NOVA Microhypervisor Interface Specification

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Notation

The key words **must**, **must not**, **required**, **should**, **should not**, **recommended**, **may** and **optional** in this document are to be interpreted as described in RFC 2119 [1].

Throughout this document, the following symbols are used:

- Indicates that the value of this parameter or field is **unknown**. The microhypervisor cannot ensure that the value does not leak information across protection domain boundaries.
- Indicates that the value of this parameter or field is **undefined**. The microhypervisor ensures that the value does not leak information across protection domain boundaries. Future versions of this specification may define a value for the parameter or field.
- _ Indicates that the value of this parameter or field is **ignored**. Future versions of this specification may define a meaning for the parameter or field.
- Indicates that the value of this parameter or field is **unchanged**. The microhypervisor preserves the value across hypercalls.

Part I Introduction

1 System Architecture

The NOVA OS Virtualization Architecture [2] (NOVA) facilitates the coexistence of multiple legacy guest operating systems and a user-mode host framework on a single platform. The core system leverages hardware virtualization technology provided by modern x86 or Arm platforms and comprises the NOVA microhypervisor and one or more Virtual-Machine Monitors (VMMs).

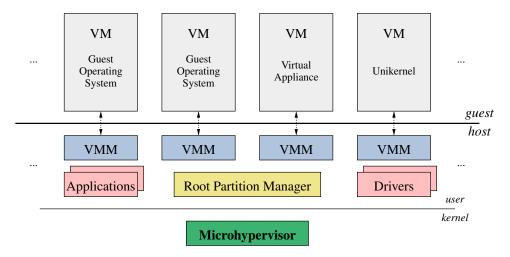


Figure 1.1: System Architecture

Figure 1.1 shows the structure of the system. The microhypervisor is the only component executing in privileged host/kernel mode. It isolates the various user-mode components, including the virtual-machine monitors, from one another by placing them in different protection domains in unprivileged host/user mode. Each legacy guest operating system runs in its own virtual-machine environment in guest mode and is therefore isolated from the other components.

Besides spatial and temporal isolation, the microhypervisor also provides mechanisms for partitioning and delegation of platform resources, such as CPU time, physical memory, I/O ports and hardware interrupts and for establishing communication channels and signaling between different protection domains.

The virtual-machine monitors handle virtualization events and implement virtual devices that enable legacy guest operating systems to function in the same manner as they would on bare-metal hardware. Providing this functionality outside the microhypervisor in the VMMs reduces the size of the trusted computing base significantly for all components that do not require virtualization support.

The architecture and interfaces of the VMM and the user-mode host framework are not described in this document.

Part II Basic Abstractions

2 Kernel Objects

2.1 Protection Domain

- 1. The Protection Domain (PD) is a unit of protection and spatial isolation.
- 2. Access to a Protection Domain is controlled by a PD Capability (CAP_{OBJpp}).
- 3. Different types of spaces can be created for a PD. These spaces store Capabilities (CAPs) for kernel objects or platform resources that can be accessed by Execution Contexts in that Protection Domain.

The following table shows the types of spaces that exist (\checkmark) for each architecture and the following subsections provide more details for each space.

Architecture	Object Space	Host Space	Guest Space*	DMA Space [†]	PIO Space	MSR Space
Arm	✓	✓	✓	✓		
x86	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark

2.1.1 Object Space

- 1. Only one Object Space (SPC_{OBJ}) can be created per Protection Domain.
- 2. Access to an Object Space is controlled by an Object Space Capability (CAP_{OBJORI}).
- 3. Each hypercall invoked by a Host Execution Context explicitly specifies an Object Capability Selector to designate the kernel object on which it operates.
- 4. The Object Capability Selector (SEL_{OBJ}) serves as index into the EC's Object Space and selects a slot that contains either a Null Capability (CAP₀) or an Object Capability (CAP_{OBJ}) that refers to a kernel object with associated access permissions.

2.1.2 Host Space

- 1. Only one Host Space (SPC_{HST}) can be created per Protection Domain.
- 2. Access to a Host Space is controlled by a Host Space Capability (CAP_{OBJ_{HST}}).
- 3. Each memory operation issued by a Host Execution Context implicitly uses the page number of the accessed Host-Virtual Address (HVA) as Host Capability Selector: SEL_{HST} = HVA >> 12.
- 4. The Host Capability Selector (SEL_{HST}) serves as index into the EC's Host Space and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}) that refers to a 4 KiB page frame in physical memory with associated access permissions.

2.1.3 Guest Space

- 1. Multiple Guest Spaces (SPC_{GST}) can be created per Protection Domain.
- 2. Access to a Guest Space is controlled by a Guest Space Capability (CAP_{OBJGST}).
- 3. Each memory operation issued by a Guest Execution Context implicitly uses the page number of the accessed Guest-Physical Address (GPA) as Guest Capability Selector: SEL_{GST} = GPA >> 12.

^{*}Only if hardware virtualization is enabled on the platform.

[†]Only if SMMU/IOMMU is enabled on the platform.

4. The Guest Capability Selector (SEL_{GST}) serves as index into the EC's Guest Space and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}) that refers to a 4 KiB page frame in physical memory with associated access permissions.

2.1.4 DMA Space

- 1. Multiple DMA Spaces (SPC_{DMA}) can be created per Protection Domain.
- 2. Access to a DMA Space is controlled by a DMA Space Capability (CAP_{OBJ_{DMA}}).
- 3. Each DMA operation issued by a device implicitly uses the page number of the accessed DMA-Virtual Address (DVA) as DMA Capability Selector: SEL_{DMA} = DVA >> 12.
- 4. The DMA Capability Selector (SEL_{DMA}) serves as index into the device's DMA Space and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}) that refers to a 4 KiB page frame in physical memory with associated access permissions.

2.1.5 PIO Space

- 1. Multiple PIO Spaces (SPC_{PIO}) can be created per Protection Domain.
- 2. Access to a PIO Space is controlled by a PIO Space Capability (CAP_{OBJpio}).
- 3. Each PIO access (IN/OUT instruction) issued by an Execution Context (EC) implicitly uses the number of the accessed I/O port as PIO Capability Selector.
- 4. The PIO Capability Selector (SEL_{PIO}) serves as index into the EC's PIO Space and selects a slot that contains either a Null Capability (CAP₀) or a PIO Capability (CAP_{PIO}) that refers to the I/O port SEL_{PIO} with associated access permissions.

2.1.6 MSR Space

- 1. Multiple MSR Spaces (SPC_{MSR}) can be created per Protection Domain.
- 2. Access to an MSR Space is controlled by an MSR Space Capability (CAP_{OBJ_{MSR}}).
- 3. Each MSR access (RDMSR/WRMSR instruction) issued by an Execution Context (EC) implicitly uses the number of the accessed MSR as MSR Capability Selector.
- 4. The MSR Capability Selector (SEL_{MSR}) serves as index into the EC's MSR Space and selects a slot that contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}) that refers to the MSR SEL_{MSR} with associated access permissions.

2.2 Execution Context

- 1. The Execution Context (EC) is an abstraction for an activity within a PD.
- 2. Access to an Execution Context is controlled by an EC Capability (CAP_{OBJEC}).
- 3. An EC is permanently bound to one CPU.
- 4. An EC contains architecture-dependent state, such as
 - Central Processing Unit (CPU) registers
 - Floating Point Unit (FPU) registers (optionally)

The following subsections provide more details for each type of Execution Context.

2.2.1 Host Execution Context

- 1. There exist two types of Host Execution Context (EC_{HST}):
 - Local Threads these may have PTs (but no SCs) bound to it.
 - Global Threads these may have an SC (but no PTs) bound to it.
- 2. A Host Execution Context has a UTCB that enables it to perform regular IPC.
- 3. Upon creation, a Host Execution Context is permanently bound to the following required spaces of its PD:
 - The first Object Space*
 - The first Host Space*
 - The first PIO Space[†]
- 4. A Host Execution Context cannot be reassigned to any spaces.

2.2.2 Guest Execution Context

- 1. There exists one type of Guest Execution Context (EC_{GST}):
 - Virtual CPUs these may have an SC (but no PTs) bound to it.
- 2. A Guest Execution Context does not have a UTCB.
- 3. Upon creation, a Guest Execution Context is permanently bound to the following required spaces of its PD:
 - The first Object Space*
 - The first Host Space*
- 4. Upon any architectural/microhypervisor event, a Guest Execution Context may be (re)assigned to the following spaces of its PD, by setting the SPACES bit in the MTD and providing selectors that refer to capabilities with ASSIGN permission for these spaces in the respective UTCB fields during ipc_reply:
 - Any Guest Space
 - Any PIO Space[†]
 - Any MSR Space[†]

The handler of the STARTUP event must perform the initial assignment of these spaces.

^{*}Because only one such space can be created for a PD, it is also the only such space.

[†]Only on architectures, where this type of space exists.

2.3 Scheduling Context

- 1. The Scheduling Context (SC) is a unit of prioritization and temporal isolation.
- 2. Access to a Scheduling Context is controlled by an SC Capability (CAP_{OBJsc}).
- 3. An SC is permanently bound to one CPU.
- 4. An SC is permanently bound to the EC for which it was created.
- 5. Donation allows another EC to consume the budget of the SC for the duration of the donation.
- 6. A scheduling context comprises the following state:
 - Reference to bound EC (2.2)
 - Class Of Service (COS)
 - Priority numerically higher priorities always preempt numerically lower priorities
 - Budget time after which the SC can be preempted by an SC with the same priority

2.4 Portal

- 1. A Portal (PT) represents a dedicated entry point into the PD for which the portal was created.
- 2. Access to a Portal is controlled by a PT Capability (CAP_{OBJ_{PT}}).
- 3. A PT is permanently bound to the EC for which it was created.
- 4. A portal comprises the following state:
 - Reference to bound EC (2.2)
 - Message Transfer Descriptor (MTD) (4.4)
 - Entry Instruction Pointer (IP)
 - Portal Identifier (PID)

2.5 Semaphore

- 1. A Semaphore (SM) provides a means to synchronize execution and interrupt delivery by selectively blocking and unblocking Execution Contexts (ECs).
- 2. Access to a Semaphore is controlled by a SM Capability (CAP_{OBJ_{SM}}).

3 Hardware Resources

3.1 System Time Counter

The system time is represented by an unsigned 64-bit System Time Counter (STC) with the following properties:

- 1. The STC starts with a power-on value of 0.
- 2. Subsequent reads of the STC return a higher value that reflects the platform uptime.
- 3. While the platform is in a shallow sleep state, the STC retains its current value.
- 4. While the platform is running, the STC monotonically increments at a fixed frequency, which is conveyed in the Hypervisor Information Page (HIP).
- 5. The STC and its frequency are synchronized across all CPUs. Applications can use both values to convert between system time and wall clock time.
- 6. Applications can obtain the current STC value as follows:

Arm: By reading CNTVCT_ELO via the MRS instruction [3].

x86: By reading IA32_TSC via the RDTSC instruction [4, 5].

Part III Application Programming Interface

4 Data Types

4.1 Capability

Capabilities are communicable, unforgeable tokens of authority. They consist of a reference to a resource coupled with access permissions. Capabilities are opaque and immutable for applications – they cannot be inspected or modified directly; instead applications refer to a Capability (CAP) via a Capability Selector (SEL).

4.1.1 Null Capability

A Null Capability (CAP₀) does not refer to anything and carries no permissions.

4.1.2 Object Capability

An Object Capability (CAPOBJ) is stored in the Object Space of a PD and refers to a kernel object.

4.1.2.1 Object Space Capability

An Object Space Capability (CAP_{OBJOBI}) refers to an Object Space and carries the following permissions:

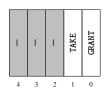


GRANT If set, ctrl_pd can use that Object Space as destination.

TAKE If set, ctrl_pd can use that Object Space as source.

4.1.2.2 Host Space Capability

A Host Space Capability (CAP_{OBJ_{HST}}) refers to a Host Space and carries the following permissions:

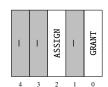


GRANT If set, ctrl_pd can use that Host Space as destination.

TAKE If set, ctrl_pd can use that Host Space as source.

4.1.2.3 Guest Space Capability

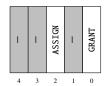
A Guest Space Capability (CAP_{OBJGST}) refers to a Guest Space and carries the following permissions:



 $\begin{array}{ll} \text{GRANT} & \text{If set, } \textbf{ctrl_pd } \text{ can use that } \textbf{Guest Space } \text{as destination.} \\ \text{ASSIGN} & \text{If set, } \textbf{ipc_reply } \text{ can assign } \text{an } \textbf{EC}_{GST} \text{ to that } \textbf{Guest Space.} \\ \end{array}$

4.1.2.4 DMA Space Capability

A DMA Space Capability (CAP_{OBJ_{DMA}}) refers to a DMA Space and carries the following permissions:

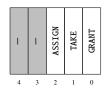


GRANT If set, ctrl_pd can use that DMA Space as destination.

ASSIGN If set, assign_dev can assign a device to that DMA Space.

4.1.2.5 PIO Space Capability

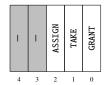
A PIO Space Capability (CAP_{OBJ_{PIO}}) refers to a PIO Space and carries the following permissions:



 $\begin{array}{ll} \text{GRANT} & \text{If set, } \textbf{ctrl_pd} \text{ can use that PIO Space as destination.} \\ \text{TAKE} & \text{If set, } \textbf{ctrl_pd} \text{ can use that PIO Space as source.} \\ \text{ASSIGN} & \text{If set, } \textbf{ipc_reply} \text{ can assign an } \textbf{EC}_{GST} \text{ to that PIO Space.} \\ \end{array}$

4.1.2.6 MSR Space Capability

An MSR Space Capability (CAP_{OBJMSR}) refers to an MSR Space and carries the following permissions:



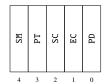
GRANT If set, ctrl_pd can use that MSR Space as destination.

TAKE If set, ctrl_pd can use that MSR Space as source.

ASSIGN If set, ipc_reply can assign an EC_{GST} to that MSR Space.

4.1.2.7 Protection Domain Capability

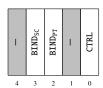
A PD Capability (CAP_{OBJ_{PD}}) refers to a Protection Domain (PD) and carries the following permissions:



PD If set, create_pd permitted.
EC If set, create_ec permitted.
SC If set, create_sc permitted.
PT If set, create_pt permitted.
SM If set, create_sm permitted.

4.1.2.8 Execution Context Capability

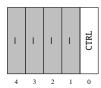
An EC Capability (CAP_{OBJEC}) refers to an Execution Context (EC) and carries the following permissions:



 $\begin{array}{ll} \text{CTRL} & \text{If set, ctrl_ec permitted.} \\ \text{BIND}_{PT} & \text{If set, create_pt can bind a Portal (PT) to the EC.} \\ \text{BIND}_{SC} & \text{If set, create_sc can bind a Scheduling Context (SC) to the EC.} \\ \end{array}$

4.1.2.9 Scheduling Context Capability

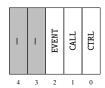
An SC Capability (CAP_{OBJsc}) refers to a Scheduling Context (SC) and carries the following permissions:



CTRL If set, ctrl_sc permitted.

