

Of Parsers and Trees: Memory Usage as a Measure of Complexity in Human Sentence Processing

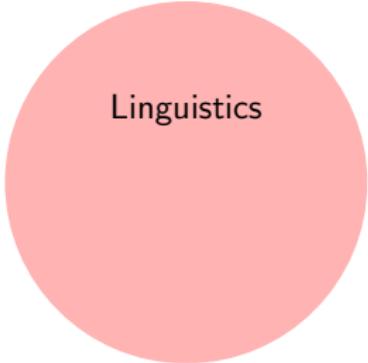
Aniello De Santo

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Miami University
Jan 29, 2020

Get the slides!



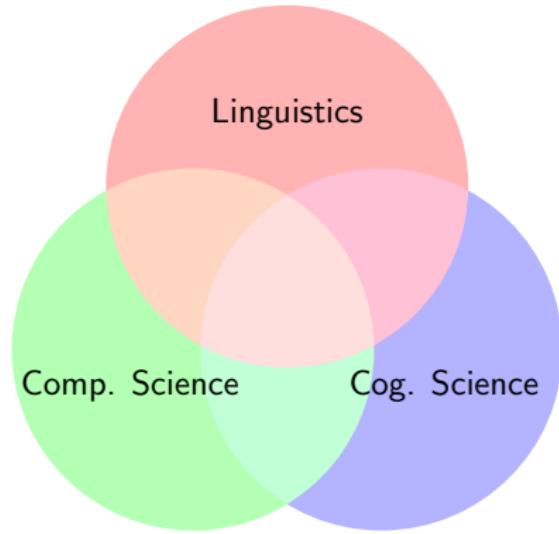


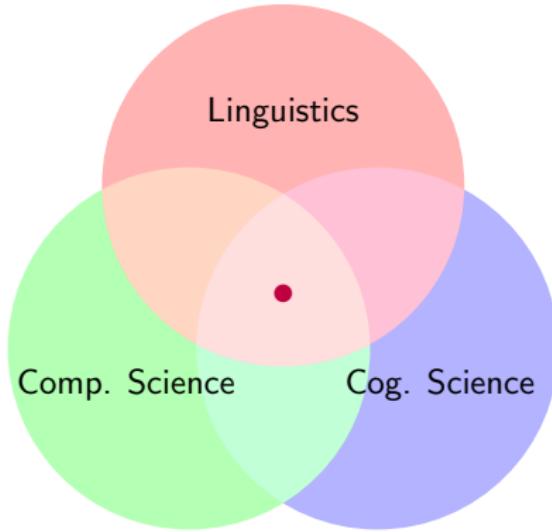
Linguistics

Linguistics

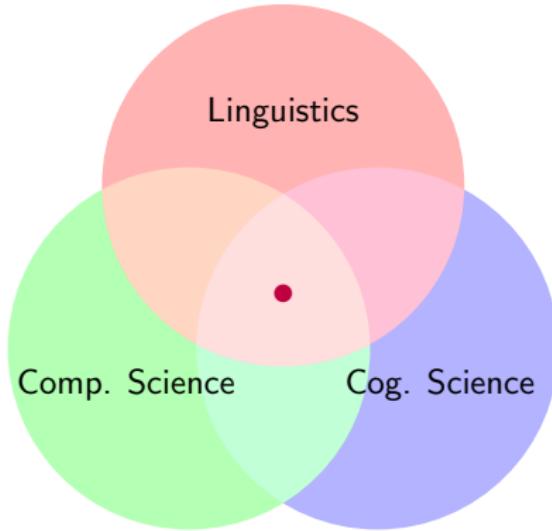
A Venn diagram consisting of two overlapping circles. The top circle is pink and labeled "Linguistics". The bottom circle is light green and labeled "Comp. Science". The two circles overlap in the center, representing the intersection of the two fields.

Comp. Science





- ▶ Modeling processing difficulty (De Santo 2019, De Santo in prep.)
- ▶ Cross-linguistic variation
(De Santo & Shafiei 2019, De Santo & Zhang in prep.)
- ▶ Gradience in acceptability judgments (De Santo 2020)
- ▶ Computational parallels across linguistic modules
(Aksanova & De Santo 2017, De Santo & Graf 2019)
- ▶ Constraints in acquisition (De Santo 2018, Graf & De Santo 2020)
- ▶ Animal Cognition (De Santo & Rawski, to appear)



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Let's Start with Data!

Asymmetries in Italian Relative Clauses

Italian speakers conform to the general cross-linguistic preference for SRC over ORC (Adani et al. 2010; Arosio et al. 2018)

- (1) Il cavallo che ha inseguito i leoni
The horse that has chased the lions
“The horse that chased the lions” **SRC**
- (2) Il cavallo che i leoni hanno inseguito
The horse that the lions have chased
“The horse that the lions chased” **ORC**

SRC > ORC

Postverbal Subjects and Ambiguity

Italian allows for postverbal subjects, making some sentences ambiguous (De Vincenzi 1991):

- (3) Il cavallo che ha inseguito il leone
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- a. "The horse that chased the lion" **SRC**
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SRC > ORCp

Agreement can disambiguate:

- (4) Il cavallo che hanno inseguito i leoni
The horse that have chased the lions
"The horse that the lions chased" ORCp

Asymmetries in Italian Relative Clauses

- (1) Il cavallo che ha inseguito i leoni
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“The horse that chased the lions” **SRC**
- (2) Il cavallo che i leoni hanno inseguito
The horse that the lions have chased
“The horse that the lions chased” **ORC**
- (4) Il cavallo che hanno inseguito i leoni
The horse that have chased the lions
“The horse that the lions chased” **ORCp**

Processing Asymmetry (De Vincenzi 1991, Arosio et al. 2018, a.o.)

SRC > ORC > ORCp

Processing Asymmetries: Not Just Italian!

- ▶ Subject VS object relative clauses

SRC I saw the horse that kicked the wolf.

ORC I saw the horse that the wolf kicked.

- ▶ Right embedding VS Center embedding

RE The woman saw the boy that heard the man that left.

CE The woman the boy (that) the man that left heard saw.

- ▶ Attachment preferences

1a. I saw [a girl with the telescope]

1b. I [saw a girl] [with the telescope]

Processing Asymmetries: Not Just Italian!

- ▶ Subject VS object relative clauses

SRC I saw **the horse** [_{RC} that **t** kicked the wolf].

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1a. I saw **[a girl with the telescope]**

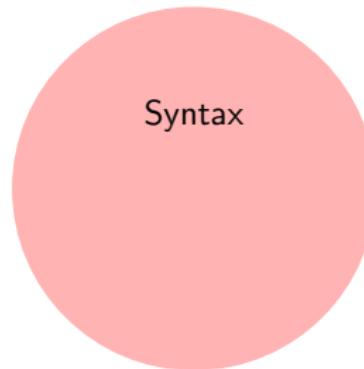
1b. I **[saw a girl] [with the telescope]**

One Big Question

(How much) does grammatical structure matter
in sentence processing?

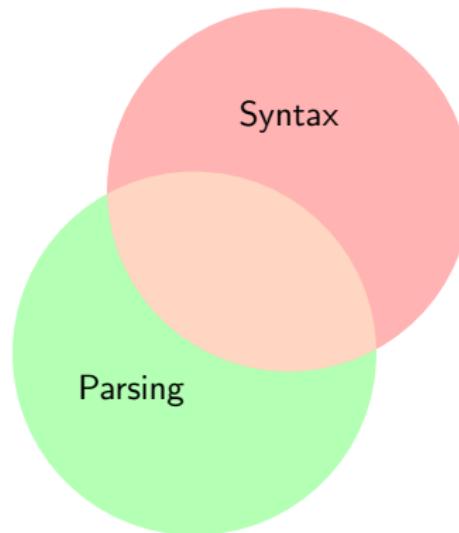
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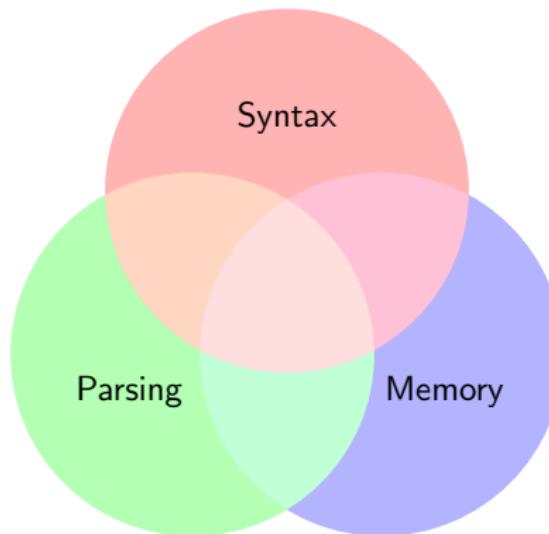
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Forward to the Past

- ▶ What is the relation between grammatical operations and cognitive processes?

Derivational Theory of Complexity (Miller and Chomsky, 1963)

- ▶ Processing complexity \sim length of a derivation
(Fodor & Garrett 1967; Berwick & Weinberg 1983)
- ▶ Essentially: there is a **cost** to mental computations.

- ▶ What is the right notion of syntactic derivation?
- ▶ What is costly? And why?

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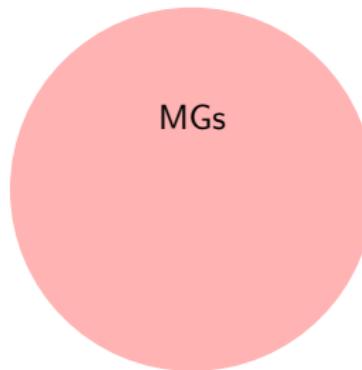
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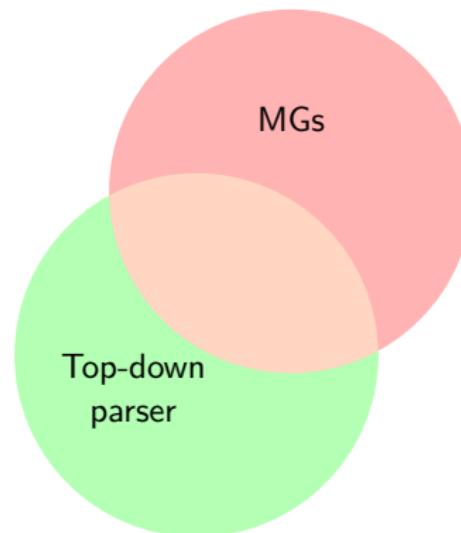
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A Formal Model of Sentence Processing



- 1 An explicit syntactic theory → Minimalist grammars (MGs)

A Formal Model of Sentence Processing



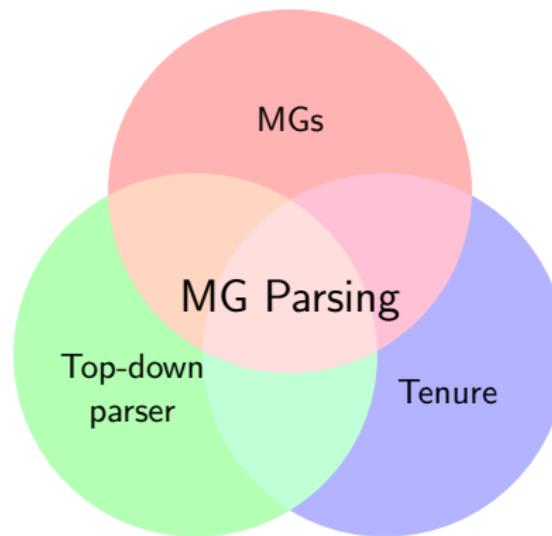
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A Formal Model of Sentence Processing



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- 3 A psychologically grounded linking theory → tenure

A Formal Model of Sentence Processing



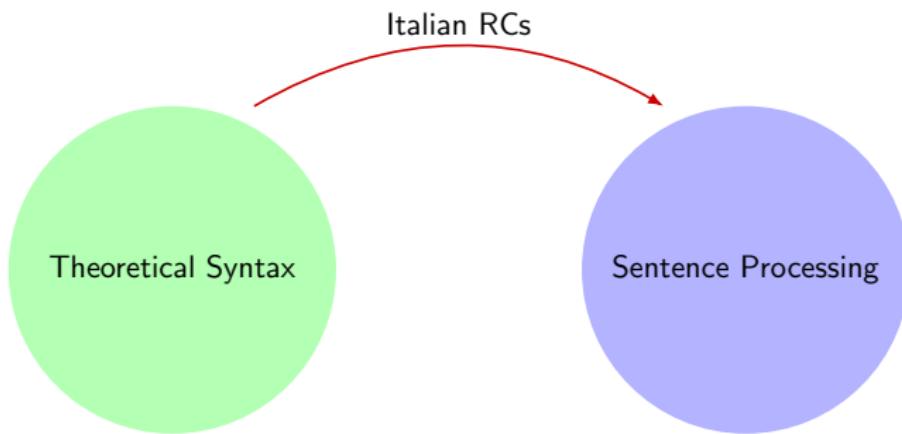
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If you want to understand it, you can understand it!

