

XQuery: XML from Data to Query

Dr Richi Nayak
School of Information Systems

@nayak-ITB/N295-07-01-XQuery

1

Admin Details

- ◆ Consultation Hours:
 - ◆ Monday 1-2 pm
 - ◆ Tuesday 4-5 pm
- ◆ Email: r.nayak@qut.edu.au
- ◆ Assignment 2: Group assignment
 - ◆ Releasing in Week 9
 - ◆ Based on XQuery and XSLT
 - ◆ Using the Saxonb8-9j software

2

Coverage (Weeks 8 & 9)

- ◆ Introduction to XQuery
- ◆ XQuery Type systems
- ◆ XQuery FLOWR Expressions
 - ◆ for, let, order by, where, return
- ◆ XQuery Advanced Concepts
- ◆ XQuery Aggregates
- ◆ SQL and XQuery side-by-side
- ◆ XML from/to Relational data
- ◆ Data Integration

3

Introduction to XQuery

- ◆ Simple examples
- ◆ Basic ideas
- ◆ XPath vs. XQuery
- ◆ Value expression

4

XML Example users.xml

```
<?xml version="1.0" encoding="ISO-8859-1"?>
<users>
  <user_tuple>
    <userid>U01</userid>
    <name>Tom Jones</name>
    <rating>B</rating>
  </user_tuple>
  <user_tuple>
    <userid>U02</userid>
    <name>Mary Doe</name>
    <rating>A</rating>
  </user_tuple>
  <user_tuple>
    <userid>U03</userid>
    <name>Dee Linq</name>
    <rating>D</rating>
  </user_tuple>
</users>
```

```
<user_tuple>
  <userid>U04</userid>
  <name>Roger Smith</name>
  <rating>C</rating>
</user_tuple>
<user_tuple>
  <userid>U05</userid>
  <name>Jack Sprat</name>
  <rating>B</rating>
</user_tuple>
<user_tuple>
  <userid>U06</userid>
  <name>Rip Van Winkle</name>
  <rating>B</rating>
</user_tuple>
</users>
```

5

XQuery Example 1

- ◆ Query 1: Return users with the rating of 'A' (in a particular format).

```
let $users := doc("users.xml")
<Result>
{
  for $u in $users/users/user_tuple
  where ($u/rating='A')
  return
    <User>
      {
        $u/userid,
        $u/name,
        $u/rating
      }
    </User>
}
</Result>
```

```
<Result>
  <User>
    <userid>U02</userid>
    <name>Mary Doe</name>
    <rating>A</rating>
  </User>
</Result>
```

6

XQuery Example 2 – Data Integration

- ◆ Query 2: Return the details of the users with the ratings below 'C' but the reserve price more than \$1000 (in a particular format).

```
<result>
{
  for $u in $users//user_tuple,
    $i in $items//item_tuple
  where
    ($u/rating > 'C' and $i/reserve_price > 1000
    and $i/offered_by = $u/userid)
  return
    <warning>
      { $u/name }
      { $u/rating }
      { $i/description }
      { $i/reserve_price }
    </warning>
}
</result>
```

```
<result>
<warning>
  <name>Dee Ling</name>
  <rating>D</rating>
  <description>... </description>
  <reserve-price>1100 </reserve-price>
</warning>
</result>
```

7

Basic Ideas Of XQuery

- ◆ Value expressions
- ◆ Sequences of values
- ◆ Use XPath expression to “navigate” on the XML tree, to get values or sequences of values
- ◆ Integrate information from multiple sources

8

Why not XPath?

- ◆ XPath expressivity insufficient
 - ◆ no join queries (as in SQL)
 - ◆ no changes to the XML structure possible
 - ◆ no quantifiers (as in SQL)
 - ◆ no aggregation and functions (as in SQL)
- ◆ XQuery *extends XPath* to a query language that has power similar to SQL.

9

XQuery Overview

- ◆ XQuery is an expression language.
 - ◆ Every statement evaluates to some result
 - ◆ let \$x := 5 let \$y := 6 return 10*\$x+\$y
 - ◆ Evaluates to 56
- ◆ Unlike Relation Algebra, with the relation as the sole datatype, XQuery has a subtle type system.

10

XQuery Type System

- ◆ Some node types
- ◆ Nodes and expressions
- ◆ Operators
 - ◆ Comparison, Boolean and Set

11

The XQuery Type System

- ◆ *Primitive Types or Atomic values*
 - ◆ Number, boolean, strings, dates, times, durations, and XML types
- ◆ *Nodes.*
 - ◆ Seven kinds.
 - ◆ We'll only cover four, on next slide.

