# Notes on the MVDM Model

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In our work, we use the (+---) metric signature, and the natural units  $\hbar=c=k_B=1$ .

# 1. The general formalism

We are interested in the case when the scalar field potential  $U(\varphi)$  does not have a non-trivial minimum, and fermion masses are generated come from minimizing the total thermodynamic potential of the combined scalar-fermion. The thermodynamic evolution of the system is slow enough that the system is in equilibrium at a temperature T(a) (till a phase transition). For the fermions, we can have two different cases:

- 1.  $\mu = 0$ , i.e., an equal number of fermions and antifermions, and,
- 2.  $\mu \neq 0$ , i.e., a slight excess of fermions.

If the fermions turn out to be *Majorana* particles, then one cannot have the second case above. For *Dirac* fermions, we can have  $\mu = 0$  provided all  $\psi - \bar{\psi}$  pairs are annihilated in the ground  $(\beta \to \infty)$  state. For reasons of simplicity (we are considering a toy model), we will take  $\mu = 0$ .

The calculations in Secs. 1.1 and 1.2 can be found in any standard textbook on thermal field theory; here we follow Ref. [1].

#### 1.1. Scalar field

For a free scalar field  $\varphi$  with the Lagrangian

$$\mathcal{L}_B = \frac{1}{2} \partial_\mu \varphi \, \partial^\mu \varphi - \frac{1}{2} M_b^2 \varphi^2, \tag{1}$$

the partition function at temperature  $T = \frac{1}{\beta}$  is given by,

$$\mathcal{Z}_B \equiv \operatorname{Tr} e^{-\beta \hat{H}} = N \int_{PBC} \mathcal{D}\varphi \, e^{S_B^E},$$
 (2)

where PBC stands for periodic boundary conditions,  $S_B^E$  is the Euclidean action

$$S_B^E \equiv \int_0^\beta d\tau \int d^3 \mathbf{x} \sqrt{-g} \, \mathcal{L}_B^E = -\frac{1}{2} \int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3(\tau) \left[ \left( \frac{\partial \varphi}{\partial \tau} \right)^2 + \left( \frac{\nabla \varphi}{a} \right)^2 + M_b^2 \varphi^2 \right]$$
(3)

with the imaginary time  $\tau = it$ , and N is an overall normalization constant that is irrelevant to the thermodynamics. Simplifying, we get

$$\begin{split} S_B^E &= -\frac{1}{2} \int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3 \left[ \left( \frac{\partial \varphi}{\partial \tau} \right)^2 + \left( \frac{\nabla \varphi}{a} \right)^2 + M_b^2 \varphi^2 \right] \\ &= -\frac{1}{2} \int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3 \, \varphi \left[ -\frac{\partial^2}{\partial \tau^2} - \left( \frac{\nabla}{a} \right)^2 + M_b^2 \right] \varphi, \end{split} \tag{4}$$

where the second step is obtained upon integration by parts. We now take the ansatz

$$\varphi(\mathbf{x},\tau) = \sqrt{\frac{\beta}{V}} \sum_{n=-\infty}^{\infty} \sum_{\mathbf{k}} e^{i(\mathbf{k}\cdot\mathbf{x} + \omega_n \tau)} \varphi_n(\mathbf{k}), \tag{5}$$

where  $\underline{V}$  is the *physical volume*, and  $\mathbf{k}$  is the comoving momentum given by  $\mathbf{k} = a\mathbf{p}$ , and  $\omega_n$  are the **Matsubara** frequencies given by  $\omega_n = \frac{2n\pi}{\beta}$ , so that  $\varphi(\mathbf{x}, \beta) = \varphi(\mathbf{x}, 0)$ . Since  $\varphi(\mathbf{x}, \tau)$  is real, we must have,

$$\varphi_{-n}(\mathbf{k}) = \varphi_n^{\star}(\mathbf{k}). \tag{6}$$

Putting Eq. (5) in Eq. (4), we get

$$S_{B}^{E} = -\frac{\beta}{2V} \sum_{m,n} \sum_{\mathbf{k},\mathbf{q}} \int_{0}^{\beta} d\tau \int d^{3}\mathbf{x} \, a^{3} \left( \omega_{n}^{2} + \frac{k^{2}}{a^{2}} + M_{b}^{2} \right) e^{i(\mathbf{k} - \mathbf{q}) \cdot \mathbf{x}} e^{i(\omega_{m} - \omega_{n})\tau} \varphi_{m}^{\star}(\mathbf{q}) \varphi_{n}(\mathbf{k})$$

$$= -\frac{\beta}{2V} \beta V \sum_{m,n} \sum_{\mathbf{k},\mathbf{q}} \delta_{m,n} \delta_{\mathbf{k},\mathbf{q}} \left( \omega_{n}^{2} + \frac{k^{2}}{a^{2}} + M_{b}^{2} \right) \varphi_{m}^{\star}(\mathbf{q}) \varphi_{n}(\mathbf{k})$$

$$= -\frac{\beta^{2}}{2} \sum_{n} \sum_{\mathbf{k}} \left( \omega_{n}^{2} + \frac{k^{2}}{a^{2}} + M_{b}^{2} \right) \varphi_{n}^{\star}(\mathbf{k}) \varphi_{n}(\mathbf{k}).$$

$$(7)$$

The phase of the Fourier modes goes away, and we can just integrate over the amplitude  $\mathcal{A}_n(\mathbf{k}) = |\varphi_n(\mathbf{k})|$ . So, taking  $\epsilon(\mathbf{k}) = \sqrt{\frac{k^2}{a^2} + M_b^2} = \sqrt{p^2 + M_b^2}$ , we can calculate the partition function

$$\mathcal{Z}_{B} = \int \mathcal{D}\mathcal{A} e^{-\frac{\beta^{2}}{2} \sum_{n} \sum_{\mathbf{k}} \left(\omega_{n}^{2} + \epsilon^{2}\right) \mathcal{A}_{n}^{2}(\mathbf{k})} \\
= N \prod_{n} \prod_{\mathbf{k}} \left[ \int_{-\infty}^{\infty} d\mathcal{A}_{n}(\mathbf{k}) e^{-\frac{\beta^{2}}{2} \left(\omega_{n}^{2} + \epsilon^{2}\right) \mathcal{A}_{n}^{2}(\mathbf{k})} \right] = N \prod_{n} \prod_{\mathbf{k}} \sqrt{\frac{2\pi}{\beta^{2} \left(\omega_{n}^{2} + \epsilon^{2}\right)}}.$$
(8)

Absorbing the factors of  $2\pi$ , we can write

$$\mathcal{Z}_B = \bar{N} \prod_n \prod_{\mathbf{k}} \left[ \beta^2 \left( \omega_n^2 + \epsilon^2 \right) \right]^{-1/2}, \tag{9}$$

and therefore

$$\log \mathcal{Z}_B = -\frac{1}{2} \sum_n \sum_{\mathbf{k}} \log \left[ \beta^2 \left( \omega_n^2 + \epsilon^2 \right) \right].$$
 (10)

Now, we can write

$$\log\left[\left(2n\pi\right)^{2} + \beta^{2}\epsilon^{2}\right] = \int_{1}^{\beta^{2}\epsilon^{2}} \frac{d\theta^{2}}{\theta^{2} + \left(2n\pi\right)^{2}} + \underbrace{\log\left[\left(2n\pi\right)^{2} + 1\right]}_{\beta - \text{independent}},\tag{11}$$

and

$$\sum_{n=-\infty}^{\infty} \frac{1}{\theta^2 + (2n\pi)^2} = \frac{1}{\theta} \left( \frac{1}{2} + \frac{1}{e^{\theta} - 1} \right). \tag{12}$$

Therefore ignoring the  $\beta$ -independent part, we have

$$\log \mathcal{Z}_B = -\frac{1}{2} \sum_n \sum_{\mathbf{p}} \int_1^{\beta \epsilon} \frac{2\theta \, d\theta}{\theta^2 + (2n\pi)^2} = -\sum_{\mathbf{p}} \int_1^{\beta \epsilon} d\theta \left( \frac{1}{2} + \frac{1}{e^{\theta} - 1} \right). \tag{13}$$

In the limit of continuous momentum states, we can replace  $\sum_{\bf p}$  by  $\frac{V}{(2\pi)^3}\int d^3{\bf p}$  to get

$$\log \mathcal{Z}_{B} = -\frac{V}{(2\pi)^{3}} \int d^{3}\mathbf{p} \left[ \frac{1}{2}\beta\epsilon - \frac{1}{2} + 1 - \beta\epsilon + \log\left(e^{\beta\epsilon} - 1\right) - \log(e - 1) \right]$$

$$= -\frac{V}{(2\pi)^{3}} \int d^{3}\mathbf{p} \left[ -\frac{1}{2}\beta\epsilon + \log\left(e^{\beta\epsilon} - 1\right) \right]$$

$$= -\frac{V}{(2\pi)^{3}} \int d^{3}\mathbf{p} \left[ \frac{1}{2}\beta\epsilon + \log\left(1 - e^{-\beta\epsilon}\right) \right],$$
(14)

where in the second line we have dropped the non- $\beta$  terms. The **Helmholtz free energy** is

$$F_B \equiv -\frac{1}{\beta V} \log \mathcal{Z}_B = \frac{1}{(2\pi)^3} \int d^3 \mathbf{p} \left[ \frac{1}{2} \epsilon + \frac{1}{\beta} \log \left( 1 - e^{-\beta \epsilon} \right) \right],$$
 (15)

and the pressure is

$$P_B \equiv \frac{1}{\beta} \frac{\partial}{\partial V} \log \mathcal{Z}_B = -F_B. \tag{16}$$

### 1.2. Fermion field

The Lagrangian for a free Dirac field  $\psi$  is

$$\mathcal{L}_F = \bar{\psi} (i \partial \!\!\!/ - \bar{m}_\psi) \psi = \psi^\dagger \gamma^0 \left( i \gamma^0 \frac{\partial}{\partial t} + i \gamma \cdot \frac{\nabla}{a} - \bar{m}_\psi \right) \psi, \tag{17}$$

where  $\bar{m}_{\psi}$  is the bare mass of the fermion. At temperature  $T = \frac{1}{\beta}$  the corresponding partition function is (disregarding an overall normalization)

$$\mathcal{Z}_F \equiv \operatorname{Tr} e^{-\beta(\hat{H} - \mu \hat{Q})} = \int_{\text{APBC}} \mathcal{D}\bar{\psi} \,\mathcal{D}\psi \,e^{S_F^E},\tag{18}$$

where APBC denotes periodic boundary conditions,  $\hat{Q}$  is the number operator

$$\hat{Q} = \int d^3 \mathbf{x} \sqrt{-g} \,\psi^{\dagger} \psi, \tag{19}$$

and  $S_F^E$  is the Euclidean action

$$S_F^E \equiv \int_0^\beta d\tau \left\{ \int d^3 \mathbf{x} \sqrt{-g} \, \mathcal{L}_F^E + \hat{Q} \right\} = \int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3(\tau) \, \bar{\psi} \left[ -\gamma^0 \frac{\partial}{\partial \tau} + i \frac{\boldsymbol{\gamma} \cdot \nabla}{a} - \bar{m}_\psi + \mu \gamma^0 \right] \psi. \tag{20}$$

