# NuSMV Seminar 3: Internals, Algorithms, nuXmv

Overview, SAT-based Bounded MC, nuXmv Intro

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#### **NuSMV** Architecture Overview

#### NuSMV 2 Evolution

Cimatti et al. (2002): Integrating BDD-based and SAT-based Symbolic Model Checking

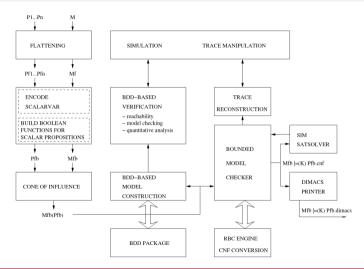
Original BDD-based SMV at CMU (Carnegie Mellon University) as PhD thesis of Ken L. McMillan (1992)

NuSMV 1 (1999): reimplementation with added LTL, interactive mode, invariants, model partitioning

NuSMV 2 (2002): Open-Source evolution, with Bounded Model Checking across whole input language

#### NuSMV Architecture

Cimatti et al. (2002): Integrating BDD-based and SAT-based Symbolic Model Checking



#### NuSMV Model Construction

Cimatti et al. (2002): Integrating BDD-based and SAT-based Symbolic Model Checking

**Input:** model, set of propositions

Three steps common for both BDD-based and Bounded Model Checking

- Flattening: Parse, type-check, definition cycles check, instantiate modules and processes
- **2** Boolean Encoding: Encode scalars as set of Boolean variables
- **3 Cone of Influence:** Prune model to parts relevant for propositions

Output: finite state machine (initial states, invariant states, transition relation)

### NuSMV BDD-based Symbolic Model Checking

BDDs sensitive to variable ordering

⇒ NuSMV allows specifying manual ordering, or static heuristics

Model can be partitioned into conjunction of set of BDDs using various heuristics

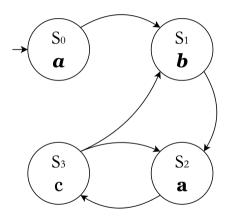
 $\implies$  mitigates space-use explosion

BDDs with fixpoint algorithms used for:

- Reachability Analysis
- Fair CTL Model Checking
- LTL Model Checking via Tableau Construction to CTL

## SAT Bounded Model Checking

## Bounded Model Checking (1)

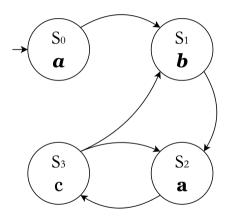


• 
$$\varphi := \mathbf{G} (a \longrightarrow \mathbf{F} b)$$

- $\neg \varphi := \mathbf{F} (a \wedge \mathbf{G} \neg b)$
- k = 0

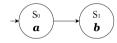
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## Bounded Model Checking (2)



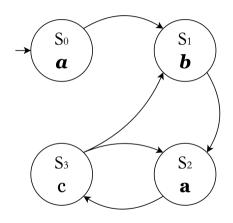
• 
$$\varphi := \mathbf{G} (a \longrightarrow \mathbf{F} b)$$

- $\neg \varphi := \mathbf{F} (a \wedge \mathbf{G} \neg b)$
- k = 1



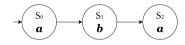
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## Bounded Model Checking (3)



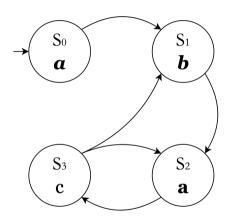
• 
$$\varphi := \mathbf{G} (a \longrightarrow \mathbf{F} b)$$

- $\neg \varphi := \mathbf{F} (a \wedge \mathbf{G} \neg b)$
- k = 2



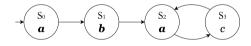
No counterexample found.

## Bounded Model Checking (4)



• 
$$\varphi := \mathbf{G} (a \longrightarrow \mathbf{F} b)$$

- $\neg \varphi := \mathbf{F} (a \wedge \mathbf{G} \neg b)$
- k = 3



• **Loopback** ⇒ Counterexample!