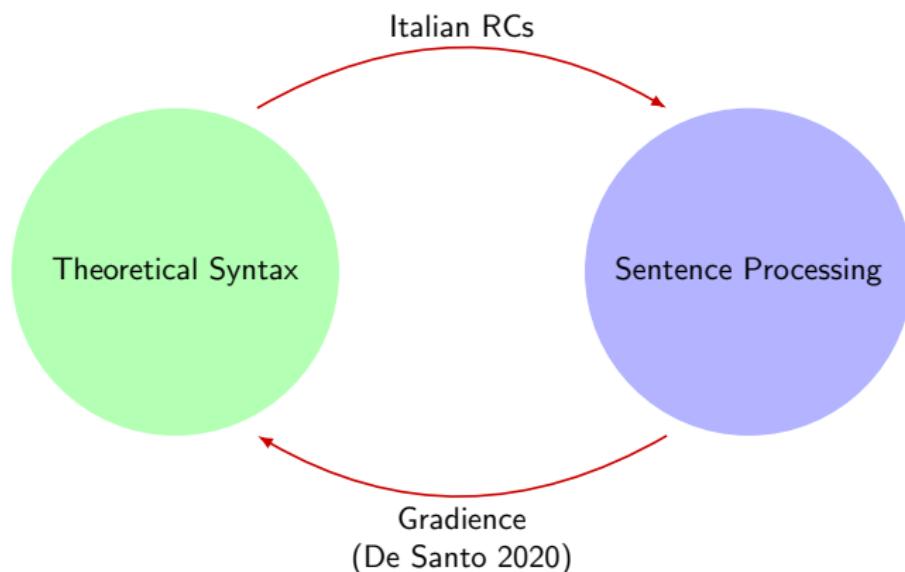
Building Bridges



Building Bridges



Building Bridges



Outline

- 1** Parsing Minimalist Grammars
- 2** Case Study: Italian Postverbal Subjects
- 3** Conclusion

Minimalist Grammars (MGs)

We need an explicit model of syntactic structures...



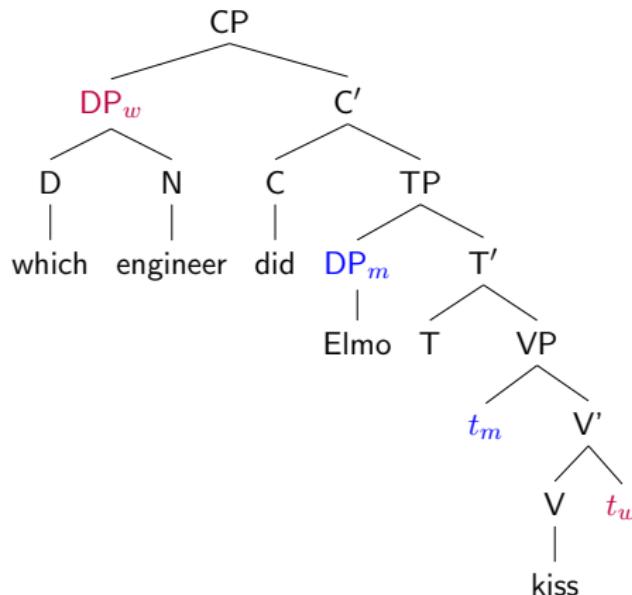
Ed Stabler

- ▶ Minimalist grammars (**MGs**): a formalization of Chomskyan syntax
(Chomsky 1995; Stabler 1997)

Technical details!

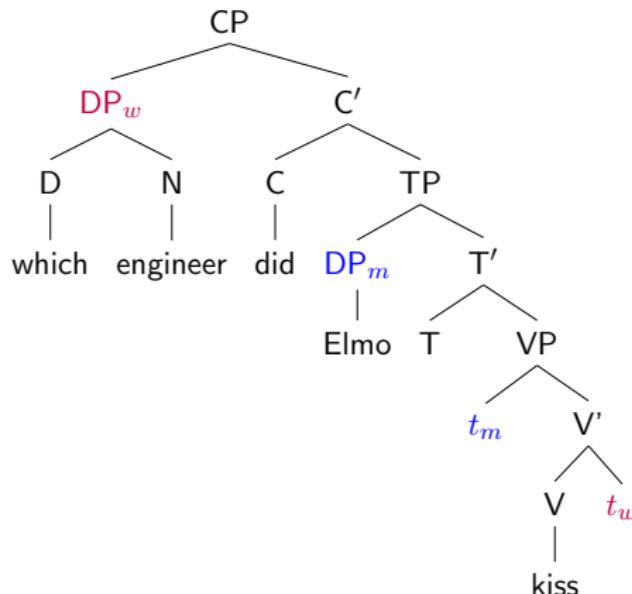
- ▶ Weakly equivalent to MCFGs
- ▶ Essentially: CFGs with a more complicated mapping from trees to strings

MG Syntax: Derivation Trees

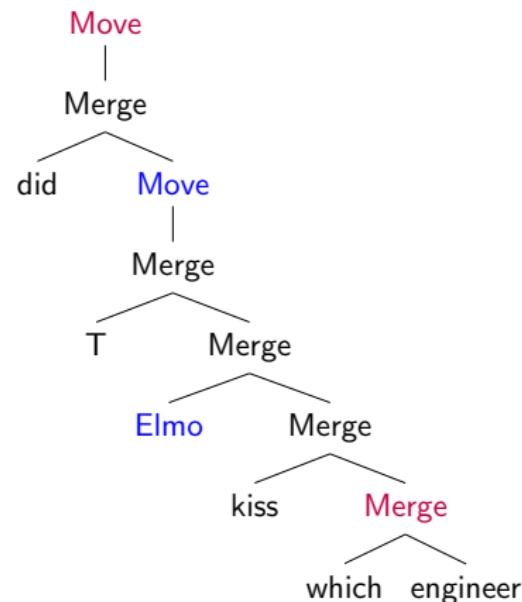


Phrase Structure Tree

MG Syntax: Derivation Trees

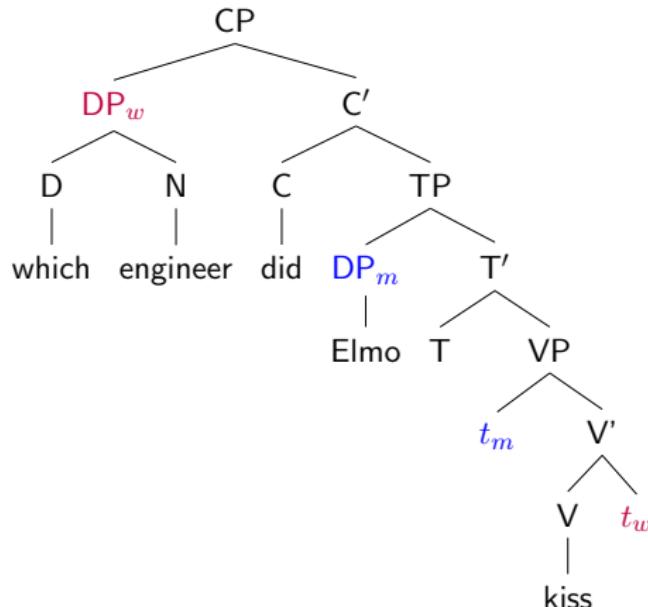


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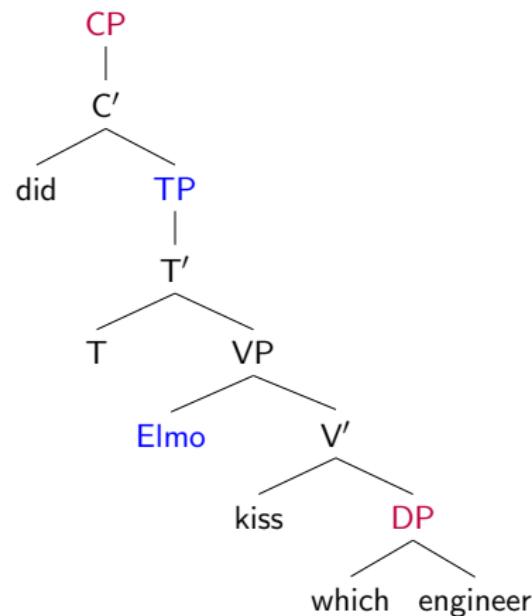


Derivation Tree

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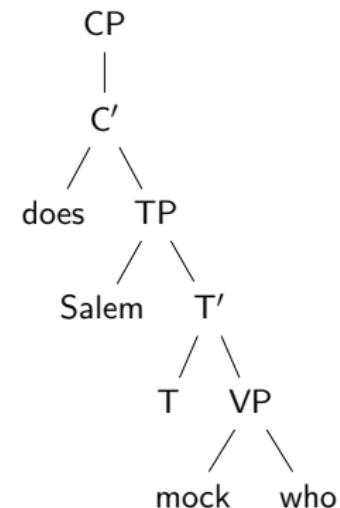
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Derivation Tree

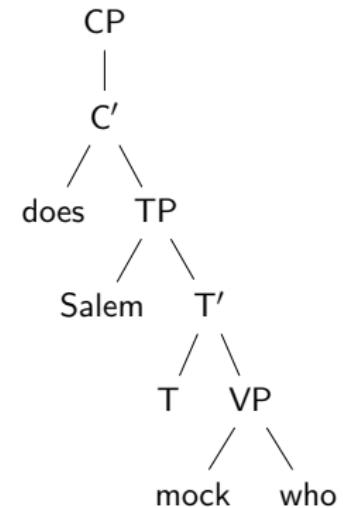
The Job of a Parser

Who does Salem mock?



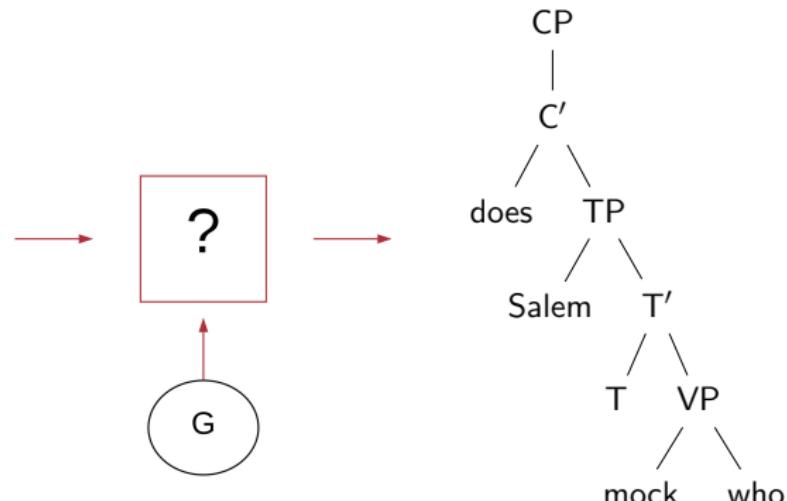
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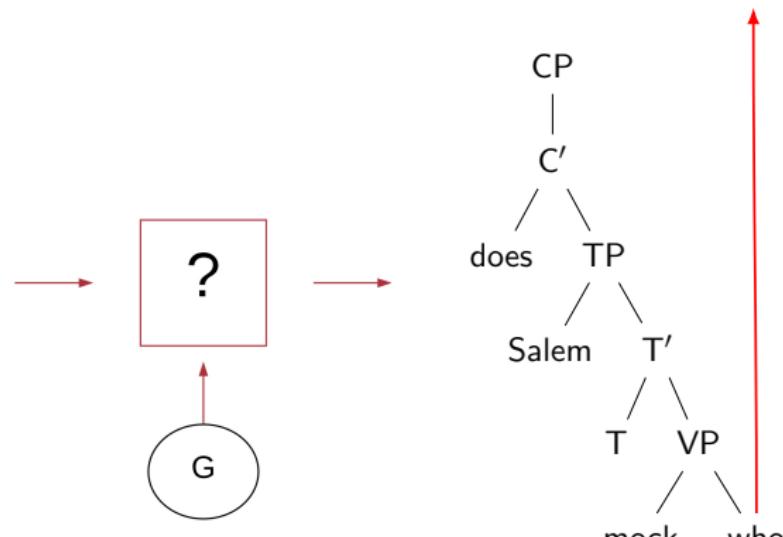
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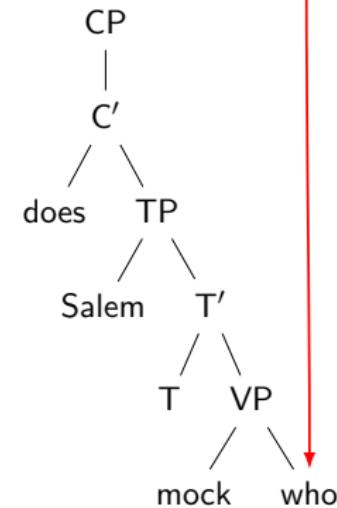
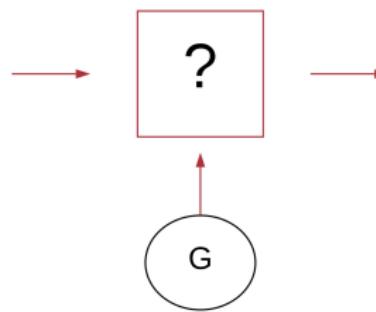
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- ▶ Bottom-up

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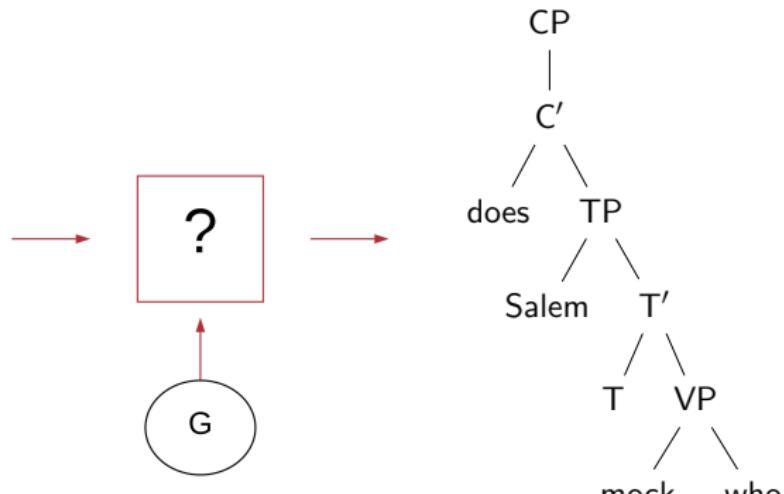
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- ▶ Bottom-up
- ▶ Top-down

The Job of a Parser

Who does Salem mock?



