



中山大學  
SUN YAT-SEN UNIVERSITY



国家超级计算广州中心  
NATIONAL SUPERCOMPUTER CENTER IN GUANGZHOU

# Compilation Principle 编译原理

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## 第19讲：中间代码(3)

张献伟

[xianweiz.github.io](https://xianweiz.github.io)

DCS290, 5/19/2022



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# Review Questions

- What is SSA?

Single Static Assignment. An IR that facilitates certain code opt.  
Give variable different version name on every assignment.

- In code generation, how to layout variables in memory?

Calculate the location using base address and type width.

- Attributes *code* and *addr*?

```
E -> E1 + E2; { E.addr = newtemp();  
                  E.code = E1.code || E2.code ||  
                  gen(E.addr '=' E1.addr '+' E2.addr); }
```

Code: the TAC; addr: the address holding the value

- What is incremental translation?

```
E -> E1 + E2; { E.addr = newtemp();  
                  E.code = E1.code || E2.code ||  
                  gen(E.addr '=' E1.addr '+' E2.addr); }
```

Generate only the new TAC instructions, skipping over the copy.

- Type(a) = array(4, array(8, array(5, int))), addr(a[i][j][k])?

$\text{addr}(a[i][j][k]) = \text{base} + i * 160 + j * 20 + k * 4$

# Boolean Exprs (w/o Short-Circuiting)

- Boolean expressions are composed of
  - Boolean operators (!, &&, ||) applied to elements that are Boolean variables or relational expressions ( $E_1 \text{ relop } E_2$ )
- Computed just like any other arithmetic expression

$E \rightarrow (a < b) \text{ or } (c < d \text{ and } e < f)$

$t_1 = a < b$   
 $t_2 = c < d$   
 $t_3 = e < f$   
 $t_4 = t_2 \&\& t_3$   
 $t_5 = t_1 || t_4$

- Then, used in control-flow statements
  - *S.next*: label for code generated after *S*

$S \rightarrow \text{if } E \text{ } S_1$

if (! $t_5$ ) goto *S.next*  
*S*<sub>1</sub>.code  
*S.next*: ...

# Boolean Exprs (w/ Short-Circuiting)

- Implemented via a series of jumps[利用跳转]
  - Each relational op converted to two gotos (*true* and *false*)
  - Remaining evaluation skipped when result known in middle
- Example
  - *E.true*: label for code to execute when *E* is '*true*'
  - *E.false*: label for code to execute when *E* is '*false*'
  - E.g. if above is condition for a *while* loop
    - *E.true* would be label at beginning of loop body
    - *E.false* would be label for code after the loop

Boolean operators themselves do not appear in the code

$E \rightarrow (a < b) \text{ or } (c < d \text{ and } e < f)$

```
if (a < b) goto E.true
goto L1
L1: if (c < d) goto L2
      goto E.false
L2: if (e < f) goto E.true
      goto E.false
```

E为真: 只要a < b真

a < b假: 继续评估

a < b假、c < d真: 继续评估

E为假: a < b假, c < d假

E为真: a < b假, c < d真, e < f真

E为假: a < b假, c < d真, e < f假

# SDT Translation of Booleans[布尔表达式]

- $B \rightarrow B_1 \parallel B_2$ 
  - $B_1.true$  is same as  $B.true$ ,  $B_2$  must be evaluated if  $B_1$  is false[B<sub>1</sub>假才评估B<sub>2</sub>]
  - The true and false exits of  $B_2$  are the same as  $B$ [B<sub>2</sub>与B同真假]
- $B \rightarrow E_1 \text{ relop } E_2$ 
  - Translated directly into a comparison TAC inst with jumps

B<sub>1</sub>为真, 跳转到B.true

B<sub>1</sub>为假, 跳转到别处 (需要继续评估B<sub>2</sub>)

- ①  $B \rightarrow \{ B_1.true = B.true; B_1.false = newlabel(); \} B_1$   
 $\parallel \{ label(B_1.false); B_2.true = B.true; B_2.false = B.false; \} B_2$
- ②  $B \rightarrow \{ B_1.true = newlabel(); B_1.false = B.false; \} B_1$   
 $\&\& \{ label(B_1.true); B_2.true = B.true; B_2.false = B.false; \} B_2$
- ③  $B \rightarrow E_1 \text{ relop } E_2 \{ gen('if' E_1.addr \text{ relop } E_2.addr 'goto' B.true);$   
 $gen('goto' B.false); \}$
- ④  $B \rightarrow ! \{ B_1.true = B.false; B_1.false = B.true; \} B_1$
- ⑤  $B \rightarrow true \{ gen('goto' B.true);$
- ⑥  $B \rightarrow false \{ gen('goto' B.false);$