4.1.2.10 Portal Capability

A PT Capability (CAP_{OBJPT}) refers to a Portal (PT) and carries the following permissions:



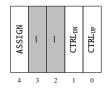
CTRL If set, ctrl_pt permitted.

CALL If set, ipc_call permitted.

EVENT If set, delivery of events permitted.

4.1.2.11 Semaphore Capability

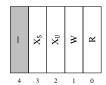
An SM Capability (CAP_{OBJSM}) refers to a Semaphore (SM) and carries the following permissions:



 $\begin{array}{ll} {\sf CTRL_{UP}} & {\sf If set, ctrl_sm \, (Up) \, permitted.} \\ {\sf CTRL_{DN}} & {\sf If set, ctrl_sm \, (Down) \, permitted.} \\ {\sf ASSIGN} \ ^{\dagger} & {\sf If set, assign_int \, permitted.} \end{array}$

4.1.3 Memory Capability

A Memory Capability (CAP_{MEM}) is stored in a Host Space, Guest Space or DMA Space of a PD, refers to a 4 KiB page frame, and carries the following permissions:



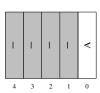
R If set, the page frame is readable. W If set, the page frame is writable.

 X_U [‡] If set, the page frame is executable (in user mode).

 X_S [‡] If set, the page frame is executable (in supervisor mode).

4.1.4 PIO Capability

A PIO Capability (CAP_{PIO}) is stored in a PIO Space of a PD, refers to an I/O port, and carries the following permissions:



If set, the I/O port is accessible (via IN/OUT).

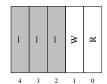
Α

[†]This permission bit is only defined for interrupt semaphores.

[‡]If the hardware supports only combined execute permissions (X) for both modes, then $X = X_U \vee X_S$.

4.1.5 MSR Capability

An MSR Capability (CAP_{MSR}) is stored in an MSR Space of a PD, refers to a Model-Specific Register (MSR), and carries the following permissions:



R If set, the MSR is readable (via RDMSR). W If set, the MSR is writable (via WRMSR).

4.2 Capability Selector

A Capability Selector (SEL) is an application-visible unsigned number that serves as an index into an Execution Context's space to select a Capability (CAP). The following subsections provide more details.

4.2.1 Object Space Selector

An Object Capability Selector (SEL_{OBJ}) indexes into the Object Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP₀) or an Object Capability (CAP_{OBJ}).

4.2.2 Host Space Selector

A Host Capability Selector (SEL_{HST}) indexes into the Host Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}).

4.2.3 Guest Space Selector

A Guest Capability Selector (SEL_{GST}) indexes into the Guest Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}).

4.2.4 DMA Space Selector

A DMA Capability Selector (SEL $_{DMA}$) indexes into the DMA Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP $_0$) or a Memory Capability (CAP $_{MEM}$).

4.2.5 PIO Space Selector

A PIO Capability Selector (SEL_{PIO}) indexes into the PIO Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP₀) or a PIO Capability (CAP_{PIO}).

4.2.6 MSR Space Selector

An MSR Capability Selector (SEL_{MSR}) indexes into the MSR Space of an Execution Context (EC) and selects a slot that contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}).

4.3 User Thread Control Block

A User Thread Control Block (UTCB) has a size of one memory page (4 KiB) and is mapped in the Host Space of its associated Host Execution Context. Because a UTCB is allocated and owned by the microhypervisor, it cannot be delegated using ctrl_pd.

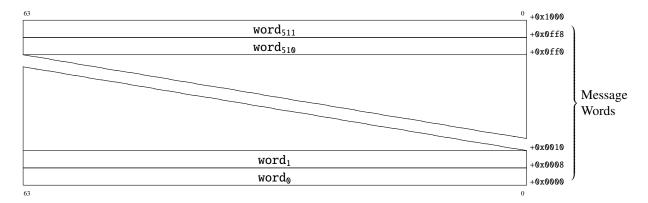
To ensure proper visibility of loads and stores with relaxed memory ordering, application programs are expected to access a UTCB only from the Host Execution Context to which that UTCB is bound.

4.3.1 Regular Layout

During regular IPC (see 4.4.1), the UTCB is used for data transfer.

The data transfer from one UTCB to another UTCB is defined as follows:

- The data transfer is performed by the CPU on which the caller EC and callee EC execute.
- The data transfer uses the regular layout with 512 message words (see below).
- The data is copied from low words to high words, beginning with word₀.
- The granularity of the loads and stores used for copying is **undefined**.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.



4.3.2 Architectural Layout

During architectural IPC (see 4.4.2), the UTCB is used for state transfer.

The state transfer between the architectural registers and a UTCB is defined as follows:

- The state transfer is performed by the CPU on which the affected EC and callee EC execute.
- The state transfer uses the architectural layout (Arm, x86).
- The state is copied between architectural registers and the UTCB in an **undefined** order.
- The granularity of the loads and stores used for copying is undefined.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.

4.4 Message Transfer Descriptor

4.4.1 Regular IPC

For regular Inter-Process Communication (IPC), the Message Transfer Descriptor (MTD) is provided by the sender, passed to the receiver, and uses the following layout:



The MTD controls the data transfer (see 4.3.1) as shown in Figure 4.1:

- During ipc_call, it specifies the number of message words to transfer from the UTCB of the caller EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the number of message words to transfer from the UTCB of the callee EC (sender) to the UTCB of the caller EC (receiver).

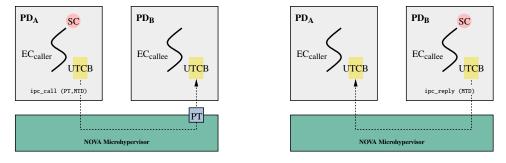


Figure 4.1: Regular IPC

4.4.2 Architectural IPC

For exceptions and intercepts, the Message Transfer Descriptor (MTD) is provided by the architectural event-specific portal (Arm, x86) or sender, passed to the receiver, and uses an architectural bitfield layout (Arm, x86):

- If a bit is 0, then the microhypervisor does **not** transmit the architectural state associated with that bit.
- If a bit is 1, then the microhypervisor transmits the architectural state associated with that bit.

The MTD controls the state transfer (see 4.3.2) as shown in Figure 4.2:

- During an exception/intercept, it specifies the subset of registers to transfer from the architectural state of the affected EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the subset of registers to transfer from the UTCB of the callee EC (sender) to the architectural state of the affected EC (receiver).

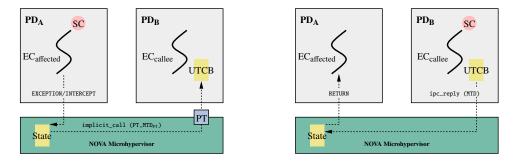
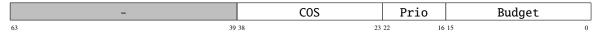


Figure 4.2: Architectural IPC

4.5 Scheduling Context Descriptor

The Scheduling Context Descriptor (SCD) describes the configuration of a Scheduling Context (SC).



The fields are defined as follows:

Budget

Specifies the scheduling budget in milliseconds – must be > 0.

Prio

Specifies the scheduling priority – must be > 0.

cos

Specifies the Class Of Service – valid values depend on architectural COS support (Arm, x86):

- If COS is not supported, then this field must be 0.
- If COS is supported, then this field must be $< COS_{NUM}$.

5 Hypercalls

5.1 Definitions

5.1.1 Hypercall Numbers

Each hypercall is identified by a unique number. The following hypercalls are currently defined:

Number	Hypercall	Section
0x0	ipc_call	5.2.1
0x1	<pre>ipc_reply</pre>	5.2.2
0x2	create_pd	5.3.1
0x3	create_ec	5.3.2
0x4	create_sc	5.3.3
0x5	create_pt	5.3.4
0x6	create_sm	5.3.5
0x7	ctrl_pd	5.4.1
8x0	ctrl_ec	5.4.2
0x9	ctrl_sc	5.4.3
0xa	ctrl_pt	5.4.4
0xb	ctrl_sm	5.4.5
0xc	ctrl_hw	5.5.1
0xd	assign_int	5.5.2
0xe	assign_dev	5.5.3
0xf	reserved for future use	

5.1.2 Status Codes

Hypercalls return a status code to indicate success or failure. The following status codes are currently defined:

Number	Status Code	Description
0x0	SUCCESS	Operation Successful
0x1	TIMEOUT	Operation Timeout
0x2	ABORTED	Operation Abort
0x3	OVRFLOW	Operation Overflow
0x4	BAD_HYP	Invalid Hypercall
0x5	BAD_CAP	Invalid Capability
0x6	BAD_PAR	Invalid Parameter
0x7	BAD_FTR	Invalid Feature
0x8	BAD_CPU	Invalid CPU Number
0x9	BAD_DEV	Invalid Device ID
0xa	MEM_OBJ	Insufficient Memory (Object Creation)
0xb	MEM_CAP	Insufficient Memory (Capability Creation)
≥0xc	reserved for future use	

5.2 Communication

5.2.1 IPC Call

Parameters:

Flags:



Description:

Sends a message from EC_{CURRENT} (caller) to the EC (callee) to which the specified Portal (PT) is bound. Prior to the hypercall:

• SPC_{OBJ_{CURRENT}}[pt] must refer to a PT Capability (CAP_{OBJ_{PT}}) with permission CALL.

If the hypercall completed successfully:

- If **T=0** (**No Timeout**): If the callee EC was still busy handling a prior ipc_call, then the caller EC has helped run that prior ipc_call to completion, i.e. until the callee EC became available again.
- The microhypervisor has transferred a message from the UTCB of the caller EC to the UTCB of the callee EC. The content of that message is defined by the MTD mtd, which has been passed from the caller EC to the callee EC.
- The hypercall returns once the callee EC has invoked an ipc_reply. Upon return, the UTCB of the caller EC and the mtd parameter have been updated by the reply message.
- The Current Scheduling Context (SC_{CURRENT}) has been donated to the callee EC upon ipc_call and returned back upon ipc_reply, thereby accounting the entire handling of the request to SC_{CURRENT}.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

 SPC_{OBJ_{CURRENT}}[pt] did not refer to a PT Capability (CAP_{OBJ_{PT}}) or that capability had insufficient permissions.

BAD_CPU

• Caller EC and callee EC are on different CPUs.

TIMEOUT

• If **T=1** (**Timeout**): The callee **EC** is still busy handling a prior **ipc_call**.

ABORTED

• The callee EC is dead and the operation aborted.

5.2.2 IPC Reply

Parameters:

Flags:



Description:

Sends a reply message from $EC_{CURRENT}$ (callee) back to the caller EC (if one exists) and subsequently waits for the next incoming message.

If the hypercall completed successfully:

- If a caller EC exists:
 - The microhypervisor has transferred a reply message from the UTCB of the callee EC back to the UTCB of the caller EC.
 - The content of that reply message is defined by the MTD mtd, which has been passed from the callee EC back to the caller EC.
 - The Current Scheduling Context (SC_{CURRENT}) that had been donated to the callee EC upon ipc_call has been returned back to the caller EC.
- EC_{CURRENT} blocks until the next incoming message arrives on any Portal (PT) bound to it.

Status:

This hypercall does not return directly.

Instead, when the next message arrives via a subsequent ipc_call to any Portal (PT) bound to the callee EC:

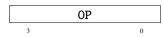
- The microhypervisor passes the Portal Identifier (PID) of the called PT to the callee EC.
- The UTCB of the callee EC and the mtd parameter have been updated by the incoming message.
- Execution of the callee EC continues at the Instruction Pointer (IP) configured in the called PT.

5.3 Object Creation

5.3.1 Create Protection Domain

Parameters:

Flags:



Description:

Creates a new Protection Domain (PD) or an empty new space for a Protection Domain.

Prior to the hypercall:

- $SPC_{OBJ_{CURRENT}}[sel]$ must refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] must refer to a PD Capability (CAP_{OBJ_{PD}}) with permission PD.

If the hypercall completed successfully:

- If **OP=0** (**Protection Domain**):
 - A new Protection Domain (PD) has been created.
 - SPC_{OBJ_{CURRENT}}[se1] refers to a CAP_{OBJ_{PD}} for the created Protection Domain with the permissions inherited from SPC_{OBJ_{CURRENT}}[pd].
- If **OP=1** (**Object Space**):
 - A new Object Space (SPC_{OBJ}) has been created for the PD referred to by SPC_{OBJCURRENT}[pd].
 - SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJOBJ} for the created Object Space with all defined permissions.
- If OP=2 (Host Space):
 - A new Host Space (SPC_{HST}) has been created for the PD referred to by SPC_{OBJCURRENT} [pd].
 - SPC_{OBJ_{CURRENT}}[sel] refers to a CAP_{OBJ_{HST}} for the created Host Space with all defined permissions.
- If **OP=3** (**Guest Space**):
 - A new Guest Space (SPC_{GST}) has been created for the PD referred to by SPC_{OBJCURRENT}[pd].
 - SPC_{OBJCURRENT}[se1] refers to a CAP_{OBJGST} for the created Guest Space with all defined permissions.
- If **OP=4** (**DMA Space**):
 - A new DMA Space (SPC_{DMA}) has been created for the PD referred to by SPC_{OBJCURRENT}[pd].
 - SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJDMA} for the created DMA Space with all defined permissions.
- If **OP=5** (**PIO Space**):
 - A new PIO Space (SPC_{PIO}) has been created for the PD referred to by SPC_{OBJCURRENT}[pd].
 - SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJPIO} for the created PIO Space with all defined permissions.
- If OP=6 (MSR Space):
 - A new MSR Space (SPC_{MSR}) has been created for the PD referred to by SPC_{OBJCURRENT} [pd].
 - SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJMSR} for the created MSR Space with all defined permissions.
- The resources for the created object were accounted to the PD referred to by SPC_{OBJCURRENT}[pd].

Status:

SUCCESS

The hypercall completed successfully.

ABORTED

• If **OP>0**: The space could not bind to the PD referred to by $SPC_{OBJ_{CURRENT}}[pd]$.

BAD_CAP

- SPC_{OBJ_{CURRENT}}[sel] did not refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] did not refer to a PD Capability (CAP_{OBJ_{PD}}) or that capability had insufficient permissions.

BAD_PAR

• The requested operation (OP) is invalid.

BAD_FTR

• The requested space type is not supported by the hardware architecture.

MEM OBJ

• The Protection Domain referred to by SPC_{OBJ_{CURRENT}}[pd] had insufficient memory resources for object creation.

MEM_CAP

• The Protection Domain to which SPC_{OBJ_{CURRENT}} belongs had insufficient memory resources for capability creation.

5.3.2 Create Execution Context

Parameters:

Flags:



Description:

Creates a new Execution Context (EC).

Prior to the hypercall:

- SPC_{OBJ_{CURRENT}}[sel] must refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] must refer to a PD Capability (CAP_{OBJ_{PD}}) with permission EC.
- If G=0: All spaces required for a Host Execution Context must have been created for the owner PD.
- If G=1: All spaces required for a Guest Execution Context must have been created for the owner PD.

