

Driver Name:
Location:
Hire Date/Driving Date:
Employee ID Code:

Driver Qualification File Checklist

Non-CDL	CDL
☐ GVW Rating 10,001 – 26,000 lbs.	☐ GVW Rating 26,001 lbs. or more
☐ Driver Application (§391.21)	☐ Driver Application (§391.21)
☐ Copy of Driver's License	☐ Copy of Driver's License
☐ Medical Examiner's Certificate (§391.43) & National Registry Verification	☐ Medical Examiner's Certificate (§391.43) & National Registry Verification
(§391.23(m))	(§391.23(m)
☐ Annual Review (§391.25)	☐ Annual Review (§391.25)
☐ Motor Vehicle Record / Release (§391.23)	☐ Motor Vehicle Record / Release (§391.23)
☐ Safety Performance History Records Requests (§391.23)	☐ Safety Performance History Records Requests (§391.23)
☐ Road Test & Certificate (§391.31)	☐ Road Test & Certificate (§391.31) -OR CDL in lieu of Road Test (§391.33)
	☐ Clearinghouse Consent Form



DRIVER APPLICATION

Company Nam	ne:	Locat	ion: Region/District/Brand	ch:	_
Company Addi	ress:Street	City	0	tata	7:n
	Street	City		tate	Zip
Review in Review in Have erro prospectiv Have a re informatio	my safety performance hist formation provided by currer rs in the information correcte re employer; and buttal statement attached to n.	arding current and/or previous employers ory as required by 49 CFR 391.23(d) and nt/previous employers; ed by previous employers and for those put the alleged erroneous information if the previous employers.	may be used, and those em (e). I understand that I hav revious employers to re-sen previous employer(s) and I c	e the right to: d the corrected annot agree or	I information to the
Name:					
	Last	First			Middle
Social Seci	urity Number	Phone Number	Date of Birth		Hire Date
Address:	·				
	Street	City	State	Zip	Number of Years
Past 3 Year _ Residency:	Street	City	State	Zip	Number of Years
•	Street	City	State	Zip	Number of Years
		Employment Hi		<u> </u>	
Current or La Street Addres Position Held Reasons for I Were you sub Was your job of 49 CFR Pa	st Employer Name:ss: :eaving: pject to the FMCSRs** who designated as a safety-sart 40:	g address: street number and name, city, City: From: Prom: Notensitive function in any DOT-regulate I JOBS – Include dates (month/year)	Phone #: (_ State: (month/year) ed mode subject to the di	Zip: _ To:	(month/year)
Second Last	Employer Name:		Phone #: ()	
		City:			
Position Held		From:		' -	
D (· Landar		(month/year)		(month/year)
Were you sub Was your job 49 CFR Part	, designated as a safety-s 40: ☐ Yes ☐ No	nile employed: Yes No sensitive function in any DOT-regulate	·	rug and alcoh	nol testing requirements of
		```		)	
		City:			
		From:			
		<del></del>	(month/year)		(month/year)
Were you sub Was your job 49 CFR Part	oject to the FMCSRs** who designated as a safety-s 40: ☐ Yes ☐ No	nile employed: Yes No Sensitive function in any DOT-regulate	·	rug and alcoh	nol testing requirements of

^{*}Any gaps in employment and/or unemployment must be explained.

^{*}Includes vehicles having a GVWR of 26,001 lbs. or more, vehicles designed to transport 16 or more passengers (including the driver), or any size vehicle

used to transport hazardous materials in a quantity requiring placarding.

**The Federal Motor Carrier Safety Regulations (FMCSRs) apply to anyone operating a motor vehicle on a highway in interstate commerce to transport passengers or property when the vehicle: (1) weighs or has a GVWR of 10,001 pounds or more, (2) is designed or used to transport 9 or more passengers, OR (3) is of any size and is used to transport hazardous materials in a quantity requiring placarding.

#### **EXPERIENCE AND QUALIFICATION**

Attach separate sheet if more space is needed.

