis, the bias and accuracy in ²⁴⁹ erage (across cover types) bias magnitude of less than 10% by ²⁷⁷ evapotranspiration (ET) calculated using the VIC model was 250 25 km of aggregation, except for GlobCover, which was -12\% 278 negligible, averaging less than than +/-2\%. However, there 251 at 100 km (SI). As with cropland percentages, GeoWiki pro- 279 were several error hotspots in the resulting ET residual maps 252 duced the least biased carbon density estimates above above 280 (Fig. 4). The most pronounced of these were the 5-15% over-253 1 km resolution.

255 as bias magnitudes, except for GeoWiki's, which were twice 283 along the southern and western coasts reached 25%. These 256 as large. The average MAE across vegetation classes was 47% 284 locations correspond primarily to the margins of major crop 257 at 1 km, dropping to <10 only with 25 km of aggregation. In 285 production regions—in the center is the westernmost boundary 258 contrast, SA-LC's carbon estimates were twice as accurate at 286 of the summer rainfall growing region, marked approximately 259 1 km, and was slightly more accurate up to 25 km of aggrega- 287 by the 400 mm isohyet, where maize is the primary crop. The 260 tion were GeoWiki achieved parity.

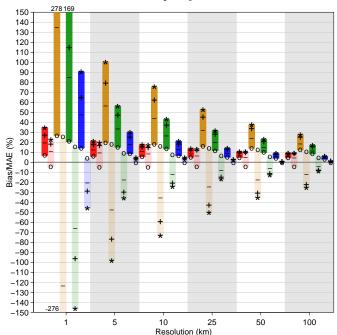


Fig. 3. Biases and accuracies (mean absolute errors) of carbon densities derived from cropland maps, calculated as percents relative to the reference map. Bias estimates (represented by symbols) fall within the semi-transparent floating bars, while accuracies are contained in the solid bars. Bar colors are coded to specific cropland map, symbols indicate which cover type was used to calculate cropland-adjacent carbon density. The bar represents the mean biases calculated across each of the 5 cover types. Shrubland and grassland bias values were near zero, while secondary forest values were close to forest values, and thus these are not shown for display clarity (but see Table S2). MODIS and GlobCover values at 1 km exceeding the plot's Y limits are provided near their truncated tops

261 Evapotranspiration estimates. Accurate estimation of hydro-262 logical fluxes is critical to understanding how land-atmosphere 263 interactions impact the climate system and runoff (41). Land 264 surface hydrological models, such as the Variable Infiltration 302 of such data are national to sub-national agricultural statistics, ²⁶⁵ Capacity (41), are used to simulate these processes, and de³⁰³ which are provided for relatively coarse-scaled administrative 266 pend on landcover maps to provide information on the charac- 304 boundaries. To obtain higher spatial resolutions, efforts have teristics of vegetation and other materials covering the surface, 305 been made to disaggregate these statistics using gridded land-268 as these govern the rates of runoff, infiltration, and evapo306 cover data (38, 39). These disaggregated datasets, which are 269 transpiration. We used the VIC model to generate 25 km 307 constrained to match the agricultural statistics within the 270 estimates of monthly evapotranspiration throughout South 308 boundaries of the areas for which they are reported, have seen 271 Africa, and examined how these were impacted by error in the 309 increasing use because they are considered to be more accutest maps, which were used to determine the landcover-specific 310 rate than single source methods, and provide consistent data

281 estimates in the center of the country caused when VIC was In terms of accuracy, MAE values were essentially the same 282 initialized with MODIS and GlobCover, while overestimates 288 west coast hotspot falls at the western edge of the wheat-289 dominated winter rainfall region (27), where growing season 290 rainfall is approximately 200 mm.

SA-LC and GeoWiki also resulted in ET errors estimates 292 along the southern and western coasts, but here the tendency 293 was to underestimate ET, while biases in the center of the 294 country were either negligible to absent. All but MODIS un-²⁹⁵ derestimated ET by 5-15% in the northern tip of the country.