As before, we get

$$S_F^E = -\int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3 \bar{\psi} \left[ \gamma^0 \frac{\partial}{\partial \tau} - i \frac{\mathbf{\gamma} \cdot \nabla}{a} + \bar{m}_\psi - \mu \gamma^0 \right] \psi$$
$$= -\int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3 \psi^\dagger \left[ \frac{\partial}{\partial \tau} - i \frac{\gamma^0 \mathbf{\gamma} \cdot \nabla}{a} + \gamma^0 \bar{m}_\psi - \mu \right] \psi. \tag{21}$$

We now take the ansatz

$$\psi_{\alpha}(\mathbf{x}, \tau) = \frac{1}{\sqrt{V}} \sum_{n = -\infty}^{\infty} \sum_{\mathbf{k}} e^{i(\mathbf{k} \cdot \mathbf{x} + \omega_n \tau)} \psi_{\alpha; n}(\mathbf{k}), \tag{22}$$

where  $\alpha$  denotes the spinor indices, and the Matsubara frequencies are now given by  $\omega_n = \frac{(2n+1)\pi}{\beta}$ , so that  $\psi(\mathbf{x},\beta) = -\psi(\mathbf{x},0)$ . Putting Eq. (22) in Eq. (21), one gets

$$S_F^E = -\frac{1}{V} \sum_{m,n} \sum_{\mathbf{k},\mathbf{q}} \sum_{\rho,\sigma} \int_0^\beta d\tau \int d^3 \mathbf{x} \, a^3 \left( i\omega_n + \frac{\gamma^0 \mathbf{\gamma} \cdot \mathbf{k}}{a} + \gamma^0 \bar{m}_{\psi} - \mu \right)_{\sigma\rho} e^{i(\mathbf{k} - \mathbf{q}) \cdot \mathbf{x}} e^{i(\omega_m - \omega_n)\tau} \, \psi_{\sigma;m}^{\dagger}(\mathbf{q}) \psi_{\rho;n}(\mathbf{k})$$

$$= -\beta \sum_{m,n} \sum_{\mathbf{k},\mathbf{q}} \sum_{\rho,\sigma} \left( (i\omega_n - \mu) + \frac{\gamma^0 \mathbf{\gamma} \cdot \mathbf{k}}{a} + \gamma^0 \bar{m}_{\psi} \right)_{\sigma\rho} \delta_{m,n} \, \delta_{\mathbf{k},\mathbf{q}} \, \psi_{\sigma;m}^{\dagger}(\mathbf{q}) \psi_{\rho;n}(\mathbf{k})$$

$$= -\beta \sum_{n} \sum_{\mathbf{k}} \sum_{\rho,\sigma} \left( (i\omega_n - \mu) + \frac{\gamma^0 \mathbf{\gamma} \cdot \mathbf{k}}{a} + \gamma^0 \bar{m}_{\psi} \right)_{\sigma\rho} \, \psi_{\sigma;n}^{\dagger}(\mathbf{k}) \psi_{\rho;n}(\mathbf{k}).$$
(23)

Putting this in Eq. (18), we have

$$\mathcal{Z}_{F} = \int_{\text{APBC}} \mathcal{D}\psi^{\dagger} \, \mathcal{D}\psi \, e^{S_{F}^{E}} = \left[ \prod_{n} \prod_{\mathbf{k}} \prod_{\alpha} \int d\psi_{\alpha;n}^{\dagger}(\mathbf{k}) \int d\psi_{\alpha;n}(\mathbf{k}) \right] e^{-\beta \sum_{n} \sum_{\mathbf{k}} \sum_{\rho\sigma} D_{\sigma\rho} \, \psi_{\sigma;n}^{\dagger}(\mathbf{k}) \psi_{\rho;n}(\mathbf{k})}, \tag{24}$$

where

$$D = (i\omega_n - \mu) + \frac{\gamma^0 \mathbf{\gamma} \cdot \mathbf{k}}{a} + \gamma^0 \bar{m}_{\psi}. \tag{25}$$

To evaluate this, we use the standard expression for Grassmann integrals,

$$\int d\eta_1^{\dagger} d\eta_1 \cdots d\eta_N^{\dagger} d\eta_N e^{\eta^{\dagger} D\eta} = \det D$$
 (26)

for an  $N \times N$  matrix D. Looking at Eq. (26), we see that  $\mathcal{Z}_F = \det(-\beta D)$ , and so

$$\log \mathcal{Z}_F = \log \det \left( -\beta D \right) = \operatorname{Tr} \log \left( -\beta D \right), \tag{27}$$

where the trace is taken over  $\alpha, n$ , and **k**, and we have used that for a square matrix,

$$\log (\det A) = \operatorname{Tr}(\log A). \tag{28}$$

We can write

$$\operatorname{Tr}\log\left(-\beta D\right) = \frac{1}{2}\operatorname{Tr}\log\left(\beta^2 D^2\right),\tag{29}$$

and since

$$D^{2} = \underbrace{\left[\left(i\omega_{n} - \mu\right)^{2} + \frac{k^{2}}{a^{2}} + \bar{m}_{\psi}^{2}\right]}_{d^{2}} I + \text{terms proportional to } \gamma^{\mu}$$
(30)

and the  $\gamma^{\mu}$  are traceless, we have

$$\operatorname{Tr}\log\left(\beta^{2}D^{2}\right) = 4\sum_{n}\sum_{\mathbf{k}}\log\left(\beta^{2}d^{2}\right),\tag{31}$$

using the fact that if  $\{\lambda_i\}$  are the eigenvalues of a square matrix A, the eigenvalues of  $\log A$  are  $\{\log \lambda_i\}$ . We finally get, using Eqs. (27) and (29),

$$\log \mathcal{Z}_F = 2\sum_n \sum_{\mathbf{k}} \log \left[ \beta^2 \left\{ (i\omega_n - \mu)^2 + \epsilon^2 \right\} \right] = 2\sum_n \sum_{\mathbf{k}} \log \left[ \beta^2 \left\{ (\omega_n + i\mu)^2 + \epsilon^2 \right\} \right]$$
(32)

where  $\epsilon(\mathbf{k}) = \sqrt{\frac{k^2}{a^2} + \bar{m}_{\psi}^2} = \sqrt{p^2 + \bar{m}_{\psi}^2}$ . Ignoring the imaginary part of the argument of the log, we can rewrite the above equation as

$$\log \mathcal{Z}_F = \sum_n \sum_n \left\{ \log \left[ \beta^2 \left( \omega_n^2 + (\epsilon - \mu)^2 \right) \right] + \log \left[ \beta^2 \left( \omega_n^2 + (\epsilon + \mu)^2 \right) \right] \right\}. \tag{33}$$

Analogous to Eqs. (11) and (12) we have,

$$\log\left[\left(2n+1\right)^{2}\pi^{2}+\beta^{2}\left(\epsilon\pm\mu\right)^{2}\right] = \int_{1}^{\beta^{2}\left(\epsilon\pm\mu\right)^{2}} \frac{d\theta^{2}}{\theta^{2}+\left(2n+1\right)^{2}\pi^{2}} + \underbrace{\log\left[\left(2n+1\right)^{2}\pi^{2}+1\right]}_{\beta-\text{independent}},\tag{34}$$

and

$$\sum_{n=-\infty}^{\infty} \frac{1}{(2n+1)^2 \pi^2 + \theta^2} = \frac{1}{\theta} \left( \frac{1}{2} - \frac{1}{e^{\theta} + 1} \right); \tag{35}$$

therefore plugging Eqs. (34) and (35) into Eq. (33), and considering continuous momentum states gives us

$$\log \mathcal{Z}_F = \frac{2V}{(2\pi)^3} \int d^3 \mathbf{p} \left[ \beta \epsilon + \log \left( 1 + e^{-\beta \epsilon_-} \right) + \log \left( 1 + e^{-\beta \epsilon_+} \right) \right], \tag{36}$$

where  $\epsilon_{\pm} = \epsilon \pm \mu$ , and the  $\mu$ - and  $\beta$ -independent terms are dropped. The Helmholtz free energy is,

$$F_F = -\frac{1}{\beta V} \log \mathcal{Z}_F = -\frac{2}{(2\pi)^3} \int d^3 \mathbf{p} \left[ \epsilon + \frac{1}{\beta} \log \left( 1 + e^{-\beta \epsilon_-} \right) + \frac{1}{\beta} \log \left( 1 + e^{-\beta \epsilon_+} \right) \right],$$
 (37)

and as in the scalar case,

$$P_F = -F_F. (38)$$

We define the zero-point energy and pressure,

$$F_0 \equiv -\frac{2}{(2\pi)^3} \int d^3 \mathbf{p} \, \epsilon(p) \equiv -P_0, \tag{39}$$

and rewrite the Helmholtz free energy of Eq. (37) as

$$F_F = F_0 - \frac{2}{(2\pi)^3 \beta} \int d^3 \mathbf{p} \left[ \log \left( 1 + e^{-\beta \epsilon_-} \right) + \log \left( 1 + e^{-\beta \epsilon_+} \right) \right]$$

$$= F_0 - \frac{1}{\pi^2 \beta} \int_0^\infty dp \, p^2 \left[ \log \left( 1 + e^{-\beta \epsilon_-} \right) + \log \left( 1 + e^{-\beta \epsilon_+} \right) \right]. \tag{40}$$

We can simplify the above expression if we note that,

$$\int_{0}^{\infty} dp \, p^{2} \, \log\left(1 + e^{-\beta\epsilon_{\pm}}\right) = \underbrace{\log\left(1 - e^{-\beta\epsilon_{\pm}}\right)}_{0} \underbrace{\frac{p^{3}}{3}}_{0}^{\infty} + \beta \int_{0}^{\infty} \frac{dp \, e^{-\beta\epsilon_{\pm}}}{\epsilon(p) \left(1 + e^{-\beta\epsilon_{\pm}}\right)} \frac{p^{4}}{3}$$

$$= \beta \int_{0}^{\infty} \frac{dp \, p^{4}}{3\epsilon(p) \left(1 + e^{\beta\epsilon_{\pm}}\right)},$$
(41)

which makes Eq. (40) of the form

$$F_F = F_0 - \frac{1}{3\pi^2} \int_0^\infty \frac{dp \, p^4}{\epsilon(p)} \left[ n_F(\epsilon_+) + n_F(\epsilon_-) \right], \tag{42}$$

where  $n_F(x)$  is the Fermi distribution function,

$$n_F(x) = \frac{1}{e^{\beta x} + 1}. (43)$$

#### 1.3. Coupled scalar and fermion

We take a Yukawa coupling of the form

$$\mathcal{L}_{\text{Yuk}} = -g_Y \bar{\psi} \varphi \psi \tag{44}$$

for some coupling constant  $g_Y$ . The total Euclidean action of a scalar and a Dirac fermion is

$$S_{\varphi\psi}^{E} = S_{B}^{E} + S_{F}^{E} - g_{Y} \int_{0}^{\beta} d\tau \int d^{3}\mathbf{x} \, a^{3} \bar{\psi} \varphi \psi, \tag{45}$$

where the bosonic action has a potential  $U(\varphi)$  where the mass term for the fermion will come from. This leads to the partition function

$$\mathcal{Z}_{\varphi\psi} = \int \mathcal{D}\varphi \,\mathcal{D}\bar{\psi} \,\mathcal{D}\psi \,e^{S_{\varphi\psi}^{E}} 
= \int \mathcal{D}\varphi \,\mathcal{D}\bar{\psi} \,\mathcal{D}\psi \,\exp\left\{S_{B}^{E} - \int_{0}^{\beta} d\tau \int d^{3}\mathbf{x} \,a^{3}\,\bar{\psi} \left[\gamma^{0} \frac{\partial}{\partial \tau} - i\frac{\boldsymbol{\gamma} \cdot \nabla}{a} + (g_{Y}\varphi + \bar{m}_{\psi}) - \mu\gamma^{0}\right]\psi\right\},$$
(46)

where we have ignored the bare mass of the fermion, i.e.,  $\bar{m}_{\psi} = 0^{1}$ . We can formally integrate out the Dirac fields to get,

$$\mathcal{Z}_{\varphi\psi} = \int \mathcal{D}\varphi \, e^{S_{\text{eff}}^E(\varphi)} = \int \mathcal{D}\varphi \, e^{S_B^E + \log \det \hat{D}(\varphi)},\tag{47}$$

where

$$\hat{D}(\varphi) = -\beta \left[ \frac{\partial}{\partial \tau} - i \frac{\gamma^0 \gamma \cdot \nabla}{a} + \gamma^0 g_Y \varphi - \mu \right]. \tag{48}$$