If the hypercall completed successfully:

- If G=0 (Host Execution Context):
 - A new Host Execution Context (EC_{HST}) has been created.
 - The microhypervisor has allocated a UTCB for EC_{HST} and mapped it at HVA hvp << 12.
 - If **T=0** (**Local Thread**): Portals (PTs) may subsequently be bound to EC_{HST}, which will run whenever any of those bound portals is called.
 - If T=1 (Global Thread): EC_{HST} will generate a STARTUP event the first time a Scheduling Context (SC) is bound to it.
- If **G=1** (**Guest Execution Context**):
 - A new Guest Execution Context (EC_{GST}) has been created.
 - The microhypervisor has allocated a vAPIC page for EC_{GST} and mapped it at HVA hvp << 12.
 On non-Intel architectures, the parameter hvp was ignored.
 - ECGST will generate a STARTUP event the first time a Scheduling Context (SC) is bound to it.
 - If T=0 (Virtual CPU): The virtual CPU uses no time adjustment.
 - If **T=1** (**Virtual CPU**): The virtual CPU uses time offsetting.
- The created EC will be able to use FPU instructions only if F=1 (FPU). Otherwise any FPU access by that EC will generate an architecture-specific exception.
- The created EC has its Stack Pointer (SP) set to sp and its Event Selector Base (SEL_{EVT}) set to evt.[†]
- The created EC is permanently bound to the CPU cpu and to the required spaces of the PD referred to by SPC_{OBJCHREENT}[pd].
- The resources for the created EC were accounted to the PD referred to by SPC_{OBJCIRRENT}[pd].
- SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJEC} for the created EC with all defined permissions.

[†]The microhypervisor sets these values only once during EC creation. Subsequently, each Host Execution Context is responsible for maintaining its Stack Pointer (SP) across hypercalls. Applications can use different initial SP or SEL_{EVT} values as a means to identify each Host Execution Context or Guest Execution Context during concurrent STARTUP events.

Status:

SUCCESS

• The hypercall completed successfully.

ABORTED

• The EC could not bind to the required spaces of the PD referred to by SPC_{OBJCURRENT}[pd].

BAD CAP

- SPC_{OBJ_{CURRENT}}[sel] did not refer to a Null Capability (CAP₀).
- SPC_{OBJCURRENT}[pd] did not refer to a PD Capability (CAP_{OBJPD}) or that capability had insufficient permissions.

BAD CPU

• The CPU number is invalid.

BAD FTR

• Virtual CPUs are not supported by the hardware architecture.

BAD_PAR

• The HVA corresponding to hvp is outside the user-accessible memory range.

MEM_OBJ

• The Protection Domain referred to by SPC_{OBJ_{CURRENT}}[pd] had insufficient memory resources for object creation.

MEM_CAP

• The Protection Domain to which SPC_{OBJ_{CURRENT}} belongs had insufficient memory resources for capability creation.

5.3.3 Create Scheduling Context

Parameters:

Flags:



Description:

Creates a new Scheduling Context (SC).

Prior to the hypercall:

- SPC_{OBJCURRENT}[sel] must refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] must refer to a PD Capability (CAP_{OBJ_{PD}}) with permission SC.
- $SPC_{OBJ_{CURRENT}}[ec]$ must refer to an EC Capability ($CAP_{OBJ_{EC}}$) with permission $BIND_{SC}$.

If the hypercall completed successfully:

- A new Scheduling Context (SC) has been created.
- The created SC is bound to the EC referred to by SPC_{OBJ_{CURRENT}}[ec] on the CPU of that EC, with its scheduling parameters set according to scd.
- The resources for the created SC were accounted to the PD referred to by SPC_{OBJCURRENT}[pd].
- SPC_{OBJCURRENT}[sel] refers to a CAP_{OBJSC} for the created SC with all defined permissions.

Status:

SUCCESS

• The hypercall completed successfully.

ABORTED

• The SC could not bind to the EC referred to by SPC_{OBJ_{CURRENT}}[ec].

BAD_CAP

- SPC_{OBJCURRENT}[sel] did not refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] did not refer to a PD Capability (CAP_{OBJ_{PD}}) or that capability had insufficient permissions.
- SPC_{OBJ_{CURRENT}}[ec] did not refer to an EC Capability (CAP_{OBJ_{EC}}) or that capability had insufficient permissions.
- Binding the SC to the EC failed, e.g. because the EC is a local thread.

BAD_PAR

• At least one SCD field in scd was invalid.

MEM_OBJ

• The Protection Domain referred to by SPC_{OBJ_{CURRENT}}[pd] had insufficient memory resources for object creation.

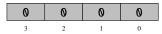
MEM_CAP

 The Protection Domain to which SPC_{OBJ_{CURRENT}} belongs had insufficient memory resources for capability creation.

5.3.4 Create Portal

Parameters:

Flags:



Description:

Creates a new Portal (PT).

Prior to the hypercall:

- SPC_{OBJ_{CURRENT}}[sel] must refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] must refer to a PD Capability (CAP_{OBJ_{PD}}) with permission PT.
- SPC_{OBJ_{CURRENT}}[ec] must refer to an EC Capability (CAP_{OBJ_{EC}}) with permission BIND_{PT}.

If the hypercall completed successfully:

- A new Portal (PT) has been created.
- The created PT is bound to the EC referred to by SPC_{OBJ_{CURRENT}}[ec] on the CPU of that EC, with its Portal Instruction Pointer (IP) set to ip, its initial MTD set to 0 and its initial PID set to 0.
- The resources for the created PT were accounted to the PD referred to by SPC_{OBJCURRENT}[pd].
- SPC_{OBJ_{CURRENT}}[sel] refers to a CAP_{OBJ_{PT}} for the created PT with all defined permissions.

Status:

SUCCESS

• The hypercall completed successfully.

ABORTED

• The PT could not bind to the EC referred to by SPC_{OBJCURRENT}[ec].

BAD_CAP

- SPC_{OBJ_{CURRENT}}[sel] did not refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] did not refer to a PD Capability (CAP_{OBJ_{PD}}) or that capability had insufficient permissions.
- SPC_{OBJ_{CURRENT}} [ec] did not refer to an EC Capability (CAP_{OBJ_{EC}}) or that capability had insufficient permissions.
- Binding the PT to the EC failed, e.g. because the EC is not a local thread.

MEM_OBJ

• The Protection Domain referred to by SPC_{OBJCURRENT}[pd] had insufficient memory resources for object creation.

MEM CAP

• The Protection Domain to which SPC_{OBJCURRENT} belongs had insufficient memory resources for capability creation.

5.3.5 Create Semaphore

Parameters:

Flags:



Description:

Creates a new Semaphore (SM).

Prior to the hypercall:

- SPC_{OBJCURRENT}[sel] must refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] must refer to a PD Capability (CAP_{OBJ_{PD}}) with permission SM.

If the hypercall completed successfully:

- A new Semaphore (SM) has been created.
- The created SM has its initial counter value set to cnt.
- The resources for the created SM were accounted to the PD referred to by $SPC_{OBJ_{CURRENT}}[pd]$.
- $SPC_{OBJ_{CURRENT}}[sel]$ refers to a $CAP_{OBJ_{SM}}$ for the created SM with all defined permissions.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- $SPC_{OBJ_{CURRENT}}[sel]$ did not refer to a Null Capability (CAP₀).
- SPC_{OBJ_{CURRENT}}[pd] did not refer to a PD Capability (CAP_{OBJ_{PD}}) or that capability had insufficient permissions.

MEM OBJ

• The Protection Domain referred to by SPC_{OBJCURRENT}[pd] had insufficient memory resources for object creation.

MEM CAP

• The Protection Domain to which SPC_{OBJ_{CURRENT}} belongs had insufficient memory resources for capability creation.

5.4 Object Control

5.4.1 Control Protection Domain

Parameters:

```
status = ctrl_pd (SELOBJ src,
                                         // SRC Space
                  SELOBJ dst,
                                         // DST Space
                  SEL
                         ssb,
                                         // SRC Selector Base
                  SEL
                         dsb,
                                         // DST Selector Base
                  UINT
                         ord,
                                         // Order
                                         // Permission Mask
                  UINT
                         pmm,
                  MAD
                                         // Memory Attribute Descriptor
                         mad);
```

Flags:



Description:

Takes capabilities from the source space and grants them to the destination space and thereby optionally reduces the permissions of the destination capabilities.

Prior to the hypercall:

- SPC_{OBJCURRENT}[src] must refer to a CAP_{OBJ} for the source space with permission TAKE.
- SPC_{OBJ_{CURRENT}}[dst] must refer to a CAP_{OBJ} for the destination space with permission GRANT.
- Capability Selectors ssb and dsb must be order-aligned: ssb≡0 (mod 2^{ord}) and dsb≡0 (mod 2^{ord}).
- Capability Selectors ssb and dsb must be equal if src refers to a PIO Space or an MSR Space.

If the hypercall completed successfully:

- If both src and dst refer to Object Spaces:
 - All CAP₀ and CAP_{OBJ} from SEL_{OBJ} range src[ssb...ssb+2^{ord}-1] were delegated to SEL_{OBJ} range dst[dsb...dsb+2^{ord}-1].
 - Any pre-existing CAP_{OBJ} in the SEL_{OBJ} range $dst[dsb...dsb+2^{ord}-1]$ were revoked.
 - The parameter mad was ignored.
- If src refers to a Host Space and dst refers to a Host Space or Guest Space or DMA Space:
 - All CAP₀ and CAP_{MEM} from SEL_{HST} range src[ssb...ssb+2^{ord}-1] were delegated to SEL range dst[dsb...dsb+2^{ord}-1].
 - Any pre-existing CAP_{MEM} in the SEL range dst[dsb...dsb+2^{ord}-1] were revoked.
 - If src refers to the NOVA Host Space, then the source SEL_{HST} are physical page numbers and the memory attributes of each destination CAP_{MEM} were set according to mad.

Otherwise, the source SEL_{HST} are **virtual** page numbers and the memory attributes of each destination CAP_{MEM} were *inherited* from the respective source CAP_{MEM} , i.e. the parameter mad was ignored.

- If both src and dst refer to PIO Spaces:
 - All CAP_0 and CAP_{PIO} from SEL_{PIO} range $src[ssb...ssb+2^{ord}-1]$ were delegated to SEL_{PIO} range $dst[dsb...dsb+2^{ord}-1]$.
 - Any pre-existing CAP_{PIO} in the SEL_{PIO} range dst[dsb...dsb+2^{ord}-1] were revoked.
 - The parameter mad was ignored.

- If both src and dst refer to MSR Spaces:
 - All CAP₀ and CAP_{MSR} from SEL_{MSR} range src[ssb...ssb+2^{ord}-1] were delegated to SEL_{MSR} range dst[dsb...dsb+2^{ord}-1].
 - Any pre-existing CAP_{MSR} in the SEL_{MSR} range dst[dsb...dsb+2^{ord}-1] were revoked.
 - The parameter mad was ignored.
- The permissions of each destination capability were masked by computing the logical AND of the permissions of the respective source capability and the permission mask pmm, i.e.
 - for bits set (1) in pmm, the respective permissions were *inherited* from the source capability.
 - for bits clear (0) in pmm, the respective permissions were *removed* for the destination capability.
- If the source capability was a Null Capability (CAP₀) or if the destination capability has zero permissions after masking, then the destination capability is a Null Capability (CAP₀).
- The resources for storing the granted capabilities were accounted to the PD to which the space referred to by SPC_{OBJCURRENT}[dst] belongs.

Status:

SUCCESS

• The hypercall completed successfully.

BAD CAP

• SPC_{OBJCURRENT}[src] or SPC_{OBJCURRENT}[dst] did not refer to compatible space capabilities or had insufficient permissions.

BAD PAR

- Capability Selector ssb or dsb was not order-aligned.
- Capability Selector ssb+2^{ord}-1 or dsb+2^{ord}-1 was larger than the maximum selector number.
- If src refers to a PIO Space or an MSR Space: Capability Selectors ssb and dsb were not equal.
- If src refers to the NOVA Host Space: At least one MAD field in mad was invalid.

MEM_CAP

• The PD to which the space referred to by SPC_{OBJCURRENT}[dst] belongs had insufficient memory resources for allocating the storage required for granting all destination capabilities. This constitutes a partial failure of the operation, because all destination capabilities up to the first allocation failure have been granted.

5.4.2 Control Execution Context

Parameters:

```
status = ctrl_ec (SELOBJ ec);  // Execution Context
```

Flags:



Description:

Prior to the hypercall:

• SPC_{OBJ_{CURRENT}}[ec] must refer to an EC Capability (CAP_{OBJ_{EC}}) with permission CTRL.

If the hypercall completed successfully:

- The EC referred to by SPC_{OBJ_{CURRENT}}[ec] has been forced to enter the microhypervisor. It will generate a
 recall exception prior to its next exit from the microhypervisor and will traverse through the respective
 Event Portal (Arm, x86).
- If S=0 (Weak Recall):
 - The hypercall returns as soon as the recall exception has been *pended*, i.e. the EC may not have entered the microhypervisor yet.
- If S=1 (Strong Recall):
 - The hypercall returns as soon as the recall exception has been *observed*, i.e the EC will have entered the microhypervisor.

Status:

SUCCESS

• The hypercall completed successfully.

BAD CAP

• SPC_{OBJ_{CURRENT}}[ec] did not refer to an EC Capability (CAP_{OBJ_{EC}}) or that capability had insufficient permissions.

5.4.3 Control Scheduling Context

Parameters:

Flags:



Description:

Prior to the hypercall:

• SPC_{OBJ_{CURRENT}}[sc] must refer to an SC Capability (CAP_{OBJ_{SC}}) with permission CTRL.

If the hypercall completed successfully:

• The microhypervisor has returned the total consumed execution time as System Time Counter (STC) value for the SC referred to by SPC_{OBJ_{CURRENT}}[sc].

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• SPC_{OBJ_{CURRENT}}[sc] did not refer to an SC Capability (CAP_{OBJ_{SC}}) or that capability had insufficient permissions.

5.4.4 Control Portal

Parameters:

Flags:



Description:

Prior to the hypercall:

• SPC_{OBJ_{CURRENT}} [pt] must refer to a PT Capability (CAP_{OBJ_{PT}}) with permission CTRL.

If the hypercall completed successfully:

- The microhypervisor has set the Portal Identifier (PID) to pid and the Message Transfer Descriptor (MTD) to mtd for the Portal referred to by SPC_{OBJ_{CURRENT}}[pt].
- Subsequent portal traversals will use the new MTD and return the new PID.

Status:

SUCCESS

• The hypercall completed successfully.

BAD CAP

• SPC_{OBJ_{CURRENT}}[pt] did not refer to a PT Capability (CAP_{OBJ_{PT}}) or that capability had insufficient permissions.

5.4.5 Control Semaphore

Parameters:

Flags:



Description:

Prior to the hypercall:

- If **D=0** (Semaphore Up):
 - SPC_{OBJCURRENT}[sm] must refer to an SM Capability (CAP_{OBJSM}) with permission CTRL_{UP}.
- If D=1 (Semaphore Down):
 - SPC_{OBJ_{CURRENT}}[sm] must refer to an SM Capability (CAP_{OBJ_{SM}}) with permission CTRL_{DN}.