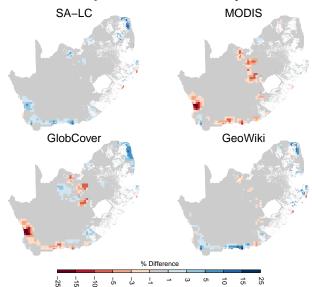


Fig. 4. Differences in annual mean evapotranspiration estimates from 29-year runs of the VIC land surface hydrology model when initialized with LAI response curves derived from the reference map, versus those from the four test maps

296 Socio-economic analyses

297 Gridded crop yield and production data. The spatial variabil-298 ity of crop productivity and production is critical for under-299 standing a host of social, economic, and environmental issues, 300 such as food security, trade, and the potential for agricultural 301 expansion and intensification (5, 38). The most reliable source $_{273}$ leaf area index (LAI) values that VIC uses to partition water $_{311}$ on which to base studies of global change (12, 39)

We used these same methods (38, 39) to disaggregate maize 313 harvested area (South Africa's largest crop (15)) on top of our

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314 reference and cropland maps, followed by yields, which were 351 and <10% at 25 km and higher. This low accuracy relative to 322 for one map but not the other), so calculated bias and accu- 359 (SI), thereby increasing absolute errors. 323 racy from the map residuals and then normalized their values 324 to the reference map means.

326 marked differences relative to the reference map, but only at 361 based model (ABMs) are frequently employed to understand 327 the margins of the major crop production areas where crop- 362 land use decision-making, often to facilitate improved pol-328 land is sparser (SI). These differences resulted when a yield 363 icy, particularly in the arena of human development (45). A 329 value was mapped onto a grid cell where the reference map 364 common feature of such ABMs is that they need to be cali-330 had no harvested area, and thus zero yield. In more densely 365 brated against data describing the characteristics of land users, 331 cropped areas, such discrepancies were less frequent because 366 including an initialization step to assign land resources to 332 both the reference and test maps were both likely to have some 367 "agents" representing the land users, wherein the simulated 333 maize harvested area, and therefore a yield value. Yield bi- 368 landscape pattern and distribution of agent resources matches 334 ases were thus fairly low (and accuracy high), with the largest 369 those in the real world. In our example, we used an ABM 335 being 20% for MODIS at 1 km, following by GlobCover with 370 of household food security that simulate the interactions be-336 10% (Fig. 5). These dropped to below 10% with aggregation. 371 tween many individual farming households (the agents) and 338 low, for most datasets, with the exception of GlobCover, which 373 land maps to provide the model the location and abundance of $_{339}$ had an gigantic underestimation bias of $_{>60\%}$ (relative to $_{374}$ cropland, which is used to allocate an initial share of cropland 340 mean production) at 1 km, which remained above 10% even 375 to each simulated household. Like many spatial ABMs, the 341 at 100 km of aggregation. MODIS production bias was above 376 model is computationally intensive, and thus run over smaller

 $_{345}$ Here all datasets but SA-LC had MAE values of 30% or higher $_{380}$ ers. To match these computational characteristics, we selected 346 below 25 km of aggregation (Fig. 5), reaching as high at 100, 381 four contiguous magisterial districts (ranging from 1,040-1,343 $_{347}$ 65, and 45% at 1 km for GlobCover, MODIS, and GeoWiki, $_{382}$ km², Fig. S8) in the eastern part of the country with $_{28-45\%}$ $_{348}$ respectively. Only GeoWiki's MAE fell below 10% with 100 $_{383}$ of their areas devoted to cropland. The initialization process 349 km of aggregation. SA-LC estimated production was most ac- 384 iteratively assigns households to the landscape using a func-

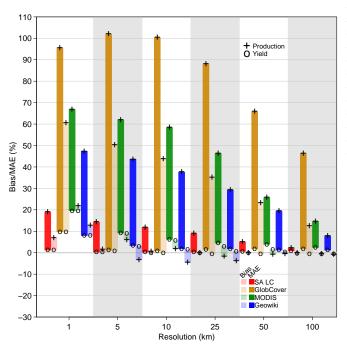


Fig. 5. Bias (mean error) and accuracy (mean absolute error [MAE]) in disaggre gated maize yield and production estimates. Bias estimates (represented by symbols) fall within the semi-transparent bars, mean absolute errors in the solid bars, with bar colors coded to specific cropland maps. Symbols code the different variables (production and yield), normalized to their respective means.