Let us assume that the scalar at  $\varphi = \varphi_m$  minimizes the action  $S_{\text{eff}}^E(\varphi)$ . This is the **vacuum expectation value** (vev), or the classical value of the field,

$$\varphi_m = \langle \varphi \rangle, \tag{49}$$

in which case we can precisely determine the term  $\log \det \hat{D}(\varphi)$ , and see that the fermion gets a mass

$$m_{\psi} = g_Y \varphi_m. \tag{50}$$

At  $\varphi = \varphi_m$ , we can write

$$\mathcal{Z}_{\varphi\psi} = \mathcal{Z}_F \, e^{-\beta V U(\varphi_m)},\tag{51}$$

which leads to

$$F_{\varphi\psi}(\varphi_m) = U(\varphi_m) + F_F(\varphi_m). \tag{52}$$

This is equivalent to making a saddle-point approximation which is self-consistent if  $\varphi_m$  minimizes the free energy,

$$\frac{\partial F_{\varphi\psi}(\varphi)}{\partial \varphi}\bigg|_{\mu,\beta;\varphi=\varphi_m} = 0, \qquad \frac{\partial^2 F_{\varphi\psi}(\varphi)}{\partial \varphi^2}\bigg|_{\mu,\beta;\varphi=\varphi_m} > 0.$$
(53)

We take the derivative of both sides of Eq. (52) at  $\varphi_m$ , and we use Eq. (50) and the first of Eq. (53) to write the fermionic mass equation

where  $\rho_s$  is the scalar fermionic density (or the chiral condensate density)

$$\rho_s \equiv \frac{\langle \hat{N} \rangle}{V} = \frac{\partial F_F}{\partial m_{\psi}},\tag{55}$$

with  $\hat{N} = \int d^3 \mathbf{x} \sqrt{-g} \, \bar{\psi} \psi$ . From Eqs. (39) and (40), and with  $\bar{m}_{\psi}$  replaced in our case by  $g_Y |\varphi_m|$ , one gets

$$\rho_s = \frac{m_\psi}{\pi^2} \int_0^\infty \frac{dp \, p^2}{\epsilon(p)} \left[ n_F(\epsilon_+) + n_F(\epsilon_-) - 1 \right]. \tag{56}$$

<sup>&</sup>lt;sup>1</sup>We are interested in a situation where the bare mass  $\bar{m}_{\psi}$  of the fermion is small compared to  $g_Y \varphi_m$ , and we can ignore it, i.e., we consider  $m_{\psi} \approx g_Y \varphi_m$ .

The equations of the model do not explicitly have time dependence, but the quantities like temperature and chemical potential depend on time through the scale factor a(t), e.g., T = T(a),  $\mu = \mu(a)$ , etc. The latter dependencies are determined from the **Friedmann continuity equation** 

$$\dot{\rho}_{\text{tot}} + \frac{3\dot{a}}{a} \left( \rho_{\text{tot}} + P_{\text{tot}} \right) = 0, \tag{57}$$

which is obtained from the two Friedmann equations

$$H^{2}(t) = \left(\frac{\dot{a}}{a}\right)^{2} = \frac{8\pi G}{3}\rho_{\text{tot}}, \qquad \dot{H}(t) + H^{2}(t) = \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho_{\text{tot}} + 3P_{\text{tot}}). \tag{58}$$

Also, the fermion mass  $m_{\psi} \propto \varphi_m$  (up to a constant) is also time varying, since  $\varphi_m$  depends on T and  $\mu$  through Eq. (54). We also redefine all the potentials wrt their vacuum values,

$$F_F \mapsto F_F - F_0, \qquad P_F \mapsto P_F - P_0, \qquad \rho_s \mapsto \rho_s - \rho_0,$$
 (59)

where in Eq. (56), we have defined

$$\rho_0 \equiv -\frac{m_\psi}{\pi^2} \int_0^\infty \frac{dp \, p^2}{\epsilon(p)}.\tag{60}$$

These redefinitions amount to only keeping the finite-temperature parts of the correction<sup>2</sup>, and getting rid of the zero-temperature parts; we will come back to this vacuum part later.

The dynamics of the system is interesting where  $U(\varphi)$  does not have a non-trivial minimum, and fermion mass is generated, i.e., Eq. (54) is solved, by some interplay between the fermionic and scalar sectors. We consider here an equal number of fermions and antifermions (i.e.,  $\mu = 0$ ), and take  $N_F = 1$  generation of fermions as a toy model.

## 1.4. High and low temperature regimes

Using the redefinitions of Eq. (59), the pressure in Eq. (38) becomes

$$P_F = -F_F = \frac{2N_F}{3\pi^2} \int_0^\infty \frac{dp \, p^4}{\epsilon(p) \left(e^{\beta\epsilon} + 1\right)}.\tag{61}$$

Taking  $z = \beta \epsilon = \beta \sqrt{p^2 + m_{\psi}^2}$ , we get

$$\frac{dp p^4}{\epsilon(p)} = \frac{dz \left(z^2 - \beta^2 m_{\psi}^2\right)^{3/2}}{\beta^4}.$$
(62)

We can thus write the pressure as

$$P_F = -F_F = \frac{2N_F}{3\pi^2 \beta^4} \mathscr{I}_{3/2}(\kappa).$$
 (63)

and the chiral condensate density as

$$\rho_s = \frac{2N_F m_\psi}{\pi^2 \beta^2} \mathscr{I}_{1/2}(\kappa), \tag{64}$$

where

$$\mathscr{I}_{1/2}(\kappa) \equiv \int_{\kappa}^{\infty} \frac{dz \left(z^2 - \kappa^2\right)^{1/2}}{e^z + 1}, \qquad \mathscr{I}_{3/2}(\kappa) \equiv \int_{\kappa}^{\infty} \frac{dz \left(z^2 - \kappa^2\right)^{3/2}}{e^z + 1}, \tag{65}$$

and we are introducing the dimensionless parameters

$$\Delta \equiv \frac{M}{T}, \qquad \kappa \equiv \frac{g_Y \varphi}{T}, \qquad F_R \equiv \frac{F_{\varphi \psi}}{M^4},$$
 (66)

<sup>&</sup>lt;sup>2</sup>The correction  $F_F$  arises from computing the thermal 1-loop contributions, and have a finite-temperature and a zero-temperature part.

	$\kappa \ll 1 \text{ (high } T)$	$\kappa \gg 1 \text{ (low } T)$
$\mathscr{I}_{3/2}(\kappa)$	$\frac{7\pi^4}{120} - \frac{\pi^2}{8}\kappa^2 + \mathcal{O}(\kappa^4)$	$3\kappa^2 K_2(\kappa) + \mathcal{O}(e^{-2\kappa})$
$\mathscr{I}_{1/2}(\kappa)$	$\frac{\pi^2}{12}$ + subleading	$\kappa K_1(\kappa) + \mathcal{O}(e^{-2\kappa})$
$\mathscr{I}_n(\kappa)$	$\frac{3}{2}\zeta(3) - \left(\frac{1}{2}\log 2\right)\kappa^2 + \mathcal{O}(\kappa^3)$	$ \kappa^2 K_2(\kappa) + \mathcal{O}(e^{-2\kappa}) $
$\mathscr{I}_{\epsilon}(\kappa)$	$\frac{7\pi^4}{120} - \frac{\pi^2}{24}\kappa^2 + \mathcal{O}(\kappa^4)$	$3\kappa^2 K_2(\kappa) + \kappa^3 K_1(\kappa) + \mathcal{O}(e^{-2\kappa})$
$\frac{d^2 \mathscr{I}_{3/2}(\kappa)}{d\kappa^2}$	$-\frac{\pi^2}{4} - \frac{9}{4}\kappa^2 \log \kappa + \mathcal{O}(\kappa^2)$	$3\sqrt{\frac{\pi}{2}}\kappa^{3/2}e^{-\kappa} + \mathcal{O}(e^{-2\kappa})$

Table 1: The asymptotic expressions for the various integrals we will encounter throughout this work.

with M being the overall mass scale of the scalar potential we will consider. The fermion (antifermion) number density is

$$n_{+} = n_{-} = \frac{N_{F}}{\pi^{2}} \int_{0}^{\infty} dp \, p^{2} \, n_{F}(\epsilon) = \frac{N_{F}}{\pi^{2} \beta^{3}} \mathscr{I}_{n}(\kappa),$$
 (67)

with

$$\mathscr{I}_n(\kappa) \equiv \int_{\kappa}^{\infty} \frac{dz \, z \left(z^2 - \kappa^2\right)^{1/2}}{e^z + 1}.\tag{68}$$

The asymptotic expressions for the integrals are given in Tab. 1. We look at two regimes of interest:

## 1. Low Temperature — $\kappa \gg 1$ :

We can expand  $K_2(x)$  about  $\infty$  to get

$$P_{F} = \frac{2N_{F}}{3\pi^{2}\beta^{4}} \left[ 3\beta^{2} m_{\psi}^{2} K_{2}(\beta m_{\psi}) + \mathcal{O}(e^{-2\beta m_{\psi}}) \right]$$

$$= \frac{2N_{F}}{3\pi^{2}\beta^{4}} \left[ 3\left\{ \sqrt{\frac{\pi}{m_{\psi}}} (\beta m_{\psi})^{3/2} + \frac{15}{8} \sqrt{\frac{\pi}{m_{\psi}}} (\beta m_{\psi})^{1/2} + \mathcal{O}\left(\sqrt{\frac{1}{x}}\right) \right\} e^{-\beta m_{\psi}} + \mathcal{O}(e^{-2\beta m_{\psi}}) \right]$$

$$\approx \frac{\sqrt{2}N_{F}}{\pi^{3/2}} T(Tm_{\psi})^{3/2} e^{-m_{\psi}/T},$$
(69)

where in the last step, we have kept terms only up to the leading order. Similarly from Eq. (64), the scalar fermionic density is, to the leading order,

$$\rho_s \approx \frac{\sqrt{2}N_F}{\pi^{3/2}} (Tm_{\psi})^{3/2} e^{-m_{\psi}/T},\tag{70}$$

and from Eq. (67), we have

$$n_{+} = n_{-} \approx \frac{N_F}{\sqrt{2}\pi^{3/2}} (Tm_{\psi})^{3/2} e^{-m_{\psi}/T}.$$
 (71)