If the hypercall completed successfully:

- If **D=0** (Semaphore Up):
 - If there were ECs blocked on the semaphore, then the microhypervisor has released one of those blocked ECs. Otherwise, the microhypervisor has incremented the semaphore counter. The timeout value and the Z-flag were ignored.
- If D=1 (Semaphore Down):
 - If the semaphore counter was larger than zero, then the microhypervisor has decremented the semaphore counter (Z=0) or set it to zero (Z=1). Otherwise, the microhypervisor has blocked EC_{CURRENT} on the semaphore. If the timeout value was non-zero, EC_{CURRENT} unblocks with a timeout status when the System Time Counter (STC) reaches or exceeds the specified value.

Blocking and releasing of ECs on a semaphore uses the FIFO queueing discipline.

Status:

SUCCESS

• The hypercall completed successfully.

TIMEOUT

• If **D=1**: Down operation aborted when the timeout triggered.

OVRFLOW

• If **D=0**: Up operation aborted because the semaphore counter would overflow.

BAD_CAP

 SPC_{OBJ_{CURRENT}} [sm] did not refer to an SM Capability (CAP_{OBJ_{SM}}) or that capability had insufficient permissions.

BAD CPU

• If **D=1** on an interrupt semaphore: Attempt to wait for the interrupt on a different CPU than the CPU to which that interrupt has been routed via assign_int.

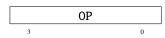
5.5 Platform Management

5.5.1 Control Hardware

Parameters:

status = ctrl_hw (UINT desc); // Descriptor

Flags:



Description:

Modifies the platform hardware configuration or power management state.

Prior to the hypercall:

- The hypercall must be invoked by an Execution Context in the Root Protection Domain (PD_{ROOT}).
- If **OP=0** (S-State Transition):
 - The descriptor desc uses the following encoding:



The value S designates the platform-wide sleep or reset state that shall be entered. The values
 A and B are the first two bytes of the respective _Sx package in the ACPI root namespace as
 follows:

\mathbf{S}	A	В	Shallow	Description
0x1	_S1[0]	_S1[1]	√	S1: Stop Grant
0x2	_S2[0]	_S2[1]	\checkmark	S2: Power-On Suspend
0x3	_S3[0]	_S3[1]	\checkmark	S3: Suspend to RAM
0x4	_S4[0]	_S4[1]	×	S4: Suspend to Disk
0x5	_S5[0]	_S5[1]	×	S5: Soft Off
0x7	0x0	0x0	×	Platform Reset

- The caller is responsible for invoking the necessary pre-sleep ACPI methods, for transitioning platform devices into a suitable Dx sleep state, and for programming wakeup events.

• If **OP=4** (**QOS** Configuration):

- The descriptor desc uses the following encoding:



- Only Code and Data Prioritization (CDP) settings supported by the ambient CPU are valid.
 - * The L3 bit disables (0) or enables (1) CDP_{L3} on the ambient CPU.
 - * The L2 bit disables (0) or enables (1) CDP_{L2} on the ambient CPU.
- CAT/CDP or MBA settings cannot be configured for a CPU until a valid QOS configuration has been established for that CPU. Subsequently, that QOS configuration cannot be changed anymore.

• If OP=5 (CAT/CDP L3 Capacity Bitmask):

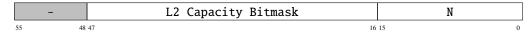
- The descriptor desc uses the following encoding:

	-	L3 Capacity Bitmask		N
55	48 47		16 15	5 0

- N designates the CPU-local Class Of Service (COS) that shall be configured. Only COS below COS_{L3} of the ambient CPU are valid.
 - * If CDP_{L3} is disabled on the ambient CPU:
 - · To configure the CAT_{L3} Capacity Bitmask for a COS, use N=COS.
 - * If CDP_{L3} is enabled on the ambient CPU:
 - · To configure the CDP_{L3-Data} Capacity Bitmask for a COS, use N=(COS<<1).
 - · To configure the CDP_{L3-Code} Capacity Bitmask for a COS, use N=(COS<<1)+1.
- For the L3 Capacity Bitmask, all (and only) contiguous combinations of 1-bits up to the highest capacity bit supported by the ambient CPU are valid.

• If OP=6 (CAT/CDP L2 Capacity Bitmask):

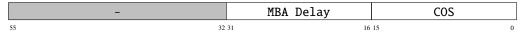
- The descriptor desc uses the following encoding:



- N designates the CPU-local Class Of Service (COS) that shall be configured. Only COS below COS_{1,2} of the ambient CPU are valid.
 - * If CDP_{L2} is disabled on the ambient CPU:
 - · To configure the CAT_{L2} Capacity Bitmask for a COS, use N=COS.
 - * If CDP_{L2} is enabled on the ambient CPU:
 - · To configure the CDP_{L2-Data} Capacity Bitmask for a COS, use N=(COS<<1).
 - · To configure the CDP_{L2-Code} Capacity Bitmask for a COS, use N=(COS<<1)+1.
- For the L2 Capacity Bitmask, all (and only) contiguous combinations of 1-bits up to the highest capacity bit supported by the ambient CPU are valid.

• If **OP=7** (**MBA Delay**):

- The descriptor desc uses the following encoding:



- COS designates the CPU-local Class Of Service (COS) that shall be configured. Only COS below COS_{MB} of the ambient CPU are valid.
- For the MBA Delay, only values up to the highest delay supported by the ambient CPU are valid.

If the hypercall completed successfully:

• If **OP=0** (S-State Transition):

- The platform enters the specified ACPI sleep state or resets.
- For shallow sleep states, the hypercall returns upon a wakeup event. The caller is responsible for invoking the necessary post-sleep ACPI methods and for transitioning platform devices back into the D0 working state.
- For deep sleep states or platform reset, the hypercall does not return.

• If **OP=4** (**QOS** Configuration):

- The ambient CPU uses and locks down the QOS configuration.

• If OP=5 (CAT/CDP L3 Capacity Bitmask):

- The ambient CPU uses the L3 Capacity Bitmask for the designated COS.

• If OP=6 (CAT/CDP L2 Capacity Bitmask):

- The ambient CPU uses the L2 Capacity Bitmask for the designated COS.
- If **OP=7** (**MBA Delay**):
 - The ambient CPU uses the MBA Delay for the designated COS.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_HYP

• The hypercall was not invoked from the Root Protection Domain (PD_{ROOT}).

BAD_FTR

• The requested feature is not supported by the platform.

BAD_PAR

- If **OP=4**: The **QOS** configuration is invalid.
- If OP=5/6/7: The COS, CAT/CDP capacity bitmask or MBA delay is invalid.
- Otherwise: The requested operation (OP) is invalid.

ABORTED

- If **OP=0**: A concurrent power management transition prevailed.
- If **OP=4**: A **QOS** configuration has already been established for the ambient **CPU**.
- If OP=5/6/7: A QOS configuration has not yet been established for the ambient CPU.

5.5.2 Assign Interrupt

Parameters:

Flags:

G	P	T	M
3	2	1	0

Description:

Configures an interrupt and routes it to the specified CPU.

Prior to the hypercall:

- SPC_{OBJ_{CURRENT}}[sm] must refer to an SM Capability (CAP_{OBJ_{SM}}) with permission ASSIGN.
- CAP_{OBJ_{SM}} must refer to an interrupt semaphore and thereby designates the interrupt.

If the hypercall completed successfully:

- The interrupt referred to by SPC_{OBJCURRENT}[sm] has been routed to the CPU cpu.
- Mask
 - M=0: The interrupt is now unmasked, i.e. it will be signaled on the semaphore.
 - M=1: The interrupt is now masked, i.e. it will not be signaled on the semaphore.
- Trigger
 - T=0: The interrupt is now configured for edge-triggered operation.
 - T=1: The interrupt is now configured for level-triggered operation.
- Polarity
 - **P=0**: The interrupt is now configured for active-high operation.
 - P=1: The interrupt is now configured for active-low operation.
- Guest
 - **G=0**: The interrupt is now host-owned.
 - G=1: The interrupt is now guest-owned (VM pass-through).
- If the interrupt is an MSI, only the PCI device referred to by dev will be authorized to generate that MSI. The device driver must program the returned msi_addr and msi_data values into the MSI registers of that device to ensure proper interrupt operation. If the interrupt is pin-based, the parameter dev was ignored and the parameters msi_addr and msi_data return 0.

Prior to the first invocation of assign_int for an interrupt, the state of that interrupt is as follows:

- the interrupt is masked.
- trigger, polarity and ownership are undefined.
- target CPU and authorized device are undefined.

Status:

SUCCESS

• The hypercall completed successfully.

BAD CPU

• The specified CPU number was invalid.

BAD_CAP

- SPC_{OBJ_{CURRENT}}[sm] did not refer to an SM Capability (CAP_{OBJ_{SM}}) or that capability had insufficient permissions.
- CAP_{OBJ_{SM}} did not refer to an interrupt semaphore.

5.5.3 Assign Device

Parameters:

Flags:



Description:

Assigns a device to the specified DMA Space.

Prior to the hypercall:

- The hypercall must be invoked by an Execution Context in the Root Protection Domain (PD_{ROOT}).
- SPC_{OBJ_{CURRENT}} [dma] must refer to a DMA Space Capability (CAP_{OBJ_{DMA}}) with permission ASSIGN.
- The parameter smmu designates the SMMU/IOMMU via its physical address.
- DAD designates the device and SMMU resources to use for managing that device.

If the hypercall completed successfully:

- The device designated by DAD has been assigned to the DMA Space referred to by SPC_{OBJCURRENT} [dma].
- DMA transactions of that device will be managed using the SMMU resources encoded in DAD. Prior users of those SMMU resources have been unconfigured.

Status:

SUCCESS

• The hypercall completed successfully.

BAD HYP

• The hypercall was not invoked from the Root Protection Domain (PD_{ROOT}).

BAD DEV

• The parameter smmu did not refer to the physical address of an SMMU device.

BAD_CAP

• SPC_{OBJ_{CURRENT}}[dma] did not refer to a DMA Space Capability (CAP_{OBJ_{DMA}}) or that capability had insufficient permissions.

BAD_PAR

• The parameter dad was invalid.

6 Booting

6.1 NOVA Microhypervisor

6.1.1 NOVA Image

The bootloader must place all loadable (PT_LOAD) program segments of the NOVA microhypervisor into physical memory (RAM) according to the physical addresses (p_paddr) and memory sizes (p_memsz) defined in the NOVA microhypervisor ELF executable. The following is an example:

```
readelf -l nova.elf
```

Elf file type is EXEC (Executable file)

Entry point 0x404000

There are 2 program headers, starting at offset 64

Program Headers:

Type	Offset	VirtAddr	PhysAddr
	FileSiz	MemSiz	Flags Align
LOAD	0x000000000000000e8	0x0000000000404000	0x0000000000404000
	0x0000000000000091c	0x000000000000091c	RW 0x8
LOAD	0x000000000000191c	0xffffffff8000491c	0x000000000040491c
	0x0000000000014d4c	0x0000000000ffb6e4	RW 0x1000

If the physical memory range defined in the ELF executable is suboptimal for a particular platform, the bootloader may shift all loadable program segments lower or higher in physical memory, by applying an offset, subject to the following constraints:

- The same offset must be applied to each loadable program segment and to the entry point.
- The offset must be a multiple of 2 MiB, i.e. $PhysAddr_{NEW} = PhysAddr_{ELF} \pm n \times 2 MiB$.
- The entire physical memory region occupied by the NOVA microhypervisor must be RAM.

After loading the NOVA microhypervisor into physical memory, the bootloader must invoke the entry point of the ELF executable with architecture-specific preconditions (Arm, x86).

6.1.2 NOVA Integrity Measurement

On hardware platforms with support for establishing a Dynamic Root of Trust for Measurement (DRTM), the Core Root of Trust for Measurement (CRTM) extends an integrity measurement of the attestable region of the NOVA microhypervisor into PCR 17 (details) and PCR 18 (authorities) of the Trusted Platform Module (TPM).

The NOVA microhypervisor conveys its attestable region to the CRTM upon initiating a measured launch of itself. The build-time integrity measurement of the NOVA microhypervisor is printed during **make install**. At launch time, that pristine integrity measurement is

- sensitive to
 - any changes in the attestable region
 - the bootloader passing command-line parameters to NOVA
- insensitive to
 - the hardware platform and NOVA patching its code to adapt to available hardware features
 - the physical memory range into which NOVA has been loaded
 - the memory map and other software modules that have been loaded

6.1.3 NOVA Spaces

6.1.3.1 NOVA Object Space

The NOVA Object Space (SPC_{OBJ_{NOVA}}) contains the following CAP_{OBJ}:

$\mathbf{SEL}_{\mathbf{OBJ}}$	Capability Type	Capability Resource	Capability Permissions
SEL _{NUM} -1	$CAP_{OBJ_{SM}}$	Console Semaphore	All defined permissions
SEL _{NUM} -2	$CAP_{OBJ_{OBJ}}$	NOVA Object Space	TAKE
SEL _{NUM} -3	$CAP_{OBJ_{HST}}$	NOVA Host Space	TAKE
SEL _{NUM} -4	$CAP_{OBJ_{PIO}}$	NOVA PIO Space [†]	TAKE
SEL _{NUM} -5	$CAP_{OBJ_{MSR}}$	NOVA MSR Space [†]	TAKE
SEL _{NUM} -6	$CAP_{OBJ_{OBJ}}$	Root Object Space	All defined permissions
SEL _{NUM} -7	$CAP_{OBJ_{HST}}$	Root Host Space	All defined permissions
SEL _{NUM} -8	$CAP_{OBJ_{PIO}}$	Root PIO Space [†]	All defined permissions
$2^{16} \dots 2^{16} + INT_{PIN} + INT_{MSI} - 1$	$CAP_{OBJ_{SM}}$	Interrupt Semaphores	ASSIGN, CTRL _{DN}
0CPU _{NUM} - 1	$CAP_{OBJ_{SC}}$	Idle Scheduling Contexts	CTRL

Using SPC_{OBJ_{NOVA}} as source space for the ctrl_pd hypercall facilitates the delegation of CAP_{OBJ} from the NOVA Object Space to another Object Space.

6.1.3.2 NOVA Host Space

In the NOVA Host Space (SPC_{HST_{NOVA}}), SEL_{HST} N refers to

- CAP₀ for memory protected by the NOVA microhypervisor (Arm, x86).
- CAP_{MEM} for the 4 KiB page frame at **physical** address N << 12 with all defined permissions otherwise.

Using SPC_{HST_{NOVA}} as source space for the ctrl_pd hypercall facilitates the delegation of CAP_{MEM} from the NOVA Host Space to another Host Space, Guest Space or DMA Space.

6.1.3.3 NOVA PIO Space

In the NOVA PIO Space (SPC_{PIONOVA}), SEL_{PIO} N refers to

- CAP₀ for an I/O port protected by the NOVA microhypervisor (x86).
- CAP_{PIO} for the I/O port number N with certain permissions otherwise (x86).

Using SPC_{PIONOVA} as source space for the ctrl_pd hypercall facilitates the delegation of CAP_{PIO} from the NOVA PIO Space to another PIO Space.