### SAT-based Bounded Model Checking

- Look for **counterexample paths** of increasing length k.
- For each k, build a Boolean formula that is satisfiable iff there is a witness of length k.
  - Formula construction is *not* subject to state explosion.
  - Linear in the number of variables and steps.
- Satisfiability of the Boolean formulas is checked using a SAT solver
  - Can manage complex formulae on several variables
  - Returns a **satisfying assignment** (i.e., a *counterexample*)

# SAT encoding (1)

#### Ingredients:

- Kripke structure  $\mathcal{M} := \langle \mathcal{S}, \mathcal{I}, \mathcal{R}, \mathcal{L} \rangle$
- Upper Bound  $k \ge 0$
- LTL property  $\varphi$

Is there a a partial execution (trace) of k steps that satisfies  $\varphi$ ?

We want to find

$$\underbrace{ \llbracket \mathcal{M}, \varphi \rrbracket_k}_{\text{SAT encoding}} \Rightarrow \underbrace{s^0, s^1, \dots, s^k}_{\text{witness}}$$

## SAT encoding (2)

$$\llbracket \mathcal{M}, \varphi \rrbracket_k := \llbracket \mathcal{M} \rrbracket_k \wedge \llbracket \varphi \rrbracket_k \tag{1}$$

- $[M]_k$  encodes the fact that the k-path is a **legal trace of** M
- $[\![\varphi]\!]_k$  encodes the fact that the k-path satisfies  $\varphi$

### SAT legal trace encoding

$$\llbracket \mathcal{M} \rrbracket_k := \mathcal{I}(s^0) \wedge \bigwedge_{i=0}^{k-1} \mathcal{R}(s^i, s^{i+1}) \tag{2}$$

## SAT property encoding (1)

$$\llbracket \varphi \rrbracket_{k} := \overbrace{\left(\neg \bigvee_{L=0}^{k} \mathcal{R}(s^{k}, s^{L}) \wedge \llbracket \varphi \rrbracket_{k}^{0}\right)}^{\psi_{1}} \vee \overbrace{\left(\bigvee_{L=0}^{k} \left(\mathcal{R}(s^{k}, s^{L}) \wedge {}_{L} \llbracket \varphi \rrbracket_{k}^{0}\right)\right)}^{\psi_{2}}$$
(3)

## SAT property encoding (2)

$$\psi_1 := \neg \bigvee_{k=0}^k \mathcal{R}(s^k, s^k) \wedge \llbracket \varphi \rrbracket_k^0 \tag{4}$$

- $\psi_1$  is the constraint needed to express a model with **without loopback**.
- $[\![\varphi]\!]_k^i$  with  $i \in [0, k]$  encodes the fact that  $\varphi$  holds in  $s^i$  under the assumption that  $s^0, \ldots, s^k$  is a no-loopback path.

## SAT property encoding (3)

$$\psi_2 := \bigvee_{l=0}^k \left( \mathcal{R}(s^k, s^l) \wedge {}_L \llbracket \varphi \rrbracket_k^0 \right) \tag{5}$$

- $\psi_2$  is the constraint needed to express a **loopback** in the model to all possible points in the past.
- $\iota[\![\varphi]\!]_k^i$  with  $i \in [0, k]$  encodes the fact that  $\varphi$  holds in  $s^i$  under the assumption that  $s^0, \ldots, s^k$  is a path with a loopback from  $s^k$  to  $s^L$ .

# $\llbracket \varphi \rrbracket_k^i$ SAT encoding (1)

$$\varphi := \alpha$$

• Then:

$$[\![\varphi]\!]_k^i := \alpha^i$$

• If:

$$\varphi := \neg \alpha$$

$$\llbracket \varphi \rrbracket_k^i := \neg \alpha^i$$

# $[\![\varphi]\!]_k^i$ SAT encoding (2)

• If:

$$\varphi := \alpha \wedge \beta$$

• Then:

$$\llbracket \varphi \rrbracket_k^i := \llbracket \alpha \rrbracket_k^i \wedge \llbracket \beta \rrbracket_k^i$$

• If:

$$\varphi := \alpha \vee \beta$$

$$\llbracket \varphi \rrbracket_k^i := \llbracket \alpha \rrbracket_k^i \vee \llbracket \beta \rrbracket_k^i$$

# $\llbracket \varphi \rrbracket_k^i$ SAT encoding (3)

• If:

$$\varphi := \mathbf{X} \, \alpha$$

$$\llbracket \varphi 
rbracket^i_k := egin{cases} \llbracket lpha 
rbracket^{i+1}_k & ext{if } i < k \ ot & ext{otherwise} \end{cases}$$

