

[TREES]

Tree - It is a non-linear datastructure.

Glossary:

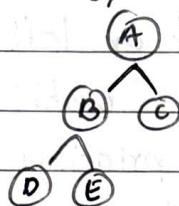
Root - A node with no parents.

Edge - Link from parent to child.

Leaf node - A node with no children.

Siblings - Children of same parent.

Ancestor - Analogy of grandchildren



A, B is ancestor of D & E

Level - Set of all nodes at a given depth.

Above tree, at level 2, set of nodes (D, E)

Node depth - Length of path from root to the node.

Node height - Length of path from that node to deepest node

```

func (binarytree *BinaryTree) NodeDepth (node *BTNode) int {
    root := binarytree.root
    if root == nil {
        return 0
    } else {
        queue := &Queue{ }
        queue.CreateNew()
        queue.Enqueue(root)
        queue.Enqueue(nil) // end of level
        level := 0
        for !queue.IsEmpty() {
            bnode := queue.DeQueue()
            if bnode == nil {
                if !queue.IsEmpty() {
                    queue.Enqueue(nil)
                }
            }
        }
    }
}
  
```

level++ };

if node.data == bnode.data ?

return level + 1

}

} else ?

if bnode.left != nil ? queue.Enqueue(bnode.left) }

if bnode.right != nil ? queue.Enqueue(bnode.right) }

}

} // end of for

} // end of function

func NodeHeight () ?

It has only one change, find node.level, find deepest node level, return deepest node level - node.level + 1

Skew Trees - Every node has one child.

Binary Trees

A tree is binary if it has 0, 1, or 2 children.

Strict BT \rightarrow Exactly 2 children or No children

Full BT \rightarrow -L - R - RL & all leaf nodes at same level.

Complete BT \rightarrow All leaf nodes are at height 'h' or 'h-1' & w/o any missing number from the sequence.

Properties

Full BT - No. of nodes $\Rightarrow 2^{h+1} - 1$
- Leaf nodes $\Rightarrow 2^h$

Complete BT - No. of nodes $\Rightarrow 2^h$ (minimum)
 $2^{h+1} - 1$ (maximum)

Applications

Expression trees used in compilers

Huffman coding trees used in data compression algorithm.

BST supports Insertion, Search & Deletion in $O(\log n)$

BT Traversals

PreOrder	L D L R	D - Visit root
----------	---------	----------------

InOrder	L D R	L - Left subtree
---------	-------	------------------

PostOrder	L R D	R - Right subtree
-----------	-------	-------------------

LevelOrder

- ① Visit the root
- ② While traversing level 'e', keep all elements at level 'e+1' in the queue.
- ③ Go to next level & visit all nodes at that level.
- ④ Repeat this until all levels are completed.

Problem 26 - LCA of two nodes in a binary tree.

Algorithm

- ① Start from the root of the binary tree.
- ② If the root is null or equal to either of the two nodes, return the root as the LCA.
- ③ Recursively search for LCA in left & right subtrees.
- ④ If both nodes are found in different subtrees, return current root as LCA.
- ⑤ If both nodes are found in the same subtree, continue search in that subtree.
- ⑥ If one or both nodes are not found return null.

```

func LCA (root *BTNode, firstNode *BTNode, secondNode *BTNode)
    *BTNode {
    if root == nil || root == firstNode || root == secondNode {
        return root
    }
    left = LCA (root.left, firstNode, secondNode)
    right = LCA (root.right, firstNode, secondNode)
    if left != nil & right != nil {
        return root           // Found in different subtrees
    }
    if left != nil { return left } else { return right }
}

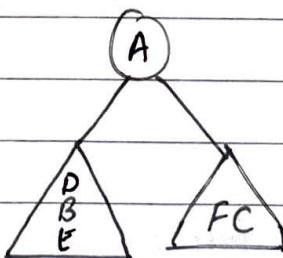
```

Problem 27: Algorithm for constructing a binary tree from Inorder & Preorder traversals.

