

计算机学院(软件学院)

SCHOOL OF COMPUTER SCIENCE AND ENGINEERING

Compilation Principle 编译原理

第4讲: 词法分析(4)

张献伟

xianweiz.github.io

DCS290, 3/12/2024







Welcome[欢迎加入]

- 21级计科/1班(李文军)
- 21级计科/2班(冯剑琳 + 林瀚)
- 21级计科/3班(张献伟)
- 21级计科/系统+AI等 (赵帅)

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Welcome Glad you're here! We'll never be ready. So I guess that means we're as ready as we'll ever be.

Neal Shusterman





Time/Location[课时安排]

- •编译原理(3学分,54学时)
 - 排课: 1-18周
 - □ 周二: 1-9周
 - □ 周四: 1-18周
 - 每次授课包括2个课时
 - **□** 第五节: 14:20 15:05, 第六节: 15:15 16:00
 - 地点: 教学大楼 C104B205
- •编译器构造实验(1学分,36学时)
 - 排课: 1-18周
 - □ 周四: 1-18周
 - 每次实验包括2个课时
 - **n** 第七节: 16:30 17:15, 第八节: 17:25 18:10
 - 地点: 实验中心 B202





Slides/Office Hours[课件及答疑]

- 课件
 - 英文为主,术语中文标注
 - □课后或课前上传

- xianweiz.github.io
 Teaching
 Undergraduate
 DCS290 Compilation Principle, [2024s, 2023s, 2022s, 2021s].
 DCS3013 Computer Architecture, [2022f].
 Graduate
 DCS5637/6207 Advanced Computer Architecture, [2023f, 2022f, 2021f].
- 主页: https://arcsysu.github.io/teach/dcs290/s2024.html
- 作业及实验提交
 - 超算习堂: https://easyhpc.net/course/164
- ·课程QQ群: 189 205 980
 - 通知提醒、答疑讨论
- 线下答疑
 - 理论课前课间,或实验课期间
 - 其他时间需预约
 - Email: <u>zhangxw79@mail.sysu.edu.cn</u>







Grading[考核标准]

• 编译原理

- 课堂参与(15%)- 点名、提问、测试
- 课程作业(25%) 5次左右, 理论
- 期末考试 (60%) 闭卷

• 编译器构造实验

- 课堂参与(10%)- 签到、练习等
- Project 1 (20%) Lexical Analysis
- Project 2 (20%) Syntax/Semantic Analysis
- Project 3 (20%) IR Generation
- Project 4 (30%) Code Optimization

理论

- 随机点名
 - 缺席优先
- 随机提问
 - □ 后排优先
- 随机测试
 - □ 不定时间

• 实验

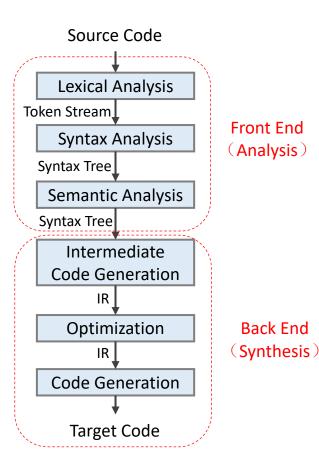
- 个人完成
 - □杜绝抄袭
- 按时提交
 - 硬性截止
- 侧重代码实现
 - □简略报告





Schedule-Lec[理论安排]

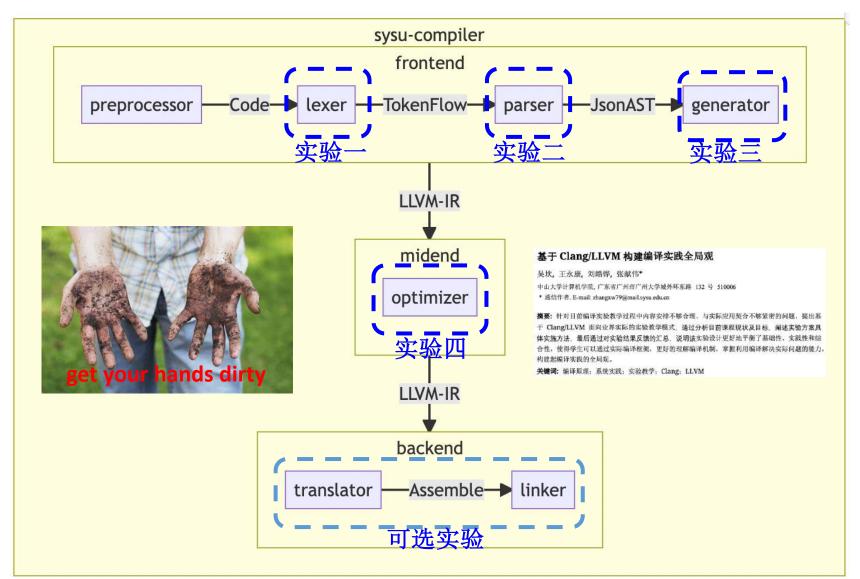
- **Lexical**: source code → tokens
 - RE, NFA, DFA, ...
- **Syntax**: tokens → AST or parse tree
 - CFG, LL(1), LALR(1), ...
- **Semantic**: AST → AST + symbol table
 - SDD, SDT, typing, scoping, ...
- Int. Code Generation: AST → IR
 - TAC, offset, CodeGen, ...
- Optimization: IR → (optimized) IR
 - BB, CFG, DAG, ...
- Code Generation: IR → Instructions
 - Instruction, register, stack, ...







