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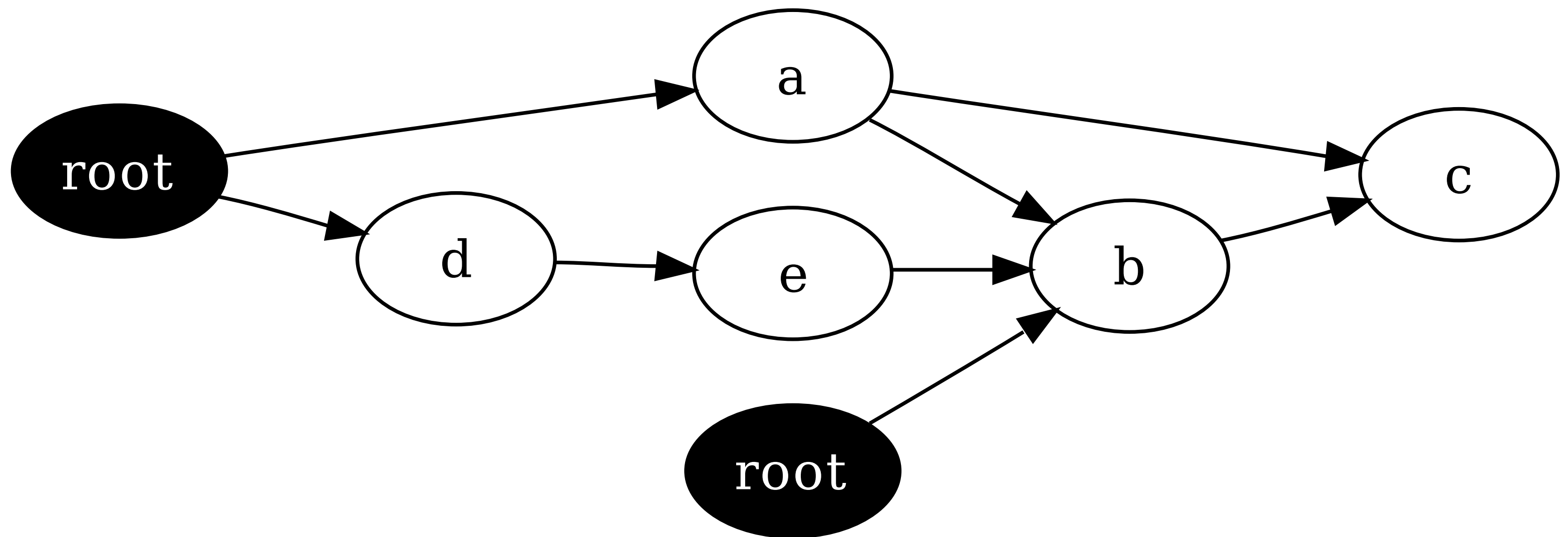
## The Ghost in the Virtual Machine A Reference to References

Bob Lee  
Google Inc.

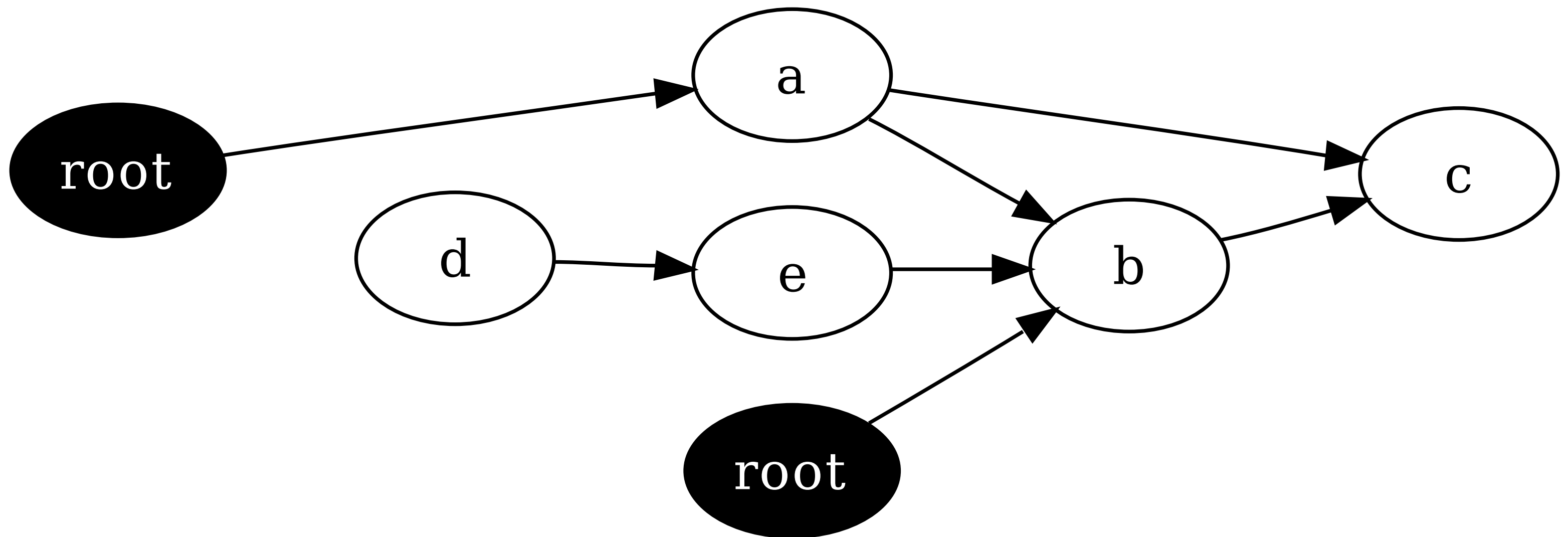
# Goals

- > Take the mystery out of garbage collection.
- > Perform manual cleanup the Right way.
- > Become honorary VM sanitation engineers.

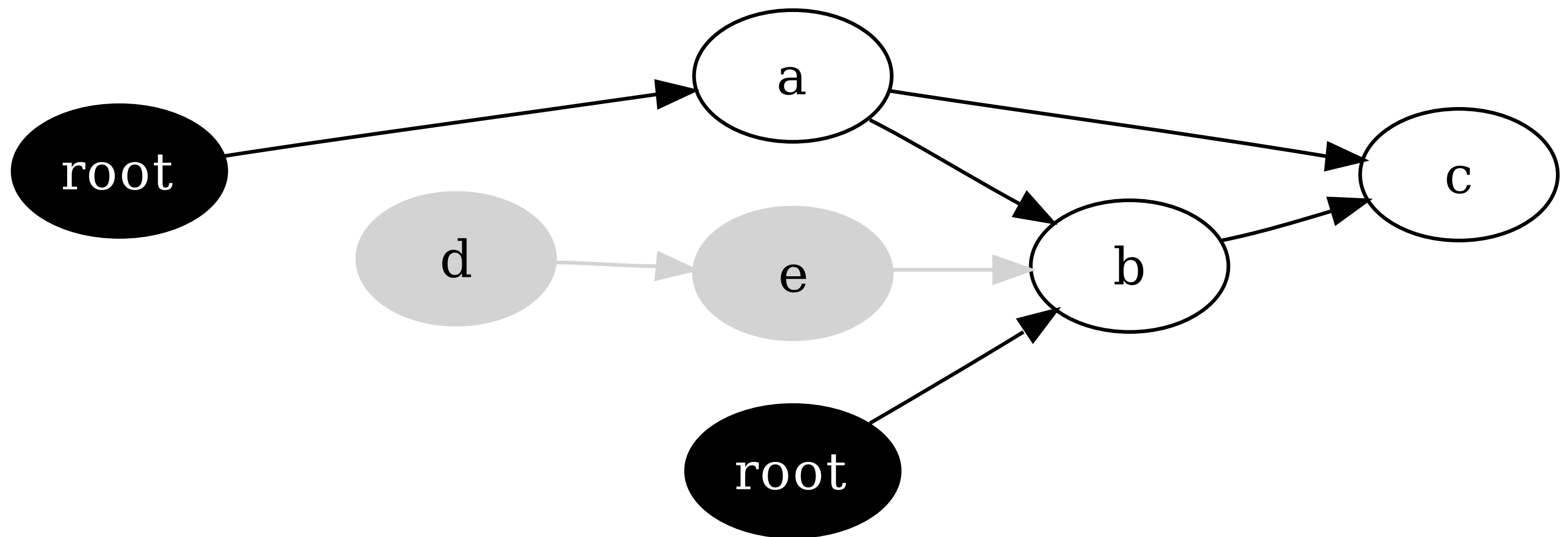
# How does garbage collection work?



