



Multi-site, Multi-domain Airway Tree Modeling (ATM'22): A Public Benchmark for Pulmonary Airway Segmentation

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ABSTRACT

Open international challenges are becoming the de facto standard for assessing computer vision and image analysis algorithms. In recent years, new methods have extended the reach of pulmonary airway segmentation that is closer to the limit of image resolution. Since EXACT'09 pulmonary airway segmentation, limited effort has been directed to quantitative comparison of newly emerged algorithms driven by the maturity of deep learning based approaches and clinical drive for resolving finer details of distal airways for early intervention of pulmonary diseases. Thus far, public annotated datasets are extremely limited, hindering the development of data-driven methods and detailed performance evaluation of new algorithms. To provide a benchmark for the medical imaging community, we organized the Multi-site, Multi-domain Airway Tree Modeling (ATM'22), which was held as an official challenge event during the MICCAI

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2022 conference. ATM'22 provides large-scale CT scans with detailed pulmonary airway annotation, including 500 CT scans (300 for training, 50 for validation, and 150 for testing). The dataset was collected from different sites and it further included a portion of noisy COVID-19 CTs with ground-glass opacity and consolidation. Twenty-three teams participated in the entire phase of the challenge and the algorithms for the top ten teams are reviewed in this paper. Quantitative and qualitative results revealed that deep learning models embedded with the topological continuity enhancement achieved superior performance in general. ATM'22 challenge holds as an open-call design, the training data and the gold standard evaluation are available upon successful registration via its homepage (<https://atm22.grand-challenge.org/>).

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1. Introduction

1.1. Background

Deep learning methods are reshaping the general practice of image segmentation. In addition to novel network designs, the performance of these algorithms is largely dependent on the scale of the training data set and clinical accuracy of the annotation used. For fair assessment of these algorithms, many grand-challenges have been organized, focusing on organs including the brain (Mendrik et al., 2015), abdominal multi-organs (Ma et al., 2021), heart (Zhuang et al., 2019), skin lesion (Codella et al., 2018a) and breast cancer (Aresta et al., 2019).

For pulmonary airway segmentation, limited attention has been paid since the EXACT'09 challenge (Lo et al., 2012). Clinically, accurate segmentation of the pulmonary airway based on Computed Tomography (CT) is the prerequisite to the diagnosis and treatment of small airway diseases. It also plays an important role for pre-operative planning and intra-operative guidance of minimally invasive endobronchial interventions. With increasing miniaturization of bronchoscopes empowered by robot assistance, small branches beyond the the 5th generation of airways are routinely treated. Due to the fine-grained pulmonary airway structure further complicated by complex bifurcating topology, manual annotation is time-consuming, error-prone, and requires a high level of clinical skills.

As an example, Fig.1 presents a pulmonary airway structure with different levels of annotations: The branch-wise anatomical airway blended with the original CT is shown in Fig.1(a) where the generations of bronchi are also annotated. Typical workflow involves the following steps: The binary mask of airway is first segmented based on CT images as shown in Fig.1(b); Based on the binary mask, the skeleton or centreline of the airway in Fig.1(c) can be extracted via the morphological operations; By detecting the branching points and ending points as shown in Fig.1(d), the generations of airway can be then determined. Since the morphology of the small distal bronchi can be fine-grained, it is challenging to delineate the airways from scratch for each patient To expedite the exploration of the airways, automatic airway segmentation algorithms are in high demand clinically.

1.2. Challenges of Pulmonary Airway Segmentation

Detailed pulmonary airway segmentation, which traditionally works on the level of trachea and bronchi and ideally reaching all the way to alveoli should the imaging resolution permits. However, to acquire the fine-grained airway tree structure is practically difficult. The main challenges involved the following aspects.

Challenge 1: Leakage (C1). The leakage phenomenon, as seen in the Figure 2.a) (excerpted from (Charbonnier et al., 2017)), is a common challenge that exerts on the pulmonary airway segmentation algorithms. The leakage problem usually occurs on the small airway branches or lesion surrounding areas (e.g., emphysema and bronchiectasis.) (Pu et al., 2012). The segmentation methods are likely to leak into the adjacent lung parenchyma through blurred airway walls or soft boundaries due to the highly variable intensity levels in the lumen area. The traditional methods suffer the leakage problem more severely than the deep learning methods since they usually function on the low-level features of images. For example, the intensity-based region growing methods often leak to lung parenchyma through blurred/broken boundaries at small airways. Rule-based method (Sonka et al., 1996) and morphology-based method (Aykac et al., 2003) encounter similar problems as well. The deep learning methods can extract semantic high-level features that are discriminative to airways, which alleviates the leakage problem. Unlike the mass of leakage that happened in the traditional methods, the form of leakage encountered by deep learning methods is that the prediction are thicker than the ground-truths. This phenomenon is defined as gradient dilation (Zheng et al., 2021b) when assigning larger weights to the peripheral bronchi.

Challenge 2: Breakage (C2). The breakage phenomenon refers to the discontinuous result, which can be seen in Figure 2.b) (adapted from (Zheng et al., 2021b)). The breakage merely induces marginal voxel-level errors, however, the topology structure is totally changed after the largest connected component extraction. The breakage problem is detrimental to the airway segmentation task because only the largest connected component of the airway result is useful to the bronchoscopic-assisted surgery. The presence of the breakages will cause interrupted trajectories. Different from the leakage problem, the deep learning method is more likely to generate breakages than

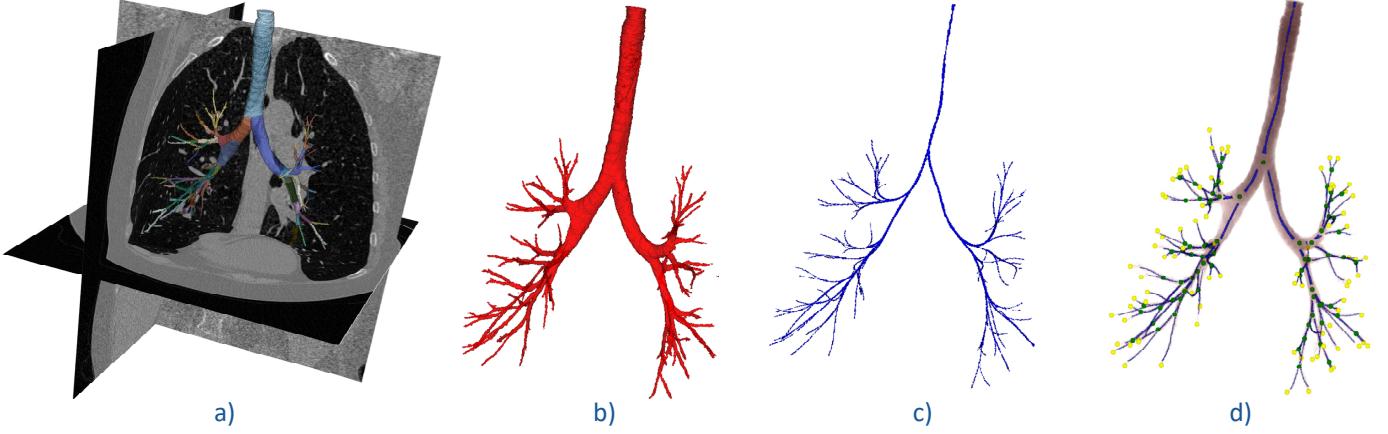


Fig. 1. The hierarchical illustration of the pulmonary airway structure. a) represents the branch-wise anatomical airway, overlapping with the original CT. b) and c) represent the binary airway and the centerline, respectively. The branch points (green) and end points (yellow) of the airway tree can be seen in d). Best viewed in color.

conventional methods. The conventional methods usually rely on intensity constraints, hence, the connectivity can be guaranteed, especially the region-growing algorithms. However, as discussed in (Nadeem et al., 2020), the intensity of the airway wall varies significantly from the proximal to distal sites. Consequently, the region growing methods merely function reliably in the trachea and principal bronchi. The breakages that happened in the deep learning methods can be ascribed to three aspects. First, the intrinsic class imbalance distribution (Zheng et al., 2021b; Zhang et al., 2022a) adds difficulty in extracting

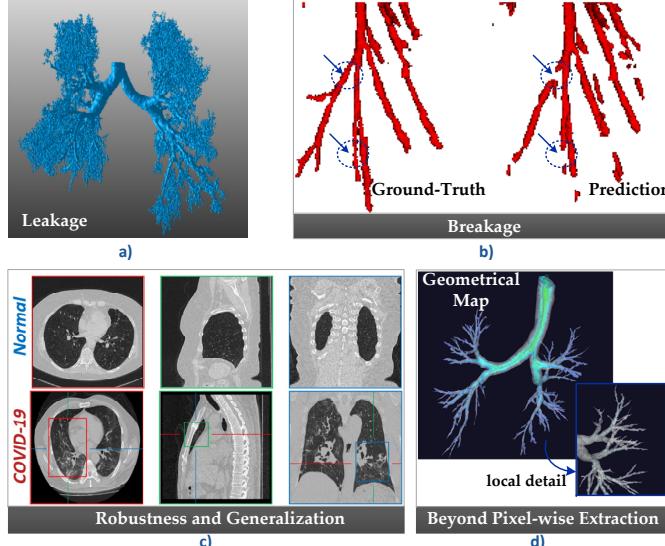


Fig. 2. Four main challenges of the pulmonary airway segmentation task. a) Leakage. b) Breakage. c) Robustness and generalization. d) Beyond pixel-wise extraction.

the whole airway tree structure with satisfactory connectivity. The class imbalance includes two type of imbalance distribution, the inter-class imbalance and the intra-class imbalance. Inter-class imbalance means that the number of the airway vox-

els is far fewer than that of background, and the intra-class refers to the relative total volume difference of trachea, principal bronchi, lobar bronchi, and distal segmental bronchi. Such imbalanced distribution influences the data-driven deep learning methods, leading to the breakages of peripheral bronchi. The uncertainty of the airway lumen is the second aspect that may cause breakage. The uncertainty includes low contrast, complex topological structures, and imaging noise. Third, the overlap-wise loss function, e.g., Dice (Milletari et al., 2016) loss function, is widely used for medical image segmentation tasks. However, it can not guarantee topological accuracy due to the severe intra-class imbalance distribution. It is known that deep learning models trained with class-imbalanced data may perform poorly in the minor classes with scarce training data (Buda et al., 2018; Liu et al., 2019).

Challenge 3: Robustness and Generalization (C3). The diseases, such as bronchiectasis, emphysema, and COVID-19 could influence the airway morphology or the characteristic of CT images. Here, we put our emphasis on the pandemic COVID-19 disease. As seen in Figure 2.c), the normal CT scans can be categorized into the clean domain, where the airway lumen is relatively explicit in these clean CTs. However, the COVID-19 CT scans can be deemed as the noisy domain since they introduce the bias attributes, e.g., bilaterally scattered irregular patches of ground glass opacity, thickening of inter-lobular or intra-lobular septa, and consolidation. Preliminary experiments (Zhang et al., 2021a, 2022b) have demonstrated that the models trained on the clean domain are difficult to be generalized to the noisy CTs. Improve the robustness and generalization ability across domains is also critical to measure the performance of airway segmentation algorithms.

Challenge 4: Beyond Pixel-wise Extraction (C4). Currently, the airway tree modeling task is regarded as the pixel- or voxel-wise segmentation task. One of the critical purposes of airway tree modeling is for the navigation of bronchoscopic-assisted surgery. However, there exists a gap temporarily between these two things. The CT values are discrete signals and

the airway prediction obtained by CNNs are also dense, discrete volumes. Volume rendering algorithms are necessary to acquire continuous results (e.g., Mesh). The topology relation in the voxel-wise structure data is weak while very strong in the continuous data. As seen in Figure 2.d), the curved centerline of airways can be extracted on the Voronoi diagram via the Eikonal equation. More geometric attributes of the airway, including the bifurcation, radius, and centerline directions can be performed. Hence, we have a giant vision for the future airway tree modeling paradigm: Take the discrete CT scans as input and output the continuous airway results. This is challenging because we need to develop a novel pixel-wise extraction methodology.

Our organized ATM'22 focuses on the above challenges. The large-scale dataset with full annotation encourages participants to develop novel methods to harness the intrinsic topological airway tree knowledge and achieve remarkable segmentation performance.

1.3. Limitation of Previous Datasets

As reported in Table 1, the main drawbacks of recent representative airway segmentation work lie in the limited scale of the dataset and incomplete evaluation metrics. The total number of benchmark datasets is all smaller than one hundred, which is not sufficient for the deep learning model training. The models are prone to overfitting due to the small number of training set. Furthermore, the small datasets still need to split into non-overlap training/validation/test sets. Consequently, the validation set is inadequate to guarantee the robustness and generalization ability of the trained models because it owns a very small amount of samples. In addition, the in-house datasets and incomplete evaluation metrics degrade the transparency of the results. They also add difficulty to the fair comparison among various methods.

Considering the public benchmarks, the most famous airway segmentation challenge in the past few decades is the 'Extraction of Airways From CT' (EXACT'09) organized by (Lo et al., 2012). It was held at the Second International Workshop on Pulmonary Image Analysis, in conjunction with the 12th International Conference on Medical Image Computing and Computer-Assisted Intervention (MICCAI 2009)³. The EXACT'09 provided 40 CT scans, the first 20 scans were designated as the training set and the remaining 20 scans were set as the testing set to evaluate different algorithms. It also provided a platform⁴ for comparing airway extraction algorithms with standard evaluation metrics. Since the machine-learning/deep-learning methods were not the dominated methods in early 2000s, the majority of the algorithms were based on the traditional image processing methods, such as morphological filtering (Irving et al., 2009; Fetita et al., 2009), and region growing (Pinho et al., 2009; Feuerstein et al., 2009; Wiemker et al., 2009). The EXACT'09 challenge aimed to develop automatic airway segmentation algorithms, however, these methods

often fail in extracting the smaller peripheral bronchi due to the lack of robust features. Further, the EXACT'09 challenge did not publish the manual annotation of airway and the number of training samples are limited, which is not friendly for the burgeoning data-driven deep-learning methods.

Therefore, we organize the Multi-site, Multi-domain Airway Tree Modeling (ATM'22) Challenge, which was held in conjunction with MICCAI 2022. Our challenge aims to revolutionize the pulmonary airway segmentation task compared with the EXACT'09 from three aspects:

1) More Annotated Data. The EXACT'09 only provided 40 CT scans without airway labels. In ATM'22, we collected 500 CT scans with elaborated airway labels, each delineated by three experienced radiologists. We believe that a large number of CT scans and airway labels could boost the development of robust airway segmentation algorithms based on deep neural networks.

Compared with recent datasets adopted in deep-learning methods, as seen in Table 1, ATM'22 expands the scale of the dataset with record number of cases. In addition, the dataset of the ATM'22 is split into 300/50/150 for training, validation, and test. As well known, current deep-learning methods are mainly data-driven, and the large number of the training set is critical to obtain robust models. A large number of the validation set (even larger than whole datasets used in previous work) can avoid the over-fitting problem. The test set contains 150 CT scans which are inaccessible to the participants. Only Docker-based submission is acceptable for evaluating the segmentation algorithms, which guarantees the fairness and reproducibility of the benchmark. Further, the ATM'22 challenge covers CT scans from multiple sites, which can also evaluate the generalization ability of the models. Detailed information on dataset is provided in Section 3.1.

2) More Comprehensive Metrics. In the EXACT'09 challenge, the groundtruth of the airway was constructed from the results of the participants. Specifically, they first divided the airway tree into branch segments. These segments are then scored by experienced observers to determine whether it is a correctly segmented part or not. Finally, the reference airway trees were constructed by gathering the union of all correctly extracted branch segments. Since EXACT'09 did not acquire the fine-grained annotation of the airways, their benchmark was designed to only evaluate the depth of the predicted airway while neglecting the exact airway shape and dimensions.

To comprehensively assess the algorithms, ATM'22 considered both the depth of the airway trees and airway dimensions via the fine-grained annotations by experienced radiologists. ATM'22 aims to evaluate the airway segmentation algorithms from two perspectives including **Topological Completeness** and **Topological Correctness**. The topological completeness is measured by the tree length detected rate (TD, %) and branch detected rate (BD, %), which are introduced by EXACT'09. Both TD and BD are evaluated on the largest component of the prediction, reflecting the topological completeness and continuity of the models. The high quality of the topological completeness is essential to the navigation usage for

³<https://www.lungworkshop.org/2009/index.html>

⁴<http://image.diku.dk/exact/>

Table 1. Comparisons between the ATM’22 challenge and other related airway segmentation works from the dataset and evaluation. Commonly used metrics are Tree length detected rate (i.e., TD, %), Branch detected rate (i.e., BD, %), Dice Similarity Coefficient (i.e., DSC, %), Precision (i.e., Pre, %), Sensitivity (i.e., Sen, %) and Specificity (i.e., Spe, %). The reported false positive rate (FPR) is equal to reporting the specificity, and the true positive rate (TPR) is equal to report the sensitivity.

Method	Model	Dataset		Metrics					
		✓ Open-Source	✗ In-House	TD (%)	BD (%)	DSC (%)	Pre (%)	Sen (%)	Spe (%)
Meng et al. (2017b)	U-Net with the tracking algorithm	✗ 50 scans, Tokushima University	✗	✓	✓	✓	✗	✗	✗
Jin et al. (2017)	U-Net with graph refinement	✓ 40 scans, EXACT’09	✓ 20 scans, LTRC Karwowski et al. (2008)	✓	✓	✗	✗	✗	✗
Charbonnier et al. (2017)	U-Net with leak detection	✗ 45 scans, COPDGene Regan et al. (2011)	✓	✗	✗	✗	✗	✗	✓
Garcia-Uceda Juarez et al. (2019)	U-Net with a gcn module	✗ 32 scans, DLCST Pedersen et al. (2009)	✓	✗	✓	✗	✓	✓	✗
Wang et al. (2019)	Spatial CNN with radial distance loss	✗ 38 private scans	✓	✗	✓	✗	✗	✓	✓
Nadeem et al. (2020)	U-Net with freeze-and-grow algorithm	✗ 32 scans, SPIROMICS Couper et al., 2014)	✗	✗	✗	✗	✗	✗	✓
Garcia-Uceda et al. (2021)	Efficient 3D-UNet	✗ 24 scans, CF-CT (Kuo et al., 2017), DLCST, EXACT’09	✓	✗	✓	✗	✗	✗	✓
Qin et al. (2021)	UNet with attention module	✓ 90 scans, BAS (Qin et al., 2020)	✓	✓	✓	✓	✓	✓	✓
Zheng et al. (2021b)	WingsNet with general union loss	✓ BAS	✓	✓	✓	✗	✓	✗	✗
ATM’22 Challenge (Ours)	—	✓ 500 scans	✓	✓	✓	✓	✓	✓	✓

bronchoscopic-assisted surgery. The topological correctness represents the overlap-wise accuracy of the segmentation models. We adopted the Dice Similarity Coefficient (DSC, %) and Precision (%) for the quantitative measurement of pulmonary airways, which plays a critical role in abnormality analysis. The selection criterion and standard formula of these metrics are reported in Section 3.2.

