4.3 Exercise: random intercepts and slopes

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We will extend the last model by including random slopes, too.

Setup

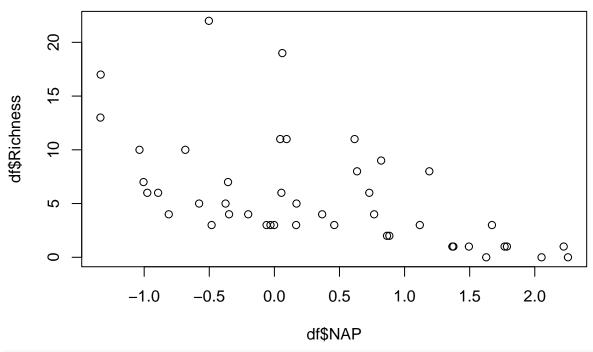
```
rm(list=ls())
library(rstan)
library(coda)
library(BayesianTools)
library(brms)

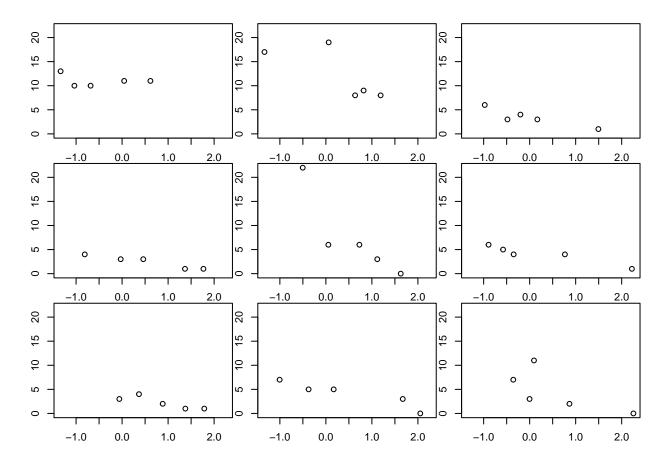
setwd("~/Nextcloud/teaching Bayes 2021")

rstan_options(auto_write = TRUE)
options(mc.cores = 4)
```

Read dataset

```
df = read.table("data/RIKZ.txt", header=TRUE)
head(df)
##
    Sample Richness Exposure
                               NAP Beach
## 1
                11
                         10 0.045
         2
## 2
                10
                         10 -1.036
                                      1
         3
## 3
                13
                         10 -1.336
         4
## 4
                11
                         10 0.616
## 5
         5
                 10
                         10 -0.684
                                      1
                 8
                          8 1.190
## 6
str(df)
## 'data.frame':
                  45 obs. of 5 variables:
## $ Sample : int 1 2 3 4 5 6 7 8 9 10 ...
## $ Richness: int 11 10 13 11 10 8 9 8 19 17 ...
## $ Exposure: int 10 10 10 10 10 8 8 8 8 8 ...
## $ NAP
             : num 0.045 -1.036 -1.336 0.616 -0.684 ...
            : int 1111122222...
## $ Beach
par(mfrow=c(1,1))
plot(df$NAP, df$Richness)
```





Random intercepts model

We will fit linear regression lines to each group (Beach) as follows:

```
y_i \sim \text{normal}(a_{group(i)} + b_{group(i)} \cdot x_i, \sigma) \quad i = 1, ..., n \quad (n \text{ observations})

a_j \sim \text{normal}(\mu_a, \sigma_a) \quad j = 1, ..., m \quad (m \text{ groups})

b_j \sim \text{normal}(\mu_b, \sigma_b) \quad j = 1, ..., m \quad (m \text{ groups})
```

Here, a_j and b_j are group-level intercepts and slopes, which are allowed to vary (partial pooling).

Both have their own means (μ_a, μ_b) and standard deviations (σ_a, σ_b) , which are also free parameters to be estimated.