- ▶ Bottom-up
- ▶ Top-down
 - ▶ Psychologically plausible

Top-Down Parsing: The Intuition

Who does Salem mock?

Top-Down Parsing: The Intuition

CP



Who does Salem mock?

- ▶ Builds the structure from top to bottom
- ▶ Takes elements in and out of memory
- ▶ Complexity of the structure \approx how much memory is used!

Top-Down Parsing: The Intuition

CP
|
C'

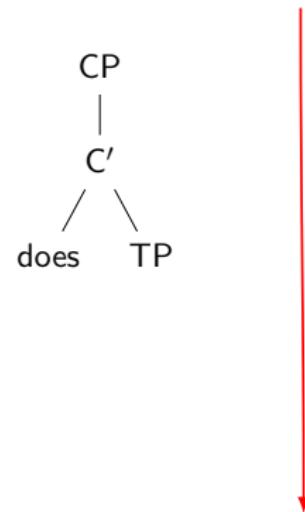


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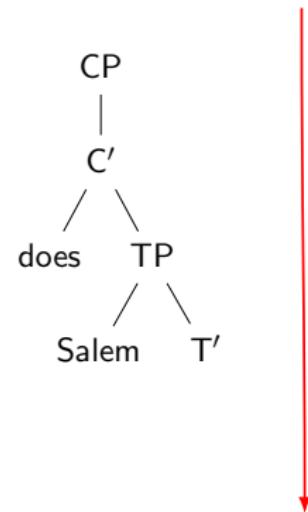
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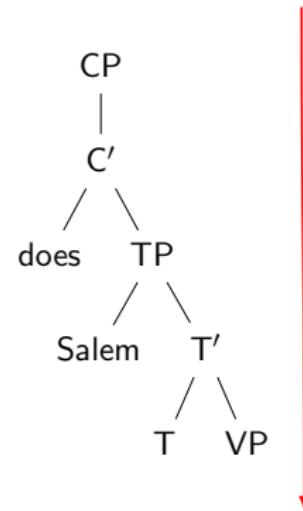
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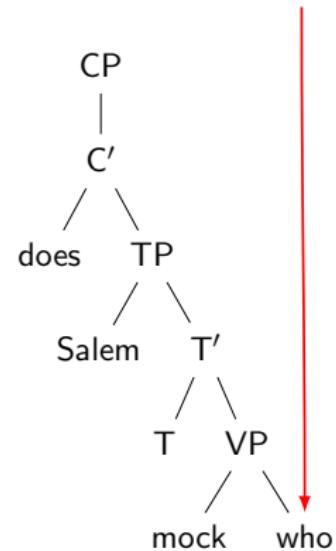
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Incremental Top-Down Parsing

Technical details!

- ▶ String-driven recursive descent parser (Stabler 2013)

- ▶ • Who ● does ● Salem ● T ● mock

- step 1 CP is conjectured
- step 2 CP expands to C'
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- step 4 TP expands to Salem and T'
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- step 6 VP expands to mock and who
- step 7 who is found
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- step 10 T is found
- step 11 mock is found

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1CP_2

|

$^2C'$

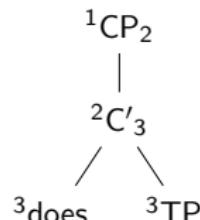
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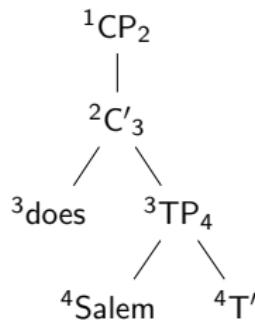
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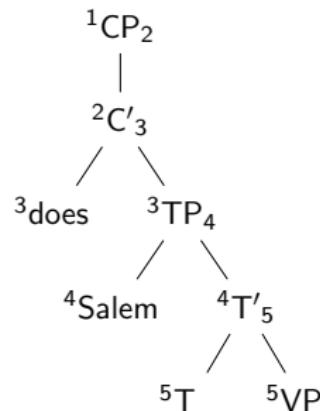
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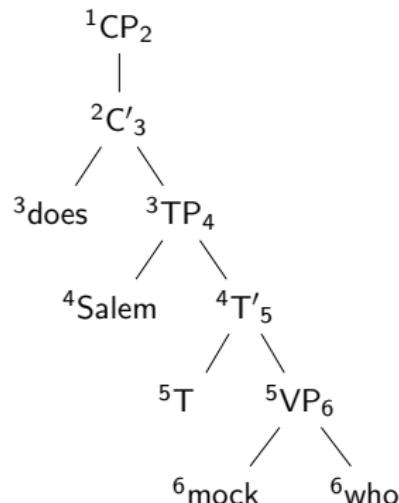
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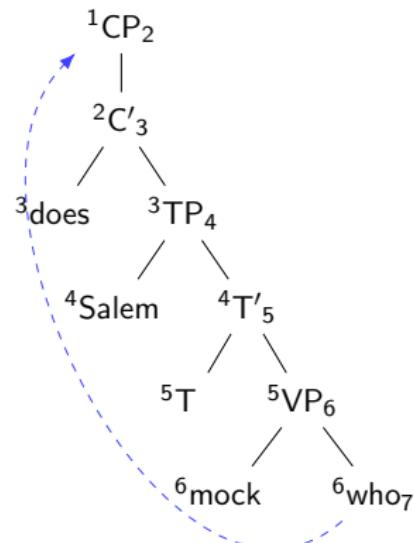
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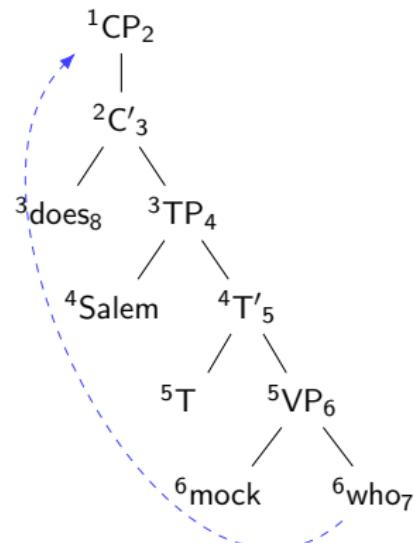
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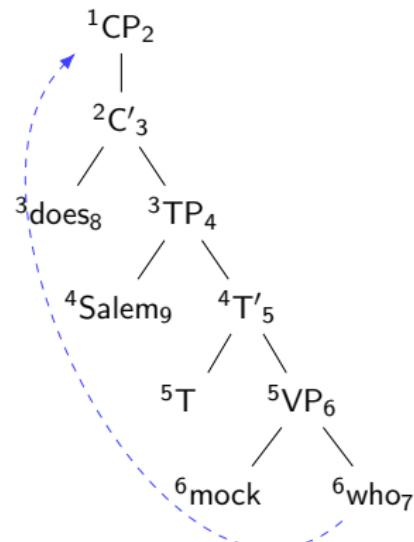
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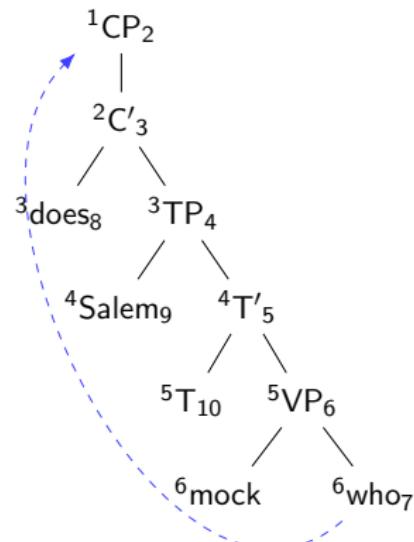
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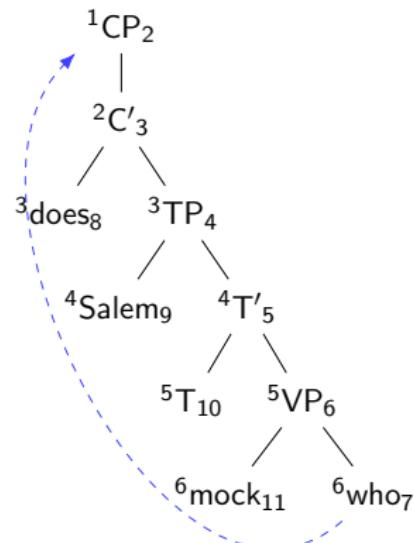
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- step 9 *Salem* is found
- step 10 *T* is found
- step 11 *mock* is found



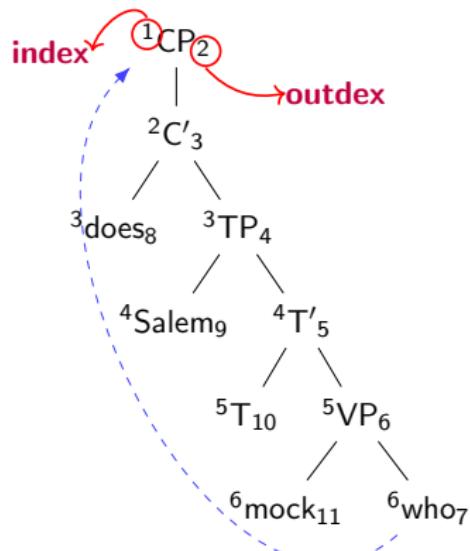
Incremental Top-Down Parsing

Technical details!

- ▶ String-driven recursive descent parser (Stabler 2013)

- ▶ ● Who ● does ● Salem ● T ● mock

- step 1 *CP* is conjectured
- step 2 *CP* expands to *C'*
- step 3 *C'* expands to *does* and *TP*
- step 4 *TP* expands to *Salem* and *T'*
- step 5 *T'* expands to *T* and *VP*
- step 6 *VP* expands to *mock* and *who*
- step 7 *who* is found
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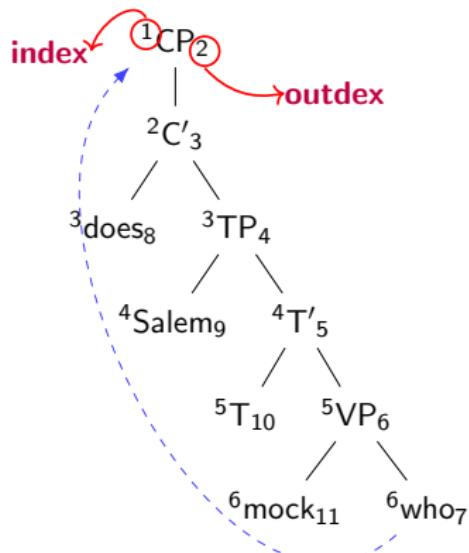
Incremental Top-Down Parsing

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Index and Outdex are our connection to memory!

Memory-Based Complexity Metrics

- ▶ **Memory usage** (Kobele et al. 2012):

Tenure How long a node is kept in memory

Size How much information is stored in a node

⇒ Intuitively, the length of its movement dependency!

- ▶ These can be formalized into **complexity metrics**

MaxTenure $\max(\{\text{tenure-of}(n) | n \text{ a node of the tree}\})$

SumSize $\sum_{m \in M} \text{size}(m)$

Ranked $\langle \text{MaxTenure}, \text{SumSize} \rangle$



Greg Kobele



Sabrina Gerth



John Hale

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Processing Asymmetries All the Way Down

<MAXT,SUMS> makes correct predictions cross-linguistically!