Inorder: D B E A F C

Preorder: A B D E C F

- Preorder's leftmost element is the root
- Inorder's elements falling to left of A are part of left subtree & elements on right of A are part of right subtree



Algorithm:

- 1> Select an element from Preorder. Increment a preOrderIndex variable to pick next element in next recursive call.
- 2> Create a new tree node (newNode) with the data as selected element.
- 3> Find the selected element's index in Inorder. Let it be inOrderIndex

- 4) Call BuildBinaryTree for elements before inOrderIndex & make the built tree as left subtree of newNode.
- 5) Call BuildBinaryTree for elements after inOrderIndex & make the built tree as right subtree of newNode.
- 6) return newNode

```

func BuildBinaryTree (preorder [] rune, inorder [] rune,
                     preOrderIndex, inOrderIndex int) * BTNode {
    element := preorder [preOrderIndex]
    preOrderIndex++
    var inOrderIndex
    for i, v := range inorder {
        if element == v {
            inOrderIndex = i
            break;
    }
    newNode := & BTNode {}
    newNode.data = element
    newNode.left = BuildBinaryTree (preorder, inorder [:inOrderIndex],
                                    preOrderIndex, inOrderIndex)
    newNode.right = BuildBinaryTree (preorder, inorder [inOrderIndex + 1],
                                    preOrderIndex, inOrderIndex)
    return newNode
}

```

3) // Check textbook while coding

Problem 28: Given two traversal sequences, can we construct the binary tree uniquely?

→ If one of the traversals is Inorder then the tree can be constructed uniquely otherwise not.

Following combinations uniquely identify a tree:

- Inorder & Preorder
- Inorder & Postorder
- Inorder & Level-order

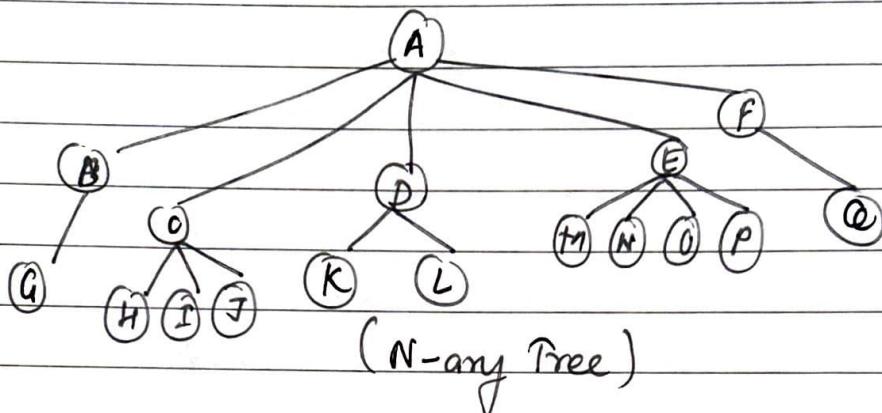
Problem 2g: Algorithm for printing all the ancestor of a node in BT.

Generic Trees (N-ary Trees)

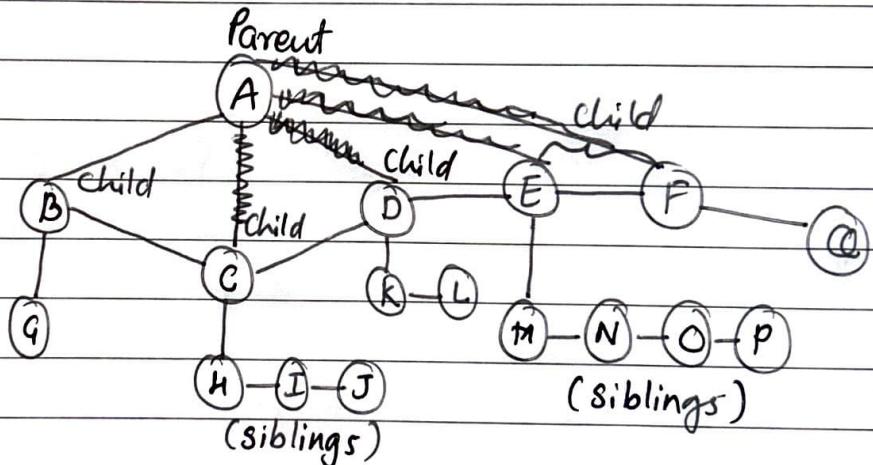
A node having 'n' number of children.

N-ary trees are represented using first child/next sibling representation.

Parent node is connected to first child & first child then connects to all its siblings.



Representation:



Structure Definition :

```
type struct *NanyTreeNode {
    data int
    firstChild *NanyTreeNode
    nextSibling *NanyTreeNode
}
```

All generic trees with a first child/next sibling representation can be treated as binary trees.