12

Some Node Types

1. *Element Nodes*
 - ◆ Described by !ELEMENT declarations in DTD's.
2. *Attribute Nodes*
 - ◆ described by !ATTLIST declarations in DTD's.
3. *Text Nodes* = #PCDATA.
4. *Document Nodes* represent files.
5. Processing Instruction
6. Comment
7. Function

13

Nodes and Expressions

- ◆ Various functions to create or return nodes.
 - ◆ *Doc* function reads an XML file to which a query applies.
 - ◆ *Form*: `doc("<file name>")`
 - ◆ *Example*:
`doc("http://www.lms.qut.edu.au/itb295/bib.xml")`
 - ◆ We will use `doc("bib.xml")` throughout, but you must use the complete path to run a XQuery
- ◆ Element constructor creates a node in the return.
`<doc><par>Blah Blah</par></doc>`

14

Comparison Operators

- ◆ Use Fortran comparison operators to compare atomic values only.
 - ◆ eq, ne, gt, ge, lt, le.
- ◆ Arithmetic operators: +, -, *, div, mod.
 - ◆ Apply to any expressions that yield arithmetic or date/time values.

15

Document Order

- ◆ Comparison by document order
 - ◆ << and >>.
- ◆ *Example*:
`$b/book[@year=1994] << $b/book[@year=1992]`
is true iff the '1994' attribute appears before the '1992' attribute in the document \$b.

16

Boolean Operators

- ◆ E_1 and E_2 , E_1 or E_2 , not(E), if (E_1) then E_2 else E_3 apply to any expressions.
- ◆ *Example*: not(3 eq 5 or 0) has value TRUE.
- ◆ Also: true() and false() are functions that return values TRUE and FALSE.

17

Set Operators

- ◆ union, intersect, except operate on sequences of nodes.
 - ◆ Meanings analogous to SQL.
 - ◆ Result eliminates duplicates.
 - ◆ Result appears in document order.

18

XQuery FLOWR Expressions

- ◆ Example Document "bib.xml"
- ◆ Semantics of FLOWR expressions
- ◆ FLOWR vs XPath
- ◆ For clause
- ◆ Let clause
- ◆ Attribute retrieval
- ◆ Grouping or Result Structuring

19

Example XML Document: bib.xml

```
<bib>
  <book year="1994">
    <title> TCP/IP Illustrated </title>
    <author>
      <last> Stevens </last>
      <first> W. </first>
    </author>
    <publisher> Addison-Wesley </publisher>
    <price> 65.95 </price>
  </book>
  <book year="1992">
    <title> Advanced Programming in the Unix environment </title>
    <author> <last> Stevens </last> <first> W. </first> </author>
    <publisher> Addison-Wesley </publisher>
    <price> 60.95 </price>
  </book>
</bib>
```

20

Example XML Document: bib.xml

```
<book year="2000">
  <title>Data on the Web</title>
  <author> <last> Abiteboul </last> <first> Serge </first> </author>
  <author> <last> Buneman </last> <first> Peter </first> </author>
  <author> <last> Suciu </last> <first> Dan </first> </author>
  <publisher> Morgan Kaufmann Publishers </publisher>
  <price> 39.95 </price>
</book>
<book year="1999">
  <title>The Economics of Technology and Content for Digital TV</title>
  <editor> <last> Gerbarg </last> <first> Darcy </first>
    <affiliation> CITI </affiliation>
  </editor>
  <publisher> Kluwer Academic Publishers </publisher>
  <price> 129.95 </price>
</book>
</bib>
```

21

A Simple XQuery Example

- ◆ Query 3: Return the author names of all books.

```
let $b := doc("bib.xml")
return <result> { $b/bib/book/author } </result>
```

=

```
<result>
  <author> <last> Stevens </last> <first> W. </first> </author>
  <author> <last> Stevens </last> <first> W. </first> </author>
  <author> <last> Abiteboul </last> <first> Serge </first> </author>
  <author> <last> Buneman </last> <first> Peter </first> </author>
  <author> <last> Suciu </last> <first> Dan </first> </author>
</result>
```

22

FLWOR Expressions

1. One or more **for** and/or **let** clauses.
2. Then optional **where** and/or **order by** clauses.
3. A **return** clause.

23

A FLWOR Query Example

- ◆ Query 4: Return the title, price and publisher for each book that is published after 1998. The output should be sorted according to the publishers name.

```
let $d := doc("bib.xml")/bib
for $b in $d/book
where $b/@year > '1998'
order by $b/publisher
return <book>
  { $b/title, $b/price, $b/publisher }
</book>
```

24

Query 5 Output

```
<?xml version="1.0" encoding="UTF-8"?>
<book>
  <title>The Economics of Technology and Content for Digital TV</title>
  <price>129.95</price>
  <publisher>Kluwer Academic Publishers</publisher>
</book>
<book>
  <title>Data on the Web</title>
  <price>39.95</price>
  <publisher>Morgan Kaufmann Publishers</publisher>
</book>
```

25

Semantics of FLWOR Expressions

- ◆ Each **for** creates a loop.
 - ◆ **let** produces only a local definition.
- ◆ At each iteration of the nested loops, if any, evaluate the **where** clause.
- ◆ If the **where** clause returns TRUE, invoke the **return** clause, and append its value to the output.
- ◆ The **order by** clause defines an ordering of the result sequence.
 - ◆ With optional **ascending** or **descending**.