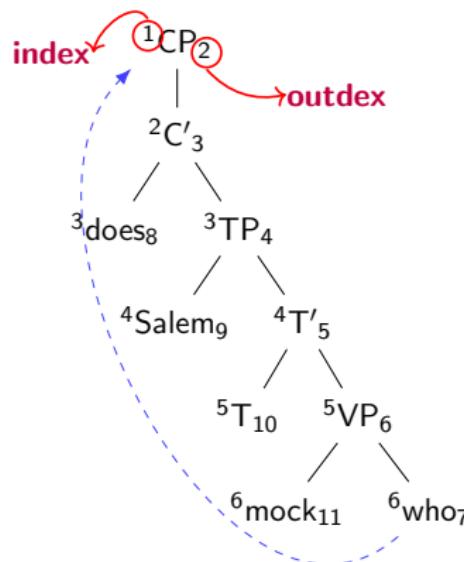
Across Many Constructions

- ▶ Right > center embedding (Kobele et al. 2012)
- ▶ Crossing > nested dependencies (Kobele et al. 2012)
- ▶ SC-RC > RC-SC (Graf & Marcinek 2014)
- ▶ SRC > ORC (Graf et al. 2017)
- ▶ Postverbal subjects in Italian (De Santo 2019)
- ▶ Attachment ambiguities (Lee 2018, De Santo & Shafiei 2019)
- ▶ Gradient acceptability (De Santo 2020)

Across Languages

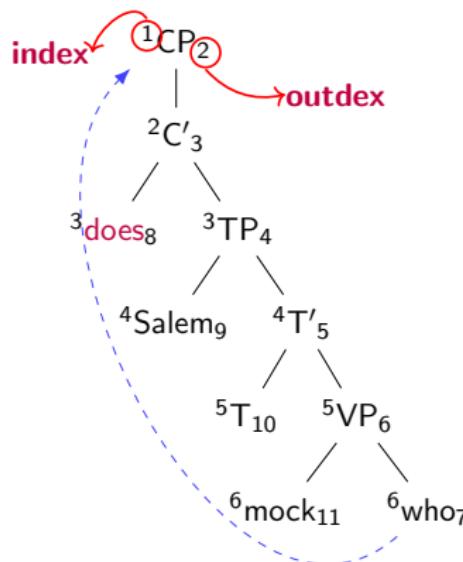
- ▶ English, German, Italian
- ▶ Korean, Japanese
- ▶ Mandarin Chinese
- ▶ Persian

Computing Metrics: An Example



Tenure how long a node is kept in memory

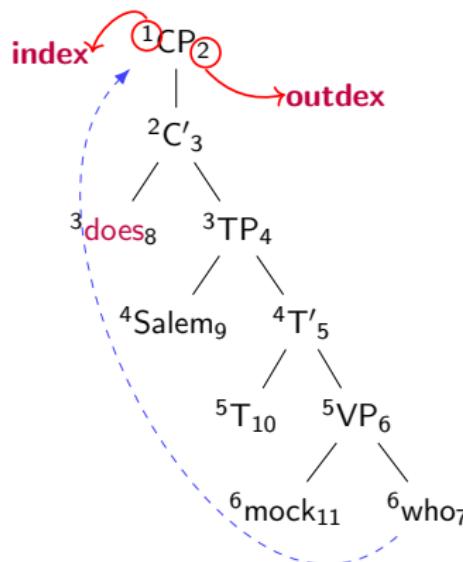
Computing Metrics: An Example



Tenure how long a node is kept in memory

$$\text{Tenure}(does) = 8 - 3 = 5$$

Computing Metrics: An Example



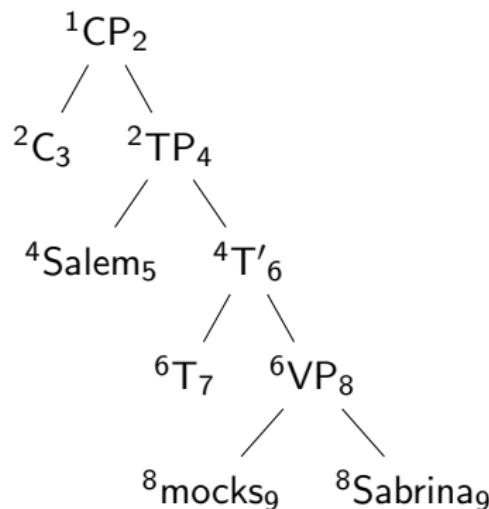
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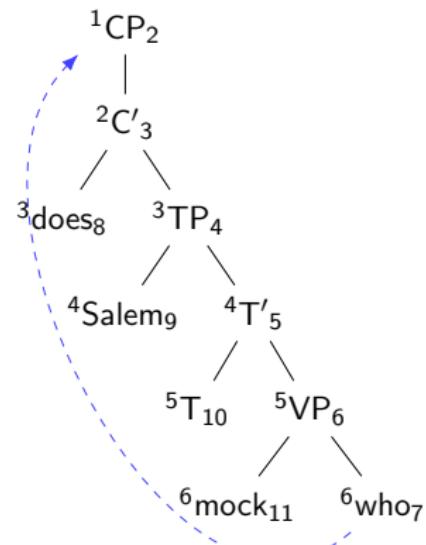
$$\text{MaxTenure} = \max\{\text{Tenure}(does), \text{Tenure}(Salem), \dots\} = 5$$

Contrasting Derivations

MaxTenure = 2



MaxTenure = 5



Automatizing Helps!

☞ *mgproc*: A Python Package for MG Processing Research

This is a collection of Python3 scripts to facilitate the investigation of human processing from the perspective of Minimalist grammars (MGs).

Background

MGs were developed in Stabler (1997) as a formalization of Chomsky's Minimalist program. A top-down parser for MGs is defined in Stabler (2013) and has been [implemented in a number of languages](#). A number of subsequent works have successfully used this parser to make predictions about relative difficulty in sentence processing. Good starting points with a review of the previous literature are Gerth (2015) and Graf et al. (to appear).

- Gerth, Sabrina: [Memory Limitations in Sentence Comprehension](#)
- Graf, Thomas, James Monette, and Chong Zhang (to appear): Relative Clauses as a Benchmark for Minimalist Parsing (link to be added soon)
- Stabler, Edward (1997): [Derivational Minimalism](#)
- Stabler, Edward (2013): [Two Models of Minimalist, Incremental Syntactic Analysis](#)

Quick Start Guide

With *mgproc* you can easily compare MG derivation trees with respect to thousands of complexity in processing. The scripts integrate well with a LaTeX-centric workflow, following the ideal of OpenScience publication form a cohesive unit. Usually a parsed derivation tree is specified by four files. Assuming `foo`, we have:



- ▶ Open source ⇒ in prep. for *Journal of Open Source Software*
- ▶ User-friendly!
- ▶ Easy to modify!

Summary of the Approach

General Idea

(Kobele et al. 2012; Gerth 2015; Graf et al. 2017)

- 1 Pick two competing derivations
- 2 Evaluate metrics over each
 - ▶ Lowest score means easiest!
- 3 Compare parser's prediction to experimental data

Remember!

If you want to understand it, you can understand it!

Reminder: Asymmetries in Italian Relative Clauses

- (1) Il cavallo che ha inseguito i leoni
The horse that has chased the lions
“The horse that chased the lions” **SRC**
- (2) Il cavallo che i leoni hanno inseguito
The horse that the lions have chased
“The horse that the lions chased” **ORC**
- (4) Il cavallo che hanno inseguito i leoni
The horse that have chased the lions
“The horse that the lions chased” **ORCp**

Processing Asymmetry (De Vincenzi 1991, Arosio et al. 2018, a.o.)

SRC > ORC > ORCp

Modeling Assumptions

Reminder:

- ▶ Parsing strategy
⇒ Top-down parser
- ▶ Complexity Metrics
⇒ MaxTenure and SumSize

Degrees of freedom: Syntactic analyses

- 1 RC constructions → (Kayne 1994)
- 2 Postverbal subjects → (Belletti & Leonini 2004)

Modeling Assumptions

Reminder:

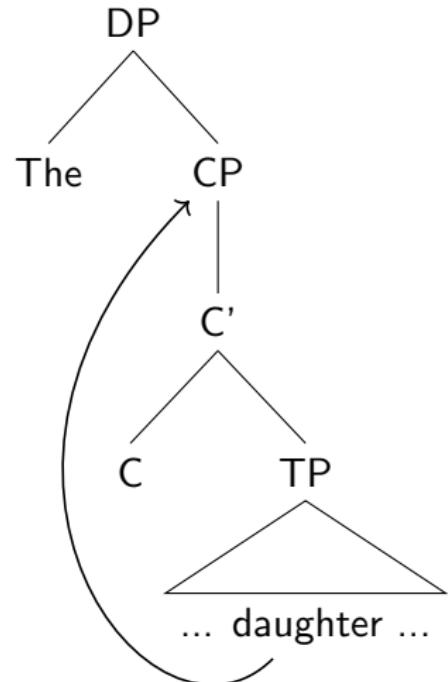
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Kayne's Promotion Analysis (Kayne 1994)

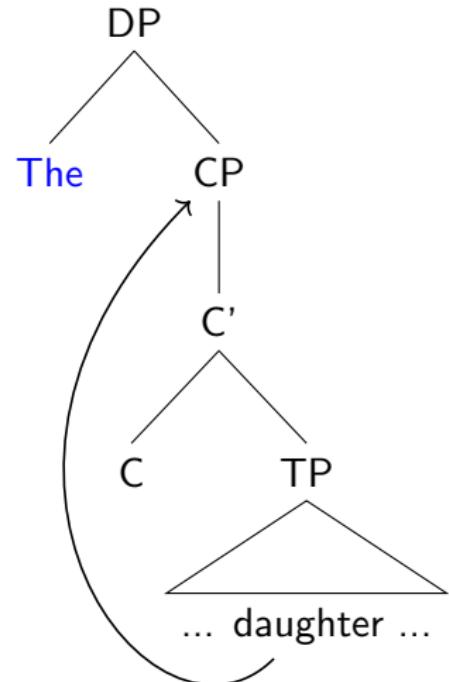
- ▶ RC is selected by an external D^0
- ▶ the RC head is a nominal constituent
- ▶ the RC head raises from its base position to [Spec, CP]



$[DP \; The \; [CP \; \text{daughter}_i \; [\; \text{that } t_i \; \text{was on the balcony} \;]]]$

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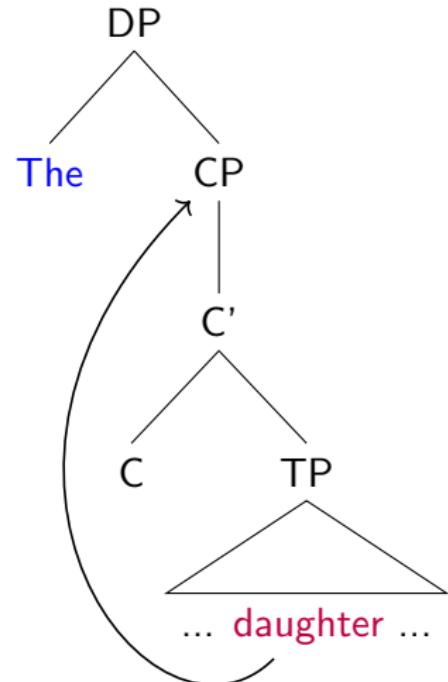
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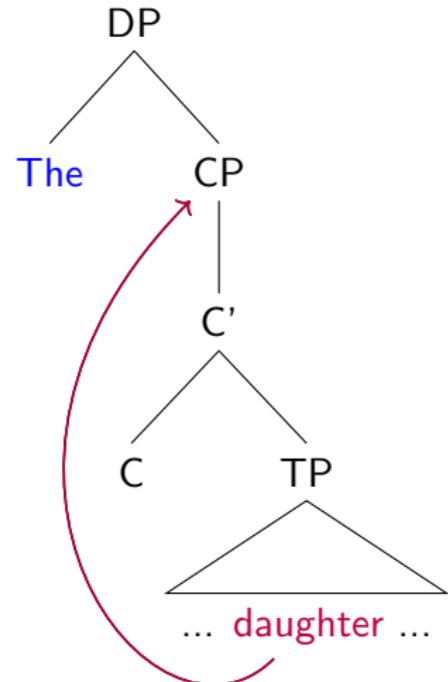
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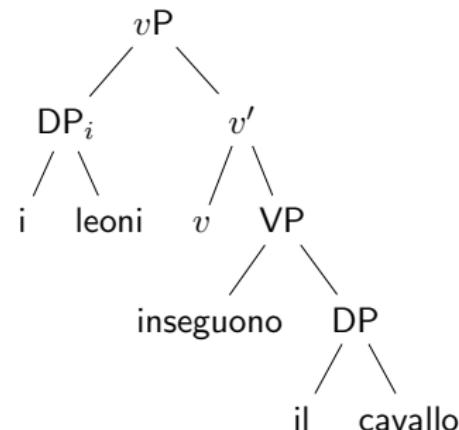


$[DP \text{ The } [CP \text{ daughter}_i [\text{that } t_i \text{ was on the balcony }]]]$

Postverbal Subjects (Belletti & Leonini 2004)

- (5) Inseguono il cavallo i leoni
 Chase the horse the lions
 "The lions chase the horse"

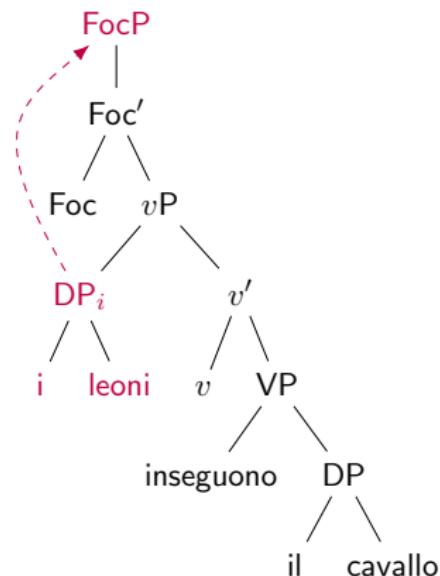
- ▶ the subject DP raises to Spec, FocP
- ▶ The whole *vP* raises to Spec, TopP