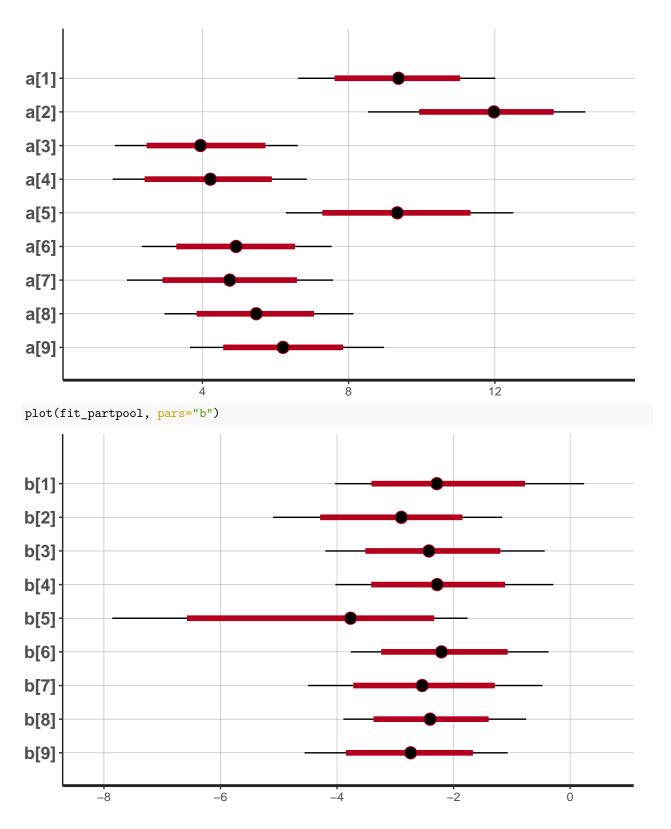
So this is a random intercepts and slopes linear regression, lm-formulation would be $y \sim x + (x|group)$, which is short for $y \sim 1+x + (1+x|group)$.

Here, an intercept a_j and a slope b_j are independent. Usually they are modeled with a correlation (standard in lme4 and also in brms), but we leave that out in Stan.

\$y

```
## [1] 11 10 13 11 10 8 9 8 19 17 6 1 4 3 3 1 3 3 1 4 3 22 6 0 6
## [26] 5 4 1 6 4 2 1 1 3 4 3 5 7 5 0 7 11 3 0 2
##
## $x
## [1] 0.045 -1.036 -1.336 0.616 -0.684 1.190 0.820 0.635 0.061 -1.334
## [21] 1.117 -0.503 0.729 1.627 0.054 -0.578 -0.348 2.222 -0.893 0.766
## [31] 0.883 1.786 1.375 -0.060 0.367 1.671 -0.375 -1.005 0.170 2.052
## [41] -0.356 0.094 -0.002 2.255 0.865
##
## $group
## [1] 1 1 1 1 1 2 2 2 2 2 3 3 3 3 3 4 4 4 4 4 5 5 5 5 5 6 6 6 6 6 7 7 7 7 7 8 8 8
## [39] 8 8 9 9 9 9 9
##
## $n
## [1] 45
##
## $n_group
## [1] 9
stan_code_partpool = '
data {
 int n;
 int n_group;
 real y[n];
 real x[n];
 int group[n];
parameters {
 real a[n_group];
 real b[n_group];
 real<lower=0> sigma;
 real mu_a;
 real<lower=0> sigma_a;
 real mu_b;
 real<lower=0> sigma_b;
}
model {
 // priors
 mu_a ~ normal(0,10);
 mu_b ~ normal(0,10);
 sigma_a ~ cauchy(0,1);
 sigma_b ~ cauchy(0,1);
 for (j in 1:n_group){
   a[j] ~ normal(mu_a,sigma_a);
   b[j] ~ normal(mu_b,sigma_b);
 sigma ~ normal(0,10);
 // likelihood
 for(i in 1:n){
   y[i] ~ normal( a[ group[i] ] + b[ group[i] ] *x[i] , sigma);
 }
}
```

```
stan_model_partpool = stan_model(model_code=stan_code_partpool)
fit_partpool = sampling(stan_model_partpool, data=data)
print(fit_partpool, digits=3, probs=c(0.025, 0.975))
## Inference for Stan model: 09c04efcb9dcbe2facbb0e83ef1aa41b.
## 4 chains, each with iter=2000; warmup=1000; thin=1;
## post-warmup draws per chain=1000, total post-warmup draws=4000.
##
##
             mean se_mean
                              sd
                                     2.5%
                                            97.5% n_eff Rhat
## a[1]
            9.346
                     0.032 1.360
                                    6.621
                                           12.009 1826 1.000
## a[2]
            11.861
                     0.048 1.493
                                    8.528
                                           14.473
                                                   956 1.002
## a[3]
            4.016
                     0.032 1.283
                                    1.606
                                            6.608 1653 1.002
## a[4]
            4.198
                     0.041 1.355
                                    1.547
                                            6.855 1089 1.004
## a[5]
            9.307
                     0.048 1.598
                                    6.281
                                           12.505 1106 1.003
## a[6]
            4.907
                     0.032 1.316
                                    2.344
                                           7.536
                                                  1736 1.001
## a[7]
            4.762
                     0.031 1.434
                                    1.933
                                            7.574 2161 1.001
## a[8]
            5.477
                     0.041 1.281
                                    2.964
                                           8.130
                                                    997 1.004
            6.218
## a[9]
                     0.024 1.305
                                    3.662
                                           8.969 3046 1.001
            -2.179
## b[1]
                     0.028 1.069
                                  -4.032
                                           0.241 1484 1.005
## b[2]
           -2.991
                     0.024 0.978
                                  -5.098 -1.166 1704 1.002
## b[3]
           -2.380
                     0.020 0.933
                                          -0.437 2233 1.002
                                   -4.201
           -2.272
                                          -0.286 1352 1.005
## b[4]
                     0.025 0.930
                                   -4.028
           -4.144
                                          -1.759
## b[5]
                     0.063 1.637
                                   -7.857
                                                    679 1.003
## b[6]
           -2.175
                     0.024 0.851
                                  -3.763 -0.369 1227 1.004
## b[7]
           -2.518
                     0.022 0.990
                                  -4.495
                                          -0.471 1971 1.003
## b[8]
            -2.375
                     0.021 0.776
                                  -3.891
                                          -0.753 1341 1.004
## b[9]
            -2.735
                     0.020 0.877
                                  -4.556 -1.071 1860 1.003
            2.981
                                           4.052 1097 1.005
## sigma
                     0.014 0.454
                                   2.241
## mu_a
            6.594
                     0.024 1.191
                                            9.010 2455 1.001
                                    4.199
## sigma_a
            3.169
                     0.034 1.103
                                    1.417
                                            5.767
                                                   1060 1.003
## mu_b
            -2.626
                     0.017 0.639
                                   -3.880 -1.426
                                                   1427 1.006
## sigma_b
           1.052
                     0.033 0.706
                                    0.187
                                            2.733
                                                    472 1.004
           -89.426
                     0.483 6.067 -100.416 -74.555
                                                    158 1.010
## lp__
## Samples were drawn using NUTS(diag_e) at Tue Oct 12 14:12:38 2021.
## For each parameter, n_eff is a crude measure of effective sample size,
## and Rhat is the potential scale reduction factor on split chains (at
## convergence, Rhat=1).
plot(fit_partpool, pars="a")
```