26

FLWOR vs. XPath expressions

Query 5: Find the book titles published after 1995.

```
for $x in doc("bib.xml")/bib/book
where $x/@year > '1995'
return $x/title
```

Result:

```
<title> Data on the Web </title>
<title> The Economics of Technology and Content for Digital TV </title>
```

27

FLWOR vs. XPath expressions

Equivalently

```
for $x in doc("bib.xml")/bib/book[@year > 1995] /title
return $x
```

And even shorter:

```
doc("bib.xml")/bib/book[@year > 1995] /title
```

28

FOR Clauses

for <variable> **in** <expression>, . . .

- ◆ Variables begin with **\$**.
- ◆ A **for**-variable takes on each item in the sequence denoted by the expression, in turn.
- ◆ Whatever follows this **for** is executed once for each value of the variable.

29

Example: FOR

Query 6: Return the author names of all books.

"Expand the enclosed string by replacing variables and path exps. by their values."

```
for $b in doc("bib.xml") /bib/book/author
return <result>$b</result>
```

- ◆ **\$b** ranges over the 'author' elements of all books in our example document.

30

Result of Query 7

- ◆ Result is a list of tagged authors, like

```
<result><author><last>Stevens</last><first>W.</first></author>
</result>
<result><author><last>Stevens</last><first>W.</first></author>
</result>
<result>
  <author><last>Abiteboul</last><first>Serge</first></author>
</result>
<result>
  <author><last>Buneman</last><first>Peter</first></author>
</result>
<result><author><last>Suciu</last><first>Dan</first></author>
</result>
```

31

LET Clauses

let <variable> := <expression>, . . .

- ◆ Value of the variable becomes the *sequence* of items defined by the expression.
- ◆ Note **let** does not cause iteration; **for** does.

32

Example: LET

[Revisit Query 3](#)

- ◆ Is there any difference in result?

33

FOR vs. LET

- ◆ **FOR** \$x in expr -- binds \$x to each value in the list expr
 - ◆ Binds *node variables* → iteration
- ◆ **LET** \$x = expr -- binds \$x to the entire list expr
 - ◆ Binds *collection variables* → one value
 - ◆ Useful for common subexpressions and for aggregations

34

FOR vs. LET

```
for $x in doc("bib.xml")/bib/book
return <result> { $x } </result>
```

Returns:

```
<result><book>...</book></result>
<result><book>...</book></result>
<result><book>...</book></result>
...
```

```
let $x := doc("bib.xml")/bib/book
return <result> { $x } </result>
```

Returns:

```
<result><book>...</book>
<book>...</book>
<book>...</book>
...
</result>
```

35

Attribute Retrieval

Query 7: Return the list of years of book publications.

```
for $x in doc("bib.xml")/bib/book/@year
return <BookYears> { $x } </BookYears>
```

- ◆ \$x ranges over the 'year' attributes of all books in our example document.
- ◆ Result is a list of tagged years, like

```
<BookYears year = "1994" />
<BookYears year = "1992" />
<BookYears year = "2000" />
<BookYears year = "1999" />
```

36

XQuery: Advanced Concepts

- ◆ A simple example
- ◆ Grouping (Result Structuring)
- ◆ Nesting (join)
- ◆ Sorting (order by)
- ◆ Aggregates – count, distinct, avg
- ◆ If-then-else
- ◆ Functions
- ◆ Universal and existential quantifiers

37

Result Structuring

- ◆ Query 8: Find the book titles and their corresponding publication year:

```
for $x in doc("bib.xml")/ bib/book
return <answer>
  <title> { $x/title/text() } </title>
  <year> { $x/@year } </year>
</answer>
```

Braces { } denote evaluation of enclosed expression

38

Query 8 Output

```
<?xml version="1.0" encoding="UTF-8"?>
<answer>
  <title>TCP/IP Illustrated</title>
  <year year="1994"/>
</answer>
<answer>
  <title>Advanced Programming in the Unix environment</title>
  <year year="1992"/>
</answer>
<answer>
  <title>Data on the Web</title>
  <year year="2000"/>
</answer>
<answer>
  <title>The Economics of Technology and Content for Digital TV</title>
  <year year="1999"/>
</answer>
```

39

Result Structuring – text()

- ◆ Notice the use of text() in getting the value of title
- ◆ What is the result without it ?

```
for $x in doc("bib.xml")/ bib/book
return <answer>
  <title>{ $x/title/text() } </title>
  <year>{ $x/@year } </year>
</answer>
```

40

Result Structuring - "{" and "}"

- ◆ Notice the use of "{" and "}"
- ◆ What is the result without them ?

```
FOR $x IN document("bib.xml")/ bib/book
RETURN <answer>
  <title> $x/title/text() </title>
  <year> $x/year/text() </year>
</answer>
```

41

Result Structure - Grouping

Query 9: Return a list of (author, title) pairs

```
for $b in doc("bib.xml")/bib/book,
   $x in $b/title, $y in $b/author
return
  <answer>
    { $y }
    <title> { $x/text() } </title>
  </answer>
```

Result:

```
<answer>
  <author> ..stevens.. </author>
  <title> TCP/IP... </title>
</answer>
<answer>
  <author> ..stevens </author>
  <title> Advanced... </title>
</answer>
...
```