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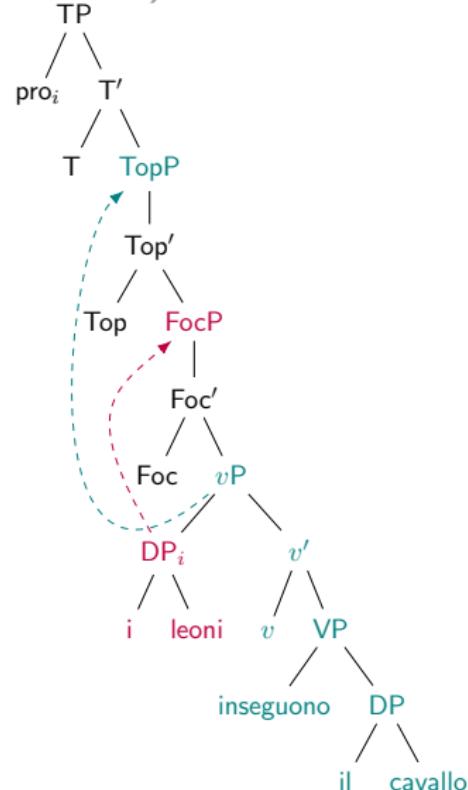
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- ▶ The whole vP raises to Spec, TopP



Postverbal Subjects (Belletti & Leonini 2004)

- (7) Inseguono il cavallo i leoni
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Modeling Results

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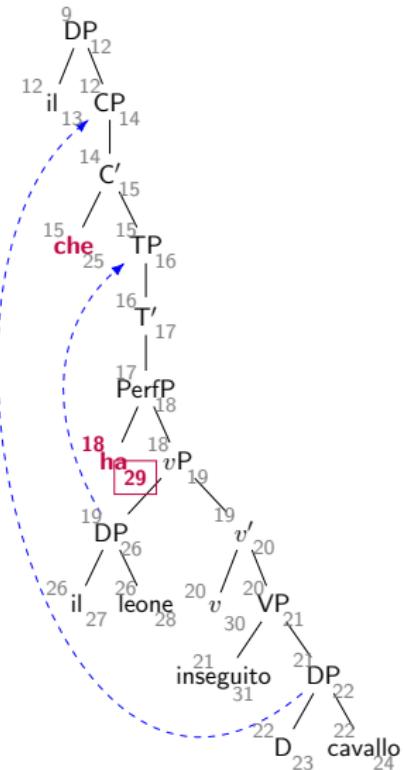
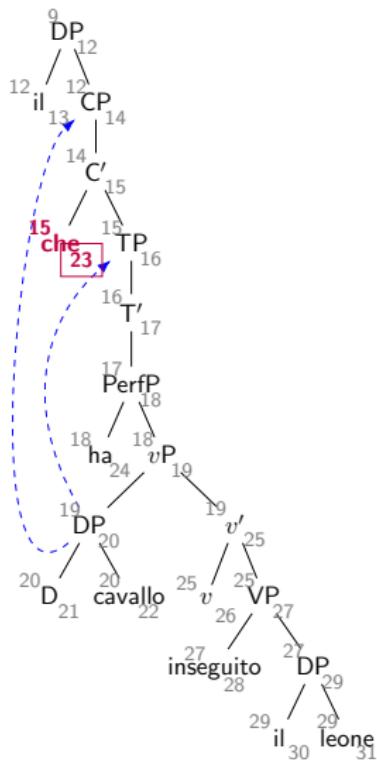
	SRC	>	ORC	>	ORCp
MaxTenure	8/che		11/ha		16/Foc
SumSize	18		24		31

Modeling Results

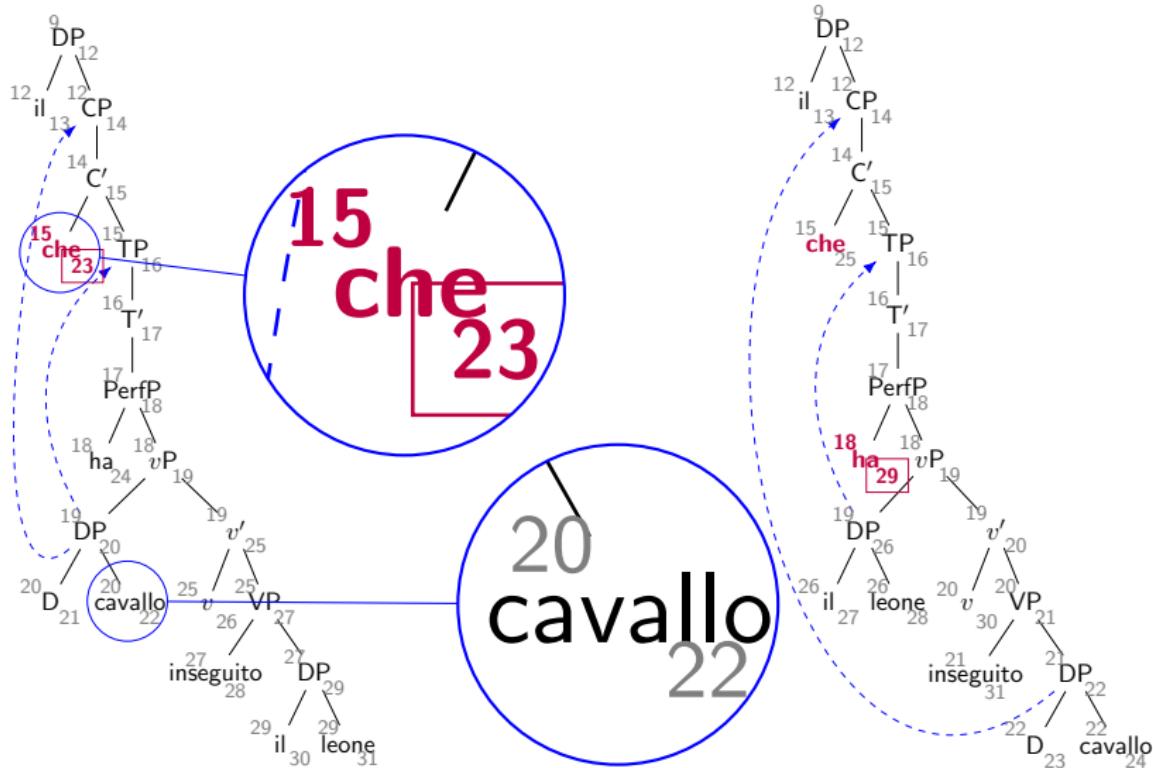
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	SRC	>	ORC	>	ORCp	
MaxTenure	8/che		11/ha		16/Foc	✓
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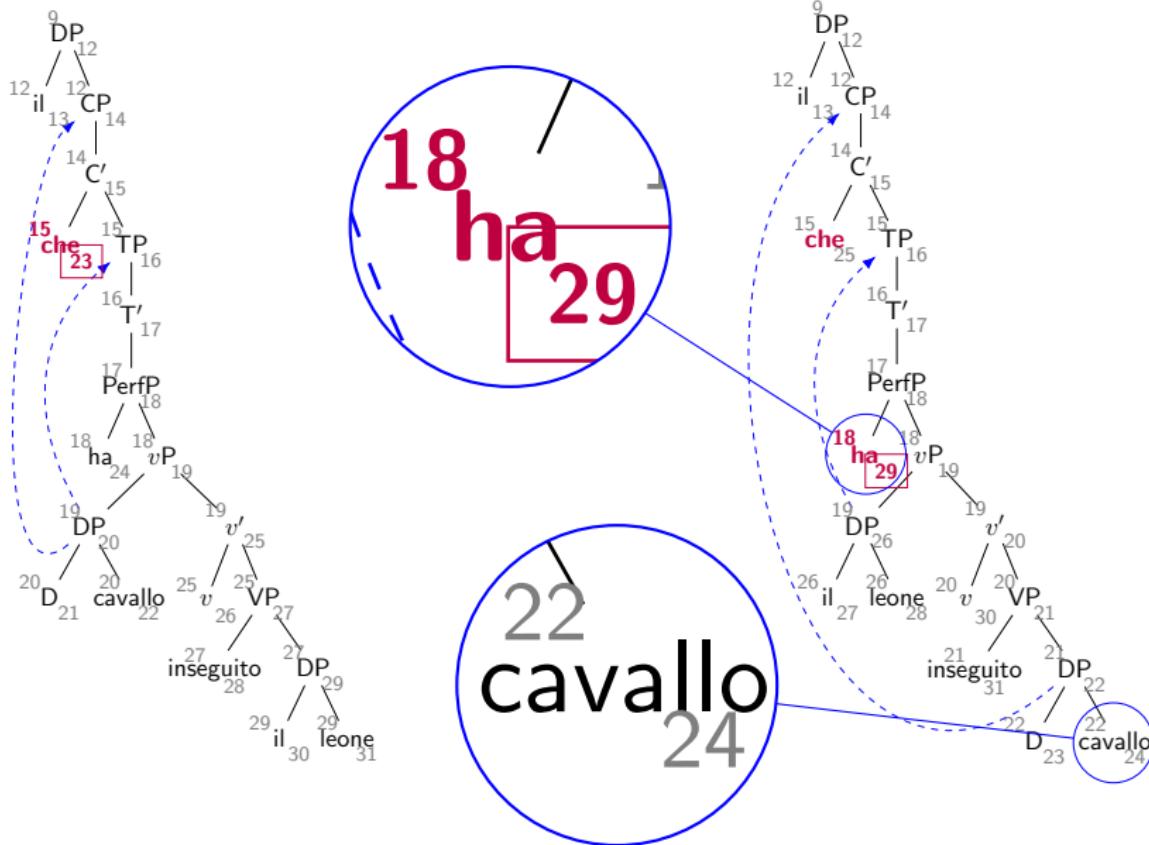
Results: SRC > ORC



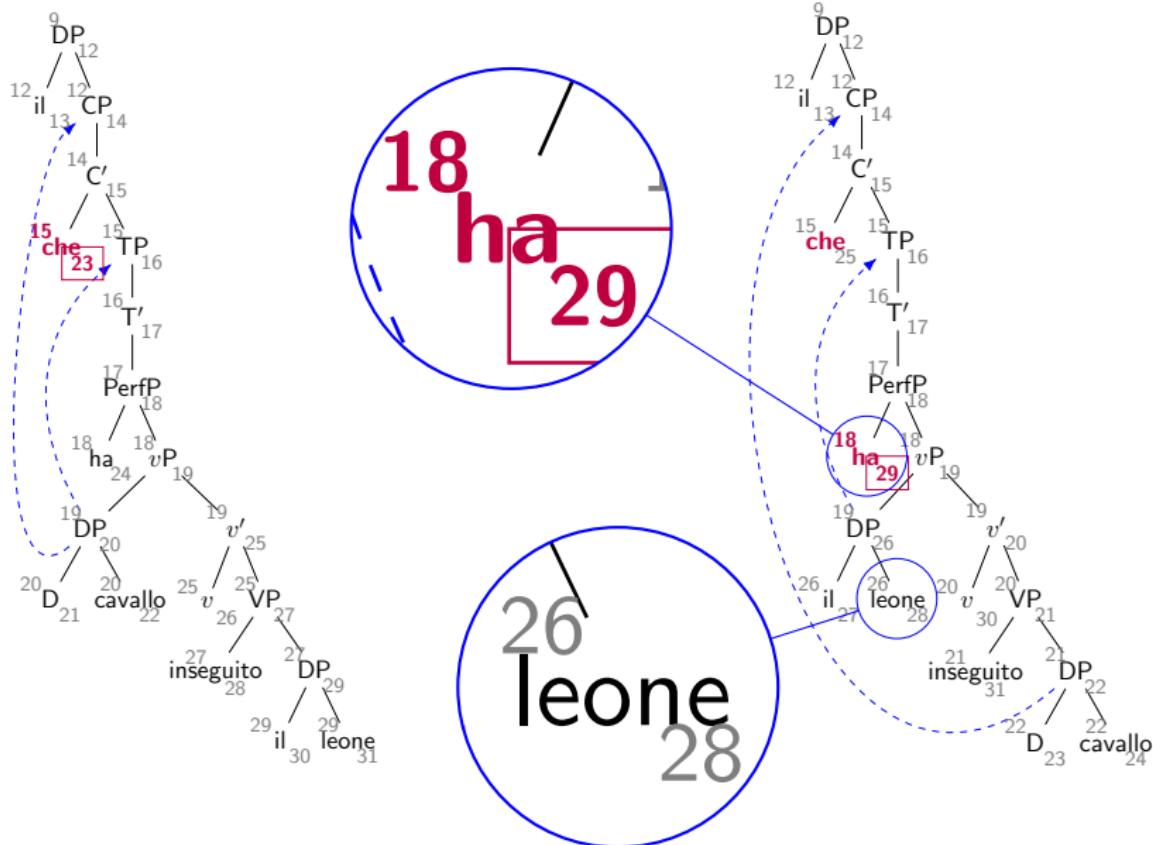
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Results: SRC > ORC



Results: SRC > ORC



Further Results (De Santo 2019)

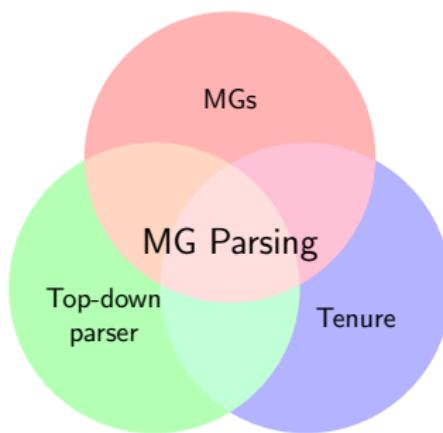
Clause Type	<MaxTenure,SumSize>
obj. SRC > ORC	✓
obj. SRC > ORCp	✓
obj. ORC > ORCp	✓
subj. SRC > ORC	✓
subj. SRC > ORCp	✓
subj. ORC > ORCp	✓
matrix SVO > VOS	✓
VS unacc > VS unerg	✓

Table: Predictions of the MG parser by contrast.