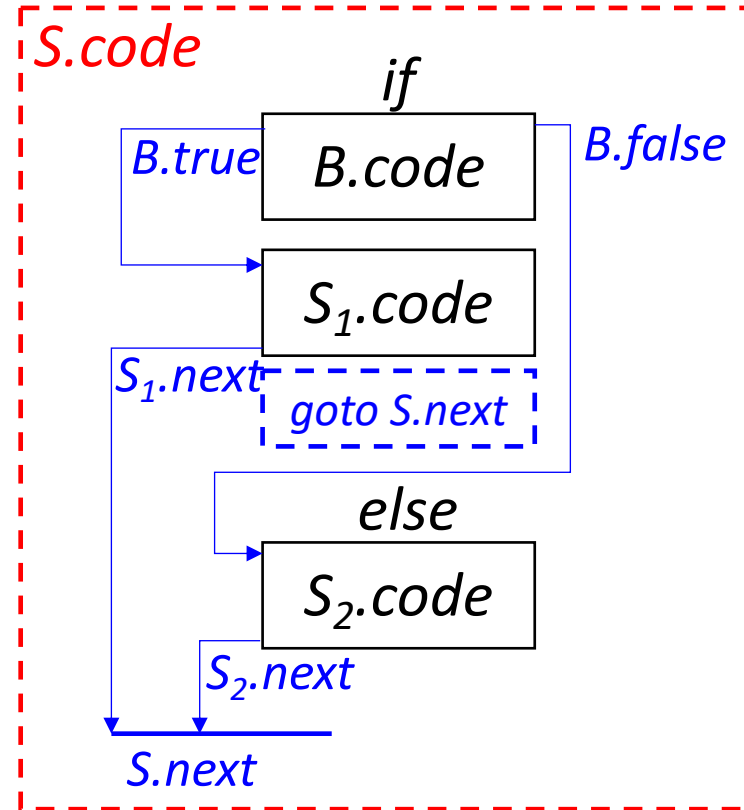
$B$ : a boolean expression

$S$ : a statement

# CodeGen: Control Statement[控制语句]

- ①  $S \rightarrow \text{if} ( B ) S_1$
- ②  $S \rightarrow \text{if} ( B ) S_1 \text{ else } S_2$
- ③  $S \rightarrow \text{while} ( B ) S_1$

- Inherited attributes[继承属性]
  - *B.true*: the label to which control flows if *B* is true(依赖于 $S_1$ )
  - *B.false*: the label to which control flows if *B* is false(依赖于 $S_2$ )
  - *S.next*: a label for the instruction immediately after the code of *S*

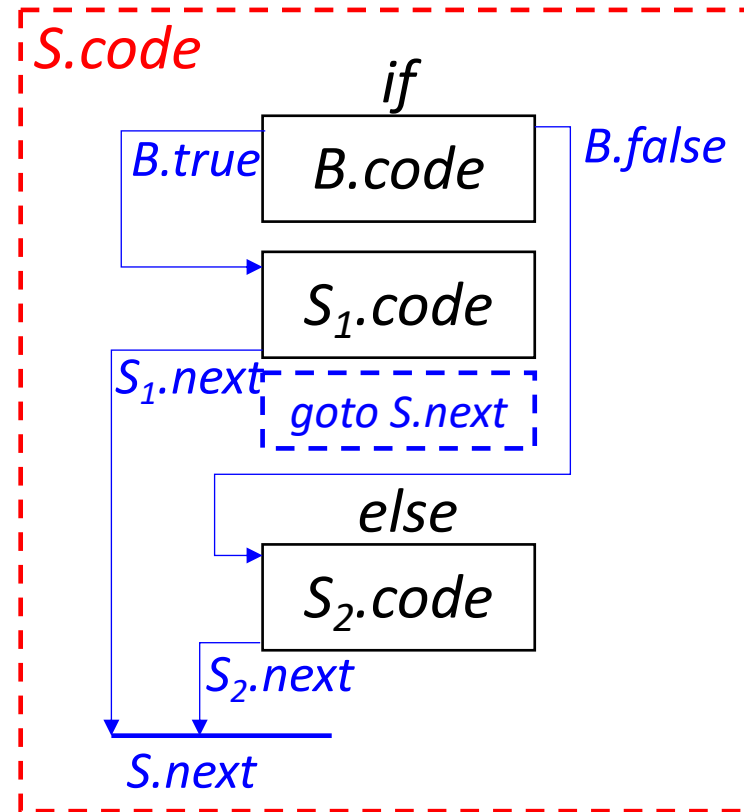


# Translation of Controls

- ①  $S \rightarrow \text{if} ( B ) S_1$
- ②  $S \rightarrow \text{if} ( B ) S_1 \text{ else } S_2$
- ③  $S \rightarrow \text{while} ( B ) S_1$

```
S -> if { B.true = newlabel();  
           B.false = newlabel(); }  
      ( B ) { label(B.true); S1.next = S.next; }  
      S1 { gen('goto' S.next); }  
      else { label(B.false); S2.next = S.next; } S2
```