42

Result Structure: Grouping

Query 10: For each author, return all titles of his books.

```
for $b in doc("bib.xml")/bib,
  $x in $b/book/author
return
  <answer>
    { $x }
    { for $y in $b/book[author=$x]/title
      return $y }
  </answer>
```

Required Result:

```
<answer>
  <author> ..stevens.. </author>
  <title> TCP/IP... </title>
  <title> Advanced... </title>
</answer>
<answer>
  <author> ..Abiteboul </author>
  <title> Data... </title>
</answer>
..
```

43

Query 10 Result

```
<answer>
  <author> <last>Stevens</last> <first>W.</first> </author>
  <title> TCP/IP... </title>
  <title> Advanced... </title>
</answer>
<answer>
  <author> <last>Stevens</last> <first>W.</first> </author>
  <title> TCP/IP... </title>
  <title> Advanced... </title>
</answer>
<answer>
  <author> ..Abiteboul </author>
  <title> Data... </title>
</answer>
```

? What do you observe in this result?

44

Grouping: Result Structure

Query 11: Eliminating duplicates

```
for $b in doc("bib.xml")/bib,
  $x in distinct-values ($b/book/author)
return
  <answer>
    <author> { $x } </author>
    { for $y in $b/book[author=$x]/title
      return $y }
  </answer>
```

Result:

```
<answer>
  <author> StevensW </author>
  <title> TCP/IP... </title>
  <title> Advanced... </title>
</answer>
<answer>
  <author> AbiteboulSerge </author>
  <title> Data... </title>
</answer>
```

45

XQuery Nesting

Query 12: For each author of a book by Morgan Kaufmann, list the titles of the books the author has published:

```
for $b in doc("bib.xml")/bib,
  $a in $b/book[publisher/text()='Morgan Kaufmann Publishers']/author
return <result>
  { $a,
    for $t in $b/book[author=$a]/title
    return $t
  }
</result>
```

In the return clause comma concatenates XML fragments.
Is there an alternate way?

46

XQuery Nesting: Output

Query 12 Result:

```
<result>
  <author>
    <last>Abiteboul</last>
    <first>Serge</first>
  </author>
  <title>Data on the Web</title>
</result>
<result>
  <author>
    <last>Buneman</last>
    <first>Peter</first>
  </author>
  <title>Data on the Web</title>
</result>
<result>
  <author>
    <last>Suciu</last>
    <first>Dan</first>
  </author>
  <title>Data on the Web</title>
</result>
```

47

Sorting in XQuery

◆ Query 13: List publishers in alphabetical order.
No duplicates are allowed.

```
let $x := doc("bib.xml")//publisher
return
  <publisher_list>
  {
    for $p in distinct-values($x)
    order by $p
    return <publisher> { $p } </publisher>
  }
</publisher_list>
```

48

Query 13 output:

```
<?xml version="1.0" encoding="UTF-8"?>
<publisher_list>
  <publisher> Addison-Wesley </publisher>
  <publisher> Kluwer Academic Publishers
    </publisher>
  <publisher> Morgan Kaufmann Publishers
    </publisher>
</publisher_list>
```

49

Multiple Sorting in XQuery

- Query 14: List publishers names in alphabetical order. For each publisher, list the book titles in descending order with the price.

```
let $x := doc("bib.xml")//publisher
return
<publisher_list>
{
  for $p in distinct-values ($x)
  order by $p
  return
    <publisher>
      { <name> { $p } </name>,
        for $b in doc("bib.xml")//book[publisher = $p]
        order by $b/title descending
        return <book> { $b/title } { $b/price } </book>
      }
    </publisher>
}
</publisher_list>
```

50

Query 14 output:

```
<?xml version="1.0" encoding="UTF-8"?>
<publisher_list>
  <publisher>
    <name> Addison-Wesley </name>
    <book>
      <title> TCP/IP Illustrated </title>
      <price> 65.95 </price>
    </book>
    <book>
      <title> Advanced Programming in the Unix environment </title>
      <price> 60.95 </price>
    </book>
  </publisher>
  <publisher>
    <name> Kluwer Academic Publishers </name>
    <book>
      <title> The Economics of Technology and Content for Digital TV </title>
      <price> 129.95 </price>
    </book>
  </publisher>
  <publisher>
    <name> Morgan Kaufmann Publishers </name>
    <book>
      <title> Data on the Web </title>
      <price> 39.95 </price>
    </book>
  </publisher>
</publisher_list>
```

51

Aggregates

- count = a function that counts
- avg = computes the average
- sum = computes the sum
- distinct-values = eliminates duplicates

52

Aggregates: Count

Query 15: Find books with multiple authors (more than 2).

```
for $x in doc("bib.xml")/bib/book
where count($x/author) > 2
return $x
```

```
<book year="2000">
  <title> Data on the Web </title>
  <author> <last> Abiteboul </last> <first> Serge </first> </author>
  <author> <last> Buneman </last> <first> Peter </first> </author>
  <author> <last> Suciu </last> <first> Dan </first> </author>
  <publisher> Morgan Kaufmann Publishers </publisher>
  <price> 39.95 </price>
</book>
```