We see from Eqs. (69)–(71) that

$$P_D \approx (n_+ + n_-)T \approx \rho_s T. \tag{72}$$

## 2. High Temperature — $\kappa \ll 1$ :

Here, the relavant quantities are

$$P_F \approx \frac{N_F}{12} T^2 \left( \frac{7}{15} \pi^2 T^2 - m_\psi^2 \right),$$
 (73)

$$\rho_s \approx \frac{N_F}{6} m_\psi T^2,\tag{74}$$

and,

$$n_{\pm} \approx \frac{3N_F\zeta(3)}{2\pi^2}T^3. \tag{75}$$

#### Comments and Observations:

- a) Since  $\rho_s$  is positive definite,  $U(\varphi)$  cannot be monotonically increasing for Eq. (54) to have non-trivial solution, ruling out some popular quintessence potentials.
- b) Monotonically decreasing potentials have "a window of parameters" allowing for a meaningful solution to Eq. (54). We can see from Eq. (70) that if  $U(\varphi)$  has a minimum at  $\varphi_m = \infty$ , one has a solution (the "doomsday" vacuum), which is trivial; a non-trivial solution exists for some other minimum of  $F_{\varphi\psi}(\varphi)$  that arises due to fermionic contributions. Since there is no zero-temperature fermionic contribution, a finite mass can only occur above a certain temperature.

#### 1.5. The scalar mass

In the absence of fermionic contributions, the mass of a scalar field is given as  $m_{\varphi}^2 = \left. \frac{\partial^2 U(\varphi)}{\partial \varphi^2} \right|_{\varphi = \varphi_m}$ . Due to fermionic contributions, however, the effective potential of the scalar field  $\varphi$  is given by  $F_{\varphi\psi}$  of Eq. (52). We thus define the scalar mass as

$$m_{\varphi}^2 \equiv \left. \frac{\partial^2 F_{\varphi\psi}(\varphi)}{\partial \varphi^2} \right|_{\varphi = \varphi_m} \tag{76}$$

Using Eqs. (52) and (63), we can rewrite this as,

$$\left[ m_{\varphi}^{2} = \left. \frac{\partial^{2} U(\varphi)}{\partial \varphi^{2}} \right|_{\varphi = \varphi_{m}} - \left. \frac{2N_{F}g_{Y}^{2}}{3\pi^{2}\beta^{2}} \frac{d^{2} \mathscr{I}_{3/2}(\kappa)}{d\kappa^{2}} \right|_{\kappa = \beta g_{Y}\varphi_{m}}.$$
 (77)

We use the Leibniz integral rule and the definition of  $\mathcal{I}_{3/2}(\kappa)$  to get,

$$\frac{d^2 \mathscr{I}_{3/2}(\kappa)}{d\kappa^2} = 3 \left[ \kappa^2 \int_{\kappa}^{\infty} \frac{dz}{\left(e^z + 1\right) \left(z^2 - \kappa^2\right)^{1/2}} - \mathscr{I}_{1/2}(\kappa) \right]. \tag{78}$$

The asymptotic expression for  $\frac{d^2 \mathscr{I}_{3/2}(\kappa)}{d\kappa^2}$  is presented in Tab. 1.

#### 1.6. Equation of state and sound speed

The equation of state  $w_{\varphi\psi}$  for the  $\varphi\psi$  fluid is defined as

$$w_{\varphi\psi} \equiv \frac{P_{\varphi\psi}}{\rho_{\varphi\psi}},\tag{79}$$

where  $P_{\varphi\psi} = -F_{\varphi\psi}$ , and  $\rho_{\varphi\psi}$  is the total energy density

$$\rho_{\varphi\psi} = U(\varphi) + \frac{2N_F}{\pi^2} \int_0^\infty dp \, p^2 \, n_F(\epsilon) \, \epsilon = U(\varphi) + \frac{2N_F}{\pi^2 \beta^4} \mathscr{I}_{\epsilon}(\kappa), \tag{80}$$

where

$$\mathscr{I}_{\epsilon}(\kappa) \equiv \int_{\kappa}^{\infty} \frac{dz \, z^2 \left(z^2 - \kappa^2\right)^{1/2}}{e^z + 1}.\tag{81}$$

The adiabatic sound speed  $c_{s,\varphi\psi}$  is defined as

$$c_{s,\varphi\psi}^2 \equiv \frac{dP_{\varphi\psi}}{d\rho_{\varphi\psi}}.$$
 (82)

In the regimes where the gap equation has a solution, we can write

$$c_{s,\varphi\psi}^{2} = \frac{\frac{dP_{\varphi\psi}}{d\Delta}}{\frac{d}{d\Delta}} = \frac{\left(\frac{\partial P_{\varphi\psi}}{\partial \Delta} + \frac{\partial P_{\varphi\psi}}{\partial \kappa} \frac{d\kappa}{d\Delta}\right)\Big|_{\kappa = \beta g_{Y}\varphi_{m}}}{\left(\frac{\partial \rho_{\varphi\psi}}{\partial \Delta} + \frac{\partial \rho_{\varphi\psi}}{\partial \kappa} \frac{d\kappa}{d\Delta}\right)\Big|_{\kappa = \beta g_{Y}\varphi_{m}}}$$

$$= \frac{\frac{\partial P_{\varphi\psi}}{\partial \Delta}}{\frac{\partial \rho_{\varphi\psi}}{\partial \Delta} + \frac{\partial \rho_{\varphi\psi}}{\partial \kappa} \dot{\kappa}_{m}}\Big|_{\kappa = \beta g_{Y}\varphi_{m}}$$
(83)

where  $\kappa_m$  and  $\Delta$  are related through the mass equation Eq. (54),  $\dot{\kappa}_m \equiv \frac{d\kappa}{d\Delta}\Big|_{\kappa=\beta g_Y \varphi_m}$ , and in the last step we have used  $\frac{\partial P_{\varphi\psi}}{\partial \kappa}\Big|_{\kappa=\beta g_Y \varphi_m} = 0$ , a fact seen from the first of Eq. (53).

We will now write  $c_{s,\varphi\psi}^2$  in a form more convenient for calculations. Let us start with the pressure and energy density normalized by  $M^4$ , i.e.,  $P_R \equiv \frac{P_{\varphi\psi}}{M^4}$  and  $\rho_R \equiv \frac{\rho_{\varphi\psi}}{M^4}$ . For a generic scalar field potential  $u(\kappa, \Delta) \equiv \frac{U(\kappa, \Delta)}{M^4}$ , we can write

$$P_R = -u(\kappa, \Delta) + \frac{2N_F}{3\pi^2 \Delta^4} \mathscr{I}_{3/2}(\kappa), \qquad \rho_R = u(\kappa, \Delta) + \frac{2N_F}{\pi^2 \Delta^4} \mathscr{I}_{\epsilon}(\kappa), \tag{84}$$

and the mass equation Eq. (54) becomes

$$\frac{\partial u}{\partial \kappa} + \frac{2N_F}{\pi^2 \Delta^4} \kappa \mathscr{I}_{1/2}(\kappa) = 0. \tag{85}$$

The potential we will consider can be written as a function of  $\frac{\kappa}{\Delta}$ , for which it can be checked that  $\frac{\partial u}{\partial \Delta} = -\frac{\kappa}{\Delta} \frac{\partial u}{\partial \kappa}$ ; thus

$$\frac{\partial u}{\partial \Delta} = -\frac{\kappa}{\Delta} \frac{\partial u}{\partial \kappa} = \frac{2N_F}{\pi^2 \Delta^5} \kappa^2 \mathcal{I}_{1/2}(\kappa). \tag{86}$$

From the expressions in Eq. (84), we can write,

$$\frac{\partial P_R}{\partial \Delta} = -\frac{2N_F}{\pi^2 \Delta^5} \left( \kappa^2 \mathscr{I}_{1/2}(\kappa) + \frac{4}{3} \mathscr{I}_{3/2}(\kappa) \right), 
\frac{\partial \rho_R}{\partial \Delta} = \frac{2N_F}{\pi^2 \Delta^5} \left( \kappa^2 \mathscr{I}_{1/2}(\kappa) - 4\mathscr{I}_{\epsilon}(\kappa) \right), 
\frac{\partial \rho_R}{\partial \kappa} = \frac{2N_F}{\pi^2 \Delta^4} \left( \mathscr{I}'_{\epsilon}(\kappa) - \kappa \mathscr{I}_{1/2}(\kappa) \right).$$
(87)

Thus we can rewrite Eq. (83) as,

$$c_{s,\varphi\psi}^{2} = \frac{\kappa^{2} \kappa \mathscr{I}_{1/2}(\kappa) + \frac{4}{3} \mathscr{I}_{3/2}(\kappa)}{4\mathscr{I}_{\epsilon}(\kappa) - \kappa^{2} \mathscr{I}_{1/2}(\kappa) + \left[\kappa \mathscr{I}_{1/2}(\kappa) - \mathscr{I}'_{\epsilon}(\kappa)\right] \kappa \frac{d \log_{e} \kappa}{d \log_{e} \Delta} \bigg|_{\kappa = \beta q_{X} \varphi_{m}}$$
(88)

We can see some general properties from Eq. (88):

1. At very high temperatures, we have  $\Delta \to 0$  and  $\kappa \to 0$ , and in this regime,

$$c_{s,\varphi\psi}^2 \to \frac{1}{3} \frac{\mathscr{I}_{3/2}(0)}{\mathscr{I}_{\epsilon}(0)} = \frac{1}{3}.$$
 (89)

2. This point works for the potential we will consider, but cannot be generally extended to all classes of potentials. We will see later that as the universe cools, the  $\varphi\psi$  fluid undergoes a first-order phase transition at a critical temperature  $T_{\rm PT}$ . As  $T \to T_{\rm PT}^+$ , the fermion mass approaches the critical mass  $m_{\psi,\rm PT}$  with a critical exponent of  $\frac{1}{2}$ ,

$$m_{\psi} - m_{\psi, PT} \sim (T - T_{PT})^{1/2} \qquad \Rightarrow \qquad \frac{\partial m_{\psi}}{\partial T} \Big|_{T_{PT}} \sim \frac{1}{\sqrt{T - T_{PT}}} \to \infty$$
 (90)

This tells us that  $\left. \frac{\partial \kappa}{\partial \Delta} \right|_{\kappa = \kappa_{\rm PT}} \to \infty$ , and thus, the sound speed approaches 0 at the critical point.