6.1.3.4 NOVA MSR Space

In the NOVA MSR Space (SPC $_{MSR_{NOVA}}$), SEL $_{MSR}$ N refers to

- CAP₀ for an MSR protected by the NOVA microhypervisor (x86).
- CAP_{MSR} for the MSR number N with certain permissions otherwise (x86).

Using $SPC_{MSR_{NOVA}}$ as source space for the $ctrl_pd$ hypercall facilitates the delegation of CAP_{MSR} from the NOVA MSR Space to another MSR Space.

[†]Only on architectures, where this type of space exists, otherwise Null Capability (CAP₀).

6.2 Root Protection Domain

After the NOVA microhypervisor has initialized the system, it creates the following initial kernel objects:

- Root Protection Domain (PD_{ROOT})
 - with Root Object Space (SPC_{OBJ_{ROOT}})
 - with Root Host Space (SPC_{HST_{ROOT}})
 - with Root PIO Space (SPC_{PIOROOT})[†]
- Root Execution Context (EC_{ROOT}) on CPU_{BSP}
 - bound to SPC_{OBJ_{ROOT}}, SPC_{HST_{ROOT}}, SPC_{PIO_{ROOT}} †
 - with $SEL_{EVT} = 0$
- Root Scheduling Context (SC_{ROOT}) on CPU_{BSP}
 - bound to EC_{ROOT}
 - with COS = 0
 - with Priority = highest priority
 - with Budget = 1000 ms

The Root Protection Domain is responsible for bootstrapping the other components of the user-mode framework by creating additional kernel objects, loading additional images, assigning resources, etc.

6.2.1 Root Image

The image of the Root Protection Domain (PD_{ROOT}) must be a valid ELF executable (ET_EXEC) that has been compiled for the respective hardware architecture and

- linked such that p_filesz = p_memsz
- loaded such that p_vaddr ≡ LOAD_ADDR* + p_offset (mod PAGE_SIZE)

holds for each loadable (PT_LOAD) program segment. These constraints ensure that the NOVA microhypervisor can map all program segments directly from physical into virtual memory without any additional memory allocation or copying. The following is an example:

```
readelf -1 root.elf
```

```
Elf file type is EXEC (Executable file)
Entry point 0x10000120
There are 2 program headers, starting at offset 64
```

Program Headers:

Type	Offset	VirtAddr	PhysAddr
	FileSiz	MemSiz	Flags Align
LOAD	0x0000000000000000	0x0000000010000000	$0 \times 0000000010000000$
	0x00000000000000a75	0x00000000000000a75	R E 0x1000
LOAD	0x0000000000001000	0x0000000010001000	$0 \times 0000000010001000$
	0x000000000000f004	0x000000000000f004	RW 0x1000

[†]Only on architectures, where this type of space exists.

^{*}The address in physical memory at which the bootloader has placed the ELF image.

6.2.2 Root Integrity Measurement

On hardware platforms with support for establishing a Dynamic Root of Trust for Measurement (DRTM), the NOVA microhypervisor extends an integrity measurement of the attestable region of the Root Protection Domain into PCR 19 of the Trusted Platform Module (TPM).

The attestable region is defined as the entire contents of the first loadable (PT_LOAD) program segment of the PD_ROOT ELF image that is readable and/or executable, but not writable.

The attestable region of the Root Protection Domain should cover:

- the ELF header to include the entry point of PD_{ROOT} in the measurement
- the ELF program headers to include the virtual-memory layout of PD_{ROOT} in the measurement
- the code and read-only data sections of PD_{ROOT} to reflect their integrity

6.2.3 Root Spaces

Prior to the Root Execution Context (EC_{ROOT}) invoking the entry point of the Root Protection Domain (PD_{ROOT}) ELF image, the NOVA microhypervisor sets up the spaces for PD_{ROOT} as described in the following subsections.

6.2.3.1 Root Object Space

The Root Object Space (SPC_{OBJ_{ROOT}}) contains the following initial CAP_{OBJ}:

$\mathbf{SEL}_{\mathbf{OBJ}}$	Capability Type	Capability Resource	Capability Permissions
SEL _{NUM} -1	$CAP_{OBJ_{OBJ}}$	NOVA Object Space	TAKE
SEL _{NUM} -2	$CAP_{OBJ_{OBJ}}$	Root Object Space	All defined permissions
SEL _{NUM} -3	$CAP_{OBJ_{PD}}$	Root Protection Domain	All defined permissions
SEL _{NUM} -4	$CAP_{OBJ_{EC}}$	Root Execution Context	All defined permissions
SEL _{NUM} -5	$CAP_{OBJ_{SC}}$	Root Scheduling Context	All defined permissions

All other SEL_{OBJ} in SPC_{OBJROOT} initially refer to a Null Capability (CAP₀).

6.2.3.2 Root Host Space

ELF Program Segments

The microhypervisor maps the Root Protection Domain (PD_{ROOT}) into the Root Host Space according to the virtual addresses (p_vaddr), memory sizes (p_memsz) and page attributes (p_flags) of all loadable (PT_LOAD) program segments defined in the PD_{ROOT} ELF image.

Hypervisor Information Page

The microhypervisor maps the Hypervisor Information Page read-only into the Root Host Space 4 KiB below the end of user-accessible virtual memory. The virtual address of the HIP is passed to EC_{ROOT} at the entry point (Arm, x86).

UTCB

The microhypervisor maps the User Thread Control Block of EC_{ROOT} into the Root Host Space 4 KiB below the address of the Hypervisor Information Page.

All other SEL_{HST} in SPC_{HST_{ROOT}} initially refer to a Null Capability (CAP₀).

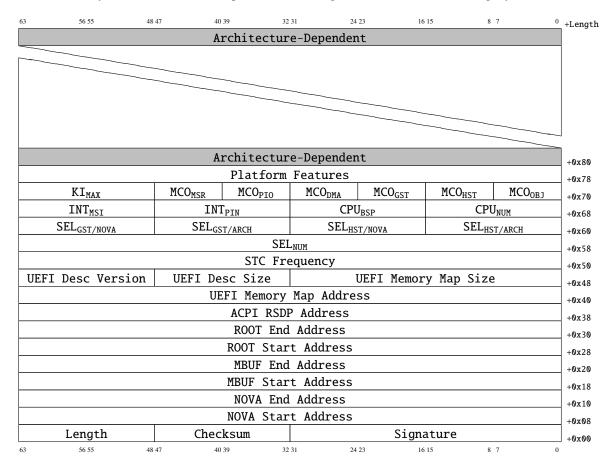
6.2.3.3 Root PIO Space

All SEL_{PIO} in SPC_{PIOROOT} initially refer to a Null Capability (CAP₀).

6.3 Hypervisor Information Page

The Hypervisor Information Page (HIP) is mapped in the Host Space of the Root Protection Domain and the initial Stack Pointer (SP) of the Root Execution Context points to the HIP. Because the HIP is allocated and owned by the microhypervisor, it cannot be delegated using ctrl_pd.

The HIP conveys information about the platform and configuration and has the following layout:



All HIP fields are unsigned values, unless stated otherwise, and have the following meaning:

Signature

The value 0x41564f4e identifies the NOVA microhypervisor.

Checksum

The checksum is valid if 16bit-wise addition of the entire HIP contents produces a value of 0.

Length

Length of the entire HIP in bytes.

NOVA Start/End Address

Physical start and end address of the NOVA microhypervisor image.

MBUF Start/End Address

Physical start and end address of the memory buffer console region (see C.1).

ROOT Start/End Address

Physical start and end address of the root protection domain image.

ACPI RSDP Address

UEFI Memory Map Address

UEFI Memory Map Size

Total size of the UEFI Memory Map (0 if not present).

UEFI Desc Size

UEFI Memory Descriptor Size (0 if not present).

UEFI Desc Version

UEFI Memory Descriptor Version (0 if not present).

STC Frequency

Frequency of the System Time Counter (STC) in Hz.

SEL_{NUM}

Total number of Capability Selectors in each object space.

SEL_{HST/ARCH}

Number of Capability Selectors required for handling architectual host events. (Arm, x86)

SEL_{HST/NOVA}

Number of additional Capability Selectors required for handling microhypervisor host events. (Arm, x86)

SEL_{GST/ARCH}

Number of Capability Selectors required for handling architectual guest events. (Arm, x86)

SEL_{GST/NOVA}

Number of additional Capability Selectors required for handling microhypervisor guest events. (Arm, x86)

CPU_{NIIM}

Total number of CPUs that are online.

The Bootstrap Processor (BSP) on which EC_{ROOT} and SC_{ROOT} have been created.

INT_{PIN}

Total number of pin-signaled interrupts that can be used via interrupt semaphores.

INT_{MSI}

Total number of message-signaled interrupts that can be used via interrupt semaphores.

MCOORI

Maximum contiguous order that avoids partial failures during capability updates in SPC_{OBI}.

MCO_{HST}

Maximum contiguous order that avoids partial failures during capability updates in SPC_{HST}.

MCOcer

Maximum contiguous order that avoids partial failures during capability updates in SPC_{GST}.

МСОрма

Maximum contiguous order that avoids partial failures during capability updates in SPC_{DMA}.

MCO_{PIO}

Maximum contiguous order that avoids partial failures during capability updates in SPCPIO.

MCO_{MSR}

Maximum contiguous order that avoids partial failures during capability updates in SPC_{MSR}.

KI_{MAX}

Maximum key identifier value.

Platform Features

Supported platform features. (Arm, x86)

Architecture-Dependent

Architecture-dependent part. (Arm, x86)

Part IV Application Binary Interface

7 ABI aarch64

7.1 Boot State

7.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor entry point. Furthermore, the following preconditions must be satisfied:

- The CPU must execute in EL2 (hypervisor mode) or in EL3 (monitor mode).
- Paging (MMU) must be disabled (SCTLR_ELx.M=0) or must use an identity (1:1) mapping.
- Interrupts must be disabled (PSTATE.DAIF=0b1111).
- The physical memory region occupied by the microhypervisor image must be clean to the PoC.
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an SMMU.

7.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit UEFI platforms.

Register	Value / Description
IP	Physical address of the NOVA ELF image entry point
X0	Multiboot v2 magic value (0x36d76289) [8]
X1	Physical address of the Multiboot v2 information structure [8]
0ther	≁

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

7.1.1.2 Multiboot v1 Launch

Register	Value / Description
IP	Physical address of the NOVA ELF image entry point
X0	Multiboot v1 magic value (0x2badb002) [9]
X1	Physical address of the Multiboot v1 information structure [9]
Other	*

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

7.1.1.3 Legacy Launch

Register	Value / Description
IP	Physical address of the NOVA ELF image entry point
X0	Physical address of the Flattened Device Tree (FDT) for the hardware platform [†]
X1	Physical address of the Root Protection Domain (PD _{ROOT}) ELF image
Other	*

[†]Due to its alignment constraint, a valid FDT address will never be equal to a Multiboot magic value.

7.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

- KOO17
Value / Description
HVA of the Root Protection Domain (PD _{ROOT}) ELF image entry point
HVA of the Hypervisor Information Page (HIP)
X0 at boot time †
X1 at boot time †
X2 at boot time †
*

 $^{^{\}dagger}$ The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

7.2 Protected Resources

Certain resources protected by the NOVA microhypervisor cannot be delegated and therefore remain inaccessible to user-mode components. The following subsections enumerate these protected resources.

7.2.1 Memory

The following physical memory regions are protected:

- NOVA microhypervisor conveyed via HIP.
- GICD, GICR, GICC, GICH devices [10, 11] conveyed via ACPI MADT or via FDT.
- SMMU devices [12, 13] conveyed via ACPI IORT or via FDT.
- Firmware runtime services conveyed via UEFI memory map.

7.3 Physical Memory

7.3.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Unified Extensible Firmware Interface, by parsing the UEFI memory map.
- On platforms using Flattened Device Tree, by parsing the FDT.

7.4 Virtual Memory

7.4.1 Host-Virtual Addresses

Depending on the MMU features supported by the hardware platform, the Host-Virtual Address (HVA) range accessible to Host Execution Contexts is $0 \le \text{HVA} < 2^H$ with $32 \le H \le 52$.

The Root Protection Domain (PD_{ROOT}) should determine 2^H based on the virtual address of the HIP.

7.4.2 Guest-Physical Addresses

Depending on the MMU features supported by the hardware platform, the Guest-Physical Address (GPA) range accessible to Guest Execution Contexts is $0 \le GPA < 2^G$ with $32 \le G \le 52$ and G = H.

7.5 Class Of Service

Class Of Service (COS) is currently not supported.

7.6 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal.

The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected Execution Context's Event Selector Base (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Capability (CAP_{OBJ_{PT}}) with permission EVENT that is bound to an EC on the same CPU as the affected EC, otherwise the affected EC is killed.

7.6.1 Architectural Events

Host Exceptions and Guest Intercepts

SEL_{OBJ}	Exception / Intercept	SEL _{OBJ}	Exception / Intercept
SEL _{EVT} + 0x00	Unknown Reason	SEL _{EVT} + 0x20	Instruction Abort (lower EL)
$SEL_{EVT} + 0x01$	Trapped WFI or WFE	$SEL_{EVT} + 0x21$	Instruction Abort (same EL)*
$SEL_{EVT} + 0x02$	reserved	$SEL_{EVT} + 0x22$	PC Alignment Fault
$SEL_{EVT} + 0x03$	Trapped MCR or MRC	$SEL_{EVT} + 0x23$	reserved
$SEL_{EVT} + 0x04$	Trapped MCRR or MRRC	$SEL_{EVT} + 0x24$	Data Abort (lower EL)
$SEL_{EVT} + 0x05$	Trapped MCR or MRC	$SEL_{EVT} + 0x25$	Data Abort (same EL)*
$SEL_{EVT} + 0x06$	Trapped LDC or STC	$SEL_{EVT} + 0x26$	SP Alignment Fault
$SEL_{EVT} + 0x07$	SME, SVE, SIMD, FPU	$SEL_{EVT} + 0x27$	Memory Operation Exception
$SEL_{EVT} + 0x08$	Trapped VMRS Access	$SEL_{EVT} + 0x28$	Trapped FPU (AArch32)
$SEL_{EVT} + 0x09$	Trapped PAuth Instruction	$SEL_{EVT} + 0x29$	reserved
$SEL_{EVT} + 0x0a$	Trapped LD64B or ST64B	$SEL_{EVT} + 0x2a$	reserved
$SEL_{EVT} + 0x0b$	reserved	$SEL_{EVT} + 0x2b$	reserved
$SEL_{EVT} + 0x0c$	Trapped MRRC	$SEL_{EVT} + 0x2c$	Trapped FPU (AArch64)
$SEL_{EVT} + 0x0d$	Branch Target Exception	$SEL_{EVT} + 0x2d$	reserved
$SEL_{EVT} + 0x0e$	Illegal Execution State	$SEL_{EVT} + 0x2e$	reserved
$SEL_{EVT} + 0x0f$	reserved	$SEL_{EVT} + 0x2f$	SError
$SEL_{EVT} + 0x10$	reserved	$SEL_{EVT} + 0x30$	Breakpoint (lower EL)
$SEL_{EVT} + 0x11$	SVC (from AArch32 State)	$SEL_{EVT} + 0x31$	Breakpoint (same EL)*
$SEL_{EVT} + 0x12$	HVC (from AArch32 State)	$SEL_{EVT} + 0x32$	Software Step (lower EL)
$SEL_{EVT} + 0x13$	SMC (from AArch32 State)	$SEL_{EVT} + 0x33$	Software Step (same EL)*
$SEL_{EVT} + 0x14$	reserved	$SEL_{EVT} + 0x34$	Watchpoint (lower EL)
$SEL_{EVT} + 0x15$	SVC (from AArch64 State)*	$SEL_{EVT} + 0x35$	Watchpoint (same EL)*
$SEL_{EVT} + 0x16$	HVC (from AArch64 State)	$SEL_{EVT} + 0x36$	reserved
$SEL_{EVT} + 0x17$	SMC (from AArch64 State)	$SEL_{EVT} + 0x37$	reserved
$SEL_{EVT} + 0x18$	Trapped MSR or MRS	$SEL_{EVT} + 0x38$	BKPT (AArch32)
$SEL_{EVT} + 0x19$	Trapped SVE	$SEL_{EVT} + 0x39$	reserved
$SEL_{EVT} + 0x1a$	Trapped ERET	$SEL_{EVT} + 0x3a$	Vector Catch (AArch32)
$SEL_{EVT} + 0x1b$	TSTART Exception	$SEL_{EVT} + 0x3b$	reserved
$SEL_{EVT} + 0x1c$	PAuth Instruction Failure	$SEL_{EVT} + 0x3c$	BRK (AArch64)
$SEL_{EVT} + 0x1d$	Trapped SME	$SEL_{EVT} + 0x3d$	reserved
$SEL_{EVT} + 0x1e$	Granule Protection Exception	$SEL_{EVT} + 0x3e$	reserved
$SEL_{EVT} + 0x1f$	reserved	$SEL_{EVT} + 0x3f$	reserved

Please refer to [3] for more details on each of these events.