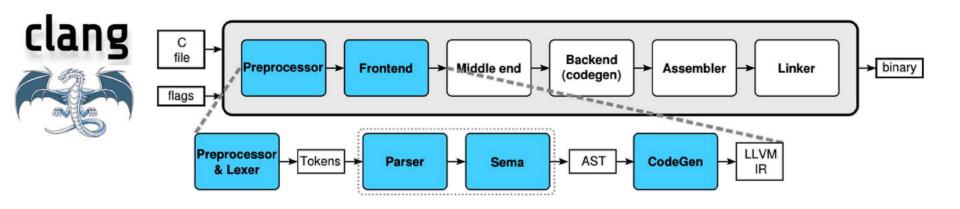
Schedule-Lab[实验安排]







Lab[实验:编译器构造]



SYsU-lang

```
000_main.sysu.c

1 int main(){
2    return 3;
3 }

(1) lexer
(2) parser
(2) parser
(3) generator
(4) optimizer
(1) lexer
(2) parser
(3) generator
(4) optimizer
(1) lexer
(5) description
(6) description
(8) description
(9) description
(1) lexer
(1) lexer
(2) parser
(3) generator
(4) optimizer
(1) lexer
(5) description
(6) description
(7) description
(8) description
(9) description
(1) lexer
(1) lexer
(2) parser
(3) generator
(4) optimizer
(1) lexer
(4) optimizer
```

```
a.S
           .text
           .file
                                                    // -- Begin function main
           .globl
                   main
           .p2align
                   main, Ofunction
           .type
 6 main:
                                            // @main
           .cfi_startproc
 8 // %bb.0:
                                            // %entry
                   w0, #3
           mov
           ret
11 .Lfunc_end0:
           .size
                   main, .Lfunc_end0-main
           .cfi_endproc
                                           // -- End function
           .section ".note.GNU-stack","", @progbits
15
```

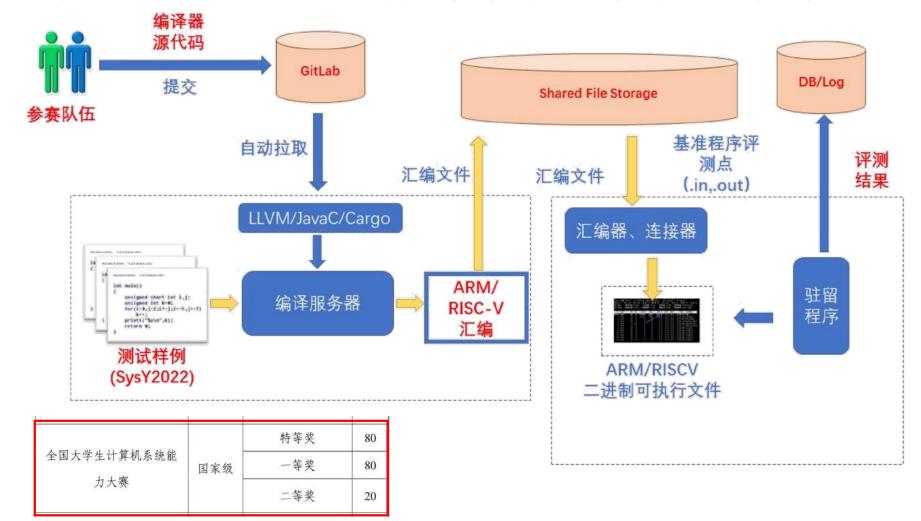




executable

编译系统设计赛

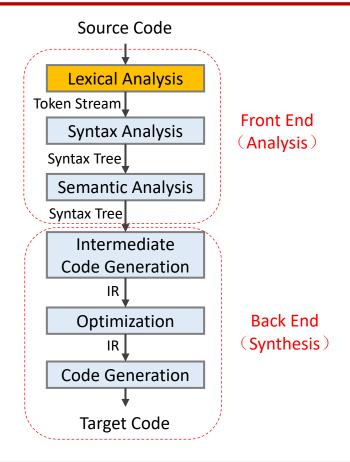
• 综合运用各种知识,构思并实现一个综合性编译系统

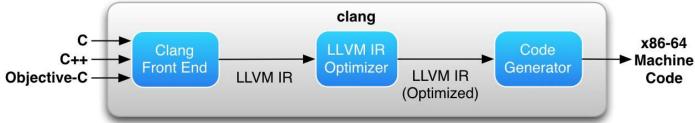






Structure of a Typical Compiler[结构]