Predictions / credible intervals

Again, we can generate predictions and compute credible intervals (for the deterministic part of the model). Here: 90% credible intervals.

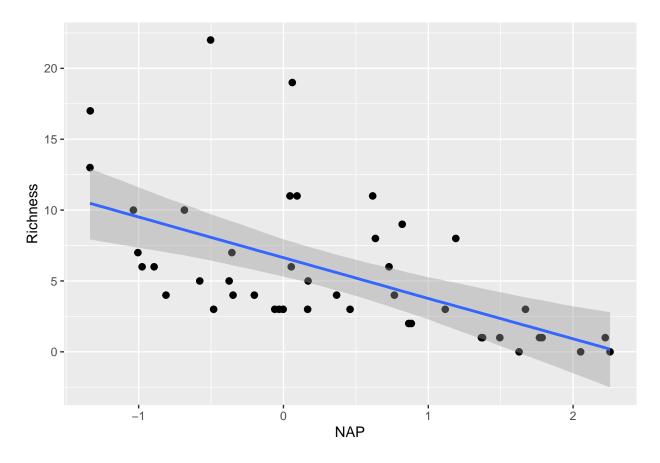
```
posterior=as.matrix(fit_partpool)
par(mfrow=c(3,3), mar=c(1,1,1,1), oma=c(1,1,0,0))
for (i in 1:9){
  df.sub=subset(df, df$Beach==i)
  x.pred = seq(from=min(df.sub$NAP), to=max(df.sub$NAP), by=0.01)
  plot(df.sub$NAP, df.sub$Richness,
       xlim=range(df$NAP),
       ylim=range(df$Richness) )
  y.cred = matrix(0, nrow=nrow(posterior), ncol=length(x.pred))
  for(j in 1:nrow(posterior)){
    y.cred[j, ] = posterior[j,paste0("a[",i,"]")] + posterior[j,paste0("b[",i,"]")]*x.pred
  y.cred.mean = apply(y.cred, 2, function(x) mean(x))
  lines(x.pred, y.cred.mean, col="red")
  y.cred.q05 = apply(y.cred, 2, function(x) quantile(x, probs=0.05))
  lines(x.pred, y.cred.q05, col="red", lty=2)
  y.cred.q95 = apply(y.cred, 2, function(x) quantile(x, probs=0.95))
  lines(x.pred, y.cred.q95, col="red", lty=2)
}
20
                                                               20
                                20
15
                                5
                                                               15
9
                                9
                                                               9
                                                               2
Ω
                                S
0
                                                                0
                    1.0
                           2.0
                                             0.0
                                                    1.0
                                                                            0.0
                                                                                    1.0
                                                                                           2.0
20
                                20
                                                               20
                                15
                                                               15
15
                                9
                                                               9
10
                                2
                                                                2
2
             0.0
                                             0.0
                                                    1.0
                                                           2.0
                                                                            0.0
                    1.0
                           2.0
                                     -1.0
                                                                     -1.0
                                                                                    1.0
                                                                                           2.0
20
                                20
                                                               20
                                2
                                                               15
15
                                9
                                                               10
9
2
                                2
                                                                2
0
             0.0
                    1.0
                                     -1.0
                                             0.0
                                                    1.0
                                                           2.0
                                                                     -1.0
                                                                                           2.0
     -1.0
                           2.0
                                                                            0.0
                                                                                    1.0
```