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

7.6.2 Microhypervisor Events

SEL _{OBJ}	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall
$SEL_{EVT} + SEL_{ARCH} + 0x2$	Virtual Timer

The value of $SEL_{\mbox{\scriptsize ARCH}}$ depends on the origin of the event:

- SEL_{ARCH} = SEL_{HST/ARCH} for events that occurred in the host.
- SEL_{ARCH} = SEL_{GST/ARCH} for events that occurred in the guest.

7.7 Architecture-Dependent Structures

7.7.1 Platform Features

The supported platform features are defined as follows:



SMMU

If set, then SMMU is enabled

7.7.2 Hypervisor Information Page



SMG_{NUM}

Total number of Stream Mapping Groups (SMGs).

CTX_{NUM}

Total number of Translation Contexts (CTXs).

7.7.3 User Thread Control Block

+0x2e0	SEL_GST			
+0x2d0	ELRSR	VMCR		-
+0x2c0	AP1R0	AP1R1	AP1R2	AP1R3
+0x2b0	AP0R0	AP0R1	AP0R2	AP0R3
+0x2a0		LR		LR
+0x290		LR		LR
+0x280		LR:		LR
+0x270		LR		LR
+0x260		LR(LR LR
+0x250		LR		LR
+0x240		LRO		LR
+0x230		CNTKCT		CNTVOF
+0x220 +0x210		CNTV_CV		CNTV_C
+0x200		HPFAR		-
+0x1f0	EL2	ESR_	EL2	FAR_
+0x1e0	EL2	ELR_	_EL2	SPSR
+0x1d0	_EL2	VPIDR	R_EL2	VMPID
+0x1c0		HCR_	_EL2	HCRX
+0x1b0		MDSCR		-
+0x1a0		VBAR		SCTLR
+0x190		MAIR_EL1		AMAIR
+0x180		TTBR1_EL1 AFSR1_EL1		TCR_
+0x170		FAR_	TTBR0_EL1 AFSR0_EL1	
+0x160		SPSR_		ESR_
+0x150		CONTEXT		ELR_
+0x140 +0x130		SP_1		TPIDE
+0x120	DACR	IFSR	HSTR	-
+0x110	SPSR_abt	SPSR_fiq	SPSR_irq	SPSR_und
+0x100	_ELO	TPIDR	RO_EL0	TPIDRR
+0x0f0	R_fiq)			SP_
+0x0e0	2_fiq)		X29 (SP_fiq)	
+0x0d0	0_fiq)		X27 (R11_fiq)	
+0x0c0		X24 (R8_fiq)		X25 (R
+0x0b0	X22 (LR_und)		SP_und) SP_abt)	
+0x0a0		X20 (LR_abt)		
+0x090	X18 (LR_svc) X16 (LR_irq)		X19 (SP_svc) X17 (SP_irq)	
+0x080	X10 (LR_11q) X14 (LR_usr)		X17 (3F_11q) X15 (SP_hyp)	
+0x070	X12 (R12_usr)		X13 (SP_usr)	
+0x060 +0x050		X10 (R10_usr)		X11 (R1
+0x040	8_usr)		R9_usr)	
+0x040 +0x030		X6 (R6)		X7 (R
+0x020	4)		R5)	
+0x010	2)	X2 (R	(3)	X3 (F
+0x000	.0)	X0 (R	X1 (R1)	

7.7.4 Message Transfer Descriptor

The Message Transfer Descriptor (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 4.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (7.7.3) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Execution Context State	Guest Execution Context State
POISON	W	Kills the EC _{HST}	Kills the EC _{GST}
ICI^{\dagger}	W	Invalidates the entire I-Cache	Invalidates the entire I-Cache
GPR	rw	X0 X30	X0 X30
EL0_SP	rw	SP_EL0	SP_EL0
EL0_IDR	rw	TPIDR_EL0, TPIDRRO_EL0	TPIDR_EL0, TPIDRRO_EL0
A32_SPSR	rw	-	SPSR_ABT, SPSR_FIQ, SPSR_IRQ, SPSR_UND
A32_DIH	rw	_	DACR, IFSR, HSTR
EL1_SP	rw	-	SP_EL1
EL1_IDR	rw	_	TPIDR_EL1, CONTEXTIDR_EL1
EL1_ELR_SPSR	rw	_	ELR_EL1, SPSR_EL1
EL1_ESR_FAR	rw	_	ESR_EL1, FAR_EL1
EL1_AFSR	rw	_	AFSR0_EL1, AFSR1_EL1
EL1_TTBR	rw	_	TTBR0_EL1, TTBR1_EL1
EL1_TCR	rw	_	TCR_EL1
EL1_MAIR	rw	_	MAIR_EL1, AMAIR_EL1
EL1_VBAR	rw	_	VBAR_EL1
EL1_SCTLR	rw	_	SCTLR_EL1
EL1_MDSCR	rw	_	MDSCR_EL1
EL2_HCR	rw	-	HCR_EL2, HCRX_EL2
EL2_IDR	rw	_	VPIDR_EL2, VMPIDR_EL2
EL2_ELR_SPSR	rw	ELR_EL2, SPSR_EL2*	ELR_EL2, SPSR_EL2
EL2_ESR_FAR	r	ESR_EL2, FAR_EL2	ESR_EL2, FAR_EL2
EL2_HPFAR	r	_	HPFAR_EL2
TMR	201.1		CNTV_CVAL_ELO, CNTV_CTL_ELO
1111	rw	_	CNTKCTL_EL1, CNTVOFF_EL2
GIC	rw		LRO LR15, APxRO APxR3
arc.	r	_	ELRSR, VMCR
SPACES	W	-	SEL_GST

^{*}Only the condition flags are writable.

[†]Only affects a VIPT instruction cache of the local CPU. Has no effect on PIPT instruction caches, data caches, or caches of other CPUs.

7.7.5 Memory Attribute Descriptor

The Memory Attribute Descriptor (MAD) describes page attributes for a CAP_{MEM} delegation from SPC_{HST_{NOVA}}.



The fields are defined as follows:

CA

Specifies the cacheability attributes of the page according to the following table:

Encoding	Cacheability	Description
0x0	DEV	Device
0x1	DEV_E	Device, Early Ack
0x2	DEV_RE	Device, Early Ack, Reordering
0x3	DEV_GRE	Device, Early Ack, Reordering, Gathering
0x4	_	reserved
0x5	MEM_NC	Memory, Inner/Outer Non-Cacheable
0x6	MEM_WT	Memory, Inner/Outer Write-Through
0x7	MEM_WB	Memory, Inner/Outer Write-Back

SH

Specifies the shareability attributes of the page according to the following table:

Encoding	Shareability	Description
0x0	NONE	Not Shareable
0x1	-	reserved
0x2	OUTER	Outer Shareable
0x3	INNER	Inner Shareable

Please refer to [3] for the architectural behavior of these attributes.

7.7.6 Device Assignment Descriptor

The Device Assignment Descriptor (DAD) describes a device to be assigned to a DMA Space (SPC_{DMA}).

On Arm, it also specifies the SMMU resources that should be used to manage DMA transactions of that device.



The fields are defined as follows:

SID

Designates the device via its Stream Identifier (SID).

SID Mask

Specifies which bits of that SID should be matched (0) or ignored (1) by the Stream Mapping Group.

SMG

Specifies the Stream Mapping Group (SMG) to use for that SID – must be < SMG_{NUM}.

CTX

Specifies the Translation Context (CTX) to use for that SMG – must be < CTX_{NUM}.

System software must ensure an unambiguous assignment of Stream Identifiers to Stream Mapping Groups, i.e. it must configure the SID/Mask fields across all Stream Mapping Groups such that no SID multi-matches can occur.

7.8 Calling Convention

The following pages describes the calling convention for each hypercall. A Host Execution Context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified CPU registers and then executes the svc #0 instruction [3].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 7.1.



Figure 7.1: Hypercall Identifier

The status code returned from a hypercall has the format shown in Figure 7.2.

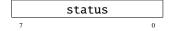


Figure 7.2: Status Code

The assignment of hypercall parameters to CPU registers is shown on the left side, the contents of the CPU registers after the hypercall is shown on the right side.

IPC Call

$$\begin{array}{c|cccc} \mathsf{pt}_{[63-8]} & \mathsf{hypercall}_{[7-0]} & & & \mathsf{X0} \\ & \mathsf{mtd}_{[31-0]} & & \mathsf{X1} \\ & & & & \mathsf{IP} & & \mathsf{svc} & \mathsf{\#0} & & \mathsf{IP} & \mathsf{IP+4} \end{array}$$

IPC Reply

Create Protection Domain

Create Execution Context

Create Scheduling Context

Create Portal

Create Semaphore

Control Protection Domain

Control Execution Context

Control Scheduling Context

Control Portal

Control Semaphore

Control Hardware

Assign Interrupt

Assign Device

7.9 Supplementary Functionality

This section describes functions that do **not** conform to the calling convention for hypercalls. Because these functions cannot perform capability-based access control, their invocation is restricted to the Root Protection Domain (PD_{ROOT}). Invocation of these functions from any other Protection Domain generates an exception.

Secure Monitor Call

		proxy_smc		
$identifier_{[31-0]}$	X0		X0	~
-	X1		X1	~
-	X2		X2	~
-	Х3		Х3	~
-	X4		X4	~
-	X 5		X 5	~
-	X 6		X 6	~
-	X7		X7	~
-	X8		X8	~
-	Х9		Х9	~
-	X10		X10	~
-	X11		X11	~
-	X12		X12	~
-	X13		X13	~
-	X14		X14	~
-	X15		X15	~
-	X16		X16	~
-	X17		X17	~
-	IP	svc #1	IP	IP+4

This call is proxy-filtered by the microhypervisor. If the combination of invoked service (identifier $_{[29-24]}$) and function (identifier $_{[15-0]}$) is listed in the table below, then the microhypervisor issues the corresponding SMC to platform firmware on behalf of the caller. Otherwise, this function generates an exception. Register allocation conforms to the Arm SMCCC [14].

Service	Description	Function	Description
0x2	SIP Service Calls	0x0000-0xffff	All functions
0x4	Standard Secure Service Calls	0x0050-0x005f	TRNG functions [15]
		0x0130-0x013f	PCI functions [16]
0x30-0x31	Trusted Application Calls	0x0000-0xffff	All functions
0x32-0x3f	Trusted OS Calls	0x0000-0xffff	All functions

8 ABI x86-64

8.1 Boot State

8.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor entry point. Furthermore, the following preconditions must be satisfied:

- The CPU state must conform to a machine state defined in the Multiboot Specification v2 [8] or v1 [9].
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an IOMMU.

8.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit UEFI platforms.

Register	Value / Description
EIP	Physical address of the NOVA ELF image entry point
EAX	Multiboot v2 magic value (0x36d76289) [8]
EBX	Physical address of the Multiboot v2 information structure [8]
0ther	≁

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

8.1.1.2 Multiboot v1 Launch

Register	Value / Description
EIP	Physical address of the NOVA ELF image entry point
EAX	Multiboot v1 magic value (0x2badb002) [9]
EBX	Physical address of the Multiboot v1 information structure [9]
Other	*

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

8.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

Register	Value / Description
RIP	HVA of the Root Protection Domain (PD _{ROOT}) ELF image entry point
RSP	HVA of the Hypervisor Information Page (HIP)
RDI	EAX at boot time †
RSI	EBX at boot time †
Other	*

[†]The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

8.2 Protected Resources

Certain resources protected by the NOVA microhypervisor cannot be delegated and therefore remain inaccessible to user-mode components. The following subsections enumerate these protected resources.

8.2.1 Memory

The following physical memory regions are protected:

- NOVA microhypervisor conveyed via HIP.
- LAPIC, IOAPIC devices conveyed via ACPI MADT.
- IOMMU devices [17, 18] conveyed via ACPI DMAR or IVRS.
- DPR and TXT memory [19], except for TPM locality 0.
- MSI range at 0xfee00000
- Firmware runtime services conveyed via UEFI memory map.

8.2.2 I/O Ports

The CAPPIO column in the table below details the permissions granted for I/O ports by the NOVA PIO Space.

I/O Port	CAP _{PIO}	Exception or I/O Exit	Enumeration	VMM Handling
PM1a_CNT				
PM1b_CNT	CAP ₀	A layous	ACPI FADT	Emulate
PM2_CNT	CAr ₀	Always	ACTITADI	Emulate
SMI_CMD				
Other	A	If A is not set	_	Emulate or Passthrough

8.2.3 Model-Specific Registers

The CAP_{MSR} column in the table below details the permissions granted for MSRs by the NOVA MSR Space.

Model-Specific Register	CAP _{MSR}	RDMSR Exit	WRMSR Exit	VMM Handling	
IA32_SYSENTER_CS*					
IA32_SYSENTER_ESP*					
IA32_SYSENTER_EIP*					
IA32_PAT*	RW	If R is not set	If W is not set		
IA32_EFER*	IXW	II K IS HOUSEL	II w is not set		
IA32_FS_BASE*					
IA32_GS_BASE*					
IA32_KERNEL_GS_BASE*					
IA32_PRED_CMD	W	Always	If W is not set		
IA32_FLUSH_CMD	VV	Aiways	II w is not set		
IA32_TSC					
IA32_PLATFORM_ID		R If R is not set	Always	Emulate or Passthrough	
IA32_BIOS_SIGN_ID					
IA32_CORE_CAPABILITIES					
IA32_MPERF					
IA32_APERF					
IA32_MTRR_CAP					
IA32_ARCH_CAPABILITIES					
IA32_MCU_OPT_CTRL	R				
IA32_OVERCLOCKING_STATUS					
IA32_PERF_STATUS					
IA32_THERM_STATUS					
IA32_PACKAGE_THERM_STATUS					
IA32_XSS*					
IA32_STAR*					
IA32_LSTAR*					
IA32_FMASK*					
IA32_TSC_AUX*					
Other	CAP_0	Always		Emulate	

^{*}The VMM can read/write the guest-effective MSR value via the UTCB.

