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Artificial Intelligence
Machine Learning for Computer Vision
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Super Resolution
Survey Any-Scale Deep Network (ASDN [1])

Contents

| | | |
|----------|---------------------------------------------------------------------------------------------------------------------|----------|
| 1 | Introduction | 1 |
| 2 | Background | 2 |
| 2.1 | Deep Bi-Dense Network (DBDN[2]) | 2 |
| 2.1.1 | Architectures | 3 |
| 2.1.2 | Results | 4 |
| 2.2 | Residual Channel Attention Network (RCAN[3]) | 6 |
| 2.2.1 | Architecture | 6 |
| 2.2.2 | Results | 7 |
| 2.3 | Channel-wise and Spatial Feature Modulation Network (CSFM[4]) | 12 |
| 2.4 | Deep Laplacian Pyramid Network (LapSRN[5]) and Multi-scale Deep Laplacian Pyramid Network (MS-LapSRN [6]) | 13 |
| 2.4.1 | Features | 13 |
| 2.4.2 | Architecture | 14 |
| 2.4.3 | Loss | 15 |
| 2.4.4 | Depth | 15 |
| 2.4.5 | Results | 15 |
| 2.5 | Magnification-Arbitrary Network (MetaSR [7]) | 19 |
| 2.5.1 | Background | 19 |
| 2.5.2 | Architecture | 19 |
| 2.5.3 | Results | 21 |

1 Introduction

In computer vision the **super resolution** (hereinafter SR) task is an ill-posed problem for reconstructing a low resolution (hereinafter LR) image to an higher one (hereinafter SR - super resolution image); in order to do so an high resolution image is used (hereinafter HR).

Many method proposed trying to define the images in the features space and apply linear and non-linear operators in order to learn and reconstruct the high resolution image: using random forest, linear and non-linear regressor, manifold embedding[8].

Due to advancement of deep learning, SR task started to be achieved using deep neural networks: from the simplest one, SRCNN[9] with only three convolution for extracting and processing features used then for reconstructing the SR image to more complex ones that use short,long and dense skip connections [10][2] and recursive blocks [11][12], attention mechanism [3][4], meta-learning [7], multi-levels [5][6].

Studies done in deep learning has lead research to understand that deeper and wider networks performs better than shallow and narrow ones as well as using residual learning; skip connections (short,long and dense) are used for overcoming the exploding/vanishing gradient problem and at the same time they

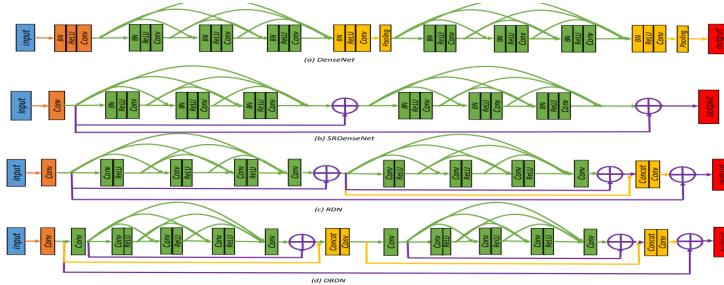


Fig. 2. Simplified structure of (a) DenseNet [26]. The green lines and layers denote the connections and layers in the dense block, and the yellow layers denote the transition and pooling layer. (b) SRDenseNet [15]. The green layers are the same dense block structures as those in DenseNet. The purple lines and element-wise \oplus refer to the skip connection. (c) RDN [13]. The yellow lines denote concatenating the blocks output for reconstruction and the green layers are residual dense blocks. (d) DBDN. The yellow lines and layers denote the inter-block dense connection and the green layers are the intra dense blocks. The output goes into upsampling/reconstruction layers. The orange layers after input are the feature extraction layers in all models.

Figure 1: Comparison of different network that are using dense connection.

allow to let networks converge faster; dense connection allow also to reuse features from previous stages and attention mechanism allow networks to focus on most important features (channel-wise and spatial-wise).

SR task achieved with deep learning trying to learn a mapping between LR to HR images given a specific scale. The studies done up to now always used fixed integer scales ($2x, 3x, 4x, \dots$) but none had explored decimal scales but Meta-SR[7] (using meta-learning).

Therefore **Any-Scale Deep Network** (ASDN) tried to explore decimal scale in SR task learning the mapping in an end-to-end way.

2 Background

Here will be explored the most important networks referenced by ASDN [1].

2.1 Deep Bi-Dense Network (DBDN[2])

Skip connections allow to highlight one of the most important hyperparameter in deep network: the depth.

But in SR task is important also to use the features learned at the beginning of the network: therefore dense connection were adopted, moreover new architecture arose such as *SRDenseNet* and *RDN*.

All the previous mentioned architectures have a problem: the feature reusing is not really achieved as we can see from the Figure 1 (the concatenation in the last row use features computed before the sequence of convolutions).

Therefore the aim of Deep Bi-Dense Network (DBDN) is to be able to reuse the feature in the network thanks to dense connections used along the network and within each block.

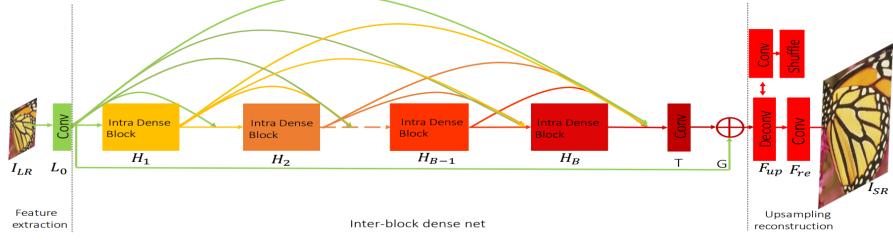


Fig. 3. Network structure

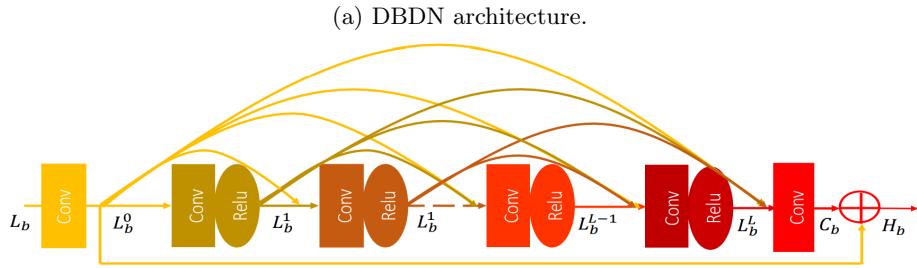


Fig. 4. Intra dense blocks

(b) Intra dense block.

2.1.1 Architectures

We can see from Figure 2a how features are reused: the features extracted by the single feature extraction convolution are processed by a sequence of **Intra dense block**.

The *Intra dense block* contains at the beginning and at the end two convolutions which compress the input and the output channels (to n_r) and in the middle L convolutions (with n_g filters) and ReLUs, connected densely, process the compressed input. The compressions are necessary due to the concatenation at the beginning of each intra dense block (Figure 2a) and at the end of each intra dense block (Figure 2b):

- $n_r * \text{number of IDBs before}$.
- $n_r + L * n_g$ at the end of each IDB.

The local residual learning allow the flowing of the gradients allowing a faster convergence.

Moreover a global skip connections is used in order to use low-frequency information from the LR image and allow the gradient to flow from the end to the beginning of the network.

The SR image is reconstructed using either deconvolution or sub-pixel convolution[13]

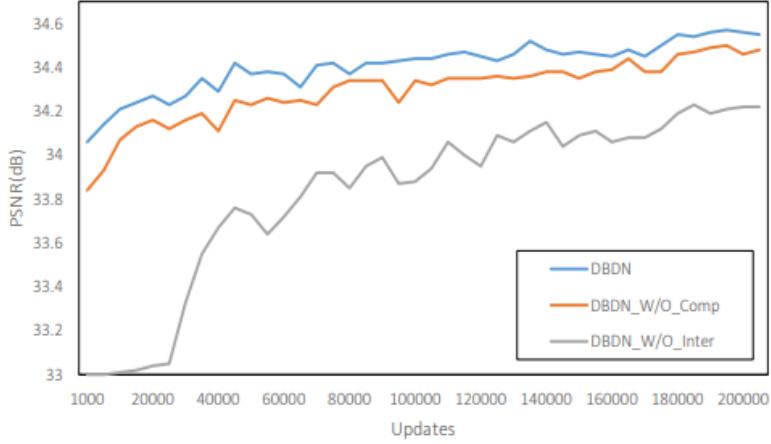


Fig. 8. Discussion about transition layer, skip connection and inter-block dense connectivity in DBDN. The results are evaluated with Set5 for $3\times$ enlargement in 200 epochs

Figure 3: Ablation studies on DBDN.