# 2. The exponential scalar potential

This potential is of the form

$$U(\varphi) = M^4 e^{-\lambda \varphi/M}, \tag{91}$$

where M was introduced previously in Eq. (66). As mentioned below, we will also set the bare mass of the fermion to zero, i.e.,  $\bar{m}_{\psi} = 0$ . The dimensionless thermodynamic potential  $F_R$ , defined as in Eq. (66) becomes

$$F_R = e^{-\lambda \kappa/g_Y \Delta} - \frac{2N_F}{3\pi^2 \Delta^4} \mathscr{I}_{3/2}(\kappa), \qquad (92)$$

while the mass equation Eq. (54) becomes

$$\frac{\lambda \pi^2}{2g_Y N_F} \Delta^3 = \underbrace{\kappa \, e^{\lambda \kappa / g_Y \Delta} \int_{\kappa}^{\infty} \frac{dz \left(z^2 - \kappa^2\right)^{1/2}}{e^z + 1}}_{\mathcal{I}_{\Delta}(\kappa)}.$$
(93)

The evolution of the system is shown in Fig. 1a, where we plot the integral  $\mathcal{I}_{\Delta}(\kappa)$  in solid curves for a chosen value of  $\lambda/g_Y=1.5$ , and various values of  $\Delta$ ; the LHS of Eq. (93) for corresponding values of  $\Delta$  is plotted with dashed lines of the same colour. In Fig. 1b, we show the normalized potential of the Eq. (92) for the corresponding cases. As expected, the equilibrium solutions to the mass equation minimize the potential  $F_R$  at the corresponding  $\Delta$ .

- As will be described in more details, the system goes through three phases.
  - a) When the universe is very hot, the  $\varphi\psi$  fluid is in the **stable phase**, where Eq. (93) has a non-trivial equilibrium solution  $\kappa_c$  (which minimizes  $F_R$ ) and the pressure is positive, as seen for case '(a)' in Figs. 1a and 1b.
  - b) As the universe cools further, the system passes through the point of metastability (case '(b)' in Figs. 1a and 1b), when  $\Delta = \Delta_o$  and the equilibrium solution to Eq. (93) is denoted  $\kappa_o$ , to go into the **metastable phase** where there is still a non-trivial solution to Eq. (93), but the pressure is now negative. The local minimum of  $F_R$ , which Eq. (93), is no longer the true minimum of  $F_R$  in the metastable regime.
  - c) Finally the system crosses the critical point  $\Delta = \Delta_{\rm PT}$  (case '(c)' in Figs. 1a and 1b), where Eq. (93) is solved at  $\kappa = \kappa_{\rm PT}$ , to enter the **unstable phase** with no equilibrium solution to Eq. (93), as seen from case '(d)' in Figs. 1a and 1b. In this regime, we need to numerically solve the EOM of the  $\varphi\psi$  system, along with the Friedmann equations. Qualitatively, the field  $\varphi$  slowly rolls towards the trivial solution  $\varphi \to \infty$ , known as the "doomsday vacuum" in dark energy contexts.

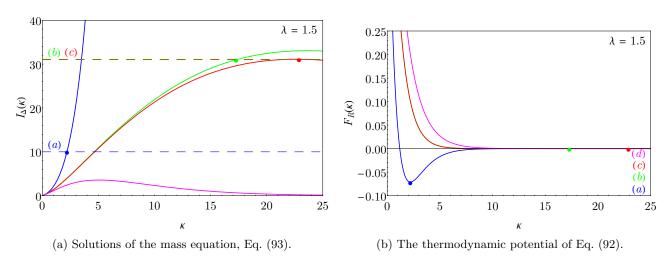


Figure 1: Left: Thermodynamically stable solutions  $(\kappa_m)$  of Eq. (93) for  $\lambda/g_Y = 1.5$  and different values of  $\Delta$ . The dashed line corresponding to the LHS of Eq. (93) for the purple  $\mathcal{I}_{\Delta}$  curve lies beyond the range of the plot. Right:  $F_R$  for the cases in Fig. 1a. The equilibrium solutions are indicated by big filled dots.

We will now make an approximate analytic determination of the critical point using the fact that at the critical point, the solution to the mass equation also maximizes  $\mathcal{I}_{\Delta}(\kappa)$ . We expect that the critical point will be in the  $\kappa \gg 1$  regime, and using the definition of  $\mathcal{I}_{\Delta}(\kappa)$  in Eq. (93) and the form in Tab. 1, and recalling that for  $x \gg 1$  we have  $K_1(x) \approx e^{-x} \left[ \sqrt{\frac{\pi}{2x}} + \mathcal{O}(x^{-3/2}) \right]$ , we have

$$\mathcal{I}_{\Delta}(\kappa) \approx \sqrt{\frac{\pi}{2}} \kappa^{3/2} e^{-\kappa \left(1 - \frac{\lambda}{g_Y \Delta}\right)}.$$
 (94)

The local maximum of  $\mathcal{I}_{\Delta}$  at a given  $\beta$  is when  $\mathcal{I}'_{\Delta}(\kappa) = 0$ , which is satisfied when  $\kappa$  has the value

$$\kappa_m^{\Delta} = \frac{3}{2} \left( 1 - \frac{\lambda}{g_Y \Delta} \right)^{-1},\tag{95}$$

and the value of the integral  $\mathcal{I}_{\Delta}$  at this point is

$$\mathcal{I}_{\Delta}(\kappa_m^{\Delta}) = \sqrt{\frac{\pi}{2}} \left[ \frac{3}{2e} \left( 1 - \frac{\lambda}{g_Y \Delta} \right)^{-1} \right]^{3/2}.$$
 (96)

The critical value  $\Delta_{\rm PT}$  is when this equals the LHS of Eq. (93),

$$\frac{\lambda \pi^2}{2g_Y N_F} \Delta_{\rm PT}^3 = \sqrt{\frac{\pi}{2}} \left[ \frac{3}{2e} \left( 1 - \frac{\lambda}{g_Y \Delta_{\rm PT}} \right)^{-1} \right]^{3/2},\tag{97}$$

leading to

$$\Delta_{\text{PT}} = \frac{\lambda \left( 1 + \sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}}} \right)}{2g_Y}$$
(98)

with  $B = \frac{6}{\pi e} \left(2N_F^2\right)^{1/3}$ . Thus from Eqs. (95) and (96), the critical value of  $\kappa$  and the corresponding  $\mathcal{I}_{\Delta}$  are given

by

$$\kappa_{\text{PT}} = \frac{3}{2} \frac{\sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}} + 1}}{\sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}} - 1}}, \qquad T_{\text{PT}} = \frac{2g_Y M}{\lambda \left(1 + \sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}}}\right)}.$$
(99)

From Eq. (77), the scalar field mass is determined before the phase transition to be,

$$\frac{m_{\varphi}^2}{g_Y^2 M^2} = \frac{\lambda^2}{g_Y^2} e^{-\lambda \kappa_m/g_Y \Delta} - \left. \frac{2N_F}{3\pi^2 \Delta^2} \mathscr{I}_{3/2}''(\kappa) \right|_{\kappa = \kappa_m}. \tag{100}$$

where  $\kappa_m$  solves Eq. (93).

We can work out the redshift  $z_{\rm PT}$  at which the phase transition occurs by noting that the critical temperature  $T_{\rm PT}$  can be related to the temperature today as  $T_{\rm PT} = (1+z_{\rm PT})\,T_{\rm now}\left(\frac{g_{S,{\rm now}}}{g_{S,{\rm PT}}}\right)^{1/3}$ , where  $g_S$  is the effective relativistic degrees of freedom. This gives

$$1 + z_{\text{PT}} = \frac{2g_Y M}{\lambda T_{\text{now}} \left( 1 + \sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}}} \right) \left( \frac{g_{S,\text{PT}}}{g_{S,\text{now}}} \right)^{1/3}}.$$
(101)

#### 2.1. The stable phase

In the stable phase, we can derive an approximate expression for the fermion mass by solving the mass equation Eq. (93). We make the (not so satisfactory) assumption that at very high temperatures, we can write  $e^{\kappa \lambda/g_Y \Delta} \approx 1 + \frac{\kappa \lambda}{g_Y \Delta}$ , and using the  $x \ll 1$  approximation of  $\mathcal{I}_{1/2}(x)$ , we can write  $\mathcal{I}_{\Delta}(\kappa) \approx \frac{\pi^2}{12} \kappa$ . This gives the high temperature solution

$$\kappa_c = \frac{6\lambda\Delta^3}{g_Y N_F}. (102)$$

The normalized fermion mass is then given by

$$\frac{m_{\psi}}{M} = \frac{\kappa_m}{\Delta} = \frac{6\lambda\Delta^2}{g_Y N_F} \sim T^{-2}.$$
 (103)

We can substitute this value of  $\kappa_c$  in Eq. (100) to get the scalar mass,

$$\frac{m_{\varphi}^2}{g_Y^2 M^2} = \frac{\lambda^2}{g_Y^2} \left( 1 - \frac{6\lambda^2 \Delta}{g_Y^2 N_F} \right) + \underbrace{\frac{N_F}{6\Delta^2} + \frac{54\lambda^2 \Delta^4}{\pi^2 g_Y^2 N_F} \log_e \left( \frac{6\lambda \Delta^3}{g_Y N_F} \right)}_{\text{Fermionic contribution}}.$$
(104)

We plot the scalar and fermion masses in Fig. 2 by numerically solving Eq. (93), and evaluating Eq. (100) for the obtained values of  $\kappa_m$ .

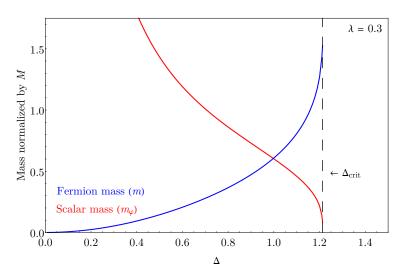


Figure 2: Fermion and scalar masses as a function of  $\Delta$  for  $\lambda = 0.3$ . Note that these are exact numerical solutions, and not the approximate expressions derived in Sec. 2.1.

