

Docket number: 22-CCB-0263

United States Copyright Claims Board

Michelle Shocked	V -	Gavin McInnis
CLAIMANT	٧.	RESPONDENT

ORDER TO AMEND NONCOMPLIANT CLAIM

The Copyright Claims Board finds that your claim does not comply with the requirements of the CASE Act and related regulations. The claim cannot move forward unless it is amended.

If you wish to proceed with this claim, you must file an amended claim by <u>February 21, 2023</u>. If you do not, the Board must dismiss your claim without prejudice, although you may file again in the future before the Board or in federal court. If you file an amended claim and it is found compliant, you will be notified and directed to proceed with service. There is no additional filing fee for an amended claim. 17 U.S.C. § 1506(f)(1)(B).

To make your amended claim compliant, you must resolve the issues identified below. 37 C.F.R. § 224.1(c)(2). You may also choose to correct or edit any errors or other information in your claim before you file it again. You do not need to provide a legal argument in your claim – just a statement of facts and circumstances. Being specific in your description gives the other party and the Board more information about your claim. There is no character limit so please be as detailed as possible.

Copyright Infringement Claim - Legal or Beneficial Ownership

Your claim provides unclear information about your status as a legal or beneficial owner of the allegedly infringed works. To bring a copyright infringement claim before the Board, the claimant must be "the legal or beneficial owner of the exclusive right [in a copyrighted work] at the time of the infringement." 17 U.S.C. § 1504(c)(1). A legal owner is an author of the work who owns the exclusive rights at issue or a person or entity that has received a transfer of those rights, for example, by an assignment or an exclusive license. A beneficial owner is a legal owner who has transferred the rights at issue but retains some of the advantages of ownership, such as the right to receive royalties from the use of the work.

Your claim does not specify how you are the legal or beneficial owner of the allegedly infringed works, and information in the copyright registrations identified in your claim appears inconsistent with your ownership status. The two allegedly infringed works are the musical composition "Anchorage" and a sound recording of that composition. The claim identifies you personally as the sole author of both works. However, the copyright registration you identify for the sound recording, Registration No. SR0000100138, was issued to Polygram Records, Inc., which identified itself as both the copyright claimant and the author of the recording, as an "employer for hire." "Work made for hire" has a special meaning under the law and often indicates that an employee of the named author created the work within the scope of their employment. Similarly, for the underlying musical work, your claim lists Registration No. PA0000411583, which issued to Polygram Music Publishing, Ltd. as the copyright claimant.

The registration records leave unclear who is the legal and beneficial owner of the works in question. To correct this issue, your amended claim must include specific allegations about how you own, or have an exclusive license to use, the exclusive rights at issue. You can do this either by adding facts to the "Describe the infringement" section explaining your ownership in exclusive rights in the works at the time of the infringement, or by submitting supplemental documents that demonstrate your ownership. For example, you may describe your relationship with Polygram Records and Polygram Music Publishing, and state how you obtained legal or beneficial ownership of the copyrights. You may also state facts or provide documents to support your allegation of exclusive rights to grant synchronization licenses for the allegedly infringed works. For example, after filing your second amended claim in *Michelle Shocked v. James Billington*, No. 22-CCB-0058, you also filed copies of copyright assignments to support your claim of exclusive rights relevant to that proceeding. When you file the amended claim, if you choose to file supplemental documents, please upload them on the Documentation page of the claim form rather than submitting them as separate entries on the docket.

To submit an amended claim, log into your eCCB account and take the following steps.

- 1. From your dashboard, click the "Amend claim" button and select your docket number from the dropdown list.
- 2. Your claim will unlock for editing. The information you originally entered will appear in the same order as in your original claim.
- 3. Make the necessary edits. If you have filed supplementary documents, <u>you must re-upload any documents you wish to include in the amended claim</u> on the "**Documentation**" page. Please include only documents directly related to your claim.
- 4. Once you have completed your edits, continue to click through the fillable claim form until you reach the "Review" page. The Review page includes all the information that you have provided in the claim up to this point. Carefully double-check the information on this page. If you have any corrections, you can select "Edit" to revise any entries necessary. Each section of information has an "Edit" button, which will take you back to that section so you can make changes. After you make changes, you can click "Save & review" to return to the Review page. Please review your claim carefully. Once you submit your amended claim, you will be unable to edit the claim while it is in compliance review.

5. Once you have completed your review and any revisions, you must confirm that the information in your claim is accurate and truthful to the best of your knowledge. To complete the declaration, type your full name into the "Digital signature" box near the bottom of the "Review filing" page and click "Agree & submit."

If you have questions, please contact asktheboard@ccb.gov. Include your docket number in the subject line. The Board is unable to provide legal advice. We can only provide legal information and assistance concerning Board procedures and requirements. If you would like to seek further guidance from a lawyer or a law student at reduced or no cost, please visit the Pro Bono Assistance page on ccb.gov. You may also refer to the Compliance Review chapter of the CCB Handbook for more assistance.

Copyright Claims Attorney

January 20, 2023