3) More Powerful Platform. The ATM’22 challenge is one of the Satellite Events in conjunction with MICCAI 2022⁵, and hosted on *grand-challenge.org*⁶, which allows the flexible and extendible management of benchmarks. Compared with the EXACT’09 challenge, our website not only provides the registration and dataset access but also supports the submission of prediction results and prompt feedback. The submissions will be evaluated automatically by the executable docker and the metrics can be presented on the leaderboard in a few minutes. The improvement of the evaluation procedure has significantly accelerated the research, as the researchers do not need to wait for the official result reply via e-mails like EXACT’09. In addition, the ATM’22 owns a live leaderboard that presents all valid results from different teams. The public leaderboard ensures the fairness in evaluating various algorithms. In conclusion, the ATM’22 challenge has deployed on a more effective platform, which is beneficial to the research community. A detailed evaluation procedure can be seen in Section 3.4.

1.4. Contributions

Our challenge was accepted as a Satellite Event of the MICCAI 2022 challenge, and our official challenge website is constructed and maintained via the platform of *grand-challenge.org*. The contribution of our organized challenge can be briefly summarized below:

- ATM’22 is a critical milestone that establishes the standard norm of the airway segmentation field in this deep learning era. To our best knowledge, ATM’22 is the first challenge to provide the large-scale dataset, 500 CT scans with full pulmonary airway annotation. A large amount of

the dataset is beneficial to the development of the deep-learning based algorithms. Further, our challenge was deployed on the public platform that executes the evaluation in time and then presents the results online. Hence, it is convenient to compare with different algorithms and speed up the research procedure.

- ATM’22 arouses the reflection that airway segmentation should be a beyond pixel-wise segmentation task. Unlike other common segmentation tasks, the overlap based and the surface distance-based measures are enough to evaluate the performance of the algorithms. However, these measures only consider the topological correctness of the airway segmentation methods. The topological completeness is another significant aspect to measure the performance of airway segmentation algorithms. ATM’22 first establishes the most comprehensive evaluation system, including both topological correctness and topological completeness, to determine the performance of the algorithms. Combined with the large-scale datasets, the intrinsic topological features of airways are expected to be harnessed.
- ATM’22 focus on the generalization ability of automatic airway segmentation algorithms. ATM’22 contains divergent data from multi-site and multi-domain. The deep learning models are expected to explore more substantive characteristics of the pulmonary airway to perform well across different sites and domains. In addition, ATM’22 provides a valuable prerequisite database for various clinical centers worldwide. They could leverage this database for the pre-training of models and then apply it to their in-house data.

⁵MICCAI 2022 challenge list: <https://conferences.miccai.org/2022/en/MICCAI2022-CHALLENGES.html>

⁶ATM’22 website: <https://atm22.grand-challenge.org/>

The rest of the paper is organized as follows: Section 2 summarizes the previous work related to pulmonary airway segmentation. Section 3 provides the details of the materials, evaluation framework, and participation procedure in our challenge. Section 4 introduces and compares the top 10 methods ranked in this challenge, along with our insights. Section 5 presents the quantitative and qualitative results of the validation phase and the final test phase, followed by the discussion in Section 6. Finally, we conclude our work in Section 7.

2. Related Work

2.1. EXACT'09 Challenge

To compare different airway segmentation algorithms using a standard dataset and performance evaluation method, the Extraction of Airways From CT (EXACT'09) (Lo et al., 2012) is successfully hosted in 2009. The EXACT'09 dataset provided 40 CT scans including 20 scans for the training usage and 20 scans for the test stage. They evaluated 15 airway tree extraction algorithms from different research groups. In that pre-deep learning era, most of the participants adopted region-growing and vessel filters to address this problem. The results of the participants were further used by the organizers to construct the golden standard of the airway reference. Specifically, the airway prediction of different participants was first subdivided into individual branches, and then visually scored by the trained observers. The correctly segmented branches were retained while the incorrect branches were rejected. Finally, all accepted branches were aggregated to acquire the final reference standard. However, the training observers merely decided whether the individual branches were acceptable or not while they did not annotate the original CT scans for those branches neglected by all the algorithms. In addition, due to the lack of precise voxel-wise annotation, the evaluation of EXACT'09 was designed to only take the extracted airway tree length into consideration without the shape and dimension.

EXACT'09 contributed to the field of pulmonary airway segmentation as they established a framework to evaluate the airway extraction algorithms in a standard manner. They had established their own website⁷, where detailed information and challenge results are presented. Although this website is maintained manually for registration and submission, their feedback period is extremely long, which is inappropriate for the current scientific research.

2.2. Deep Learning Methods for Airway Segmentation

Since EXACT'09, several methods that employed techniques such as adaptive thresholding, region growing, and filtering-based enhancement were proposed. These methods successfully segmented the trachea and main bronchi but often failed to extract peripheral bronchi because the intensity contrast between the airway lumen and wall weakens as airways bifurcate into thinner branches. Xu et al. (2015) proposed the hybrid multi-scale fuzzy connectedness framework cooperating with morphological reconstruction and multi-scale vessel enhancement for airway lumen segmentation. As presented in Fig.3, the recent progress of deep learning, especially Convolutional Neural Networks (CNNs) have promoted the research on airway segmentation (Charbonnier et al., 2017; Jin et al., 2017; Meng et al., 2017b,a; Selvan et al., 2018; Nadeem et al., 2018; Garcia-Uceda Juarez et al., 2018; Zhao et al., 2019; Yun et al., 2019; Qin et al., 2019; Wang et al., 2019; Garcia-Uceda Juarez et al., 2019; Nadeem et al., 2020; Selvan et al., 2020; Qin et al., 2021; Zheng et al., 2021b,a; Garcia-Uceda et al., 2021; Wu

et al., 2022; Yu et al., 2022b; Nan et al., 2022; Zhang et al., 2022a).

To reduce the mass of false positives and increase the length of the detected airway tree length, 2-D CNN (Yun et al., 2019) and 2.5-D CNN (Charbonnier et al., 2017) were respectively applied to the coarse segmentation to reduce false positives and increase the length of the detected airway tree. 3D CNNs were developed to handle the airway segmentation task via either the fixed-stride patch-wise sliding window fashion (Garcia-Uceda Juarez et al., 2018) or a dynamic VOI-based tracking way (Meng et al., 2017b). To further extract discriminative features, specific designs of neural networks were also incorporated into the 3D UNet. Graph refinement (Selvan et al., 2020; Garcia-Uceda Juarez et al., 2019) was explored to incorporate neighborhood knowledge of airways in feature aggregation. Wang et al. (2019) proposed a spatial propagation layer and radial distance loss for tubular topology perception. Qin et al. (2021) designed a feature calibration and attention distillation module to force the 3D UNet to share superiority to tenuous peripheral bronchioles. Zhao et al. (2019) proposed a linear-programming tracking method to combine the results of 3D CNNs and 2D CNNs.

Meanwhile, the importance of the connectivity of the airway prediction also raised attention. AirwayNet (Qin et al., 2019) was proposed to transform the binary airway segmentation task into 26-neighborhood connectivity prediction problem. Wu et al. (2022) utilized the long-range slice continuity information to enhance the connectedness of airway prediction. The connectivity attribute was further explored by Zheng et al. (2021b) and Zhang et al. (2022a). Zheng et al. put forward the class imbalance problem that existed in the airway segmentation task while Zhang et al. pointed out that a satisfactory trade-off between the topological completeness and correctness should be achieved. The WingsNet was adopted by Zheng et al. (2021b) and Yu et al. (2022b) as the backbone for a multi-stage training solution. Zheng et al. designed a general union loss (GUL) to alleviate the intra-class imbalance problem. Yu et al. resolved the problem via a breakage-sensitive loss. To further tackle the topology-preserving challenge, Zhang et al. (2022a) proposed a convolutional distance transform (CDT) module to refine the fractured areas that are critical to the topological structures. Nan et al. (2022) designed a continuity and accumulation mapping (CAM) loss, which enhanced the continuity degree and minimized projection errors of airway predictions.

The EXACT'09 challenge has been hosted over a decade, it is time to promote the airway segmentation task to a new level for the next generation of medical image analysis and bronchoscopic-assisted surgery. The ATM'22 challenge aimed to revolutionize this field via providing more annotated data, more comprehensive evaluation, and more efficient feedback for the research community. A promising trend of the pulmonary airway segmentation is to harness the intrinsic topological features from the significant annotated data.

2.3. Topological Prior Knowledge

The most relevant task to airway segmentation is tubular object segmentation, where topological prior knowledge plays a

⁷EXACT'09 Website: <http://image.diku.dk/exact/>

The road map of the pulmonary airway segmentation works

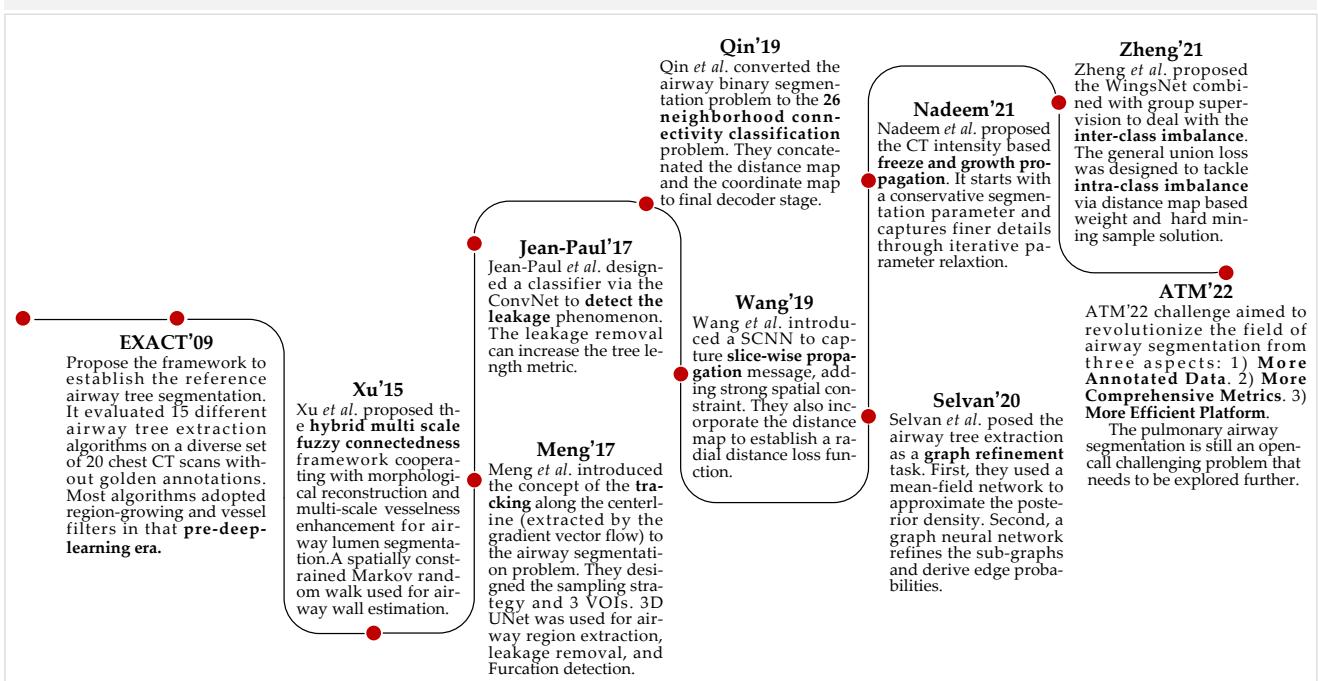


Fig. 3. The road map of the representative airway segmentation works from EXACT'09 challenge to ATM'22 challenge.

critical role. A typical class of tubular objects shares a tree-like structures (Li et al., 2022a), such as blood vessel (Lyu et al., 2022), coronary artery (Kong et al., 2020), neuron images (Li and Shen, 2019), and the airway. Despite the powerful data-fitting ability of the deep learning models, they barely can learn the extrinsic topological features. For example, it is extremely difficult for deep learning models to represent the characteristic that "An object shares one single connected domain". The poor representation of the topology leads to the discontinuity problem that often happens in tubular object segmentation tasks. To alleviate this problem, previous works could be categorized into three dimensions: 1) Enhancing the representation ability of the deep learning models. 2) Designing surrogate objective functions to increase the topological accuracy. 3) Adding the explicit topological restriction to the optimization procedure.

As for the first aspect, Mosinska (Agarap, 2018) discriminated the higher-order topological features of linear structures by adding the restriction term to minimize the differences between the VGG19 descriptor of the ground-truth images and the corresponding prediction delineations. The Local Intensity Order Transformation (LIOT) (Shi et al., 2022) was dedicated to representing the tubular structure, which is invariant to the increasing change of the contrast. LIOT transformed the original image into a feature map with four channels, reinforcing the network to learn more discriminative features. A Joint Topology-preserving and Feature-refinement Network (JTFN) (Cheng et al., 2021) was designed to jointly handle the global topology and refined features via an iterative feedback learning strategy.

In addition to enhancing the representation ability of deep learning models, other works endeavored to achieve this goal

by designing surrogate objective functions to increase topological accuracy. Distance transform is a natural alternative (Ma et al., 2020) used in medical image analysis to uncover topology information. Kervadec et al. (2019) focused on the boundary of the distance map and designed the boundary loss to minimize the boundary variations between prediction and ground-truth via an integral approach. Xue et al. (2020) directly regressed the signed distance map (SDM), followed by the least absolute error loss to penalize the output SDM with the wrong sign. To repair the fractured areas, a convolutional distance transform (CDT) module (Zhang et al., 2022a) was proposed to be perceptible to the breakage. Other topological elements were also investigated in the tubular object segmentation. The centerline, bifurcation, local radius, curvature, normal, and so on are the ponderable characteristics for the representation of tubular structures. Wang et al. (Wang et al., 2020) presented tubular shapes as the envelope of a family of spheres with continuously changing center points and radii. They rephrased the distance map prediction as a quantified classification based on the center points and radii. Shit et al. (Shit et al., 2021) proposed a differentiable measurement, CenterlineDice (clDice), to simultaneously handle the over- or under-segmentation phenomenon. However, the centerline ground-truth of volumetric data is not easily acquired. Despite it can be approximately computed via the 3D skeletonization method (Lee et al., 1994), the curve-skeleton/medial axis extraction from 3D mesh representation itself is an open challenging and unsolved problem (Au et al., 2008; Dey and Sun, 2006; Cornea et al., 2005).

As for the third perspective, critical properties in the algebraic topology were gradually applied to add the explicit topological restriction to the optimization procedure. Persistent ho-

mology (Edelsbrunner et al., 2000; Cornea et al., 2005) is a topological data analysis method for calculating the robustness of topological features of a dataset at different scales. Persistent homology involves counting the number of topological features from different dimensions, termed *Betti numbers*. The Betti numbers are crucial topological invariants that count the number of features of dimension k , where β_0 , β_1 , and β_2 represent the number of connected components, the number of loops or holes, and the number of hollow voids, respectively. Clough et al. (Clough et al., 2020) first analyzed the Betti numbers as a set of *birth* and *death* threshold values for each topological feature, which can be represented in a barcode diagram. They then specified the desired topology of the segmented objects and adopted the Persistent homology upon the candidate segmentation to reinforce it to share the specified topological features. Similarly, Hu et al. (Hu et al., 2019) optimized the persistence diagram to emphasize one-dimensional topological features, i.e., the connected components. The Morse theory (Milnor, 2016), which captures the singularities of the gradient vector field of the likelihood function, was also investigated to identify critical global structures, including 1D skeletons and 2D patches (Hu et al., 2021). Zhang et al. explored several unsupervised geometry-based methods for tubular object reconstruction. The divergence prior (Zhang et al., 2019) and confluence property (Zhang et al., 2021b) were incorporated as the explicit constraints to improve reconstruction accuracy.

3. Challenge Setup

3.1. Dataset

3.1.1. Dataset Information

We collected and annotated 500 chest CT scans from multi sites. The CT scans were collected from the public LIDC-IDRI dataset (Armato III et al., 2011) and the Shanghai Chest hospital. The chest CT scans were acquired with three vendors including Philips iCT 256, GE MEDICAL SYSTEMS Light-Speed16, TOSHIBA Aquilion. The health conditions of the scanned subjects are diverse, ranging from healthy people to patients with severe pulmonary disease. The information of patients and scanners were manually anonymized. We then annotated the selected 500 CT scans by three experienced radiologists. The annotation details are carefully elaborated in the Section 3.1.2.

Each chest CT scan consisted of varying number of slices, ranging from 157 to 1125 with a slice thickness of 0.450-1.000 mm. The axial size of all slices is 512×512 pixels with a spatial resolution of 0.500-0.919 mm. The training set consists of 300 chest CT scans, while 50 and 150 CT scans for the validation set and test set, respectively. The properties of the training, validation, and test sets are summarized in Table 2.

3.1.2. Annotation Details

To acquire the fine-grained annotations of the airway from chest CT scans, each CT scan was firstly preprocessed by the models by (Zheng et al., 2021b; Çiçek et al., 2016; Yu et al., 2022b) trained on BAS dataset (Qin et al., 2021). The results are then ensembled by majority voting strategy to acquire the

preliminary segmentation result. These preliminary annotations were carefully delineated and manually double-checked by three radiologists with more than five years of professional experience to acquire the final refined airway tree structure, which took 60-90 minutes for each CT scan. The organizers spent almost one year to collect the 500 chest CT scans from different sites and carefully delineating the refined airway annotations for each scan. In the annotation process, we tried our best to ensure that each radiologist stuck to the same annotation principle and thus guaranteed the consistency of airway annotation.