315 assigned to cells have harvested areas greater than zero. We 352 the gridded yield measures relates to the disaggregation pro-316 then used these two layers to calculate maize production, and 353 cess for harvested area, which allocates a fractional value to 317 further aggregated the yield and production grids to 5, 10, 25, 354 each pixel, which is itself a fraction. The process of adjust-318 50, and 100 km resolutions before quantifying the bias and ac- 355 ing the gridded values so that their total match the statistics 319 curacy of each test map's yield and production values. In this 356 from which they are derived does not adjust map errors rela-320 case, we could not convert cell-wise errors into percentages of 357 tive to the reference map, and the constraint in fact appears to 321 the reference map values (because many cells had zero values 358 shorten the distance between negative and positive residuals

Maize yields disaggregated onto the test maps showed some 360 Initializing an agent-based model. Spatially-explicit agent-Production biases were generally slightly higher, but still 372 their environment over multiple seasons (42). We used crop- $_{342}$ 20% at 1 km, but declined to below 10% at higher levels of $_{377}$ geographic domains (e.g. districts, rather than an entire coun-378 try) and at higher spatial resolutions (10s to 100s of meters) The accuracy of production estimates was another story. 379 in order to represent the different land units of single farm-350 curate, having between 10-20% MAE between 1 and 10 km, 385 tion that factors in neighbor and cropland proximity, to ensure 386 that households are grouped into communities and that their 387 fields are within a realistic proximity. The number of house-388 holds and the cropland area per household is derived from 389 survey data of communities where all cropland is owned. The 390 model is thus considered adequately initialized when all house-391 holds are allocated their appropriate area of cropland, and all 392 cropland is occupied.

We used the reference map and each cropland map to sepa-394 rately initialize the model, and compared the agent allocation 395 results to assess how cropland map errors impacted the initial-396 ization process. We examined three variable, the first being 397 the number of agents that were not assigned fields, the second

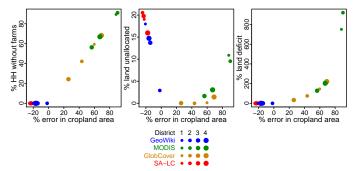


Fig. 6. Biases in agent-based model initialization relative to the district-wise errors (as a percent) in total cropland area, measured in terms of the percent of households having no cropland allocated (left), and the percent of cropland left unallocated (right). Dot sizes correspond to district numbers, colors represent the landcover map.

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398 the amount of cropland left unallocated, and the third the 462 the cover type of interest, which can be >1000 km² in some 399 area of land deficit, or the amount of land that should have 463 of South Africa's farming regions. 415 again provided the most pronounced results in districts 1 and 479 increase prediction confidence. 416 2, where 7-12% of cropland was left unallocated despite the 480 GeoWiki's statistical constraint procedure is similar to the 417 fact that 85% of agents had no land. This curious relation-481 one we used (following 39), which produced unbiased maize 418 ship occurred because cropland tends to cluster, and when it 482 production estimates (Fig. 3) by eliminating bias in the ad-419 is underestimated, the size of these clusters is small, resulting 493 justed cropland and harvested area maps that they were built 420 in islands of cropland that fall outside of the search radius 484 upon. This result, together with GeoWiki's low bias, indicate 421 (which is constrained by an absolute distance and the prox-485 the value of fusing inventory data with remote sensing. How-422 imity of other agents) within which cropland is sought when 466 ever, this method depends on the quality of inventory data, 423 agents are seeded onto the landscape.

425 relation to cropland underestimation—reaching around 800% 489 racy, as evidenced by GeoWiki's 23% mean absolute error in 426 for MODIS in districts 1 and 2-and would become infinite in 490 1 km cropland percentage estimates (Fig. S1), which is only 427 the case of a 100% underestimate.

428 Discussion

 $_{\rm 430}\,\rm cover$ map bias and inaccuracy and provides unique insight $_{\rm 497}\,\rm identified,$ so their use it . 431 into their extent, causes, and consequences for understanding 498 432 global change processes, made possible by a unique, high ac- 499 cover maps. $_{\rm 433}\,\rm curacy$ dataset that likely provides the truest measure of total $_{\rm 500}$ 434 cropland area and distribution that is currently available for 501 435 this region. This dataset is of course not perfect, being affected 502 436 by the map-makers' occasional interpretation errors (mostly $_{437}$ of omission), while some of the cropland map error we found $_{503}$ $^{\bullet}$ $_{438}$ may have been caused by the slight temporal mismatches be- $_{504}$ $^{\bullet}$ $_{439}$ tween the reference data and the original landcover datasets $_{505}$ $_{440}$ we used. However, our assessment (SI) suggests that these $_{506}$ $^{\bullet}$ $_{\rm 441}\,\rm errors$ are small, and do not appreciably impact our findings, 507 $_{442}$ which is bolstered by previous work showing the large scale $_{508}$ $^{\bullet}$ $_{443}\,\mathrm{of}$ disagreements between land cover map-based cropland area $_{509}$ \bullet 444 estimates and national inventory data (16).