- Helper functions[辅助函数]
  - *newlabel()*: creates a new label
  - *label(L)*: attaches label *L* to the next three-address inst to be generated



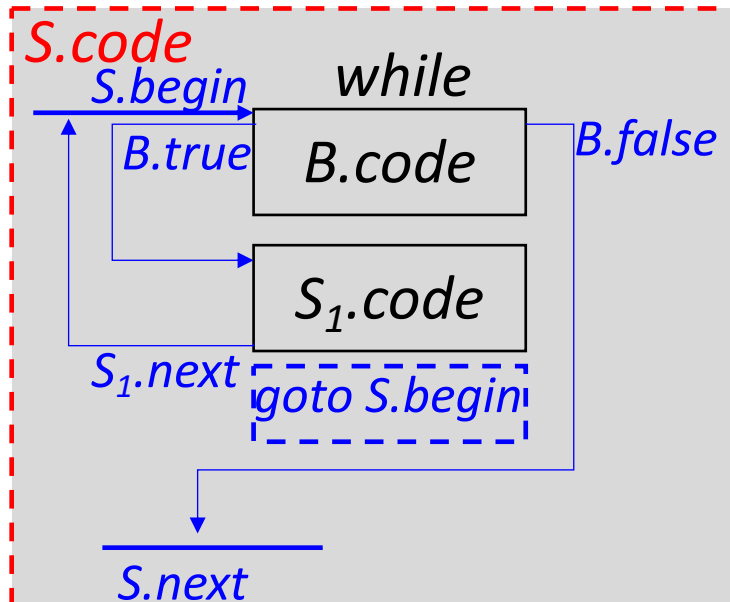
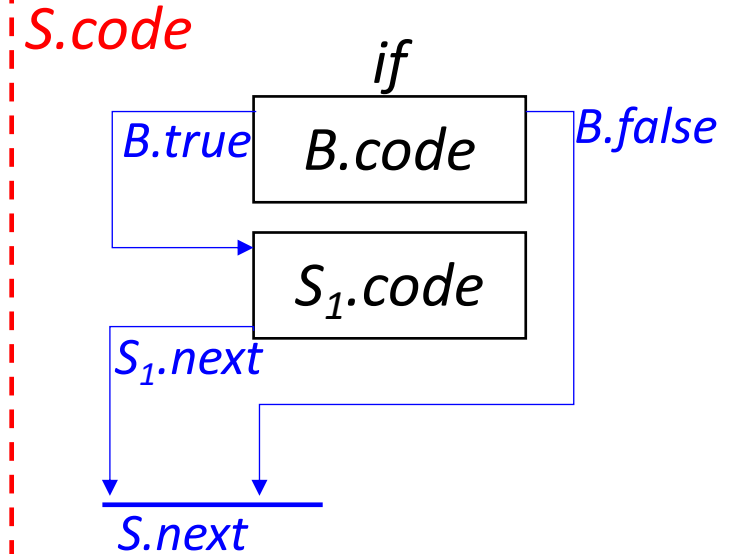
```
IfFalse B goto B.false  
B.true:  
  S1.code  
  goto S.next  
B.false:  
  S2.code  
S.next:
```

# Translation of Controls (cont.)

- ①  $S \rightarrow \text{if} ( B ) S_1$
- ②  $S \rightarrow \text{if} ( B ) S_1 \text{ else } S_2$
- ③  $S \rightarrow \text{while} ( B ) S_1$

```
S -> if { B.true = newlabel();  
         B.false = S.next; }  
      ( B ) { label(B.true); S1.next = S.next; }  
      S1
```

```
S -> while { S.begin = newlabel();  
            label(S.begin);  
            B.true = newlabel();  
            B.false = S.next; }  
      ( B ) { label(B.true); S1.next = S.begin; }  
      S1 { gen('goto' S.begin); }
```





# Jumping Labels[跳转标签]

- Key of generating code for Boolean and flow-control: matching a jump inst with the target of jump[跳转指令匹配到跳转目标]
  - Forward jump: a jump to an instruction below you
  - Label for jump target has not yet been generated
  - The labels are **not L-attributed**[非左属性]

```
B -> { B1.true = newlabel(); B1.false = B.false; } B1  
      && { label(B1.true); B2.true = B.true; B2.false = B.false; } B2
```

```
S -> if { B.true = newlabel();  
        B.false = S.next; }  
      ( B ) { label(B.true); S1.next = S.next; }  
      S1
```