Example

```
void main(){
                                                                                         void 'void'
                                                                                                    [StartOfLine] Loc=<parse.c:1:1>
                                                                                        identifier 'main'
                                                                                                          [LeadingSpace] Loc=<parse.c:1:6>
                                                                                        1_paren '('
                                                                                                         Loc=<parse.c:1:10>
        int a, b, c;
                                                                                        r_paren ')'
                                                                                                         Loc=<parse.c:1:11>
                                    $clang -cc1 -dump-tokens test.c
        if (b == c)
                                                                                        1 brace '{'
                                                                                                         Loc=<parse.c:1:12>
                                                                                        int 'int'
                                                                                                     [StartOfLine] [LeadingSpace] Loc=<parse.c:2:3>
                                                                                        identifier 'a'
                                                                                                    [LeadingSpace] Loc=<parse.c:2:7>
            return 1;
                                                                                        comma ','
                                                                                                         Loc=<parse.c:2:8>
                                                                                                    [LeadingSpace] Loc=<parse.c:2:10>
                                                                                        identifier 'b
                                                                                                         Loc=<parse.c:2:11>
                                                                                        identifier 'c'
                                                                                                    [LeadingSpace] Loc=<parse.c:2:13>
                                                                                                         Loc=<parse.c:2:14>
                                                                                        if 'if' [StartOfLine] [LeadingSpace] Loc=<parse.c:3:3>
                                          clang
                                                                                        1_paren '('
                                                                                                    [LeadingSpace] Loc=<parse.c:3:6>
                                                                                        identifier 'b'
                                                                                                         Loc=<parse.c:3:7>
                                                                                        equalequal '=='
                                                                                                    [LeadingSpace] Loc=<parse.c:3:9>
                                                                                        identifier 'c'
                                                                                                    [LeadingSpace] Loc=<parse.c:3:12>
                                                                                        r_paren ')'
                                                                                                         Loc=<parse.c:3:13>
                                                                                        return 'return' [StartOfLine] [LeadingSpace] Loc=<parse.c:4:5>
                                                                                        numeric_constant '1'
                                                                                                          [LeadingSpace] Loc=<parse.c:4:12>
                                                                                                         Loc=<parse.c:4:13>
                                                                                        r_brace '}'
                                                                                                    [StartOfLine] Loc=<parse.c:5:1>
                                                                                                    Loc=<parse.c:5:2>
              $clang -Xclang -ast-dump -fsvntax-only test.c
                                                                                                                                                     AST
                                                                                                            Tokens
                                                                                                                          Parser
                                                                                                                                        Sema
`-FunctionDecl 0x27999470 <parse.c:1:1, line:5:1> line:1:6 main 'void ()'
  `-CompoundStmt 0x27999800 <col:12, line:5:1>
                                                                                                                     Sema is tight coupling with parser
      -DeclStmt 0x279996f8 e:2:3, col:14>
        -VarDecl 0x27999570 <col:3, col:7> col:7 a 'int'
        -VarDecl 0x279995f0 <col:3, col:10> col:10 used b 'int'
                                                                                                                             Parser
         -VarDecl 0x27999670 <col:3, col:13> col:13 used c 'int'
                                                                                                                                      Syntax rule match
      -IfStmt 0x279997e8 <line:3:3, line:4:12>
                                                                                                                             Sema
        |-BinaryOperator 0x27999780 <line:3:7, col:12> 'int' '=='
                                                                                                                                    ActOn<parsed entity>
            -ImplicitCastExpr 0x27999750 <col:7> 'int' <LValueToRValue>
```

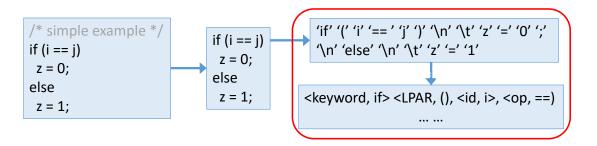


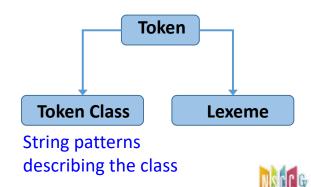
-ReturnStmt 0x279997d8 <line:4:5, col:12>

`-IntegerLiteral 0x279997a0 <col:12> 'int' 1

Lexical Analysis

- Workflow
 - Partition the input character stream to lexemes
 - Identify the token class of each lexeme
- Regular Expression is a good way to specify tokens
 - Simple yet powerful (able to express patterns)
- Finite Automata is to construct a token recognizer for languages given by regular expressions
 - A program for classifying tokens (accept, reject)

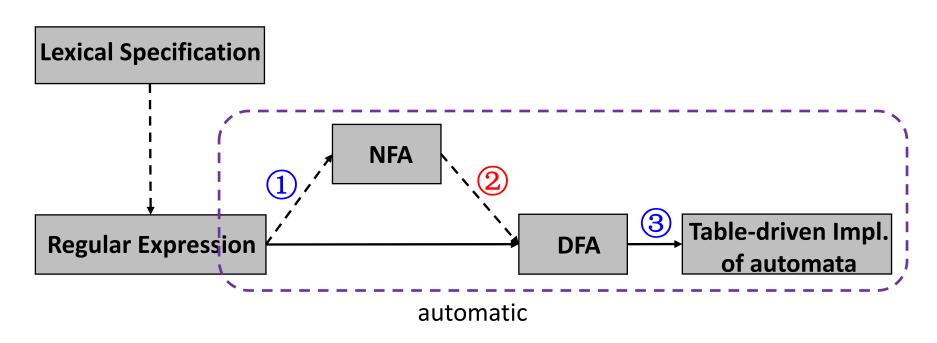






The Conversion Flow[转换流程]