Our results suggest several guidelines for using landcover $_{510}$ \bullet 446 data in global change research, and contain some important 511 447 implications for how understanding of global change processes 512 448 based on the data, and associated policy decisions, may be af- $_{513}$ \bullet 449 fected. In terms of developing a base landcover map, the first 514 • 450 rule of thumb is that standard landcover products derived from 515 • 451 coarse resolution sensors, such as MODIS and GlobCover, ap- 516 452 pear to be too biased to be useful without substantial aggre-453 gation. If we use the standard that bias within $\pm 10\%$ is 454 acceptable, then at least 25-100 km of aggregation is needed $_{455}$ to sufficiently cancel out the errors in the base landcover data 456 and subsequent first order estimates built on them (Fig. 3 520 457 & 4). The upper range of aggregation scale is necessary if 521 458 a mixed pixel class becomes dominant, as in the case with 522 459 GlobCover, because these lead to underestimation bias that 460 will persist until the pixel size becomes substantially greater 461 than the average area of landscapes that are dominated by

400 been assigned to households but wasn't. For the first variable, 464 Maps derived from higher resolution sensors, such as the 401 there was a one-to-one relationship between the percentages 465 SA-LC dataset, if carefully done, do not have this mixed class 402 by which cropland was underestimated and households that 466 problem, and are sufficiently unbiased for most applications 403 could not be assigned fields (Fig. 6, left panel). The most 467 with just 1-5 km of aggregation. However, such datasets are 404 extreme examples occurred when MODIS cropland initialized 468 typically developed for specific countries, using varying meth- $_{405}$ the ABM in districts 1 and 2, where $\sim 85\%$ of agents did not $_{469}$ ods, and can be hard to obtain. For broader scale analyses, the 406 receive cropland. All households were assigned fields when to- 470 best option is to use newer generation maps such as GeoWiki 407 tal cropland area was overestimated (GeoWiki, SA-LC), but 471 (and the GLC-Share ⁴ datasets for other cover types) which 408 in these cases the area of cropland allocated to no one (the sec- 472 is relatively unbiased at 1 km resolution. GeoWiki's lower 409 and variable) was proportional to the size of the overestimate 473 bias comes from its process of evaluating consensus between 410 (e.g. ~20% for SA-LC, Fig. 6 right panel). Interestingly, the 474 several landcover datasets (including the other three in this 411 overall relationship between the percent of cropland allocated 475 study), resulting in cropland probabilities that are converted 412 and percent cropland error was U-shaped, as the model also 476 to percentages by calibrating to statistical data (17, 18). This 413 failed to give land to households when cropland was under- 477 method mirrors the ensemble averaging used by other fields 414 estimated by more than 50% (Fig. 6, right panel). MODIS 478 (e.g. crop (46), climate (47), and ecological modeling (48)) to

487 which are often suspect, particularly in Africa (49, 50). The The last measure, land deficit, increased exponentially in 488 statistical constraint also does not greatly improve map accu-491 slightly more accurate than MODIS (31%) but worse than SA-492 LC (11%). GeoWiki is definitely most accurate among the 493 large scale landcover products, but its improvement is related 494 to the map consensus methods, which can correct for omission 495 or commission errors made by the classifier. Statistical con-429 This spatially comprehensive, bottom-up assessment of land- 496 straints only adjust map values at locations where cropland is

Map accuracy is perhaps more important than bias for land-

Broader regional implications - error higher elsewhere Main points:

What we found, significance of study

- First large area quantification of spatial biases
- How large those biases are, for one of the most widely spread (spreading landcovers)
- Insight into causes of bias, and thus some understanding of where biases are likely to be greater or smaller
- How much progress made in reducing it
- Class type and bias
- Bias decreases as function of scale
- General bias patterns, appropriate use of landcover products, which landcover products
- Appropriate scales of inference, by type of product -
- Aggregation improves results for landcover, generally,
- Sensor resolution, statistical resolution, and merging products have high value
 - But don't remove spatial bias absolute bias matters. Statistical constraints seems to just compress spatial biases to higher rates of turnover. Geo-wiki
 - But of course these types of data are then dependent on how accurate the statistical data are defining the constraint (cite emperor has no data)