53

Aggregates: Count

Query 16: Write the query 15 without the 'where' clause

```
for $x in doc("bib.xml")/bib/book[count(author) > 2]
return $x
```

```
<book year="2000">
  <title> Data on the Web </title>
  <author> <last> Abiteboul </last> <first> Serge </first> </author>
  <author> <last> Buneman </last> <first> Peter </first> </author>
  <author> <last> Suciu </last> <first> Dan </first> </author>
  <publisher> Morgan Kaufmann Publishers </publisher>
  <price> 39.95 </price>
</book>
```

54

Aggregates: distinct-values

Query 17: Print authors who have published more than 1 book.

```
let $b := doc("bib.xml")/bib/book
for $a in distinct-values($b/author)
let $c := $b[author=$a]
where count($c) > 1
return <author> { $a } </author>
```

$\$c$ is a collection of elements, not a single element

55

Aggregates: distinct-values

Query 18: Find publishers that have published more than 1 book.

```
let $x := doc("bib.xml")/bib/book
return
<big_publishers>
{
  for $p in distinct-values($x/publisher)
  let $b := $x[publisher=$p]
  where count($b) > 1
  return <publisher> { $p } </publisher>
}
</big_publishers>
```

$\$b$ is a collection of elements, not a single element

56

Aggregates: avg

Query 19: Find books with price larger than average:

```
let $b in doc("bib.xml")/bib
let $a:=avg($b/book/price/text())
for $x in $b/book
where $x/price/text() > $a
return $x
```

Use of text() – makes any difference?

57

If-Then-Else

Query 20: Return the titles in a collection along with the details of the editors if the title is a journal otherwise the authors details.

```
for $h in doc("collection.xml")/bib/collection
order by $h/title
return <holding>
{
  $h/title,
  if $h/@type = "Journal"
  then return $h/editor
  else return $h/author
}
</holding>
```

58

Functions

```
declare function reverse ($items)
{
  let $cptr := count($items)
  for $i in 0 to $cptr
  return $items[$cptr - $i]
};
```

Reverse(1 to 5)

◆ Note: (1 to 5) = (1, 2, 3, 4, 5)

59

Existential Quantifiers

Query 21: Return the titles in a book if the book has some paragraphs that contains the words "sailing" and "windsurfing".

```
FOR $b IN //book
WHERE SOME $p IN $b//para SATISFIES
contains($p, "sailing")
AND contains($p, "windsurfing")
RETURN $b/title
```

60

Universal Quantifiers

Query 22: Return the titles in a book if every paragraph of the book contains the words "sailing".

```
FOR $b IN //book
WHERE EVERY $p IN $b//para SATISFIES
  contains($p, "sailing")
RETURN $b/title
```

61

SQL and XQuery side-by-side

62

Sample relational schema and its equivalent XML representation

- Relational schema: Product(pid, name, maker, price)
Company(cid, name, city, revenue)

- An equivalent XML representation: db.xml

```
<db>
  <product>
    <row> <pid> ??? </pid>
    <name> ??? </name>
    <maker> ??? </maker>
    <price> ??? </price>
  </row>
  <row> ... </row>
  ...
</product>
....
</db>
```

63

SQL and XQuery Side-by-side

Query 23: Find all products made in Seattle

Product (pid, name, maker, price)
Company (cid, name, city, revenue)

```
SELECT x.name
FROM Product x, Company y
WHERE x.maker=y.cid
and y.city="Seattle"
```

SQL

```
for $r in doc("db.xml")/db,
  $x in $r/Product/row,
  $y in $r/Company/row
where
  ($x/maker/text()=$y/cid/text()
  and $y/city/text() = "Seattle")
return $x/name
```

XQuery

Cool XQuery

```
for $y in /db/Company/row[city/text()="Seattle"],
  $x in /db/Product/row[maker/text()=$y/cid/text()]
return $x/name
```

SQL and XQuery Side-by-side

Product(pid, name, maker, price)

Query 24: Find all product names and prices, and sort them by price.

```
SELECT x.name,
       x.price
FROM Product x
ORDER BY x.price
```

SQL

```
for $x in doc("db.xml")/db/Product/row
order by $x/price
return <answer>
  { $x/name } { $x/price }
</answer>
```

XQuery

65

XQuery's Answer

```
<answer>
  <name> abc </name>
  <price> 7 </price>
</answer>
<answer>
  <name> def </name>
  <price> 23 </price>
</answer>
....
```

Is this a well-formed document?
(WHY ???)

66

Producing a Well-Formed Answer

```
<myQuery>
{
  for $x in doc("db.xml")/db/Product/row
  order by $x/price
  return <answer>
    { $x/name } { $x/price }
}
</myQuery>
```

67

XQuery's Answer

```
<myQuery>
<answer>
  <name> abc </name>
  <price> 7 </price>
</answer>
<answer>
  <name> def </name>
  <price> 23 </price>
</answer>
...
</myQuery>
```

Is it well-formed ?