# Handle Non-L-Attribute Labels[处理非左]

---

- Idea: generate code using dummy labels first, then patch them with addresses later after labels are generated
- **Two-pass** approach: requires two scans of code
  - Pass 1:
    - Generate code creating dummy labels for forward jumps. (Insert label into a hashtable when created)
    - When label emitted, record address in hashtable
  - Pass 2:
    - Replace dummy labels with target addresses (Use previously built hashtable for mapping)
- **One-pass** approach
  - Generate holes when forward jumping to a un-generated label
  - Maintain a list of holes for that label
  - Fill in holes with addresses when label generated later on

# Two-Pass Code Generation[两遍生成]

- **newlabel():** generates a new dummy label
  - Label inserted into hashtable, initially with no address
- Pass 1: generate code with non-address-mapped labels
  - For  $S \rightarrow \text{if } (B) S_1$ :
    - Dummy labels:  $B.true = \text{newlabel}(); B.false = S.next;$
    - Generate  $B.code$  using dummy labels  $B.true, B.false$
    - Generate label  $B.true$ : in the process mapping it to an address
    - Generate  $S_1.code$  using dummy label  $S_1.next$
- Pass 2: Replace labels with addresses using hashtable
  - Any forward jumps to dummy labels  $B.true, B.false$  are replaced with jump target addresses

```
S -> if { B.true = newlabel();  
          B.false = S.next; }  
      ( B ) { label(B.true); S1.next = S.next; }  
      S1
```

```
IfFalse B goto S.next  
B.true:  
  S1.code  
S.next:
```

# One-Pass Code Generation[单遍生成]

---

- If *L-attributed*, grammar can be processed in one pass
- However, forward jumps introduce *non-L-attributes*
  - E.g.  $E_1.false = E_2.label$  in  $E \rightarrow E_1 \parallel E_2$
  - We need to know address of  $E_2.label$  to insert jumps in  $E_1$
  - Is there a general solution to this problem?
- Solution: **Backpatching**[回填]
  - Leave holes in IR in place of forward jump addresses
  - Record indices of jump instructions in a hole list
  - When target address of label for jump is eventually known, backpatch holes using the hole list for that particular label
- Can be used to handle any *non-L-attribute* in a grammar

# Backpatching[回填]

- Synthesized attributes[综合属性].  $S \rightarrow \text{if } (B) S_1$ 
  - $B.\text{truelist}$ : a list of jump or conditional jump insts into which we must insert the label to which control goes if  $B$  is true[B为真时控制流应该转向的指令的标号]
  - $B.\text{falselist}$ : a list of insts that eventually get the label to which control goes when  $B$  is false[B为假时控制流应该转向的指令的标号]
  - $S.\text{nextlist}$ : a list of jumps to the inst immediately following the code for  $S$ [紧跟在 $S$ 代码之后的指令的标号]
- Functions to implement backpatching
  - $\text{makelist}(i)$ : creates a new list out of statement index  $i$
  - $\text{merge}(p_1, p_2)$ : returns merged list of  $p_1$  and  $p_2$
  - $\text{backpatch}(p, i)$ : fill holes in list  $p$  with statement index  $i$

# Backpatching (cont.)

- $B \rightarrow B_1 \parallel M B_2$ 
  - If  $B_1$  is true, then  $B$  is also true
  - If  $B_1$  is false, we must next test  $B_2$ , so the target for jump  $B_1.falselist$  must be the beginning of the code of  $B_2$

- ①  $B \rightarrow E_1 \text{ relop } E_2 \{ B.truelist = makelist(nextinst);$   
 $B.falselist = makelist(nextinst+1);$   
 $gen('if' E_1.addr \text{ relop } E_2.addr 'goto \_');$   
 $gen('goto \_'); \}$
- ②  $B \rightarrow B_1 \parallel M B_2 \{ backpatch(B_1.falselist, M.inst);$   
 $B.truelist = merge(B_1.truelist, B_2.truelist);$   
 $B.falselist = B_2.falselist; \}$
- ③  $B \rightarrow B_1 \&\& M B_2 \{ backpatch(B_1.truelist, M.inst);$   
 $B.truelist = B_2.truelist;$   
 $B.falselist = merge(B_1.falselist, B_2.falselist); \}$
- ④  $M \rightarrow \varepsilon \{ M.inst = nextinst; \}$

$M$ : causes a semantic action to pick up the index of the next inst to be generated.