2.1.2 Results

Ablation studies on compression layer and inter-block dense connection From the Figure 3 we can see that *Intra dense-block connection* and *compression layers* are important for achieving good results.

Quantitative and qualitative results Thanks to the feature reusing the model perform better than state-of-the-art network maintaining the number of parameters low.

| Datasets | Scale | Bicubic | VDSR [7] | LapSRN [16] | DRRN [6] | SRDenseNet [15] | EDSR [14] | RDN [13] | DBPN [32] | DBDN(ours) | DBDN+(ours) |
|----------|-------|--------------|--------------|--------------|--------------|-----------------|--------------|--------------|--------------|---------------------|---------------------|
| Set5 | 2× | 33.66/0.9929 | 37.53/0.9587 | 37.52/0.9591 | 37.74/0.9591 | -/- | 38.11/0.9601 | 38.24/0.9614 | 38.09/0.9600 | 38.30/0.9617 | 38.35/0.9618 |
| | 3× | 30.39/0.8682 | 33.66/0.9124 | 33.82/0.9227 | 34.03/0.9244 | -/- | 34.65/0.9282 | 34.71/0.9296 | -/- | 34.76/0.9299 | 34.83/0.9303 |
| | 4× | 28.42/0.8104 | 31.35/0.8838 | 31.54/0.8855 | 31.68/0.8888 | 32.02/0.8934 | 32.46/0.8968 | 32.47/0.8990 | 32.47/0.8980 | 32.54/0.8991 | 32.70/0.9006 |
| Set14 | 2× | 30.24/0.8688 | 33.03/0.9124 | 33.08/0.9130 | 33.23/0.9136 | -/- | 33.92/0.9195 | 34.01/0.9212 | 33.85/0.9190 | 34.20/0.9224 | 34.34/0.9239 |
| | 3× | 27.55/0.7742 | 29.28/0.8209 | 29.77/0.8314 | 29.96/0.8349 | -/- | 30.52/0.8462 | 30.57/0.8468 | -/- | 30.63/0.8478 | 30.75/0.8495 |
| | 4× | 26.00/0.7027 | 28.01/0.7674 | 28.19/0.7720 | 28.21/0.7721 | 28.50/0.7782 | 28.80/0.7876 | 28.81/0.7871 | 28.82/0.7860 | 28.89/0.7890 | 29.00/0.7908 |
| BSD100 | 2× | 29.56/0.8431 | 31.90/0.8960 | 31.80/0.8950 | 32.05/0.8973 | -/- | 32.32/0.9013 | 32.34/0.9017 | 32.27/0.9000 | 32.39/0.9022 | 32.45/0.9028 |
| | 3× | 27.21/0.7385 | 28.82/0.7976 | 28.82/0.7973 | 28.95/0.8004 | -/- | 29.25/0.8093 | 29.26/0.8093 | -/- | 29.31/0.8104 | 29.37/0.8112 |
| | 4× | 25.96/0.6675 | 27.29/0.7251 | 27.32/0.7280 | 27.38/0.7284 | 27.53/0.7337 | 27.71/0.7420 | 27.72/0.7418 | 27.72/0.7400 | 27.76/0.7426 | 27.84/0.7446 |
| Urban100 | 2× | 26.88/0.8403 | 30.76/0.8946 | 30.41/0.9101 | 31.23/0.9188 | -/- | 32.93/0.9351 | 32.84/0.9347 | 32.51/0.9321 | 32.98/0.9364 | 33.36/0.9389 |
| | 3× | 24.46/0.7349 | 26.24/0.7986 | 27.14/0.8272 | 27.53/0.8378 | -/- | 28.80/0.8653 | 28.79/0.8655 | -/- | 28.96/0.8682 | 29.17/0.8715 |
| | 4× | 23.14/0.6577 | 25.18/0.7524 | 25.21/0.7553 | 25.44/0.7638 | 26.05/0.7819 | 26.64/0.8033 | 26.61/0.8028 | 26.38/0.7945 | 26.70/0.8050 | 27.00/0.8117 |
| Manga109 | 2× | 30.80/0.9339 | 37.16/0.9739 | 37.27/0.9740 | 37.60/0.9736 | -/- | 38.96/0.9769 | 39.18/0.9780 | 38.89/0.9775 | 39.46/0.9788 | 39.65/0.9793 |
| | 3× | 26.95/0.8556 | 31.48/0.9317 | 32.19/0.9334 | 32.42/0.9359 | -/- | 34.17/0.9473 | 34.13/0.9484 | -/- | 34.46/0.9498 | 34.80/0.9512 |
| | 4× | 24.89/0.7866 | 27.82/0.8856 | 29.09/0.8893 | 29.18/0.8914 | 27.83/0.8782 | 31.11/0.9148 | 31.00/0.9151 | 30.91/0.9137 | 31.23/0.9169 | 31.68/0.9198 |

Figure 4: Quantitative results on public benchmark. Red indicates best performance, blue indicates second best performance.

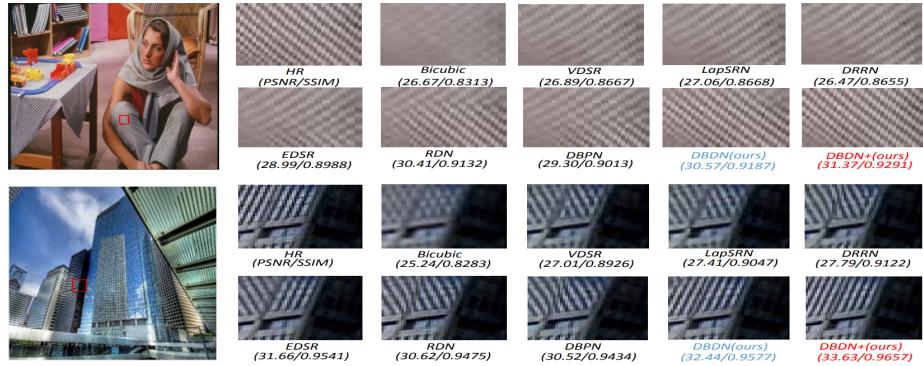


Figure 5: Qualitative results of DBDN.

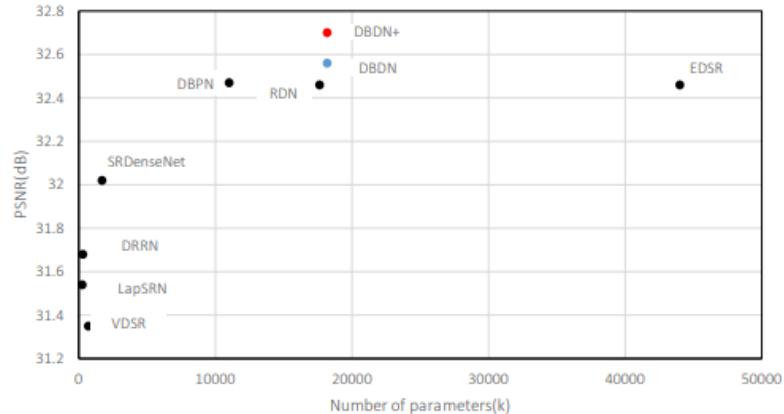


Fig. 7. Performance vs number of parameters. The results are evaluated with Set5 for 4 \times enlargement. Red indicates the best performance and blue indicates the second best.

Figure 6: Performance-Parameters study.

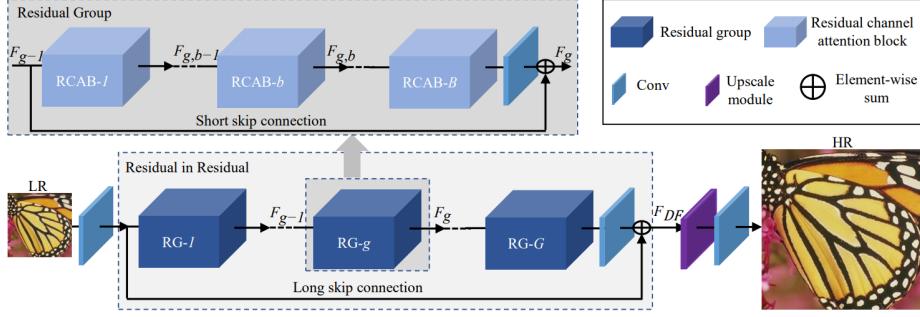


Fig. 2. Network architecture of our residual channel attention network (RCAN)

Figure 7: RCAN architecture.