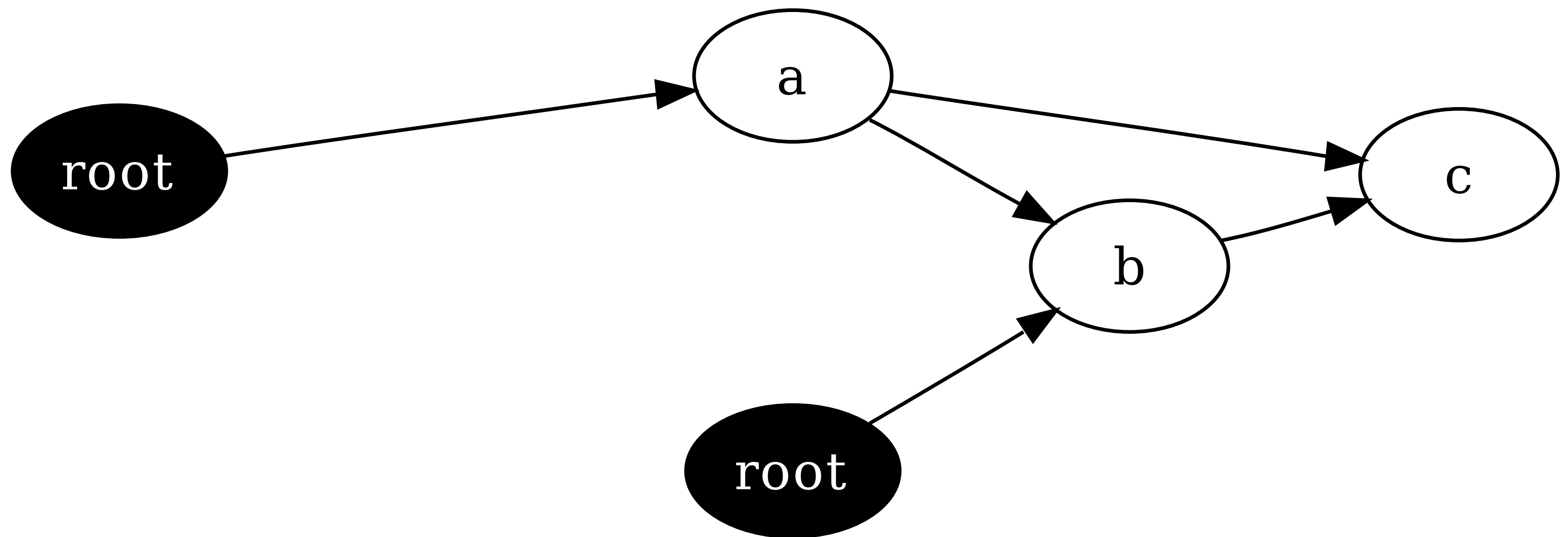
If the reference to D goes away...



We can no longer reach D or E.



So the collector reclaims them.





# The GC can't do everything.

## > Some things require manual cleanup.

- Listeners
- File descriptors
- Native memory
- External state (`IdentityHashMap`)

## > Tools at your disposal:

- `finally`
- Overriding `Object.finalize()`
- Reference queues

# Try `finally` first.

- > Reasons to not use `finally`:
  - More work for programmers
  - More error prone
  - Cleanup happens in main thread
- > ARM will help.



# What is a finalizer?

```
public class Foo extends Bar {  
    @Override protected void finalize() throws Throwable {  
        try {  
            ... // Clean up Foo.  
        } finally {  
            super.finalize(); // Clean up Bar.  
        }  
    }  
}
```

## Finalizers are seductively simple, but...

- > They're not guaranteed to run, especially not timely.
- > Avoid `System.runFinalizersOnExit()` and `runFinalization()`.
- > Undefined threading model, can run concurrently!
- > You must call `super.finalize()`.
- > Exceptions are ignored (per spec).
- > You can resurrect references.
- > Keeps objects alive longer.
- > Can make allocation/reclamation 430X slower (Bloch, Effective Java)

# An external resource

```
public class NativeMemory {
    final int address = allocate();
    /** Allocates native memory. */
    static native int allocate();

    /** Writes to native memory. */
    public void write(byte[] data) {
        write(address, data);
    }
    static native void write(int address, byte[] data);

    /** Frees native memory. */
    @Override protected void finalize() {
        free(address);
    }
    static native void free(int address);
}
```

# Let's play War!

SegfaultFactory can cause a segfault if its finalizer executes after NativeResource's.

```
public class SegfaultFactory {  
    private final NativeMemory nm;  
  
    public SegfaultFactory(NativeMemory nm) {  
        this.nm = nm;  
    }  
  
    @Override protected void finalize() {  
        // 50/50 chance of failure  
        nm.write("I'm taking the VM with me!".getBytes());  
    }  
}
```



# Use protection.

```
public class NativeMemory {
    final int address = allocate();
    /** Allocates native memory. */
    static native int allocate();

    /** Writes to native memory. */
    boolean finalized;
    public synchronized void write(byte[] data) {
        if (!finalized) write(address, data);
        else /* do nothing? */;
    }
    static native void write(int address, byte[] data);

    /** Frees native memory. */
    @Override protected synchronized void finalize() {
        finalized = true;
        free(address);
    }
    static native void free(int address);
}
```

# Finalizers are good for one thing.

## Logging warnings

# The alternative

An API-based approach:

```
public class WeakReference<T> {  
    public WeakReference(T referent) {  
        ...  
    }  
    public WeakReference(T referent,  
        ReferenceQueue<? super T> q) {  
        ...  
    }  
    public T get() {  
        ...  
    }  
    ...  
}
```



# What is a finalizer?

```
public class Button {
    private final List<WeakReference<Listener>> listeners
        = new ArrayList<WeakReference<Listener>>();
    public void add(Listener l) {
        listeners.add(new WeakReference<Listener>(l));
    }
    public void click() {
        Iterator<WeakReference<Listener>> i
            = listeners.iterator();
        while (i.hasNext()) {
            Listener l = i.next().get();
            if (l == null) i.remove();
            else l.onClick();
        }
    }
    public interface Listener {
        void onClick();
    }
}
```

# The alternative

```
public class ReferenceQueue<T> {  
    public T poll() {  
        ...  
    }  
    public T remove() {  
        ...  
    }  
    public T remove(long timeout) {  
        ...  
    }  
}
```

# Reachability

- > An object is *reachable* if a live thread can access it.
- > Examples of heap roots:
  - System classes (which have static fields)
  - Thread stacks
  - In-flight exceptions
  - JNI global references
  - The finalizer queue
  - The interned String pool
  - etc. (VM-dependent)

# Making maps

```
public class BytecodeCache {  
    final static Map<Class<?>, byte[]> cache = new MapMaker()  
        .weakKeys()  
        .softValues()  
        .makeComputingMap(new Function<Class<?>, byte[]>() {  
            public byte[] apply(Class<?> clazz) {  
                ...  
            }  
        })  
};  
  
    public static byte[] bytesFor(Class<?> clazz) {  
        return cache.get(clazz);  
    }  
}
```