Summing Up

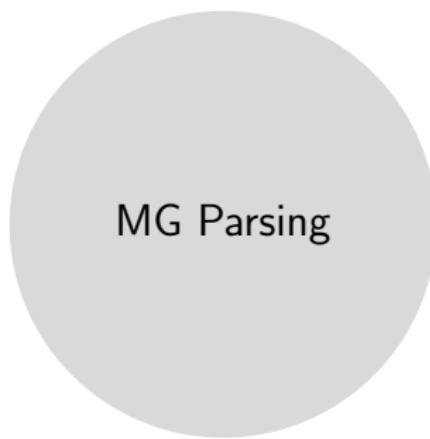
- ▶ <MAXT,SUMS> gives consistent results!
 - ▶ Right vs. center embedding, attachment ambiguities, relative clause preferences
 - ▶ English, German, Korean, Japanese, Persian, Mandarin Chinese
 - ▶ More?
- ▶ Asymmetries in Italian postverbal subject constructions
 - ▶ Derived just from **(fine-grained) structural differences!**
 - ▶ **Ongoing**: expand range of syntactic analyses;
 - ▶ **Ongoing**: cross-linguistic comparisons.

From the Trees (back) to the Forest

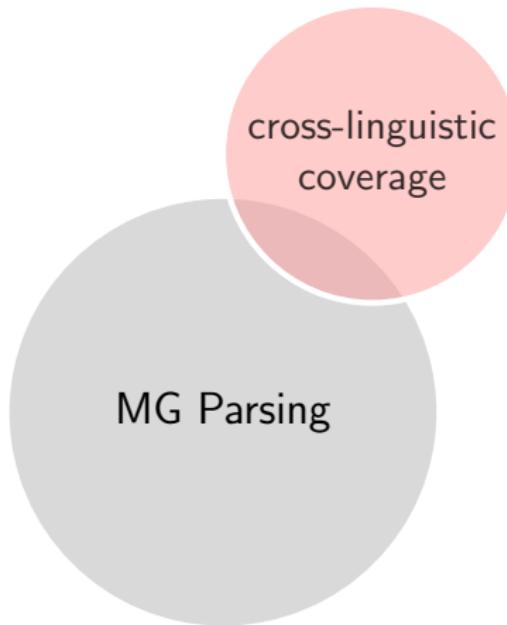


- ▶ Fully specified parsing model allows for precise predictions
- ▶ Tight connection with current generative syntax
- ▶ Successful on a variety of cross-linguistic constructions
- ▶ + insights about the structure of the grammar

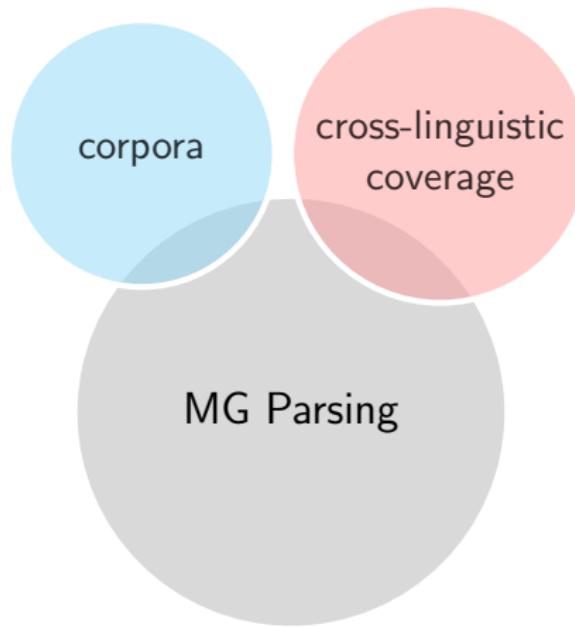
Looking Ahead: A Collaborative Enterprise



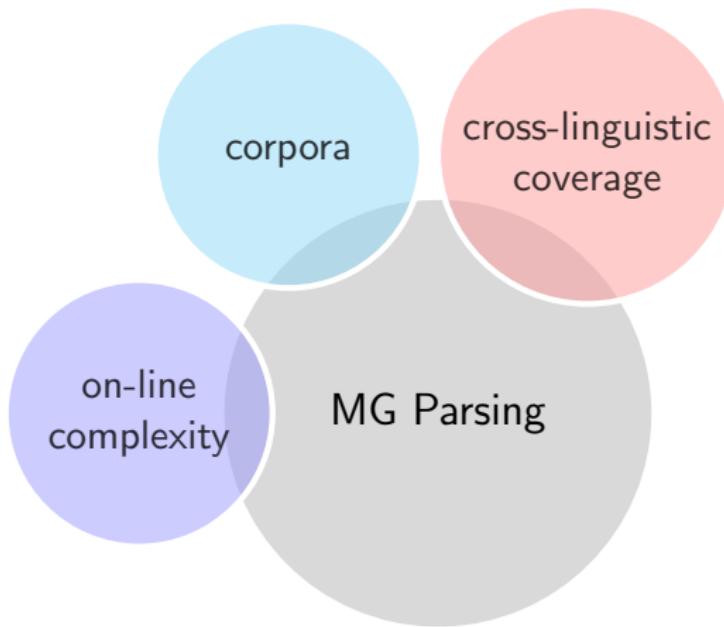
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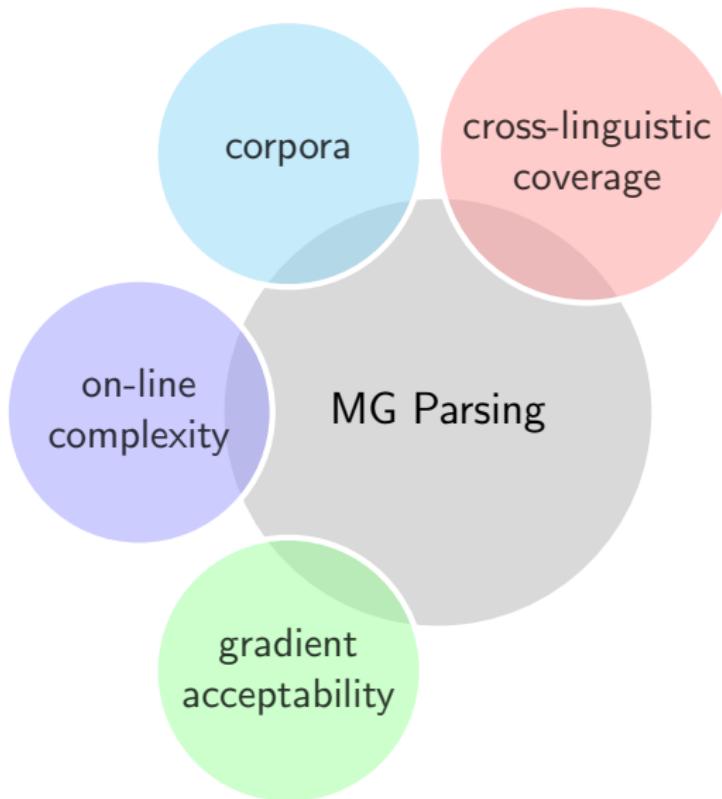
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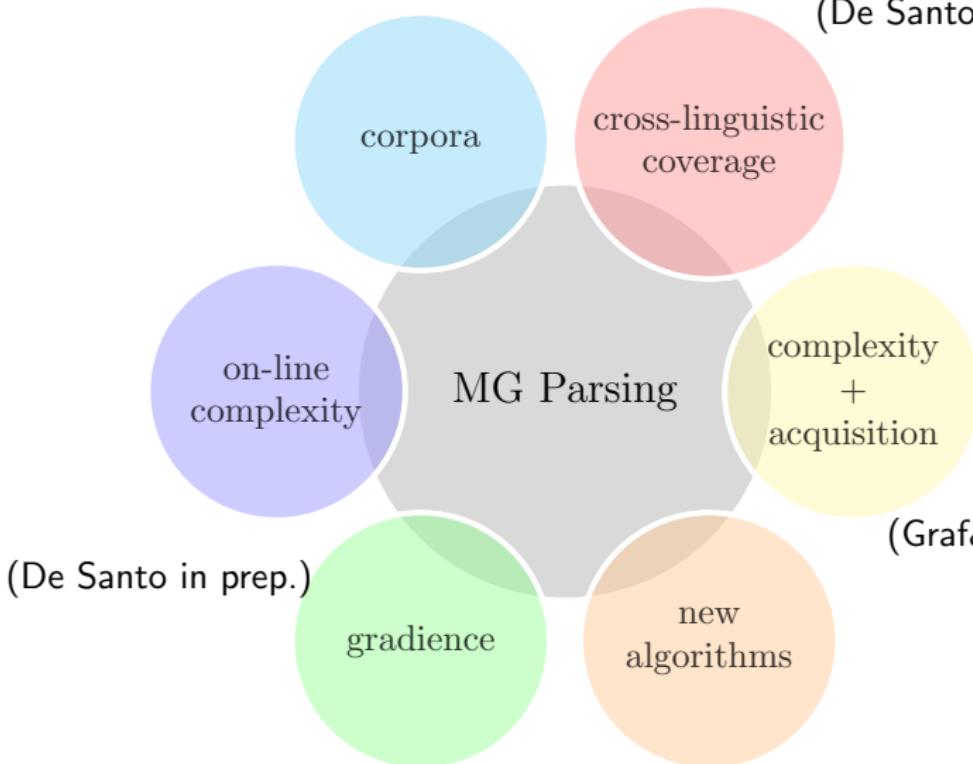


Looking Ahead: A Collaborative Enterprise



Looking Ahead: A Collaborative Enterprise

(De Santo&Shafiei 2019)



Looking Ahead: A Collaborative Enterprise



Selected References I

- 1** Chomsky, N. (1995). *The minimalist program*. Cambridge, Mass.: MIT Press.
- 2** De Santo, A. (2019). Testing a Minimalist grammar parser on Italian relative clause asymmetries. In *Proceedings of CMCL 2019*, June 6 2019, Minneapolis, Minnesota.
- 3** De Santo, A. (2020). MG Parsing as a Model of Gradient Acceptability in Syntactic Islands. (To appear) In *Proceedings of SCiL 2020*, Jan 2-5, New Orleans.
- 4** De Santo, A. and Shafiei, N. (2019). On the structure of relative clauses in Persian: Evidence from computational modeling and processing effects. *Talk at the NACIL2*, April 19-21 2019, University of Arizona.
- 5** Graf, T. and Monette, J. and Zhang, C. (2017). Relative Clauses as a Benchmark for Minimalist Parsing. *Journal of Language Modelling*.
- 6** Kobele, G.M., Gerth S., and Hale, J. (2012). Memory resource allocation in top-down minimalist parsing. In *Formal Grammar*, pages 32–51. Springer.
- 7** Sprouse, J., Wagers, M. and Phillips, C. (2012). A test of the relation between working-memory capacity and syntactic island effects. *Language*.
- 8** Stabler, E.P. (2013). Bayesian, minimalist, incremental syntactic analysis. *Topics in Cognitive Science* 5:611–633.
- 9** Stabler, E.P. (1997). Derivational minimalism. In *Logical aspects of computational linguistics*, ed. Christian Retore, volume 1328 of *Lecture Notes in Computer Science*, 68–95. Berlin: Springer.

Appendix

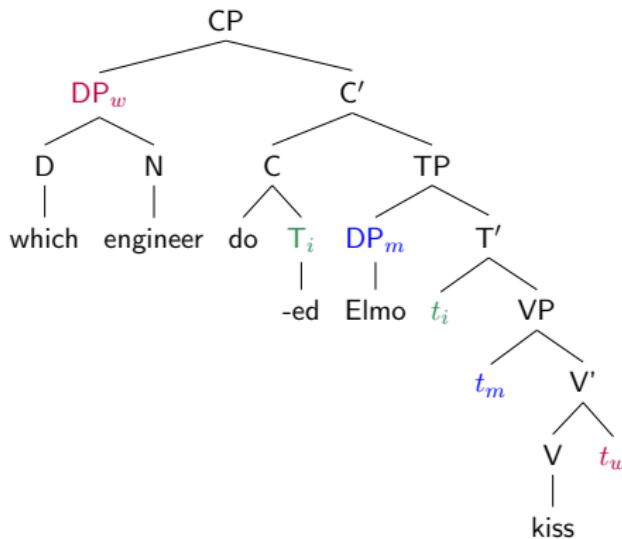
Why MGs?