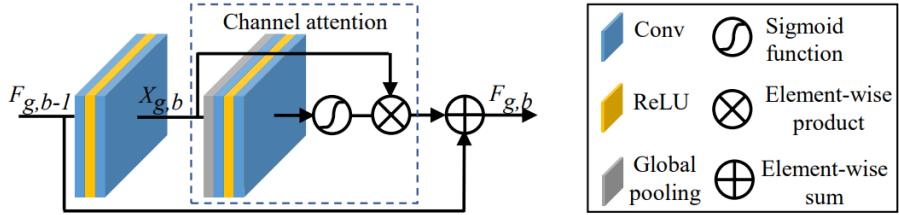


Fig. 4. Residual channel attention block (RCAB)

Figure 8: Residual channel attention block.

2.2 Residual Channel Attention Network (RCAN[3])

In SR task in order to reconstruct an SR image *high-frequency information* are extremely important, therefore **attention** mechanism are deployed in order to do so.

As usual the depth is also important hence the use of residuals which allow to have a deeper network avoiding exploding/vanishing gradient.

2.2.1 Architecture

Figure 7 highlights:

- **shallow extractor** for extracting low level features
- deep features extractor using **Residual in Residual (RIR)**: the main module is the residual groups (**RG**) created using a sequence of **RCAB**[Figure 8] where short skip connection are present which with the long skip connection allow to ease the training and reduce the amount of low-frequency information in the network because directly extracted from the LR image. There are **G** residual groups composed by **B** *residual channel attention*

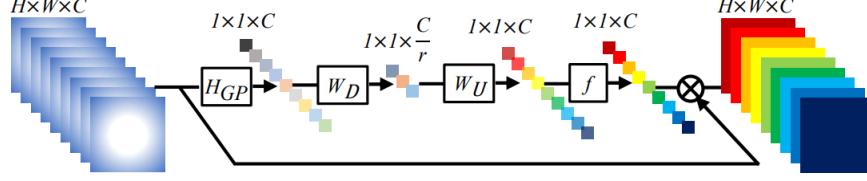


Fig. 3. Channel attention (CA). \otimes denotes element-wise product

Figure 9: Channel attention used in RCAB.

blocks.

- **upsampling module** using *transposed convolution, nearest neighbor and convolution, subpixel convolution*.
- **reconstruction** using a single convolution.

Channel attention The **Channel attention** has to capture high-frequency information inside the features extracted by convolutions; in order to do so a global statistics of the features is computed using global average pooling channel-wise which are further processed in order to create a mask using a sigmoid activation which allow to create a non mutual-exclusive mask and non-linear information which allow to increase the expressing power of the network.

2.2.2 Results

Ablation studies on LSC, SSC and CA From Figure 10 it's possible to see that short-skip connections (SSC) and long-skip connections (LSC) are extremely important but in order to improve the final results channel attention is necessary.

Table 1. Investigations of RIR (including LSC and SSC) and CA. We observe the best PSNR (dB) values on Set5 ($2\times$) in 5×10^4 iterations

| | | | | | | | | | |
|----------------------------|-----|-------|-------|-------|-------|-------|-------|-------|-------|
| Residual in Residual (RIR) | LSC | ✗ | ✓ | ✗ | ✓ | ✗ | ✓ | ✗ | ✓ |
| | SSC | ✗ | ✗ | ✓ | ✓ | ✗ | ✗ | ✓ | ✓ |
| Channel attention (CA) | | ✗ | ✗ | ✗ | ✗ | ✓ | ✓ | ✓ | ✓ |
| PSNR on Set5 ($2\times$) | | 37.45 | 37.77 | 37.81 | 37.87 | 37.52 | 37.85 | 37.86 | 37.90 |

Figure 10: Ablation studies done on RCAN.

Quantitative and qualittive results

Using Bicubic as degradation model Thanks to the depth and channel attention RCAN is able to reconstruct the original HR image without artifact or blurring.

Table 2. Quantitative results with BI degradation model. Best and second best results are highlighted and underlined

