

Computing the density of states (DOS) and its various projections.

The density matrix in AO basis:

$$P_{ab} = (COC^T)_{ab} = \sum_k C_{ak} O_{kk} C_{bk} . \quad (1)$$

The charge density matrix (population) is:

$$D = PS . \quad (2)$$

The diagonal elements of D, D_{ii} , give the population on the orbital i – this population comes from states of different energy.

$$\begin{aligned} D_{ii} &= (PS)_{ii} = \sum_j P_{ij} S_{ji} = \sum_j \sum_k C_{ik} O_{kk} C_{jk} S_{ji} = \sum_k O_{kk} \sum_j C_{ik} C_{jk} S_{ji} = \\ &= \sum_k n(\epsilon_k) DOS_i(\epsilon_k) , \end{aligned} \quad (3)$$

where $DOS_i(\epsilon_k) = \sum_j C_{ik} C_{jk} S_{ji}$ is the contribution of the AO i to the density of states at energy ϵ_k . This is an **orbital-resolved DOS**.

From the additivity of the charge density matrix, we can derive that:

a) for orbitals of given type α (**orbital-type-resolved DOS**):

$$DOS_{\alpha}(\epsilon_k) = \sum_{i \in \alpha} DOS_i(\epsilon_k) = \sum_{i \in \alpha} \sum_j C_{ik} C_{jk} S_{ji} . \quad (4)$$

b) for orbitals on given atom A (**atomic-type-resolved DOS**):

$$DOS_A(\epsilon_k) = \sum_{i \in A} DOS_i(\epsilon_k) = \sum_{i \in A} \sum_j C_{ik} C_{jk} S_{ji} . \quad (5)$$

c) for orbitals of given type α centered on given atom A (**type-and-atomic-resolved DOS**):

$$DOS_{\alpha A}(\epsilon_k) = \sum_{i \in \alpha A} DOS_i(\epsilon_k) = \sum_{i \in \alpha A} \sum_j C_{ik} C_{jk} S_{ji} . \quad (6)$$

Some additivity rules:

1) $DOS_A(\epsilon_k) = \sum_{\alpha \in A} DOS_{\alpha A}(\epsilon_k)$ sum of DOS of states of all type with states centered on a

given atom gives the atomic-resolved DOS for given atom

2) $DOS_{\alpha}(\epsilon_k) = \sum_{A \in \alpha} DOS_{\alpha A}(\epsilon_k)$ sum of type-and-atomic-resolved DOSs over all atoms

gives DOS resolved in the state type

3) $DOS(\epsilon_k) = \sum_{\alpha} DOS_{\alpha}(\epsilon_k) = \sum_A DOS_A(\epsilon_k) = \sum_{\alpha A} DOS_{\alpha A}(\epsilon_k) = \sum_i DOS_i(\epsilon_k)$ total DOS via

DOSs of different resolution type

Alexey V. Akimov, Initial version: 12/5/2015; latest revision: 12/5/2015

Form $tr(PS) = N$ we also get:

$\sum_{k,i} n(\epsilon_k) DOS_i(\epsilon_k) = N$ total number of electrons, also this can be applied to resolve number of electrons of given type (type of orbital, atom localization, both).