# Dante's Heap - The Levels of Reachability

- > Strong
- > Soft
- > Weak
- > Finalizer
- > Phantom, JNI weak
- > Unreachable

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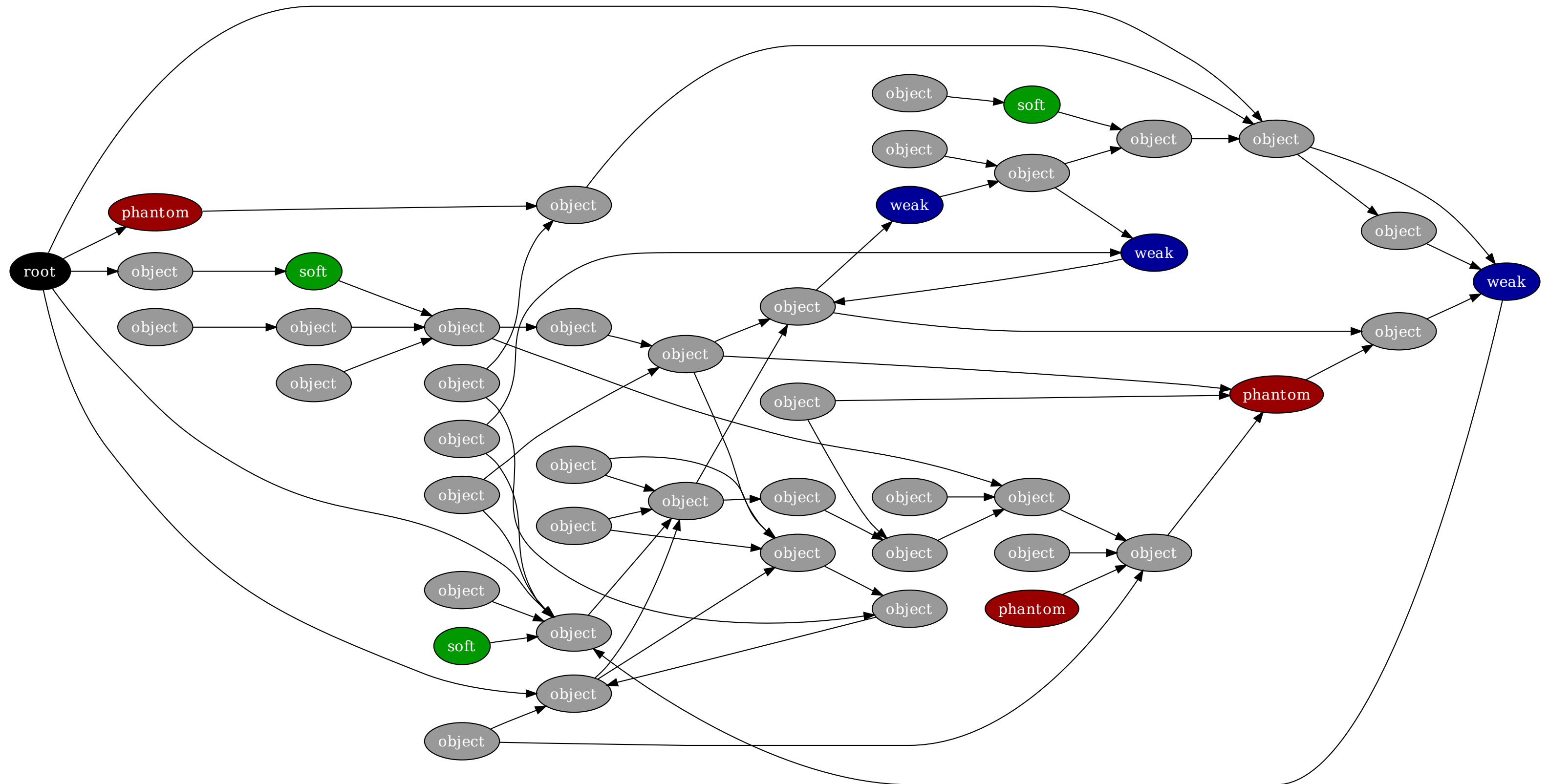
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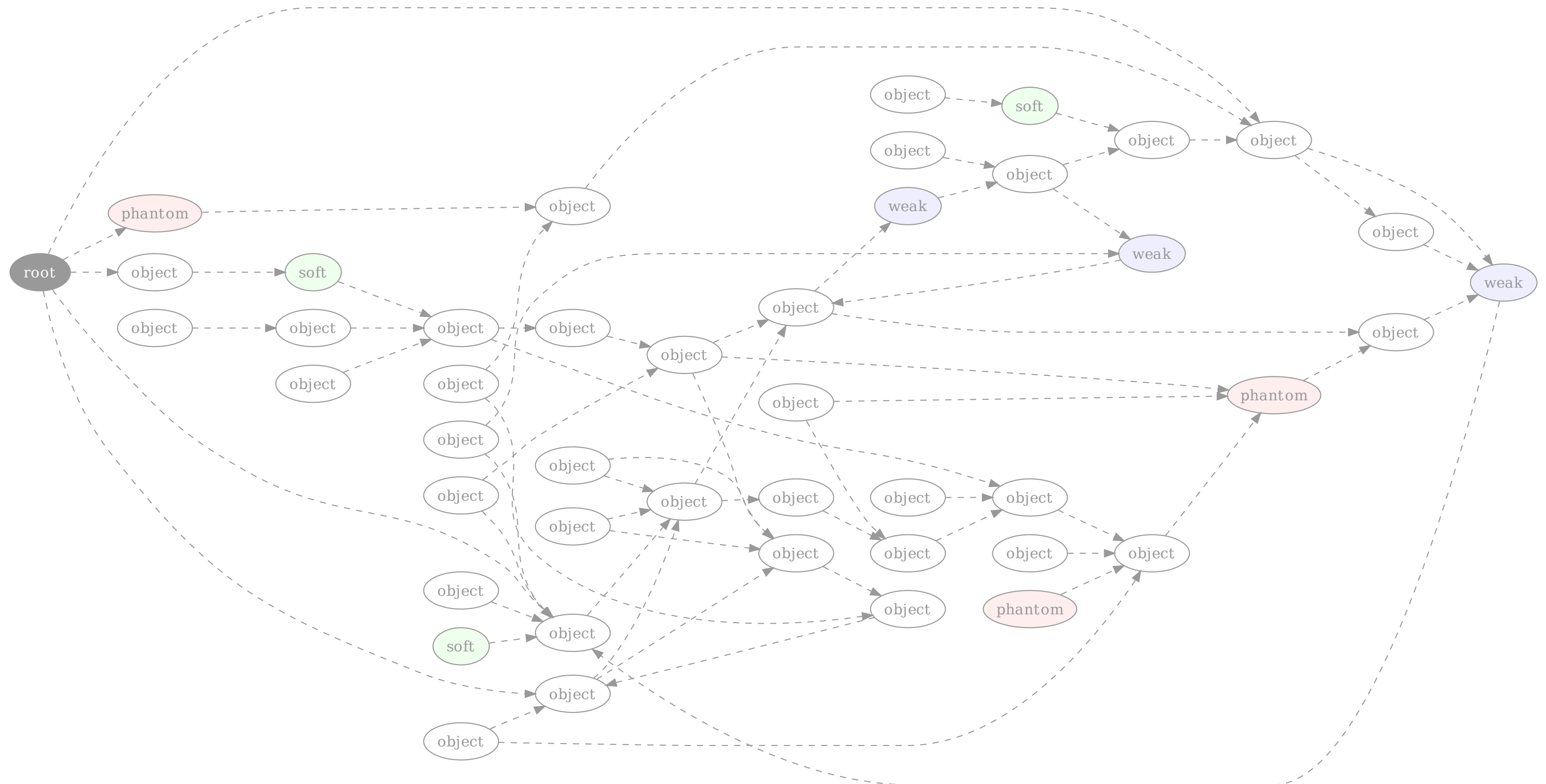
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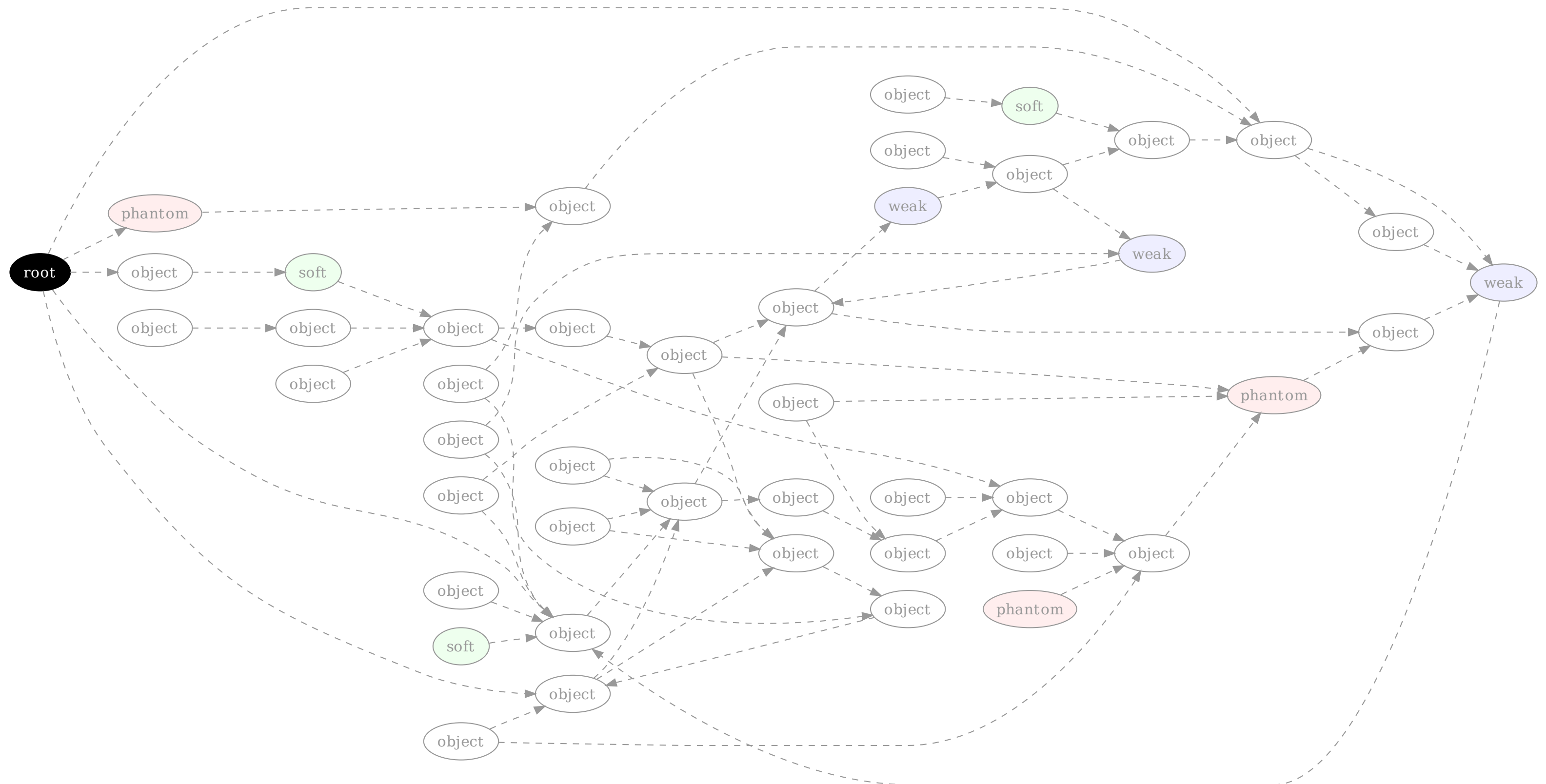
# Let's mark and sweep a heap!