| Method | Scale | Set5 | | Set14 | | B100 | | Urban100 | | Manga109 | |
|--------------|------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| | | PSNR | SSIM |
| Bicubic | $\times 2$ | 33.66 | 0.9299 | 30.24 | 0.8688 | 29.56 | 0.8431 | 26.88 | 0.8403 | 30.80 | 0.9339 |
| SRCNN [1] | $\times 2$ | <u>36.66</u> | 0.9542 | 32.45 | <u>0.9067</u> | 31.36 | 0.8879 | 29.50 | 0.8946 | 35.60 | 0.9663 |
| FSRCNN [2] | $\times 2$ | 37.05 | 0.9560 | 32.66 | 0.9090 | 31.53 | 0.8920 | 29.88 | 0.9020 | 36.67 | 0.9710 |
| VDSR [4] | $\times 2$ | 37.53 | 0.9590 | 33.05 | 0.9130 | 31.90 | 0.8960 | 30.77 | 0.9140 | 37.22 | 0.9750 |
| LapSRN [6] | $\times 2$ | 37.52 | 0.9591 | 33.08 | 0.9130 | 31.08 | 0.8950 | 30.41 | 0.9101 | 37.27 | 0.9740 |
| MemNet [9] | $\times 2$ | 37.78 | 0.9597 | 33.28 | 0.9142 | 32.08 | 0.8978 | 31.31 | 0.9195 | 37.72 | 0.9740 |
| EDSR [10] | $\times 2$ | 38.11 | 0.9602 | 33.92 | 0.9195 | 32.32 | 0.9013 | 32.93 | 0.9351 | 39.10 | 0.9773 |
| SRMDNF [11] | $\times 2$ | 37.79 | 0.9601 | 33.32 | 0.9159 | 32.05 | 0.8985 | 31.33 | 0.9204 | 38.07 | 0.9761 |
| D-DBPN [16] | $\times 2$ | 38.09 | 0.9600 | 33.85 | 0.9190 | 32.27 | 0.9000 | 32.55 | 0.9324 | 38.89 | 0.9775 |
| RDN [17] | $\times 2$ | 38.24 | 0.9614 | 34.01 | 0.9212 | 32.34 | 0.9017 | 32.89 | 0.9353 | 39.18 | 0.9780 |
| RCAN (ours) | $\times 2$ | <u>38.27</u> | <u>0.9614</u> | <u>34.12</u> | <u>0.9216</u> | <u>32.41</u> | <u>0.9027</u> | <u>33.34</u> | <u>0.9384</u> | <u>39.44</u> | <u>0.9786</u> |
| RCAN+ (ours) | $\times 2$ | 38.33 | 0.9617 | 34.23 | 0.9225 | 32.46 | 0.9031 | 33.54 | 0.9399 | 39.61 | 0.9788 |
| Bicubic | $\times 3$ | 30.39 | 0.8682 | 27.55 | 0.7742 | 27.21 | 0.7385 | 24.46 | 0.7349 | 26.95 | 0.8556 |
| SRCNN [1] | $\times 3$ | 32.75 | 0.9090 | 29.30 | 0.8215 | 28.41 | 0.7863 | 26.24 | 0.7989 | 30.48 | 0.9117 |
| FSRCNN [2] | $\times 3$ | 33.18 | 0.9140 | 29.37 | 0.8240 | 28.53 | 0.7910 | 26.43 | 0.8080 | 31.10 | 0.9210 |
| VDSR [4] | $\times 3$ | 33.67 | 0.9210 | 29.78 | 0.8320 | 28.83 | 0.7990 | 27.14 | 0.8290 | 32.01 | 0.9340 |
| LapSRN [6] | $\times 3$ | 33.82 | 0.9227 | 29.87 | 0.8320 | 28.82 | 0.7980 | 27.07 | 0.8280 | 32.21 | 0.9350 |
| MemNet [9] | $\times 3$ | 34.09 | 0.9248 | 30.00 | 0.8350 | 28.96 | 0.8001 | 27.56 | 0.8376 | 32.51 | 0.9369 |
| EDSR [10] | $\times 3$ | 34.65 | 0.9280 | 30.52 | 0.8462 | 29.25 | 0.8093 | 28.80 | 0.8653 | 34.17 | 0.9476 |
| SRMDNF [11] | $\times 3$ | 34.12 | 0.9254 | 30.04 | 0.8382 | 28.97 | 0.8025 | 27.57 | 0.8398 | 33.00 | 0.9403 |
| RDN [17] | $\times 3$ | 34.71 | 0.9296 | 30.57 | 0.8468 | 29.26 | 0.8093 | 28.80 | 0.8653 | 34.13 | 0.9484 |
| RCAN (ours) | $\times 3$ | <u>34.74</u> | <u>0.9299</u> | <u>30.65</u> | <u>0.8482</u> | <u>29.32</u> | <u>0.8111</u> | <u>29.09</u> | <u>0.8702</u> | <u>34.44</u> | <u>0.9499</u> |
| RCAN+ (ours) | $\times 3$ | 34.85 | 0.9305 | 30.76 | 0.8494 | 29.39 | 0.8122 | 29.31 | 0.8736 | 34.76 | 0.9513 |
| Bicubic | $\times 4$ | 28.42 | 0.8104 | 26.00 | 0.7027 | 25.96 | 0.6675 | 23.14 | 0.6577 | 24.89 | 0.7866 |
| SRCNN [1] | $\times 4$ | 30.48 | 0.8628 | 27.50 | 0.7513 | 26.90 | 0.7101 | 24.52 | 0.7221 | 27.58 | 0.8555 |
| FSRCNN [2] | $\times 4$ | 30.72 | 0.8660 | 27.61 | 0.7550 | 26.98 | 0.7150 | 24.62 | 0.7280 | 27.90 | 0.8610 |
| VDSR [4] | $\times 4$ | 31.35 | 0.8830 | 28.02 | 0.7680 | 27.29 | 0.7026 | 25.18 | 0.7540 | 28.83 | 0.8870 |
| LapSRN [6] | $\times 4$ | 31.54 | 0.8850 | 28.19 | 0.7720 | 27.32 | 0.7270 | 25.21 | 0.7560 | 29.09 | 0.8900 |
| MemNet [9] | $\times 4$ | 31.74 | 0.8893 | 28.26 | 0.7723 | 27.40 | 0.7281 | 25.50 | 0.7630 | 29.42 | 0.8942 |
| EDSR [10] | $\times 4$ | 32.46 | 0.8968 | 28.80 | 0.7876 | 27.71 | 0.7420 | 26.64 | 0.8033 | 31.02 | 0.9148 |
| SRMDNF [11] | $\times 4$ | 31.96 | 0.8925 | 28.35 | 0.7787 | 27.49 | 0.7337 | 25.68 | 0.7731 | 30.09 | 0.9024 |
| D-DBPN [16] | $\times 4$ | 32.47 | 0.8980 | 28.82 | 0.7860 | 27.72 | 0.7400 | 26.38 | 0.7946 | 30.91 | 0.9137 |
| RDN [17] | $\times 4$ | 32.47 | 0.8990 | 28.81 | 0.7871 | 27.72 | 0.7419 | 26.61 | 0.8028 | 31.00 | 0.9151 |
| RCAN (ours) | $\times 4$ | <u>32.63</u> | <u>0.9002</u> | <u>28.87</u> | <u>0.7889</u> | <u>27.77</u> | <u>0.7436</u> | <u>26.82</u> | <u>0.8087</u> | <u>31.22</u> | <u>0.9173</u> |
| RCAN+ (ours) | $\times 4$ | 32.73 | 0.9013 | 28.98 | 0.7910 | 27.85 | 0.7455 | 27.10 | 0.8142 | 31.65 | 0.9208 |
| Bicubic | $\times 8$ | 24.40 | 0.6580 | 23.10 | 0.5660 | 23.67 | 0.5480 | 20.74 | 0.5160 | 21.47 | 0.6500 |
| SRCNN [1] | $\times 8$ | 25.33 | 0.6900 | 23.76 | 0.5910 | 24.13 | 0.5660 | 21.29 | 0.5440 | 22.46 | 0.6950 |
| FSRCNN [2] | $\times 8$ | 20.13 | 0.5520 | 19.75 | 0.4820 | 24.21 | 0.5680 | 21.32 | 0.5380 | 22.39 | 0.6730 |
| SCN [3] | $\times 8$ | 25.59 | 0.7071 | 24.02 | 0.6028 | 24.30 | 0.5698 | 21.52 | 0.5571 | 22.68 | 0.6963 |
| VDSR [4] | $\times 8$ | 25.93 | 0.7240 | 24.26 | 0.6140 | 24.49 | 0.5830 | 21.70 | 0.5710 | 23.16 | 0.7250 |
| LapSRN [6] | $\times 8$ | 26.15 | 0.7380 | 24.35 | 0.6200 | 24.54 | 0.5860 | 21.81 | 0.5810 | 23.39 | 0.7350 |
| MemNet [9] | $\times 8$ | 26.16 | 0.7414 | 24.38 | 0.6199 | 24.58 | 0.5842 | 21.89 | 0.5825 | 23.56 | 0.7387 |
| MSLapSRN [7] | $\times 8$ | 26.34 | 0.7558 | 24.57 | 0.6273 | 24.65 | 0.5895 | 22.06 | 0.5963 | 23.90 | 0.7564 |
| EDSR [10] | $\times 8$ | 26.96 | 0.7762 | 24.91 | 0.6420 | 24.81 | 0.5985 | 22.51 | 0.6221 | 24.69 | 0.7841 |
| D-DBPN [16] | $\times 8$ | 27.21 | 0.7840 | 25.13 | 0.6480 | 24.88 | 0.6010 | 22.73 | 0.6312 | 25.14 | 0.7987 |
| RCAN (ours) | $\times 8$ | <u>27.31</u> | <u>0.7878</u> | <u>25.23</u> | <u>0.6511</u> | <u>24.98</u> | <u>0.6058</u> | <u>23.00</u> | <u>0.6452</u> | <u>25.24</u> | <u>0.8029</u> |
| RCAN+ (ours) | $\times 8$ | 27.47 | 0.7913 | 25.40 | 0.6553 | 25.05 | 0.6077 | 23.22 | 0.6524 | 25.58 | 0.8092 |

Figure 11: Quantitative results of RCAN.

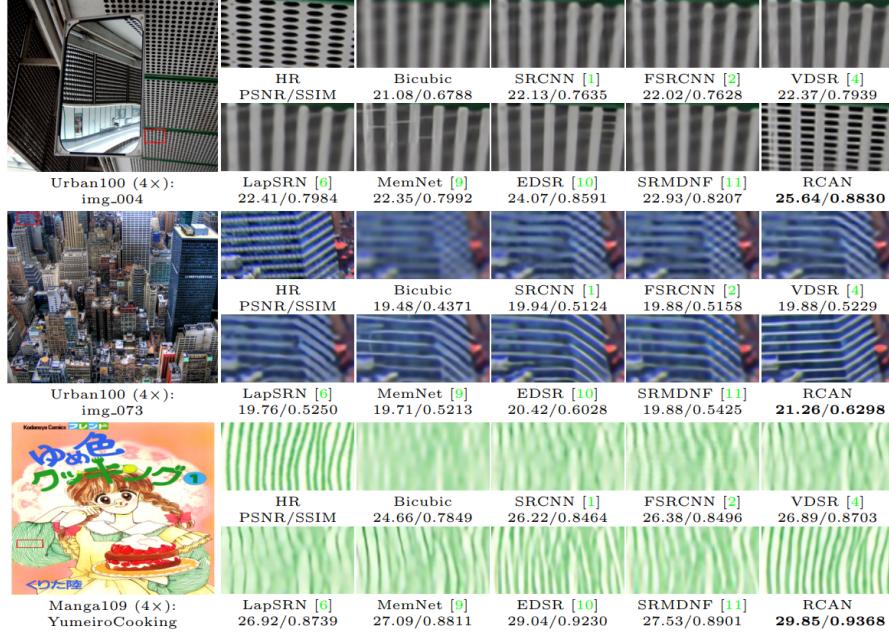


Fig. 5. Visual comparison for 4x SR with BI model on Urban100 and Manga109 datasets. The best results are **highlighted**

Figure 12: Qualitative results of RCAN.

Using Blur-Down degradation model RCAN performs better than any state-of-the-art.

Table 3. Quantitative results with BD degradation model. Best and second best results are **highlighted** and underlined

| Method | Scale | Set5 | | Set14 | | B100 | | Urban100 | | Manga109 | |
|--------------|-------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| | | PSNR | SSIM |
| Bicubic | ×3 | 28.78 | 0.8308 | 26.38 | 0.7271 | 26.33 | 0.6918 | 23.52 | 0.6862 | 25.46 | 0.8149 |
| SPMSR [44] | ×3 | 32.21 | 0.9001 | 28.89 | 0.8105 | 28.13 | 0.7740 | 25.84 | 0.7856 | 29.64 | 0.9003 |
| SRCNN [1] | ×3 | 32.05 | 0.8944 | 28.80 | 0.8074 | 28.13 | 0.7736 | 25.70 | 0.7770 | 29.47 | 0.8924 |
| FSRCNN [2] | ×3 | 26.23 | 0.8124 | 24.44 | 0.7106 | 24.86 | 0.6832 | 22.04 | 0.6745 | 23.04 | 0.7927 |
| VDSR [4] | ×3 | 33.25 | 0.9150 | 29.46 | 0.8244 | 28.57 | 0.7893 | 26.61 | 0.8136 | 31.06 | 0.9234 |
| IRCNN [15] | ×3 | 33.38 | 0.9182 | 29.63 | 0.8281 | 28.65 | 0.7922 | 26.77 | 0.8154 | 31.15 | 0.9245 |
| SRMDNF [11] | ×3 | 34.01 | 0.9242 | 30.11 | 0.8364 | 28.98 | 0.8009 | 27.50 | 0.8370 | 32.97 | 0.9391 |
| RDN [17] | ×3 | 34.58 | 0.9280 | 30.53 | 0.8447 | 29.23 | 0.8079 | 28.46 | 0.8582 | 33.97 | 0.9465 |
| RCAN (ours) | ×3 | 34.70 | 0.9288 | 30.63 | 0.8462 | 29.32 | 0.8093 | 28.81 | 0.8647 | 34.38 | 0.9483 |
| RCAN+ (ours) | ×3 | 34.83 | 0.9296 | 30.76 | 0.8479 | 29.39 | 0.8106 | 29.04 | 0.8682 | 34.76 | 0.9502 |