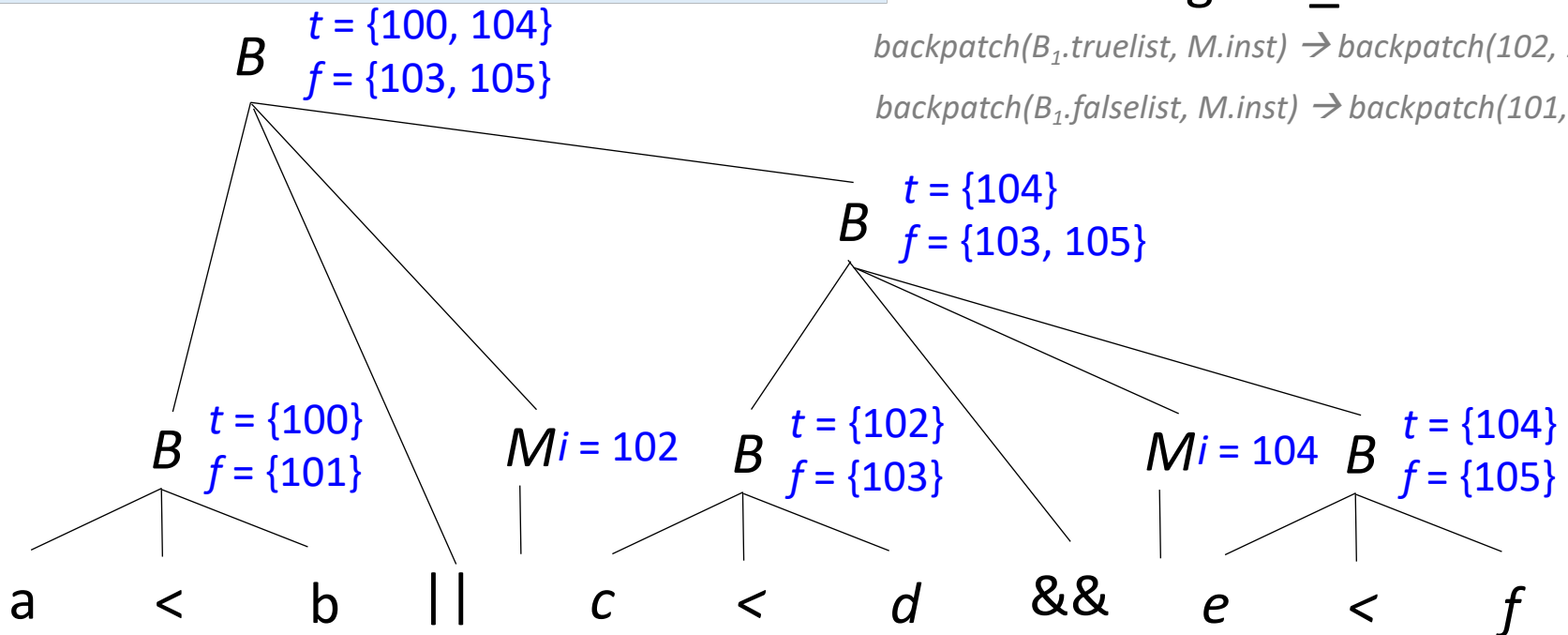
# Example

- ①  $B \rightarrow E_1 \text{ relop } E_2 \{ B.\text{truelist} = \text{makelist}(\text{nextinst});$   
 $B.\text{falselist} = \text{makelist}(\text{nextinst}+1);$   
 $\text{gen}(\text{'if' } E_1.\text{addr relop } E_2.\text{addr 'goto _'});$   
 $\text{gen}(\text{'goto _'}); \}$
- ②  $B \rightarrow B_1 \parallel M B_2 \{ \text{backpatch}(B_1.\text{falselist}, M.\text{inst});$   
 $B.\text{truelist} = \text{merge}(B_1.\text{truelist}, B_2.\text{truelist});$   
 $B.\text{falselist} = B_2.\text{falselist}; \}$
- ③  $B \rightarrow B_1 \&\& M B_2 \{ \text{backpatch}(B_1.\text{truelist}, M.\text{inst});$   
 $B.\text{truelist} = B_2.\text{truelist};$   
 $B.\text{falselist} = \text{merge}(B_1.\text{falselist}, B_2.\text{falselist}); \}$
- ④  $M \rightarrow \epsilon \{ M.\text{inst} = \text{nextinst}; \}$

Arbitrarily start inst numbers at 100

100: if a < b: goto \_  
 101: goto 102  
 102: if c < d: goto 104  
 103: goto \_  
 104: if e < f: goto \_  
 105: goto \_

$\text{backpatch}(B_1.\text{truelist}, M.\text{inst}) \rightarrow \text{backpatch}(102, 104)$   
 $\text{backpatch}(B_1.\text{falselist}, M.\text{inst}) \rightarrow \text{backpatch}(101, 102)$