# No objects are marked at first.

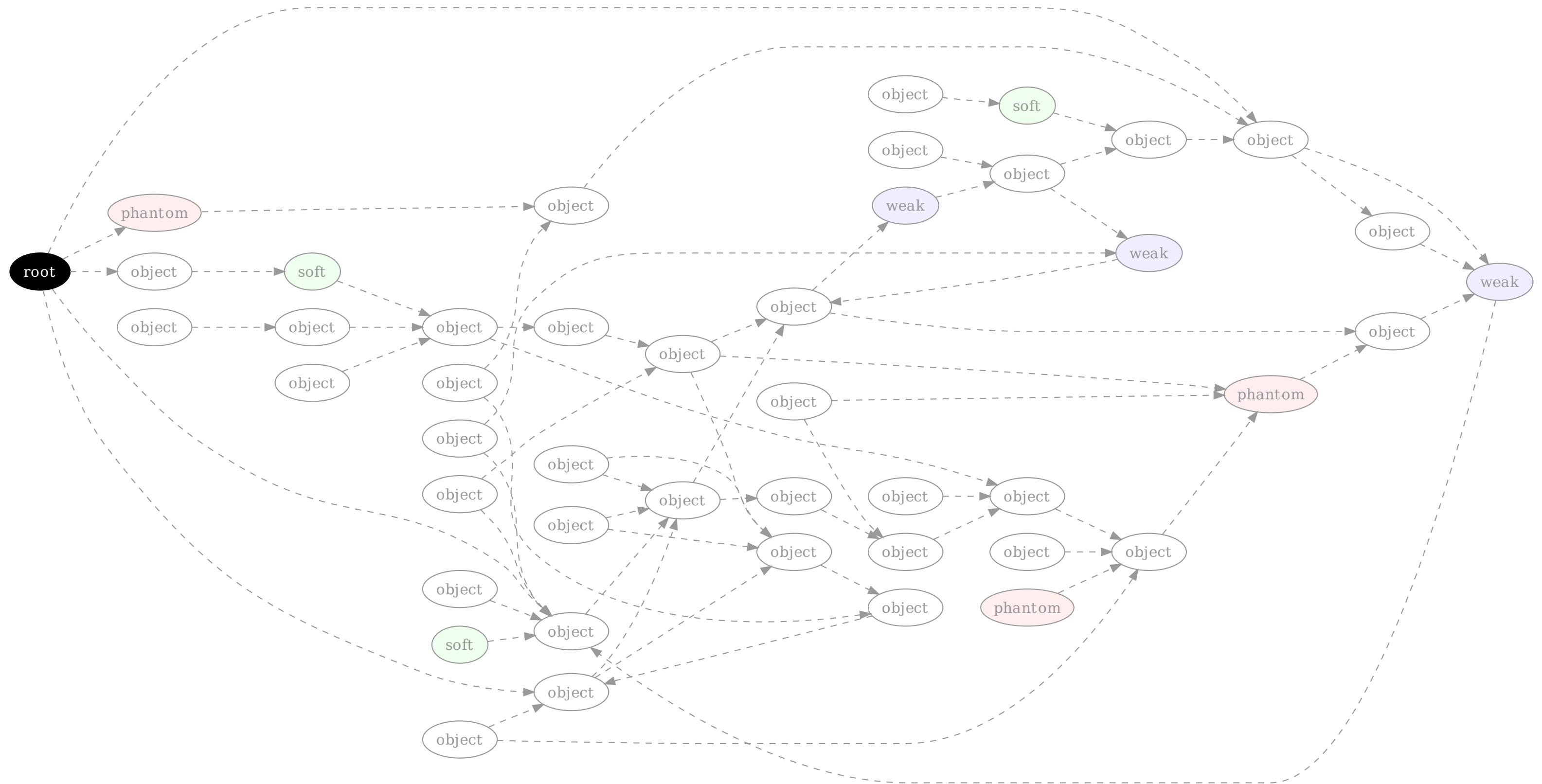


# 1. Start at a root.

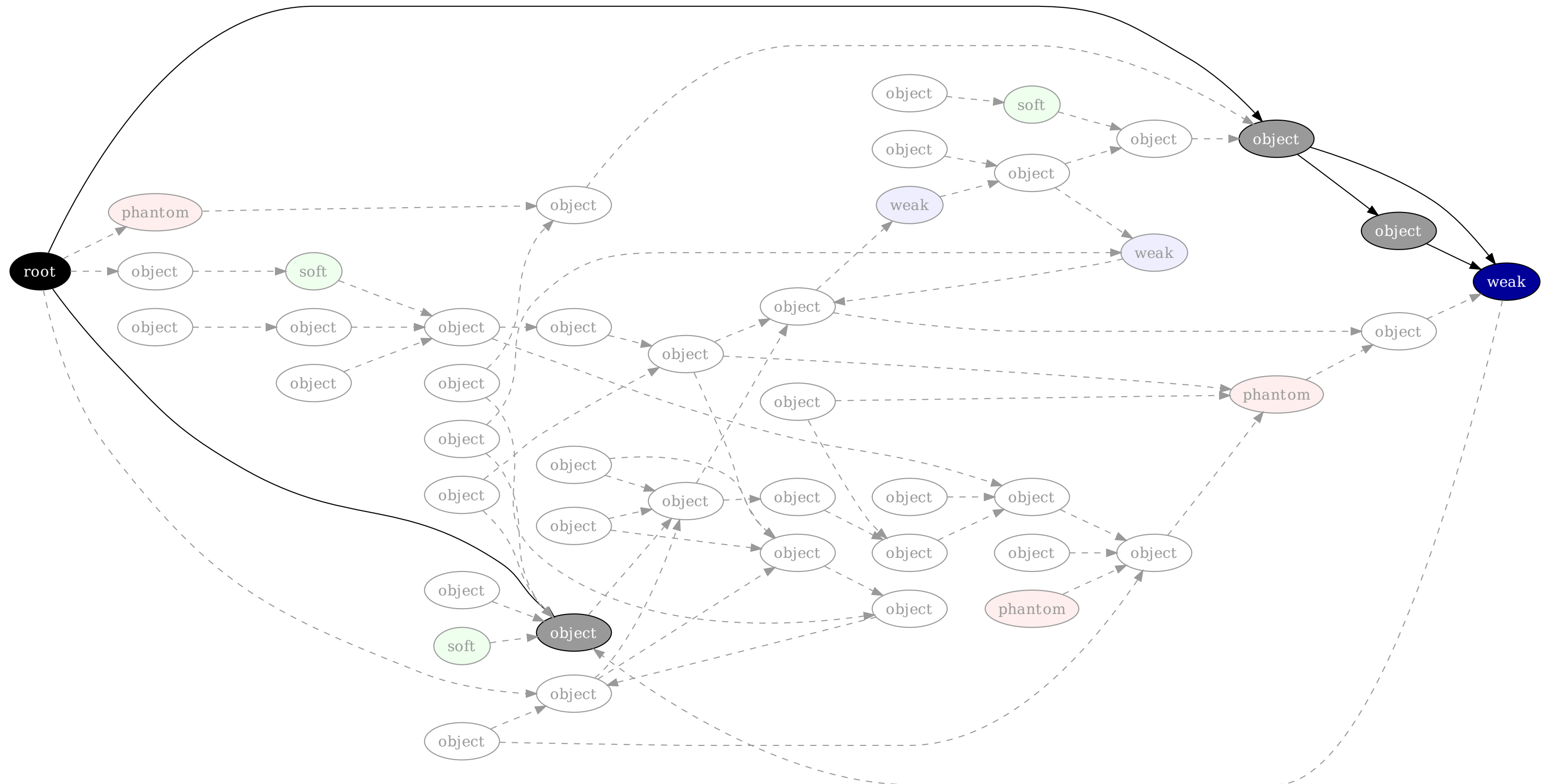




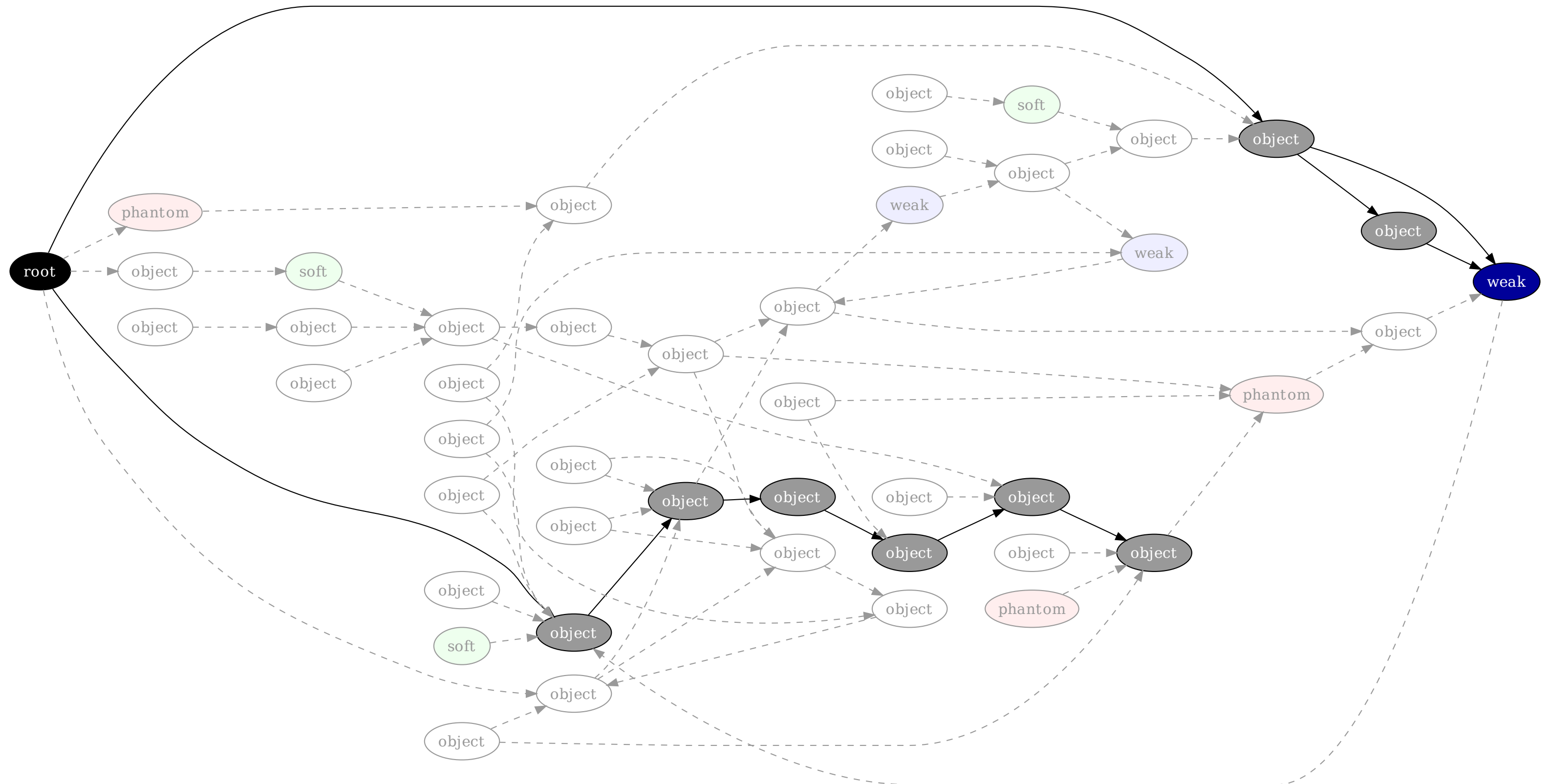
## 2. Trace and mark strongly-referenced objects.