8.3 Physical Memory

8.3.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Multiboot v2 (UEFI boot services enabled), by parsing the UEFI memory map [7].
- On platforms using Multiboot v2, by parsing the Multiboot v2 memory map [8].
- On platforms using Multiboot v1, by parsing the Multiboot v1 memory map [9].

8.4 Virtual Memory

8.4.1 Host-Virtual Addresses

Depending on the MMU features supported by the hardware platform, the Host-Virtual Address (HVA) range accessible to Host Execution Contexts is $0 \le \text{HVA} < 2^H$ with $47 \le H \le 56$.

The Root Protection Domain (PD_{ROOT}) should determine 2^H based on the virtual address of the HIP.

8.4.2 Guest-Physical Addresses

Depending on the MMU features supported by the hardware platform, the Guest-Physical Address (GPA) range accessible to Guest Execution Contexts is $0 \le GPA < 2^G$ with $48 \le G \le 57$ and G = H+1.

8.5 Class Of Service

Class Of Service (COS) support is indicated by CPUID leaf 0x7, sub-leaf 0x0: EBX[15].

The Root Protection Domain (PD_{ROOT}) must perform the following steps on each CPU to configure QOS settings:

- 1. Invoke ctrl_hw to establish a valid QOS configuration:
 - CDP_{L3} support is indicated by CPUID leaf 0x10, sub-leaf 0x1: ECX_[2]
 - CDP_{L2} support is indicated by CPUID leaf 0x10, sub-leaf 0x2: ECX_[2]
- 2. Determine COS_{NUM} as the maximum of the following:
 - COS_{L3} from CPUID leaf 0x10, sub-leaf 0x1: (1+EDX_[15-0])>>X, where
 - X=0 if CDP_{L3} is disabled.
 - X=1 if CDP_{L3} is enabled.
 - COS_{L2} from CPUID leaf 0x10, sub-leaf 0x2: $(1+EDX_{[15-0]})>>X$, where
 - X=0 if CDP_{L2} is disabled.
 - X=1 if CDP_{L2} is enabled.
 - COS_{MB} from CPUID leaf 0x10, sub-leaf 0x3: $(1+EDX_{[15-0]})$
- 3. Invoke ctrl_hw to configure the following:
 - For each COS_{L3}: CAT/CDP L3 Capacity Bitmask(s)
 - For each COS_{L2}: CAT/CDP L2 Capacity Bitmask(s)
 - For each COS_{MB}: MBA Delay

8.6 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal.

The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected Execution Context's Event Selector Base (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Capability (CAP_{OBJ_{PT}}) with permission EVENT that is bound to an EC on the same CPU as the affected EC, otherwise the affected EC is killed.

8.6.1 Architectural Events

Host Exceptions

SEL_{OBJ}	Exception	SEL _{OB} J	Exception
$SEL_{EVT} + 0x00$	#DE	SEL _{EVT} + 0x10	#MF
$SEL_{EVT} + 0x01$	#DB	$SEL_{EVT} + 0x11$	#AC
$SEL_{EVT} + 0x02$	reserved	$SEL_{EVT} + 0x12$	#MC*
$SEL_{EVT} + 0x03$	#BP	$SEL_{EVT} + 0x13$	#XM
$SEL_{EVT} + 0x04$	#OF	$SEL_{EVT} + 0x14$	#VE
$SEL_{EVT} + 0x05$	#BR	$SEL_{EVT} + 0x15$	#CP
$SEL_{EVT} + 0x06$	#UD	$SEL_{EVT} + 0x16$	reserved
$SEL_{EVT} + 0x07$	#NM*	$SEL_{EVT} + 0x17$	reserved
$SEL_{EVT} + 0x08$	#DF*	$SEL_{EVT} + 0x18$	reserved
$SEL_{EVT} + 0x09$	reserved	$SEL_{EVT} + 0x19$	reserved
$SEL_{EVT} + 0x0a$	#TS*	$SEL_{EVT} + 0x1a$	reserved
$SEL_{EVT} + 0x0b$	#NP	$SEL_{EVT} + 0x1b$	reserved
$SEL_{EVT} + 0x0c$	#SS	$SEL_{EVT} + 0x1c$	reserved
$SEL_{EVT} + 0x0d$	#GP	$SEL_{EVT} + 0x1d$	reserved
$SEL_{EVT} + 0x0e$	#PF	$SEL_{EVT} + 0x1e$	reserved
$SEL_{EVT} + 0x0f$	reserved	$SEL_{EVT} + 0x1f$	reserved

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

[†]These events may be force-enabled by the microhypervisor, in which case they will cause portal traversals.

Guest Intercepts (VMX)

SEL_{OBJ}	Intercept	SEL_{OBJ}	Intercept
$SEL_{EVT} + 0x00$	Exception or NMI*	$SEL_{EVT} + 0x30$	EPT Violation [†]
$SEL_{EVT} + 0x01$	External Interrupt*	$SEL_{EVT} + 0x31$	EPT Misconfiguration
$SEL_{EVT} + 0x02$	Triple Fault [†]	$SEL_{EVT} + 0x32$	INVEPT
$SEL_{EVT} + 0x03$	INIT [†]	$SEL_{EVT} + 0x33$	RDTSCP
$SEL_{EVT} + 0x04$	SIPI [†]	$SEL_{EVT} + 0x34$	Preemption Timer
$SEL_{EVT} + 0x05$	I/O SMI	$SEL_{EVT} + 0x35$	INVVPID
$SEL_{EVT} + 0x06$	Other SMI	$SEL_{EVT} + 0x36$	WBINVD, WBNOINVD
$SEL_{EVT} + 0x07$	Interrupt Window	$SEL_{EVT} + 0x37$	XSETBV
$SEL_{EVT} + 0x08$	NMI Window	$SEL_{EVT} + 0x38$	APIC Write
$SEL_{EVT} + 0x09$	Task Switch [†]	$\frac{SEL_{EVT}}{SEL_{EVT}} + 0x39$	RDRAND
$SEL_{EVT} + 0x0a$	CPUID [†]	$SEL_{EVT} + 0x3a$	INVPCID
$\frac{SEL_{EVT}}{SEL_{EVT}} + 0x0b$	GETSEC [†]	$SEL_{EVT} + 0x3b$	VMFUNC
$\frac{SEL_{EVT}}{SEL_{EVT}} + 0x0c$	HLT [†]	$\frac{SEL_{EVT}}{SEL_{EVT}} + 0x3c$	ENCLS
$\frac{SEL_{EVT} + 0x0d}{SEL_{EVT} + 0x0d}$	INVD [†]	$\frac{SEL_{EVT} + 0x3d}{SEL_{EVT} + 0x3d}$	RDSEED
$SEL_{EVT} + 0x0e$	INVLPG	$SEL_{EVT} + 0x3e$	PML Log Full
$\frac{SEL_{EVT} + 0x0C}{SEL_{EVT} + 0x0f}$	RDPMC	$\frac{SEL_{EVT} + 0x3c}{SEL_{EVT} + 0x3f}$	XSAVES
$\frac{SEL_{EVT} + 0x01}{SEL_{EVT} + 0x10}$	RDTSC	$\frac{\text{SEL}_{\text{EVT}} + 0\text{x31}}{\text{SEL}_{\text{EVT}} + 0\text{x40}}$	XRSTORS
$\frac{SEL_{EVT} + 0x10}{SEL_{EVT} + 0x11}$	RSM	$\frac{SEL_{EVT} + 0x40}{SEL_{EVT} + 0x41}$	PCONFIG
	VMCALL		SPP Miss / Misconfiguration
$\frac{SEL_{EVT}}{SEL} + 0x12$	VMCLEAR	$\begin{array}{c} SEL_{EVT} + 0x42 \\ SEL_{EVT} + 0x43 \end{array}$	UMWAIT
$\frac{\text{SEL}_{\text{EVT}} + 0\text{x}13}{\text{SEL}_{\text{EVT}} + 0\text{x}14}$			
$SEL_{EVT} + 0x14$	VMLAUNCH	$SEL_{EVT} + 0x44$	TPAUSE
$\frac{SEL_{EVT}}{SEL} + 0x15$	VMPTRLD	$\frac{\text{SEL}_{\text{EVT}} + 0\text{x45}}{2\text{x45}}$	LOADIWKEY ENCLV
$\frac{\text{SEL}_{\text{EVT}} + 0\text{x}16}{\text{CEL}}$	VMPTRST	$SEL_{EVT} + 0x46$	
$SEL_{EVT} + 0x17$	VMREAD	$SEL_{EVT} + 0x47$	reserved
$SEL_{EVT} + 0x18$	VMRESUME	$SEL_{EVT} + 0x48$	ENOCMOS DA SID Failure
$SEL_{EVT} + 0x19$	VMWRITE	$SEL_{EVT} + 0x49$	ENQCMDS PASID Failure
SEL _{EVT} + 0x1a	VMXOFF	$SEL_{EVT} + 0x4a$	Bus Lock
$SEL_{EVT} + 0x1b$	VMXON CD A*	$SEL_{EVT} + 0x4b$	Instruction Timeout
$\frac{SEL_{EVT}}{SEL} + 0x1c$	CR Access*	$SEL_{EVT} + 0x4c$	SEAMCALL
$\frac{SEL_{EVT}}{SEL_{EVT}} + 0x1d$	DR Access	$SEL_{EVT} + 0x4d$	TDCALL
$\frac{SEL_{EVT}}{} + 0x1e$	I/O Access†	$SEL_{EVT} + 0x4e$	RDMSRLIST
$\frac{SEL_{EVT}}{} + 0x1f$	RDMSR [†]	$\frac{SEL_{EVT}}{} + 0x4f$	WRMSRLIST
$\frac{SEL_{EVT}}{} + 0x20$	WRMSR [†]	$SEL_{EVT} + 0x50$	URDMSR
$\frac{SEL_{EVT}}{} + 0x21$	VM Entry Failure (State) [†]	$\frac{SEL_{EVT}}{} + 0x51$	UWRMSR
$\frac{SEL_{EVT}}{} + 0x22$	VM Entry Failure (MSR)	$\frac{SEL_{EVT}}{} + 0x52$	reserved
$\frac{SEL_{EVT}}{} + 0x23$	reserved	$\frac{SEL_{EVT}}{} + 0x53$	reserved
$SEL_{EVT} + 0x24$	MWAIT	$\frac{SEL_{EVT}}{} + 0x54$	reserved
$\frac{SEL_{EVT}}{} + 0x25$	MTF	$\frac{SEL_{EVT}}{} + 0x55$	reserved
$\frac{SEL_{EVT}}{} + 0x26$	reserved	$\frac{SEL_{EVT}}{} + 0x56$	reserved
$\frac{SEL_{EVT}}{} + 0x27$	MONITOR	$\frac{SEL_{EVT}}{} + 0x57$	reserved
$\frac{SEL_{EVT}}{} + 0x28$	PAUSE	$\frac{SEL_{EVT}}{} + 0x58$	reserved
$\frac{SEL_{EVT}}{} + 0x29$	VM Entry Failure (MCE)	$\frac{SEL_{EVT}}{} + 0x59$	reserved
$SEL_{EVT} + 0x2a$	reserved	$SEL_{EVT} + 0x5a$	reserved
$\frac{SEL_{EVT}}{} + 0x2b$	TPR Below Threshold	$\frac{SEL_{EVT}}{} + 0x5b$	reserved
$SEL_{EVT} + 0x2c$	APIC Access	$\frac{SEL_{EVT}}{} + 0x5c$	reserved
$SEL_{EVT} + 0x2d$	Virtualized EOI	$SEL_{EVT} + 0x5d$	reserved
$SEL_{EVT} + 0x2e$	GDTR/IDTR Access	$SEL_{EVT} + 0x5e$	reserved
$SEL_{EVT} + 0x2f$	LDTR/TR Access	$SEL_{EVT} + 0x5f$	reserved

Please refer to [4] for more details on each of these events.

8.6.2 Microhypervisor Events

SEL_{OBJ}	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall

The value of $\mathsf{SEL}_{\mathtt{ARCH}}$ depends on the origin of the event:

- SEL_{ARCH} = SEL_{HST/ARCH} for events that occurred in the host.
- $SEL_{ARCH} = SEL_{GST/ARCH}$ for events that occurred in the guest.

8.7 Architecture-Dependent Structures

8.7.1 Platform Features

The supported platform features are defined as follows:

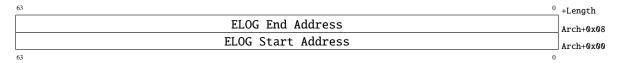


IOMMU If set, then IOMMU is enabled

VMX If set, then Intel VMX is enabled

SVM If set, then AMD SVM is enabled

8.7.2 Hypervisor Information Page



ELOG Start/End Address

Physical start and end address of the TPM event log region [20].

8.7.3 User Thread Control Block

SEI.	_MSR	SEL.	_PIO		
	_GST	IA32_TSC_AUX			
	EL_GS_BASE	IA32_FMASK			
	LSTAR	IA32_STAR			
IA32	_EFER	IA32_PAT			
IA32_SYSJ	ENTER_EIP	IA32_SYSENTER_ESP			
IA32_SYS	ENTER_CS	IA32_AP	IC_BASE		
IA32	_XSS	XCR0			
DI	R7	CR8			
CF	R4	CR3			
CF	R2	CR0			
PDP		PDP	TE2		
PDP		PDP	TE0		
Base	IDTR	Limit IDTR		-	
Base	GDTR	Limit GDTR	-		
Base	LDTR	Limit LDTR	AR LDTR*	SEL LDTR	
Base	e TR	Limit TR	AR TR*	SEL TR	
Base	e GS	Limit GS	AR GS*	SEL GS	
Base	e FS	Limit FS	AR FS*	SEL FS	
Base	e ES	Limit ES	AR ES*	SEL ES	
Base	e DS	Limit DS	AR DS*	SEL DS	
Base	e SS	Limit SS	AR SS*	SEL SS	
Base		Limit CS	AR CS* SEL CS		
IDT Vectoring Error	•	Interruption Error		ion Info [†]	
TPR Threshold	PF Error Match	PF Error Mask EXC Intercepts			
CR4 Int		CR0 Intercepts			
3rd Exec	Controls	2nd Exec Controls 1st Exec Controls			
-	-	3rd Qualification			
•	ification	1st Qualification			
Activity	Interruptibility	Instruction Info			
RIP		RFLAGS			
R15		R14			
R13		R12			
R11		R10			
R9	(DDT)	R8			
	(RDI)	R6 (RSI)			
	(RBP)	R4 (RSP)			
	(RBX)		(RDX)		
R1 48 32	(RCX)		(RAX)	5	

^{*}See Section 8.7.3.1 for encoding details. † See Section 8.7.3.2 for encoding details.