# Backpatching of Control-Flow

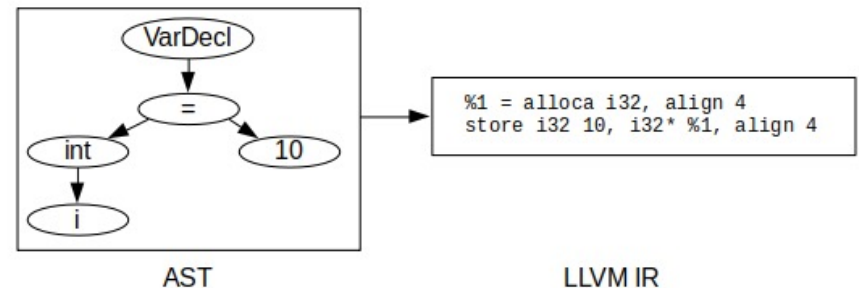
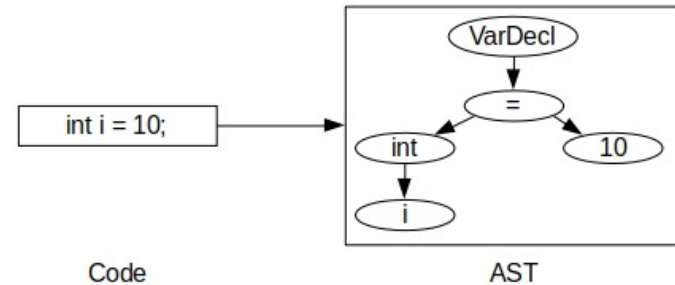
- *S.nextlist*: a list of all jumps to the inst following *S*

```
① S -> if (B) M S1 { backpatch(B.truelist, M.inst)  
                           S.nextlist = merge(B.falselist, S1.nextlist); }  
② S -> if (B) M1 S1 N else M2 S2 { backpatch(B.truelist, M1.inst);  
                                             backpatch(B.falselist, M2.inst);  
                                             temp = merge(S1.nextlist, N.nextlist);  
                                             S.nextlist = merge(temp, S2.nextlist); }  
③ S -> while M1 (B) M2 S1 { backpatch(S1.nextlist, M1.inst);  
                                   backpatch(B.truelist, M2.inst);  
                                   S.nextlist = B.falselist;  
                                   gen('goto' M1.inst); }  
④ M -> ε { M.inst = nextinst; }  
⑤ N -> ε { N.nextlist = makelist(nextinst);  
            gen('goto _'); }
```



# Summary

- Code generation: generate TAC instructions using syntax directed translation
  - Variable definitions[变量定义]
  - Expressions and statements
    - Assignment[赋值]
    - Array references[数组引用]
    - Boolean expressions[布尔表达式]
    - Control-flow[控制流]
- Translations not covered
  - Switch statements[switch语句]
  - Procedure calls[过程调用]



# LLVM

```
int main() {  
    int a, b, c;  
    a = b + c;  
    a = 3;  
  
    if (a > 0) return 1;  
    else return 0;  
}
```

clang -emit-llvm -S -O0 xx.c

clang -emit-llvm -S -O1 xx.c

```
define dso_local i32 @main() #0 {  
    %1 = alloca i32, align 4  
    %2 = alloca i32, align 4  
    %3 = alloca i32, align 4  
    %4 = alloca i32, align 4  
    store i32 0, i32* %1, align 4  
    %5 = load i32, i32* %3, align 4  
    %6 = load i32, i32* %4, align 4  
    %7 = add nsw i32 %5, %6  
    store i32 %7, i32* %2, align 4  
    store i32 3, i32* %2, align 4  
    %8 = load i32, i32* %2, align 4  
    %9 = icmp sgt i32 %8, 0  
    br i1 %9, label %10, label %11
```

```
10:  
    store i32 1, i32* %1, align 4  
    br label %12
```

```
11:  
    store i32 0, i32* %1, align 4  
    br label %12
```

```
12:  
    %13 = load i32, i32* %1, align 4  
    ret i32 %13  
}
```

```
define dso_local i32 @main() local_unnamed_addr #0 {  
    ret i32 1  
}
```





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# Compilation Principle 编译原理

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## 第19讲：代码优化(1)

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[xianweiz.github.io](https://xianweiz.github.io)