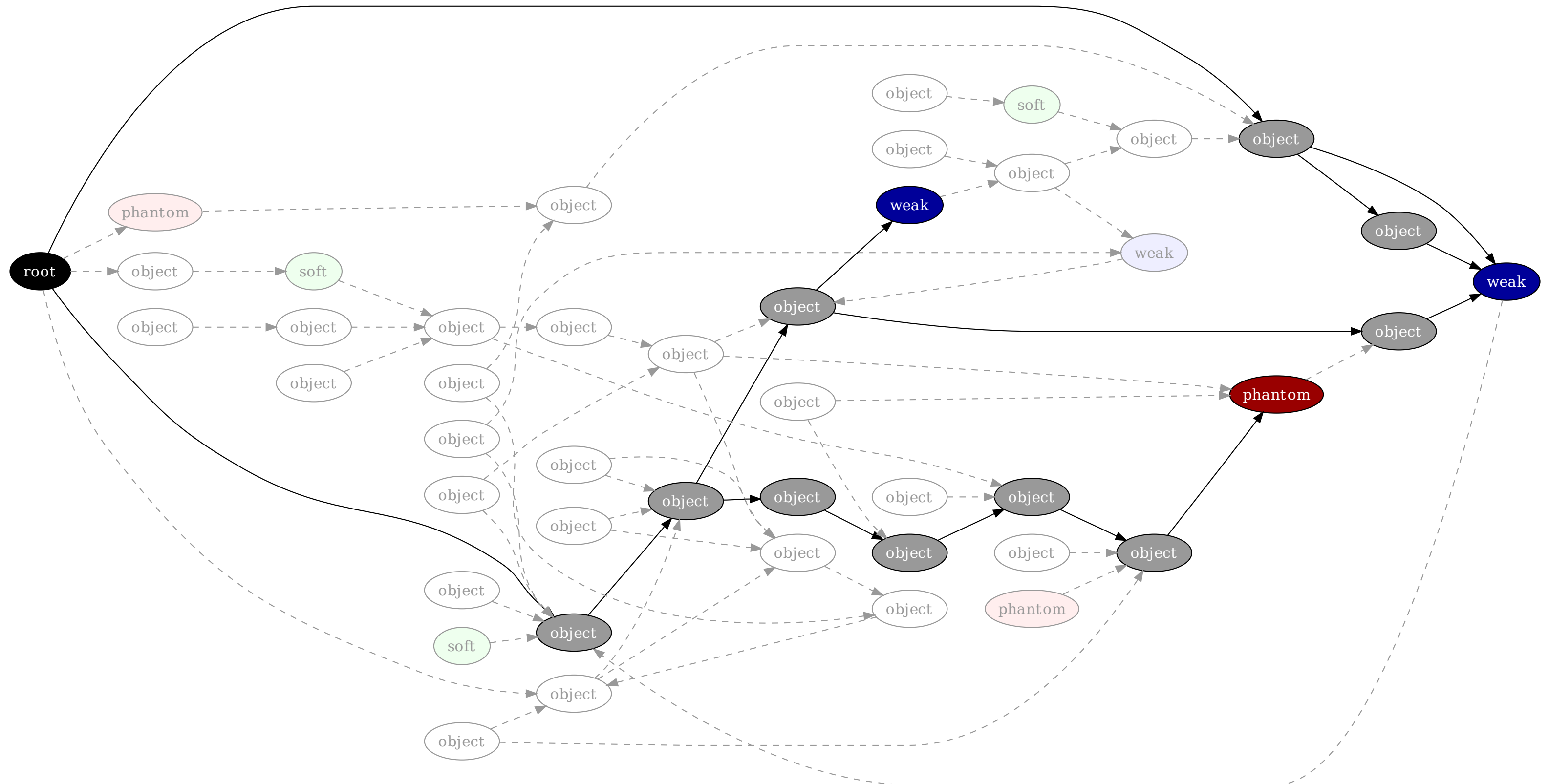
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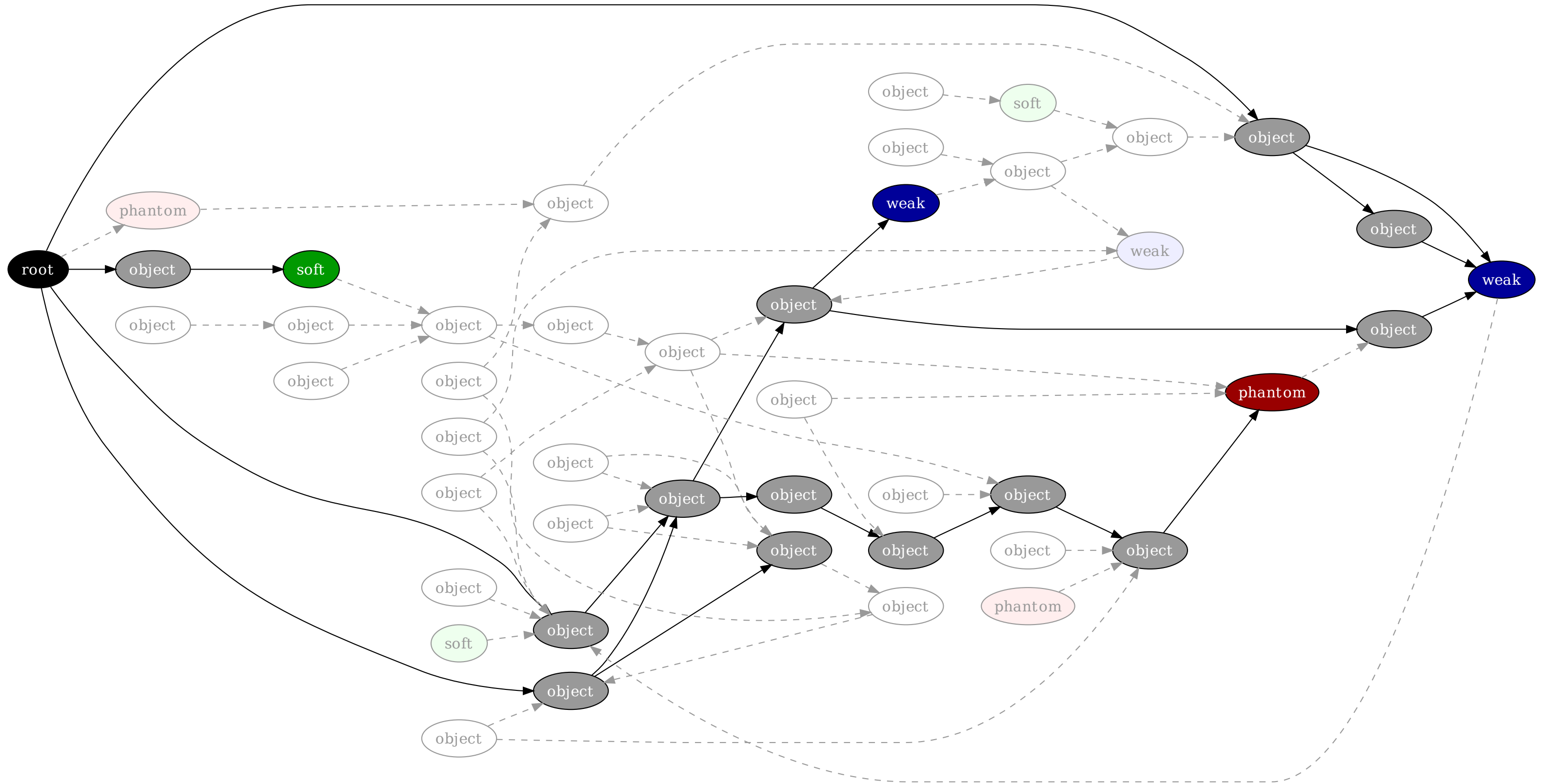
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