

# Musings on Gathering and Bundling Evidence

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## Abstract

This document captures discussions on evidence bundling in semantic remote attestation. Evidence Bundling is described as aggregation of evidence from multiple sources into a package whose overall trustworthiness can be appraised. This necessarily includes primary evidence from the system being appraised and meta-evidence describing the evidence gathering process. This is a living document and will be updated frequently.

## Notation

Throughout we use a trivial monadic notation for protocols that serves as our “assembly language” target for protocol compilation. The following conventions hold:

```
do {                                % evaluate functions in sequence
  f(x);                            % calculate f(x) and discard the result
  y <- f(x);                        % calculate f(x) and bind the result to y
  send a $ x;                       % evaluate x and send the result to a
  y <- receive a % receive data from a and the result to y
}
```

This is early work, so we play fast and loose with specific syntax and semantics. **send** and **receive** operate synchronously. Each **send** must have a corresponding **receive** to complete its operation.

## Bundling

A working definition of bundling from ongoing discussions:

**Definition 1 (Bundling Evidence)** *Bundling is the assembly of evidence gathered from multiple sources in different realms.*

A working definition of bundling soundness:

**Definition 2 (Sound Bundling)** *A bundling of evidence is sound if it enables an appraiser to determine that each evidence component was obtained by the correct measurement component at the correct time.*

Following we will outline working examples of when and how bundling occurs. We will start by defining a simple negotiation among an appraiser and attester. Then we will define several potential results of that negotiation and discuss how those examples reflect bundling issues.

## Negotiation

**Definition 3 (Negotiation)** *Negotiation is the process of finding an attestation protocol that mutually satisfies the needs and policy of an appraiser and the policy of an attester.*

Consider the problem of determining the status of virus checking on a remote system. This might occur when a new computer is asking to join a controlled network such as a university wireless system. The appraiser representing the university wireless system wants to determine the status of an attester representing the new computing system.

On the appraiser side a trivial protocol runs sending a request ( $\mathbf{r}$ ) to a target ( $\mathbf{t}$ ) and in response receiving a set of proposals ( $\mathbf{q}$ ) each of which is a protocol, evidence descriptor pair. If the proposal set is empty, the attester cannot participate in any protocol and the process halts.

```
do { send t $ r;
      q <- receive t;
      e <- case {p:q | policy?(p)} of
        [] : None
        p : send t $ choose(p)
      end;
    case e of
      Some v : appraise(v)
      None : None
    end
  }
```

If the attester returns a nonempty proposal set, the appraiser chooses a proposal ( $\text{choose}(\mathbf{p})$ ) from the a subset of proposals that satisfy the appraiser's policy ( $\{\mathbf{p}:\mathbf{q} \mid \text{policy?}(\mathbf{p})\}$ ). The selected proposal is then returned to the attester for execution. If evidence is produced, ( $\mathbf{e}$ ), it is appraised by the appraiser.

On the attester, a protocol runs that receives a request from the appraiser ( $\mathbf{a}$ ), evaluates its policy ( $\text{policy?}$ ) with respect to the request and the appraiser's ID. If its policy is respected and the appraiser trusted, it returns a set of attestation protocol, evidence descriptor pairs ( $(\mathbf{p0}, \mathbf{d0})$ ). Otherwise, it refuses to participate by offering no proposals ( $\emptyset$ ):

```
do { r <- receive a;
    p <- if (policy? r a) then {(p0,d0),...,(pk,dk)} else ∅;
    send a $ p;
    q <- receive t;
    e <- if q ∈ p then execute(q) else None;
    send a $ e
}
```

There is no negotiation, just a simple determination if the request satisfies the target's privacy policy. If so, the only protocol that can be returned and executed is  $\mathbf{p0}$ .

The interface between this negotiation protocol and the attestation protocol resulting from it is the **execute** function that causes the attestation protocol to run on the attester.

## Measurement and Attestation

**Definition 4 (Attestation)** *Attestation is the process of executing a protocol that interacts with attestation service providers (ASPs) to gather and return evidence for appraising the trustworthiness of a target system.*

Attestation service providers present interfaces for performing attestation services to attestation protocols:

**Definition 5 (Attestation Service Provider)** *An attestation service provider (ASP) is a routine that provides specific services to an attestation protocol. ASPs may invoke measurers, interact with a (v)TPM, invoke appraisers and attesters, and invoke meta-measurers.*

Measurement is the process of gathering evidence directly from a target:

**Definition 6 (Measurement)** *Measurement is the process of gathering evidence from a system.*

To consider bundling, we look at several examples of an attestation protocols ( $\mathbf{p0}$ ) for determining the status of a target's virus checking software.