All problems for binary trees are applicable for generic trees also, instead of left and right pointers, just use firstChild & nextSibling.

classmate

Date _____

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Problem (36) Given a tree, give an algorithm for finding sum of all elements of the tree.

Nodes →	A	B	C	D	E	F	G	H	I	J	M	N	O	Q
data	A	B	C	D	E	F	G	H	I	J	M	N	O	Q
firstChild	B	G	H	K	M	Q	X	X	X	X	X	X	X	X
nextSibling	X	C	X	X	X	X	I	J	X	N	O	P	X	

How to construct n-ary tree?

```

func FindSum
func (root *NAnyTree) int {
    if root == nil { return 0 }
    return findSumHelper(root)
}

func findSumHelper(root *NAnyTree) int {
    sum := root.data +
        findSumH(root.firstChild) +
        findSumH(root.nextSibling)
    return sum
}

```

Threaded Binary Tree Traversals (Stack / Queue-less Traversals)

↳ Issues with regular Binary Tree Traversals:

- Storage space required for stack/queue is large
- Majority of binary trees are wasted (NULL) pointers.
- It is difficult to find successor node (preorder, inorder and postorder successors) for a given node.

Threaded Binary Tree Classification :

- Left Threaded - Predecessor information in NULL left pointer
- Right Threaded - Successor $\xrightarrow{\text{Predecessor}}$ $\xrightarrow{\text{Successor}}$ right pointer
- Full Threaded - Predecessor in Null left pointer & Successor in Null right pointer

Types of threaded binary tree :

- | | |
|--|---|
| Preorder TBT - left pointer \rightarrow Preorder predecessor | Right \rightarrow successor |
| Inorder TBT - \leftarrow $\xrightarrow{\text{left}}$ | Inorder $\xrightarrow{\text{right}}$ \leftarrow \rightarrow |
| Postorder TBT - \leftarrow $\xrightarrow{\text{right}}$ | Postorder \leftarrow \rightarrow \leftarrow |

Threaded Binary Tree structure:

Left	LTag	data	RTag	Right
------	------	------	------	-------

```
type struct ThreadedBinaryTreeNode {
    left *ThreadedBinaryTreeNode
    LTag int
    data int
    RTag int
    right *ThreadedBinaryTreeNode
}
```

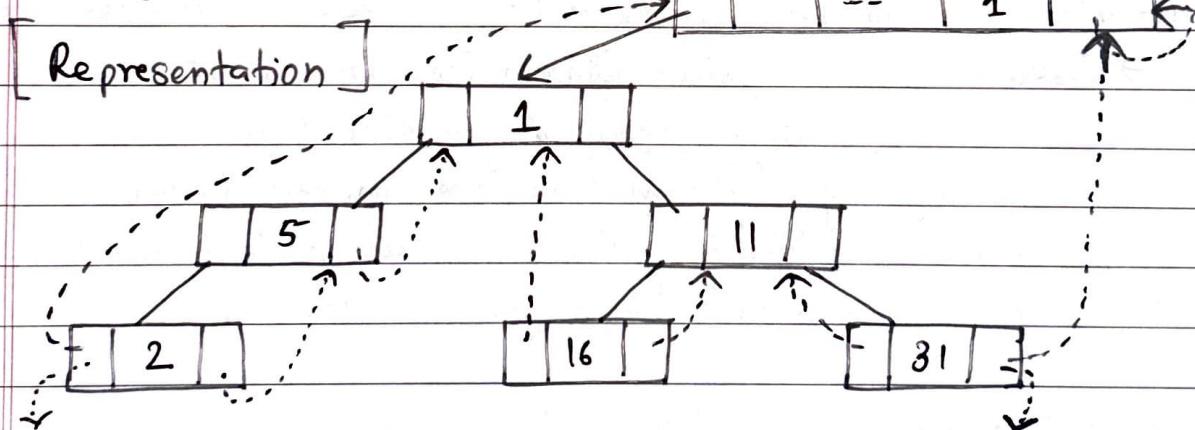
LTag == 0 , left points to in-order predecessor

LTag == 1 , left points to left child

RTag == 0 , right points to in-order successor

RTag == 1 , right points to right child

(Dummy Node)



What should leftmost & rightmost pointers point to?

Use a special node 'Dummy' whose Right Tag is 1 & its right child points to itself.

