

# PyTTE: The Technical Document

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## 1 Introduction

PyTTE (pronounced *pie-tee-tee-ee*) is a Python package for solving X-ray diffraction curves of bent crystals in reflection and transmission geometries. The computation of the diffraction curves is based on the numerical integration of 1D Takagi-Taupin equation (TTE) which is derived from a more general Takagi-Taupin theory describing the propagation of electromagnetic waves in a (quasi)periodic medium. Both energy and angle scans are supported. This document describes the theoretical basis behind PyTTE.

## 2 Takagi-Taupin equation

In the typical two-beam case, the Takagi-Taupin equations are can be written as

$$\begin{cases} \frac{\partial D_0}{\partial s_0} = ic_0 D_0 + ic_{\bar{h}} D_h \\ \frac{\partial D_h}{\partial s_h} = i \left( c_0 + \beta + \frac{\partial(\mathbf{h} \cdot \mathbf{u})}{\partial s_h} \right) D_h + ic_h D_0, \end{cases} \quad (1a)$$

$$(1b)$$

where  $D_0$  and  $D_h$  are the pseudoamplitudes of the incident and diffracted waves, and  $s_0$  and  $s_h$  coordinates along their direction of propagation, respectively. The deformation of the crystal is contained in  $\mathbf{h} \cdot \mathbf{u}$  which will be considered in detail later. The coefficients  $c_{0,h,\bar{h}}$  are given by

$$c_0 = \frac{k\chi_0}{2} \quad c_{h,\bar{h}} = \frac{kC\chi_{h,\bar{h}}}{2}, \quad (2)$$

where  $k = 2\pi/\lambda$  and  $C = 1$  for  $\sigma$ -polarization and  $\cos 2\theta_B$  for  $\pi$ -polarization. The deviation parameter  $\beta = (k_h^2 - k_0^2)/2k_h$  is quite often approximated by  $\beta \approx k\Delta\theta \sin 2\theta_B$ , where  $\Delta\theta$  is the deviation from the Bragg angle. However, since this approximation ceases to be valid near the backscattering condition, PyTTE uses a more general form

$$\beta = \frac{2\pi}{d_h} \left( \frac{\lambda}{2d_h} - \sin \theta \right) = h \left( \frac{\lambda}{2d_h} - \sin \theta \right), \quad (3)$$

where  $d_h$  is the interplanar separation of the diffractive planes corresponding to the reciprocal vector  $\mathbf{h}$  and  $\theta$  is the incidence angle relative to the aforementioned planes.

The partial derivatives with respect to  $s_0$  and  $s_h$  can be written in Cartesian coordinates as

$$\frac{\partial}{\partial s_0} = \cos \alpha_0 \frac{\partial}{\partial x} - \sin \alpha_0 \frac{\partial}{\partial z} \quad \frac{\partial}{\partial s_h} = \cos \alpha_h \frac{\partial}{\partial x} + \sin \alpha_h \frac{\partial}{\partial z}. \quad (4)$$

The incidence and exit angles  $\alpha_0$  and  $\alpha_h$  with respect to the crystal surface are related to  $\theta$  by  $\alpha_0 = \theta + \varphi$  and  $\alpha_h = \theta - \varphi$ , where  $\varphi$  is the asymmetry angle (positive clockwise). When seeking a solely depth-dependent solution for  $D_0$  and  $D_h$ , we may drop the  $x$ -derivatives and thus obtain

$$\begin{cases} \frac{dD_0}{dz} = -i\gamma_0 c_0 D_0 - i\gamma_0 c_{\bar{h}} D_h \\ \frac{dD_h}{dz} = i\gamma_h \left( c_0 + \beta + \frac{\partial(\mathbf{h} \cdot \mathbf{u})}{\partial s_h} \right) D_h + i\gamma_h c_h D_0, \end{cases} \quad (5a)$$

where  $\gamma_0 = 1/\sin \alpha_0$  and  $\gamma_h = 1/\sin \alpha_h$ . By defining  $\xi = D_h/D_0$ , the equations can be written as a single ordinary differential equation

$$\frac{d\xi}{dz} = i\gamma_0 c_{\bar{h}} \xi^2 + i \left[ (\gamma_0 + \gamma_h) c_0 + \gamma_h \beta + \gamma_h \frac{\partial(\mathbf{h} \cdot \mathbf{u})}{\partial s_h} \right] \xi + i\gamma_h c_h \quad (6)$$

In the reflection geometry (*i.e.* the Bragg case), the reflectivity  $R$  of the crystal with thickness  $t$  can be solved by integrating Equation (6) from the bottom of the crystal  $z = -t$  to the top surface  $z = 0$ . The initial condition is set  $\xi(-t) = 0$ . The reflectivity, which is defined in the terms of integrated intensity, is then computed  $R = \gamma_0/\gamma_h |\xi(0)|^2$  where  $\gamma_0/\gamma_h$  takes care of different footprint sizes in the asymmetric case. Using the solved  $\xi$  and Equation (5a), the transmission  $T = |D_0(-t)/D_0(0)|^2$  can be then solved from

$$\frac{dD_0}{dz} = -i(\gamma_0 c_0 + \gamma_0 c_{\bar{h}} \xi) D_0 \quad (7)$$

by integrating from  $z = 0$  to  $z = -t$ . The transmission geometry (the Laue case) is more straightforward, as the ODEs for  $\xi$  and  $D_0$  can be integrated simultaneously from  $z = 0$  to  $z = -t$ . With the initial conditions are set  $\xi(0) = 0$  and  $D_0(0) = 1$ , the forward-diffracted intensity at the exit surface is  $|D_0(-t)|^2$  and the diffracted intensity is  $|D_h(-t)|^2 = |\xi(-t)D_0(-t)|^2$ .

### 3 Deformation

As stated in the previous section, the deformation is introduced through  $\partial_h(\mathbf{h} \cdot \mathbf{u})$  term where  $\mathbf{u}$  is the displacement vector field. Taking the asymmetry into account, the reciprocal vector is given by  $\mathbf{h} = h \sin \varphi \hat{\mathbf{x}} + h \cos \varphi \hat{\mathbf{z}}$ . Thus

$$\frac{\partial(\mathbf{h} \cdot \mathbf{u})}{\partial s_h} = h \sin \varphi \frac{\partial u_x}{\partial s_h} + h \cos \varphi \frac{\partial u_z}{\partial s_h}. \quad (8)$$

Again we write the partial derivatives in terms of  $x$  and  $z$ . In this case, however, neither  $x$ - or  $z$ -derivatives can be dropped as they both contain physical information about the rotation and the separation of the diffractive planes. Since the beam propagates also in the  $x$ -direction, the situation is not strictly speaking one dimensional. However, since the  $x$ -coordinate is geometrically related to  $z$ , the problem can be treated as such. Therefore the deformation term becomes

$$\frac{\partial(\mathbf{h} \cdot \mathbf{u})}{\partial s_h} = h \left( \sin \varphi \cos \alpha' \frac{\partial u_x}{\partial x} + \sin \varphi \sin \alpha' \frac{\partial u_x}{\partial z} + \cos \varphi \cos \alpha' \frac{\partial u_z}{\partial x} + \cos \varphi \sin \alpha' \frac{\partial u_z}{\partial z} \right), \quad (9)$$

where the derivatives, that are functions of  $x$  and  $z$ , are made only  $z$ -dependent with  $x(z) = -z \cot \alpha$ . PyTTE computes the strain term from the Jacobian of  $\mathbf{u}$ .