- Outline: RE → NFA → DFA → Table-drive Implementation
 - 3 Converting DFAs to table-driven implementations
 - 1 Converting REs to NFAs
 - 2 Converting NFAs to DFAs

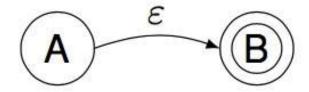






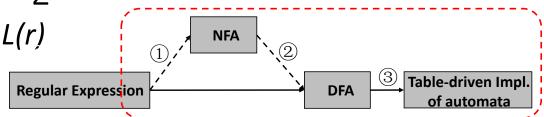
$RE \rightarrow NFA$

- NFA can have ε-moves
 - Edges labelled with ε
 - Move from state A to state B without reading any input



- M-Y-T algorithm (Thompson's construction) to convert any RE to an NFA that defines the same language[正则表达式转换到自动机]
 - Input: RE r over alphabet ∑
 - Output: NFA accepting L(r)

McNaughton-Yamada-Thompson







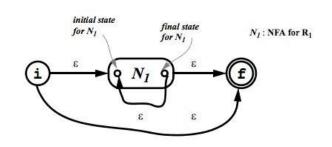
$RE \rightarrow NFA (cont.)$

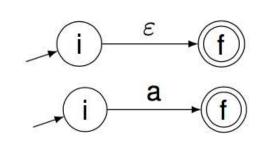
- Step 1: processing atomic REs
 - ε expression[空]
 - □ *i* is a new state, the start state of NFA
 - \Box f is another new state, the accepting state of NFA
 - Single character RE a[单字符]
- Step 2: processing compound REs[组合]①

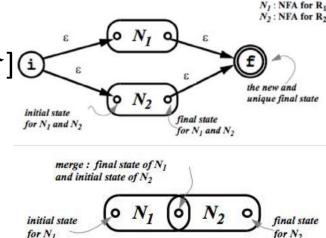
$$- R = R_1 | R_2$$

$$-R = R_1 R_2$$

$$- R = R_1^*$$







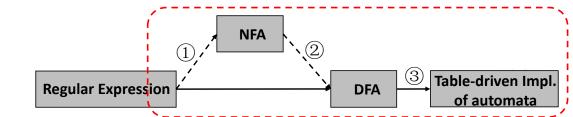




NFA → DFA: Idea

- Algorithm to convert[转换算法]
 - Input: an NFA N
 - Output: a DFA D accepting the same language as N
- Subset construction[子集构建]
 - Each state of the constructed DFA corresponds to a set of NFA states[一个DFA状态对应多个NFA状态]
 - Hence, the name 'subset construction'
 - After reading input $a_1a_2...a_n$, the DFA is in that state which corresponds to the set of states that the NFA can reach, from its start state, following paths labeled $a_1a_2...a_n$





NFA → DFA: Steps

- The **initial state** of the DFA is the set of all states the NFA can be in without reading any input[初始状态]
- For any state $\{q_i, q_j, ..., q_k\}$ of the DFA and any input a, the **next state** of the DFA is the set of all states of the NFA that can result as next states if the NFA is in any of the states $q_i, q_i, ..., q_k$ when it reads a[下一状态]
 - This includes states that can be reached by reading a followed by any number of ϵ -transitions
 - Use this rule to keep adding new states and transitions until it is no longer possible to do so
- The accepting states of the DFA are those states that contain an accepting state of the NFA[接收状态]



NFA -> DFA: Algorithm

```
Initially, \varepsilon-closure(s_0) is the only state in Dstates and it is unmarked while there is an unmarked state T in Dstates do mark T

for each input symbol a \in \Sigma do
U := \varepsilon-closure(move(T,a))
if U is not in Dstates then
add \ U as an unmarked state to Dstates
end if
Dtran[T,a] := U
end do
```

- Operations on NFA states:
 - ε-closure(s): set of NFA states reachable from NFA state s on ε-transitions alone
 - ε-closure(T): set of NFA states reachable from some NFA state s in set T on ε-transitions alone; = $U_{s in T}$ ε-closure(s)
 - move(T, a): set of NFA states to which there is a transition on input symbol a from some state s in T





Minimization Algorithm

The algorithm

- Partitioning the states of a DFA into groups of states that cannot be distinguished (i.e., equivalent)
- Each groups of states is then merged into a single state of the

min-state DFA

- For a DFA $(\Sigma, S, n, F, \delta)$
 - The initial partition P_0 , has two sets and $\{S F\}$
 - Splitting a set (i.e., partitioning a set by input symbol α)

```
while (P is still changing)

T <- \{\}

for each state s \in P

for each \alpha \in \Sigma

partition s by \alpha into s_1 \& s_2

T <- T \cup s_1 \cup s_2

if T \neq P then

P <- T
```