brms complete pooling

ask=FALSE)

We start with the "complete pooling" model in brms, which fits just a linear regression on NAP, ignoring the effect of predictor Beach on the intercept and slope. It's the same complete pooling model as in the random intercepts regression before.

```
fit.b.compl = brm( Richness ~ NAP,
                   data=df )
fit.b.compl
   Family: gaussian
    Links: mu = identity; sigma = identity
##
## Formula: Richness ~ NAP
##
      Data: df (Number of observations: 45)
     Draws: 4 chains, each with iter = 2000; warmup = 1000; thin = 1;
##
##
            total post-warmup draws = 4000
##
## Population-Level Effects:
             Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk ESS Tail ESS
##
                 6.64
                           0.67
                                     5.31
                                              7.94 1.00
                                                            3382
                                                                      2541
## Intercept
## NAP
                -2.87
                           0.64
                                    -4.13
                                             -1.60 1.00
                                                            3834
                                                                      2667
##
## Family Specific Parameters:
         Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk_ESS Tail_ESS
##
             4.24
                       0.46
                                 3.46
                                          5.25 1.00
                                                        3193
                                                                  2526
## sigma
##
## Draws were sampled using sampling(NUTS). For each parameter, Bulk_ESS
## and Tail_ESS are effective sample size measures, and Rhat is the potential
## scale reduction factor on split chains (at convergence, Rhat = 1).
plot( conditional_effects(fit.b.compl),
      points=TRUE,
```



brms no pooling

Next, "no pooling" fits different intercepts and slopes for all level of the categorical predictor Beach.

But first we must code Beach as a factor, otherwise it would be interpreted as a continuous predictor.

str(df)

```
'data.frame':
                    45 obs. of 5 variables:
##
                    1 2 3 4 5 6 7 8 9 10 ...
##
   $ Sample : int
   $ Richness: int
                     11 10 13 11 10 8 9 8 19 17 ...
                     10 10 10 10 10 8 8 8 8 8 ...
##
   $ NAP
              : num
                     0.045 -1.036 -1.336 0.616 -0.684 ...
   $ Beach
                     1 1 1 1 1 2 2 2 2 2 ...
              : int
df$Beach = as.factor(df$Beach)
fit.b.no = brm( Richness ~ NAP * Beach,
                data=df )
```

As usual with categorical predictors, lm() or brm() uses dummy coding. I.e. the presented effects are not the different intercepts and slopes for all levels of Beach. The intercept for the first level of Beach is Intercept, but Beach2 etc are the differences in intercept for the other levels. NAP (effect name b_NAP) is the slope for the first level of Beach, and NAP:Beach2 etc are the differences in slope for the other levels.

```
fit.b.no
```

