# SoPa++: Leveraging explainability from hybridized RNN, CNN and weighted finite-state neural architectures M.Sc. Thesis Defense

Atreya Shankar (799227), shankar.atreya@gmail.com
Cognitive Systems: Language, Learning, and Reasoning (M.Sc.)

1st Supervisor: Dr. Sharid Loáiciga, University of Potsdam

2nd Supervisor: Mathias Müller, M.A., University of Zurich

Foundations of Computational Linguistics Department of Linguistics University of Potsdam, SoSe 2021

July 8, 2021

#### Overview

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

#### Motivation

- Trend of increasingly complex deep learning models achieving SOTA performance on ML and NLP tasks (Figure 1)
- To address emerging concerns such as inductive biases, several studies make arguments for research into XAI; for example Danilevsky et al. (2020) and Arrieta et al. (2020)
- Schwartz et al. (2018) approach XAI in NLP by proposing an explainable hybridized neural architecture called Soft Patterns (SoPa; Figure 2)
- SoPa provides localized and indirect explainability despite being suited for globalized and direct explanations by simplification

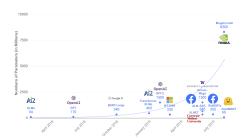


Figure 1: Parameter counts of recently released pre-trained language models; figure taken from Sanh et al. (2019)

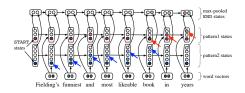


Figure 2: SoPa's partial computational graph; figure taken from Schwartz et al. (2018)

## Objective and research questions

#### Objective:

 Address limitations of SoPa by proposing SoPa++, which could allow for effective explanations by simplification.

#### Process:

 We study the performance and explanations by simplification of SoPa++ on the FMTOD data set from Schuster et al. (2019); focusing on the English-language intent classification task.

#### Research questions:

- Does SoPa++ provide **competitive** performance?
- To what extent does SoPa++ contribute to effective explanations by simplification?
- What interesting and relevant explanations can SoPa++ provide?

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

## Explainability

- Transparency is a passive feature that a model exhibits
- Explainability is an active feature that involves target audiences (Figure 3)
- Arrieta et al. (2020) explore a taxonomy of explainability techniques
- Prominent explainability techniques include local explanations, feature relevance and explanations by simplification
- Explainability techniques can provide meaningful insights into decision boundaries within black-box models (Figure 4)

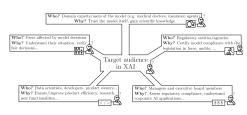


Figure 3: Examples of various target audiences in XAI; figure taken from Arrieta et al. (2020)





(a) Husky classified as wolf

(b) Explanation

Figure 4: Local explanation for "Wolf" classification decision, figure taken from Ribeiro et al. (2016)

SoPa

- Introduction
- Background concepts
- 3 Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

- Introduction
- Background concepts
- Data and methodologies
- 4 Results
- 5 Discussion
- 6 Conclusions
- 7 Future work

## Bibliography I

- Arrieta, Alejandro Barredo, Natalia Díaz-Rodríguez, Javier Del Ser, Adrien Bennetot,
   Siham Tabik, Alberto Barbado, Salvador García, Sergio Gil-López, Daniel Molina,
   Richard Benjamins, et al. (2020). "Explainable Artificial Intelligence (XAI):
   Concepts, taxonomies, opportunities and challenges toward responsible AI". In:
   Information Fusion 58, pp. 82–115.
- Danilevsky, Marina, Kun Qian, Ranit Aharonov, Yannis Katsis, Ban Kawas, and Prithviraj Sen (Dec. 2020). "A Survey of the State of Explainable AI for Natural Language Processing". In: Proceedings of the 1st Conference of the Asia-Pacific Chapter of the Association for Computational Linguistics and the 10th International Joint Conference on Natural Language Processing. Suzhou, China: Association for Computational Linguistics, pp. 447–459. URL: https://www.aclweb.org/anthology/2020.aacl-main.46.
- Ribeiro, Marco Tulio, Sameer Singh, and Carlos Guestrin (2016). ""Why Should I Trust You?": Explaining the Predictions of Any Classifier". In: Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, San Francisco, CA, USA, August 13-17, 2016, pp. 1135–1144.
- Sanh, Victor, Lysandre Debut, Julien Chaumond, and Thomas Wolf (2019). "DistilBERT, a distilled version of BERT: smaller, faster, cheaper and lighter". In: NeurIPS EMC<sup>2</sup> Workshop.

## Bibliography II

Schuster, Sebastian, Sonal Gupta, Rushin Shah, and Mike Lewis (June 2019).

"Cross-lingual Transfer Learning for Multilingual Task Oriented Dialog". In:

Proceedings of the 2019 Conference of the North American Chapter of the

Association for Computational Linguistics: Human Language Technologies, Volume
1 (Long and Short Papers). Minneapolis, Minnesota: Association for

Computational Linguistics, pp. 3795–3805. DOI: 10.18653/v1/N19-1380. URL:

https://www.aclweb.org/anthology/N19-1380.

Schwartz, Roy, Sam Thomson, and Noah A. Smith (July 2018). "Bridging CNNs, RNNs, and Weighted Finite-State Machines". In: Proceedings of the 56th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers). Melbourne, Australia: Association for Computational Linguistics, pp. 295–305. DOI: 10.18653/v1/P18-1028. URL: https://www.aclweb.org/anthology/P18-1028.