 $P \leftarrow \{F\}, \{S - F\}$

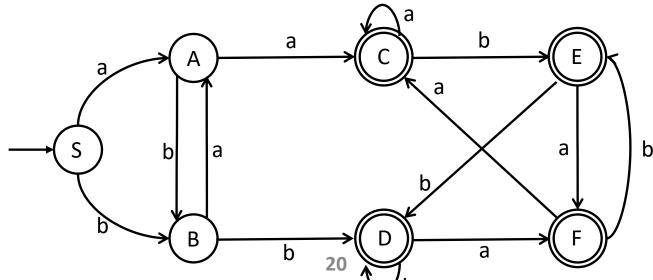
- □ Assume q_a and $q_b \in \mathbf{S}$, and $\delta(q_a, \alpha) = q_x$ and $\delta(q_b, \alpha) = q_y$
- \square If q_x and q_y are not in the same set, then s must be split (i.e., α splits s)
- \Box One state in the final DFA cannot have two transitions on α





Example

- P0: $s_1 = \{S, A, B\}, s_2 = \{C, D, E, F\}$
- For s₁, further splits into {S}, {A}, {B}
 - a: S --> A ∈ s_1 , A --> C ∈ s_2 , B --> A ∈ s_1 ⇒ a distincts s_1 => {S, B}, {A}
 - b: S --> B \in s₁, A --> B \in s₁, B --> D \in s₂ \Longrightarrow b distincts s₁ => {S}, {B}, {A}
- For s₂, all states are equivalent
 - a: C --> C ∈ s_2 , D --> F ∈ s_2 , E --> F ∈ s_2 , F --> C ∈ $s_2 \Longrightarrow$ a doesn't
 - b: C --> E ∈ s_2 , D --> D ∈ s_2 , E --> D ∈ s_2 , F --> E ∈ $s_2 \Longrightarrow$ b doesn't





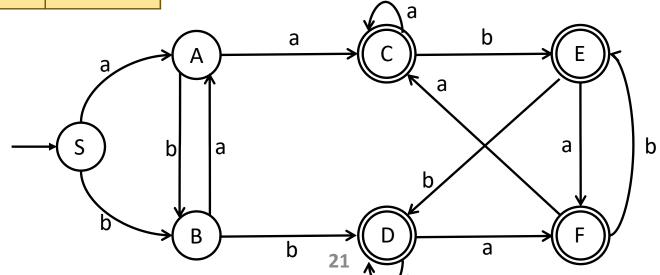


Example (cont.)

	а	b
S	Α	В
Α	С	В
В	Α	D
С	С	Е
D	F	D
E	F	D
F	С	Е

	а	b
S	А	В
Α	С	В
В	Α	D
CF	С	Е
DE	F	D

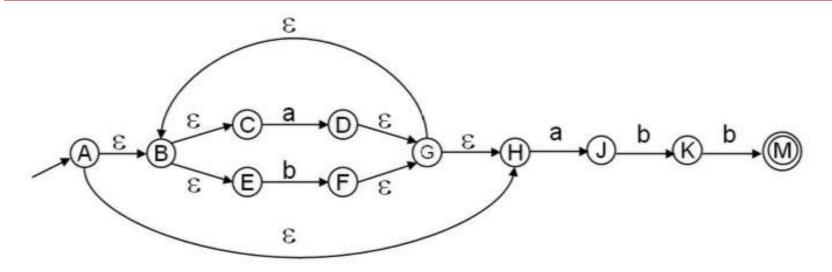
	а	b
S	А	В
Α	С	В
В	А	D
CFDE	CF	DE







NFA -> DFA: More Example



- Start state of the equivalent DFA
 - ε -closure(A) = {A, B, C, E, H} = A'
- ε-closure(move(A', a)) = ε-closure({D, J}) = {B, C, D, E, H, G, J} = B'
- ε-closure(move(A', b)) = ε-closure({F}) = {B, C, E, F, G, H} = C'



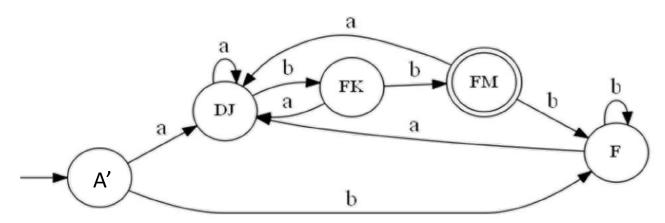




NFA -> DFA: More Example (cont.)

	а	b
A'	DJ	F
DJ	DJ	FK
F	DJ	F
FK	DJ	FM
FM	DJ	F

- Is the DFA minimal?
 - States A' and F should be merged
- Should we merge states A' and FM?
 - NO. A' and FM are in different sets from the very beginning (FM is accepting, A' is not).