 $^{^4\}mathrm{GLC} ext{-Share}.\ \mathrm{www.glcn.org}$

- 523 commission errors by increasing the number of cover 586 524 classes, or because such landscapes are less spectrally dis- 587 • 525 tinct (14)526
- 527 caveats: Only single country in South Africa. More com- 589 • mercial farming than many other countries, but results are 590 528 still instructive. Analysis of error as function of landscape 591 529 type suggests that areas where cropland is more mixed with 592 natural vegetation have higher errors. These sorts of land-531 scapes quite common in smallholder-dominated systems, 532 thus suggests that biases may be even higher elsewhere on 533 the continent.

Implications for understanding global change and policy: 535 536

Increasing awareness of need to have spatial assessments 537 538 in global change analyses. Do things such as identify areas 597 cropland cover types with 100 m resolution, which matches the typical field size (1 539 where yield gaps are high, or how much carbon or biodiversity 598 ha) for smallholder farmers in household survey data (collected in Zambia) used in 540 will be lost to changes in land use, in order to try prioritize 599 developing the agent-based model (42). The surveys provided the mean cropland area 541 development (8, 26), or to understand coupled human-social 600 per household (2.2 ha) and frequencies distribution of cropland area holdings across 542 dynamics, etc.

Our finding suggest: 544

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- Area-based estimates only safe at coarser scales of aggregation for most types of global change analyses, and primarily 546 with constrained products.
- 548 50-100 km scale of aggregation reduces bias sufficiently.
- Not so with unconstrained products
- Assessments of spatial variability unsafe, for all products, 610 550 552 scales of aggregation. 553
- 554 555 556 highly aggregated. [work on this] 557
- can lead to misinformed policies 558
 - E.g. Efforts to identify area where yield gaps are most $_{620}$ We extracted the cropland classes from the first three datasets and converted these misleading, leading to ineffective targeting of resources. 622 the "cropland maps") to compare to our reference map. Most informative simply to look at these areas at the political boundary resolution
 - misleading.
 - Looking at land availability for cropland or biofuels ex- $_{629}$ lation of bad policy
- Analyses of higher order interactions, biogeochemistry, hu- 634 process of an agent-based food security model (42). 572 man decision-making, also misleading (maybe pair this 573 with yield example). 574
 - zones likely to be underestimated, and such regions can 641 respectively, and GlobCover and MODIS underestimated by 18 and 23%. have substantial impacts on altering climate (51, 52)
 - Can skew understanding of more advanced attempts to understand the human factors that go into driving agri- $_{643}$ cultural productivity. Examples here

Way forward

Footline Author

- Mixed landscapes increase the chances of omission and 585 For now, use latest generation products fusion products or more detailed country-level products
 - Avoid change detection based on landcover products, e.g. MODIS.
 - But moving forward key will be developing new approaches to map landcover with much greater fidelity, e.g. scaling out approach that led to this dataset, combining with latest computer vision algorithms, etc.

593 Materials and Methods

594 Methods. Perhaps it is right SI Materials and Methods

Describe weighted mean bias reasons.

We disaggregated the cropland percentages in all maps to binary cropland/non-601 households (e.g. how many households have 1 ha, 2 ha, etc.). We used these statistics 602 to calculate the "true" number of households per district by dividing reference crop-603 land areas by the mean cropland area, and preserved the cropland area distributions 604 by multiplying the total number of households by the frequencies. We then initialized 605 the model, which takes a weighted (by cropland area frequency) random draw of 100606 households and places these within the district, assigning each household its required 607 number of "fields" (cropland pixels), which must be within 1.5 km of the household's 608 location and not already assigned to another household. This process is iterated until 609 all households are assigned cropland, or all available cropland is allocated

The South African government commissioned a whole-country cropland boundbar one - finer country-scale product. Here you look at ab- 611 ary map to enhance its annual collection of agricultural statistics (29). The map was solute bias. This is high in many products even at higher 612 made by trained workers who visually interpreted high resolution satellite imagery (<5 613 m SPOT imagery) and manually digitized field boundaries following a standardized 614 mapping protocol. The resulting vectorized field maps, provide a unique, high quality This suggests that disaggregation approaches or paint by 615 reference dataset describing South African crop field distributions and size classes for numbers approaches are nice maps, but can't give clear 616 the period 2009-2011, and are 97% accurate in distinguishing cropland from non $guidance\ about\ differences\ between\ grid\ cells,\ even\ when\ {}_{617}\,cropland\ at\ 200\ m\ resolution.\ We\ intersected\ the\ field\ vectors\ with\ a\ 1\ km\ grid,\ and\ cells$ 618 calculated the percent of each cell occupied by fields to create a gridded cropland

