Assignment 5 + Smoothing 2 (SNLP Tutorial 6)

Vilém Zouhar, Awantee Deshpande, Julius Steuer

1st, 2nd June 2021

Assignment 5

- Exercise 1: OOV Words
- Exercise 2: Additive smoothing
- Exercise 3: Perplexity, infinite smoothing, interpolation
- Bonus: Other language models

Cross-validation

- K-fold cross-validation: Divide data into k subsets, train on k-1 subsets and test on the remaining 1.
- Leave One Out cross-validation: Train on all data points except one. Do this N times.

Questions

- Why is cross-validation beneficial?
- How does shuffling the dataset affect the LOOV score?
- When is k-fold cross-validation beneficial over standard cross-validation?

Smoothing Techniques

Remember the basics!

We perform smoothing to keep a language model from assigning 0 or \sim 0 probabilities to rare/unseen events.

Different ways to do this...

Floor Discounting

$$P(w|h) = \frac{N(w,h) + \epsilon}{N(h) + \epsilon \cdot V}$$

Variants: Laplace smoothing, Lidstone smoothing, add- α smoothing. . .

- $N_4 = \{ \nearrow \}$
- $N_3 = \{ , \}$
- $N_2 = \{ \hat{b} \}$
- $N_1 = \{ *, * \}$
- $N_0 = \{ \red{9} \}$

Data: 🍎 🍎 🍆 📞 🏖 🚨 🍆 🌭 🎉

•
$$N_4 = \{ \nearrow \}$$

•
$$N_3 = \{ , \}$$

•
$$N_2 = \{ \hat{b} \}$$

•
$$N_0 = \{ \$ \}$$

$$\rho_r = \frac{(r+1)N_{r+1}}{N_r} \cdot \frac{1}{N}$$

- $N_4 = \{ \ge \}$
- $N_3 = \{ , \}$
- $N_2 = \{ \hat{b} \}$
- $N_1 = \{ \&, \& \}$
- $N_0 = \{ \$ \}$
- Nominator: expected total number of occurrences of words that occur r+1 times
- Denominator-left: previous bucket size
- Fraction-left: expected number of occurrences of a single word from that bucket
- Denominator-right: divide by total occurences

 $p_r = \frac{(r+1)N_{r+1}}{N_r} \cdot \frac{1}{N}$

• Let *k* be the maximum occurrence of a word. What's the issue?

- Let k be the maximum occurrence of a word. What's the issue?
- A similar issue related to the one above?

- Let k be the maximum occurrence of a word. What's the issue?
- A similar issue related to the one above?
- Do the probabilities sum up to 1?

- Let k be the maximum occurrence of a word. What's the issue?
- A similar issue related to the one above?
- Do the probabilities sum up to 1?
- How to make it work for anything above unigrams?

Linear Intepolation/Jelinek-Mercer smoothing

B₁: (FROZEN YOGHURT)

B₂: (FROZEN RED)

What will floor discounting do here? Can we interpolate our bigram model with a unigram model?

$$P(w|h) = \lambda_1 P(w|h) + (1 - \lambda_1) P(w)$$

Can be generalised to higher order n-grams.

Questions

- What condition must be fulfilled for higher n-grams?
- How is λ_i determined?
- Can you smooth the above probabilities?

Backing-Off models

What other way can we use the lower-order n-gram distributions? Is a lot of context always a good thing?

Idea behind back-off models: Use information from a lower order n-gram distribution.

A "recursion" strategy...

$$P(w|h) = \begin{cases} \frac{N(w,h)-d}{N(h)} + \alpha(h)\beta(w|h) & \text{for } N(w,h) > 0\\ \alpha(h)\beta(w|h) & \text{otherwise} \end{cases}$$
 (1)

Corpus

Train set:



Test set:



Corpus

- Train set:
- Test set:
 - 🌣 🍅 🚇 🤌 🍑 🍆 👟 😩 🚳 🍅 👏

Distribution

- Vocabulary counts
- - Decrease all non-zero counts by some parameter d = 0.75
- **6** 6-0.75 **3** 5-0.75 **3** 3-0.75 **2** 2-0.75 **9**
 - Divide by N = 16

Sum = $0.33+0.26+0.14+0.11 = 0.84 \neq 1$.

Corpus

- Train set:
- Test set:
 - 🍑 🍑 🚇 2 👟 🥒 🗳 👟 🚳 🍑

Distribution

- Vocabulary counts
- - ullet Decrease all non-zero counts by some parameter d = 0.75
- - Divide by N = 16
- **७** 0.33 ≥ 0.26 **७** 0.14 **№** 0.11 **№** 0

 $\mathsf{Sum} = 0.33 + 0.26 + 0.14 + 0.11 = 0.84 \neq 1.$

Idea: Utilise this probability mass for zero counts.

$$P(w|h) = \frac{c(w,h) - d}{c(h)}$$

Adjust the probability mass $1 - \sum_h \frac{c(w,h) - d}{c(h)}$

e.g. For bigrams,

$$\begin{split} P_{abs}(w_i|w_{i-1}) &= \frac{\max N(w_{i-1},w_i) - d,0}{\sum_{w'} N(w_{i-1},w')} + \lambda(w_{i-1}) P_{abs}(w_i) \\ P_{abs}(w_i) &= \frac{\max N(w_i) - d,0}{\sum_{w'} N(w')} + \lambda(.) P_{unif}(w_i) \\ \text{where } \lambda(w_{i-1}) &= \frac{d}{\sum_{w'} N(w_{i-1},w')} \cdot N_{1+}(w_{i-1},\bullet) \\ \lambda(.) &= \frac{d}{\sum_{w'} N(w')} \cdot N_{1+} \end{split}$$

Kneser-Ney Smoothing

TODO

Pruning

TODO

Assignment 6

- Exercise 1: MAP and MLE estimates
- Exercise 2: Good Turing Smoothing
- Exercise 3: Cross-Validation

Resources

- UdS SNLP Class: https://teaching.lsv.uni-saarland.de/snlp/
- ② n-gram models: https://web.stanford.edu/~jurafsky/slp3/3.pdf
- Twitter emojis