CL ASS C	)E EC	UIPMENT	TYPE OF EQI	IIDMENIT		DATES		AP	PROXI	IMATE
	) LG	OII WENT			FROM	ТО		NUM	BER O	F MILES
Straight Truck			Van Reefer	Tank Flat						
Tractor & Semi-1	railer		Van Reefer	Tank Flat			OR			
Tractor – Two Tr	ailers		Van Reefer	Tank Flat						
Tractor – Three	Trailer	S	Van Reefer	Tank Flat						
Motorcoach - So (Greater than 8 pas			N/A							
Motorcoach - So (Greater than 15 pa	chool I	Bus	N/A							
Other:			Van Reefer	Tank Flat						
Accident Histor	v (3 v	vears)								
f no accidents ir	n the	last 3 years, chec	k here: 🗌							
DATE (Month/Year)		NATURE OF (head-on, rear er				_	NUMBER OF INJURIES		HAZARDOUS MATERIALS SPILL	
									Yes	□No
									Yes	☐ No
									Yes	☐ No
		and Forfeitures ( s and/or forfeiture		ars, check	k here: 🗌					
		IOLATION ons involving park	ATION STATE OF VIOLATION involving parking only)		_ATION	PENALTY				
License Inform	ation									
LICCHSC IIIIOIIII		LICENSING AUTH		LICENSE	: NO	CLASS	ENDORSEM	ENT (S)	EXF	PIRATION
Driver licenses		(State/Province/Te	ritory)	LICENSE	. NO.	CLAGG	LINDONSLINI	LIVI (O)		DATE
or permits held										
in the past 3 years										
youro										
A. Have you ev	er be	en denied a license	permit, or priviled	ae to opera	te a motor vel	hicle: N	⊥ ′es □ No			
•		permit or privilege ev				Y	<u> </u>			
IF THE ANSWER	R TO I	EITHER A OR B IS	YES, GIVE DETA	ILS:						
Applicant Certi	ficati	<u>on</u>								
This certifies that my knowledge.	t this a	application was com	pleted by me, and	that all en	tries on it and	l informatior	n in it are true ar	nd comple	te to th	ne best of
		A								
		Applicant's Signati	ıre				Date			

This form is made available with the understanding that J. J. Keller & Associates, Inc. is not engaged in rendering legal, accounting, or other professional services. J. J. Keller & Associates, Inc. assumes no responsibility for the use of this form, or any decision made by an employer which may violate local, state, or federal law.



#### DRIVER'S LICENSE INFORMATION REQUEST

Company Name: Location Name: Employee Name: Employee Code: FILE COPY - DRIVER'S LICENSE MISSING Please provide J. J. Keller with a clear photocopy of the front and back of an updated license in the space below: In addition to the driver's license copy above, please complete the following information exactly as it appears on the driver's license: First Name: _____ Middle Name: _____ Last Name: _____ Date of Birth: _____ Driver's License Number: State of License/Province: _____ Expiration Date: _____ Utilizing CDL: ☐ Yes ☐ No Primary Method of Logging Hours: 

Time Sheets Grid Logs Company Representative Signature:

# Medical Examiner's Certificate – MCSA 5876

# **ANNUAL REVIEW OF DRIVING RECORD**

NAME OF DRIV	ER:	
ID NUMBER:		
MOTOR CARRI	ER:Name and Address	
covering at least	S TO CARRIER: At least once every 12 months, obtain the mot the preceding 12 months, from each driver's licensing authorit is license or permit during that time period.	