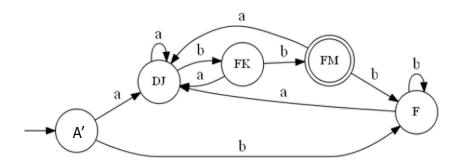






NFA -> DFA: More Example (cont.)

- P0: $s_1 = \{A', DJ, FK, F\}, s_2 = \{FM\}$
- For s₁, further splits into {A', DJ, F}, {FK}
 - a: A'--> DJ ∈ s_1 , DJ --> DJ ∈ s_1 , FK --> DJ ∈ s_1 , F --> DJ ∈ $s_1 \Longrightarrow$ a doesn't distinct
 - b: A' --> F ∈ s_1 , DJ --> FK ∈ s_1 , FK --> FM ∈ s_2 , F --> F ∈ s_1 ⇒ b distincts s_1 => s_{11} ={A', DJ, F}, s_{12} ={FK}
- For s₁₁, further splits into {A', F}, {DJ}
 - a: A'--> DJ ∈ s_{11} , DJ --> DJ ∈ s_{11} , F --> DJ ∈ s_{11} ⇒ a doesn't distinct
 - b: A'--> F ∈ s_{11} , DJ --> FK ∈ s_{12} , F --> DJ ∈ s_{11} ⇒ b distincts s_{11} => s_{111} ={A', F}, s_{112} ={DJ}
- For s₁₁₁, impossible to further split
- Final states: $S_{111} = \{A', F\}, S_{112} = \{DJ\}, S_{12} = \{FK\}, S_2 = \{FM\}$



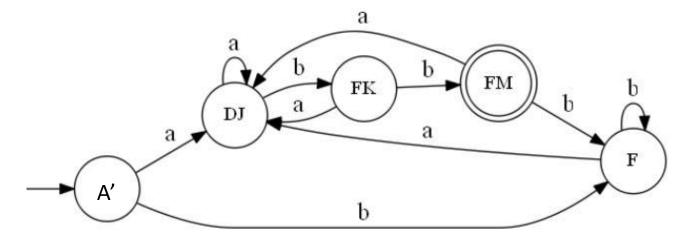
	а	b
A'	DJ	F
DJ	DJ	FK
F	DJ	F
FK	DJ	FM
FM	DJ	F



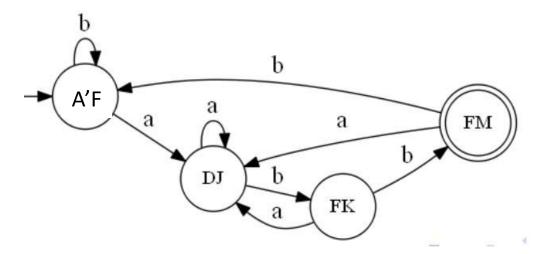


NFA -> DFA: More Example (cont.)

Original DFA: before merging A' and F



Minimized DFA: Do you see the original RE (a|b)*abb

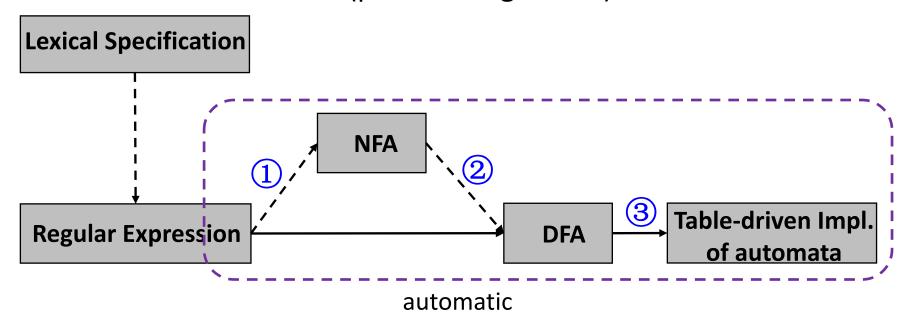






The Conversion Flow[转换流程]

- Outline: RE → NFA → DFA → Table-drive Implementation
 - 3 Converting DFAs to table-driven implementations
 - 1 Converting REs to NFAs (M-Y-T algorithm)
 - Converting NFAs to DFAs (subset construction)
 - 3' DFA minimization (partition algorithm)







NFA → DFA: Space Complexity[空间复杂度]

NFA may be in many states at any time

- How many different possible states in DFA?
 - If there are N states in NFA, the DFA must be in some subset of those N states
 - How many non-empty subsets are there?
 2^N-1
- The resulting DFA has $O(2^N)$ space complexity, where N is number of original states in NFA
 - For real languages, the NFA and DFA have about same number of states





NFA → DFA: Time Complexity[时间复杂度]

DFA execution

- Requires O(|X|) steps, where |X| is the input length
- Each step takes constant time
 - □ If current state is S and input is c, then read T[S, c]
 - Update current state to state T[S, c]
- Time complexity = O(|X|)

NFA execution

- Requires O(|X|) steps, where |X| is the input length
 - Anyway, the input symbols should be completely processed
- Each step takes $O(N^2)$ time, where N is the number of states
 - Current state is a set of potential states, up to N
 - On input c, must union all T[S_{potential}, c], up to N times
 - Each union operation takes O(N) time
- Time complexity = $O(|X|*N^2)$