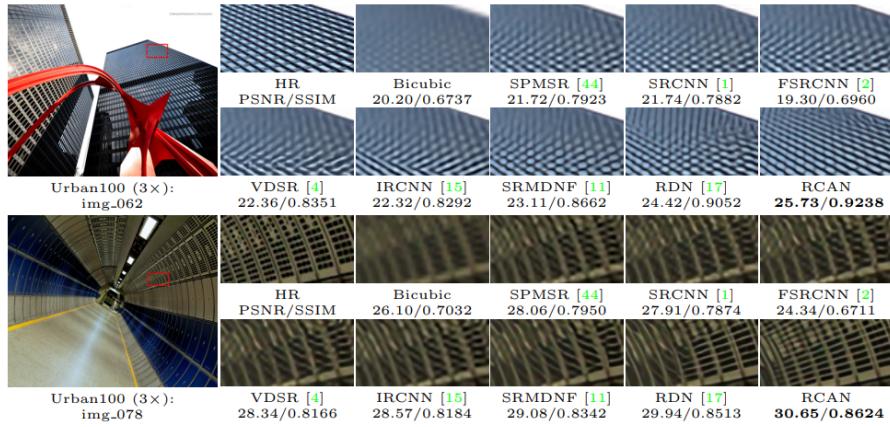


Fig. 7. Visual comparison for 3× SR with BD model on Urban100 dataset. The best results are **highlighted**

Figure 13: Quantitative and qualitative results of RCAN using blur as degradation.

With object recognition task Using RCAN for upscaling an 56x56 image to 256x256 achieves the lowest top-1 and top-5 errors in object detection.

| Table 4. ResNet object recognition performance. The best results are highlighted | | | | | | | |
|-----------------------------------------------------------------------------------------|---------|-----------|------------|------------|------------|--------------|----------|
| Evaluation | Bicubic | DRCN [19] | FSRCNN [2] | PSyCo [45] | ENet-E [8] | RCAN | Baseline |
| Top-1 error | 0.506 | 0.477 | 0.437 | 0.454 | 0.449 | 0.393 | 0.260 |
| Top-5 error | 0.266 | 0.242 | 0.196 | 0.224 | 0.214 | 0.167 | 0.072 |

Figure 14: RCAN used at the beginning of a pipeline (upsamples an image to 224x244) for object recognition

Performance versus Parameters RCAN achieve the best performance with not so many parameters.

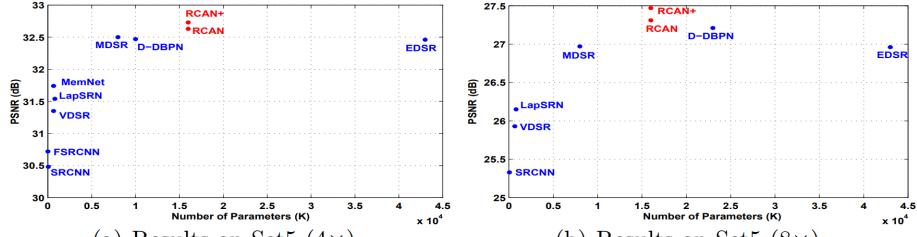


Fig. 8. Performance and number of parameters. Results are evaluated on Set5

Figure 15: Comparison of parameters and performance of state-of-the-art SR network.

2.3 Channel-wise and Spatial Feature Modulation Network (CSFM[4])

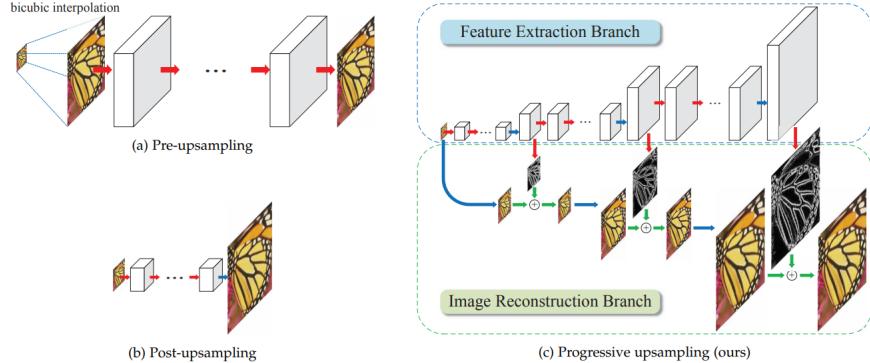


Fig. 1. **Comparisons of upsampling strategies in CNN-based SR algorithms.** Red arrows indicate **convolutional layers**, blue arrows indicate **transposed convolutions** (upsampling), and green arrows denote **elementwise addition** operators. (a) Pre-upsampling based approaches (e.g., SRCNN [9], VDSR [11], DRCN [12], DRRN [13]) typically use the bicubic interpolation to upscale LR input images to the target spatial resolution before applying deep networks for prediction and reconstruction. (b) Post-upsampling based methods directly extract features from LR input images and use sub-pixel convolution [14] or transposed convolution [15] for upsampling. (c) Progressive upsampling approach using the proposed Laplacian pyramid network reconstructs HR images in a coarse-to-fine manner.

Figure 16: LapSRN architecture.

2.4 Deep Laplacian Pyramid Network (LapSRN[5]) and Multi-scale Deep Laplacian Pyramid Network (MS-LapSRN [6])

2.4.1 Features

LapSRN in order to be able to reconstruct the SR image uses:

- **Charbonnier loss** because the L2 loss is not able to capture the underlying mapping of LR images to many HR images.
- **progressive reconstruction** of the SR image using the Laplacian Pyramid Framework [14].
- **residual learning**: learn the summation between the laplacian extracted by *features extraction branch* and the upscaled LR image in the *image reconstruction branch*

MS-LapSRN improve LapSRN introducing:

- **parameter sharing** across pyramid level and within pyramid levels in order to reduce the amount of parameters which increase with the scale (the greater the scale the deeper the network since there are more levels).
- **local skip connections** for avoiding the vanishing/exploding gradient problem with the increase in the depth of the network.
- **multi scale training**: the previous network was trained for each scale different networks.

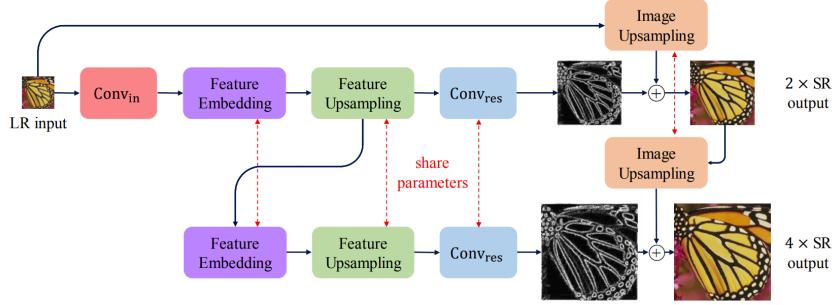


Fig. 3. **Detailed network architecture of the proposed LapSRN.** At each pyramid level, our model consists of a feature embedding sub-network for extracting non-linear features, transposed convolutional layers for upsampling feature maps and images, and a convolutional layer for predicting the sub-band residuals. As the network structure at each level is highly similar, we share the weights of those components across pyramid levels to reduce the number of network parameters.

Figure 17: MS-LapSRN architecture.

2.4.2 Architecture

In *LapSRN* [Figure 16] the **feature extraction branch** extract laplacian representations which are used for training (in an end-to-end fashion) the **image reconstruction branch** in order to reconstruct the SR image at the last level (due to the laplacian pyramid framework training on an higher scales lead to have also a network capable to resolve SR task for lower scale).

The residual learning allow the network to focus on high-frequency information (edges) instead of low-frequency ones.