Review the MVF	R in accordance with 49 CFR §391.25, as outlined below, and c	omplete the Certificate of Review.
disqualified to dr any evidence tha Hazardous Mate laws governing t	the review is to determine whether the driver meets minimum revive a motor vehicle pursuant to §391.15 or (for CDL holders) § at the driver has violated applicable provisions of the Federal Merials Regulations. Also consider the driver's accident record and the operation of motor vehicles. Motor carriers must give great or operating while under the influence of alcohol or drugs — the olic safety.	383.51. When reviewing the MVR, conside lotor Carrier Safety Regulations or d any evidence that the driver has violated weight to violations — such as speeding,
	CERTIFICATE OF REVIEW	
	fy that I have reviewed the driving record of the abo .25 and find that the driver (check one):	ve-named driver in accordance with
☐ Does ☐ Is disc	minimum qualifications for safe driving not meet minimum qualifications for safe driving qualified to drive a motor vehicle pursuant to §391.15	
Notes/actions	taken:	
Reviewed by:	Signature	Date
	Printed Name	Title

MAINTAIN THIS DOCUMENT IN THE DRIVER'S QUALIFICATION FILE FOR THREE YEARS FROM REVIEW DATE (see 49 CFR §391.51)

Sample documents should NOT be construed as legal advice, guidance or counsel. Employers should consult their own attorney about their compliance responsibilities under the Fair Credit Reporting Act and applicable state law. J.J. Keller & Associates, Inc. expressly disclaims any warranties or responsibility or damages associated with or arising out of information provided. Employers seeking credit reports must provide additional notices pursuant to state law.

#### ACKNOWLEDGMENT AND AUTHORIZATION FOR BACKGROUND CHECK

I acknowledge receipt of the separate document entitled DISCLOSURE REGARDING BACKGROUND INVESTIGATION, DISCLOSURE REGARDING INVESTIGATIVE BACKGROUND INVESTIGATION and A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT and certify that I have read and understand both of those documents. I hereby authorize the obtaining of "consumer reports" (i.e. driving records, criminal history, social security verification, and/or education history) and/or "investigative consumer reports" (i.e. employment and/or education verification) by **EMPLOYER** ("Employer") at any time after receipt of this authorization and throughout my employment, if applicable. To this end, I hereby authorize, without reservation, any law enforcement agency, administrator, state or federal agency, institution, school or university (public or private), information service bureau, employer, or insurance company to furnish any and all background information requested by **J. J. Keller & Associates, Inc., PO Box 368, Neenah, WI 54957-0368, (877)-564-2333, www.jjkeller.com** and/or Employer. I agree that a facsimile ("fax"), electronic or photographic copy of this Authorization shall be as valid as the original.

Employer Name*:		("Employer")
Last Name*:	First*:	Middle*:
Date of Birth*:	Social Security Numb	per (SSN)**:
Address*:		
Email Address*:		
Signature*:		Date*:

^{*}Required Information

^{**}SSN is required for the state of Illinois



#### **RECORD OF ROAD TEST**

Driver's Name: Address: Equipment Driven: Truck/Tractor: _____ ____ Trailer: ____ Checked From: To: Date: For those items that apply, checkmark (✓) if driver's performance is satisfactory, mark with an X if driver's performance is unsatisfactory. Explain unsatisfactory items under Remarks. Use not applicable (NA) for items that do not apply. PART 1 - PRE-TRIP INSPECTION AND EMERGENCY D. STEERING **EQUIPMENT** Controls steering wheel Good driving posture and good grip on wheel Checks general condition approaching unit E. LIGHTS Looks for leakage of coolants, fuel, lubricants Knows lighting regulations Checks under hood – oil, water, general condition Uses proper headlight beam of engine compartment, steering Dim lights when meeting or following other traffic Checks around unit – tires, lights, trailer hook