68

SQL and XQuery Side-by-side

Query 25: For each company with revenues > 1M,
count the products over \$100.

```
SELECT y.name, count(*)
FROM Product x, Company y
WHERE x.price > 100 and x.maker=y.cid and y.revenue > 1000000
GROUP BY y.cid, y.name
```

```
for $r in doc("db.xml")/db,
  $y in $r/Company/row[revenue/text()>1000000]
return
  <proudCompany>
    <companyName> { $y/name/text() } </companyName>
    <numberOfExpensiveProducts>
      { count($r/Product/row[maker/text()=$y/cid/text()][price/text()>100]) }
    </numberOfExpensiveProducts>
  </proudCompany>
```

71

SQL and XQuery Side-by-side

Query 26: Find companies with at least 30 products,
and their average price

```
SELECT y.name, avg(x.price)
FROM Product x, Company y
WHERE x.maker=y.cid
GROUP BY y.cid, y.name
HAVING count(*) > 30
```

```
for $r in doc("db.xml")/db,
  $y in $r/Company/row
let $p := $r/Product/row[maker/text()=$y/cid/text()]
where count($p) > 30
return
  <theCompany>
    <companyName> { $y/name/text() }
    </companyName>
    <avgPrice> { avg($p/price/text()) } </avgPrice>
  </theCompany>
```

An element

A collection

70

Summary of comparison

- ◆ If-then-else
- ◆ Universal and existential quantifiers
- ◆ Sorting
- ◆ Before and After
 - ◆ for dealing with order in the input
- ◆ Filter
 - ◆ deletes some edges in the result tree
- ◆ Recursive functions

71

XML from/to Relational data

- ◆ XML publishing from relational data to its proprietary XML format

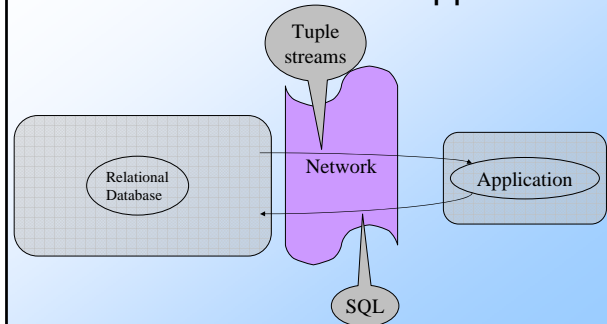
72

XML from/to Relational Data

- ◆ XML publishing:
 - ◆ relational data → XML
- ◆ XML storage:
 - ◆ XML → relational data

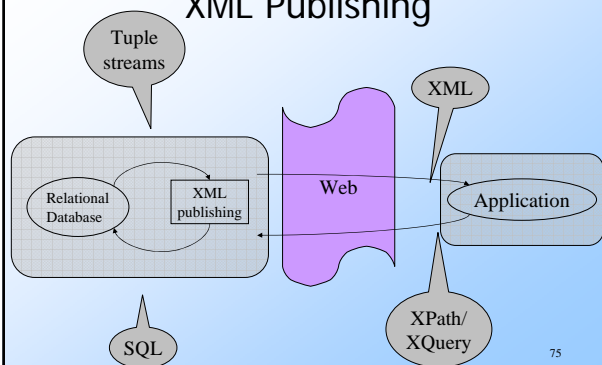
73

Client/server DB Apps



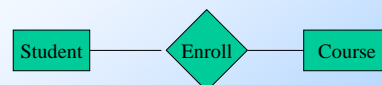
74

XML Publishing



75

XML Publishing



- ◆ Relational schema:
 - Student(sid, name, address)
 - Course(cid, title, room)
 - Enroll(sid, cid, grade)

76

XML Publishing

```

<xmlview>
  <course>
    <title> Operating Systems </title>
    <room> MGH084 </room>
    <student>
      <name> John </name>
      <address> Seattle </address>
      <grade> 3.8 </grade>
    </student>
    ...
  </course>
  <course>
    <title> Database </title>
    <room> EE045 </room>
    <student>
      <name> Mary </name>
      <address> Shoreline </address>
      <grade> 3.9 </grade>
    </student>
    ...
  </course>
</xmlview>
    
```

Group by courses:
redundant representation of students

Other representations possible too

77

XML Publishing

First thing to do: design the DTD or XSD

```

<!ELEMENT xmlview (course*)>
<!ELEMENT course (title, room, student*)>
<!ELEMENT student (name,address,grade)>
<!ELEMENT name (#PCDATA)>
<!ELEMENT address (#PCDATA)>
<!ELEMENT grade (#PCDATA)>
<!ELEMENT title (#PCDATA)>
    
```

Now we write an XQuery to export relational data → XML
 Note: result is in the right DTD or XSD

```
<xmlview>
{ for $x in /db/Course/row
  return
  <course>
    <title> { $x/title/text() } </title>
    <room> { $x/room/text() } </room>
    { for $y in /db/Enroll/row[cid/text() = $x/cid/text()],
      $z in /db/Student/row[sid/text() = $y/sid/text()]
      return <student> <name> { $z/name/text() } </name>
        <address> { $z/address/text() } </address>
        <grade> { $y/grade/text() } </grade>
      }
    }
  }
</xmlview>
```

79

XML Publishing

Query 27: find Mary's grade in Operating Systems

XQuery

```
FOR $x IN /xmlview/course[title/text()='Operating Systems'],
  $y IN $x/student[name/text()='Mary']
RETURN <answer> $y/grade/text() </answer>
```



Can be done automatically

SQL

```
SELECT Enroll.grade
FROM Student, Enroll, Course
WHERE Student.name="Mary" and Course.title="OS"
and Student.sid = Enroll.sid and Enroll.cid = Course.cid
```

80

XML Publishing

How do we choose the output structure ?