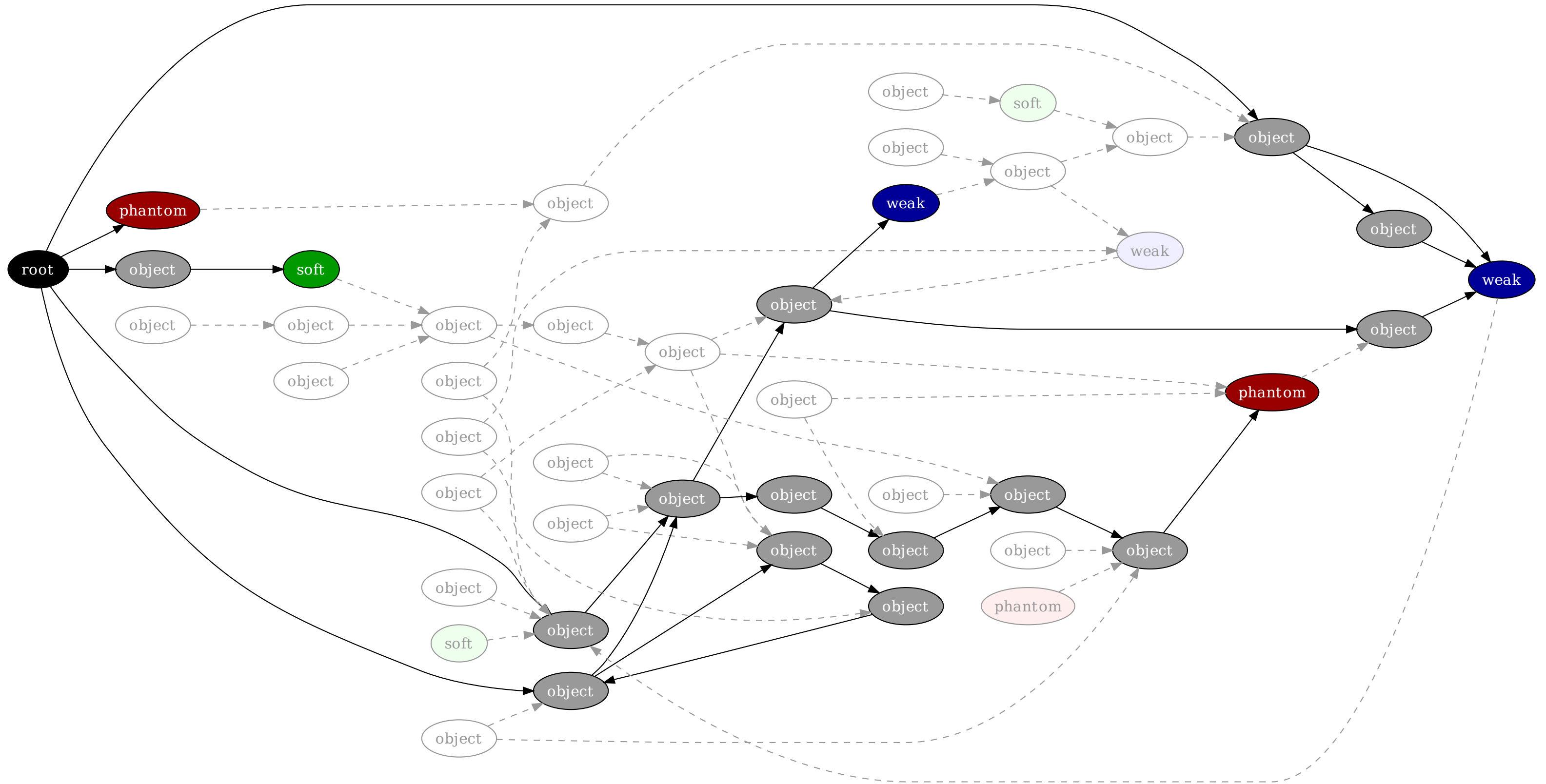
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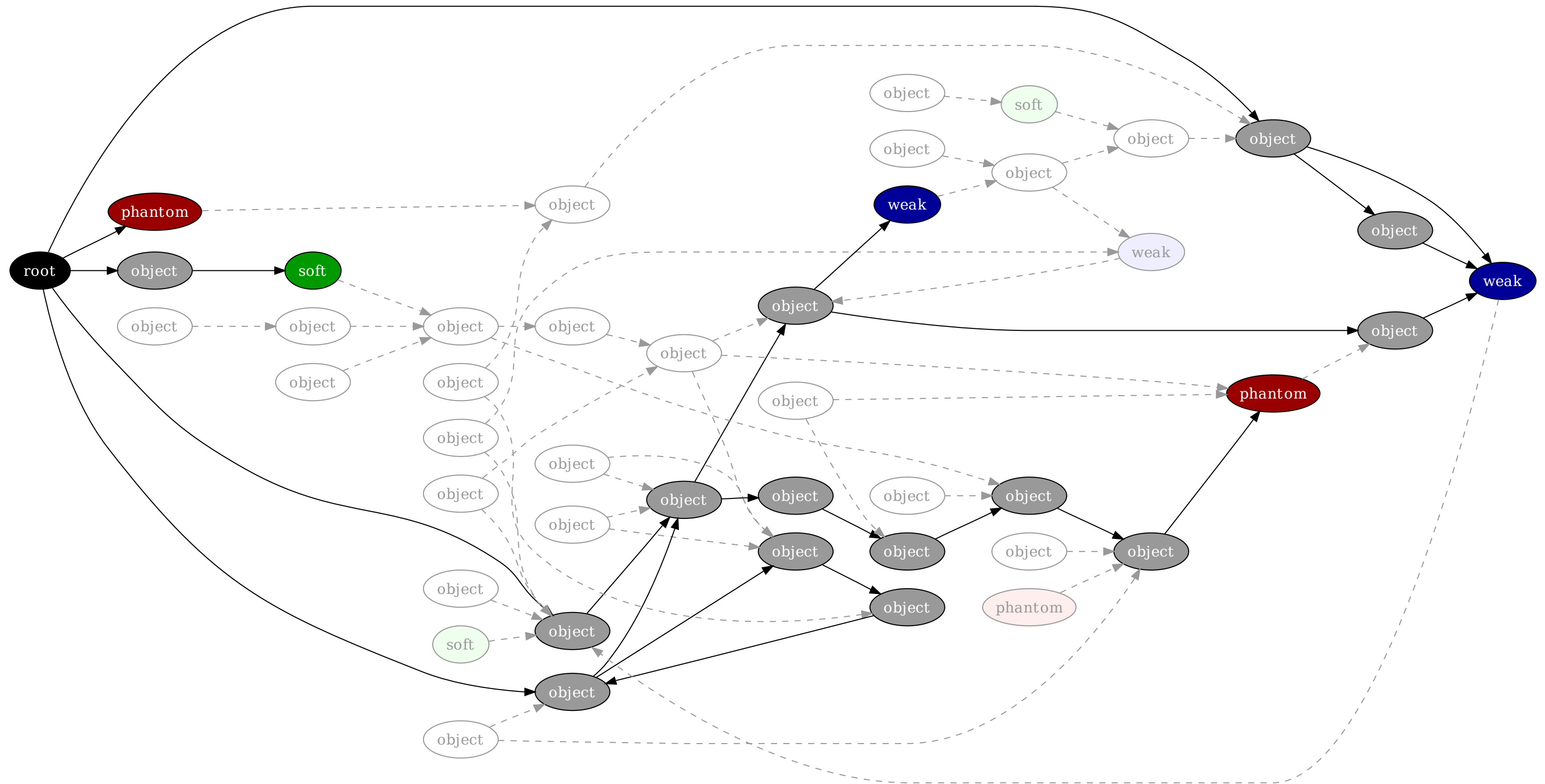