up. Adjusts speed to range of headlights Brake and light lines, body, doors, horn, windshield Proper use of auxiliary lights Tests brake action, tractor protection valve and PART 4 - BACKING AND PARKING parking (hand) brake A. BACKING Gets out and checks before backing Checks horn, windshield wipers, mirrors, emergency equipment, reflectors, flares, fuses tire chains (if Looks back as well as uses mirror necessary), fire extinguisher Gets out and rechecks conditions on long back Checks instruments for normal readings Avoids backing from blind side Checks dashboard warning lights for proper functioning Signals when backing Cleans windshield, window, mirrors, lights, reflectors Controls speed and direction properly while backing Reviews and signs previous report **B. PARKING (City)** Does not hit nearby vehicles or stationary objects PART 2 - COUPLING AND UNCOUPLING Parks proper distance from curb Lines up units Sets parking brake, puts in gear, chocks wheels, Connects glad hands to trailer to apply trailer shuts off motor brakes before coupling Checks traffic conditions and signals when Connects glad hands and light line properly pulling out from parked position Couples without difficulty Parks in legal and safe location Raises landing gear fully after coupling C. PARKING (Road) Visually checks king pin assembly to be certain Parks off pavement of proper coupling Avoids parking on soft shoulder Checks coupling by applying hand valve or tractor Uses emergency warning signals when required protection valve (trailer air supply valve) and gently applying pressure by trying to pull away from trailer Secures unit properly Assure that surface will support trailer before PART 5 - SLOWING AND STOPPING uncoupling Uses gears properly ascending PART 3 - PLACING VEHICLE IN MOTION AND USE OF Gears down properly descending **CONTROLS** Stops and restarts without rolling back A. ENGINE Tests brakes before descending grades Places transmission in neutral before starting engine Uses brakes properly on grades Starts engine without difficulty Uses mirrors to check traffic to rear Allows proper warm-up Signals following traffic Understands gauges on instrument panel Avoids sudden stops Maintains proper engine speed (rpm) while driving Stops smoothly without excessive fanning Does not abuse motor Stops before crossing sidewalk when coming out of **B. CLUTCH AND TRANSMISSION** driveway or alley Starts loaded unit smoothly Stops clear of pedestrian crosswalks Uses clutch properly PART 6 - OPERATING IN TRAFFIC PASSING AND TURNING Times gearshifts properly A. TURNING Shifts gears smoothly Signals intention to turn well in advance Uses proper gear sequence Gets into proper lane well in advance of turn C BRAKES Checks traffic conditions and turns only when Intersection is clear Knows proper use of tractor protection valve Restricts traffic from passing on right when preparing Understands low air warning to complete right hand turn Tests service brakes Completes turn promptly and safely and does not Builds full air pressure before moving

impede other traffic

B. TRAFFIC SIGNS AND SIGNALS  Approaches signal prepared to stop if necessary  Obeys traffic signal  Uses good judgment on yellow light	Goes ahead when given rig Does not crowd other drive through traffic Allows faster traffic to pass	rs or force way
Starts smoothly on green	Keeps right and in own land	e
Notices and heeds traffic signs	Uses horn only when neces	-
Obeys "Stop" signs	Generally courteous and us	ses proper conduct
C. INTERSECTIONS Adjusts speed to permit stopping if necessary	PART 7 – MISCELLANE A. GENERAL DRIVING A	ABILITY AND HABITS
Checks for cross traffic regardless of traffic controls	Consistently alert and atter	ntive
Yields right-of-way for safety	Adjusts driving to meet cha	inging conditions
D. GRADE CROSSINGS Adjusts speed to conditions	Performs routine functions from road	without taking eyes
Makes safe stop, if required	Checks instruments regula	rly while driving
Selects proper gear and does not shift gears while crossing	Willing to take instructions Adequate self-confidence i	= =