- ◆ Determined by agreement with partners/users
- ◆ Or dictated by committees
 - ◆ XML dialects (called *applications*) = DTDs
- ◆ XML Data is often nested, irregular, etc
- ◆ No normal forms for XML

81

Data Integration

- ◆ Integration of two data sources
 - ◆ Union and Grouping
 - ◆ Join and Nesting

82

Integration of Two Document/Database Sources

- ◆ You are given two databases containing information about movies.
- ◆ The database A consists of Movie elements, with subelements Title (unique), Director (unique), and Actor (one or more).
- ◆ The database B consists of Actor elements, with subelements Movie that have attributes Title and Director.
- ◆ You define the schemas of A and B using XML Schema.
 - ◆ There is a requirement that different movies have different titles.
- ◆ Define in XQuery the mapping from B to A. Make sure of the following:
 - ◆ You don't end up with duplicate movies.
 - ◆ The set of actors for a movie is the union of the corresponding sets from A and B (duplicates eliminated).

83

Reference: Dr. Michalis Petropoulos- Data Integration Course notes

sourceA.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="sourceA">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="movie" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="title" type="xs:string"/>
              <xs:element name="director" type="xs:string"/>
              <xs:element name="actors">
                <xs:complexType>
                  <xs:sequence>
                    <xs:element name="actor" maxOccurs="unbounded"
                      type="xs:string"/>
                  </xs:sequence>
                </xs:complexType>
              </xs:element>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

84

sourceB.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="sourceB">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="actor" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="name" type="xs:string"/>
              <xs:element name="movies">
                <xs:complexType>
                  <xs:sequence>
                    <xs:element name="movie" maxOccurs="unbounded">
                      <xs:complexType>
                        <xs:sequence>
                          <xs:element name="title" type="xs:string"/>
                          <xs:element name="director" type="xs:string"/>
                        </xs:sequence>
                      </xs:complexType>
                    </xs:element>
                  </xs:sequence>
                </xs:complexType>
              </xs:element>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

85

integrated.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="sourceA">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="movie" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="title" type="xs:string"/>
              <xs:element name="director" type="xs:string"/>
              <xs:element name="actors">
                <xs:complexType>
                  <xs:sequence>
                    <xs:element name="actor" maxOccurs="unbounded"
                      type="xs:string"/>
                  </xs:sequence>
                </xs:complexType>
              </xs:element>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

86

sourceA.xml

```
<?xml version="1.0"?>
<sourceA xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="sourceA.xsd">
  <movie>
    <title>MovieA</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActB</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieB</title>
    <director>DirB</director>
    <actors>
      <actor>ActB</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
</sourceA>
```

87

sourceB.xml

```
<?xml version="1.0"?>
<sourceB xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="sourceB.xsd">
  <actor>
    <name>ActA</name>
    <movies>
      <movie> <title>MovieA</title> <director>DirA</director> </movie>
      <movie> <title>MovieC</title> <director>DirA</director> </movie>
    </movies>
  </actor>
  <actor>
    <name>ActC</name>
    <movies>
      <movie> <title>MovieA</title> <director>DirA</director> </movie>
    </movies>
  </actor>
  <actor>
    <name>ActD</name>
    <movies>
      <movie> <title>MovieC</title> <director>DirA</director> </movie>
    </movies>
  </actor>
</sourceB>
```

88

Attempt 1: Union, Restructuring

```
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="integrated.xsd">
  {
    let $A := doc("sourceA.xml")/sourceA
    return $A/*
  union
  (
    let $B := doc("sourceB.xml")/sourceB
    for $titleB in distinct-values($B//title)
    let $dirB := $B//movie[title=$titleB]/director
    return
      <movie>
        <title>{$titleB}</title>
        {$dirB[1]}
        <actors> {
          for $actB in $B/actor
            where $actB/movie/title = $titleB
            return <actor>[data($actB/name)]</actor>
        } </actors>
      </movie>
    )
  } </integrated>
```

89

```
<?xml version="1.0" encoding="UTF-8"?>
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="integrated.xsd">
  <movie>
    <title>MovieA</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActB</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieB</title>
    <director>DirB</director>
    <actors>
      <actor>ActB</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieA</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieC</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActD</actor>
    </actors>
  </movie>
</integrated>
```