# $[\![\varphi]\!]_k^i$ SAT encoding (4)

• If:

$$\varphi := \mathbf{G} \, \alpha$$

$$[\![\varphi]\!]_k^i := \bot$$

# $[\![\varphi]\!]_k^i$ SAT encoding (5)

• If:

$$\varphi:=\mathbf{F}\,\alpha$$

$$\llbracket \varphi \rrbracket_k^i := \bigvee_{j=i}^k \llbracket \alpha \rrbracket_k^j$$

# $\llbracket \varphi \rrbracket_k^i$ SAT encoding (6)

• If:

$$\varphi := \alpha \, \mathbf{U} \, \beta$$

$$\llbracket \varphi \rrbracket_k^i := \bigvee_{j=i}^k \left( \llbracket \beta \rrbracket_k^j \wedge \bigwedge_{n=i}^{j-1} \llbracket \alpha \rrbracket_k^n \right)$$

# $L[\![\varphi]\!]_k^i$ SAT encoding (1)

• If:

$$\varphi:=\mathbf{X}\,\alpha$$

$$_{L}\llbracket \varphi \rrbracket_{k}^{i} := egin{cases} _{L}\llbracket lpha \rrbracket_{k}^{i+1} & ext{if } i < k \ _{L}\llbracket lpha \rrbracket_{k}^{L} & ext{otherwise} \end{cases}$$

# $_{L}\llbracket\varphi\rrbracket_{k}^{i}$ SAT encoding (2)

• If:

$$\varphi := \mathbf{G} \, \alpha$$

• Then:

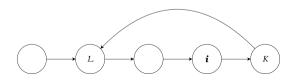
$$\mathbf{L}[\![\varphi]\!]_k^i := \bigwedge_{j=\min(i,L)}^k \mathbf{L}[\![\alpha]\!]_k^j$$

• If:

$$\varphi := \mathbf{F} \, \alpha$$

Then:

$$L\llbracket\varphi\rrbracket_k^i := \bigvee_{j=\min(i,L)}^k L\llbracket\alpha\rrbracket_k^j$$

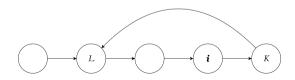


# $L[\![\varphi]\!]_k^i$ SAT encoding (3)

• If:

$$\varphi := \alpha \, \mathbf{U} \, \beta$$

$$L[\![\varphi]\!]_k^i := \bigvee_{j=i}^k \left( L[\![\beta]\!]_k^j \wedge \bigwedge_{n=i}^{j-1} L[\![\alpha]\!]_k^n \right) \vee \bigvee_{j=L}^{i-1} \left( L[\![\beta]\!]_k^j \wedge \bigwedge_{n=i}^k L[\![\alpha]\!]_k^n \wedge \bigwedge_{n=L}^{j-1} L[\![\alpha]\!]_k^n \right)$$



#### Relevant Sub-cases

### Reachability

• If:

$$\varphi := \mathbf{F} \alpha$$

• And we are gradually **increasing values of** *k* then, instead of:

$$\llbracket \mathcal{M}, \varphi \rrbracket_{k} := \underbrace{\mathcal{I}(s^{0}) \wedge \bigwedge_{i=0}^{k-1} \mathcal{R}(s^{i}, s^{i+1})}_{\llbracket \mathcal{M} \rrbracket_{k}} \wedge \bigvee_{j=0}^{k} \alpha^{j}$$

• We can write:

$$\llbracket \mathcal{M}, \varphi 
rbracket_k := \mathcal{I}(s^0) \wedge \bigwedge_{i=0}^{k-1} \left( \mathcal{R}(s^i, s^{i+1}) \wedge \neg \alpha^i \right) \wedge \alpha^k$$

#### Constant

If:

$$\varphi := \mathbf{G} \, \alpha$$

• We will have:

$$\llbracket \mathcal{M}, \varphi \rrbracket_{k} := \underbrace{\mathcal{I}(s^{0}) \wedge \bigwedge_{i=0}^{k-1} \mathcal{R}(s^{i}, s^{i+1})}_{\llbracket \mathcal{M} \rrbracket_{k}} \wedge \underbrace{\bigvee_{L=0}^{k} \mathcal{R}(s^{k}, s^{L}) \wedge \bigwedge_{j=0}^{k} \alpha^{j}}_{\llbracket \varphi \rrbracket_{k}}$$

• There is no witness of the formula without a *loopback*.