Knows and understands federal and state rules governing grade	•	
crossing	Is not easily angered	
E. PASSING	Positive attitude	<del></del>
Passes with sufficient clear space ahead	Good personal appearance	e, manner, cleanliness
Does not pass in unsafe location: hill. curve, Intersection	Good physical stamina  B. HANDLING OF FREIO	 GHT
Signals change of lanes	Checks freight properly	
Warns driver being passed	Handles and loads freight p	properly
Pulls out and back with certainty	Handles bills properly	
Does not tailgate	Breaks down load as requi	red
Does not block traffic with slow pass	C. RULES AND REGULA	
Allows enough room when returning to right lane	Knowledge of company rul	
F. SPEED	Knowledge of regulations:	
Speed consistent with basic ability	Knowledge of special truck	
Adjusts speed properly to road, weather, traffic conditions, legal limits	D. USE OF SPECIAL EQ	UIPMENT (Specify):
Slows down for rough roads		
Slows down in advance of curves. intersection, etc.	<del></del>	
Maintains consistent speed  G. COURTESY AND SAFETY Uses defensive driving techniques		
Yields right-of-way for safety		
REMARKS:		
GENERAL PERFORMANCE: Satisfactory	Needs Training	Unsatisfactory
QUALIFED FOR: Truck Tractor-Semitrailer	Other:	
•	e of Examiner	
Instructions to Carrier: If the road test is successfully completed, the poriginal of the signed road test form and the original of the Certification was examined, and duplicate copies provided to the person examined.	of Road Test shall be retained in th	e driver qualification file of the person who
Driver's Name:	Type of Power Unit:	
Type of Trailer(s):		
If Passenger Carrier, Type of Bus:		
This is to certify that the above-named driver was given a road test approximately miles of driving. It is my considered opinion commercial motor vehicle listed above.	that this driver possesses sufficien	t driving skills to operate safely the type of
Signature of Examiner:		
Title: Addre	ess of Examiner (City & State):	

#### SIDE 1 SAFETY PERFORMANCE HISTORY RECORDS REQUEST

**RECIPIENT EMPLOYER:** The individual identified in SECTION 1 below has indicated that you employ(ed) or use(d) them within the last 3 years in a position that involved the operation of a commercial motor vehicle.

In accordance with 49 CFR §391.23, we are hereby requesting that you supply us with the Safety Performance History of this individual. **Under DOT rule §391.23(g)**, you *must* respond to this inquiry within 30 days of receipt.

Please complete SECTIONS 2 and 3 (as applicable) and return to the prospective employer shown in SECTION 1.

**APPLICANT:** Complete SECTION 1 and submit to prospective employer.

PROSPECTIVE EMPLOYER: Complete SECTION 4a and send form to current/previous employer. Upon receipt of completed form, complete SECTION 4b and retain.

SECTION 1:	TO BE COMPLETED BY PROSPECTIVE EMPLOY	ΈΕ
I, (Print Name)	First, M.I., Last hereby authorize:	Social Security Number
Previous Employer:		Date of Birth Email:
Street:		Telephone:
City, State, Zip:		Fax No.:
To release this informa <b>J. J. Keller and Ster</b> Prospective Employer:	tion in a written form that ensures confidentiality, such as fax, email, or letter, to:  ling on Behalf of  Sterling on behalf of:	
Attention:	DOT Verifications Telephone: 833-631-2429	
Street:	6150 Oak Tree Blvd., Suite 490	
City, State, Zip:	Independence, OH 44131	
Prospective employer's	confidential fax number: 646-829-3534	
Prospective employer's	confidential email address: verify.background@sterlingcheck.com	
	Applicant's Signature	Date
SECTION 2:	TO BE COMPLETED BY PREVIOUS EMPLOYER	R
	EMPLOYMENT VERIFICATION	
• •	d above was or is employed or used by us. Yes $\Box$ No $\Box$ e) from (m/y) to	o (m/y)
	or vehicle for you? Yes $\square$ No $\square$ If yes, what type? Straight Truck $\square$ bles/Triples $\square$ Other (Specify)	
Completed by: _		
Company: _		
Street: _		
City, State, Zip: _	Tel	lephone:
Signature: _		Date:
	Complete Section 3 on SIDE 2 before returning.	