Deterministic: unique transition



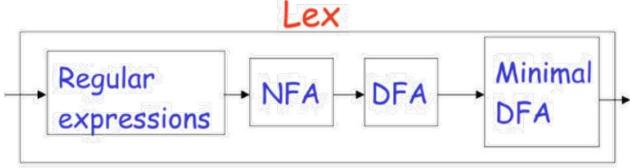
from current state, you can transit to any (including itself)





Implementation in Practice[实际实现]

- Lex: RE \rightarrow NFA \rightarrow DFA \rightarrow Table
 - Converts regular expressions to NFA
 - Converts NFA to DFA
 - Performs DFA state minimization to reduce space
 - Generate the transition table from DFA
 - Performs table compression to further reduce space
- Most other automated lexers also choose DFA over NFA
 - Trade off space for speed

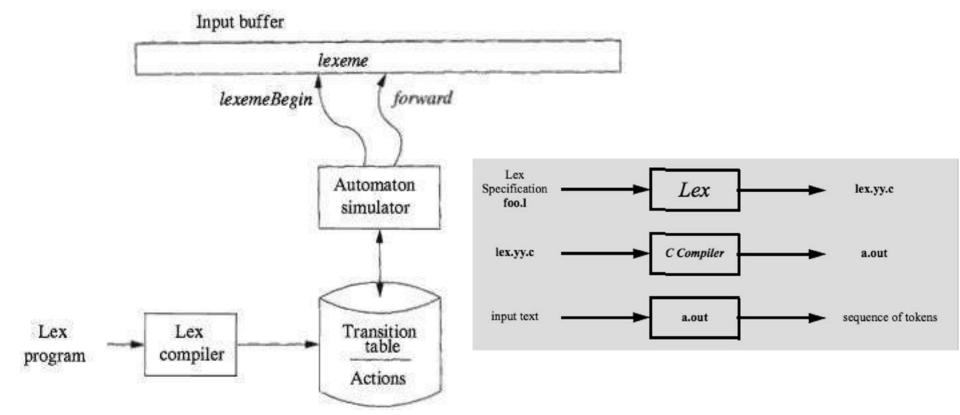






Lexical Analyzer Generated by Lex

- A Lex program is turned into a transition table and actions, which are used by a FA simulator
- Automaton recognizes matching any of the patterns

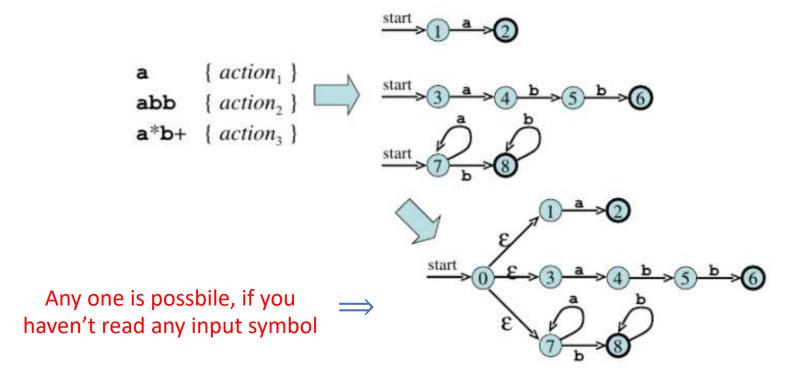






Lex: Example

- Three patterns, three NFAs
- Combine three NFAs into a single NFA
 - Add start state 0 and ε-transitions







Lex: Example (cont.)

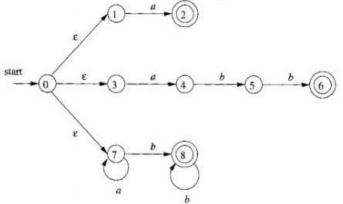
```
ptn1
ptn2
         abb
         a*b+
ptn3
%%
{ptn1} { printf("\n<%s, %s>", "ptn1", yytext); }
{ptn2} { printf("\n<%s, %s>", "ptn2", yytext); }
{ptn3} { printf("\n<%s, %s>", "ptn3", yytext); }
int main(){
  yylex();
  return 0;
                 $flex lex.l
                  $clang lex.yy.c -o mylex -ll
  [root@aa51dde06c76:~/test# echo "aaba" | ./mylex
  <ptn3, aab>
  <ptn1, a>
  root@aa51dde06c76:~/test# echo "abba" | ./mylex
  <ptn2, abb>
  <ptn1, a>
```

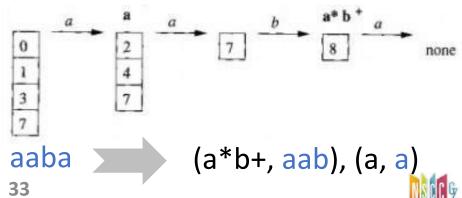




Lex: Example (cont.)