 $pronounced \ and/or \ concentrated \ are \ likely \ to \ be \ highly \ {}_{621 \ to} \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ for \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ 1 \ km \ resolution \ percent \ cropland \ estimates, \ resulting \ in \ 4 \ maps \ (hereafter \ simply \ 1 \ km \ resolution \ percent \ per$

The first of these was the widely used International Panel on Climate Change's 624 Tier-1 approach for mapping vegetative carbon stocks, as developed by (37). The Comparing carbon stocks against potential yield for 625 second was maize yield maps derived by disaggregating district-scale agricultural centradeoff analysis, which may be done with conservation 626 sus data for both maize yield and harvested area (following 38, 39), from which we planning to find areas with high benefit/low-cost. Also 627 calculated the third map, gridded maize production estimates. Maps based on these 628 analyses underpin many assessments of crop productivity and production (e.g. 5, 40).

For the first of these, we used the Variable Infiltration Capacity (41) land surface pansion (look at biofuel paper for example)-land might 630 hydrology model to calculate monthly evapotranspiration, using the reference and not be as available as people think. Can lead to formu- 631 cropland maps to adjust landcover-specific leaf area index (LAI) values that VIC uses 632 to partition water vapor fluxes into their evaporative and transpirative components. 633 In the second example, we examined how these map errors impact the land allocation

The reference dataset covered all of South Africa's field crop estate, from which Our example here, ET estimates not heavily biased, but 637 have a common basis of comparison across all landcover products, leaving us with in marginal areas of low rainfall some pronounced differ- 638 map, after conversion to cropland percentage, that covered or 90% of South Africa ences. These are areas where irrigation is more common, 639 (1,081,000 km²), of which 104,304 km² 2011 reference map showed a cropland area but VIC doesn't simulate this, so absolute bias in those 640 of 104,304 km², which the SA-LC and GeoWiki maps overestimated by 31 and 10%,

from agricultural pixels (>0.05% cropland)

The difference between total carbon stocks for the country made using any of the 644 cropland maps were within $\pm -3\%$ of those based on the reference map, regardless of 645 which cover type was adjacent to cropland (Table S1), as the large uncropped area of ${\ensuremath{\mathsf{646}}}$ South Africa (Fig. 1) dilutes the errors within the

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The disaggregated yield and harvested area maps of (38) are built upon cropland 723 648 fraction maps where the total area is adjusted to match survey-derived cropland area 724 to that statistics reported for administrative districts (provinces, in South Africa's case 39). $\frac{725}{726}$ 22. 650 To be consistent with this methodology, we first adjusted our cropland maps according 727 651 to this procedure, using the reference map to calculate total cropland area for each 728 652 of South Africa's nine provinces, then updating the pixel-wise cropland percentages in $\frac{729}{730}$ $_{653}$ the four cropland maps so that the province-wise sums matched the reference areas $_{731}^{130}$ 654 (39, and see SI). Despite this statistical constraint, the updated cropland maps still 732 24. 655 had substantial errors that were similar in pattern (Fig. S5) to those in the unadjusted 733 boss had substantial errors that were similar in pattern (Fig. 35) to those in the unadjusted 734 25. 657 the yield and production of maize, South Africa's largest crop (43). To create these 736 658 maps, we followed (38) by disaggregating district-level (n = 304, Inean area = 7, 1059 km²) agricultural census data (44) for maize (South Africa's largest crop by area, 738 (5):481–486.
660 (43)) yield and harvested area, aggregated each set of maps, and multiplied the two 740 27. Hardy M, Dziba L, Kilian W, Tolmay J (2011) Rainfed Farming Systems in South Africa in Rainfed Farming Systems, eds. Tow P, Cooper I, Particles are duction at each scale.

741 in South Africa in Rainfed Farming Systems, eds. Tow P, Cooper I, Particles are duction at each scale.

662 Digital RCD Analysis.

663 Appendix: App 1

664 Appendix

665 This is an example of an appendix without a title.

666 ACKNOWLEDGMENTS. I thank everyone tearfully.

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