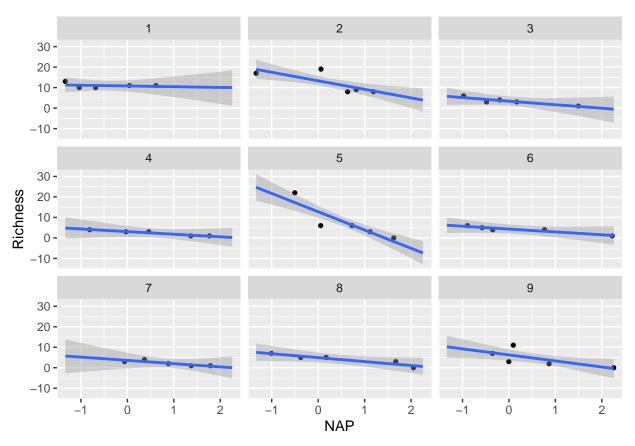
```
## Family: gaussian
## Links: mu = identity; sigma = identity
## Formula: Richness ~ NAP * Beach
```

```
##
     Draws: 4 chains, each with iter = 2000; warmup = 1000; thin = 1;
            total post-warmup draws = 4000
##
##
## Population-Level Effects:
              Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk ESS Tail ESS
##
                 10.78
                             1.42
                                       8.04
                                               13.53 1.00
## Intercept
                                                                992
                                                                         1576
## NAP
                                                2.64 1.00
                                                                         1480
                 -0.39
                             1.60
                                      -3.53
                                                                892
## Beach2
                  2.56
                             1.85
                                      -1.00
                                                6.12 1.00
                                                               1422
                                                                         2096
## Beach3
                 -7.38
                             1.81
                                    -10.90
                                               -3.82 1.00
                                                               1385
                                                                         1888
## Beach4
                 -7.69
                             1.96
                                    -11.55
                                               -3.741.00
                                                               1498
                                                                         2209
## Beach5
                  2.00
                             2.05
                                     -2.07
                                                6.02 1.00
                                                               1752
                                                                         2229
## Beach6
                 -6.45
                             1.82
                                    -10.08
                                               -2.92 1.00
                                                               1363
                                                                         2109
## Beach7
                 -7.22
                                    -12.03
                             2.44
                                               -2.361.00
                                                               1821
                                                                         2228
## Beach8
                 -5.84
                             1.91
                                      -9.60
                                               -2.08 1.00
                                                               1376
                                                                         1955
## Beach9
                  -4.52
                             1.96
                                      -8.39
                                               -0.55 1.00
                                                               1509
                                                                         2229
## NAP:Beach2
                             2.03
                                      -7.73
                 -3.77
                                                0.24 1.00
                                                               1290
                                                                         2174
## NAP:Beach3
                 -1.37
                             2.07
                                      -5.34
                                                2.60 1.00
                                                               1262
                                                                         1998
## NAP:Beach4
                 -0.87
                             2.00
                                      -4.74
                                                3.11 1.00
                                                               1200
                                                                         2164
## NAP:Beach5
                 -8.54
                             2.23
                                     -12.95
                                               -4.14 1.00
                                                               1295
                                                                         2330
## NAP:Beach6
                 -1.00
                             1.87
                                      -4.57
                                                2.67 1.00
                                                               1118
                                                                         1930
## NAP:Beach7
                 -1.17
                             2.38
                                      -5.78
                                                3.73 1.00
                                                               1462
                                                                         1829
## NAP:Beach8
                 -1.52
                                      -5.09
                                                2.16 1.00
                                                               1201
                             1.87
                                                                         1788
## NAP:Beach9
                 -2.57
                             2.03
                                      -6.50
                                                1.55 1.00
                                                               1321
                                                                         2150
##
## Family Specific Parameters:
         Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk_ESS Tail_ESS
                        0.37
                                 1.96
                                           3.42 1.00
                                                          1726
## sigma
             2.57
                                                                   1993
##
## Draws were sampled using sampling(NUTS). For each parameter, Bulk_ESS
## and Tail_ESS are effective sample size measures, and Rhat is the potential
## scale reduction factor on split chains (at convergence, Rhat = 1).
By using conditions= and specifying all levels of Beach, effect of NAP is shown for all levels. Slope is different
in all levels.
plot( conditional_effects(fit.b.no,
                           effects = "NAP",
                           conditions = data.frame( Beach=levels(df$Beach) ) ),
```

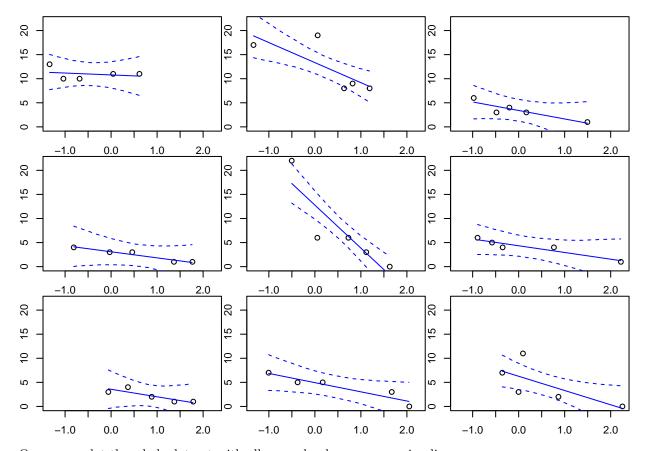
##

points=TRUE,
ask=FALSE)