In the *MS-LapSRN* [Figure 17] the feature extractor (*Conv_{in}*, Feature Embedding, Feature Upsampling, *Conv_{res}*) has always the same function: extract meaningful information from an input image in order to create a residual whose spatial dimension in 2x then the input one; therefore is logic to use same weights for doing so.

The *Feature embedding* has **R** recursive block [11] [12] which contains distinct **D** convolutional layers.

The structure of the recursive block [Figure 18b] use a skip connection directly connected to the input and a pre-activation inside the residual path [15].

Recursive block (or single convolution) allow to reduce the number of parameters and increase the depth of the network as well as the receptive field of the network in the last activation without increasing the memory footprint of the network.

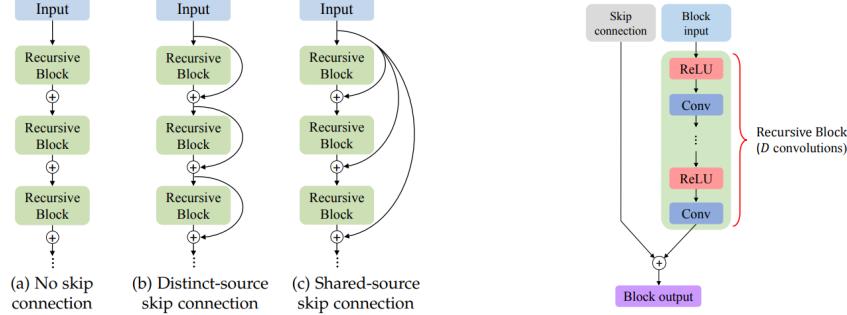


Fig. 4. **Local residual learning.** We explore three different ways of local skip connection in the feature embedding sub-network of the LapSRN for training deeper models.

(a) Differences between local skip connections.

Fig. 5. **Structure of our recursive block.** There are D convolutional layers in a recursive block. The weights of convolutional layers are distinct within the block but shared among all recursive blocks. We use the pre-activation structure [41] without the batch normalization layer.

(b) Recursive block used in MS-LapSRN.

2.4.3 Loss

Both *LapSRN* and *MS-LapSRN* use the **Charbonnier loss**: the former use it once the latter at each level; the equation is the following:

$$L_S = \frac{1}{N} \sum_{i=1}^N \sum_{l=1}^L \rho \times \left((y_l^{(i)} - x_l^{(i)}) - r_l^{(i)} \right)$$

where S is the target upsampling factor, $\rho = \sqrt{x^2 + \epsilon^2}$ (Charbonnier penalty function which is a differentiable L1 norm), x_l is the upscaled LR image at level l , y_l is the downsampled HR target image (y) at level l and r_l is the residual at level l .

The final loss is the sum of the loss for each level trained using images with different scales (**multi scales training**):

$$L = \sum_{s \in [2,4,8]} L_s$$

2.4.4 Depth

The depth of the network is: $(D \times R + 1) \times (L + 2)$ where D is the number of convolutions inside each recursive block, R is the number of recursive blocks, $+1$ represents the transposed convolution, $+2$ represent the convolutions that perform feature extraction and residual hallucination.

2.4.5 Results

Here will be presented only the results of **MS-LapSRN**[6] since it's an evolution of LapSRN.

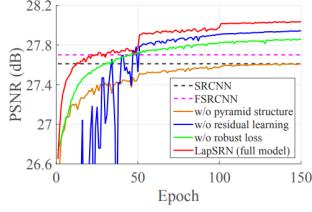


Fig. 6. **Convergence analysis.** We analyze the contributions of the pyramid structures, loss functions, and global residual learning by replacing each component with the one used in existing methods. Our full model converges faster and achieves better performance.

(a) Study on performance with different settings.

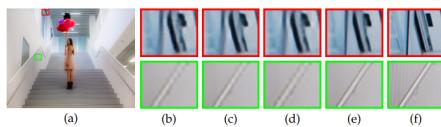


Fig. 7. **Contribution of different components in LapSRN.** (a) Ground truth HR image (b) without pyramid structure (c) without global residual learning (d) without robust loss (e) full model (f) HR patch.

(c) Contribution of different components in LapSRN.

TABLE 2
Ablation study of LapSRN. Our full model performs favorably against several variants of the LapSRN on both SET5 and SET14 for 4× SR.

| GRL | Pyramid | Loss | | SET5 | SET14 |
|-----|---------|-----------------|-------|--------------|-------|
| ✓ | ✓ | Charbonnier | 30.58 | 27.61 | |
| ✓ | ✓ | Charbonnier | 31.10 | 27.94 | |
| ✓ | ✓ | \mathcal{L}_2 | 30.93 | 27.86 | |
| ✓ | ✓ | Charbonnier | 31.28 | 28.04 | |

(b) Ablation study on LapSRN.

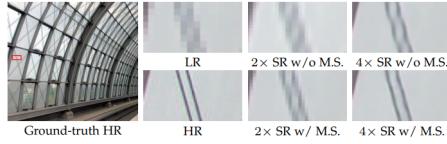


Fig. 8. **Contribution of multi-scale supervision (M.S.).** The multi-scale supervision guides the network training to progressively reconstruct the HR images and help reduce the spatial aliasing artifacts.

(d) Contribution of multi-scale supervision.

Figure 19: Performance studies done on MS-LapSRN

Ablation studies on pyramid structure, global residual learning and loss From the performance studies [Figure 19] it's possible to observe the contribution of each component used for the final result: the pyramid structures allow a faster convergence, the Charbonnier loss a better performance, the global residual learning both.

Studies on local skip connections From the local skip connection studies [Figure 20] we can see that the best is *shared source* (**SS**) which use as identity the same input.

Quantitative evaluation of local residual learning. We compare three different local residual learning methods on the URBAN100 dataset for 4× SR. Overall, the shared local skip connection method (LapSRN_{SS}) achieves superior performance for deeper models.

| Model | Depth | LapSRN _{NS} | LapSRN _{DS} | LapSRN _{SS} |
|-------|-------|----------------------|----------------------|----------------------|
| D5R2 | 24 | 25.16 | 25.22 | 25.23 |
| D5R5 | 54 | 25.18 | 25.33 | 25.34 |
| D5R8 | 84 | 25.26 | 25.33 | 25.38 |

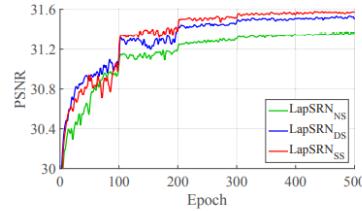


Fig. 9. **Comparisons of local residual learning.** We train our LapSRN-D5R5 model with three different local residual learning methods as described in Section 3.2.3 and evaluate on the SET5 for 4× SR.

Figure 20: Local skip connection studies done on MS-LapSRN

Parameter sharing in LapSRN. We reduce the number of network parameters by sharing the weights between pyramid levels and applying recursive layers in the feature embedding sub-network.

| Model | #Parameters | BSDS100 | URBAN100 |
|-----------------------------|-------------|--------------|--------------|
| LapSRN [16] | 812k | 27.32 | 25.21 |
| LapSRN _{NS} -D10R1 | 407k | 27.32 | 25.20 |
| LapSRN _{NS} -D5R2 | 222k | 27.30 | 25.16 |
| LapSRN _{NS} -D2R5 | 112k | 27.26 | 25.10 |

Quantitative evaluation of the number of recursive blocks R and the number of convolutional layers D in our feature embedding sub-network. We build LapSRN with different network depth by varying the values of D and R and evaluate on the BSDS100 and URBAN100 datasets for 4× SR.

| Model | #Parameters | Depth | BSDS100 | URBAN100 |
|-------|-------------|-------|--------------|--------------|
| D2R5 | 112k | 24 | 27.33 | 25.24 |
| D2R12 | 112k | 52 | 27.35 | 25.31 |
| D2R20 | 112k | 84 | 27.37 | 25.31 |
| D4R3 | 185k | 28 | 27.33 | 25.25 |
| D4R6 | 185k | 52 | 27.37 | 25.34 |
| D4R10 | 185k | 84 | 27.37 | 25.35 |
| D5R2 | 222k | 24 | 27.32 | 25.23 |
| D5R5 | 222k | 54 | 27.38 | 25.34 |
| D5R8 | 222k | 84 | 27.39 | 25.38 |
| D10R1 | 407k | 24 | 27.33 | 25.23 |
| D10R2 | 407k | 44 | 27.36 | 25.27 |
| D10R4 | 407k | 84 | 27.38 | 25.36 |

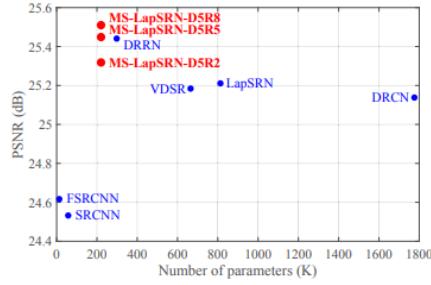


Fig. 15. **Number of network parameters versus performance.** The results are evaluated on the URBAN100 dataset for 4× SR. The proposed MS-LapSRN strides a balance between reconstruction accuracy and execution time.