- 1** Vast analytical coverage
 - ▶ MGs handle virtually all analyses in the generative literature
- 2** Centrality of derivation trees
 - ▶ MGs can be viewed as CFGs with a more complicated mapping from trees to strings
- 3** Simple parsing algorithms
 - ▶ Variant of a recursive descent parser for CFGs
⇒ cf. TAG (Rambow & Joshi, 1995; Demberg, 2008)

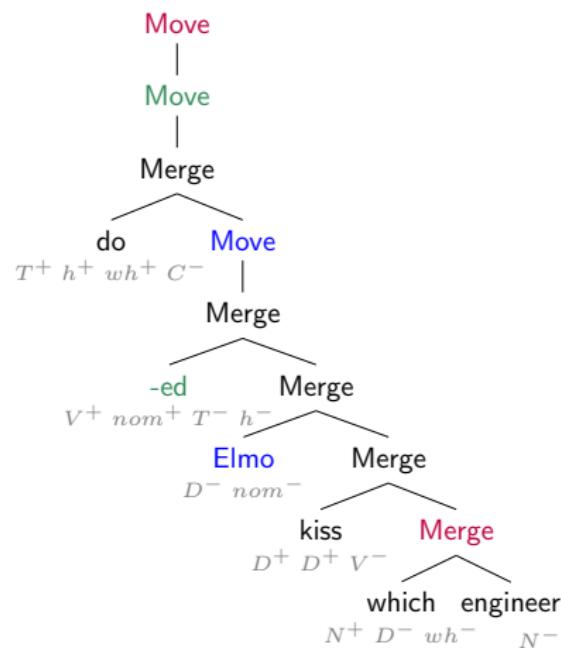
Some Important Properties of MGs

- ▶ MGs are weakly equivalent to MCFGs and thus mildly context-sensitive. (Harkema 2001, Michaelis 2001)
- ▶ But we can decompose them into two finite-state components: (Michaelis et al. 2001, Kobele et al. 2007, Monnich 2006)
 - ▶ a regular language of well-formed derivation trees
 - ▶ an MSO-definable mapping from derivations to phrase structure trees
- ▶ **Remember:** Every regular tree language can be re-encoded as a CFG (with more fine-grained non-terminal labels). (Thatcher 1967)

Fully Specified Derivation Trees



Phrase Structure Tree



Derivation Tree

Technical Fertility of MGs

MGs can accommodate the full syntactic toolbox:

- ▶ sideways movement (Stabler, 2006; Graf 2013)
- ▶ affix hopping (Graf 2012; Graf 2013)
- ▶ clustering movement (Gartner & Michaelis 2010)
- ▶ tucking in (Graf 2013)
- ▶ ATB movement (Kobele 2008)
- ▶ copy movement (Kobele 2006)
- ▶ extraposition (Hunter & Frank 2014)
- ▶ Late Merge (Kobele 2010; Graf 2014)
- ▶ Agree (Kobele 2011; Graf 2011)
- ▶ adjunction (Fowlie 2013; Hunter 2015)
- ▶ TAG-style adjunction (Graf 2012)

Why These Metrics?

- ▶ These complexity metrics are all related to **storage cost**
(cf. Gibson, 1998)
- ▶ We could implement alternative ones
(cf. Ferrara-Boston, 2012)
 - ▶ number of bounding nodes / phases
 - ▶ surprisal
 - ▶ feature intervention
 - ▶ status of discourse referents
 - ▶ integration, retrieval, ...
- ▶ We want to keep the model **simple** (but not **trivial**):
 - ▶ Tenure and Size only refer to the geometry of the derivation
 - ▶ they are sensitive the specifics of tree-traversal
(cf. node-count; Hale, 2001)

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Italian Subjects: Probing the Results

Clause Type	MaxT	SumS
obj. SRC	8/ <i>che</i>	18
obj. ORC	11/ <i>ha</i>	24
obj. ORCp	16/ <i>Foc</i>	31
subj. SRC	21/ <i>v'</i>	37
subj. ORC	21/ <i>v'</i>	44
subj. ORCp	28/ <i>v'</i>	56
matrix SVO	3/ <i>ha/v'</i>	7
matrix VOS	7/ <i>Top/Foc</i>	11
VS unacc	2/ <i>vP</i>	3
VS unerg	7/ <i>Top/Foc</i>	11

Table: Summary of MAXT (*value/node*) and SUMS by construction.
 Obj. and subj. indicate the landing site of the RC head in the matrix clause.

Postverbal Asymmetries: Possible Accounts?

SRC > ORC

- ▶ DLT, active-filler strategy, Competition model, ...

ORC > ORC_p

- ▶ more problematic (e.g., for DLT)
- ▶ can be explained by
 - 1 economy of gap prediction + structural re-analysis;
 - 2 intervention effects + featural Relativized Minimality

Can we give a purely structural account?

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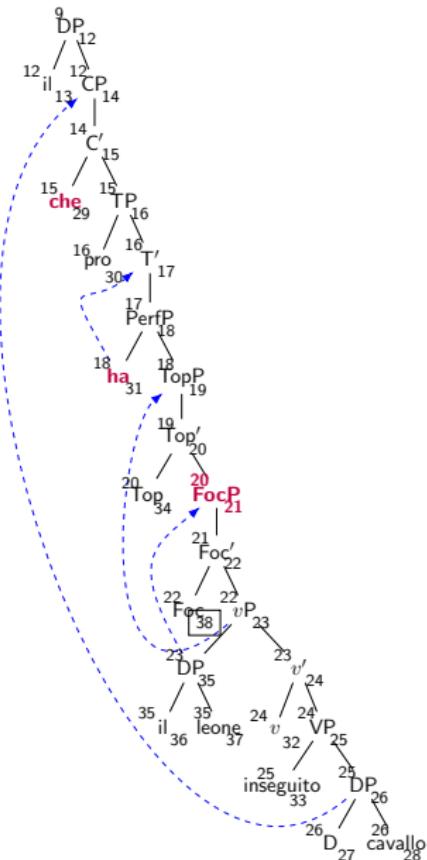
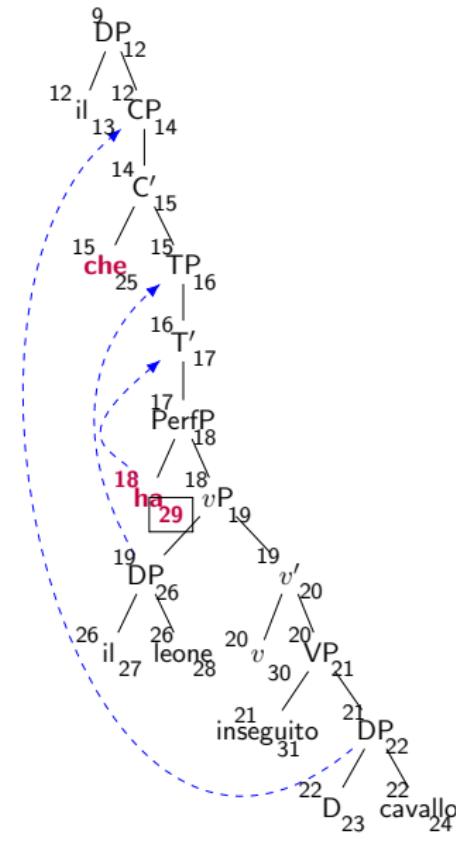
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Can we give a purely structural account?

Results: ORC > ORCp



Additional Constructions

► Ambiguity in Matrix Clauses

- (5) Ha chiamato Gio

Has called Giovanni

a. “He/she/it called Gio”

SVO

b. “Gio called”

VS

► Unaccusatives vs. Unergatives

- (6) È arrivato Gio

Is arrived Gio

“Gio arrived”

Unaccusative

- (7) Ha corso Gio

Has ran Gio

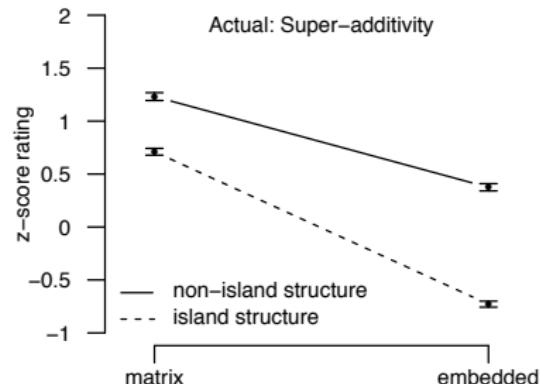
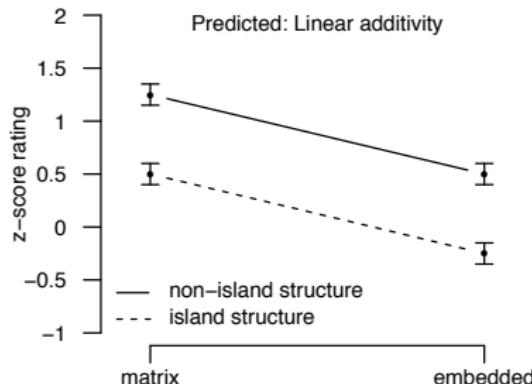
“Gio ran”

Unergative

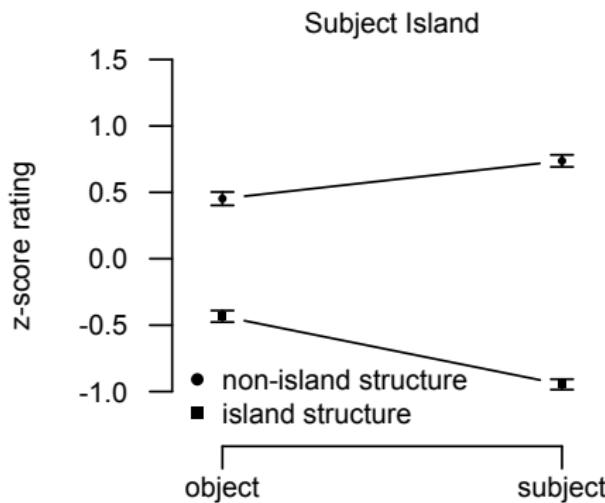
Gradience in Islands

A factorial design for islands effect:

► GAP POSITION × STRUCTURE



Deriving Pairwise Comparisons



- ▶ Subj — Non Island > Obj — Non Island
- ▶ Subj — Non Island > Obj — Island
- ▶ Subj — Non Island > Subj — Island
- ▶ etc.

A Caveat on Island Effects

The Goal

Can **gradience** in acceptability judgments arise from a categorical grammar due to processing factors?

- ▶ Sprouse et al.'s (2012) design is ideal for the MG model.

But I am not interested in island effects per se:

- ▶ Islands: grammatical or processing effects?
(Hofmeister et al., 2012a; Sprouse et al., 2012a,b)
 - ▶ hence, not modeling super-additivity
 - ▶ spoilers: maybe we get some insights?
- ▶ Islands: syntax or semantics?
(Truswell, 2011; Kush et al., 2013; Matchin et al., 2015)

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Subject Islands

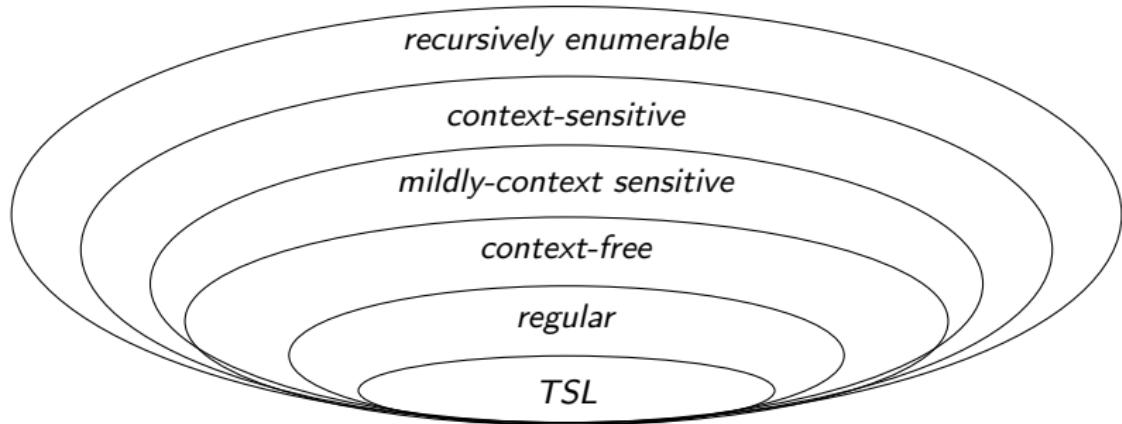
Case 1:

- (8) a. **What** do you think the speech interrupted *t*? Obj — Non Island
- b. **What** do you think *t* interrupted the show? Subj — Non Island
- c. **What** do you think the speech about global warming
interrupted the show about *t*? Obj — Island
- d. **What** do you think the speech about *t* interrupted the show
about global warming? Subj — Island

