### How do humans catch balls?

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### About me

## 2009-2013 BSc in radio engineering and cybernetics, Moscow

- Internship at Intel, multimedia digital signal processor
- Part-time job at Netcracker, solutions for telecom providers

#### 2013-present MSc in communications and multimedia engineering

- Signal processing + machine learning
- Stochastic optimal control, reinforcement learning . . .

## Computation vs heuristics

#### The computational view

"...he behaves as if he had solved a set of differential equations in predicting the trajectory of the ball ... At some subconscious level, something functionally equivalent to the mathematical calculations is going on" Dawkins, 1989

#### The heuristic view

"Fix your gaze on the ball, start running, and adjust your running speed so that the angle of gaze remains constant. A player who relies on the gaze heuristic can ignore all causal variables necessary to compute the trajectory of the ball - the initial distance, velocity, angle, air resistance, speed and direction of wind, and spin, among others." Gigerenzer, 2009

# Optic acceleration cancellation (Chapman, 1968)

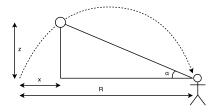


Figure 1: The tangent of the elevation angle increases uniformly with time for a catcher standing still at the ball landing point.

From the equations of motion:

$$z = (V \sin \beta)t - gt^2/2$$
$$x = (V \cos \beta)t$$

one can obtain the range:

$$R = (2V^2 \sin \beta \cos \beta)/g$$

After modest algebraic manipulations we get:

$$\tan \alpha = gt/(2V\cos \beta) = (const)t$$

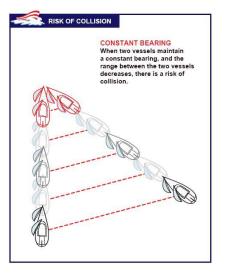


Figure 2: Courtesy of www.boatcourse.com

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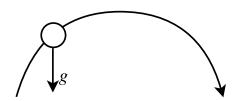


Figure 3: A simple kinematic model of the ball.

$$\mathbf{x}_b = \begin{bmatrix} x_b & y_b & z_b & \dot{x}_b & \dot{y}_b & \dot{z}_b \end{bmatrix}$$
 
$$\ddot{x}_b = 0$$
 
$$\ddot{y}_b = 0$$
 
$$\ddot{z}_b = -g$$

## Model of the catcher

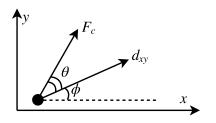


Figure 4: Catcher is a 2D point mass with a 3D gaze vector attached to it.

$$\mathbf{x}_{c} = \begin{bmatrix} x_{c} & y_{c} & \dot{x}_{c} & \dot{y}_{c} & \phi & \psi \end{bmatrix}$$

$$\mathbf{u} = \begin{bmatrix} F & \theta & \omega_{\phi} & \omega_{\psi} \end{bmatrix}$$

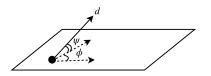


Figure 5: The gaze direction d is defined by the angles  $\phi$  and  $\psi$ .

$$\ddot{x}_c = F \cos(\phi + \theta) - \mu \dot{x}_c$$
 $\ddot{y}_c = F \sin(\phi + \theta) - \mu \dot{y}_c$ 
 $\dot{\phi} = \omega_{\phi}$ 
 $\dot{\psi} = \omega_{\psi}$ 

Model the speed/gaze direction dependence as a constraint on F:

$$F(t) \leq F_1 + F_2 \cos \theta(t)$$

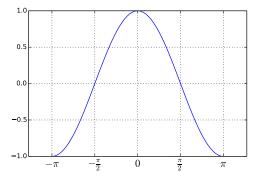


Figure 6: Cosine interpolation of the force.

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## Continuous time optimal control

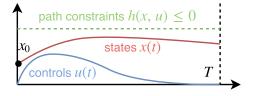


Figure 7: An example trajectory with controls and constraints.

### How to solve it?

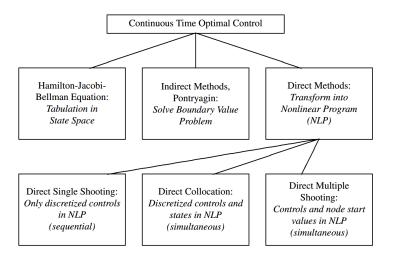
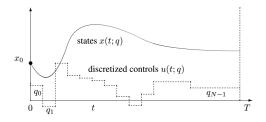
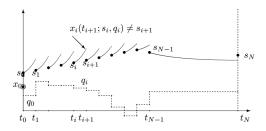


Figure 8: The optimal control family tree.

# Single vs multiple shooting





## CasADi - symbolic framework for automatic differentiation

The main purpose of CasADi is to be a low-level tool for quick, yet highly efficient implementation of algorithms for nonlinear numerical optimization.