Make the leftmost pointer point to left of dummy node & rightmost pointer point to right of dummy node.

Finding Inorder Successor in Inorder Threaded Binary Tree

- If 'P' has no right subtree, then return the right child of 'P'.

i.e. RTag = 0

- If 'P' has right subtree, then return the left of the nearest node whose left subtree contains 'P'.

i.e.

while (LTag = 1) // indicates having left child
Position = Position \rightarrow left
return Position

```
func (tBinaryTree *TBT) InorderSuccessor ((tBTN *p) *TBTN)
```

position := tBTN

if p.RTag == 0 {

 return p.right

} else {

 position = p.right

 while (position.LTag == 1) {

 position = position.left

 return position

}

}

Inorder Traversal - Inorder Threaded Binary Tree

```
func (tBT *TBT) InorderTraversal (root *TBTN)
```

p := tBT.InorderSuccessor (root)

while (p != root) {

 p = tBT.InorderSuccessor (p)

 fmt.Println (p.data)

}

3

Finding Preorder Successor in Inorder Threaded BT

- ↳ If P has a left subtree, return 'left' child
- ↳ If P has no left subtree, return the right child of the nearest node whose right subtree contains 'P'.

```
func (tBT *TBT) PreorderSuccessor (node *TBTN) *TBTN {
    if node.LTag == 1 {
        return node.left
    } else {
        position = node.left
        while (position.RTag == 1)
            position = node.right
        return position
    }
}
```

PreOrderTraversal - Same as InOrderTraversal, only difference is of calling PreorderSuccessor()

Insertion of Nodes in InOrder TBT

P
left
data
RTag
LTag
right

Q
left
data
RTag
LTag
right

Expression Trees:

A tree representing an expression is called an expression tree.

Leaf nodes - Operands

Non-leaf nodes - Operators

★ Algorithm: [Building Expression Tree from Postfix expression]

- 1) Assume postfix expression is given. [A B C * D + E /]
- 2) Read one symbol at a time.
- 3) If symbol is an operand, create a tree node & push a pointer to it onto a stack.
- 4) If the symbol is an operator, pop pointers to two tree nodes T_1 & T_2 and form a new tree whose root is the operator & whose left & right children point to T_2 & T_1 , respectively. A pointer to this new tree is then pushed into the stack.

XOR Trees

- Memory efficient trees.
- Left = \oplus of its parent & left children
- Right = \oplus -l -l + + + right -l -
- Root node's parent = NULL
- Leaf node's children = NULL

Binary Search Trees (BSTs)

- Element less than root goes to left subtree
- -l - greater -l - -l - → right subtree

Balanced BSTs, AVL Trees

(Adelson-Velskii & Landis)

Notes on Binary Search Trees

- 1) Performing inorder traversal produces a sorted list.
 ② BST consider only the left/right subtree to search an element and not both.



Finding an Element in BST

→ Algorithm

- ① Accept tree root, & data to be searched.
- ② If data < root then search left subtree.
- ③ If data > root then search right subtree.
- ④ Return the element

```
func (bst *BST) find (data int) *BSTN {
```

```
    root := bst.root
```

```
    if root == nil {
```

```
        return nil
```

```
}
```

```
    if data < root.data {
```

```
        return findHelper (root, data)
```

```
    } else if data > root.data {
```

```
        return findHelper (root, data)
```

```
}
```

```
    return root
```

```
}
```

Non-Recursive

```
func findNonRecursive (root *BSTN, data int) bool {
```

```
exist := false for root != nil { if root.data == data { exist = true }
```

```
    if root > data & root.left != nil {
```

```
        root = root.left
```

```
    } else if data > root.data {
```

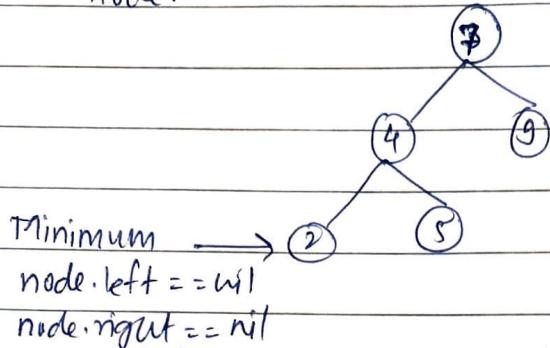
```
        root = root.right
```

```
}
```

```
return exist }
```

finding minimum element in BST

Algorithm: The minimum element in BST is the leftmost leaf node.