### 2.2. The point of metastability

With the expectation that metastability occurs in a relatively low temperature regime, we use the low temperature expansion of  $\mathcal{I}_{3/2}(\kappa)$  to write the thermodynamic potential as

$$F_R = e^{-\lambda \kappa / g_Y \Delta} - \frac{2N_F}{3\pi^2 \Delta^4} \left( 3\kappa^2 K_2(\kappa) \right), \tag{105}$$

while the mass equation Eq. (93) gives us

$$\frac{2N_F}{\pi^2 \Delta^4} = \frac{\lambda e^{-\lambda \kappa_m/g_Y \Delta}}{g_Y \Delta \kappa_m \mathscr{I}_{1/2}(\kappa)} \approx \frac{\lambda e^{-\lambda \kappa_m/g_Y \Delta}}{g_Y \Delta \kappa_c^2 K_1(\kappa)}.$$
 (106)

Putting these in Eq. (105), we get for an equilibrium solution point

$$F_R = e^{-\lambda \kappa_m/g_{Y}\Delta} \left[ 1 - \frac{\lambda}{3g_{Y}\Delta} \frac{3\kappa_m^2 K_2(\kappa_m)}{\kappa_m^2 K_1(\kappa_m)} \right] \approx e^{-\lambda \kappa_m/g_{Y}\Delta} \left[ 1 - \frac{\lambda}{g_{Y}\Delta} \left( 1 + \frac{3}{2\kappa_m} + \frac{3}{8\kappa_m^2} \right) \right]. \tag{107}$$

The metastability point is when  $F_R = 0$ , which is achieved when

$$1 - \frac{\lambda}{g_Y \Delta_o} \left( 1 + \frac{3}{2\kappa_o} + \frac{3}{8\kappa_o^2} \right) = 0.$$

$$(108)$$

The quantity  $\Delta$  appears here, suggesting that this equation needs to be solved in conjuction with the mass equation with the low temperature approximation of  $\mathcal{I}_{\Delta}$ ,

$$\frac{\lambda \pi^2}{2g_Y N_F} \Delta^3 = \sqrt{\frac{\pi}{2}} \kappa_m^{3/2} e^{-\kappa_m \left(1 - \frac{\lambda}{g_Y \Delta}\right)}.$$
 (109)

### 2.3. Equation of state, speed of sound

The definition for the EoS of the  $\varphi\psi$  fluid is given in Eq. (79), which can be equivalently expressed in terms of the normalized quantities defined in Eq. (84), i.e.,  $w_{\varphi\psi} \equiv \frac{P_R}{\rho_R}$ ; considering the FJ potential, we have

$$P_R = -e^{-\lambda \kappa/g_Y \Delta} + \frac{2N_F}{3\pi^2 \Lambda^4} \mathscr{I}_{3/2}(\kappa), \qquad \rho_R = e^{-\lambda \kappa/g_Y \Delta} + \frac{2N_F}{\pi^2 \Lambda^4} \mathscr{I}_{\epsilon}(\kappa).$$
 (110)

The squared sound speed for the  $\varphi\psi$  fluid is given by Eq. (88), where the only potential-dependent term is  $\frac{d\log_e \kappa}{d\log_e \Delta}\Big|_{\kappa=\kappa}$  in the denominator. For the FJ potential, this evaluates to

$$\frac{d\log_e \kappa}{d\log_e \Delta}\bigg|_{\kappa=\kappa_m} = \frac{3 + \frac{\lambda \kappa_m}{g_Y \Delta}}{1 + \frac{\lambda \kappa_m}{g_Y \Delta} + \frac{\partial \log_e \mathscr{I}_2(\kappa)}{\partial \log_e \kappa}}\bigg|_{\kappa=\kappa_m} .$$
(111)

### Asymptotic and critical behaviour:

a) High Temperature: At very high temperatures, in the stable phase, Eq. (103) shows that  $\frac{\kappa_c}{\Delta} \sim T^{-2}$ ; also the exponential term in both  $P_R$  and  $\rho_R$  are almost 1, and so using the high T approximations for  $\mathscr{I}_{3/2}(\kappa)$  and  $\mathscr{I}_{\epsilon}(\kappa)$ , we get the expected behavior

$$w \approx \frac{1}{3}.\tag{112}$$

As already seen from Eq. (89), in this regime, the squared sound speed also approaches  $\frac{1}{3}$ .

b) Low Temperature: For lower temperatures ( $\kappa_m \gtrsim 1$ ) the pressure is obtained from Eq. (107), while the approximation for energy density is given by

$$\rho_{R} = e^{-\lambda \kappa_{m}/g_{Y}\Delta} \left[ 1 + \frac{\lambda}{g_{Y}\Delta} \frac{3\kappa_{m}^{2} K_{2}(\kappa_{m}) + \kappa_{m}^{3} K_{1}(\kappa_{m})}{\kappa_{m}^{2} K_{1}(\kappa_{m})} \right] \simeq e^{-\lambda \kappa_{m}/g_{Y}\Delta} \left[ 1 + \frac{\lambda}{g_{Y}\Delta} \left( \kappa_{m} + 3 + \frac{9}{2\kappa_{m}} + \frac{9}{8\kappa_{m}^{2}} \right) \right]$$

$$(113)$$

which leads to,

$$w \approx -\frac{1 - \frac{\lambda}{g_Y \Delta} \left(1 + \frac{3}{2\kappa_m} + \frac{3}{8\kappa_m^2}\right)}{1 + \frac{\lambda}{g_Y \Delta} \left(\kappa_m + 3 + \frac{9}{2\kappa_m} + \frac{9}{8\kappa_m^2}\right)},\tag{114}$$

where the relationship between  $\kappa_m$  and  $\Delta$  is now given by Eq. (109).

c) The Critical Point: At the critical point, we use the expressions in Eq. (98) and (99) to get the pressure,

$$P_{R,\text{PT}} = -e^{-\lambda \kappa_{\text{PT}}/g_Y \Delta_{\text{PT}}} \cdot \frac{R^2 + 1}{(R+1)^2},$$
 (115)

and the energy density,

$$\rho_{R,\text{PT}} = e^{-\lambda \kappa_{\text{PT}}/g_Y \Delta_{\text{PT}}} \cdot \frac{R + 10 + \frac{6\lambda^{8/3}}{Bg_Y^{8/3}} (3 - R)}{R + 1},$$
(116)

where  $R = \sqrt{1 + \frac{Bg_Y^{8/3}}{\lambda^{8/3}}}$ . This gives us

$$w_{\rm PT} = -\frac{2 + B \frac{g_Y^{8/3}}{\lambda^{8/3}}}{(R+1) \left[ R + 10 + \frac{6\lambda^{8/3}}{B g_Y^{8/3}} (3-R) \right]}.$$
 (117)

Also seen previously is the fact that the sound speed approaches 0 at the critical point (see discussion below Eq. (90)).

## 2.4. Transition to the unstable regime

To understand the nature of the transition from the metastable to the unstable phases, we will expand the integral  $\mathcal{I}_{\Delta}(\kappa)$  close to the critical point,

$$\mathcal{I}(\kappa_c) = \mathcal{I}(\kappa_{\rm PT}) + \left. \frac{1}{2} \frac{\partial^2 \mathcal{I}_{\Delta}}{\partial \kappa^2} \right|_{\kappa_{\rm PT}} (\kappa_m - \kappa_{\rm PT})^2 + \mathcal{O}\left((\kappa_m - \kappa_{\rm PT})^3\right). \tag{118}$$

Using Eq. (93), we can write this as

$$1 - \left(\frac{\Delta}{\Delta_{\rm PT}}\right)^3 = -\frac{1}{2\mathcal{I}_{\Delta}(\kappa_{\rm PT})} \frac{\partial^2 \mathcal{I}_{\Delta}}{\partial \kappa^2} \bigg|_{\kappa_{\rm PT}} (\kappa_m - \kappa_{\rm PT})^2, \tag{119}$$

and with the form of  $\mathcal{I}_{\Delta}$  in Eq. (94), we get

$$\frac{\partial \mathcal{I}_{\Delta}}{\partial \kappa} = \left(\frac{3}{2\kappa} - 1 + \frac{\lambda}{g_{Y}\Delta}\right) \mathcal{I}_{\Delta}, \qquad \frac{\partial^{2} \mathcal{I}_{\Delta}}{\partial \kappa^{2}} = \left\{ \left(\frac{3}{2\kappa} - 1 + \frac{\lambda}{g_{Y}\Delta}\right)^{2} - \frac{3}{2\kappa^{2}} \right\} \mathcal{I}_{\Delta}. \tag{120}$$

As indicated by Eq. (95), the term in parentheses in the expression for  $\frac{\partial^2 \mathcal{I}_{\Delta}}{\partial \kappa^2}$  above vanishes at the critical point, leaving us with

$$- \left. \frac{1}{2\mathcal{I}_{\Delta}(\kappa_{\rm PT})} \frac{\partial^2 \mathcal{I}_{\Delta}}{\partial \kappa^2} \right|_{\kappa_{\rm PT}} = \frac{3}{4\kappa_{\rm PT}^2}.$$
 (121)

Substituting back in Eq. (119) gives us

$$\frac{3}{4} \left( \frac{\kappa_m}{\kappa_{\rm PT}} - 1 \right)^2 = 1 - \left( \frac{\Delta}{\Delta_{\rm PT}} \right)^3. \tag{122}$$

Thus near the critical point, we can expand

$$\frac{T}{T_{\rm PT}} = \left\{ 1 - \frac{3}{4} \left( \frac{\kappa_m}{\kappa_{\rm PT}} - 1 \right)^2 \right\}^{-1/3} \approx 1 + \frac{1}{4} \left( \frac{\kappa_m}{\kappa_{\rm PT}} - 1 \right)^2, \tag{123}$$

Now, close to  $T_{\rm PT}$ , we can approximate,

$$\kappa_{\rm PT} - \kappa_c \equiv \frac{m_{\psi,\rm PT}}{T_{\rm PT}} - \frac{m_{\psi}}{T} \simeq \frac{m_{\psi,\rm PT} - m_{\psi}}{T_{\rm PT}},\tag{124}$$

and so, dropping higher order terms we get

$$m_{\psi,\text{PT}} - m_{\psi} \sim \left(\frac{T}{T_{\text{PT}}} - 1\right)^{1/2}.$$
 (125)

The system thus undergoes a first-order phase transition into the unstable phase, and the fermion mass approaches the critical mass  $m_{\psi,\text{PT}}$  with a critical exponent of  $\frac{1}{2}$ .

#### 2.5. After the phase transition

After the system undergoes the phase transition and goes into the unstable regime, the equilibrium methods used before no longer apply, since Eq. (93) no longer has a solution. One therefore needs to go beyond the saddle-point approximation and solve the full equation

$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{\partial F_{\varphi\psi}}{\partial \varphi} = 0, \tag{126}$$

in conjunction with the Friedmann equations. For the fermions, we have  $\rho_s \propto a^{-3}$ ; so for  $a \ge a_{\rm PT}$  (i.e.,  $z < z_{\rm PT}$ ), we can write Eq. (126) using Eq. (52) as

$$\underbrace{\ddot{\varphi} + 3H\dot{\varphi}}_{X_1} = \underbrace{-\frac{\partial U}{\partial \varphi}}_{X_2} - \underbrace{g_Y \rho_{s,\text{PT}} \left(\frac{a_{\text{PT}}}{a}\right)^3}_{X_3}.$$
(127)

As a first step, we need to find an expression for  $\rho_{s,PT}$ ; we know from Eq. (64) that

$$\frac{\pi^2 \beta^2}{2N_F m_\psi} \rho_s = \mathscr{I}_{1/2}(\kappa), \tag{128}$$

while Eq. (93) gives us

$$\frac{\lambda \pi^2 \Delta^3}{2g_Y N_F \kappa} e^{-\lambda \kappa / g_Y \Delta} = \mathcal{I}_{1/2}(\kappa). \tag{129}$$

Equating these two, we get

$$\frac{\rho_s}{M^3} = \frac{e^{-\lambda \kappa/g_Y \Delta}}{\tilde{G}_V},\tag{130}$$

which becomes at the critical point,

$$\frac{\rho_{s,\text{PT}}}{M^3} = \frac{e^{-\kappa_{\text{PT}}/\tilde{G}_Y \Delta_{\text{PT}}}}{\tilde{G}_Y}.$$
(131)

We can use the expression in Eq. (95) at the critical point (i.e., use Eq. (98)) to get The critical  $\rho_s$  and the critical scalar energy density are therefore given by

$$\frac{\rho_{s,\text{PT}}}{M^3} = \frac{e^{-3/(R-1)}}{\tilde{G}_Y}, \qquad \frac{\rho_{\varphi,\text{PT}}}{M^4} = e^{-3/(R-1)}.$$
(132)

To get a handle on M, we will use Eq. (127) for  $a \ge a_{\rm PT}$  – ignoring the LHS gives the solution  $\bar{\varphi}$  to the first order<sup>3</sup>,

$$\lambda e^{-\lambda \bar{\varphi}/M} = \frac{e^{-3/(R-1)}}{\tilde{G}_V} \left(\frac{a_{\rm PT}}{a}\right)^3. \tag{133}$$

At the present time, the LHS of the above equation is just the normalized energy density in  $\bar{\varphi}$ , i.e.,  $\rho_{\bar{\varphi},\text{now}}/M_1^4$ . The crucial thing to note from Eq. (133) is that the energy density  $\rho_{\bar{\varphi}}$  of  $\bar{\varphi}$  has a  $T^3 \propto a^{-3}$  dependence, which makes it behave as pressureless matter after the phase transition.