90

Attempt 2: Duplicate Elimination, Join

```
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="integrated.xsd"> {
  let $A := doc("sourceA.xml")/sourceA
  return $A/*
union
(
  let $B := doc("sourceB.xml")/sourceB
  for $titleB in distinct-values($B//title)
  let $dirB := $B//movie[title=$titleB]/director
  where not(exists(
    for $A in doc("sourceA.xml")/sourceA
    for $titleA in $A//title
    where $titleA = $titleB
    return $titleA
  ))
  return
    <movie>
      <title>{$titleB}</title>
      {$dirB[1]}
      <actors> {
        for $actB in $B/actor
        where $actB/movie/title = $titleB
        return <actor>{data($actB/name)}</actor>
      } </actors>
    </movie>
  )
} </integrated>
```

91

```
<?xml version="1.0" encoding="UTF-8"?>
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="integrated.xsd">
  <movie>
    <title>MovieA</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActB</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieB</title>
    <director>DirB</director>
    <actors>
      <actor>ActB</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieC</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActD</actor>
    </actors>
  </movie>
</integrated>
```

92

Attempt 3: Nested and Join

```
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:noNamespaceSchemaLocation="integrated.xsd"> {
  ( for $movie in doc("sourceA.xml")/sourceA/movie
    return
      <movie>
        {$movie/title}
        {$movie/director}
        <actors> {
          ( for $actA in $movie/actors/actor return $actA )
          union
          ( let $B := doc("sourceB.xml")/sourceB
            for $actB in $B/actor
            where $actB/title = $movie/title and not(exists(
              for $actA in $movie/actors/actor
              where $actA = $actB/name
              return $actA
            ))
            return <actor>{data($actB/name)}</actor>
          )
        ) </actors>
      </movie>
  )
union
( let $B := doc("sourceB.xml")/sourceB
  for $titleB in distinct-values($B//title)
  let $dirB := $B//movie[title=$titleB]/director
  where not(exists(
    for $A in doc("sourceA.xml")/sourceA
    for $titleA in $A//title
    where $titleA = $titleB
    return $titleA
  ))
  return
    <movie>
      <title>{$titleB}</title>
      {$dirB[1]}
      <actors> {
        for $actB in $B/actor
        where $actB/movie/title = $titleB
        return <actor>{data($actB/name)}</actor>
      } </actors>
    </movie>
  )
} </integrated>
```

93

Attempt 3: Nested and Join (1)

```
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="integrated.xsd"> {
  ( for $movie in doc("sourceA.xml")/sourceA/movie
    return
      <movie>
        {$movie/title}
        {$movie/director}
        <actors> {
          ( for $actA in $movie/actors/actor return $actA )
          union
          ( let $B := doc("sourceB.xml")/sourceB
            for $actB in $B/actor
            where $actB/title = $movie/title and not(exists(
              for $actA in $movie/actors/actor
              where $actA = $actB/name
              return $actA
            ))
            return <actor>{data($actB/name)}</actor>
          )
        ) </actors>
      </movie>
  )
} </integrated>
```

94

Attempt 3: Nested and Join (2)

```
( let $B := doc("sourceB.xml")/sourceB
  for $titleB in distinct-values($B//title)
  let $dirB := $B//movie[title=$titleB]/director
  where not(exists(
    for $A in doc("sourceA.xml")/sourceA
    for $titleA in $A//title
    where $titleA = $titleB
    return $titleA
  ))
  return
    <movie>
      <title>{$titleB}</title>
      {$dirB[1]}
      <actors> {
        for $actB in $B/actor
        where $actB/movie/title = $titleB
        return <actor>{data($actB/name)}</actor>
      } </actors>
    </movie>
  )
} </integrated>
```

95

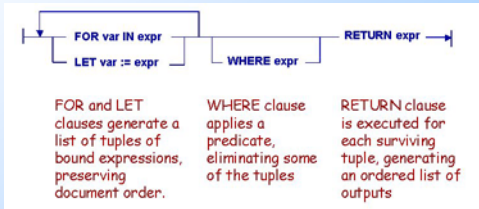
```
<?xml version="1.0" encoding="UTF-8"?>
<integrated xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="integrated.xsd">
  <movie>
    <title>MovieA</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActB</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieB</title>
    <director>DirB</director>
    <actors>
      <actor>ActB</actor>
      <actor>ActC</actor>
    </actors>
  </movie>
  <movie>
    <title>MovieC</title>
    <director>DirA</director>
    <actors>
      <actor>ActA</actor>
      <actor>ActD</actor>
    </actors>
  </movie>
</integrated>
```

96

Summary: XQuery

Summary:

◆ **FOR-LET-WHERE-RETURN = FLWR**



97

Tutorial and Practicals

- ◆ Week 8 – Practical
 - ◆ Making you familiarise with Saxon that will be used in completing assignment 2 tasks
- ◆ Week 9 – Tutorial on XQuery
 - ◆ Question 1 to 4.
- ◆ Week 10 – Tutorial on XQuery continues..
 - ◆ Questions 5 to 8.

98

Reference or Reading Material

- ◆ There are numerous online resources of XQuery.
- ◆ Official XQuery Web site:
<http://www.w3.org/TR/xquery/>

A complete reference of all the operators, built-in functions, and data types in XQuery 1.0
- ◆ <http://www.w3schools.com/xquery/default.asp>
An easy and concise tutorial of various features of XQuery.

99