func findMinimum (root *BSTN) *BSTN {

 if root == nil {

 return nil

 } if root.left != nil { else if root.left == nil {

 findMinimum (root.left) return findMinimum (root.left)

 } else {

 else {

 return root

 return root

} }

 return root

}

NON-RECURSIVE

func findMinNR (root *BSTN) *BSTN {

 for root.left != nil { if root == nil { return nil }

 root = root.left

}

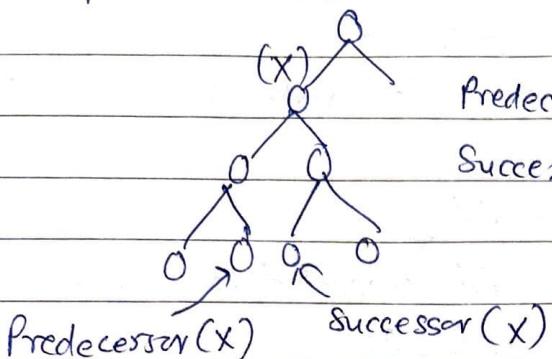
 return root

}

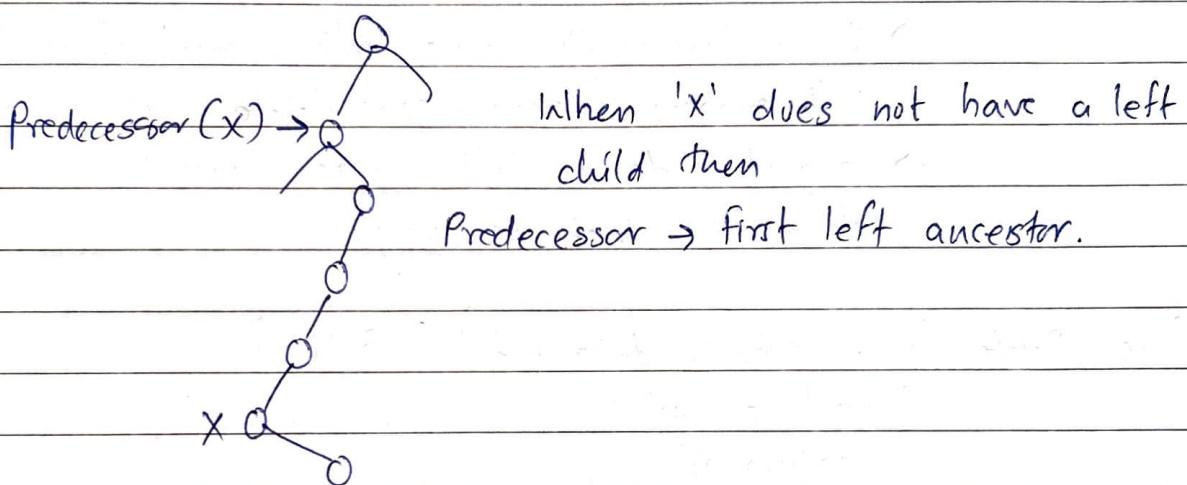
Finding Maximum (Opposite of Minimum)

Use above code but instead of 'left' use 'right' pointer.

Inorder predecessor & successor?



Inhen 'x' has 2 children

Predecessor \rightarrow Max value left subtreeSuccessor \rightarrow Min value right subtree

Inhen 'x' does not have a left child then

Predecessor \rightarrow first left ancestor.

Inserting an element in BST

Algorithm: If element is less than root insert in left subtree.
 If element is greater than root insert in right subtree.

```

func Insert (root *BSTN, data int) *BSTN {
if not == nil {
    new Node := 4 BSTN
    new Node.data = data
    new Node.left = nil
    new Node.right = nil
    if (root.data < data) {
        root.left = Insert (root.left, data)
    } else if root.data > data {
        root.right = Insert (root.right, data)
    }
}
return root
}
  
```

} end of else.