3.2. Evaluation Metrics

As presented in Table 1, previous works adopted incomplete metrics to measure the performance. In this challenge, we established a comprehensive evaluation system. Followed by (Maier-Hein et al., 2022), we chose two types of metrics to evaluate the airway segmentation algorithms. The first is the *common segmentation task metric* and the second is the *specific property-related metric*. Specifically, we adopted the Dice Similarity Coefficient (DSC, %), Precision (%) to measure the overlap-based and voxel-wise segmentation accuracy. Let \mathcal{Y} and $\hat{\mathcal{Y}}$ denote the binary ground-truth label and the prediction result. The calculation of DSC and Precision can be formulated as below:

$$DSC = \frac{2|\hat{\mathcal{Y}} \cap \mathcal{Y}|}{|\mathcal{Y} + \hat{\mathcal{Y}}|}, \quad (1)$$

$$Precision = \frac{|\hat{\mathcal{Y}} \cap \mathcal{Y}|}{|\hat{\mathcal{Y}}|}, \quad (2)$$

where the $|\cdot|$ denotes the sum operation that returns the number of voxels. In addition, we also supplemented the evaluation of the voxel-wise segmentation accuracy with the Sensitivity (Sen, %) and Specificity (Sep, %). The Sen and Spe are respectively associated with the true positive (TP) volume fractions and the true negative (TN) volume fractions:

$$Sen = \frac{|TP|}{|TP + FN|} = \frac{|\hat{\mathcal{Y}} \cap \mathcal{Y}|}{|\mathcal{Y}|}, \quad (3)$$

$$Spe = \frac{|TN|}{|TN + FP|} = \frac{|I| - |\hat{\mathcal{Y}} \cup \mathcal{Y}|}{|I| - |\mathcal{Y}|}, \quad (4)$$

where the FN denotes the false negative volume fractions and FP is the false positive volume fractions. I represents the image to segment.

As for the specific property-related metric, topological completeness is the most critical attribute in the airway segmentation challenge. Following (Lo et al., 2012), we defined the Tree length detected rate (TD, %) and Branch detected rate (BD, %) to measure the performance of algorithms in detecting the airway. TD is defined as the fraction of the tree length that is detected appropriately with regard to the length of the airway tree in the ground-truth:

$$TD = \frac{T_{det}}{T_{ref}}, \quad (5)$$

Table 2. The summarized properties of the training, validation and test sets.

Dataset	Scanner	Slice Number	Slice thickness (mm)	Resolution (mm)
Training	Philips iCT 256, GE LightSpeed16	157-1125	0.500-1.000	0.514-0.919
Validation	Philips iCT 256, GE LightSpeed16	408-803	0.500-0.750	0.531-0.822
Test	Philips iCT 256, GE LightSpeed16, TOSHIBA Aquilion	257-830	0.450-0.801	0.500-0.859

where T_{det} denotes the total length of all branches detected in the prediction, and T_{ref} represents the whole tree length in the ground-truth. BD denotes the percentage of the airway branches that are detected correctly with association to the whole number of branches in the ground-truth:

$$BD = \frac{B_{det}}{B_{ref}}, \quad (6)$$

where B_{det} denotes the total correct branches detected in the prediction, and B_{ref} represents the whole number of branches in the ground-truth. Note that a branch in the prediction is identified as 'correct' only if more than 80% of centerline voxels extracted from the certain branch are within the ground-truth.

TD and BD are adopted to measure the topological completeness of segmentation algorithms, meanwhile, DSC and Precision are chosen as the topological correctness measurements. Since all metrics are normalized into [0%, 100%], the mean score calculation is adopted as the ranking criterion:

$$\begin{aligned} \text{Mean Score} &= 0.25 * TD + 0.25 * BD \\ &+ 0.25 * DSC + 0.25 * Precision. \end{aligned} \quad (7)$$

The implementation of the evacuation code can be found in our official ATM'22 repository⁸.

3.3. Participants

As an open-call challenge, the ATM'22 received **305** requests of registration before the MICCAI 2022 conference (September 22, 2022), among which **30** teams had successfully participated in the validation phase before the validation phase submission deadline (August 17, 2022). **22** teams had submitted the algorithm dockers successfully in the test phase before the test phase submission deadline (August 31, 2022). As detailed in Figure 4, these 22 teams are from 9 different countries. In this paper, the information of all teams are reported in Table 3. *Note that we assign a unique Team index for different teams in the validation phase and test phase respectively.* We adopted the Team index to describe their methodologies and results for simplicity. **10** representative algorithms were selected to be reported in detail. All teams agreed to include their methods and results for this publication. The selected algorithms were under the consideration of both novelty and evaluation performance.

3.4. Challenge Phases

The challenge includes three phases. First, to complete the registration and get access to the dataset, the participants should

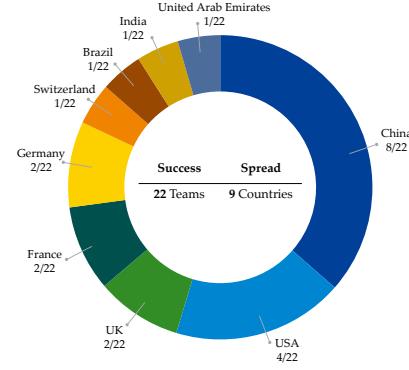


Fig. 4. The individual team statistics that fully participated successfully in ATM'22 challenge.

register on the official challenge website, sign the data agreement file then send the scanned file via e-mail to organizers, and keep their promise to abide by the challenge rules. Second, the participants should take part in the validation phase, where the binary predictions are required to submit. The evaluation is automatically executed on the platform of grand-challenge.org. The leaderboard is also presented online and updated promptly⁹. Third, the participants should take part in the final test phase to complete the full participation in this challenge. To guarantee the fairness of the competition, the packaged docker is the only valid submission in the test stage. The instructions for the preparations of dockers are provided in the ATM'22 challenge repository¹⁰. In this repository, we also provide the basic pipeline to package your models to the docker image, which is helpful to those who have little expertise with docker.

4. Methodologies

In this section, the overall comparison and analysis of different methods are first reported. Then, we elaborate on the top 10 methods ranked in the final test phase. For each method, we summarize the main contributions and report the implementation details. The potential directions of improvements are finally discussed. For simplicity, Table 4 lists the frequently-used notation. The order of method description is in accordance with the performance ranking of the final test stage.

⁹The leaderboard of the validation phase: <https://atm22.grand-challenge.org/evaluation/validation-phase-1-live-leaderboard/leaderboard/>

¹⁰Docker submission guideline of the test phase: <https://github.com/Puzzled-Hui/ATM-22-Related-Work/tree/main/baseline-and-docker-example>

⁸<https://github.com/Puzzled-Hui/ATM-22-Related-Work/tree/main/evaluation>

Table 3. The list and details of the participant teams who successfully participated in the validation phase (Full submission including 50 binary predictions and a qualified short paper was received before 17, Aug, 2022) and testing phase(Full submission including an executable docker and a qualified short paper was received before 31, Aug, 2022). For simplicity, the short team index is used in the main text for the reference of the different teams, e.g., V1 means Validation team 1, representing for the team of Sanmed_AI. Index is random. T1 means Test phase team 1, representing for the team of Sanmed_AI. Index depends on the successful submission order.

Validation index	Test index	Team name	Affiliation	Location
V1	T1	Sanmed_AI	A.I. R&D Center, Sanmed Biotech Inc.	Guangdong, China
V2	T4	YangLab	National Heart and Lung Institute, Imperial College London	London, UK
V3	T20	notbestme	School of information science and technology, Fudan University	Shanghai, China
V4	-	xiaqi	Hygeia Medical Technology Corporation	Beijing, China
V5	T22	cvthreedee	Department of Informatics, Karlsruhe Institute of Technology	Karlsruhe, Germany
V6	T3	LinkStartHao	College of Physics and Information Engineering, Fuzhou University	Fujian, China
V7	T7	neu204	College of Medicine and Biological Information Engineering, Northeastern University	Liaoning, China
V8	T12	miclab	Department of Computer Engineering and Industrial Automation, University of Campinas	Campinas, Brazil
V9	T8	blackbean	Shanghai AI Lab	Shanghai, China
V10	T19	Median	Median Technologies	Valbonne, France
V11	T9	lya	University of Science and Technology of China	Hefei, China
V12	T18	satsuma	Centre for Medical Image Computing, University College London	London, UK
V13	-	aialab	Shanghai AI Lab	Shanghai, China
V14	T6	timi	InferVision Medical Technology Co., Ltd.	Beijing, China
V15	T17	suci	School of Electronic Information and Electrical Engineering, Shanghai Jiao Tong University	Shanghai, China
V16	-	MiboTeam	Smart surgery, Alg Department, Microport	Shanghai, China
V17	T13	CITI-SJTU	School of Biomedical Engineering, Shanghai Jiao Tong University	Shanghai, China
V18	-	SEU	Key Laboratory of Computer Network and Information Integration, Southeast University	Nanjing, China
V19	T14	deeptree_damo	Alibaba DAMO Academy	Hangzhou, China
V20	T15	CBT_IITDELHI	Indian Institute of Technology Delhi(IITD)	Delhi, India
V21	T5	dolphins	Computer Science Department, National Engineering School of Brest	Brest, France
V22	T11	bms410	National Yang Ming Chiao Tung University Yangming Campus	Taipei, Taiwan, China
V23	-	airwayseg	Center of Product Research&Development, Keya Medical	Shenzhen, China
V24	-	atmmodeling2022	Pittsburgh Institute, Sichuan University	Sichuan, China
V25	T16	bwhacil	Applied Chest Imaging Laboratory, Brigham and Women's Hospital, Harvard Medical School	Boston, USA
V26	T10	dnai	Dianmei Technology	Shanghai, China
V27	-	mlers	R&D, Microport	Shanghai, China
V28	T2	fme	Fraunhofer Institute for Digital Medicine MEVIS	Bremen, Germany
V29	T21	biomedia	Mohamed bin Zayed University of Artificial Intelligence, UAE	Abu Dhabi, United Arab Emirates
V30	-	ntflow	Mathematics, Nanjing University	Nanjing, China

Table 4. Descriptions of the notation.

Notation	Description	Notation	Description
\mathbf{x}	Input	\mathbf{y}	Label
\mathcal{X}	Feature space	\mathcal{Y}	Label space
$\mathcal{L}(\cdot, \cdot)$	Loss function	$\hat{\mathbf{y}}$	Likelihood map

4.1. Overall Comparison

In this section, we focus on the overall comparison of the top 10 methods. Table 5 summarizes the main characteristics of the top 10 models, including the backbone architectures, the pre-process procedures, data augmentation strategies, and the post-process procedure. 3D UNet (Çiçek et al., 2016) and nnUNet (Isensee et al., 2021) are the common choices for the backbones. nnUNet (used by T14, T17) adopted the percentage clipping instead of the lung window to truncate CT values. Generally speaking, nnUNet conducts more comprehensive data augmentation compared with other methods. All top 10 methods perform the intensity normalization and a part of methods (T6, T4, T7, T1, T20) adopt the lung region extraction as the pre-process to crop the unrelated regions.

Table 6 presents a brief summary of the top 10 methods. In this table, we compare the key components and training strategies among these methods.

4.2. Participants Methods

Next, we will report the top 10 ranked methods and highlight the key novelty or component of each method.

4.2.1. A.timi

The team of timi (T6) proposed a well-designed three-stage deep learning pipeline for the airway segmentation, as seen in Figure 5. The WingsNet (Zheng et al., 2021b) was adopted as the backbone architecture. In the first stage, the network was trained with only the dice loss and the random crop sampling strategy. Their contribution is concentrated on the second stage, where the loss function and training procedure were carefully designed. Inspired by the local-imbalance-based weight (Zheng et al., 2021a), they designed a variant of the general union loss (GUL) (Zheng et al., 2021b), which adjusted the weight factor to focus on the small airways according to the different sizes of branches. They derived the w_p from the local foreground rate within the pre-defined neighborhood space. Furthermore, similar to (Zheng et al., 2021b; Zhang et al., 2022a), the voxels near the centerline of the airway were assigned more attention. This weight ratio, w_d , was defined as inverse square to the Euclidean distance from the current position of the voxel to its nearest voxel on the centerline. In conclusion, the final weight of each voxel could be defined as $w = w_p + w_d$, and the loss function was defined as below:

$$\mathcal{L}(\mathbf{y}, \hat{\mathbf{y}}) = 1 - \frac{\sum_{i=1}^N w_i \hat{\mathbf{y}}_i^\gamma \mathbf{y}_i}{\sum_{i=1}^N w_i (\alpha \hat{\mathbf{y}}_i + \beta \mathbf{y}_i)}, \quad (8)$$

where the γ, α, β were set to 0.7, 0.2, and 0.8 respectively. To improve the efficiency of the training procedure, the small airway over-sampling and the skeleton-based hard-mining were adopted in different stages. The small airway over-sampling strategy represents that the cropped patches around the small airways (diameter less than 2 pixels) were densely over sam-

Table 5. Characteristics of the top 10 models. Abbr: Lung Window (LW), Lung Region Extraction (LRE), Intensity Normalization (Norm), Rotation (R), Flip (F), Scale (S), Jitter (J), Gaussian Noise (GN), Brightness (B), Gamma (GA). It is noted that the largest connected component extraction is executed on all methods by the official organizers to evaluate the final metrics.

Team	Backbone	Pre-Process			Data Augmentation					Others	Post-Process
		LW	LRE	Norm	Spatial-based	Intensity-based	Others				
R	F	S	J	GN	B	GA					
T6	WingsNet (Zheng et al., 2021b)	[-1000,500]	✓	✓						N/A	fill holes
T4	Attention UNet (Oktay et al., 2018)	[-1200,600]	✓	✓	✓	✓				N/A	region grow
T14	nnUNet (Isensee et al., 2021)	N/A		✓	✓	✓	✓	✓	✓	N/A	TTA
T7	3D UNet (Çiçek et al., 2016)	[-1000,600]	✓	✓		✓				N/A	ensemble
T1	3D UNet (Çiçek et al., 2016)	[-1400,200]	✓	✓		✓				N/A	N/A
T5	3DResNet (Tran et al., 2018)	N/A		✓		✓		✓		pseudo label	N/A
T17	nnUNet (Isensee et al., 2021)	N/A		✓	✓	✓		✓	✓	N/A	N/A
T20	Transformer	N/A	✓	✓						N/A	Resize
T9	nnUNet (Isensee et al., 2021)	[-1200,600]		✓	✓	✓			✓	deformation	N/A
T10	3D UNet (Çiçek et al., 2016)	[-1028,266]		✓	✓					N/A	ensemble

pled. The prediction of the first stage was the prerequisite of the skeleton-based hard-mining strategy. The misclassified voxels on the skeleton were defined as the hard-mining voxels, from where the cropped patches were densely extracted for training usage. In the third stage, the variant of GUL, combined

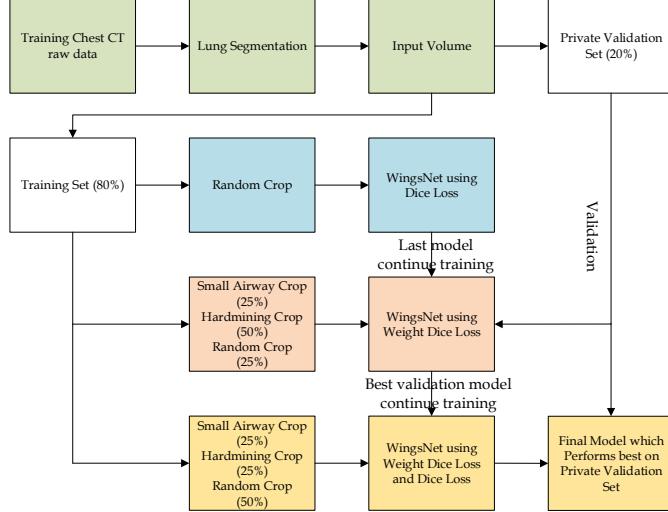


Fig. 5. The three-stage deep learning pipeline for the airway segmentation by the team *timi*(T6).

with a weighted common Dice loss was adopted to fine-tune the model. The main novelty of T6 method can be summarized as: 1) Adopt the local-imbalance and centerline-distance based weight to dynamically re-weight each voxel. 2) Design the small airway oversampling and skeleton-based hard-mining strategies.

4.2.2. B.YangLab

The team of YangLab (T4) designed a novel fuzzy attention gate (FAG) and the Jaccard continuity and accumulation mapping (JCAM) loss for pulmonary airway segmentation. The fuzzy attention gate was designed to tackle with the uncertainty

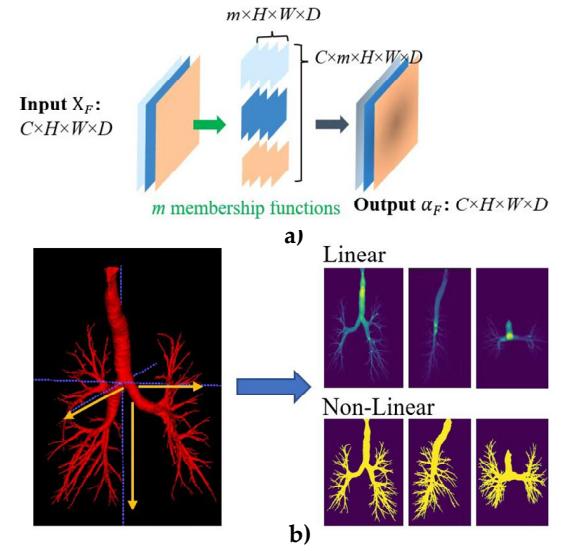


Fig. 6. The proposed two modules by the team *YangLab* (T4). a) fuzzy attention gate (FAG). b) Jaccard continuity and accumulation mapping (JCAM) loss.

of annotations and the inhomogeneous intensity within the airway regions.