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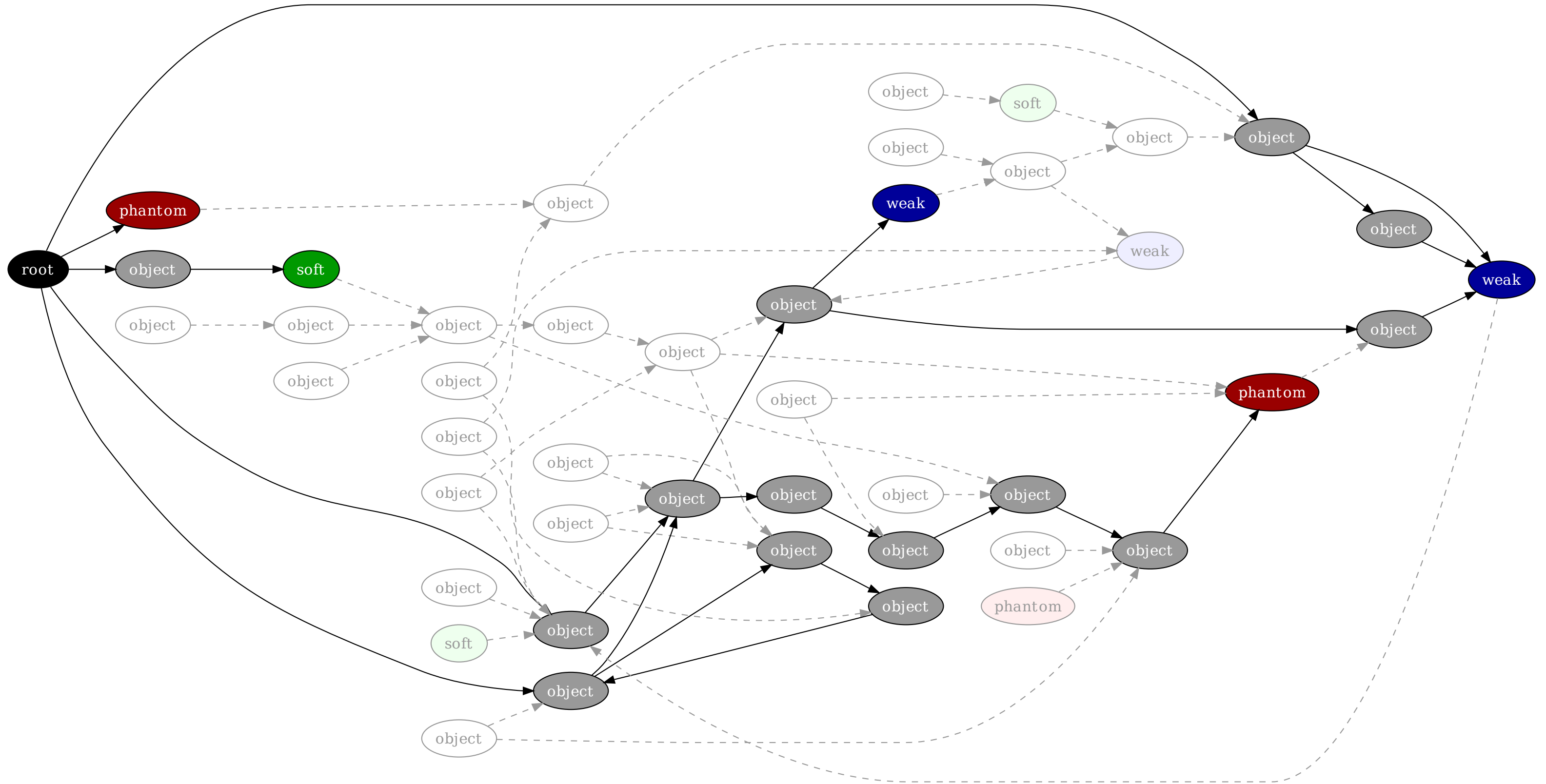