- # Deleting an element from BST
 → 3 possibilities of node deletion
- ① If node is a leaf node, make its parent point to nil.
 - ② If node has one child,
 - make node's child connected to parent
 - delete node, node=nil
 - ③ If node has two children;
 - Replace key of this node, with largest element of left subtree & recursively delete that node.
 - Largest node in left subtree cannot have right child, this delete is easy

```

func Delete (root *BSTN, data int) *BSTN {
    if root == nil {
        return nil
    }
    } else if data < root.left.data {
        root.left = Delete (root.left, data)
    } else if data > root.data {
        root.right = Delete (root.right, data)
    } else {
        // Found the node
        // Condition 1: It has 2 children
        if root.left != nil && root.right != nil {
            temp := findMax (root, data)
            root.data = temp.data
            root.left = Delete (root.left, root.data)
        } else {
            // Node has 1 child
            if root.left == nil {
                root = root.right
            }
        }
    }
}
  
```

if root.right == nil ?
 root = root.left
 }
 } End of else

return root

y

Balanced Binary Search Tree (B-BSTs)

This comes into existence when we are trying to reducing the worst time complexity from $O(n)$ to $O(\log n)$. HB(k), k is the difference between left subtree & right subtree height.

Full Balanced Binary Search Trees $\rightarrow HB(k) = 0$

AVL - Adelson - Velski - Landis Tree

$HB(k)$, $k=1$ i.e. balance factor = 1.

Properties:

- ① It is a binary search tree.
- ② For any node 'x', the height of left subtree of x & height of right subtree of 'x' differ by at most 1.

Maximum No. of Nodes

$$\begin{aligned} N(h) &= N(h-1) + N(h-1) + 1 && h-1 : \text{No. of nodes in both left} \\ &= 2N(h-1) + 1 && \text{ & right subtree.} \\ &= O(2^h) \Rightarrow h = \log n \approx O(\log n) \end{aligned}$$

Minimum No. of Nodes

$$\begin{aligned} N(h) &= N(h-1) + N(h-2) + 1 && h-1 : \text{No. of nodes in left} \\ &= O(1.618^h) && \text{subtree} \\ \Rightarrow h &= 1.44 \log n \approx O(\log n) && h-2 : \text{No. of nodes in right} \\ & && \text{subtree} \end{aligned}$$

\therefore In both the cases, AVL tree property is ensuring that the height of an AVL tree with 'n' nodes is $O(\log n)$.

AVL Tree Declaration

```
type struct AVLTreeNode {
    left *AVLTreeNode,
    data int,
    right *AVLTreeNode,
    height int
}
```

Height of an AVL Tree

```
func (root *AVLTree) int {
    if root != nil {
        return root.height
    }
    return 0
}
```

Rotations

Insertion & Deletion in AVL tree may cause $HB(k) > 1$, however, in order to ensure the tree remains an AVL tree the $HB(k) = 1$ and to achieve this we use rotations.

Scenarios, where an AVL tree becomes non-AVL.

When, an insertion happens:

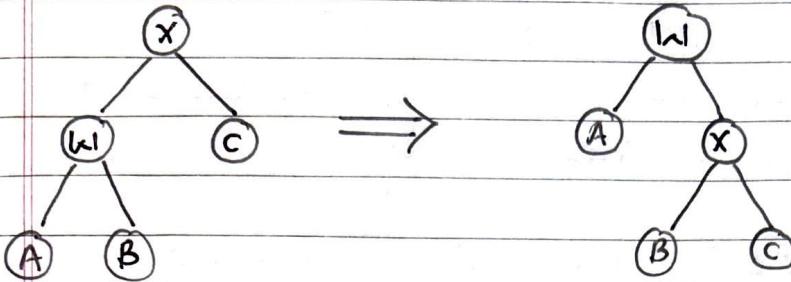
- (1) Into left subtree of the left child of X.
- (2) Into right subtree of the left child of X.
- (3) Into left subtree of the right child of X.
- (4) Into right subtree of the right child of X.

Case 1 + 3 are symmetric

Case 2 + 4 are symmetric.