They followed the paradigm of the attention gate (Oktay et al., 2018) while replacing the sigmoid function with the trainable Gaussian membership functions. The Gaussian membership functions are favored to specify the deep fuzzy sets due to the smoothness and concise notation. Moreover, they advocated designing the channel-specific attention gate instead of assigning the same coefficient to all channels that belong to the same spatial feature point. This way aimed to extract reliable feature representations in different channels since they are processed by different kernels. Motivated by the strength of the uncertainty reduction in original data by fuzzy logic and neural networks (Deng et al., 2016), they applied the fuzzy logic with the FAG using trainable Gaussian membership functions to assist the neural networks to focus on the regions of interests. The

diagram of the FAG is demonstrated in Figure 6.a). Specifically, assume that X shares the shape of $C \times D \times H \times W$, each feature map was filtered by M Gaussian membership functions with the trainable mean $\mu_{m,c}$, and standard deviation $\sigma_{m,c}$:

$$f_{m,c}(X, \mu, \sigma) = e^{\frac{-(X_c - \mu_{m,c})^2}{2\sigma_{m,c}^2}}, \quad (9)$$

where $m = 1, 2, \dots, M$, and $c = 1, 2, \dots, C$. The operator 'OR' was adopted to aggregate the fuzzy sets. To guarantee differentiability, they used the max operation instead. The overall fuzzy attention gate upon the c -th channel can be finally derived as:

$$f_c(X, \mu, \sigma) = \max_m(e^{\frac{-(X_c - \mu_{m,c})^2}{2\sigma_{m,c}^2}}) \quad (10)$$

The Jaccard continuity and accumulation mapping (JCAM) loss was another contribution that proposed to pay more attention to the continuity of the airway predictions. As seen in Figure 6.b), The JCAM estimated two topological types of errors between the prediction and the ground-truth. The first is the projection error, which was executed through the coronal, sagittal, and axial planes. The second error, termed \mathcal{L}_C measures the difference of centerlines extracted from the prediction and the ground-truth, respectively. The projection error was split into two parts, the linear accumulation maps (LAM), and the non-linear transformation of the linear accumulation maps (nLAM) performed by the tanh operation. The overall loss function they used can be summarized as:

$$\begin{aligned} \mathcal{L}(\mathbf{x}, \mathbf{y}) = & \alpha \mathcal{L}_J(\mathbf{x}, \mathbf{y}) + \beta \mathcal{L}_C(\mathbf{x}, \mathbf{y}) + \varphi \mathcal{L}_{CE}(\mathbf{x}, \mathbf{y}) + \\ & \gamma \mathcal{L}_{LAM}(\mathbf{x}, \mathbf{y}) + \delta \mathcal{L}_{nLAM}(\mathbf{x}, \mathbf{y}), \end{aligned} \quad (11)$$

where the \mathcal{L}_J denotes the Jaccard loss function, the \mathcal{L}_{CE} denotes the Cross-Entropy loss function. The α, β, γ was set to 1, and the φ, δ was set to 0.3 in all experimental settings. In addition, they adopted the region growing method to fine-tune the trachea part. In conclusion, the main novelty of T4 method lies in the channel-specific fuzzy attention layer and the JCAM loss that designed to enhance the continuity of airways.

4.2.3. C.deeptree_damo

The team of deeptree_damo (T14) proposed a two-stage framework for airway segmentation, as demonstrated in Figure 7. In the first stage, to tackle the intra-class imbalance between the different levels of airway branches, they formulated the binary segmentation task to the multi-class segmentation task in accordance with the airway branch size. Specifically, they preliminarily decomposed the ground-truth of the pulmonary airway label into three classes: 1) The trachea and two main bronchi are classified as large-level airways, \mathcal{Y}^L . 2) From the bronchial up to the segmental airways are considered as the middle-level airways, \mathcal{Y}^M . 3) The rest of the peripheral airways, whose average lumen diameter < 2 mm, are small-level airways, \mathcal{Y}^S . The anatomy-aware multi-class (AMC) airway segmentation was formulated as follows:

$$\mathcal{L}_{first}(\hat{\mathcal{Y}}, \mathcal{Y}) = \mathcal{L}(\hat{\mathcal{Y}}^L, \mathcal{Y}^L) + \mathcal{L}(\hat{\mathcal{Y}}^M, \mathcal{Y}^M) + \mathcal{L}(\hat{\mathcal{Y}}^S, \mathcal{Y}^S), \quad (12)$$

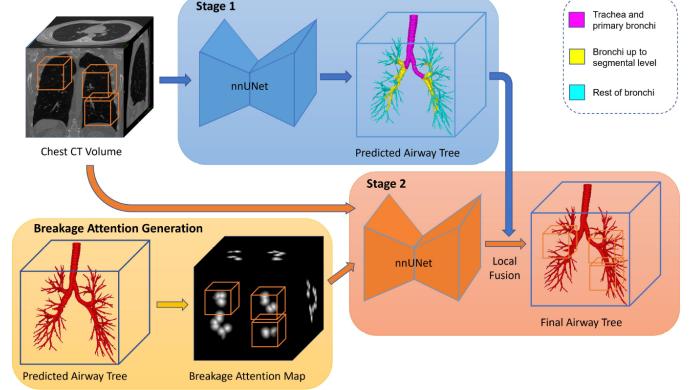


Fig. 7. Overall workflow of the proposed two-stage airway segmentation by team deeptree (T14). The coarse airway is first extracted by the anatomy-aware deep network. Secondly, the breakage map is calculated by the morphological operations to connect the breaking branches.

where they applied the general union loss function (Zheng et al., 2021b) in the AMC framework. The AMC framework assisted in explicitly differentiating the anatomic context of different branches in the model training procedure. Thus, each class owned a distinguished airway branch size range and the class-specific features could be naturally learned.

Secondly, to deal with the breakage phenomenon that happened in the first stage, they calculated the breakage attention maps and simulated the domain-specific breakage training data. These preparations aimed to accomplish the deep breakage connection. The breakage attention map, termed \mathcal{H} , was designed to highlight the breaking area via the second-shortest distance calculation between background points to all separate connected components in a prediction. \mathcal{H} was further normalized by the parameterized Sigmoid function $\bar{\mathcal{H}} = \text{Sigmoid}(5 - \mathcal{H})$, where $\bar{\mathcal{H}}$ formed a normal 3D ball-like intensity distribution at a breakage location. Further, the domain-specific breakage simulation was performed to acquire sufficient breakage condition data \mathcal{Y}^B from the ground-truth for the 2nd-stage breakage-connection network training. This network was fed with the fusion of X and $\bar{\mathcal{H}}$ and predict the breakage $\hat{\mathcal{Y}}^B$:

$$\hat{\mathcal{Y}}^B = \mathcal{F}(X, \bar{\mathcal{H}}; \mathbf{W}), \quad (13)$$

$$\mathcal{L}_{second} = \mathcal{L}(\hat{\mathcal{Y}}^B, \mathcal{Y}^B), \quad (14)$$

where the $\mathcal{F}(\cdot)$ and \mathbf{W} denote the 2nd-stage breakage-connection network and the corresponding network parameters, respectively. Finally, the output of the 1st- and 2nd-stage are merged to generate the whole airway tree prediction. In summary, the breakage-connection network based on breakage attention maps is the main novelty of T14 method.

4.2.4. D.neu204

The team of neo204 (T7) developed a two-stage network for airway segmentation as described in Figure 8. In stage 1, the 3D computed tomography (CT) scans and the full airway annotation was fed into the proposed network, and the 3D computed tomography (CT) scans and partial intra-pulmonary airway annotation were fed in stage 2. Then the results of the two

stages were merged as the final prediction. 3D UNet (Çiçek

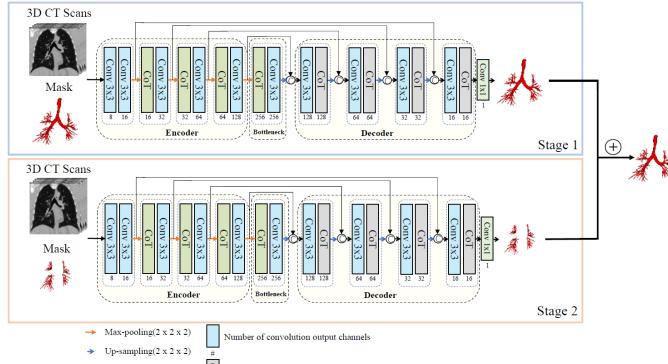


Fig. 8. The proposed two-stage network for the airway segmentation by team neu204 (T7). The first stage trains the whole airway while the second stage refine the airways inside the lungs. The CoT refers to the contextual transformer block.

et al., 2016) was chosen as the basic neural network architecture in both two stages. They replaced one of the $3 \times 3 \times 3$ convolutional kernel layers with the emerging contextual transformer (CoT) (Li et al., 2022b) module in both encoder and decoder parts. The design of the CoT capitalizes on the contextual information among input keys to guide the learning of the dynamic attention matrix and thus strengthens the capacity of visual representation. The CoT module aimed to exploit the rich contexts among the neighbor keys, which is beneficial to highlighting the topological connection in the airway tree structure. In conclusion, the independent processing of airways based on their locations and introduction of the CoT are the main contribution.

4.2.5. E.Sanmed_AI

The team of Sanmed_AI (T1) designed a modified attention UNet for pulmonary airway tree modeling. First, a channel- and spatial-wise attention module, the Project & Excite (PE) (Rickmann et al., 2019) module was embedded into each layer, following the common convolution operations. PE squeezes the feature maps along different axes of slices separately to retain more spatial information rather than perform global average pooling. The extracted spatial information is further used in the excitation step. It helps the network to learn the important feature information of the airways and improve the generalization ability of the model.

Secondly the coordinate attention mechanism was applied on the last decoder layer. It recorded the local information of its corresponding patch in the whole image. Due to the GPU memory limit, 3D CT images were cropped into sub-volumes as model inputs, and such patch-based training strategy caused a loss of position and context information. The coordinate map was introduced to make up such information loss. It was inserted to the high-dimension feature maps of the last decoder because they share the same spatial dimension. Similar to other airway segmentation works (Qin et al., 2020; Zhang et al., 2021a, 2022b), the Dice with Focal loss was applied in

all experiments. To sum up, the fusion of attention map and the coordinate is the key design of T1 method.

4.2.6. F.dolphins

The team of dolphins (T5) proposed a 3DResNet (Tran et al., 2018) with deep supervision model for the segmentation of pulmonary airways. The convolutional block consisted of convolutional layers with Batch Normalization (Ioffe and Szegedy, 2015) and ReLU activation function to extract the different feature maps from each block on the encoder side. The residual block was inserted at each encoder block with skip connection. The feature concatenation was executed at each encoder and decoder block except the last 1×1 convolutional layer. The three-level deep-supervision technique was applied to generate the aggregated loss between ground-truth and prediction. In addition, they used the nnUNet for one-fold cross-validation of training volumes with ground-truth and validation volumes with pseudo labels. In summary, the introduction of deep supervision and the leverage of pseudo labels are the key components of T5 method.

4.2.7. G.suqi

The team of suqi (T17) proposed a Dense-UNet based on the nnUNet for airway segmentation. As the airway is the fine-grained structure, to prevent the network from losing too much information during upsampling and downsampling, they used transposed convolution to realize upsampling, and used convolution with a step size of 2 to realize downsampling. Further, to enhance the feature embedding and alleviate the feature forgetting, the dense block (Huang et al., 2017) was introduced into the nnUNet. Specifically, the encoder mapped the features into the hidden space with the size of (6,5,5) and all $1 \times 1 \times 1$ convolutions in each dense block had 256 channels. They still used the output results of the encoder except the lowest two layers to predict the probability map and obtain the supervision signal, which is conducive to the convergence of the network. In conclusion, the integration of the dense block into nnUNet is the key solution proposed by T17 method.

4.2.8. H.notbestme

The team of notbestme (T20) developed a multi-resolution network for airway segmentation. It implemented a three-axis fusion, computationally inexpensive self-attention mechanism. The multi-resolution network was designed to enhance the multi-scale mining ability of the model and adapt to the segmentation task of different objects due to the significant difference between the trachea and small airways. Specifically, they used the interpolation algorithm to resize the original input to different resolution sizes and fed them into subnetworks whose weights are not shared.

As transformers had expanded into the field of computer vision (Dosovitskiy et al., 2020; Liu et al., 2021), the shortcomings of CNNs in capturing global dependencies have been paid more and more attention by researchers. However, it is impractical to directly transfer current transformer structures to the volumetric medical images due to the limitation of computational resources. To deal with this problem, they designed

Table 6. Brief summary and comparison of the top 10 methods. It includes the concise description of the method and the training strategy. The order of ROI size is (depth, height, width).

Team name	Main novelty/contribution of the method	Training strategy
timi, T6	<ul style="list-style-type: none"> Use WingsNet (Zheng et al., 2021b) as the backbone, adopt the local-imbalance-based (Zheng et al., 2021a) and the centerline-distance-based weight (Zheng et al., 2021b; Zhang et al., 2022a) to dynamically re-weight each voxel. Design the small airway oversampling and skeleton-based hard-mining strategies. 	<ul style="list-style-type: none"> ROI size: $128 \times 128 \times 128$. Batchsize is set to 24. AdamW optimizer with learning rate 0.0001 is used. Dice loss and random crop were used in the training stage 1 for 100 epochs. The variant of the GUL and the designed sampling strategies were adopted in the training stage 2 for 50 epochs. The training stage 3 continued for 30 epochs with the combination of the variant of GUL and 0.5 * Dice loss.
YangLab, T4	<ul style="list-style-type: none"> Take the Attention U-Net (Oktay et al., 2018) structure as the backbone, propose the channel-specific fuzzy attention layer combined with fuzzy logic. The JCAM loss is proposed to enhance the continuity and completeness of airways. Correspondingly, a CCF-score is designed for the measurement. 	<ul style="list-style-type: none"> Adopt the average size of the 3D minimum bounding box of the ground-truth as the patch size. Total epoch is set to 200. Initial learning rate is 0.001 and a decay of 0.5 at the 20th, 50th, 80th, 110th and 150th epoch. The online smart patch sampling strategy is used in the training procedure. It ensures the cropped patches own enough centerline or foreground voxels.
deeptree_damo, T14	<ul style="list-style-type: none"> Take the nnU-Net (Isensee et al., 2021) as backbones for both two stages. Formulate the anatomy-aware multi-class segmentation task for airways that share large context variation of different branches. Introduce a breakage attention map that highlights the breaking regions. Train a breakage-connection network with the simulated data. 	<ul style="list-style-type: none"> A modified nnUNet is adopted. Reduce the downsampling operation to 3 times, and enlarge the width of the convolutional layers at the deeper blocks to increase capacity. A linear time distance transform algorithm (Maurer et al., 2003) is adopted to calculate the breakage attention map. The curve skeleton and skeleton-to-volume propagation algorithm (Jin et al., 2016) is applied to create simulated training samples for deep breakage connection.
neu204, T7	<ul style="list-style-type: none"> Take the 3D-UNet (Çiçek et al., 2016) as basic architectures for both two stages. The 1st stage processes the full airway tree while the 2nd stage only handle the airway inside the lungs. The contextual transformer (CoT) (Li et al., 2022b) module is embedded in both encoders and decoders. 	<ul style="list-style-type: none"> ROI size: $64 \times 192 \times 192$ and $64 \times 128 \times 128$ for the 1st- and 2nd- stage training, respectively. The CoT replaces one of the $3 \times 3 \times 3$ convolution, followed by the IN (Ulyanov et al., 2016) and ReLU (Agarap, 2018). Adam optimizer with an initial learning rate of 0.01 is adopted. Exponential decay solution (rate:0.9) is used after each epoch.
Sanmed.AI, T1	<ul style="list-style-type: none"> Take the 3D-UNet (Çiçek et al., 2016) as the backbone and add the attention mechanisms. Project & Excite (PE) (Ricckmann et al., 2019) module was embedded into each layer to recalibrate feature maps. The coordinate map is applied to compensate for the information loss due to the patch-wise training procedure. 	<ul style="list-style-type: none"> ROI size: $128 \times 128 \times 128$. The coordinate map is normalized to $[0, 1]$ in three axes. The warm-up cosine annealing learning strategy is used. Learning rate ranges from $1e-5$ to 0.01 with cycle period of 20 epochs and decay ratio is 0.5. Choose Dice with Focal loss function in all experiments.
dolphins, T5	<ul style="list-style-type: none"> Take the 3DResNet (Tran et al., 2018) as the backbone. The deep supervision is introduced to generate the aggregated loss. Residual block is inserted via the skip connection. Use nnUNet to perform one-fold cross-validation of training volumes with ground-truth and validation volumes with pseudo labels. 	<ul style="list-style-type: none"> ROI size: $96 \times 160 \times 160$. Batchsize is set to 2. The learning rate of 0.0004 with Adam optimizer is used. Total epoch is set to 200 with an early stop solution of 20 epochs.
sungi, T17	<ul style="list-style-type: none"> Take the nnUNet (Isensee et al., 2021) as backbone with the introduction of the dense block (Huang et al., 2017). Adopt some immediate results to conduct deep supervision that is conducive to the convergence of the network. 	<ul style="list-style-type: none"> ROI size: $96 \times 160 \times 160$. The coordinate map is normalized to $[0, 1]$ in three axes. The warm-up cosine annealing learning strategy is used. Learning rate ranges from $1e-5$ to 0.01 with cycle period of 20 epochs and decay ratio is 0.5. Choose Dice with Focal loss function in all experiments.
nothestme, T20	<ul style="list-style-type: none"> Adopt the transformer structure as backbone. To reduce computational cost, a 2.5 D Compute-cheap Gated Global Attention is designed. A multi-resolution network is designed to enhance multi-scale mining ability. 	<ul style="list-style-type: none"> ROI size: $32 \times 160 \times 160$. The final 5362 image patches are extracted for training. Batchsize is 2 and total epoch is 50. CE loss for the first 5 epochs and then use pixel-wise weighted CE loss derived from categorical information distribution. Adam optimizer with a learning rate of 0.00005 is used for training.
ilya, T9	<ul style="list-style-type: none"> Take the nnUNet (Isensee et al., 2021) as the backbone. Besides original data augmentation, Elastic and brightness transformation are introduced. The small branches receive more attention in the sampling procedure, and a combination of TopK and Dice loss are designed to conduct hard mining. 	<ul style="list-style-type: none"> The Adam optimizer with an initial learning rate of $3e-4$ is used. Total epoch is 1000 and Batchsize is set to 2. The TopK loss is intractable, thus the combined loss function is only used to fine-tune the network.
dnai, T10	<ul style="list-style-type: none"> Apply the 3D UNet (Çiçek et al., 2016) for coarse segmentation and Attention UNet (Oktay et al., 2018) for the refine usage. 	<ul style="list-style-type: none"> ROI size: $96 \times 160 \times 160$ for the coarse stage, $48 \times 80 \times 80$ for the refining stage. 75% labeled patches and 25% random patches are sampled for the coarse stage training while 25% random patches and 75% patches contained peripheral airways are extracted for fine part.

the 2.5D Compute-cheap Gated Global Attention for 3D medical images. The self-attention calculation among three matrices (Q, K, V) followed the standard criterion (Vaswani et al., 2017) while they adopted the Pooling operation to reduce feature dimension. In addition, they used the attention map to enhance the expression of the Value matrix (V) via the Gated Linear Unit (Dauphin et al., 2017) mechanism. The main novelty of T20 method is the proposed 2.5D compute-cheap gated global attention that introduced into the transformer.