### Local Execution

First is a protocol that assesses several properties and runs locally on the attester's system:

```
do { id <- getVCID;
    sig <- getSigFileEvidence;
    src <- getSigFileSrc;
    e <- createEvidence(id,sig,src);
    returnEvidence(e)
}
```

This protocol gets the checker ID (`getVCID`), measures the checker's signature file (`getSigFileEvidence`), and determines the source of the signature file (`getSigFileSrc`). Then it bundles all evidence into a single evidence package (`createEvidence`) and returns it (`returnEvidence`) to be sent back to the appraiser by the negotiation protocol. The appraiser then consumes the resulting evidence to perform appraisal (`appraise`).

The evidence returned has approximately the following form:

$$\langle (id, sig, src), \{ |(id, sig, src)|, PCRComp_0 \}_{AIK_0^-} \rangle$$

where  $(id, sig, src)$  is primary evidence and hashes and signatures are meta-evidence. An appraiser can check: (i) primary evidence to assess the measured virus checking subsystem; and (ii) the signature on the quote to determine its authenticity and  $PCRComp$  to assess the platform construction.

This is a simple example of evidence bundling—combining primary evidence from three ASPs and meta-evidence from the appraisal environment in the same package. In this case, primary evidence gives us information about the running system and meta-evidence gives us information about how the evidence was gathered. The appraiser first needs to determine if the quote and  $PCRComp$  are sufficient meta-evidence for it to trust its primary evidence and then determine if  $(id, sig, src)$  is sufficient to trust that the remote system is running an appropriately configured virus checker.

This example will expand into a more involved negotiation of a protocol.

### Trivial Local Execution

Another attestation protocol represents simply providing the appraiser evidence that a virus checker is running:

```
do { id <- getVCID;
    e <- createEvidence(id);
    returnEvidence(e)
}
```

This simpler protocol generates less evidence than the first, but may be more acceptable to the target system. The appraiser could determine this is insufficient or limit access to resources and services based on its appraisal.

### Nested Attestation

A more interesting case for the attestation protocol happens in the presence of complex bundling where the attester requests evidence from a remote target:

```
do { id <- getVCID;
    sig <- getSigFileEvidence;
    src <- getSigFileSrc;
    srcEvidence <- send src $ r;
    e <- createEvidence(id,sig,src,srcEvidence)
    returnEvidence(e)
}
```

In this case the protocol sends an attestation request ( $r$ ) to the signature file server,  $src$ . The attester then executes a similar negotiation protocol as the one that started the appraisal process. Negotiation is left out here for simplicity. The resulting evidence,  $srcEvidence$ , has the form:

$$\langle (e), \{ |e|, PCRCOMP_1 \}_{AIK_1^-} \rangle$$

is signed by the signature file server, not the original appraisal target with its own AIK. Thus, the information from the encapsulated evidence is bundled in the outer evidence:

$$b = \langle (e), \{ |e|, PCRCOMP_1 \}_{AIK_1^-} \rangle$$

$$\langle (id, sig, src, b), \{ |(id, sig, src, b)|, PCRCOMP_0 \}_{AIK_0^-} \rangle$$

The appraiser must somehow interpret the evidence from the signature file server without *a priori* knowledge of its identity.

This more general version of the bundling problem requires:

- Strong identification of the signature file server to the appraiser
- Nested evaluation of the privacy policy for the “other” attester before executing the entire attestation protocol.

## Nested Appraisal

A variant on the protocol implementing nested attestation is a protocol that performs nested appraisal. The distinction is subtle in that the attester does a full appraisal of the nested target rather than simply returning attestation results.

This protocol is identical to the nested attestation with an additional appraisal step. `srcEvidence` is gathered in the same way, but it is appraised locally (`appraiseSrc`) and the result is signed to create a certificate (`srcCert`) that binds the appraisal result with the attester. In effect, the certificate ensures the appraisal was performed by the right entity.

```
do { id <- getVCID;
    sig <- getSigFileEvidence;
    src <- getSigFileSrc;
    srcEvidence <- snd src $ r;
    srcCert <- sign(appraiseSrc(srcEvidence));
    e <- createEvidence(id,sig,src,srcCert)
    returnEvidence(e)
}
```

The evidence returned to the appraiser as `e` has roughly the following form:

$$b = \llbracket \text{appraiseSrc}(\text{srcEvidence}) \rrbracket_{ATT-} \\ \langle (id, sig, src, b), \{ |(id, sig, src, b)|, PCRCComp_0 \}_{AIK_0^-} \rangle$$

In this case, `b` is not an evidence package signed during the nested attestation, but a certificate that binds an appraisal result to the attester. The appraiser need not know anything about the signature server and is trusting the attester to perform an appraisal.