Single Rotations

LL Rotation (case - 1)



Algorithm:

- ① W is x's left child. ($WL = x.left$)
- ② WL's right child becomes x's left child. ($x.left = WL.right$)
- ③ WL's right child = X ($WL.right = X$)
- ④ x's height = maximum of x's left & right subtree height + 1
- ⑤ WL's height = max of WL's left subtree & x's height.

```
func SingleRotateLeft (x *AVLTN) *AVLTN {
```

```
    WL := x.left
```

```
    x.left = WL.right
```

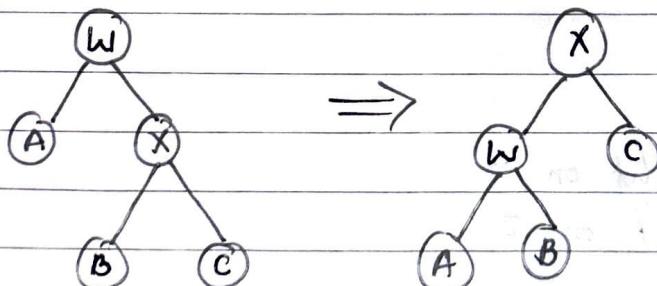
```
    WL.right = X
```

```
    X.height = max(Height(x.left), Height(x.right)) + 1
```

```
    WL.height = max(Height(WL.left), X.height) + 1
```

```
    return WL
```

RR Rotation (Case - 4)



W

Algorithm:

- ① X is W 's right child ($X = lwl.right$)
- ② X 's left child becomes W 's right child ($lwl.right = X.left$)
- ③ lwl becomes X 's left child ($X.left = wl$)
- ④ lwl 's height = max of W 's left & right subtree + 1
- ⑤ X 's height = max of W 's height & right subtree of X + 1

func SingleRotateRight ($lwl *AVLTree$) $*AVLTree$ {

$X := lwl.right$

$lwl.right = X.left$

$X.left = wl$

$lwl.height = \max(\text{Height}(wl), \text{Height}(lwl)) + 1$

$X.height = \max(lwl.height, \max(\text{Height}(X.right), wl.height) + 1)$

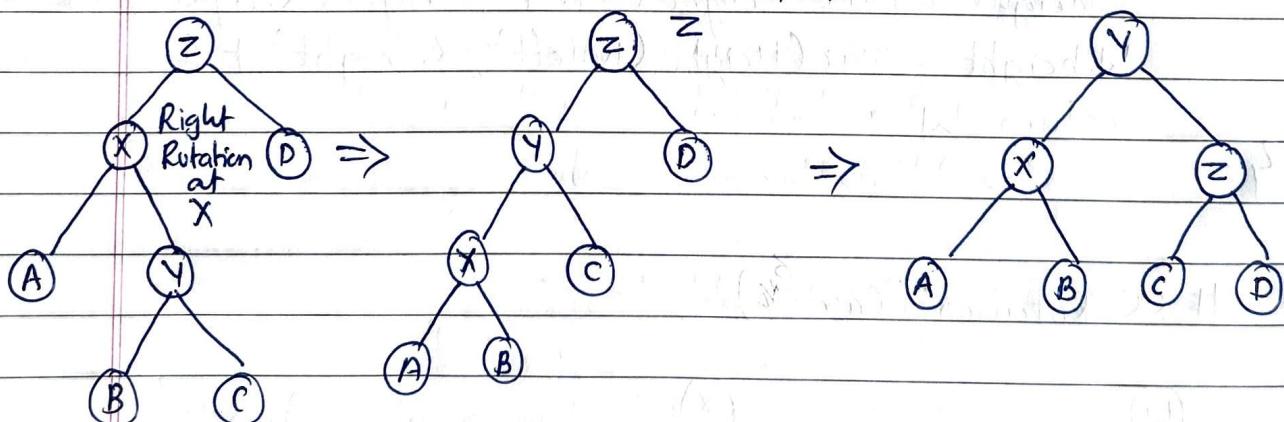
return X

3

Double Rotations

LR Rotation (Case-2)