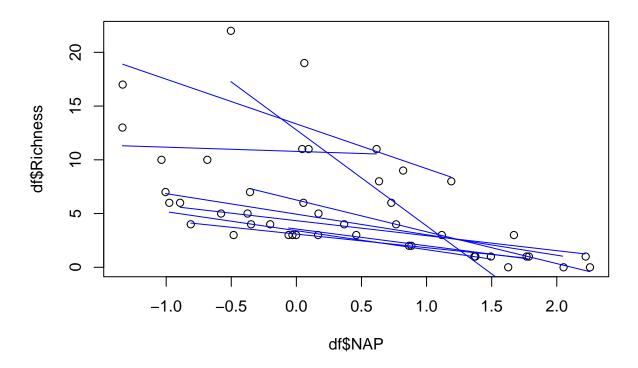
Data: df (Number of observations: 45)



The group-level predictions can also be extracted by hand using fitted(). We can specify the range of NAP and levels of Beach with newdata. We plot 95% credible intervals.



Or we can plot the whole dataset with all group-level mean regression lines.



brms partial pooling

With partial pooling, we use a random intercepts and slopes for the categorical predictor Beach. This time, we provide priors for the "fixed effects", i.e. mean intercept and slope.

Now, in the population-level effects mean intercept μ_a and slope μ_b are shown. The group-level effects only contain a summary of the random effects. It's standard deviations (σ_a, σ_b) and the correlation between slopes and intercepts cor(a, b).

fit.b.part

```
Family: gaussian
     Links: mu = identity; sigma = identity
##
## Formula: Richness ~ 1 + NAP + (1 + NAP | Beach)
##
      Data: df (Number of observations: 45)
     Draws: 4 chains, each with iter = 2000; warmup = 1000; thin = 1;
##
##
            total post-warmup draws = 4000
##
##
  Group-Level Effects:
   ~Beach (Number of levels: 9)
##
                       Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk_ESS Tail_ESS
##
## sd(Intercept)
                           3.83
                                     1.20
                                               2.05
                                                        6.73 1.00
                                                                        994
                                                                                1671
                                     0.89
## sd(NAP)
                           1.86
                                               0.29
                                                        3.92 1.00
                                                                       1006
                                                                                1174
## cor(Intercept, NAP)
                          -0.62
                                     0.34
                                              -0.99
                                                        0.28 1.00
                                                                       1951
                                                                                2401
## Population-Level Effects:
```

```
Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk ESS Tail ESS
## Intercept
                              1.36
                                       3.91
                  6.63
                                                 9.30 1.00
                                                                 1208
                                                                           1671
## NAP
                 -2.71
                              0.83
                                       -4.39
                                                 -1.07 1.00
                                                                 1682
                                                                           2019
##
## Family Specific Parameters:
         Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk ESS Tail ESS
##
              2.73
## sigma
                         0.41
                                   2.06
                                             3.65 1.00
                                                             1226
##
## Draws were sampled using sampling(NUTS). For each parameter, Bulk_ESS
## and Tail_ESS are effective sample size measures, and Rhat is the potential
## scale reduction factor on split chains (at convergence, Rhat = 1).
Again, instead of fitting all intercepts a_j and slopes b_j brm() fits the differences \alpha_j = a_j - \mu_a and \beta_j = b_j - \mu_b.
The model reads
```

```
y_i \sim \text{normal}((\mu_a + \alpha_{group(i)}) + (\mu_b + \beta_{group(i)}) \cdot x, \sigma), \quad i = 1, ..., n \quad (n \text{ observations})

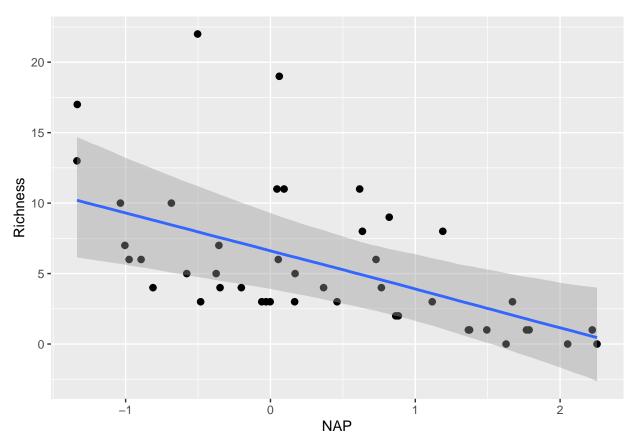
\alpha_j \sim \text{normal}(0, \sigma_a), \quad j = 1, ..., m \quad (m \text{ groups})

\beta_j \sim \text{normal}(0, \sigma_b), \quad j = 1, ..., m \quad (m \text{ groups})
```

ranef(fit.b.part)