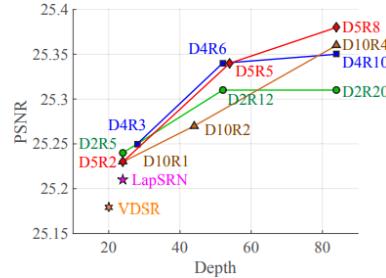


Fig. 10. **PSNR versus network depth.** We test the proposed model with different D and R on the URBAN100 dataset for 4× SR.

Figure 21: Studies on parameters (weights) and hyperparameters D and R on MS-LapSRN

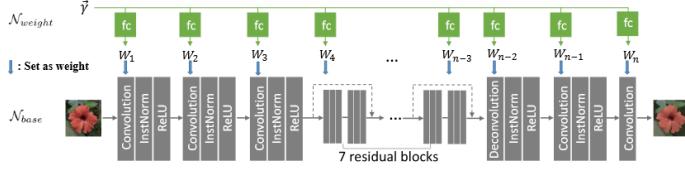


Figure 23: Parametrized Image Operator network.

2.5 Magnification-Arbitrary Network (MetaSR [7])

The limitation of SR task using fixed integer scales are:

- real application may be necessary upsampling on continues scales (such as in medical imaging, satellite imaging, ...).
- if the network is trained on a specific scale and is not capable to output intermediate scales (such as [5]) then there are also training cost and memory cost.

In order to overcame fixed integer problems [7] exploit **meta-learning** in order to be able to use decimal scales.

2.5.1 Background

The idea is used in [16] where research trained different parametrized operator (a generic function that transforms an image based on parameters) using a neural network exploiting meta-learning.

There are two networks Figure 23:

- N_{base} which applies the mapping
- $N_{weights}$ which learns the weights to use inside convolutions in N_{base} based on the parameters $\vec{\gamma}$

that are learned together end-to-end:

$$L = \|N_{base}(N_{weight}(\vec{\gamma}, I, E)) - f(I, \vec{\gamma})\|_2^2$$

2.5.2 Architecture

The **Feature Learning Module** extracts features at low resolution which are used by the **Meta Upscale Module** for generating the SR image.

The *Feature Learning Module* can be any state-of-the-art network while *Meta Upscale Module* replace the upsampling layer in the selected network.

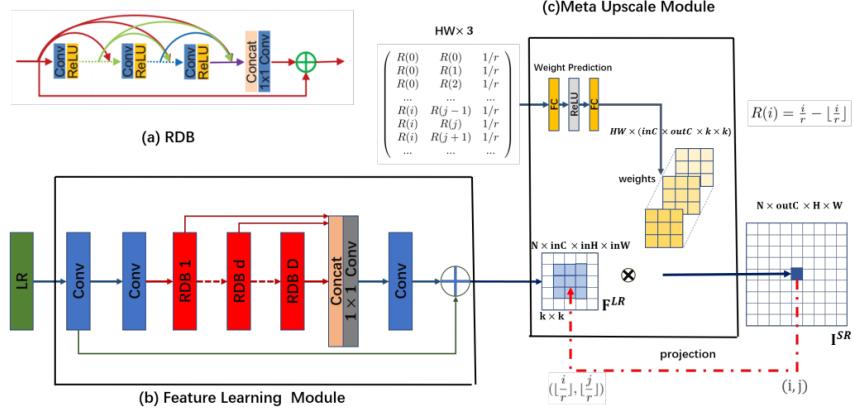


Figure 1. An instance of our Meta-SR based on RDN [36]. We also call the network Meta-RDN. (a) The Residual Dense Block proposed by RDN [36]. (b) The Feature Learning Module which generates the shared feature maps for arbitrary scale factor. (c) For each pixel on the SR image, we project it onto the LR image. The proposed Meta-Upscale Module takes a sequence of coordinate-related and scale-related vectors as input to predict the weights for convolution filters. By doing the convolution operation, our Meta-Upscale finally generate the HR image.

Figure 24: Meta-SR architecture.

Meta Upscale Module The **Meta Upscale Module** contains three different modules:

- **location projector** which project pixels from HR images to LR images: $(i', j') = T(i, j) = (\lfloor \frac{i}{r} \rfloor, \lfloor \frac{j}{r} \rfloor)$ where (i, j) are pixels in the HR image and (i', j') are pixels in the LR image.
- **weight prediction** which predicts the weights of the kernel for each pixel $W(i, j) = \phi(v_{ij}, \theta)$ where ϕ is a network with fully connected layers (2 with 256 hidden units ad ReLu as activation).

v_{ij} is a vector of pixel offset locations:

$$v_{ij} = (\frac{i}{r} - \lfloor \frac{i}{r} \rfloor, \frac{j}{r} - \lfloor \frac{j}{r} \rfloor, \frac{1}{r})$$

(in order to allow the network to distinguish between different scales $\frac{1}{r}$ is inserted in the vector because for example the pixel (i, j) in a 2x image has the same projection and the same weights of the pixels $(2i, 2j)$ in a 4x image)

- **feature mapping** which use the features extracted by the *Feature Module* and the weights predicted by the *Weight Prediction* module for reconstructing the HR image:

$$\Phi(F^{LR}(i', j'), W(i, j)) = F^{LR}(i', j') * W(i, j) \quad (\text{in practice it does the convolution of the features map with the kernel for getting an intensity or rgb values})$$

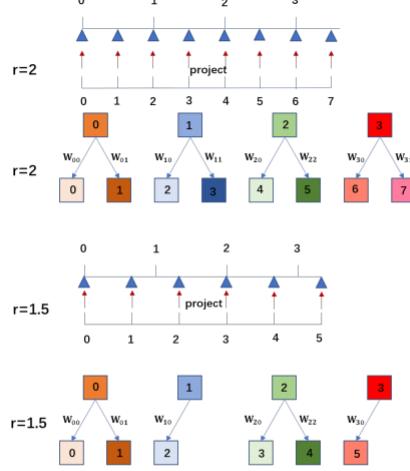


Figure 2. The schematic diagram for how to upscale the feature map with the non-integer scale factor $r = 1.5$. Here we only show the one-dimensional case for simplify.

Figure 25: Location projector: each pixel in the SR image (bottom row) has an unique pixel in the LR image (top row).

2.5.3 Results

Results with decimal scales From the results [Figure 26] Meta-SR, and in particular the **Meta upscaling module**, performs better since Meta-RDN performs better than Meta-Bi (both are learning the weights for upscaling) which perform better than BiConv (where weights are the same for each scale).

The baseline are:

- bicubic
- RDN(x1) and EDSR(x1): LR image is upscaled by r and processed by the network
- RDN(xk) and EDSR(xk): LR image is processed by the network which apply a upscaling by k then the HR image is downnscaled by $\frac{r}{k}$
- BiConv: interpolation of the final feature maps and same convolution for all scales.
- Meta-Bi: interpolation of the final feature maps but use different weights for each scale using the Weight Prediction Network.

Comparison between RDN and Meta-RDN Overall Meta-SR performs better than RDN.