4.2.9. I.lya

The team of Ilya (T9) applied an improved nnUNet for the airway segmentation. More data augmentation, a specified voxel sampling strategy, and a modified loss function were incorporated into the nnUNet to improve the segmentation performance of the small peripheral bronchi. Besides the transformation by nnUNet config, they adopted the elastic transformation and brightness transformation to conduct data augmentation. Further, they replaced the percentage clipping with a fixed CT window. The window was set to [-1200,600], and the maximum HU value was randomly selected from 400 to 600 for data augmentation in the training stage.

To handle the intra-class imbalance problem of the airways, they made efforts from two aspects. For one thing, they discarded the random sample solution and located the sampling central points more on the small branches. For another, the deep neural networks intend to fit the major class, thus the small peripheral bronchi are easily missed. They applied a combination of the TopK loss function and the dice loss function:

$$\mathcal{L}(\mathbf{y}, \hat{\mathbf{y}}) = \mathcal{L}(\mathbf{y}, \hat{\mathbf{y}})_{TopK} + \mathcal{L}(\mathbf{y}, \hat{\mathbf{y}})_{dice}, \quad (15)$$

$$\mathcal{L}(\mathbf{y}, \hat{\mathbf{y}})_{TopK} = -\frac{1}{K} \sum_{i=1}^K \mathbf{y}_i \log(\hat{\mathbf{y}}_i) + (1 - \mathbf{y}_i) \log(1 - \hat{\mathbf{y}}_i), \quad (16)$$

where the TopK loss aimed to force the network to focus on the hard samples. In summary, the key design of T9 method lies in paying more attention on small branches in the sampling procedure and the compound loss function.

4.2.10. J.dnai

The team of dnai (T10) designed a two-stage coarse-to-fine framework for airway segmentation. In the coarse stage, they chose the 3D UNet (Çiçek et al., 2016) as the backbone and used the Instance Normalization (Ulyanov et al., 2016), ConvTranspose operation instead of the original components. The patch size was $96 \times 160 \times 160$ for the coarse stage training, with 75% of patches labeled and 25% patches sampled randomly.

In the refining stage, they adopted a relatively shallow network based on the Attention UNet (Oktay et al., 2018). The patch size also a shared smaller scale, $48 \times 80 \times 80$. However, These patches were sampled with 25% randomly and 75% contained high-level airway branches, which demonstrated that in the refining stage, they aimed to increase the segmentation performance of the peripheral airways. The combination of cross entropy and Dice loss was used in all experiments. The two-stage coarse-to-fine framework is the main design of T10 method.

4.3. Consensus on Effective Methods

After introducing the main contributions on individual methods, we conclude some consensus of effective methods to deal with the challenges of pulmonary airway segmentation.

Solution 1: Multi-stage Solution (S1). The multi-stage training pipeline has demonstrated the advantage of pulmonary airway segmentation. First, the lung region extraction is a simple yet effective hard attention mechanism to focus on related regions, which can deal with the leakage challenge (C1). Secondly, the initial training stage can obtain the preliminary predictions, which provide useful information for the following training stage to acquire a more complete airway tree structure, such as hard sample mining (T6) and breakage attention map calculation (T14).

Solution 2: Improve Intra-class Discrimination (S2). Improving the intra-class discrimination ability is a reasonable choice to tackle the C2, breakage challenge. The extra information can be extracted from the CT scans and binary airway annotation, such as the centerline points (T4, T6, T14), radius (T14), and spatial location (T7) of the branches. These additional knowledges can be leveraged from several aspects to improve intra-class discrimination: 1) Over-sampling. T4 proposed a smart patch sampling strategy to put more emphasis on peripheral airways based on the centerline points ratio. 2) Differentiate the training procedure between airway branches. T14 formulated a multi-class task between the different levels of airway branches, hence, the multi-level discriminative features are extracted from different branches of airways. T7 designed a two-stage framework, the first stage was for the whole airway tree segmentation while the second stage was only trained with the partial intra-pulmonary airways.

Solution 3: Novel Objective Functions (S3). Designing novel loss functions that emphasize topology completeness and topology correctness is beneficial to deal with the challenge of Leakage (C1) and Breakage (C2), Robustness and Generalization (C3). For example, T4 proposed a JCAM loss function that focuses on topological errors. The JCAM measures the projection error and centerline detected ratio error. T14 proposed the breakage attention map to construct the objective function used in the breakage-connection network. T6 adopted the variant of the general union loss to force the network to enjoy superiority to the continuity. The objective functions that pay attention to the topology could harness the high-level feature of an airway tree structure, which may improve the robustness and generalization ability of the algorithms.

5. Results

In this section, we reported the obtained results in the validation phase (Table 7) and the test phase (Table 8, Table 9), respectively. The results of the validation phase were stated from an overall statistical perspective while the analysis of the test phase results focused on the top 10 algorithms, which is in accordance with Section 4. We conducted an elaborated comparison among the top 10 algorithms, including quantitative and qualitative analysis, model complexity analysis, deep analysis

Table 7. Quantitative results on the validation set of ATM'22 challenge achieved by participants. The results are reported in the format of mean \pm standard deviation. In the validation set, The number of sample CT scans is 50, and the total number of airway branches is 212.48 ± 52.10 . The results are first post-processed by the largest component extraction and eventually reported by the Tree length detected rate (i.e., TD, %), Branch detected rate (i.e., BD, %), Dice Similarity Coefficient (i.e., DSC, %), Precision (%), Sensitivity (i.e., Sen, %) and Specificity (i.e., Spe, %).

Team name	TD (%) \uparrow	BD (%) \uparrow	DSC (%) \uparrow	Precision (%) \uparrow	Sen (%) \uparrow	Spe (%) \uparrow
Sanmed_AI (V1)	89.874 ± 6.609	85.102 ± 10.085	95.555 ± 1.376	95.551 ± 2.385	95.644 ± 2.483	99.986 ± 0.008
YangLab (V2)	94.406 ± 3.798	91.302 ± 6.439	95.926 ± 1.249	97.180 ± 1.941	94.766 ± 2.270	99.991 ± 0.006
notbestme (V3)	85.756 ± 7.560	79.181 ± 11.514	95.212 ± 1.893	95.706 ± 1.986	94.824 ± 3.511	99.987 ± 0.007
suqi (V4)	80.680 ± 7.475	70.555 ± 10.284	94.713 ± 1.178	96.191 ± 1.431	93.302 ± 1.705	99.989 ± 0.004
cvhthreedee (V5)	87.856 ± 8.482	80.291 ± 13.996	94.939 ± 1.597	96.839 ± 1.948	93.188 ± 2.890	99.990 ± 0.006
LinkStartHao (V6)	89.764 ± 7.611	83.439 ± 12.780	94.392 ± 1.674	95.758 ± 2.091	93.140 ± 2.860	99.987 ± 0.007
neu204 (V7)	94.441 ± 4.008	92.279 ± 5.987	95.800 ± 1.142	93.451 ± 1.929	98.302 ± 1.221	99.979 ± 0.007
miclab (V8)	82.865 ± 5.861	74.223 ± 40.124	95.501 ± 1.007	96.557 ± 1.575	94.507 ± 1.785	99.990 ± 0.005
blackbean (V9)	89.422 ± 7.675	83.210 ± 12.401	94.554 ± 1.778	95.461 ± 2.219	93.730 ± 2.747	99.986 ± 0.008
Median (V10)	88.765 ± 7.669	82.441 ± 12.040	94.667 ± 1.699	95.642 ± 2.088	93.769 ± 2.579	99.987 ± 0.007
Iya (V11)	89.613 ± 7.395	83.583 ± 12.306	94.371 ± 1.566	95.146 ± 2.661	93.689 ± 2.339	99.985 ± 0.009
satsuma (V12)	89.783 ± 7.734	83.571 ± 12.822	94.649 ± 1.588	95.574 ± 2.233	93.817 ± 2.623	99.986 ± 0.008
ailab (V13)	90.989 ± 6.912	86.102 ± 11.011	94.624 ± 1.737	95.211 ± 2.334	94.111 ± 2.572	99.985 ± 0.008
timi (V14)	95.866 ± 3.366	94.921 ± 4.399	93.987 ± 2.337	94.041 ± 2.837	94.008 ± 3.075	99.981 ± 0.010
sen (V15)	89.295 ± 7.587	83.269 ± 12.300	93.614 ± 2.306	95.000 ± 2.473	92.386 ± 3.879	99.985 ± 0.009
MibotTeam (V16)	89.293 ± 8.577	81.184 ± 13.734	94.772 ± 1.275	95.596 ± 2.353	94.044 ± 2.354	99.986 ± 0.008
CITI-SJTU (V17)	91.840 ± 6.000	87.239 ± 9.724	92.943 ± 1.595	91.132 ± 2.526	94.891 ± 1.981	99.971 ± 0.011
SEU (V18)	84.704 ± 10.573	76.672 ± 16.599	93.776 ± 1.885	95.240 ± 2.561	92.468 ± 3.325	99.985 ± 0.009
deeptree_damo (V19)	97.369 ± 2.957	96.717 ± 3.711	92.812 ± 1.488	87.324 ± 2.652	99.090 ± 0.449	99.955 ± 0.013
CBT_IITDELHI (V20)	73.928 ± 10.847	65.672 ± 12.250	94.336 ± 1.897	97.127 ± 1.505	91.812 ± 3.768	99.992 ± 0.004
dolphins (V21)	83.478 ± 12.616	77.496 ± 15.540	93.228 ± 2.160	95.961 ± 1.652	90.814 ± 4.478	99.988 ± 0.006
bms410 (V22)	60.705 ± 8.762	46.924 ± 6.555	88.394 ± 2.562	99.515 ± 1.464	79.635 ± 4.252	99.999 ± 0.004
airwayseg (V23)	78.128 ± 16.110	72.714 ± 16.955	92.828 ± 3.555	95.136 ± 2.000	90.939 ± 6.606	99.985 ± 0.007
atmmodeling2022 (V24)	63.540 ± 20.759	56.034 ± 21.56	92.416 ± 4.053	97.156 ± 2.503	88.556 ± 7.644	99.992 ± 0.008
bwhacil (V25)	72.524 ± 9.621	58.391 ± 9.181	87.628 ± 2.105	83.076 ± 3.826	92.963 ± 3.532	99.941 ± 0.018
dnai (V26)	87.596 ± 5.529	79.467 ± 9.031	91.341 ± 1.409	91.234 ± 2.159	91.473 ± 1.205	99.973 ± 0.009
mlers (V27)	74.207 ± 12.406	67.412 ± 14.011	90.702 ± 1.589	89.926 ± 3.309	91.636 ± 2.490	99.967 ± 0.014
fme (V28)	74.785 ± 6.849	55.643 ± 7.580	87.053 ± 1.408	87.978 ± 1.965	86.213 ± 2.401	99.963 ± 0.010
biomedia‡ (V29)	60.598 ± 12.005	51.359 ± 11.437	74.778 ± 10.255	91.687 ± 1.495	64.424 ± 14.166	99.982 ± 0.005
ntflow‡ (V30)	28.372 ± 6.008	21.930 ± 5.304	86.452 ± 3.299	95.834 ± 1.595	78.914 ± 5.320	99.990 ± 0.004

‡ Their submissions before the deadline cannot be correctly evaluated by grand-challenge.org. We downloaded their results and evaluated on local devices.

of the relationships among metrics, and ranking stability analysis. It should be noticed that the airway segmentation task itself is challenging. Our analysis only focused on the results of the top 10 methods to derive critical observation and effective methods, then provide insights for the research community. That means even the tenth-place produced better results than other successful participation teams (i.e., better than the average of all valid results).

5.1. Validation Phase

Overall Outcome: 50 CT scans without the pulmonary airway ground-truth are provided for evaluation in the validation phase. The participants were required to submit the binary prediction results to the platform of grand-challenge.org, where the evaluation was automatically executed. In the validation phase¹¹, we received 30 valid submissions from different teams, 23 submissions of them are detailed enough to report their main architectures and loss function, which can be seen in Figure 9. All the results are derived from the best submission of each team before the deadline of the validation phase.

Architectures and Loss Functions: As seen in Figure 9,

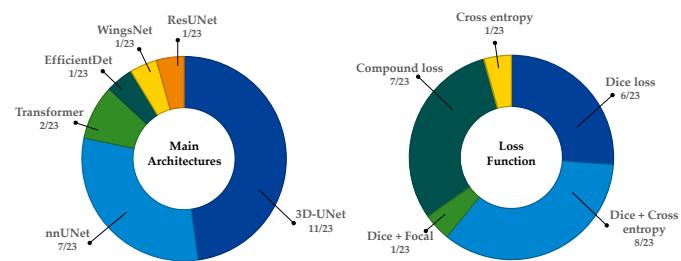


Fig. 9. Main network architectures (left) and loss function (right) adopted by the participants in the validation phase ($n = 23$ teams).

the 3D UNet (Çiçek et al., 2016) and the nnUNet (Isensee et al., 2021) were the popular network architectures adopted by the participants. Two teams adopted the transformer architectures (Liu et al., 2021; Tang et al., 2022) as the backbone. The WingsNet (Zheng et al., 2021b) EfficientDet (Tan et al., 2020), and the ResUNet (Diakogiannis et al., 2020) were used by one team, respectively. The 3D UNet and the nnUNet are demonstrated to be the most effective architectures for the medical image segmentation task, hence, they are the most popular options for the participants. The WingsNet was adopted to explicitly tackle the inter-class imbalance problem that existed in airway segmentation. For easy and fast multi-scale feature fusion, one team used the EfficientDet. The ResUNet was designed to alleviate the problem of vanishing and exploding gradients, thus achieving consistent training as the depth of the network increases. As for the aspect of the loss functions, the Dice loss (Milletari et al., 2016) with its variants (e.g., Dice loss with Focal loss (Zhu et al., 2019), Dice loss with Cross

Entropy loss (Taghanaki et al., 2019)) dominate the majority. Some other teams designed the compound loss functions. For simplicity, we used the team index defined in the Table 3. V9 designed the centerline weighted loss function. In addition to the centerline weighted loss function, V14 further used the local-imbalanced-based loss function to dynamically re-weight each voxel. V19 proposed a breakage-sensitive loss function in the second stage to repair the breakage regions within the airways. V25 explored the cIDice loss (Shit et al., 2021) combined with the multi-weighted loss to preserve the topology of the airway. V2 designed a Jaccard Continuity and Accumulation Mapping (JCAM) loss to tackle the discontinuity problem. V14, V2, V19 achieved the leading performance in the validation phase and the later test phase, which demonstrated that the reasonable design of loss function is effective for the pulmonary airway segmentation task. This experimental observation is in line with the consensus of effective methods finding, the novel objective functions that emphasize the topology completeness and the topology correctness are beneficial to deal with challenge of the Leakage (C1) and Breakage (C2), Robustness and Generalization (C3). The details of all qualified papers in the validation phase could be found in our official repository collection¹².

Quantitative Results: Six metrics were reported in both the validation phase and test phase. Four metrics, including the tree length detected rate (TD, %), branch detected rate (BD, %), Dice similarity coefficient (DSC, %) and Precision, are used for the score calculation. In addition, the sensitivity (Sen, %) and the specificity (Spe, %) were also covered to present a more comprehensive report. The results are presented in Table 7. It was observed that no single team could achieve the best performance on all six metrics. As for the topological completeness of airway segmentation results, teams V19 and V14 achieved remarkably higher performance than other teams. Specifically, V19 achieved 97.369% TD and 96.717% BD, and V14 attained 95.866% TD and 94.921% BD, which substantially exceeded the average results (84.696% TD and 77.680% BD). As for the topological correctness, V2 achieved the best performance on the DSC (95.926%) and V22 achieved the highest Precision (99.515%). However, the other metrics of V22 were quite low, only 60.705% TD, 46.924% BD, and 88.394% DSC. The underlying reason is that all metrics were calculated on the largest component of the airway prediction, the precision can be very high whereas the breakage (C2) is severe (e.g., only the trachea and the main bronchi were preserved). On the contrary, V2 obtained the second-best performance of the Precision (97.180%) while achieving the best DSC. It is worthwhile noting that in the validation phase, V2 achieved satisfactory DSC and Precision while maintaining competitive TD (94.406%) and BD (91.302%). Similarly, V14 preserved compelling results of DSC (93.987%) and Precision (94.041%) under the circumstance that they achieved the highest performance on topological completeness. Another im-

¹¹Full ranking results of the validation phase (Time period: 1 Jun 2022 – 17 Aug 2022): <https://atm22.grand-challenge.org/evaluation/validation-phase/leaderboard/>

¹²ATM'22 Validation Phase Papers: https://drive.google.com/drive/folders/1FTrc1AGqEqNDHvfCtEpQG302agxHXu46?usp=share_link

Table 8. Quantitative results on the full hidden test set of ATM'22 challenge achieved by participants. The results are reported in the format of mean \pm standard deviation. In the hidden test set, The number of sample CT scans is 150, and the total number of airway branches is 178.91 ± 48.81 . The results are first post-processed by the largest component extraction and eventually reported by the Tree length detected rate (i.e., TD, %), Branch detected rate (i.e., BD, %), Dice Similarity Coefficient (i.e., DSC, %), Precision (%), Sensitivity (i.e., Sen, %) and Specificity (i.e., Spe, %).