DCS290, 5/19/2022

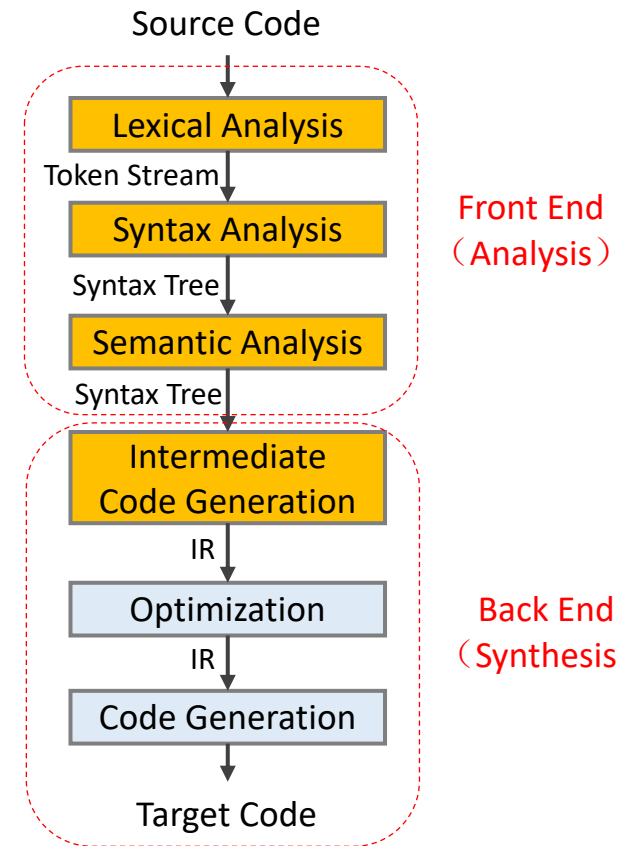


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# Optimization[代码优化]

- What we have now
  - IR of the source program (+symbol table)
- Goal of optimization[优化目标]
  - Improve the IR generated by the previous step to take better advantage of resources
- A very active area of research[研究热点]
  - Front end phases are well understood
  - Unoptimized code generation is relatively straightforward
  - Many optimizations are NP-complete
    - Thus usually rely on heuristics and approximations



# To Optimize: Who, When, Where?

---

- **Manual:** source code[人工, 源码]
  - Select appropriate algorithms and data structures
  - Write code that the compiler can effectively optimize
    - Need to understand the capabilities and limitations of compiler opts
- **Compiler:** intermediate representation[编译器, IR] 
  - To generate more efficient TAC instructions
- **Compiler:** final code generation[编译器, 目标代码]
  - E.g., selecting effective instructions to emit, allocating registers in a better way
- **Assembler/Linker:** after final code generation[汇编/链接, 目标代码]
  - Attempting to re-work the assembly code itself into something more efficient (e.g., link-time optimization)

# Example

```
int find_min(const int* array, const int len) {
    int min = a[0];
    for (int i = 1; i < len; i++) {
        if (a[i] < min) { min = a[i]; }
    }
    return min;
}

int find_max(const int* array, const int len) {
    int max = a[0];
    for (int i = 1; i < len; i++) {
        if (a[i] > max) { max = a[i]; }
    }
    return min;
}

void main() {
    int* array, len, min, max;
    initialize_array(array, &len);
    min = find_min(array, len);
    max = find_max(array, len);
    ...
}
```

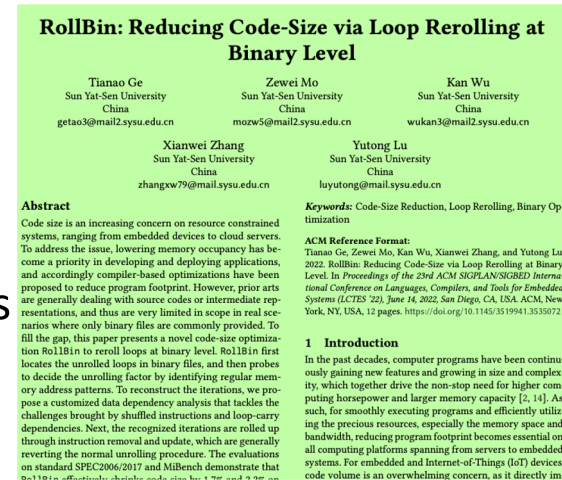
Inline  
Loop merge



```
void main() {
    int* array, len, min, max;
    initialize_array(array, &len);
    min = a[0]; max = a[0];
    for (int i = 0; i < len; i++) {
        if (a[i] < min) { min = a[i]; }
        if (a[i] > max) { max = a[i]; }
    }
    ...
}
```


# Overview of Optimizations

- Goal of optimization is to generate **better** code[更好的代码]
  - Impossible to generate **optimal** code (so, it is improvement, actually)
    - Factors beyond control of compiler (user input, OS design, HW design) all affect what is optimal
    - Even discounting above, it's still an NP-complete problem
- Better one or more of the following (in the average case)
  - **Execution time**[运行时间]
  - **Memory usage**[内存使用]
  - **Energy consumption**[能耗]
    - To reduce energy bill in a data center
    - To improve the lifetime of battery powered devices
  - **Binary executable size**[可执行文件大小]
    - If binary needs to be sent over the network
    - If binary must fit inside small device with limited storage
  - Other criteria[其他]
- Should never change program semantics[正确性是前提]