Left rotation at

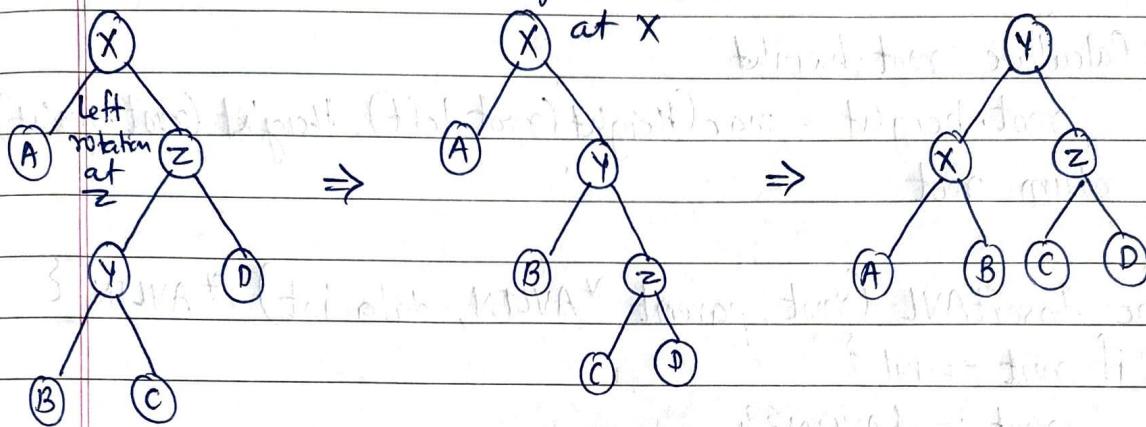
**Algorithm:**

- ① Perform SingleRotateRight on X
- ② Perform SingleRotateLeft on Z
- ③ Done

func DoubleRotateWithLeft ($z^* \text{AVLTN}$) $\rightarrow \text{AVLTN}$ {
 $z.\text{left} = \text{SingleRotateRight}(z.\text{left}) \quad \because x \Rightarrow z.\text{left}$
 return SingleRotateLeft (z) }
 3

RL Rotation (Case - 4)

Right Rotation



func DoubleRotateWithRight ($x^* \text{AVLTN}$) $\rightarrow \text{AVLTN}$ {

$x.\text{right} = \text{SingleRotateLeft}(x.\text{right})$

return SingleRotateRight (x) }
 3

INSERTION INTO AN AVL TREE

Insertion into AVL is similar to BST, however, we must check if there is any height imbalance & then apply appropriate rotation functions.

Algorithm :

- ① Pass root, parent & data to Insert.
- ② If data is less than root.date, then Insert root.left.
 - ②.1 If height of left & right subtree after insertion of left node == 2
 - ②.1.1 If data < root.left.date
 - ↪ SingleRotateLeft (root) (Inserting into left subtree of left child of X)
 - else
 - DoubleRotateLeft (root) {

(3) If $\text{data} > \text{root}.\text{data}$ then (Insert at right subtree)

(3.1) If height difference of left & right subtree == 2 then

(3.1.1) If $\text{data} < \text{root}.\text{right}.\text{data}$ (Insert right subtree
left child of X)

$\text{root} = \text{SingleRotateRight}(\text{root})$

else

$\text{root} = \text{DoubleRotateRight}(\text{root})$

(4) Calculate root.height

$\text{root}.\text{height} = \max(\text{height}(\text{root}.\text{left}), \text{height}(\text{root}.\text{right}))$

(5) return root

func InsertAVL (root, parent *AVLTN, data int) *AVLTN {

if $\text{root} == \text{nil}$ {

$\text{root} := \text{fAVLTN}()$

$\text{root}.\text{data} = \text{data}$

} $\text{root}.\text{left} = \text{root}.\text{right} = \text{nil}$

} else if $\text{data} < \text{root}.\text{data}$ {

// left subtree

$\text{root}.\text{left} = \text{InsertAVL}(\text{root}.\text{left}, \text{root}, \text{data})$

if $\text{Height}(\text{root}.\text{left}) - \text{Height}(\text{root}.\text{right}) == 2$ {

// Rotation is required

if $\text{data} < \text{root}.\text{left}.\text{data}$ {

$\text{root} = \text{SingleRotateLeft}(\text{root})$

} else {

$\text{root} = \text{DoubleRotateLeft}(\text{root})$

}

} else if $\text{data} > \text{root}.\text{data}$ {

// Right subtree

$\text{root}.\text{right} = \text{InsertAVL}(\text{root}.\text{right}, \text{root}, \text{data})$

if $\text{height}(\text{root}.\text{right}) - \text{height}(\text{root}.\text{left}) == 2$ {

if $\text{data} < \text{root}.\text{right}.\text{data}$ {

$\text{root} = \text{SingleRotateRight}(\text{root})$

} else { $\text{root} = \text{DoubleRotateRight}(\text{root})$ }

$\text{root.height} = \max(\text{Height}(\text{root.left}), \text{Height}(\text{root.right})) + 1$

return root

Other Variation on Trees

- (1) Red-Black Trees
- (2) Splay Trees
- (3) B-Trees
- (4) Augmented Trees
- (5) Interval Trees (Segment Trees)
- (6) Scapegoat Trees