

Assignment 4+Smoothing

(SNLP tutorial 4)

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Overview

- Task, Metrics
- Differential Privacy
- Homework

Assignment 4

- Exercise 1: Huffman encoding
- Exercise 2: Conditional entropy of DNA
- Bonus: Huffman encoding adaptations

OOV words

Corpus

- Train set:



- Test set:



OOV words

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Accumulate counts

		6		5		3		2				
		4		2		2		2		1		1

OOV words

Corpus











- Train set:





- Test set:



Accumulate counts

•  6	 5	 3	 2		
•  4	 2	 2	 2	 1	 1

OOV words

- What about  and ?
- OOV rate: $2 + 1/4 + 2 + 2 + 1 + 1 + 1 = 27\%$

- Solutions? character-level, subword units







Additive smoothing (add- α -smoothing)

Unigrams






- Add zero counts to frequency table

 6	 5	 3	 2	 0	 0
--	---	---	---	---	---

- Increase all counts by $\alpha = 1$







 6+1	 5+1	 3+1	 2+1	 0+1	 0+1
--	---	---	---	---	---

- Divide by $N = 22$

 0.32	 0.27	 0.18	 0.13	 0.05	 0.05
---	--	--	--	--	--

Perplexity

- Relative frequencies on test corpus:

 0.33	 0.17	 0.17	 0.17	 0.08	 0.08
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- $PP = 2^{(0.33 \cdot 0.32 + 0.27 \cdot 0.17 + 0.18 \cdot 0.17 + 0.13 \cdot 0.17 + 2 \cdot (0.05 \cdot 0.08))} = 1.4$

Additive smoothing: Bigrams

Recall the additive smoothing formula for unigrams:

$$p_{smoothed}(w_i) = \frac{C(w_i) + \alpha}{N + \alpha|V|} \quad (1)$$

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Remember from Assignment 2 that:

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- Smoothe the bigram count: $C(w_{i-1}, w_i) \rightarrow C(w_{i-1}, w_i) + \alpha$
- Normalization: $p_{smoothed}(w_i|w_{i-1}) = \frac{C(w_{i-1}, w_i) + \alpha}{?}$

Additive smoothing: Bigrams

Corpus



Bigrams: , , , , ..., ,  ← circular bigram!

Bigrams: AA, AA, AE, EA, ..., AE, EA

Additive smoothing: Bigrams: bigram counts

- Collect bigram counts & conditional probabilities for history A

Bigram	$C(w_i, w_{i-1})$	$C(w_{i-1})$	$\frac{C(w_{i-1}, w_i)}{C(w_{i-1})}$
AE	3	6	1/2
AA	2	6	1/3
AB	1	6	1/6

Additive smoothing: Bigrams: add alpha

- We encounter an unknown bigram AF

Bigram	$C_{\alpha}(w_{i-1}, w_i)$	$C(w_{i-1})$	$\frac{C_{\alpha}(w_{i-1}, w_i)}{C(w_{i-1})}$
AE	3+1	6	4/6
AA	2+1	6	3/6
AB	1+1	6	2/6
→ AF	0+1	6	1/6

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- Not a probability distribution!
- Solution: We need to adjust the divisor a tiny bit. But how tiny?

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- add $\alpha \cdot 4$ to history count!
- Pretend that we have seen the history $|V| = 4$ times more.

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Bigram	$C_\alpha(w_{i-1}) + \alpha V $	$\frac{C_\alpha(w_{i-1}, w_i)}{C(w_{i-1}) + \alpha V }$
AE	$6 + 4$	$4/10$
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- Now the probabilities sum up to 1: $4/10 + 3/10 + 2/10 + 1/10 = 1$

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$\rightarrow AD$	$6 + 5$	$1/11$

- $C(A)$ is constant, unsmoothed count
- Probabilities sum up to 1: $4/11 + 3/11 + 2/11 + 1/11 + 1/11 = 1$

Additive smoothing: Bigrams: general case

- General formula for smoothed bigram Probabilities:

$$p(w_i|w_{i-1}) = \frac{C(w_{i-1}, w_i) + \alpha}{C(w_{i-1}) + \alpha|V|} \quad (3)$$

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- For n-grams of length n :

$$p(w_i|w_{i-1} : w_{i-n+1}) = \frac{C(w_{i-n+1} : w_i) + \alpha}{C(w_{i-n+1} : w_{i-1}) + \alpha|V_{(w_{i-n+1}:w_{i-1}, \bullet)}|} \quad (5)$$

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- $V_{(A, \bullet)}$ is then $AA, AB, AC, AD, AE, AF \Rightarrow |V_{(A, \bullet)}| = 6 = |V|$

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- $V_{(A, \bullet)}$ is then $AA, AB, AC, AD, AE, AF \Rightarrow |V_{(A, \bullet)}| = 6 = |V|$
- We find that the formula we found is identical to the one on the lecture slides!

$$p(w_i | w_{i-1} : w_{i-n+1}) = \frac{C(w_{i-n+1} : w_i) + \alpha}{C(w_{i-n+1} : w_{i-1}) + \alpha |V|} \quad (7)$$

Cross-Validation

TODO

Estimating LOO Parameters

Count Trees

- remove infrequent nodes

TODO

Privacy

TODO differential privacy

Resources

- ① UdS SNLP Class, WSD: <https://teaching.lsv.uni-saarland.de/snlp/>
- ② Classical Statistical WSD: <https://www.aclweb.org/anthology/P91-1034.pdf>
- ③ n-gram count trees: <http://ssli.ee.washington.edu/WS07/notes/ngrams.pdf>