SIDE 2	Employee Name:	Date:
SECTION 3:	TO BE COMPLETED BY PREVIOUS	EMPLOYER
	ACCIDENT HISTORY	
	if there is <b>no</b> accident register data for this driver. Complete t ter (§390.15(b)) that involved the applicant in the 3 years prior to	
2	Location	No. of Injuries No. of Fatalities Hazmat Spill  ——————————————————————————————————
Please provide	e information concerning any other commercial motor vehicle acc t agencies or insurers or retained under internal company policies	•
SECTION 4a:	TO BE COMPLETED BY PROSPECTIV	E EMPLOYER
This form was (	check one) Faxed to previous employer Mailed	Emailed Other
Ву:		Date:
Subsequent atte	empts to contact previous employer (§391.23(c)(1)):	
SECTION 4 b:	TO BE COMPLETED BY PROSPECTIV	E EMPLOYER
Complete below	v when information is obtained.	
	eived from:	
Recorded by: _	Method	Fax Mail Email Telephone

Other _____

Date:



## **EMPLOYMENT INVESTIGATION VERIFICATION**

Please complete the following fields if:

Driver has been employed with current employer for three (3) years or more.

And/Or

Driver noted they did not have any previous employment experience working in a DOT regulated position in the preceding three (3) years, other than the current employer.

Driver Name:

Company Name:

Driver's Hire Date:

Date Driver Began Driving for Current Employer (if different from hire date):

Supervisor's Signature:

Date:

Date:

This is to fulfill Section 391.23/40.25 of the Federal Motor Carrier Safety Regulations relating to investigating into

previous employment.

#### Consent to Conduct Queries of the FMCSA CDL D&A Clearinghouse

As a condition of and for the duration of	("Company"), I,		
	• • •	(Company)	
	, do hereby consent to allow "comp	any" and/or it's Third Party Administrator, J. J. Keller	Î
(Driver Name)	·		
& Associates, Inc., PO Box 368, Neena	h, WI 54957-0368, (877)-564-2333, <u>www.</u>	jikeller.com to perform full and limited queries of and	1
to obtain information from the FMCSA (	Commercial Driver's License Drug and Alc	ohol Clearinghouse (Clearinghouse) about me,	
	nformation about me in the Clearinghouse	, ,	
understand that queries will be conduc	eted at least on an annual basis in accorda	ance with FMCSA regulations.	
•	•	ery of the Clearinghouse, the Company must prohibit tor vehicle, as required by FMCSA's drug and alcoho	
CDL #:	State of Issuance:	Date of Birth:	_
Driver's Signature:		Date:	

#### **FMCSA D&A Testing Clearinghouse Driver Registration Instructions**

#### **Creating a Login.gov Account**

- 1. Go to <a href="https://clearinghouse.fmcsa.dot.gov/register">https://clearinghouse.fmcsa.dot.gov/register</a> and click on "Go to login.gov" to create a login.gov account
- 2. Click "Create an account"
- 3. Enter your email address and click submit
- 4. Check your email and open the email from no-reply@login.gov with the subject Confirm your email
- Click Confirm email address
- 6. Create a password (must be 12 + characters long and "strong enough" to continue), click "Continue"
- 7. Select an option to authenticate your account (phone via text or call; authentication application; government employee; or I don't have any of the above in which case you will be given 10 backup codes to keep in a secure place)
  - a. Best option is to utilize a cell phone with text first
- 8. Enter the security code you are sent within 10 minutes in the "One-time security code" box and click submit
- 9. You will be prompted to set up a second authentication method repeat steps 7 and 8. You'll only use one method to sign in.
  - a. Best option is to use a land line here
    - i. Note: if you utilize a land line you will need to be next to it to answer and get the code as soon as you click on this option.
- 10. Click continue and then continue under "You can now sign in to the FMCSA Drug & Alcohol Clearinghouse"

#### Register for Clearinghouse (Start Here if you already have a login.gov account)

- 1. Login with email and password if you already have a login.gov account: https://clearinghouse.fmcsa.dot.gov/
- 2. Select your role Driver
- 3. Enter your contact information and your preferred method of contact (email is advisable as notifications are time-sensitive) and click next
  - a. Note: if you select U.S. Mail you will be asked to confirm this selection
- 4. Enter CDL information and click verify this info will be verified against the information in the CDLIS
- 5. You will receive a "Success! We have verified your CDL information" when it's been verified. Click next
- 6. Check box to accept terms and conditions and click I Agree
- 7. Registration Complete