Team name	TD (%) ↑	BD (%) ↑	DSC (%) ↑	Precision (%) ↑	Sen (%) ↑	Spe (%) ↑
Sanmed_AI (T1)	88.843 ± 7.250	83.350 ± 10.900	94.969 ± 1.800	95.055 ± 3.210	95.047 ± 3.349	99.984 ± 0.011
fme (T2)	70.695 ± 12.393	54.615 ± 12.946	87.986 ± 10.177	87.137 ± 10.730	90.460 ± 4.874	99.698 ± 2.418
LinkStartHao (T3)	81.721 ± 10.295	71.140 ± 15.614	92.938 ± 2.133	96.140 ± 2.414	90.128 ± 4.438	99.988 ± 0.008
YangLab (T4)	94.512 ± 8.598	91.920 ± 9.435	94.800 ± 7.925	94.707 ± 8.302	95.015 ± 8.240	99.985 ± 0.010
dolphins (T5)	90.134 ± 6.477	84.201 ± 11.151	92.734 ± 2.094	94.656 ± 3.434	91.122 ± 4.273	99.983 ± 0.012
timi (T6)	95.919 ± 5.234	94.729 ± 6.385	93.910 ± 3.682	93.553 ± 3.420	94.500 ± 5.168	99.979 ± 0.012
neu204 (T7)	90.974 ± 10.409	86.670 ± 13.087	94.056 ± 8.021	93.027 ± 8.410	95.284 ± 8.581	99.979 ± 0.013
blackbean (T8)	82.103 ± 10.719	71.418 ± 16.435	93.153 ± 2.284	96.146 ± 2.380	90.545 ± 4.748	99.988 ± 0.008
lya (T9)	85.215 ± 9.146	75.705 ± 14.887	93.758 ± 2.174	96.501 ± 2.908	91.412 ± 4.795	99.989 ± 0.010
dnai (T10)	86.733 ± 5.393	77.888 ± 8.703	90.871 ± 1.748	91.674 ± 2.787	90.871 ± 1.748	99.974 ± 0.011
bms410 (T11)*	3.898 ± 6.481	2.812 ± 5.499	16.965 ± 19.429	77.583 ± 36.470	10.920 ± 14.278	99.997 ± 0.005
miclab (T12)	75.408 ± 14.094	65.994 ± 17.667	93.493 ± 2.678	96.440 ± 2.565	91.035 ± 5.907	99.989 ± 0.009
CITI-SJTU (T13)	83.545 ± 9.942	73.012 ± 15.854	92.443 ± 2.195	94.756 ± 2.911	90.445 ± 4.384	99.984 ± 0.010
deeptree_damo (T14)	97.853 ± 2.275	97.129 ± 3.411	92.819 ± 2.191	87.928 ± 4.181	98.448 ± 1.402	99.957 ± 0.018
CBT_IITDELHI (T15)	66.588 ± 26.624	59.044 ± 24.793	81.280 ± 30.103	94.865 ± 2.810	79.892 ± 29.790	99.984 ± 0.010
bwhacil (T16)	75.556 ± 24.091	68.478 ± 25.843	81.380 ± 13.376	80.076 ± 8.127	87.180 ± 18.607	99.927 ± 0.040
suqi (T17)	89.209 ± 7.338	82.164 ± 12.264	93.646 ± 2.102	95.777 ± 3.318	91.839 ± 4.378	99.987 ± 0.012
satsuma (T18)	81.565 ± 11.017	70.819 ± 16.828	93.307 ± 2.196	96.181 ± 2.411	90.813 ± 4.745	99.988 ± 0.008
Median (T19)	78.653 ± 10.365	68.314 ± 14.529	93.119 ± 2.095	96.159 ± 2.305	90.443 ± 4.361	99.988 ± 0.008
notbestme (T20)	87.518 ± 9.028	81.343 ± 13.560	94.515 ± 2.270	96.590 ± 2.673	92.701 ± 4.325	99.989 ± 0.009
biomedia (T21)	64.254 ± 11.578	53.988 ± 12.679	80.370 ± 11.816	93.533 ± 2.953	71.986 ± 15.532	99.984 ± 0.007

* The results are abnormal hence were excluded in the final ranking.

Table 9. Quantitative results on the noisy domain (i.e., COVID-19 CT scans) of the hidden test set achieved by participants. The results are reported in the format of mean \pm standard deviation. In the hidden test set, The number of COVID-19 CT scans is 58, and the total number of airway branches is 167.17 ± 34.97 . The results are first post-processed by the largest component extraction and eventually reported by the Tree length detected rate (i.e., TD, %), Branch detected rate (i.e., BD, %), Dice Similarity Coefficient (i.e., DSC, %), Precision (%), Sensitivity (i.e., Sen, %) and Specificity (i.e., Spe, %).

Team name	TD (%) \uparrow	BD (%) \uparrow	DSC (%) \uparrow	Precision (%) \uparrow	Sen (%) \uparrow	Spe (%) \uparrow
Sanmed_AI (T1)	83.517 ± 6.686	74.562 ± 9.299	94.615 ± 1.202	97.533 ± 0.913	91.898 ± 2.204	99.991 ± 0.004
fme (T2)	57.623 ± 5.934	42.319 ± 4.507	88.217 ± 1.819	91.703 ± 1.110	85.036 ± 3.001	99.973 ± 0.004
LinkStartHao (T3)	72.648 ± 7.033	56.880 ± 8.482	91.310 ± 1.626	97.398 ± 0.656	85.993 ± 2.945	99.991 ± 0.003
YangLab (T4)	92.358 ± 4.174	88.218 ± 5.802	94.982 ± 0.998	97.052 ± 1.309	93.036 ± 1.919	99.990 ± 0.006
dolphins (T5)	84.061 ± 5.356	73.740 ± 8.478	91.984 ± 1.544	97.336 ± 0.898	87.241 ± 2.803	99.991 ± 0.004
timi (T6)	94.251 ± 3.541	92.049 ± 4.898	95.063 ± 1.227	96.026 ± 1.307	94.147 ± 1.978	99.986 ± 0.005
neu204 (T7)	86.021 ± 6.614	77.724 ± 9.825	94.672 ± 1.055	96.186 ± 1.860	93.280 ± 2.426	99.986 ± 0.008
blackbean (T8)	72.231 ± 7.783	56.094 ± 9.758	91.176 ± 1.785	97.390 ± 0.656	85.768 ± 3.198	99.992 ± 0.003
lya (T9)	76.528 ± 5.575	61.400 ± 8.787	91.932 ± 1.602	98.355 ± 0.794	86.350 ± 2.901	99.995 ± 0.003
dnai (T10)	84.181 ± 5.538	73.619 ± 8.026	90.191 ± 1.482	92.911 ± 1.844	87.664 ± 2.129	99.976 ± 0.009
bms410 (T11)*	2.508 ± 2.631	1.663 ± 2.247	8.869 ± 8.785	71.356 ± 36.422	4.895 ± 5.198	99.997 ± 0.004
miclab (T12)	59.650 ± 6.603	46.486 ± 5.433	90.814 ± 1.906	98.427 ± 0.389	84.350 ± 3.242	99.995 ± 0.002
CITI-SJTU (T13)	73.647 ± 6.963	57.194 ± 8.783	90.908 ± 1.559	96.476 ± 0.777	85.998 ± 2.834	99.988 ± 0.004
deeptree_damo (T14)	96.242 ± 2.634	94.947 ± 4.104	93.990 ± 1.240	91.049 ± 2.239	97.165 ± 0.866	99.965 ± 0.012
CBT_IITDELHI (T15)	53.197 ± 33.505	45.729 ± 29.034	66.297 ± 40.944	96.003 ± 2.967	64.358 ± 39.798	99.986 ± 0.011
bwhacil (T16)	53.486 ± 23.100	42.926 ± 20.643	75.861 ± 17.975	84.930 ± 8.197	73.230 ± 21.150	99.950 ± 0.031
suqi (T17)	83.259 ± 5.518	71.429 ± 8.825	92.493 ± 1.389	98.040 ± 1.081	92.493 ± 1.389	99.993 ± 0.005
satsuma (T18)	71.235 ± 7.414	55.133 ± 9.328	91.316 ± 1.682	97.537 ± 0.630	85.894 ± 3.013	99.992 ± 0.003
Median (T19)	70.054 ± 7.891	55.809 ± 8.596	91.367 ± 1.671	97.388 ± 0.637	86.099 ± 2.946	99.992 ± 0.003
notbestme (T20)	81.283 ± 8.344	70.723 ± 11.425	93.175 ± 1.668	97.917 ± 0.885	88.940 ± 3.199	99.993 ± 0.004
biomedia (T21)	59.444 ± 8.351	47.130 ± 7.753	82.915 ± 11.107	94.014 ± 1.158	82.915 ± 11.107	99.983 ± 0.006

* The results are abnormal hence were excluded in the final ranking.

portant observation is that the high performance of topological correctness can not consistently guarantee the topological completeness of the airways and vice versa. For one thing, take V1 as an example, they achieved the competitive DSC (95.555%) and Precision (95.551%), higher than the average (93.383% DSC and 94.275% Precision), however, their TD and BD were under 90%. More strikingly, V20 obtained 94.336% DSC and 97.127% Precision while the TD and BD were as low as 73.928% and 65.672% respectively. For another, V19 over-emphasized the topological completeness, consequently, the topological correctness was inevitably affected. Specifically, they achieved the superior performance of the TD and BD while the DSC and Precision suffered a decrease to 92.812% and 87.324% respectively. The above findings demonstrated that the pulmonary airway segmentation task differs from other common medical segmentation tasks. DSC usually dominates in the evaluation of many medical segmentation tasks due to its superiority in measuring overlap-wise accuracy. However, the overlap-wise accuracy is not sufficient to evaluate the airway extraction algorithms because the topology is intrinsically embedded in the voxel-wise airway annotation data.

5.2. Test Phase

5.2.1. Overall Outcome

150 CT scans are kept entirely hidden by the organizers, which means that the input images are inaccessible to the participants. The participants are required to package their algorithms into the docker images and followed the official instructions to execute these dockers. 21 docker submissions were received and successfully executed on our server to generate the final results. The standard format and instructions of the docker are provided in our official repository¹³. Owning a high number of registrations however only a fraction of the fully-completed participants is a typical phenomenon that takes place in biomedical image analysis challenges. (e.g., the Medical Segmentation Decathlon (Antonelli et al., 2022) towards a multitude of both tasks and modalities 2019 challenge with 19/180 successful submissions, the Skin lesion analysis detection (Codella et al., 2018b) 2017 challenge with 46/593 submissions or the Multi-Center, Multi-Vendor, and Multi-Disease Cardiac Segmentation (Campello et al., 2021) challenge 2020 with 16/80 submissions). Some challenge participants usually register for the data access while they cannot participate in the validation and test phase within the deadline due to other commitments. Furthermore, the dissatisfied training and validation results may frustrate them to step back from the final submission. All qualified papers in the final test phase could be found in our official repository collection¹⁴.

5.2.2. Quantitative and Qualitative Comparisons

Performance across Domains: Table 8 and Table 9 respectively reported the quantitative results of the full set and the

partial COVID-19 set of the hidden test set. The overall results were broadly similar to those in the validation phase, especially the top 5 algorithms. The ranking result (seen in Table 10) revealed that the same 5 teams occupied the top 5 positions in both the validation and test phase, which demonstrated their generalization ability was superior to the rest of the teams. T6 and T4 ranked in the top 2 of the whole test phase, which proved their strong capacity under the comprehensive evaluation system. Compared to the average results (TD: 83.350%, BD: 75.596%, DSC: 91.277%, Precision: 93.669%), T6 and T4 achieved better performance. Specifically, T6 achieved the 95.919% TD, 94.729% BD, 93.910% DSC, 93.53% Precision, and T4 obtained the 94.512% TD, 91.920% BD, 94.800% DSC, 94.707% Precision. The overall compelling performance achieved by T6 can be ascribed to their elaborated optimization procedure tailored for the pulmonary airway segmentation task. Furthermore, the large batchsize (24 in their experiments) may be helpful to explore the features of airway datasets. The proposed fuzzy attention layer and continuity and accumulation mapping loss by T4 could assist to preserve the topological structure of airways. In addition, they used the region growing in the post-process procedure, which is beneficial to improving the accuracy of the trachea while maintaining the fidelity of the airway structure. T14 ranked third place and achieved the highest TD (97.853%) and BD (97.129%), while the Precision declined to 87.928% that lower than the average. This observation implied that their proposed breakage attention map may over-emphasize the topology completeness while leading to the dilation problem. Figure 14 corroborated this finding as T14 generated thicker prediction surrounding the boundary of the airway ground-truth. T7 and T1 ranked forth and fifth place, respectively. They achieved the leading performance in the DSC (94.056%, 94.696%) and Precision (93.027%, 95.055%). However, their TD and BD were not outstanding due to the lack of especial modules for airway segmentation.

Another critical comparison was the generalization ability on the noisy domain. Figure 10 depicted the box plots of the quantitative results achieved by the top-10 algorithms on the validation set, test set, and COVID-19 set separately. It was obvious that TD and BD suffered a decrease in the COVID-19 set by all teams. The averages of TD and BD were 75.246% and 64.206%, which was far below the validation or test set. This performance degradation was moderate among the top 3 methods as they could still achieve more than 92% TD and 88% BD. DSC was slightly less affected than TD/BD while the variation tendency among teams was not unified. DSC decreased in the COVID-19 set among most teams, while T6 and T14 increased, which substantiated the S3 (Sec.4.3). Both T6 and T14 designed the topology-sensitive loss functions that are beneficial to improving the robustness and generalization ability. The Precision had slightly increased due to the trade-off of sensitivity and specificity. Considering the general performance degradation in the noisy domain, especially topological completeness, further investigation of improving generalization is necessary.

Branch-wise True Positive Analysis: Table 12 described the branch detected number achieved by the top 10 teams. The top 10 methods obtained 154.4 branches on average across

¹³The Docker Tutorial for the ATM'22 Test Phase Submission: <https://github.com/Puzzled-Hui/ATM-22-Related-Work/tree/main/base-line-and-docker-example>

¹⁴ATM'22 Test Phase Papers: <https://drive.google.com/drive/folders/1T90Q552TZUK5bxKm4D9wPt83AzSgqLAr?usp=sharing>

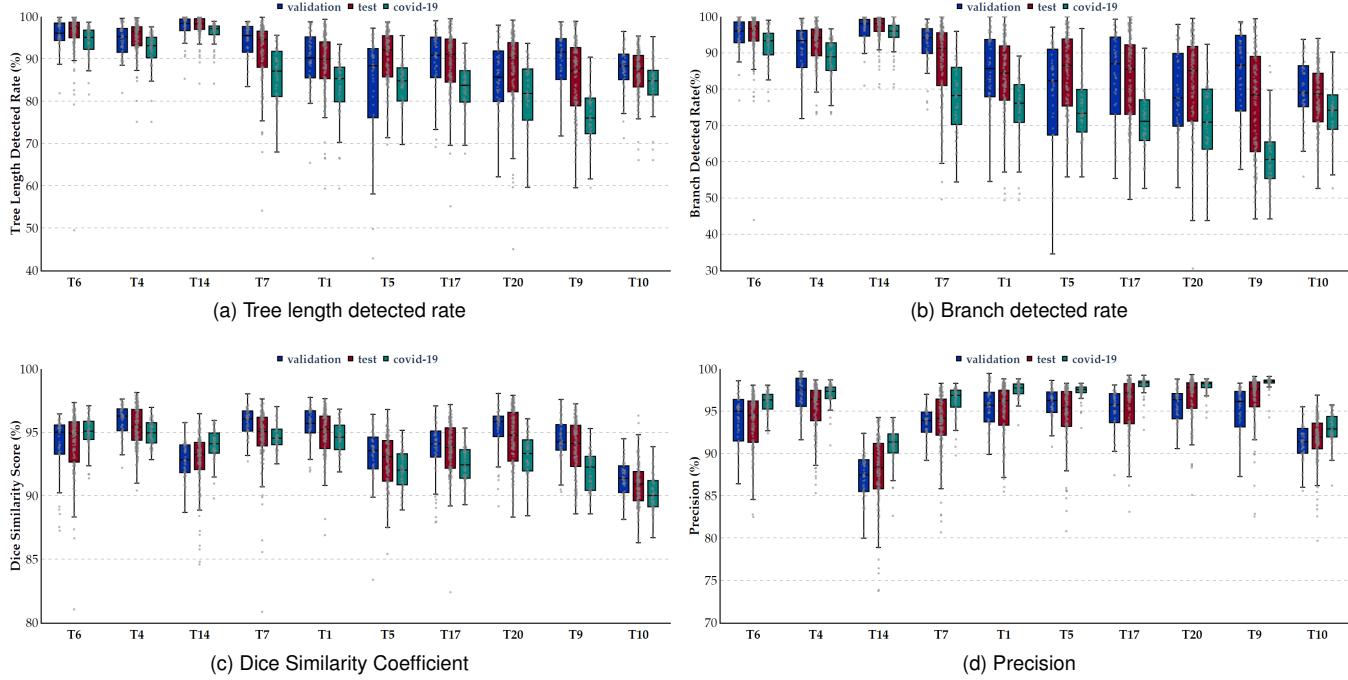


Fig. 10. Box plots of the quantitative results achieved by the top 10 algorithms on the validation set, test set, and COVID-19 set separately. The quantitative results include the (a) tree length detected rate, (b) branch detected rate, (c) dice similarity coefficient and (d) precision. Team index is adopted to represent different algorithms, and the order in the x-axis is dependent on the final rank (descend from left to right).

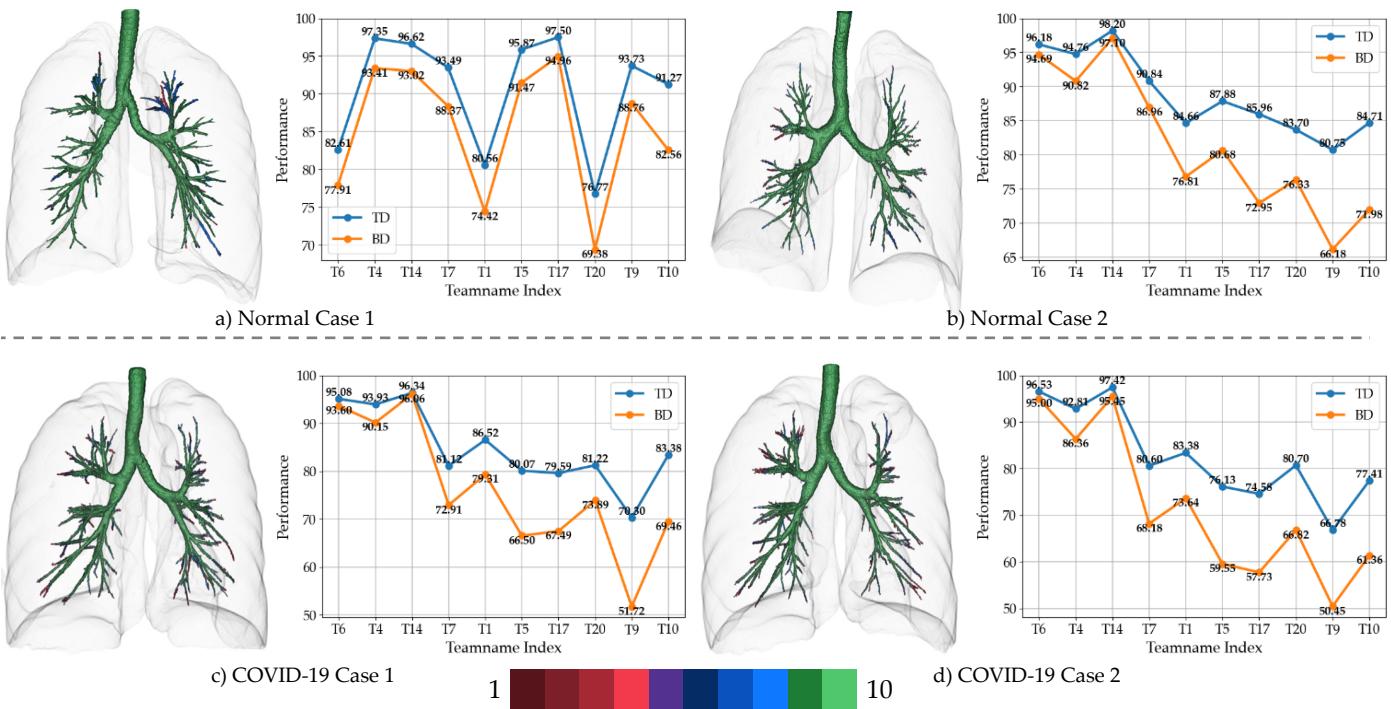


Fig. 11. The visualization of the color-encoded airway prediction by the Top 10 algorithms. Only the true positive part is presented, and the airway branches are assigned with a unique color from dark red (detected by only one team) to green (detected by all ten teams). Two normal cases and two COVID-19 cases from the test set are chosen for illustration. Individual line chart describes the TD and BD values of each team is also included beside the branch-wise airway visualization. Best viewed in color.