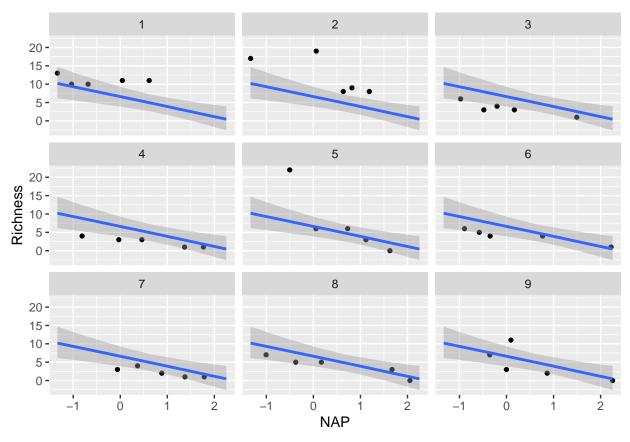
```
## $Beach
## , , Intercept
##
##
                               Q2.5
                                        Q97.5
      Estimate Est.Error
## 1
     2.540847 1.743882 -0.8419498 6.0209580
## 2 5.788072 1.833344 2.3432109 9.3839597
## 3 -2.804893
               1.683489 -6.0873369 0.4444730
## 4 -2.926661
               1.800657 -6.4835468 0.5165117
## 5 4.207380 2.045448 0.1887037 8.2839585
## 6 -2.038257
               1.654506 -5.3573790 1.2476575
## 7 -2.323106
               1.935573 -6.3232722 1.4981540
## 8 -1.432882 1.745339 -4.7384368 2.0101851
## 9 -0.329528
              1.759999 -3.7325519 3.1625538
##
  , , NAP
##
##
       Estimate Est.Error
                               02.5
## 1 0.14891816 1.255007 -2.074871 3.01373291
## 2 -1.51070274 1.305144 -4.210327 0.86520672
## 3 0.88227617
                 1.140999 -1.300154 3.30303108
     1.01779069
                 1.179102 -1.200473 3.52142295
## 5 -3.02565058
                 1.833075 -6.692674 0.02281932
## 6
     0.92695921
                 1.071852 -1.093888 3.23927093
     0.67046217
                 1.309826 -1.895710 3.41201102
                 1.046994 -1.325798 2.73604016
     0.58224544
## 9 -0.07492481
                 1.098433 -2.286378 2.17586757
```

Now, let's look at observed and predicted. conditional_effects() plots fixed effects only by default. The whole dataset is shown and predictions with mean slope μ_b and mean intercept μ_a .



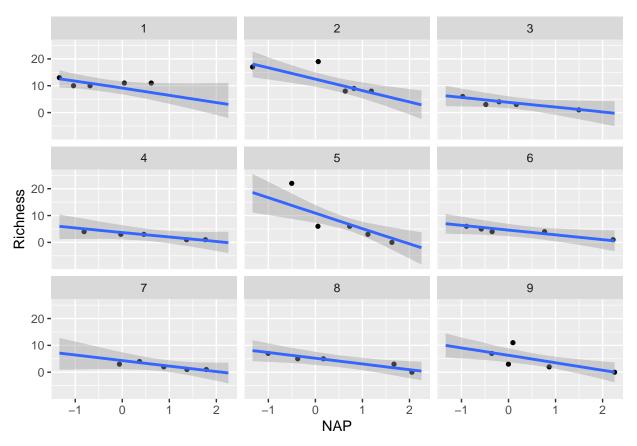
When specifying group-level predictors (all levels) with conditions=, we receive a warning that they are not part of the (fixed effects) model. We see aplot for all levels of Beach, but the regression line is the same: predictions for fixed effects part only!

Warning: The following variables in 'conditions' are not part of the model:
'Beach'

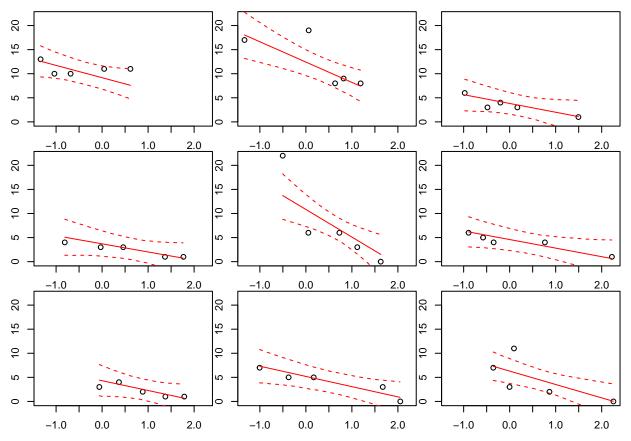


To include also the random effects for model predictions, re_formula=NULL must be specified. This reads a little weird (I would have expected something like re_formula=TRUE), but the default for no random effects as above is re_formula=NA and NULL is the command for using random effects for prediction here.