The absence of a stable solution to Eq. (93) also means that the expression Eq. (50) for the fermion mass is no longer valid in the dark-matter phase. However, analogously to Eq. (50), we define the fermion mass in this phase to be the current value of  $\varphi(t)$ , i.e.,

$$m_{\psi}(z) \equiv G_Y \bar{\varphi}(z) = 3\tilde{G}_Y M \left[ \frac{1}{R-1} + \log_e \frac{1+z_{\rm PT}}{1+z} + \log_e G_Y^{1/3} \right],$$
 (134)

the last equality being obtained from Eq. (133). The fermion mass thus increases very weakly with redshift, and can be well approximated to be a constant in the dark-matter phase. At the present time, it is given by

$$m_{\psi}^{\text{now}} \equiv G_Y \bar{\varphi}_{\text{now}} = 3\tilde{G}_Y M \left[ \frac{1}{R-1} + \log_e (1 + z_{\text{PT}}) + \log_e G_Y^{1/3} \right].$$
 (135)

Since this solution was obtained by setting  $X_2 = X_3$  in Eq. (127), we need to justify that this is a valid assumption. This will be valid only if  $|X_1| \ll |X_2|$ ,  $|X_3|$  for our solution  $\varphi$ . In Fig. 3 we show the relative magnitude of  $X_1$  with respect to  $X_2$ , after substituting the solution  $\varphi$  given by Eq. (134) in Eq. (127). We see that for all redshifts z, phase transition temperatures in the range of 10 MeV to  $10^7$  GeV yield  $|X_1| \ll |X_2|$ ,  $|X_3|$ .

<sup>&</sup>lt;sup>3</sup>This is justified below, as well as in Sec. 2.6.

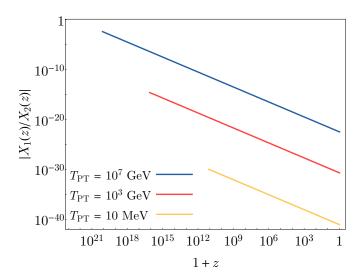


Figure 3: Relative magnitude of the term  $X_1$  with respect to  $X_2$  in Eq. (127), obtained by substituting in the solution of Eq. (134), as a function of redshift z for several choices of the phase transition temperature  $T_{\rm PT}$ . We see that  $|X_1| \ll |X_2|$ , and since  $|X_2| = |X_3|$  by construction, then  $|X_1| \ll |X_3|$ .

The total dark matter energy density is a sum of the energy densities of both  $\bar{\varphi}$  and  $\psi$ . Using Eq. (132) and noting that  $\rho_{\psi,\text{now}} = m_{\psi}^{\text{now}} \rho_{s,\text{now}}$ , we can write

$$\rho_{\rm DM,now} = \rho_{\psi,\text{now}} + \rho_{\bar{\varphi},\text{now}} = \left[ \frac{M^3 m_{\psi}^{\text{now}}}{\tilde{G}_Y} e^{-3/(R-1)} + M^4 e^{-3/(R-1)} \right] \left( \frac{g_{S,\text{now}} T_{\text{now}}^3}{g_{S,\text{PT}} T_{\text{PT}}^3} \right),$$
(136)

which can be simplified to get

$$\rho_{\rm DM,now} = e^{-3/(R-1)} \frac{g_{S,\text{now}} T_{\text{now}}^3 \Delta_{\rm PT}^3}{g_{S,\text{PT}}} \left( M + \frac{m_{\psi}^{\text{now}}}{\tilde{G}_Y} \right).$$
(137)

#### Numerical predictions

The model has two parameters M and  $\lambda$  in the potential  $U(\varphi)$ , and a coupling constant  $G_Y$  that can be tuned to make predictions. The parameter  $\lambda$  typically appears with  $G_Y$  together in the combination  $\tilde{G}_Y \equiv \frac{G_Y}{\lambda}$ . We will use two observational constraints, the critical redshift  $z_{\rm PT}$  and the total DM energy density  $\rho_{\rm DM,now}$  to fix these. We want the phase transition to happen around when the temperature of the universe is in the range  $T_{\rm PT} \in [10\,{\rm MeV}, 10^7\,{\rm GeV}]$ .

We undertake the following program to obtain the numerical predictions.

- We can set  $G_Y = 1$ , and appropriately redefine  $\varphi$  and M.
- We set a value of  $T_PT$ , and get the corresponding  $z_{PT}$  from Eq. (101) to obtain M in terms of  $z_{PT}$  and  $\tilde{g}_Y$ ,

$$M = \frac{1}{2\tilde{G}_Y} (1+R) T_{\text{now}} (1+z_{\text{PT}}) \left(\frac{g_{S,\text{now}}}{g_{S,\text{PT}}}\right)^{1/3},$$
(138)

where we have used Eq. (98) and the definition of R. We use the values  $g_{S,\text{now}} = 3.91$  and  $g_{S,\text{PT}} = 100$ .

• We then use this obtained value of  $M_1$  in Eq. (135) to write

$$m_{\psi}^{\text{now}} = \tilde{G}_Y M \log_e \frac{M^4}{\rho_{\overline{\varphi},\text{now}}} = \tilde{G}_Y M \log_e \left[ e^{3/(R-1)} \left( \frac{a}{a_{\text{PT}}} \right)^3 \right] = \tilde{G}_Y M \log_e \left[ e^{3/(R-1)} \left( \frac{g_{S,\text{PT}} M_1^3}{g_{S,\text{now}} T_{\text{now}}^3 \Delta_{\text{PT}}^3} \right) \right], \tag{139}$$

which will give us  $m_{\psi}^{\text{now}}$ .

• We finally calculate  $\Omega_{\rm DM}^{\rm now} = \frac{\rho_{\rm DM,now}}{\rho_{\rm tot,now}}$ , and tune  $\tilde{G}_Y$  so that  $\Omega_{\rm DM}^{\rm now} = 0.264$ .

In Tab. 2, we present an estimate of these quantities to check that the model is self-consistent and viable in describing DM. We have chosen  $g_{S,\text{PT}} = 100$  throughout the calculation. For all these cases,  $\kappa_{\text{PT}} \gtrsim 20$ , which justifies the  $\kappa \gg 1$  approximations used to derive the expressions; this is a test of self-consistency.

$T_{ m PT}  ({ m GeV})$	$m_{\psi}^{ m now}\left({ m GeV} ight)$	$ ilde{G}_Y^{-1}$	$M({ m GeV})$
0.01	1.0	1.4448	$1.56 \times 10^{-2}$
0.1	10	1.5185	$1.63\times10^{-1}$
10	$1.3 \times 10^{3}$	1.6479	$1.74 \times 10^1$
$10^{3}$	$1.5 \times 10^{5}$	1.7608	$1.84 \times 10^{3}$
$10^{5}$	$1.7 \times 10^{7}$	1.8618	$1.94 \times 10^{5}$
$10^{7}$	$1.9 \times 10^{9}$	1.9539	$2.02 \times 10^{7}$
$10^{8}$	$2.0 \times 10^{10}$	1.9968	$2.06 \times 10^{8}$
$10^{9}$	$2.1 \times 10^{11}$	2.0387	$2.10 \times 10^{9}$

Table 2: The present masses  $m_{\psi}^{\text{now}}$  of the fermion for different choices of the phase transition temperature  $T_{\text{PT}}$ . The relic dark matter energy density  $\Omega_{\text{DM}}^{\text{now}}$  has been fixed to 0.264 to match the *Planck* data. The redshift  $z_{\text{PT}}$  for each case is given by  $z_{\text{PT}} \approx 1.25 \times 10^{12} (T_{\text{PT}}/0.1 \,\text{GeV})$ .

## 2.6. Is the mean field solution valid?

In Sec. 2.5, we solved Eq. (127) for  $a \ge a_{\rm PT}$  by ignoring the LHS and claiming that the solution thus obtained is correct accurate enough; in this section, we provide a numerical estimate of this claim.

We first start by rewriting Eq. (127) to express all functions of t to functions of a, which makes the derivatives

$$\frac{d\varphi}{dt} = aH\frac{d\varphi}{da}, \qquad \frac{d^2\varphi}{dt^2} = aH\left[aH\frac{d^2\varphi}{da^2} + \left(a\frac{dH}{da} + H\right)\frac{d\varphi}{da}\right]. \tag{140}$$

Putting this in Eq. (127) and dividing throughout by  $a^2H^2$ , we write

$$\frac{d^2\varphi}{da^2} + \left(\frac{1}{H}\frac{dH}{da} + \frac{4}{a}\right)\frac{d\varphi}{da} = \frac{M^3}{\tilde{g}_Y a^2 H^2} \left[e^{-\lambda\varphi/M} - e^{-3/(R-1)}\left(\frac{a_{\rm PT}}{a}\right)^3\right]. \tag{141}$$

We can do another change of variables from a to  $x \equiv \log_e a - \log_e a_{\rm PT}$ , and write Eq. (141) as

$$\frac{d^2\varphi}{dx^2} + \left(\frac{1}{H}\frac{dH}{dx} + 3\right)\frac{d\varphi}{dx} = \frac{M^3}{\tilde{g}_Y H^2} \left[e^{-\lambda\varphi/M} - e^{-3[1/(R-1)+x]}\right],\tag{142}$$

and the solution Eq. (134) as

$$\bar{\varphi}(x) = 3\tilde{G}_Y \left[ \frac{1}{R-1} + x \right]. \tag{143}$$

The boundary conditions needed to solve Eq. (142) can be obtained from this solution, i.e.,

$$\varphi(x=0) = \varphi_{\text{PT}} = \frac{3\tilde{G}_Y M}{R-1}, \qquad \frac{d\varphi}{dx}(x=0) = 3\tilde{G}_Y M. \tag{144}$$