## Protocol Flattening

An alternative implementation would have the attester return the identity of the signature server and allow the appraiser to negotiate separately with the signature server. This could be called *flattening* the protocol if there is a desire to name it.

```
do { sent r0 $ t0;
    q0 <- receive t0;
    e0 <- case q0 of
        Some p : send t0 $ p
        None : None
```

```

        end;
    r1 <- appraise(e0);

    send t1 $ r1;
    q1 <- receive t1;
    e1 <- case q1 of
        Some p : send t1 p
        None : None
    end;
    appraise(e1)
}

```

The evidence produced from both requests from the appraiser has the following form:

$$\langle (e), \{|e|, PCRCComp_1\}_{AIK_1^-} \rangle$$

$$\langle (id, sig, src), \{|(id, sig, src)|, PCRCComp_0\}_{AIK_0^-} \rangle$$

Note that this is quite similar to the evidence produced by the earlier, un-flattened attestation. We have the same PCR composites and evidence signed by the same AIK instances. The distinction is the evidence packages are not nested. Semantically, what is the distinction between these two results? Is one preferable to another from an evidence perspective?

Is this flattening operation—eliminating hierarchy in the attestation protocol—a general operation or something that applies only here? If it is not general, can we live with its limitations? Can we assert any correctness properties for it? Could there be an interesting man-in-the-middle attack where the outer attestation manager could negotiate in bad faith and return instructions to the appraiser that produce a bad result?

## Privacy Policies

Each protocol executed in the virus checking example is evaluated by a privacy policy. This policy governs access to information available from the attestation target.

Formally, let  $T_i$  be a security type that may be associated with appraisers, attesters, and evidence. Denote  $p$  as belonging to type  $T_i$  by  $p : T_i$ . Given an appraiser,  $a : T_a$ , making a request and target,  $t : T_t$ , with measurable evidence  $e : T_e$ ,  $a$  is authorized to access  $e$  if the  $t$ 's privacy policy allows domains of type  $T_a$  access to evidence of type  $T_e$ . A privacy policy for target type  $T_t$  is expressed as a relation, PRIV:

$$\text{PRIV}_{T_t}(T_a, T_e)$$

In the protocols above, **priv** corresponds to **PRIV** and checking privacy policy (**priv r a**) is determining the truth value of  $\text{PRIV}_{T_t}(T_a, T_e)$ . This semantics is derived from SELinux policy developed by Hicks et al. [2007].

**Assertion 1 (PRIV is not transitive)**  $\text{PRIV}_{T_t}(T_a, T_e) \wedge \text{PRIV}_{T_a}(T_b, T_e)$  does not necessarily imply  $\text{PRIV}_{T_t}(T_b, T_e)$ . Informally, if  $t$  trusts  $a$  with evidence of type  $T_e$  and  $a$  trusts  $b$  with evidence of type  $T_e$ , it does not necessarily follow that  $t$  trusts  $b$  with evidence of type  $T_e$ .

**Assertion 2 (PRIV is not symmetric)**  $\text{PRIV}_{T_t}(T_a, T_e)$  does not necessarily imply that  $\text{PRIV}_{T_a}(T_t, T_e)$ . Informally, if  $t$  trusts  $a$  with evidence of type  $T_e$ , it does not necessarily follow that  $a$  trusts  $t$  with evidence of type  $T_e$ .

**Assertion 3 (PRIV is reflexive)**  $\forall t, e \cdot \text{PRIV}_{T_t}(T_t, T_e)$ . This is not a particularly useful property in that it only states  $T_t$  trusts itself with its own evidence.

## Glossary

**Primary Evidence** Evidence describing the measured application.

**Meta-Evidence** Evidence describing properties of other evidence.

**Negotiation Protocol** Sequence of events used to determine what protocol(s) to run and when to run it/them.

**Attestation Protocol** Sequence of events used to gather and prepare evidence by invoking attestation service providers.

**Attestation Protocol Block (APB)** See Attestation Protocol Instance (API).

## Notes

Example meta-properties useful during appraisal:

- relative order of measurements (temporal ordering)
- order measures are constructed relative to request (freshness)
- trust status of keys
- relevant FLASK policies that restrict communications (platform MAC)
- vantage point from which evidence was created



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