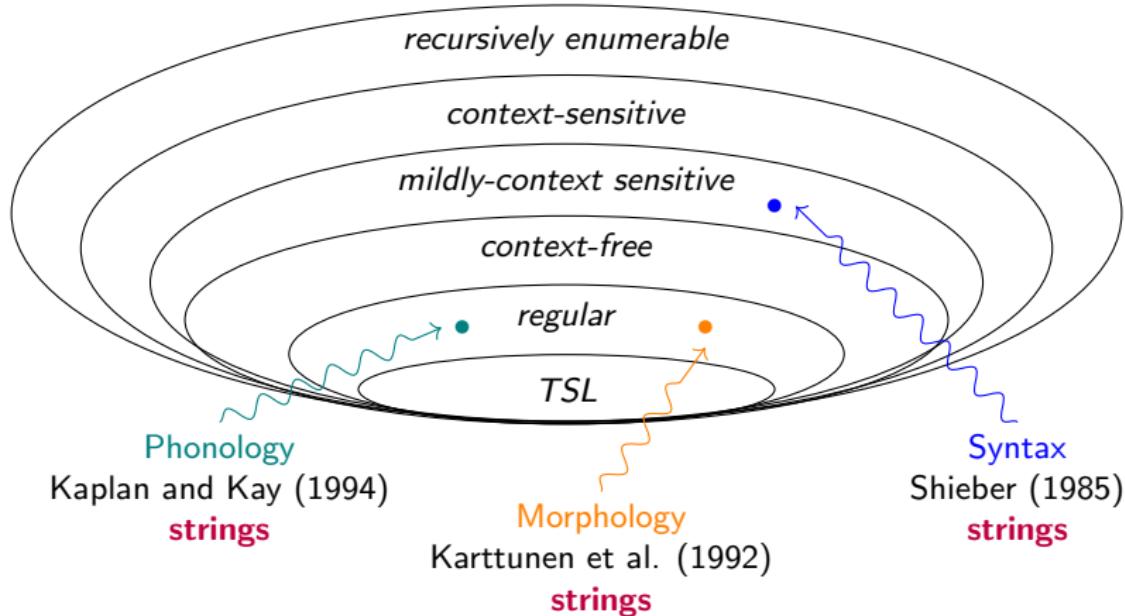
Case 2:

- (9) a. **Who** *t* thinks the speech interrupted the primetime TV show?
Matrix — Non Island
- b. **What** do you think *t* interrupted the primetime TV show?
Emb. — Non Island
- c. **Who** *t* thinks the speech about global warming interrupted
the primetime TV show? Matrix — Island
- d. **What** do you think the speech about *t* interrupted the
primetime TV show? Emb. — Island

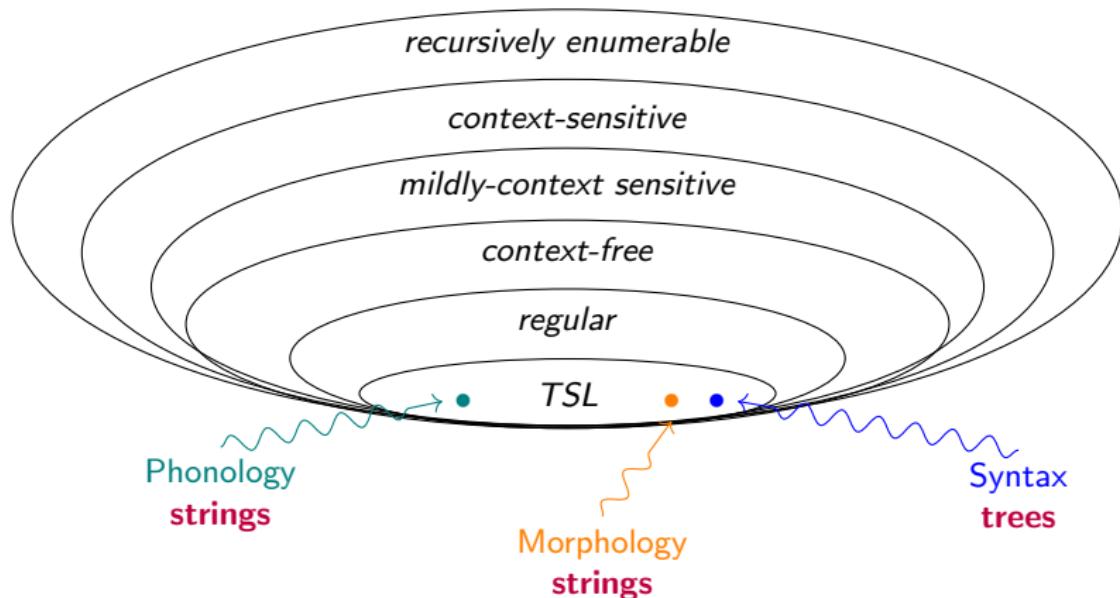
Subregular Complexity



Subregular Complexity



Subregular Complexity



Cognitive Parallelism

Strong Cognitive Parallelism Hypothesis

Phonology, (morphology), and syntax have the **same subregular complexity** over their respective **structural representations**.

We gain a unified perspective on:

- ▶ typology
- ▶ learnability
- ▶ cognition

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- ▶ cognition
 - Finite, flat memory

Acceptability and Grammaticality

- 1 **What** do you think that John bought *t*?
- 2 ***What** do you wonder whether John bought *t*?

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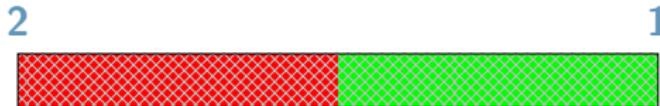
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Acceptability judgments \approx Grammaticality judgments

Gradience in Acceptability Judgments

- 1 **What** do you think that John bought *t*?
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Gradience in Acceptability Judgments

- 1 **What** do you think that John bought *t*?
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Gradient Acceptability and Categorical Grammars

Acceptability judgments are not binary but *gradient*:

An adequate linguistic theory will have to recognize degrees of grammaticalness [...] there is little doubt that speakers can fairly consistently order new utterances, never previously heard, with respect to their degree of belongingness to the language.

(Chomsky 1975: 131-132)

But mainstream syntactic theories rely on categorical grammars!

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Models of Gradience

(At least two) theories of gradience:

- ▶ Gradience incorporated in the grammar
(Keller 2000; Featherston 2005; Lau et al. 2014)
- ▶ Gradience due to extra-grammatical factors
(Chomsky 1975; Schütze 1996)

The contribution of formal models?

Quantify what each approach needs to account for the data:

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Gradient Grammars (Keller 2000; Lau et al. 2014)

- ▶ OT-style constraint ranking
- ▶ Probabilistic grammars

Extra-grammatical Factors (Chomsky 1975; Schütze 1996)

- ▶ Processing effects
 - ▶ Plausibility
 - ▶ Working memory limitations
 - ▶ **But:** few models for quantitative predictions!

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Modeling Gradience with an MG Parser

The model is the same as before

- 1 A formal model of syntax → Minimalist grammars (MGs)
- 2 A theory of how structures are built → MG parser
- 3 A linking theory: higher memory cost ⇒ lower acceptability

- ▶ Sensitive to fine-grained structural differences!
- ▶ Minimal, pairwise comparisons are maximally interpretable!

A proof-of-concept:

- ▶ Variation of Island effects in English (Sprouse et al. 2012)

A Proof of Concept: Island Effects

- 1 **What** do you think that John bought *t*?
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Results in pairwise comparisons ideal for the MG parser

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Gradience in Islands: Sprouse et al. (2012)

A factorial design for islands effects:

- 1 GAP POSITION: Matrix vs. Embedded
- 2 STRUCTURE: Island vs. Non-Island
(Kluender & Kutas 1993)



Jon Sprouse

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A Proof of Concept: Island Effects

- 1 **What** do you think that John bought *t*? Non-Island — Embedded
- 2 **What** do you wonder whether John bought *t*? Island — Embedded
- 3 **Who** *t* thinks that John bought a car? Non-Island — Matrix
- 4 **Who** *t* wonders whether John bought a car? Island — Matrix

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- 3 **Who** *t* thinks that John bought a car? Non-Island — Matrix
- 4 **Who** *t* wonders whether John bought a car? Island — Matrix

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Sprouse et al. (2012)

FOUR ISLAND TYPES

Subject islands

- ▶ What do you think the speech about *t* interrupted the show about global warming?

Adjunct islands

- ▶ What do you laugh if John leaves *t* at the office?

Complex NP islands

- ▶ What did you make the claim that John bought *t*?

Whether islands

- ▶ What do you wonder whether John bought *t*?

GAP POSITION × STRUCTURE

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GAP POSITION × STRUCTURE

- 1 Matrix vs. Embedded
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Modeling Results (De Santo 2020)

Island Type	Sprouse et al. (2012)	MG Parser
Subj. Island 1	Subj. — Non Isl. > Obj. — Non Isl.	✓
	Subj. — Non Isl. > Obj. — Isl.	✓
	Subj. — Non Isl. > Subj. — Isl.	✓
	Obj. — Non Isl. > Obj. — Isl.	✓
	Obj. — Non Isl. > Subj. — Isl.	✓
	Obj. — Isl. > Subj. — Isl.	✗
Subj. Island 2	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. > Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Matrix — Isl.	✓
	Emb. — Non Isl. > Emb. — Isl.	✓
Adj. Island	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. > Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Matrix — Isl.	✓
	Emb. — Non Isl. > Emb. — Isl.	✓
CNP Island	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. = Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Matrix — Isl.	✓
	Emb. — Non Isl. > Emb. — Isl.	✓

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Island Type	Sprouse et al. (2012)	MG Parser
Subj. Island 1	Subj. — Non Isl. > Obj. — Non Isl.	✓
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	Subj. — Non Isl. > Subj. — Isl.	✓
	Obj. — Non Isl. > Obj. — Isl.	✓
	Obj. — Non Isl. > Subj. — Isl.	✓
	Obj. — Isl. > Subj. — Isl.	✗
Subj. Island 2	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. > Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Matrix — Isl.	✓
	Emb. — Non Isl. > Emb. — Isl.	✓
Adj. Island	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. > Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
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	Emb. — Non Isl. > Emb. — Isl.	✓
CNP Island	Matrix — Non Isl. > Emb. — Non Isl.	✓
	Matrix — Non Isl. = Matrix — Isl.	✓
	Matrix — Non Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Emb. — Isl.	✓
	Matrix — Isl. > Matrix — Isl.	✓
	Emb. — Non Isl. > Emb. — Isl.	✓

TL;DR

Success in all
cases but one!

Subject Island: Case 1

- (10) a. **What** do you think the speech interrupted *t*? Obj — Non Island
- b. **What** do you think *t* interrupted the show? Subj — Non Island
- c. **What** do you think the speech about global warming interrupted the show about *t*? Obj — Island
- d. **What** do you think the speech about *t* interrupted the show about global warming? Subj — Island

Sprouse et al. (2012)		MG Parser	Clause Type	MaxT	SumS
Subj. — Non Isl.	> Obj. — Non Isl.	✓	Obj./Non Island	14/do	19
Subj. — Non Isl.	> Obj. — Isl.	✓	Subj./Non Island	11/do	14
Subj. — Non Isl.	> Subj. — Isl.	✓	Obj./Island	23/T2	22
Obj. — Non Isl.	> Obj. — Isl.	✓	Subj./Island	15/do	20
Obj. — Non Isl.	> Subj. — Isl.	✓			
Obj. — Isl.	> Subj. — Isl.	✗			

Subject Island: Case 1

- (5) a. **What** do you think the speech interrupted *t*? Obj — Non Island
- b. **What** do you think *t* interrupted the show? Subj — Non Island
- c. **What** do you think the speech about global warming interrupted the show about *t*? Obj — Island
- d. * **What** do you think the speech about *t* interrupted the show about global warming? Subj — Island

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Obj. — Non Isl.	> Subj. — Isl.	✓			
Obj. — Isl.	> Subj. — Isl.	✗			

Subject Island: Case 2

- (6) a. **Who *t*** thinks the speech interrupted the primetime TV show?
 Matrix — Non Island
- b. **What** do you think ***t*** interrupted the primetime TV show?
 Emb. — Non Island
- c. **Who *t*** thinks the speech about global warming interrupted
 the primetime TV show?
 Matrix — Island
- d. **What** do you think the speech about ***t*** interrupted the
 primetime TV show?
 Emb. — Island

Sprouse et al. (2012)	MG Parser	Clause Type	MaxT	SumS
Matrix — Non Isl.	> Emb. — Non Isl.	✓	Matrix — Non Isl.	5/C 9
Matrix — Non Isl.	> Matrix — Isl.	✓	Emb. — Non Isl.	11/do 14
Matrix — Non Isl.	> Emb. — Isl.	✓	Matrix — Isl.	11/T _{RC} 9
Matrix — Isl.	> Emb. — Isl.	✓	Emb. — Isl.	17/T _{RC} 20
Matrix — Isl.	> Matrix — Isl.	✓		
Emb. — Non Isl.	> Emb. — Isl.	✓		

Summary

Gradience from a categorical MG grammar?

- ▶ The **first** (quantitative) model of this kind!
- ▶ Overall, a success! ⇒ **just** from structural differences!
- ▶ Outlier is expected assuming grammaticalized constraints.

The tip of the iceberg!

- ▶ Modulate range of dependencies
- ▶ Other examples of gradience
- ▶ Cognitive vs. grammatical constraints? (Ferrara-Boston 2012)
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