Slopes are identical, but intercepts vary between groups.

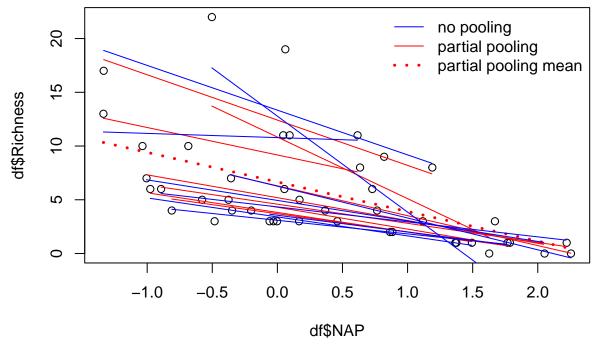


Same as in the "no pooling" model, group-level predictions can also be extracted by hand using fitted().



We can plot the whole dataset with all group-level mean regression lines, both for the previous "no pooling" model and the current "partial pooling" model. With partial pooling, slopes b_j are drawn towards the overall mean intercept μ_b (i.e. less extreme) compared to no pooling.

```
plot(df$NAP, df$Richness)
# partial pooling
for (i in 1:9){
  df.sub=subset(df, df$Beach==i)
  x.pred = seq(from=min(df.sub$NAP), to=max(df.sub$NAP), by=0.01)
  y.cred = fitted(fit.b.part, newdata=data.frame(NAP=x.pred,
                                                  Beach=levels[i] ) )
  lines(x.pred, y.cred[, 1], col="red")
}
x.pred = seq(from=min(df$NAP), to=max(df$NAP), by=0.01)
y.cred = fitted(fit.b.part, newdata=data.frame(NAP=x.pred,
                                                Beach=NA) )
# partial pooling mean
lines(x.pred, y.cred[, 1], col="red", lwd=3, lty=3)
# no pooling (previous model)
for (i in 1:9){
  df.sub=subset(df, df$Beach==i)
  x.pred = seq(from=min(df.sub$NAP), to=max(df.sub$NAP), by=0.01)
  y.cred = fitted(fit.b.no, newdata=data.frame(NAP=x.pred,
```



Extra: GLMM

We assumed normally distributed residuals and the default in brms is Gaussian indeed. But the reponse we model (species richness) is an integer (not continuous), it's also non-negative. So we could use a Poisson distribution for the stochastic part of the model, which is standard practice for count data. By specifying family=poisson, also a log-link is chosen by default. This means the regression lines become an exponential functions, which are non-negative, too.

```
##
     Draws: 4 chains, each with iter = 2000; warmup = 1000; thin = 1;
##
            total post-warmup draws = 4000
##
## Group-Level Effects:
## ~Beach (Number of levels: 9)
                      Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk_ESS Tail_ESS
##
## sd(Intercept)
                          0.70
                                     0.24
                                              0.38
                                                       1.29 1.00
                                                                      1315
                                                                               2129
                                     0.22
## sd(NAP)
                           0.45
                                              0.14
                                                       0.98 1.00
                                                                                906
                                                                       908
## cor(Intercept,NAP)
                           0.11
                                     0.39
                                             -0.67
                                                       0.81 1.00
                                                                      2094
                                                                               2171
##
## Population-Level Effects:
##
             Estimate Est.Error 1-95% CI u-95% CI Rhat Bulk_ESS Tail_ESS
                           0.26
                                     1.16
## Intercept
                 1.68
                                              2.18 1.00
                                                             1203
                                                                      1597
                           0.20
                                    -1.03
## NAP
                -0.62
                                             -0.24 1.01
                                                             1236
                                                                       851
##
## Draws were sampled using sampling(NUTS). For each parameter, Bulk_ESS
## and Tail_ESS are effective sample size measures, and Rhat is the potential
## scale reduction factor on split chains (at convergence, Rhat = 1).
plot( conditional_effects(fit.b.GLMM),
      points=TRUE,
      ask=FALSE )
```