| Methods \ Scale | X1.1 | X1.2 | X1.3 | X1.4 | X1.5 | X1.6 | X1.7 | X1.8 | X1.9 | X2.0 |
|-------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| bicubic | 36.56 | 35.01 | 33.84 | 32.93 | 32.14 | 31.49 | 30.90 | 30.38 | 29.97 | 29.55 |
| RDN(x1) | 42.41 | 39.76 | 38.00 | 36.68 | 35.57 | 34.64 | 33.87 | 33.19 | 32.60 | 32.08 |
| RDN(x2) | 41.84 | 39.34 | 37.87 | 36.63 | 35.56 | 34.63 | 33.83 | 33.1 | 32.52 | 32.11 |
| RDN(x4) | 39.71 | 38.48 | 37.33 | 36.29 | 35.34 | 34.52 | 33.81 | 33.14 | 32.60 | 32.09 |
| BiConv | 41.86 | 39.16 | 37.88 | 29.86 | 35.68 | 34.77 | 33.95 | 33.18 | 32.60 | 31.85 |
| Meta-Bi | 42.11 | 39.58 | 38.07 | 36.83 | 35.81 | 34.86 | 34.03 | 33.24 | 32.63 | 32.18 |
| Meta-RDN(our) | 42.82 | 40.40 | 38.28 | 36.95 | 35.86 | 34.90 | 34.13 | 33.45 | 32.86 | 32.35 |
| EDSR(x1) | 42.42 | 39.79 | 38.08 | 36.73 | 35.65 | 34.73 | 33.83 | 33.27 | 32.67 | 32.15 |
| EDSR(x2) | 41.79 | 39.11 | 37.79 | 36.51 | 35.40 | 34.49 | 33.81 | 33.11 | 32.57 | 32.09 |
| EDSR(x4) | 39.61 | 38.41 | 37.27 | 36.24 | 35.30 | 34.46 | 33.75 | 33.09 | 32.56 | 32.04 |
| Meta-EDSR(our) | 42.72 | 39.92 | 38.16 | 36.84 | 35.78 | 34.83 | 34.06 | 33.36 | 32.78 | 32.26 |
| Methods \ Scale | X2.1 | X2.2 | X2.3 | X2.4 | X2.5 | X2.6 | X2.7 | X2.8 | X2.9 | X3.0 |
| bicubic | 29.18 | 28.87 | 28.57 | 28.31 | 28.13 | 27.89 | 27.66 | 27.51 | 27.31 | 27.19 |
| RDN(x1) | 31.63 | 31.23 | 30.86 | 30.51 | 30.23 | 29.95 | 29.68 | 29.45 | 29.21 | 29.03 |
| RDN(x2) | 31.61 | 31.24 | 30.82 | 30.44 | 30.23 | 29.71 | 29.65 | 29.43 | 29.20 | 29.05 |
| RDN(x4) | 31.61 | 31.23 | 30.88 | 30.52 | 30.31 | 29.99 | 29.75 | 29.53 | 29.26 | 29.14 |
| BiConv | 31.53 | 31.11 | 37.87 | 30.38 | 30.16 | 29.81 | 29.55 | 29.28 | 29.05 | 28.91 |
| Meta-Bi | 31.59 | 31.21 | 30.91 | 30.54 | 30.34 | 30.01 | 29.76 | 29.54 | 29.28 | 29.22 |
| Meta-RDN(our) | 31.82 | 31.41 | 31.06 | 30.62 | 30.45 | 30.13 | 29.82 | 29.67 | 29.40 | 29.30 |
| EDSR(x1) | 31.69 | 31.29 | 30.91 | 30.56 | 30.28 | 29.98 | 29.73 | 29.49 | 29.25 | 29.07 |
| EDSR(x2) | 31.57 | 31.15 | 30.81 | 30.47 | 30.22 | 29.91 | 29.66 | 29.45 | 29.19 | 29.09 |
| EDSR(x4) | 31.56 | 31.17 | 30.82 | 30.46 | 30.24 | 29.93 | 29.68 | 29.47 | 29.20 | 29.08 |
| Meta-EDSR(our) | 31.73 | 31.31 | 30.87 | 30.60 | 30.40 | 30.09 | 29.83 | 29.61 | 29.34 | 29.22 |
| Methods \ Scale | X3.1 | X3.2 | X3.3 | X3.4 | X3.5 | X3.6 | X3.7 | X3.8 | X3.9 | X4.0 |
| bicubic | 26.98 | 26.89 | 26.59 | 26.60 | 26.42 | 26.35 | 26.15 | 26.07 | 26.01 | 25.96 |
| RDN(x1) | 28.81 | 28.67 | 28.47 | 28.30 | 28.15 | 28.00 | 27.86 | 27.72 | 27.59 | 27.47 |
| RDN(x2) | 28.71 | 28.69 | 28.51 | 28.49 | 28.18 | 28.17 | 28.09 | 27.84 | 27.61 | 27.51 |
| RDN(x4) | 28.89 | 28.75 | 28.57 | 28.42 | 28.19 | 28.16 | 27.93 | 27.81 | 27.70 | 27.64 |
| BiConv | 28.64 | 28.51 | 28.28 | 28.13 | 27.91 | 27.84 | 27.61 | 27.49 | 27.37 | 27.29 |
| Meta-Bi | 28.89 | 28.75 | 28.54 | 28.39 | 28.17 | 28.11 | 27.87 | 27.75 | 27.64 | 27.58 |
| Meta-RDN(our) | 28.87 | 28.79 | 28.68 | 28.54 | 28.32 | 28.27 | 28.04 | 27.92 | 27.82 | 27.75 |
| EDSR(x1) | 28.85 | 28.69 | 28.51 | 28.36 | 28.18 | 28.04 | 27.91 | 27.77 | 27.64 | 27.52 |
| EDSR(x2) | 28.78 | 28.64 | 28.45 | 28.34 | 28.11 | 28.06 | 27.83 | 27.73 | 27.61 | 27.49 |
| EDSR(x4) | 28.82 | 28.69 | 28.49 | 28.35 | 28.13 | 28.09 | 27.85 | 27.73 | 27.63 | 27.56 |
| Meta-EDSR(our) | 28.95 | 28.82 | 28.63 | 28.48 | 28.27 | 28.21 | 27.98 | 27.86 | 27.75 | 27.67 |

Figure 26: Results on Meta-SR



Figure 27: Meta-SR applied for different scales to a single image.

| Methods | Metric | Set14 | | | B100 | | | Manga109 | | | DIV2K | | |
|----------|--------|--------------|---------------|--------------|---------------|---------------|---------------|--------------|---------------|---------------|---------------|---------------|---------------|
| | | X2 | X3 | X4 | X2 | X3 | X4 | X2 | X3 | X4 | X2 | X3 | X4 |
| bicubic | PSNR | 30.24 | 27.55 | 26.00 | 29.56 | 27.21 | 25.96 | 30.80 | 26.95 | 224.89 | 31.35 | 28.49 | 26.92 |
| | SSIM | 0.8688 | 0.7742 | 0.7227 | 0.8431 | 0.7385 | 0.6675 | 0.9339 | 0.8556 | 0.7866 | 0.9076 | 0.8339 | 0.7774 |
| RDN | PSNR | 34.01 | 30.57 | 28.81 | 32.34 | 29.26 | 27.72 | 39.18 | 34.13 | 31.00 | 35.17 | 31.39 | 29.34 |
| | SSIM | 0.9212 | 0.8468 | 0.7871 | 0.9017 | 0.8093 | 0.7419 | 0.9780 | 0.9484 | 0.9151 | 0.9483 | 0.8931 | 0.8446 |
| Meta-RDN | PSNR | 34.04 | 30.55 | 28.84 | 32.35 | 29.30 | 27.75 | 39.18 | 34.14 | 31.03 | 35.18 | 31.42 | 29.36 |
| | SSIM | 0.9213 | 0.8466 | 0.7872 | 0.9019 | 0.8096 | 0.7423 | 0.9782 | 0.9483 | 0.9154 | 0.9484 | 0.8935 | 0.8448 |

Figure 28: Meta-SR versus RDN.

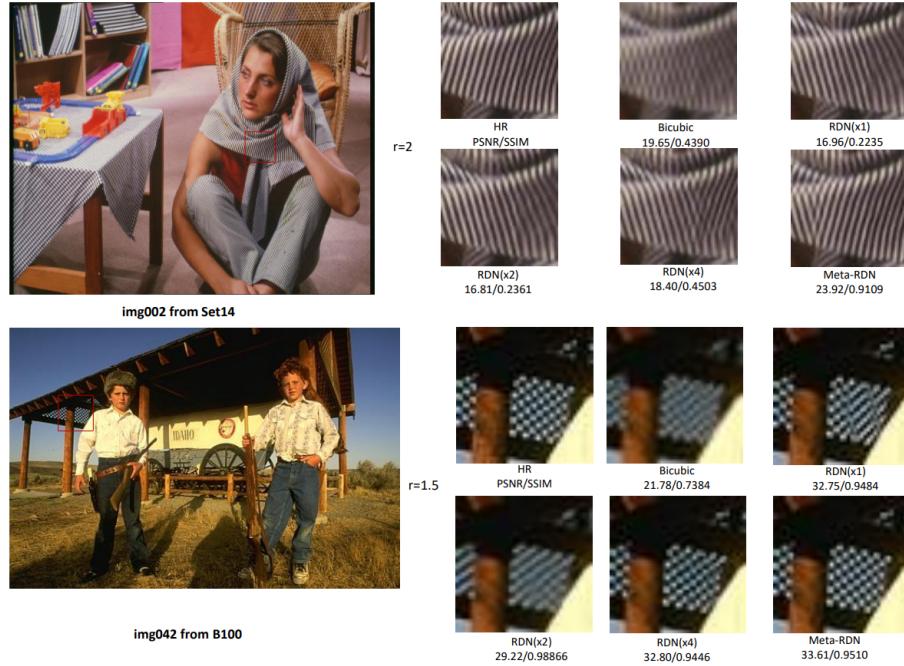


Figure 29: The visual comparison with four baselines. Our MetaRDN has better performance.

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