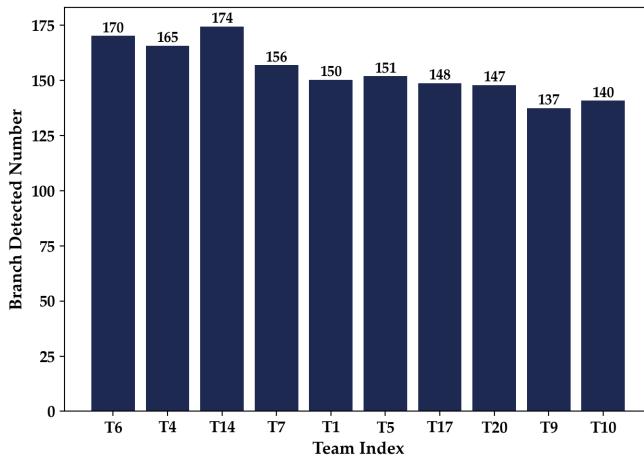


Fig. 12. The bar plot of the branch detected number achieved by the top 10 teams, averaged across the whole test dataset. The x-axis order (from left to right) follows the averaged ranking result. The averaged 154.4 of the branches were detected by all these 10 teams, whereas only the top 3 teams achieved more than 165 branches.

the whole test dataset. T6, T4, T14 achieved more than 165 branches, which surpassed other teams. To figure out the difference in detected branch numbers concretely, we conducted the visualization of the color-encoded airway prediction in Figure 11. The airway branches are assigned a unique color from dark red (detected by only one team) to green (detected by all ten teams). Both the normal cases and the COVID-19 cases were provided for visualization. It can be explicitly observed that the disparity usually happened in the peripheral airways, especially the fifth/sixth generation of airways. The TD and BD exceeded 90% by the top 3 ranked methods whereas the lowest BD was only around 50% from the rest of the teams. Another interesting fact is that the top-ranked methods did perform well in each case. For example, Figure 11.a) demonstrated that the airway in the left upper lobe was difficult to be detected, where red and blue dominated, revealing only a few teams detected correctly. The first-place team suffered a failure in this case. The underlying explanation for this observation is that the first-place algorithm shared some specific feature bias in contradiction with the failure case. It reminded us that pursuing the consensus of the prediction result is also significant to improve the reliability of deep learning models.

False Negative Analysis: As discussed before, the primary difference among the methods focused on the peripheral airways. Figure 13 illustrated these areas separately for each method of the top 10 algorithms. The canonical normal case and COVID-19 case were chosen for representation, where the red part indicates the true positive and the green part denoted the false negative. The local details highlighted the right middle and lower lobes of the normal case, and the left lower lobe of the noisy COVID-19 case. In the first normal case, The top 5 methods segmented more accurate than the rest of the teams. For the COVID-19 case, T6 and T14 demonstrated significant improvement compared to others in detecting more bronchi and preserving better completeness under such noisy COVID-19 imaging

characteristics. These observations confirm that the effective solutions (S1,S2,S3) are beneficial to reduce the false negatives.

False Positive Analysis: The increase in the false positive accounted for the dilation problem of the airway segmentation task (Zheng et al., 2021b). Figure 14 rendered the false positives (blue) and the boundary of the airway ground-truth (red). Except for the uncertainty of distal airways, the false positive concentrated on the trachea and main bronchi. Took T14, T7, and T10 as an example, They seemed thicker than the ground-truth and the corresponding Precision were also lower than other teams. The underlying reasons can be summarized as two aspects. 1) Over-emphasizing topological completeness could be harmful to the topological correctness (T14). 2) The airway segmentation task itself is challenging as the intensity shares large variation among the trachea, main bronchi, lobar bronchi, and distal segmental bronchi (T1, T10). To reduce the false positive, the promising effective solution is increasing the intra-class feature discrimination ability and trying to achieve a satisfactory trade-off between topological completeness and correctness.

5.2.3. Metric Correlation Analysis

The evaluation system adopted in this challenge contains two critical components, topological completeness (TD and BD) and topological correctness (DSC and Precision). Quantitative results showed that there was no single team achieves the highest performance on all of these metrics. More remarkably, T14 achieved the highest the TD (97.853%) and BD (97.129%) while the lower Precision (87.928%) was the main drawback. Moreover, The high DSC or Precision cannot guarantee the topological completeness (T1, T7, T9). For example, T1 obtained the outstanding DSC across the validation, test, and the COVID-19 sets, however, their performance of TD and BD on the test phase was lower than 90% and 85%, respectively. Figure 14 demonstrated that they did not produce many false positives while Figure 13 corroborated the missing of substantial branches that led to the inferior TD and BD. To intuitively observe the inter-relation of these metrics, we conducted the metric correlation analysis, as seen in Figure 15. Four subplots were provided, the TD v.s. DSC (Figure 15.a), TD v.s. Precision (Figure 15.b), BD v.s. DSC (Figure 15.c), and BD v.s. Precision (Figure 15.d). Each metric correlation could be supposed to split into four quadrants. The expected method should appear in the first quadrant, where both the topological completeness and topological correctness metrics are high. The closest approaches (T6 and T4) so far still have a lot of room for improvement. The majority of the top 10 methods were distributed on the second quadrant, which demonstrated that high topological completeness is far tougher than high topological correctness to be achieved. This observation was in line with the main challenges encountered by the airway segmentation task (seen in Sec.1.2). The situation of T10 and T14 were quite different, T10 achieved high TD and BD, moderate DSC, and a low Precision. T14 was contrary to T10, Their TD, BD, and DSC were low, Precision was moderate. The above findings revealed that the principal challenge is improving topological completeness. Secondly, the topological correctness should be

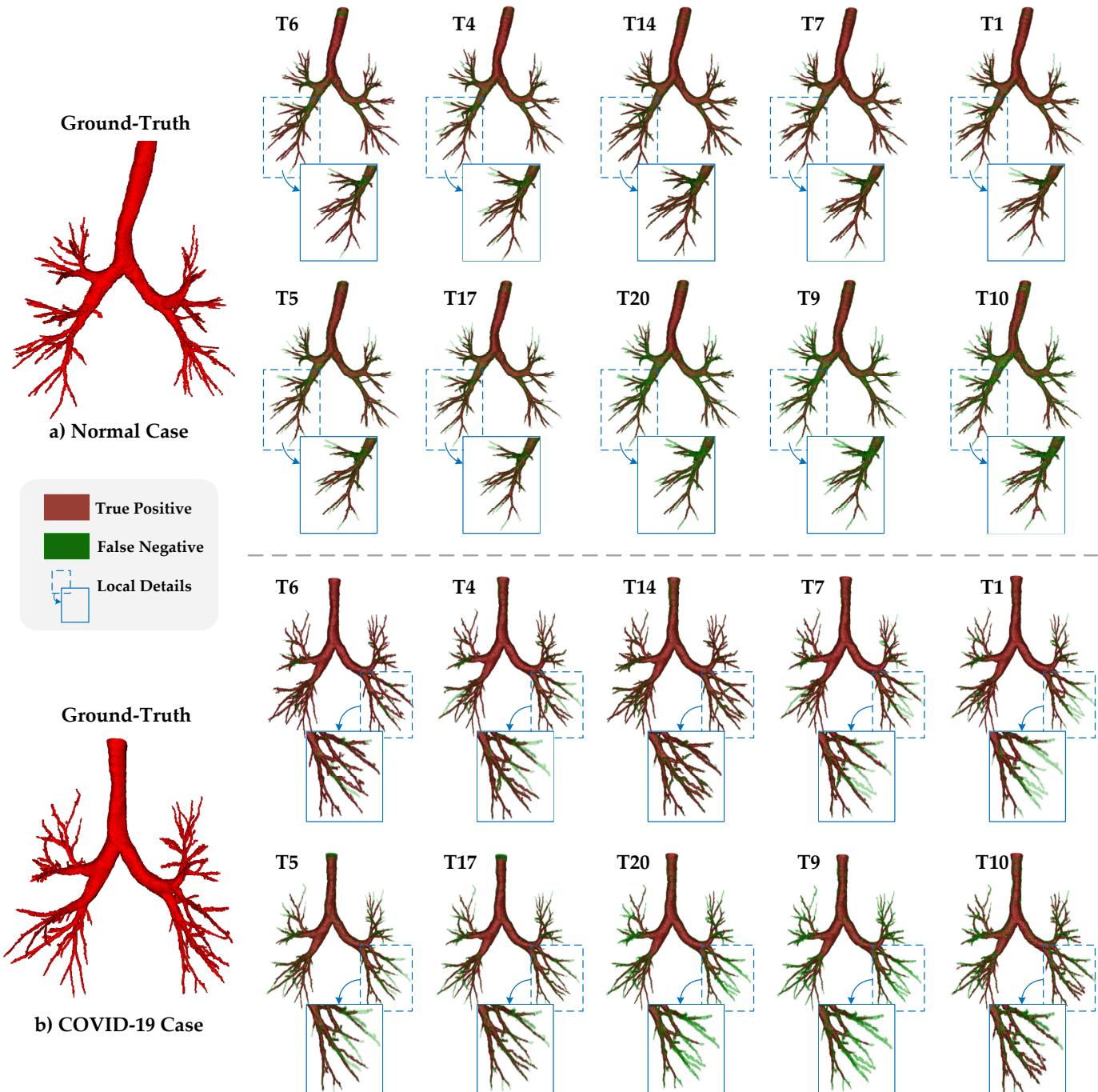


Fig. 13. Visualization of the false negatives between the Top 10 teams (followed by the ranking order) of the normal case and the COVID-19 case. The red part indicates the true positive and the green part denotes the false negative. Local details are highlighted in the boxes. Best viewed in color.

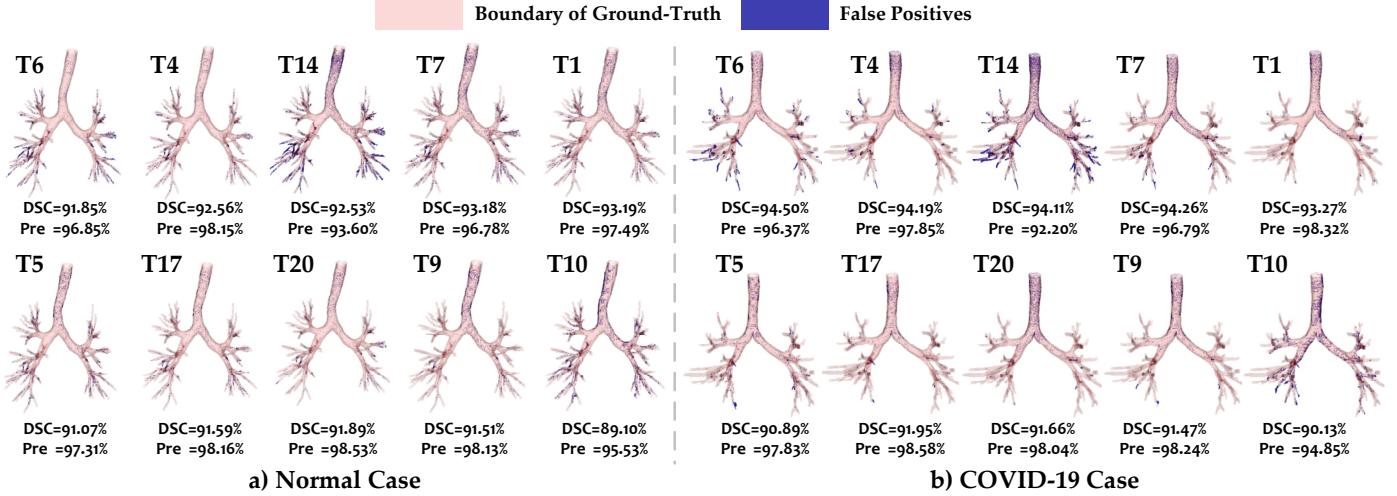


Fig. 14. Visualization of the false positive between the Top 10 teams (followed by the ranking order) of the normal case and the COVID-19 case, same as Figure 13. The red part indicates the boundary of the airway ground-truth and the blue part denotes the false positive. Best viewed in color.

carefully handled to prevent a dramatic decrease.

5.2.4. Ranking Stability Analysis

As defined in Sec.3.2, we adopted the mean score calculation as the ranking criterion. The rankings included all successful participants (i.e., who took part in both the validation and test phase). Table 10 reported the rankings of 20 teams in the validation phase and test phase. It is observed that the top five teams in the validation phase also occupied the top five positions, only neu204 (T7) and deeptree_damo (T14) interchanged the order. However, From the sixth position to the twentieth position, an obvious variation arose between the ranking of the validation and test phase.

Kendall's τ (Kendall, 1938) was adopted to determine the variability of the rankings. In Table 10, the Kendall's τ is 0.607 with p-value of 0.000189, which also implied the fluctuation of the ranking. The generalization ability of the methods accounts for this phenomenon, as the top five methods performed better than the rest of the teams with regard to the generalization ability. To measure the sensitivity of our score calculation, we modified it to a weighted formulation that slightly emphasizes the geometry of airway prediction results via adjusting the weighting coefficients:

$$\text{Weighted Score} = 0.30 * TD + 0.30 * BD + 0.15 * DSC + 0.15 * Precision. \quad (17)$$

Table 11 reported the ranking results by the mean score and weighted score separately. The result has shown that only the team deeptree_damo (T14) moved to first place while the relative orders of other teams remained unchanged. As discussed before, T14 put much emphasis on topological completeness, hence, they were sensitive to the weighting coefficients. In general, the ranking order was close to identical as the corresponding Kendall's τ is 0.979 with p-value of 1.87e-09. The above experimental results demonstrated that the ranking criterion was reasonable.

5.2.5. Model Complexity Analysis

The efficiency of the method is also critical in medical applications. For example, the excellent efficiency provides the potential to reconstruct the airway in real-time from the mobile CT, which is helpful in guidance for thoracic surgery. Recently, efficiency also raised attention in biomedical challenges. In previous challenge settings, only the prediction results were required to submit, consequently, the efficiency behind the methods was non-transparent. As the competition standard rose, submitting the docker of the algorithm is preferred as a primary choice. FLARE'21 (Ma et al., 2022) challenge considered the running time and the maximum GPU memory consumption as a part of the ranking score calculation. Although efficiency was not involved in the ranking calculation, we conducted the model complexity analysis for the complementary measurement. The test dockers submitted by the participants were executed on the same Linux workstation with Intel Xeon Gold 5119T CPU @ 1.90GHz, 128 GB RAM, and 2 NVIDIA GeForce RTX 3090 GPUs. The maximum GPU memory consumption and inference time cost of each team were recorded in Table 12. We also compared the metrics conditioned on the efficiency in Figure 16. T6 and T14 achieved an overall high performance, meanwhile, they maintained the competitive efficiency. Compared to T6 and T14, T4 was time-consuming. The post-process procedure used by T4 may increase the inference time cost. In the future, the model complexity is likely to be added to the evaluation to encourage the creation of effective methods with high efficiency.