# Types of Optimizations[分类]

---

- Compiler optimization is essentially a transformation[转换]
  - Delete / Add / Move / Modify something
- **Layout-related** transformations[布局相关]
  - Optimizes *where* in memory code and data is placed
  - Goal: maximize **spatial locality**[空间局部性]
    - Spatial locality: on an access, likelihood that nearby locations will also be accessed soon
    - Increases likelihood subsequent accesses will be faster
      - E.g. If access fetches cache line, later access can reuse
      - E.g. If access page faults, later access can reuse page
- **Code-related** transformations[代码相关] 
  - Optimizes *what* code is generated
  - Goal: execute least number of most costly instructions



# Layout-Related Opt.: Code

- Two ways to layout code for the below example

```
f() {  
  ...  
  h();  
  ...  
}  
g() {  
  ...  
}  
h() {  
  ...  
}
```



code of f()
code of g()
code of h()

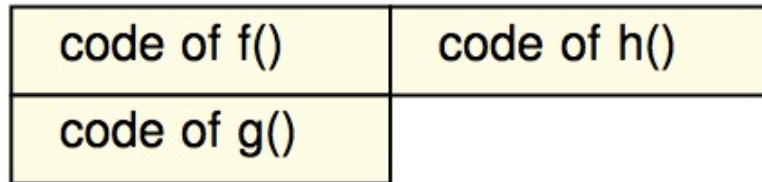
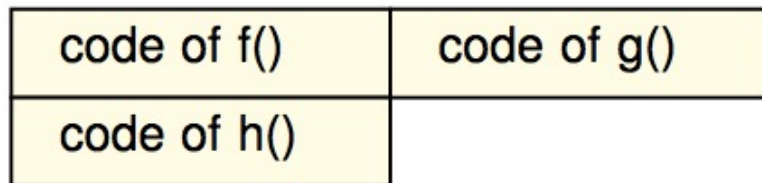
OR



code of f()
code of h()
code of g()

# Layout-Related Opt.: Code (cont.)

- Which code layout is better?
- Assume
  - data cache has one  $N$ -word line
  - the size of each function is  $N/2$ -word long
  - access sequence is “**g, f, h, f, h, f, h**”



6 cache misses

▼ ▼ ▼ ▼ ▼ ▼  
**g, f, h, f, h, f, h**

▲ ▲  
2 cache misses

# Layout-Related Opt.: Data

- Change the variable declaration order

```
struct S {  
    int x1;  
    int x2[200];  
    int x3;  
} obj[100];
```



```
struct S {  
    int x1;  
    int x3;  
    int x2[200];  
} obj[100];  
  
for(...) {  
    ... = obj[i].x1 + obj[i].x3;  
}
```

- Improved spatial locality
  - Now x1 and x3 likely reside in same cache line
  - Access to x3 will always hit in the cache

# Layout-Related Opt.: Data (cont.)

- Change AOS (array of structs) to SOA (struct of arrays)

```
struct S {  
    int x;  
    int y;  
} points[100];  
  
for(...) {  
    ... = points[i].x * 2;  
}  
for(...) {  
    ... = points[i].y * 2;  
}
```



```
struct S {  
    int x[100];  
    int y[100];  
} points;  
  
for(...) {  
    ... = points.x[i] * 2;  
}  
for(...) {  
    ... = points.y[i] * 2;  
}
```

- Improved spatial locality for accesses to 'x's and 'y's

# Code-Related Optimizations

- Modifying code e.g. **strength reduction**  
 $A=2*a; \quad \equiv \quad A=a\ll 1;$
- Deleting code e.g. **dead code elimination**  
 $A=2; A=y; \equiv A=y;$
- Moving code e.g. **code scheduling**  
 $A=x*y; B=A+1; C=y; \equiv A=x*y; C=y; B=A+1;$   
(Now  $C=y;$  can execute while waiting for  $A=x*y;$ )
- Inserting code e.g. **data prefetching**[数据预取]  
 $\text{while } (p \neq \text{NULL})$   
 $\{ \text{process}(p); p=p \rightarrow \text{next}; \}$   
 $\equiv$   
 $\text{while } (p \neq \text{NULL})$   
 $\{ \text{prefetch}(p \rightarrow \text{next}); \text{process}(p); p=p \rightarrow \text{next}; \}$   
(Now access to  $p \rightarrow \text{next}$  is likely to hit in cache)

# Control-Flow Analysis[控制流分析]

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- The compiling process has done lots of analysis
  - Lexical
  - Syntax
  - Semantic
  - IR
- But, it still doesn't really know how the program does what it does
- **Control-flow analysis** helps compiler to figure out more info about how the program does its work
  - First construct a **control-flow graph**, which is a graph of the different possible paths program flow could take through a function
    - To build the graph, we first divide the code into basic blocks