If we are in the very early times, i.e., when  $x \approx x_{\rm PT}$ , the universe is deep in the radiation dominated era where

$$H^{2}(a) = \frac{8\pi G}{3} \rho_{\text{rad}}(a) = \frac{\Omega_{\text{rad},0} \rho_{\text{crit}}}{3M_{\text{Pl}}^{2} a^{4}},$$
(145)

which gives us

$$\log_e H = \log_e \sqrt{\frac{\Omega_{\text{rad},0}\rho_{\text{crit}}}{3M_{\text{Pl}}^2}} - 2(x + x_{\text{PT}}), \qquad (146)$$

and thus

$$\frac{1}{H}\frac{dH}{dx} = \frac{d}{dx}\log_e H = -2. \tag{147}$$

Steps for numerical solution: We will use the *Runge-Kutta* method to solve Eq. (142) with a step size h. We define the dimensionless field  $y \equiv \lambda \varphi/M$ , and using Eq. (147) we can write Eq. (142) as

$$\frac{d^2y}{dx^2} + \frac{dy}{dx} = \frac{M^2}{\tilde{G}_Y^2 H^2} \left[ e^{-y} - Ae^{-3x} \right] 
= Ye^{4x} \left[ e^{-y} - Ae^{-3x} \right].$$
(148)

where  $A \equiv e^{-3/(R-1)}$ , and  $Y = \frac{3M^2M_{\rm Pl}^2a_{\rm PT}^4}{\tilde{G}_Y^2\Omega_{\rm rad,0}\rho_{\rm crit}}$ . Let us now define  $z \equiv \frac{dy}{dx}$ , and split the second-order ODE into two first-order ODEs with the help of two functions of (x,y,z),

$$\frac{dy}{dx} \equiv f(x, y, z) = z$$

$$\frac{dz}{dx} \equiv g(x, y, z) = -z + Ye^{4x} \left[ e^{-y} - Ae^{-3x} \right].$$
(149)

The first step are the initial conditions, i.e.,

$$x_1 = 0,$$
  $y_1 = \frac{3}{R-1},$   $z_1 = 3.$  (150)

The Runge-Kutta steps are thus

$$y_{i+1} = y_i + \frac{1}{6} [k_1 + 2k_2 + 2k_3 + k_4], \qquad z_{i+1} = z_i + \frac{1}{6} [l_1 + 2l_2 + 2l_3 + l_4],$$
 (151)

where at each step

$$k_{1} = hf(x_{i}, y_{i}, z_{i}), l_{1} = hg(x_{i}, y_{i}, z_{i}),$$

$$k_{2} = hf\left(x_{i} + \frac{h}{2}, y_{i} + \frac{k_{1}}{2}, z_{i} + \frac{l_{1}}{2}\right), l_{2} = hg\left(x_{i} + \frac{h}{2}, y_{i} + \frac{k_{1}}{2}, z_{i} + \frac{l_{1}}{2}\right),$$

$$k_{3} = hf\left(x_{i} + \frac{h}{2}, y_{i} + \frac{k_{2}}{2}, z_{i} + \frac{l_{2}}{2}\right), l_{3} = hg\left(x_{i} + \frac{h}{2}, y_{i} + \frac{k_{2}}{2}, z_{i} + \frac{l_{2}}{2}\right),$$

$$k_{4} = hf\left(x_{i} + h, y_{i} + k_{3}, z_{i} + l_{3}\right), l_{4} = hg(x_{i} + h, y_{i} + k_{3}, z_{i} + l_{3}),$$

$$(152)$$

for the step size h.

We show in Fig. 4 that the analytic solution Eq. (134) is a good match to the exact numerical solution. The reason this is so is justified in the discussion following Eq. (135), where we show that the substituting the solution Eq. (134) into Eq. (127) makes the magnitude of the term  $X_1$  many orders of magnitude smaller than that of  $X_2$  and  $X_3$ . This means the relative error is assuming the guess solution Eq. (134) is negligible.

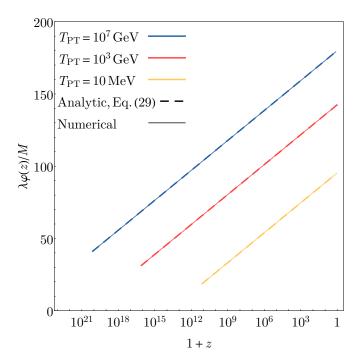


Figure 4: Comparison of the exact numerical solution of Eq. (127) with the analytic solution Eq. (134), as a function of redshift z for several choices of the phase transition temperature  $T_{\rm PT}$ ; this shows the validity of Eq. (134) as an extremely accurate approximation to the true numerical solution.

# **Appendices**

# A. Corrections to the scalar potential

## A.1. 1-loop quantum corrections to the effective thermodynamic potential, $F_{\omega\psi}$

In this section, we investigate the effect of one-loop quantum bosonic and fermionic corrections to the effective thermodynamic potential,  $F_{\varphi\psi}$ , of the scalar field  $\varphi$ . We focus on the stable and metastable phases prior to phase transition,  $T > T_{\rm PT}$ . The net thermodynamic potential at the one-loop level can be written as

$$F_{\varphi\psi}^{1-\text{loop}}(\varphi) = F_{\varphi\psi}(\varphi) + \Delta F^{F}(\varphi) + \Delta F^{B}(\varphi), \tag{153}$$

where  $F_{\varphi\psi}(\varphi)$  is the effective thermodynamic potential at tree level used previously, and  $\Delta F^F(\varphi)$  and  $\Delta F^B(\varphi)$  are respectively the fermionic and bosonic corrections at the one-loop level. These corrections are given by [2,3]

$$\Delta F^{F}(\varphi) = -\frac{\left(g_{Y}\varphi + \bar{m}_{\psi}\right)^{4}}{16\pi^{2}} \ln \frac{\left(g_{Y}\varphi + \bar{m}_{\psi}\right)^{2}}{\left(g_{Y}\varphi_{PT} + \bar{m}_{\psi}\right)^{2}},$$

$$\Delta F^{B}(\varphi) = \frac{g_{Y}^{2}}{64\pi^{2}} \left(\frac{\partial^{2}F_{\varphi\psi}}{\partial\varphi^{2}}\right)^{2} \left[\ln \left(\frac{1}{m_{\varphi}^{2}} \frac{\partial^{2}F_{\varphi\psi}}{\partial\varphi^{2}}\right) - \frac{1}{2}\right],$$
(154)

where  $m_{\psi}$  and  $m_{\varphi}$  are given in Eqs. (50) and (76) respectively, and we have used the renormalization condition that the minimum of the potential  $F_{\varphi\psi}(\varphi)$  is preserved at the one-loop level. The bosonic correction  $\Delta F^B(\varphi)$  has a  $\log(\partial^2 F_{\varphi\psi}/\partial \varphi^2)$  term, and it thus contributes only in regimes where  $\partial^2 F_{\varphi\psi}/\partial \varphi^2 > 0$ . In addition, these corrections are valid only in the neighborhood of the minimum  $\varphi_m$  of the potential  $F_{\varphi\psi}(\varphi)$ , and break down far away from that minimum [2,4].

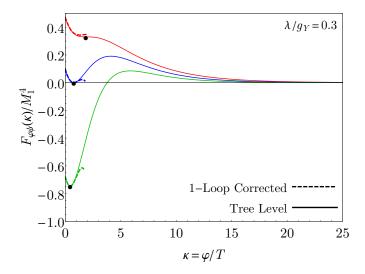


Figure 5: Comparison of the one-loop corrected potential  $F_{\varphi\psi}^{1-\text{loop}}$  (dashed) with the tree-level potential  $F_{\varphi\psi}$  (solid) of Eq. (153) near the minimum  $\varphi_m$  (denoted by filled black circles) in the stable phase (green), at the point of metastability (blue), and at the epoch of phase transition (red). For visual purposes, we have chosen a value  $\lambda=0.3$  that highlights the effect of the extra terms clearly in the plot.

In Fig. 5, we compare the potential  $F_{\varphi\psi}^{1-\text{loop}}(\varphi)$ , which includes the one-loop quantum corrections, to the tree-level potential,  $F_{\varphi\psi}(\varphi)$ , around the location of the minimum, and see that the quantum corrections do not affect the potential  $F_{\varphi\psi}(\varphi)$  significantly.

## A.2. Coupling with the Higgs

The scalar potential  $U(\varphi)$  will receive corrections from its interactions with other fields. Since the scalar field  $\varphi$  has no protective symmetries, the relevant terms that contribute are of the form  $\Lambda \varphi |H|^2$  and  $g_H \varphi^2 |H|^2$ , where H is the Higgs field, and  $\Lambda$  and  $g_H$  are respectively the dimensionful and dimensionless couplings of the scalar  $\varphi$  to the Higgs.

The  $\varphi$  and  $\varphi^2$  terms in the potential  $U(\varphi)$  are  $-\lambda M_1^3 \varphi$  and  $\lambda^2 M_1^2 \varphi^2$ , and these are modified due to the Higgs interaction as

$$-\lambda M_1^3 \varphi \mapsto \left(-\lambda M_1^3 + \Lambda |H|^2\right) \varphi, \qquad \lambda^2 M_1^2 \varphi^2 \mapsto \left(\lambda^2 M_1^2 + g_H |H|^2\right) \varphi^2. \tag{155}$$

Now, the Higgs vev is |H|=0 before the electroweak phase transition (EWPT) and  $|H|=246\,\mathrm{GeV}$  after the EWPT, and these corrections thus appear only after EWPT. The relevant mass scale in our problem is  $M_1$ , which is the normalization of  $U(\varphi)$ , so it makes sense to write  $\Lambda=g_1M_1$  for a dimensionless  $g_1$ . We want these additional contributions from the Higgs coupling to be small such that the exponential form of the potential  $U(\varphi)$  is not spoiled. This requires the conditions

$$|g_1|H|^2 | \ll |\lambda M_1^2|, \qquad |g_H|H|^2 | \ll |\lambda^2 M_1^2|,$$
 (156)

which can be expressed more cleanly as

$$|g_1| < f_1 \left| \frac{\lambda M_1^2}{|H|^2} \right|, \qquad |g_H| < f_2 \left| \frac{\lambda^2 M_1^2}{|H|^2} \right|,$$
 (157)

where  $f_{1,2} \ll 1$  are fractions denoting the desired relative magnitude of the Higgs coupling terms with respect to the bare terms from  $U(\varphi)$ . In Tab. 3, we present the upper bounds on the magnitude of  $g_1$  and  $g_H$ , in terms of the fractions  $f_1$  and  $f_2$ , so that the condition Eq. (157) is satisfied and the exponential form of the potential  $U(\varphi)$  is not spoiled.

$T_{ m PT}  ({ m GeV})$	$ g_1 /f_1$	$ g_H /f_2$
0.01	$5.8 \times 10^{-9}$	$8.4 \times 10^{-9}$
$10^{3}$	100	175
$10^{7}$	$1.3\times10^{10}$	$2.6 \times 10^{10}$

Table 3: Upper bounds on the magnitude of the coupling constants  $g_1$  and  $g_H$ , given the desired fractions  $f_1$  and  $f_2$ , so that the condition Eq. (157) is satisfied.

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