- NFA's for lexical analyzer
- Input: aaba
 - ε -closure(0) = {0, 1, 3, 7}
 - Empty states after reading the fourth input symbol
 - There are no transitions out of state 8
 - Back up, looking for a set of states that include an accepting state
 - State 8: a*b+ has been matched
 - Select aab as the lexeme, execute action₃
 - \blacksquare Return to parser indicating that token w/ pattern $p_3=a*b+$ has been found



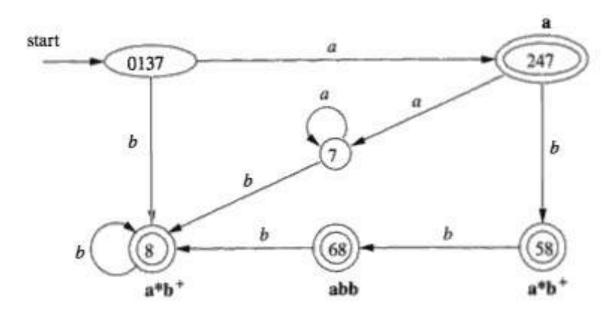


abb

a*b+

Lex: Example (cont.)

- DFA's for lexical analyzer
- Input: abba
 - Sequence of states entered: $0137 \rightarrow 247 \rightarrow 58 \rightarrow 68$
 - At the final a, there is no transition out of state 68
 - \blacksquare 68 itself is an accepting state that reports pattern $p_2 = abb$







abb

a*b+

How Much Should We Match?[匹配多少]

- In general, find the longest match possible
 - We have seen examples
 - One more example: input string aabbb ...
 - Have many prefixes that match the third pattern
 - Continue reading b's until another a is met
 - \blacksquare Report the lexeme to be the intial a's followed by as many b's as there are

{ action, }

{ action, }

{ action₃ }

abb

a*b+

- If same length, rule appearing first takes precedence
 - String abb matches both the second and third
 - We consider it as a lexeme for p₂, since that pattern listed first

```
ptn1
ptn1
                                                    ptn2
                                                            abb
        abb
ptn2
                                                            a*b+
                                                    ptn3
        a*b+
ptn3
                                                                        <ptn3, abb>
                      <ptn2, abb>
                                                            { printf("\n<%s, %s>", "ptn1", yytext); }
                                                    {ptn1}
        { printf("\n<%s, %s>", "ptn1", yytext); }
{ptn1}
        { printf("\n<%s, %s>", "ptn2", yytext);
                                                   {ptn3}
                                                            { printf("\n<%s, %s>", "ptn3", yytext); }
{ptn2}
                                                   {ptn2}
                                                            { printf("\n<%s, %s>", "ptn2", yytext); }
        { printf("\n<%s, %s>", "ptn3", yytext); }
```

How to Match Keywords?[匹配关键字]

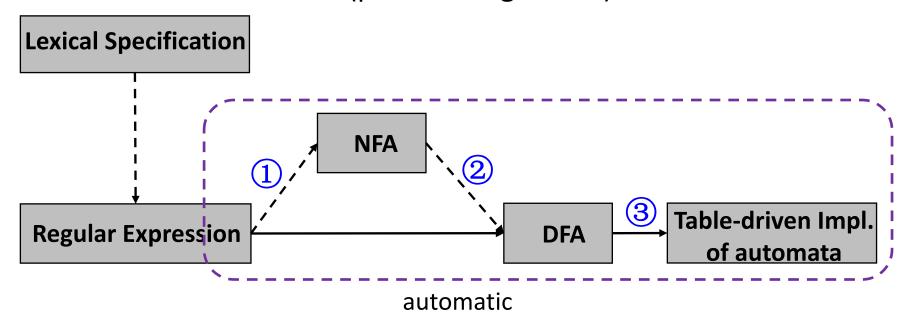
- Example: to recognize the following tokens
 - Identifiers: letter(letter|digit)*
 - Keywords: if, then, else
- Approach 1: make REs for keywords and place them before REs for identifiers so that they will take precedence
 - Will result in more bloated finite state machine
- Approach 2: recognize keywords and identifiers using same RE but differentiate using special keyword table
 - Will result in more streamlined finite state machine
 - But extra table lookup is required
- Usually approach 2 is more efficient than 1, but you can implement approach 1 in your projects for simplicity





The Conversion Flow[转换流程]

- Outline: RE → NFA → DFA → Table-drive Implementation
 - 3 Converting DFAs to table-driven implementations
 - 1 Converting REs to NFAs (M-Y-T algorithm)
 - Converting NFAs to DFAs (subset construction)
 - 3' DFA minimization (partition algorithm)







Beyond Regular Languages

- Regular languages are expressive enough for tokens
 - Can express identifiers, strings, comments, etc.
- However, it is the weakest (least expressive) language
 - Many languages are not regular
 - C programming language is not
 - □ The language matching braces "{{{...}}}" is also not
 - FA cannot count # of times char encountered
 - $L = {a^nb^n | n ≥ 1}$
 - Crucial for analyzing languages with nested structures (e.g. nested for loop in C language)
- We need a more powerful language for parsing
 - Later, we will discuss context-free languages (CFGs)