#### **Features**

- Solvers
- Integrators
- Symbolic computations
- Automatic differentiation

Symbolic differentiation  $\neq$  automatic differentiation

## Couple the model of the catcher and the ball

How to incorporate the desire to look at the ball?

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## Observation model

### Discrete-time dynamics

$$x_t = f(x_{t-1}, u_{t-1}) + \epsilon_t, \quad \epsilon_t \sim \mathcal{N}(0, Q)$$
  

$$z_t = h(x_t) + \delta_t, \quad \delta_t \sim \mathcal{N}(0, \frac{R(x_t)}{2})$$

## Observation model

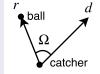
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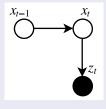
#### Observation model

$$h(x_t) = \begin{bmatrix} x_b & y_b & z_b & x_c & y_c & \phi & \psi \end{bmatrix}$$
$$\sigma_b^2 = \sigma_{\text{max}}^2 (1 - \cos \Omega) + \sigma_{\text{min}}^2$$
$$R(x_t) = diag\{\sigma_b^2, \sigma_b^2, \sigma_b^2, 0, 0, 0, 0\}$$



# Belief space planning, framework

### Extended Kalman filter : $(\mu_{t-1}, \Sigma_{t-1}, u_{t-1}, z_t) \rightarrow (\mu_t, \Sigma_t)$



$$\begin{array}{rcl} \bar{\mu}_t & = & f(\mu_{t-1}, u_{t-1}) \\ \bar{\Sigma}_t & = & A_{t-1} \Sigma_{t-1} A_{t-1}^T + Q_t \\ K_t & = & \bar{\Sigma}_t C_t^T (C_t \bar{\Sigma}_t C_t^T + Q_t)^{-1} \end{array}$$

$$\mu_t = \bar{\mu}_t + K_t(\mathbf{z}_t - h(\bar{\mu}_t))$$
$$\Sigma_t = (I - K_t C_t)\bar{\Sigma}_t$$

#### Definition

A belief is a distribution of the state  $x_t$  given all past observations and controls  $b(x_t) = p(x_t | z_{1:t}, u_{0:t})$ .

Gaussian belief:

$$b_t = (\mu_t, \Sigma_t)$$

# Belief space planning, application

Assume maximum likelihood observations, i.e.  $z_t = h(\bar{\mu}_t)$ . The dynamics of the belief  $b_t = (\mu_t, \Sigma_t)$  is then given by:

$$\mu_t = f(\mu_{t-1}, u_{t-1})$$
  
$$\Sigma_t = (I - K_t C_t) \bar{\Sigma}_t$$

The cost function is:

$$C = c_T(\mu_T, \Sigma_T) + \sum_{t=0}^{T-1} c_t(\mu_t, \Sigma_t, u_t)$$

where

$$c_T(\mu_T, \Sigma_T) = \mu_T^T P_T \mu_T + \operatorname{tr}(M_T \Sigma_T)$$
  
$$c_t(\mu_t, \Sigma_t, u_t) = u_t^T N_t u_t + \operatorname{tr}(M_t \Sigma_t)$$

# Covariance-free trajectory optimization

Single shooting		Multiple shooting	
$u_{0:T-1}$	$\mathcal{C}(\mu_0, \Sigma_0, u_{0:T-1})$	$\min_{\substack{u_{0:T-1}\\\mu_{0:T}}}$	$\mathcal{C}(\mu_{0:T}, \Sigma_0, u_{0:T-1})$
	$ ilde{f}(\mu_0,u_{0:t-1}) \in \mathcal{X}_{feasible}$ $u_t \in \mathcal{U}_{feasible}$	s.t.	$\mu_{t+1} = f(\mu_t, u_t)$
			$\mu_t \in \mathcal{X}_{feasible}$
			$u_t \in \mathcal{U}_{feasible}$

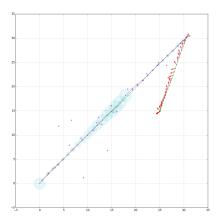
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Results

Demo

Show the demo

## Successful catch



1.0 Constant teams angle

2.0 Constant teams gargle

2.0 Constant teams gar

Figure 10: OAC and CBA hold.

Figure 9: An example of a successful catch.

## Unsuccessful catch

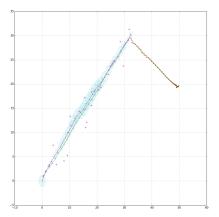


Figure 12: OAC still holds, while CBA does not.

Figure 11: An example of a miss.

## Conclusion and future work

#### Main results:

- an optimal control based model was developed
- that agrees with heuristics
- and generates reasonable behaviors even when heuristics are not applicable (the ball is outside of the field of view)

#### To be done:

- run more tests
- check more heuristics