6. Discussions

6.1. Clinical Applications

Our challenge emphasizes the topological correctness and completeness of the airway. Both are clinically significant because pulmonary disease assessment and endobronchial intervention require accurate airway segmentation for quantitative

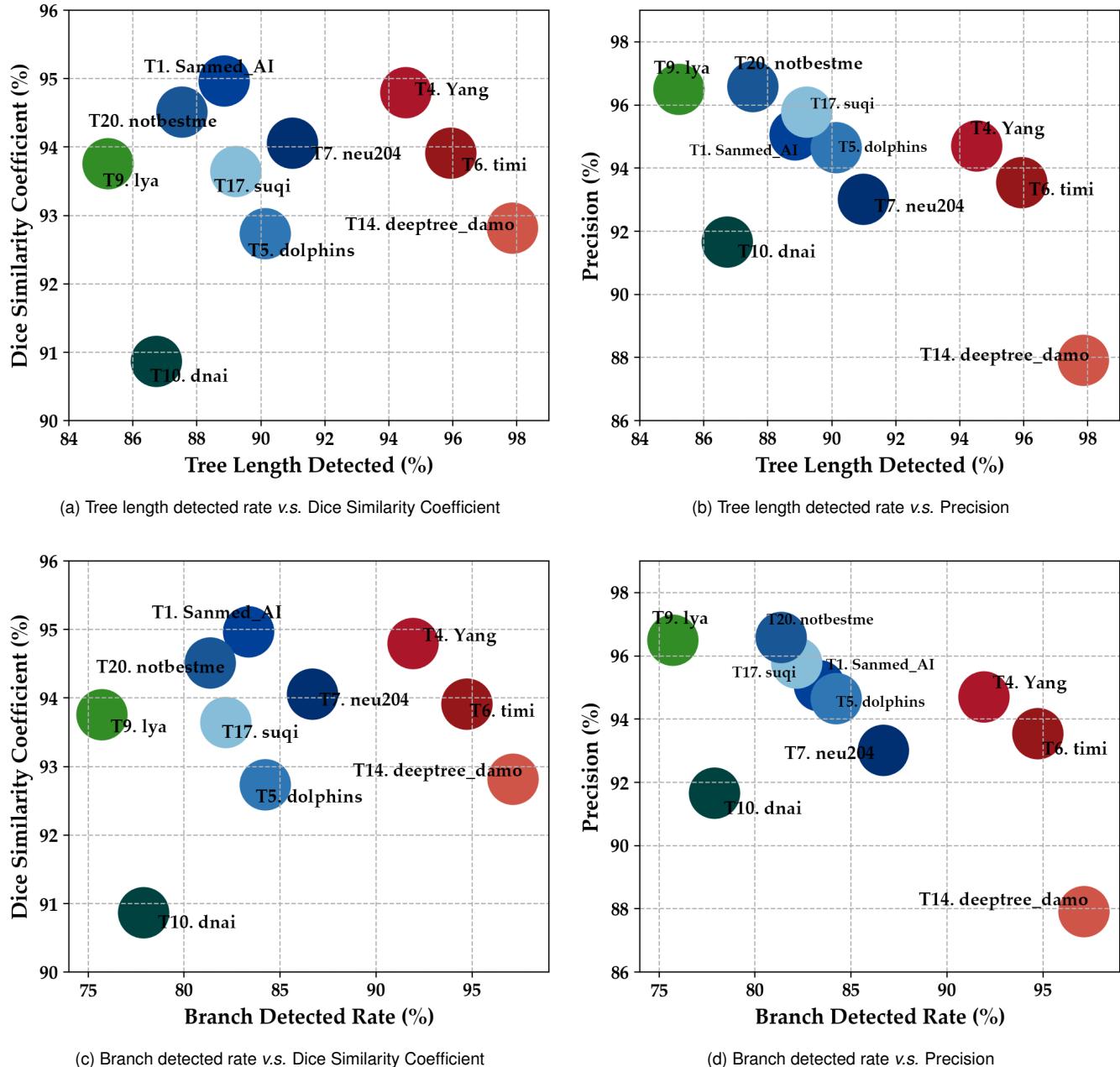


Fig. 15. The metric correlation scatter maps of the top 10 methods. Concretely, (a) the TD v.s. DSC, (b) the TD v.s. Precision, (c) the BD v.s. DSC, (d) the BD v.s. Precision. The team name is annotated besides the splashes. Best viewed in color.

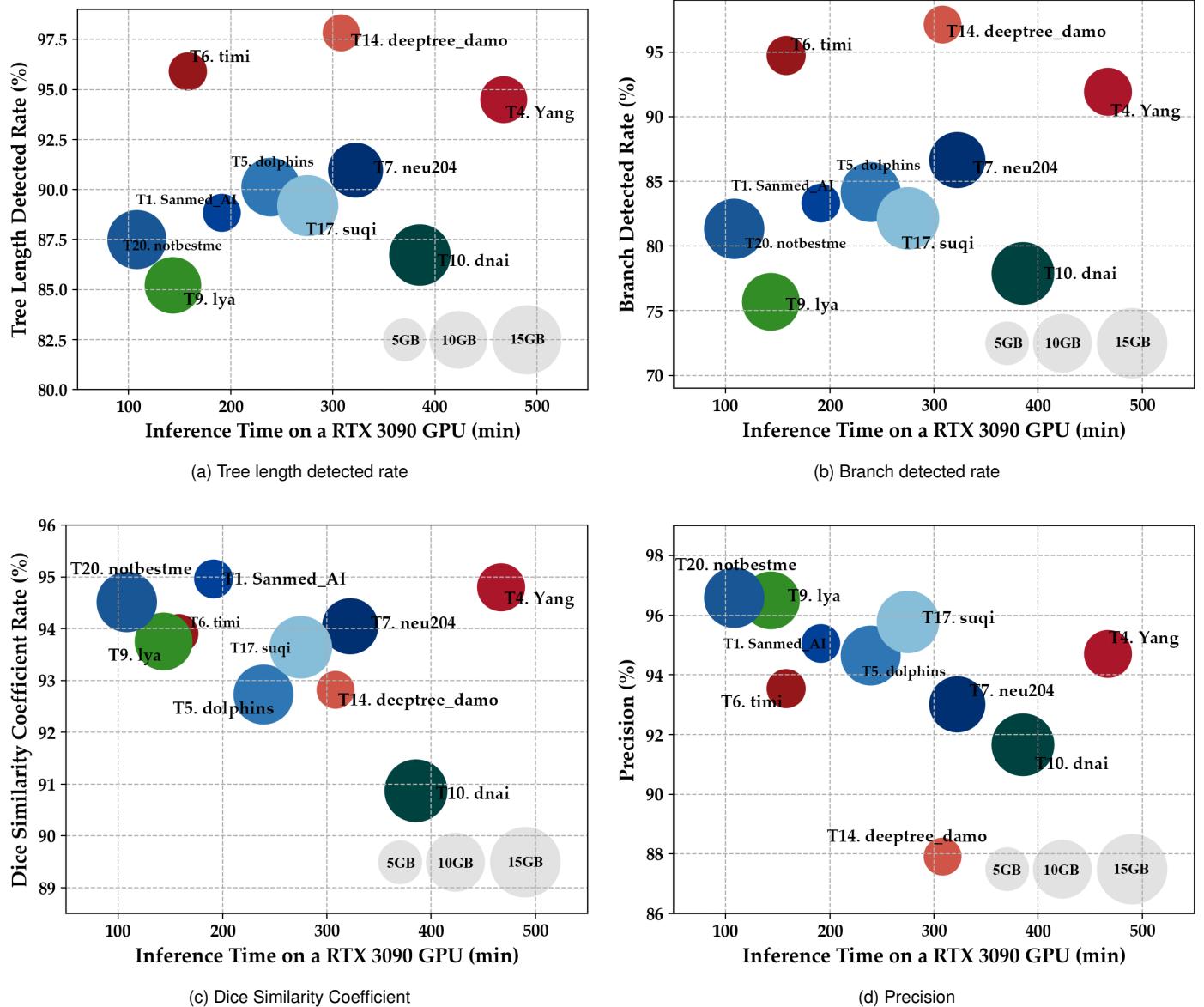


Fig. 16. Comparison of different models on the inference time and metrics. The larger markers indicate that the models share more parameters.

Table 10. Rankings for the validation phase and the test phase. Mean scores were used in ranking for all final 20 teams. Score is under percentage format.

The validation phase			The test phase		
Rank	Team Name	Mean Score	Rank	Team Name	Mean Score
1	timi	94.7038	1	timi	94.5278
2	YangLab	94.7035	2	YangLab	93.9848
3	neu204	93.9927	3	deeptree_damo	93.9323
4	deeptree_damo	93.5555	4	neu204	91.1818
5	Sanmed_AI	91.5205	5	Sanmed_AI	91.1738
6	satsuma	90.8943	6	dolphins	90.4313
7	LinkStartHao	90.8383	7	suqi	90.1990
8	CITI-SJTU	90.7885	8	notbestme	89.9915
9	lya	90.6783	9	lya	87.7948
10	blackbean	90.6618	10	dnai	86.7915
11	Median	90.3788	11	CITI-SJTU	85.9390
12	notbestme	88.9638	12	blackbean	85.7050
13	dolphins	87.5408	13	LinkStartHao	85.4848
14	dnai	87.4095	14	satsuma	85.4680
15	miclab	87.2865	15	Median	84.0613
16	suqi	85.5348	16	miclab	82.8338
17	CBT_IITDELHI	82.7658	17	bwhacil	76.3725
18	fme	76.3648	18	CBT_IITDELHI	75.4443
19	bwhacil	75.4048	19	fme	75.1083
20	biomedia	69.6055	20	biomedia	73.0363

Table 12. The maximum GPU memory consumption and inference time used by the participants on the test phase, 150 cases in total. All the test dockers were executed on the same device.

Team name	GPU memory	Inference time
Sanmed_AI (T1)	3.74 GB	3h 11min
fme (T2)	17.89 GB	2h 18min
LinkStartHao (T3)	9.64 GB	4h 39min
YangLab (T4)	6.24 GB	7h 47min
dolphins (T5)	10.43 GB	3h 59min
timi (T6)	3.81 GB	2h 38min
neu204 (T7)	9.00 GB	5h 11min
blackbean (T8)	3.72 GB	12h 13min
lya (T9)	9.58 GB	2h 23min
dnai (T10)	11.59 GB	6h 25min
bms410 (T11)	23.39 GB	11h 01min
miclab (T12)	11.54 GB	2h 05min
CITI-SJTU (T13)	3.24 GB	5h 41min
deeptree_damo (T14)	3.52 GB	5h 08min
CBT_IITDELHI (T15)	7.13 GB	3h 35min
bwhacil (T16)	23.39 GB	1h 56min
suqi (T17)	11.47GB	4h 35min
Median (T18)	5.53GB	6h 06min
notbestme (T19)	10.58GB	1h 48min
satsuma (T20)	3.71GB	17h 42min
biomedia (T21)	11.52GB	9h 12min

The test phase by weighted score			The test phase by mean score		
Rank	Team Name	Weighted Score	Rank	Team Name	Mean Score
1	deeptree_damo	95.3558	1	timi	94.5278
2	timi	94.8463	2	YangLab	93.9848
3	YangLab	93.6773	3	deeptree_damo	93.9323
4	neu204	90.2379	4	neu204	91.1818
5	Sanmed_AI	89.1429	5	Sanmed_AI	91.1738
6	dolphins	89.1258	6	dolphins	90.4313
7	suqi	88.3940	7	suqi	90.1990
8	notbestme	87.7671	8	notbestme	89.9915
9	dnai	84.9991	9	lya	87.7948
10	lya	84.8609	10	dnai	86.7915
11	CITI-SJTU	82.8748	11	CITI-SJTU	85.9390
12	blackbean	82.1272	12	blackbean	85.7050
13	LinkStartHao	81.721	13	LinkStartHao	85.4848
14	satsuma	81.7576	14	satsuma	85.4680
15	Median	79.8302	15	Median	84.0613
16	miclab	77.9807	16	miclab	82.8338
17	bwhacil	74.6303	17	bwhacil	76.3725
18	CBT_IITDELHI	70.3930	18	CBT_IITDELHI	75.4443
19	fme	70.1270	19	fme	75.1083
20	biomedia	67.4702	20	biomedia	73.0363

measurements of bronchial features. With regard to topological correctness, the performance of the voxel-wise segmentation determines the accuracy of the quantitative measurements. The measurements of bronchial morphometric parameters such as wall thickness, total airway count, and lumen diameter can be used in the diagnosis of cystic fibrosis (Wielpütz et al., 2013) chronic obstructive pulmonary disease (COPD) (Kirby et al., 2018), and asthma (Eddy et al., 2020). The accurate segmentation of airways can relieve the burden of clinicians and reduce the large variability of a higher order of the branches. The high topological correctness could facilitate better quantification of airway pathologies, and then improve the comprehension of the mechanisms of disease progression. As for topological completeness, it is quite important for the navigation of endobronchial interventions. Detailed pulmonary airway segmentation, which traditionally works on the level of trachea and bronchi and ideally reached the granularity of alveoli is required for navigation in bronchoscopic-assisted surgery since it shows great advantages in the treatment of lung cancer (Reynisson et al., 2014), chronic obstructive pulmonary disease (COPD) (Wan et al., 2006) and the recent COVID-19 (Luo et al., 2020). As claimed in (Gu et al., 2022), the outer diameter of current flexible bronoscopes is smaller than 5mm, which allows the direct exploration of distal small bronchi. Hence, a detailed modeling of the bronchial tree is demanded to build the virtual lung model for preoperative path planning and intraoperative navigation. In conclusion, both topological correctness and completeness of the airway are critical indicators for the diagnosis and treatment of pulmonary diseases. Hence, the aim of our challenge encourages the development of airway segmentation algorithms that consider both correctness and completeness.

6.2. Rethinking the Evaluation of Tubular Structures

Although the overlap-wise metrics (DSC, IOU, etc.) and the distance-based metrics (Chamfer distance, Average symmetric surface distance, etc.) are the standard metrics adopted by the computer vision community, biomedical tasks often have special domain-specific requirements. In this organized ATM'22 challenge, The topological completeness (i.e., connectivity) is of particular interest, hence, the specific metrics should be taken into consideration. Deep distance transform (Wang et al., 2020) was designed for tubular structure segmentation in CT scans while they merely employ the DSC and mean surface distance for evaluation. They particularly formulated the tubular structure as the envelope of spheres with continuously changing center points and radii to be distinct from other general object segmentation, however, no specific metrics were adopted for evaluation. The situation is opposite to the EXACT'09 challenge (Lo et al., 2012). It provided the specific metrics (tree length detected and branches detected) to measure topological completeness of the airway extracted by the segmentation algorithms. However, in that period, the methods were mainly intensity-based, which cannot achieve the high performance of the topological metrics due to the lack of airway tree prior. Fortunately, our organized ATM'22 challenge provided a large dataset with full airway annotation. In addition, we emphasized the specific tree-like topology in the airway segmentation task. Equipped with the deep-learning technique and specified modules that emphasize the topological knowledge, it is promising to explore the intrinsic knowledge of the tubular structure and narrow the gap between the methodology and evaluation.

As demonstrated before, we adopted the TD and BD to measure the topological completeness, and DSC and Precision were used to evaluate the topological correctness. The *Betti numbers* are crucial topological invariants, and the airway of the golden standard shares the constant topological feature of $\beta_0 = 1$ (i.e., owns only a single connected component). The Betti error is hard to directly minimize due to the non-differentiable property. Moreover, the Betti error alone cannot always reflect the real topological completeness. It will fall into such a pitfall: For example, the region growing method definitely produces a single connected airway prediction due to the growth rule. However, the peripheral airways are not detected. Under such circumstances, the error of β_0 is zero while the TD/BD will be quite low.

Airway thickness (Orlandi et al., 2005; Achenbach et al., 2008) had demonstrated a strong correlation with reduced air flow, and the Airway Fractal Dimension (AFD) was proposed to conduct an auxiliary diagnosis of respiratory morbidity and mortality in COPD (Bodduluri et al., 2018). These metrics are biased toward the clinical analysis of the morphological parameters, which may be involved in our future works. The most relevant metric to our task is cIDice (Shit et al., 2021). It emphasizes the connectivity to evaluate tubular structure segmentation based on extracted soft skeletons and masks. The cIDice is defined as the harmonic mean of Topology Precision and Topology Sensitivity. It handles the FP and FN samples simultaneously, reinforcing the network to be connectivity-aware. The advantage of cIDice is that it can be designed as a loss func-

tion due to the differentiable property. However, the cIDice loss function cannot guarantee the topology of the airway because the TD/BD is calculated after the largest component extraction of the prediction while cIDice does not. To our best knowledge, the operation of the largest component extraction is non-differentiable. The cIDice is also affected by the structure size, even if the predictions of the two algorithms differ in only a single pixel, the cIDice varies remarkably. In addition, our preliminary experiments observed the soft-skeleton extracted by cIDice on the airway data was of poor quality. In conclusion, the non-differentiable topological metrics (TD/BD) are unfriendly to the pipeline of the deep learning models. The implicit modules, such as novel objective functions, are encouraged to be investigated since they had shown the ability to improve topology performance. The best supervision signals to characterize the topology priors are still far away from being solved, hence further research is necessary.

6.3. Limitations and Future of ATM'22

ATM'22 is primarily aimed at establishing the new standard norm of the airway segmentation field in the deep learning era. Our future work can be roughly concentrated on three aspects. Firstly, more cases with diverse diseases and low resolution would be introduced to strengthen the evaluation of the generalization ability. ATM'22 only introduced the COVID-19 disease due to the extreme difficulty of annotating these noisy CT scans. Benefiting from the ensemble of the top-ranked algorithms from the outcome of ATM'22, it is feasible to introduce more diseased cases. These diseased cases can be first pre-segmented by a strong ensemble model to relieve the burden of the clinician. Secondly, we would extend our challenge. ATM'22 is currently focused on binary airway segmentation. There are several recent works (Tan et al., 2021; Xie et al., 2022; Yu et al., 2022a) that start the airway anatomical labeling (i.e., branch-wise airway classification or segmentation). The qualified binary segmentation is the foundation of the assignment of anatomical names to the corresponding branches of the airway tree. The assignment task is a promising direction to promote our challenge as fine-grained labels provide a detailed map for bronchoscopic navigation and the morphological changes will be position-aware. Thirdly, we would expand our challenge to include more tubular structures. The topology performance is also crucial in other tubular structures, such as the fundus blood vessel, hepatic vessels, and coronary artery. The generalization ability could not only be tested on the unseen domain of the same class, but also on universal tasks.

7. Conclusion

In this paper, we presented the Multi-site, Multi-domain Airway Tree Modeling (ATM'22) benchmark. The largest chest CT scans (500 scans in total) with full pulmonary airway annotation and the most comprehensive evaluation system were provided for this task. We summarized four typical challenges in airway segmentation, among which achieving both the high performance of topological completeness and correctness was the most crucial. Generally speaking, most teams performed better on the topological correctness (91.277% DSC and

93.669% Precision in average) than the topological completeness (83.350% TD and 75.596% BD on average). Experimental results also demonstrated that high performance of topological correctness can not consistently guarantee the topological completeness of the airways and vice versa. Several consensuses of effective methods were derived to deal with the challenges of the airway segmentation. Improve intra-class discrimination and design novel objective functions were recognized as promising directions to achieve the outstanding trade-off between topological completeness and correctness. Moreover, the non-differentiable topological metrics(TD/BD) are unfriendly to the deep learning models. Hence, the best supervision signals to characterize the topology priors are still needed further research.

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Author contributions

M.Z: Conceptualization, Methodology, Data curation, Software, Formal analysis, Writing- original draft; **Y.W:** Data curation, Software, Writing- original draft; **H.Z:** Data curation, Writing- review and editing; **Y.Q:** Data curation, Software; **H.Z:** Data curation, Software; **J.S:** Conceptualization, Data curation; **G.Z.Y:** Conceptualization, Supervision, Writing- review and editing; **Y.G:** Project administration, Conceptualization, Methodology, Supervision, Writing- review and editing.

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Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could be appeared to influence the work reported in this paper.

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