#### **Fairness**

• If:

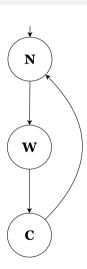
$$\varphi := \mathbf{GF} \, \alpha$$

• We will have:

$$\llbracket \mathcal{M}, \varphi \rrbracket_k := \underbrace{\mathcal{I}(s^0) \wedge \bigwedge_{i=0}^{k-1} \mathcal{R}(s^i, s^{i+1})}_{\llbracket \mathcal{M} \rrbracket_k} \wedge \underbrace{\bigvee_{L=0}^k \left( \mathcal{R}(s^k, s^L) \wedge \bigvee_{j=L}^k \alpha^j \right)}_{\llbracket \varphi \rrbracket_k}$$

## Mutex Example

#### **Variables**



$$\varphi:=\mathbf{G}\,\mathbf{F}\,\mathrm{crit}$$

$$\neg \varphi := \mathbf{F} \, \mathbf{G} \, \neg \mathsf{crit}$$

$$S = \{N, W, C\}$$

$$PL = \left\{ s_s^i | i \in [0, k], s \in \mathcal{S} \right\}$$

$$[\![\mathcal{M},\neg\varphi]\!]_k:=[\![\mathcal{M}]\!]_k\wedge[\![\neg\varphi]\!]_k$$

## SAT encoding of ${\mathcal M}$

Initial Condition:

Model Encoding:

$$\mathcal{I} := s_N^0 \wedge \bigwedge_j^{\mathcal{S} \setminus N} \neg s_j^0$$
 (6) 
$$[\![\mathcal{M}]\!]_k := \mathcal{I} \wedge \mathcal{R}$$
 (7)

Transition Relation:

$$\mathcal{R} := \bigwedge_{i=0}^{k} (\neg s_N^i \vee s_W^{i+1}) \wedge (\neg s_W^i \vee s_C^{i+1}) \wedge (\neg s_C^i \vee s_N^{i+1})$$
 (8)

## SAT encoding of $\neg \varphi$

$$[\![\mathbf{F}\,\mathbf{G}\,\neg\mathrm{crit}]\!]_k := \psi_1 \vee \psi_2$$

$$\psi_1 := \bigwedge_{L=0}^{\text{no loopback}} \neg s_C^k \vee \neg s_W^L \wedge \bigwedge_{i=0}^{k} \bigvee_{j=i}^{k} \bot$$

$$\psi_2 := \bigvee_{L=0}^k \left[ \overbrace{s_C^k \wedge s_N^L}^{\text{loopback}} \wedge \bigvee_{i=0}^k \bigvee_{j=min(i,L)}^{\substack{L \parallel \neg \varphi \parallel_k^0 \\ k}} \neg s_C^j \right]$$

### nuXmv

### nuXmv Language Enhancements

Backwards-compatible input language, but no processes

Functions, constant array literals, complex array access (READ, WRITE)

New unbounded data types Integer and Real, so infinite-state systems!

⇒ Satisfiability Modulo Theories (SMT) instead of Boolean SAT

Timed Transition Systems (TTS), clock variables, LTL time extensions

Support for AIGER And-Inverter Graph input language used for hardware description

### New finite-state MC algorithms for Invariant Checking

MiniSat resolution proofs

Interpolation-based algorithms

k-induction algorithms

IC3 algorithms (incremental inductive strengthening, abstraction refinement)

Guided Reachability using PSL SERE regular expressions

### Infinite-state Model Checking using SMT

Use SMT instead of SAT solver to implement BMC, k-induction, interpolation, IC3

CEGAR: Counterexample-guided abstraction refinement

 $\implies$  Solution to ALLSAT problem, using BDDs + SMT

Novel abstraction refinement algorithms for BMC and k-induction without quantifier elimination

### Miscellaneous Improvements

NuSMV: exporting FSM in DOT format

nuXmv: exporting FSM in XMI format, can be read by UML viewer

Range Recoding as state-space reduction technique: only encode used bits of bit vectors

Fin.