8.7.3.1 Encoding: Segment Access Rights

-	U	G	D/B	L	AVL	P	DP	L	S		Type	
	12	11	10	9	8	7	6	5	4	3		0

Field	Description
II	0 = Segment Usable
O	1 = Segment Unusable
G	Granularity
D/B	0 = 16-bit segment
D/ В	1 = 32-bit segment
L	64-bit mode active (CS only)
AVL	Available for use by system software
P	Segment Present
DPL	Descriptor Privilege Level
S	0 = System
3	1 = Code or Data
Туре	Segment Type

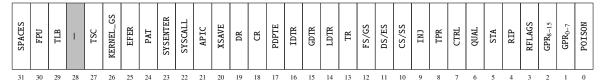
8.7.3.2 Encoding: Interruption Information



Field	Description			
V	0 = Fields E, Type, Vector are invalid			
V	1 = Fields E, Type, Vector are valid			
37	0 = Do not request an NMI window			
N	1 = Request an NMI window			
т	0 = Do not request an interrupt window			
1	1 = Request an interrupt window			
Е	0 = Do not deliver the error code from the UTCB Interruption Error field			
	1 = Deliver the error code from the UTCB Interruption Error field			
	0 = External Interrupt			
	2 = Non-Maskable Interrupt			
Type	3 = Hardware Exception			
Type	4 = Software Interrupt			
	5 = Privileged Software Exception			
	6 = Software Exception			
	7 = Other Event (not delivered through IDT)			
Vector	IDT Vector of Interrupt or Exception			

8.7.4 Message Transfer Descriptor

The Message Transfer Descriptor (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 4.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (8.7.3) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Execution Context State	Guest Execution Context State		
POISON	W	Kills the EC _{HST}	Kills the EC _{GST}		
GPR ₀₋₇	rw	R0 R7	R0 R7		
GPR_{8-15}	rw	R8 R15	R8 R15		
RFLAGS	rw	RFLAGS*	RFLAGS		
RIP	rw	RIP	RIP, Instruction Length, Instruction Info		
STA	rw	_	Interruptibility State, Activity State		
QUAL	r	Qualifications [†]	Qualifications [‡]		
CTRL			Execution Controls, CR Intercepts		
CIKL	W	_	EXC Intercepts, PF Error Mask/Match		
TPR	W	-	TPR Threshold		
TNI	rw		Interruption Info, Interruption Error		
INJ	r	_	IDT Vectoring Info, IDT Vectoring Error		
CS/SS	rw	-	CS, SS (Selector, Base, Limit, AR)		
DS/ES	rw	_	DS, ES (Selector, Base, Limit, AR)		
FS/GS	rw	_	FS, GS (Selector, Base, Limit, AR)		
TR	rw	_	TR (Selector, Base, Limit, AR)		
LDTR	rw	_	LDTR (Selector, Base, Limit, AR)		
GDTR	rw	_	GDTR (Base, Limit)		
IDTR	rw	_	IDTR (Base, Limit)		
PDPTE	rw	-	PDPTE0 PDPTE3		
CR	rw	_	CR0, CR2, CR3, CR4, CR8		
DR	rw	_	DR7		
XSAVE	rw	-	XCRO, IA32_XSS		
APIC	W	_	IA32_APIC_BASE§		
SYSCALL	rw	_	IA32_{STAR,LSTAR,FMASK}		
SYSENTER	rw	_	<pre>IA32_SYSENTER_{CS,ESP,EIP}</pre>		
PAT	rw	_	IA32_PAT		
EFER	rw	_	IA32_EFER		
KERNEL_GS	rw	_	IA32_KERNEL_GS_BASE		
TSC	rw	_	IA32_TSC_AUX		
TLB	W	-	Invalidates the TLB for the vCPU		
SPACES	W	-	SEL_GST, SEL_PIO, SEL_MSR		

^{*}Only the status and control flags are writable.

[†]Qualification fields contain exception error code (1st), page-fault linear address (2nd).

[‡]Qualification fields contain exit qualification (1st), guest-linear address (2nd), guest-physical address (3rd).

[§]APIC access page guest-physical address in the vCPU's currently assigned guest space.

8.7.5 Memory Attribute Descriptor

The Memory Attribute Descriptor (MAD) describes page attributes for a CAP_{MEM} delegation from SPC_{HST_{NOVA}}.



The fields are defined as follows:

CA

Specifies the cacheability attributes of the page according to the following table:

Encoding	Cacheability	Description
0x0	WB	Write Back
0x1	WT	Write Through
0x2	WC	Write Combining
0x3	UC	Strong Uncacheable
0x4	WP	Write Protected
0x5	-	reserved
0x6	-	reserved
0x7	-	reserved

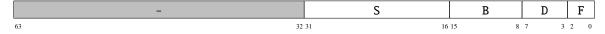
ΚI

Specifies the key identifier of the cryptographic key to be used for memory encryption – must be $\leq KI_{MAX}$.

Please refer to [4, 5] for the architectural behavior of these attributes.

8.7.6 Device Assignment Descriptor

The Device Assignment Descriptor (DAD) describes a device to be assigned to a DMA Space (SPC_{DMA}). On x86, IOMMU resources need not be specified.



The fields are defined as follows:

S, B, D, F:

Designates the device via its PCI Segment/Bus/Device/Function (SBDF) source identifier.

8.8 Calling Convention

The following pages describes the calling convention for each hypercall. A Host Execution Context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified CPU registers and then executes the syscall instruction [4, 5].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 8.1.



Figure 8.1: Hypercall Identifier

The status code returned from a hypercall has the format shown in Figure 8.2.

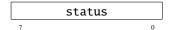


Figure 8.2: Status Code

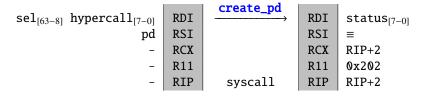
The assignment of hypercall parameters to CPU registers is shown on the left side, the contents of the CPU registers after the hypercall is shown on the right side.

IPC Call

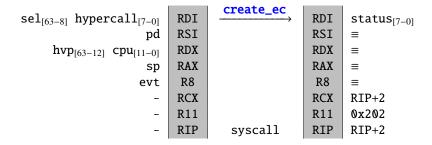
$$\begin{array}{c|cccc} pt_{[63-8]} & hypercall_{[7-0]} & RDI & & & & & & & & \\ & mtd_{[31-0]} & RSI & & & & & & RSI & & mtd_{[31-0]} \\ & - & RCX & & & & RCX & RIP+2 \\ & - & R11 & & & & R11 & 0x202 \\ & - & RIP & syscall & RIP & RIP+2 \end{array}$$

IPC Reply

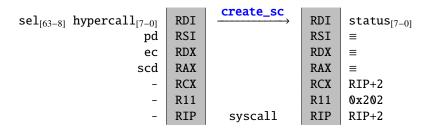
Create Protection Domain



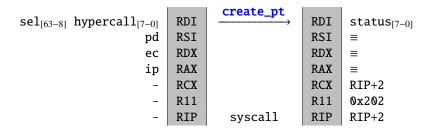
Create Execution Context



Create Scheduling Context



Create Portal



Create Semaphore

Control Protection Domain

Control Execution Context

Control Scheduling Context

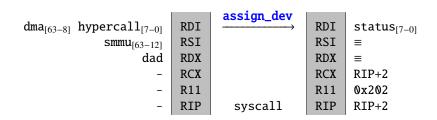
Control Portal

Control Semaphore

Control Hardware

Assign Interrupt

Assign Device



Part V Appendix

A Acronyms

ACPI Advanced Configuration and Power Interface [6]

BSP Bootstrap Processor

CAP Capability

CAP₀ Null Capability
CAP_{OBJ} Object Capability

CAP_{OBJ_{OBJ}} Object Space Capability
CAP_{OBJ_{GST}} Host Space Capability
CAP_{OBJ_{GST}} Guest Space Capability
CAP_{OBJ_{DMA}} DMA Space Capability
CAP_{OBJ_{PIO}} PIO Space Capability
CAP_{OBJ_{MSR}} MSR Space Capability

 $CAP_{OBJ_{PD}}$ PD Capability **CAP**_{OBJEC} **EC** Capability **CAP**_{OBJsc} **SC** Capability **CAP**_{OBJPT} PT Capability $CAP_{OBJ_{SM}}$ **SM** Capability **CAP_{MEM} Memory Capability CAPPIO PIO Capability MSR** Capability **CAP_{MSR}**

CAT Cache Allocation Technology [4]
CDP Code and Data Prioritization [4]
COS Class Of Service [Arm, x86] [4]
CPU Central Processing Unit [3, 4, 5]
CRTM Core Root of Trust for Measurement

CTX Translation Context [12, 13]

DAD Device Assignment Descriptor [Arm, x86]

DMA Direct Memory AccessDPR DMA Protected Range

DRTM Dynamic Root of Trust for Measurement

DVA DMA-Virtual Address
EC Execution Context

 $\begin{array}{lll} EC_{HST} & Host \ Execution \ Context \\ EC_{GST} & Guest \ Execution \ Context \\ EC_{CURRENT} & Current \ Execution \ Context \\ EC_{ROOT} & Root \ Execution \ Context \\ \end{array}$

ELF Executable and Linkable Format [21]

FDT Flattened Device Tree [22]

FPU Floating Point Unit [3, 4, 5]

GIC Generic Interrupt Controller [10, 11]

GICC GIC CPU Interface
GICD GIC Distributor
GICH GIC HYP Interface
GICR GIC Redistributor

GPA Guest-Physical Address [Arm, x86]

HIP Hypervisor Information Page [Arm, x86]

HVA Host-Virtual Address [Arm, x86]

IOAPIC I/O Advanced Programmable Interrupt Controller

IOMMU I/O Memory Management Unit [17, 18]

IP Instruction Pointer

IPC Inter-Process Communication

LAPIC Local Advanced Programmable Interrupt Controller

MAD Memory Attribute Descriptor [Arm, x86]

MBA Memory Bandwidth Allocation [4]

MMU Memory Management Unit [3, 4, 5]

MSI Message-Signaled Interrupt [23, 24]

MSR Model-Specific Register [4, 5]

MTD Message Transfer Descriptor [Arm, x86]
 NOVA NOVA OS Virtualization Architecture [2]
 PCI Peripheral Component Interconnect [23, 24]

PCR TPM Platform Configuration Register

PD Protection Domain

PD_{ROOT} Root Protection Domain

PID Portal Identifier

PT Portal

QOS Quality Of Service

SBDF PCI Segment/Bus/Device/Function

SC Scheduling Context

 SCCURRENT
 Current Scheduling Context

 SCROOT
 Root Scheduling Context

 SCD
 Scheduling Context Descriptor

SEL Capability Selector

SEL_{EVT} Event Selector Base [Arm, x86]

SEL_{OBJ} Object Capability Selector
SEL_{HST} Host Capability Selector
SEL_{GST} Guest Capability Selector
SEL_{DMA} DMA Capability Selector
SEL_{PIO} PIO Capability Selector
SEL_{MSR} MSR Capability Selector
SID Stream Identifier [12, 13]

SM Semaphore

SMG Stream Mapping Group [12, 13]

SMMU System Memory Management Unit [12, 13]

SP Stack Pointer
SPC_{OBJ} Object Space
SPC_{HST} Host Space
SPC_{GST} Guest Space
SPC_{DMA} DMA Space
SPC_{PIO} PIO Space
SPC_{MSR} MSR Space

SPC_{OBJCURRENT} Current Object Space SPC_{OBJNOVA} **NOVA Object Space** SPC_{HST_{NOVA}} **NOVA Host Space** SPC_{PIONOVA} **NOVA PIO Space NOVA MSR Space** SPC_{MSR_{NOVA}} SPC_{OBJ_{ROOT}} Root Object Space SPC_{HST_{ROOT}} Root Host Space **Root PIO Space** SPC_{PIOROOT} STC **System Time Counter**

TPM Trusted Platform Module [25]

TXT Trusted Execution Technology [19]

UART Universal Asynchronous Receiver Transmitter
UEFI Unified Extensible Firmware Interface [7]
UTCB User Thread Control Block [Arm, x86]

VMM Virtual-Machine Monitor

ipc_callipc_replyHypercall [Arm, x86]: IPC Callipc_replyHypercall [Arm, x86]: IPC Reply

create_pd Hypercall [Arm, x86]: Create Protection Domaincreate_ec Hypercall [Arm, x86]: Create Execution Contextcreate_sc Hypercall [Arm, x86]: Create Scheduling Context

create_pt Hypercall [Arm, x86]: Create Portal

create_sm Hypercall [Arm, x86]: Create Semaphore

ctrl_pd Hypercall [Arm, x86]: Control Protection Domain
ctrl_ec Hypercall [Arm, x86]: Control Execution Context
ctrl_sc Hypercall [Arm, x86]: Control Scheduling Context

ctrl_pt Hypercall [Arm, x86]: Control Portal
ctrl_sm Hypercall [Arm, x86]: Control Semaphore
ctrl_hw Hypercall [Arm, x86]: Control Hardware
assign_int Hypercall [Arm, x86]: Assign Interrupt
assign_dev Hypercall [Arm, x86]: Assign Device

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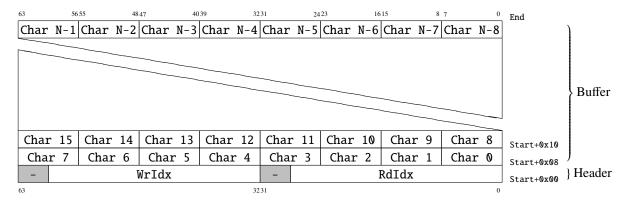
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C Console

C.1 Memory-Buffer Console

The NOVA microhypervisor implements a memory-buffer console that provides run-time debug output. The memory-buffer console consists of a signaling semaphore (see 6.1.3.1) and an in-memory data structure with a header and a buffer as follows:



The start address and end address of the memory-buffer console are conveyed in the HIP.

The buffer size (N characters) can be computed as:

The fields of the header are used as follows:

- RdIdx ranges from 0...N-1.
 It points to the next character in the buffer that the console consumer will read and is typically advanced by the console consumer.
- WrIdx ranges from 0...N-1.
 It points to the next character in the buffer that the NOVA microhypervisor will write and is only advanced by the NOVA microhypervisor.
- The buffer is empty if RdIdx is equal to WrIdx.
- Otherwise Wrldx is ahead of Rdldx, wrapping around the buffer size N accordingly, i.e. character N+x will be stored in the same buffer slot as character x.
- If the buffer becomes full, the NOVA microhypervisor advances RdIdx, forcing the oldest character to be discarded from the buffer.
- At the end of each line, the NOVA microhypervisor invokes ctrl_sm (Up) on the signaling semaphore. The console consumer should use ctrl_sm (Down) on the signaling semaphore instead of polling Wrldx.

C.2 UART Console

Additionally several different UART consoles can be used to provide boot-time-only debug output of the microhypervisor. UART consoles must be configured for 115200 baud and 8N1 mode.

D Download

The source code of the NOVA microhypervisor and the latest version of this document can be downloaded from GitHub: https://github.com/udosteinberg/NOVA