### 3. Optionally clear soft references.

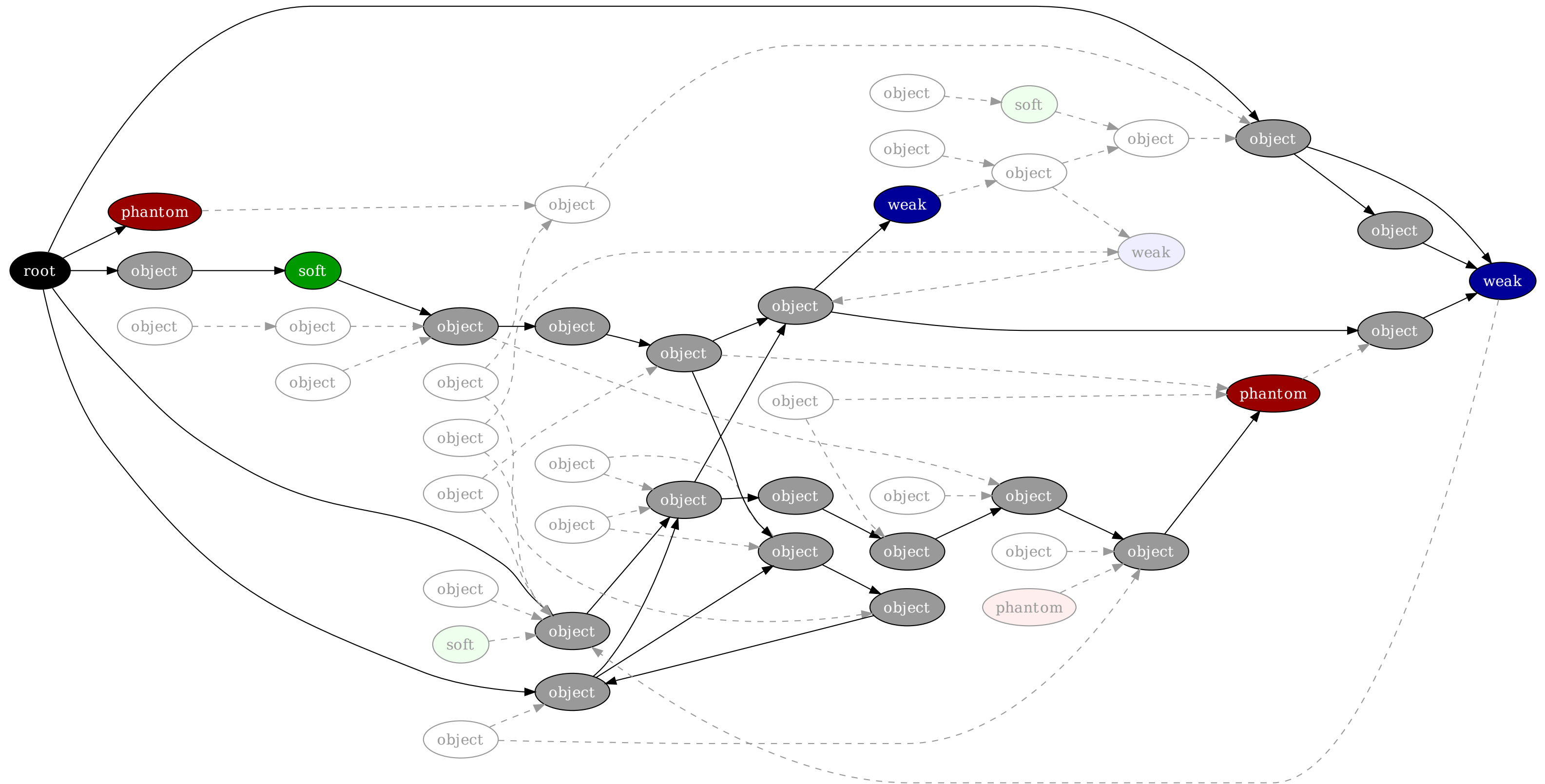




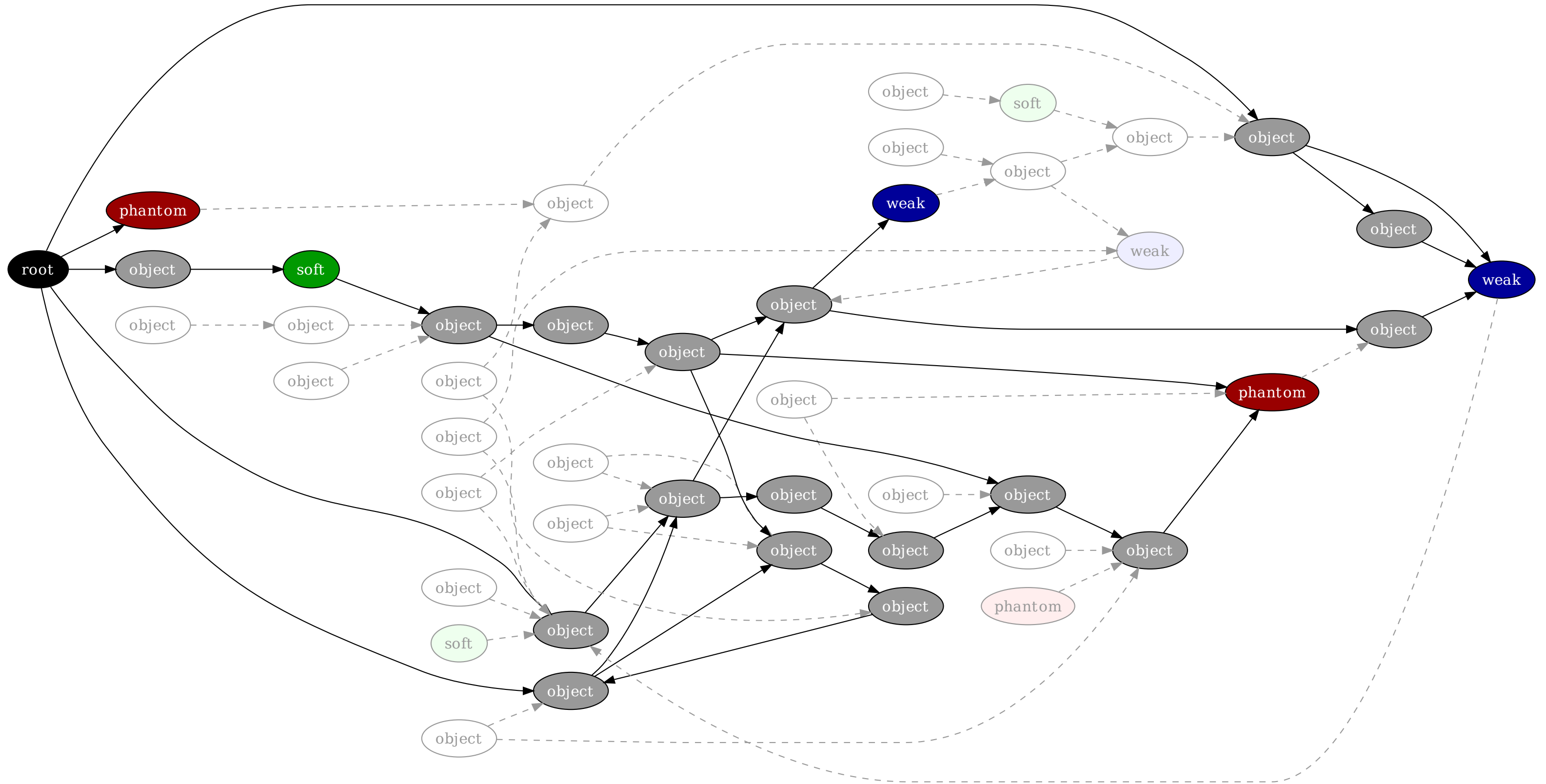
## 4. Trace and mark softly-referenced objects.



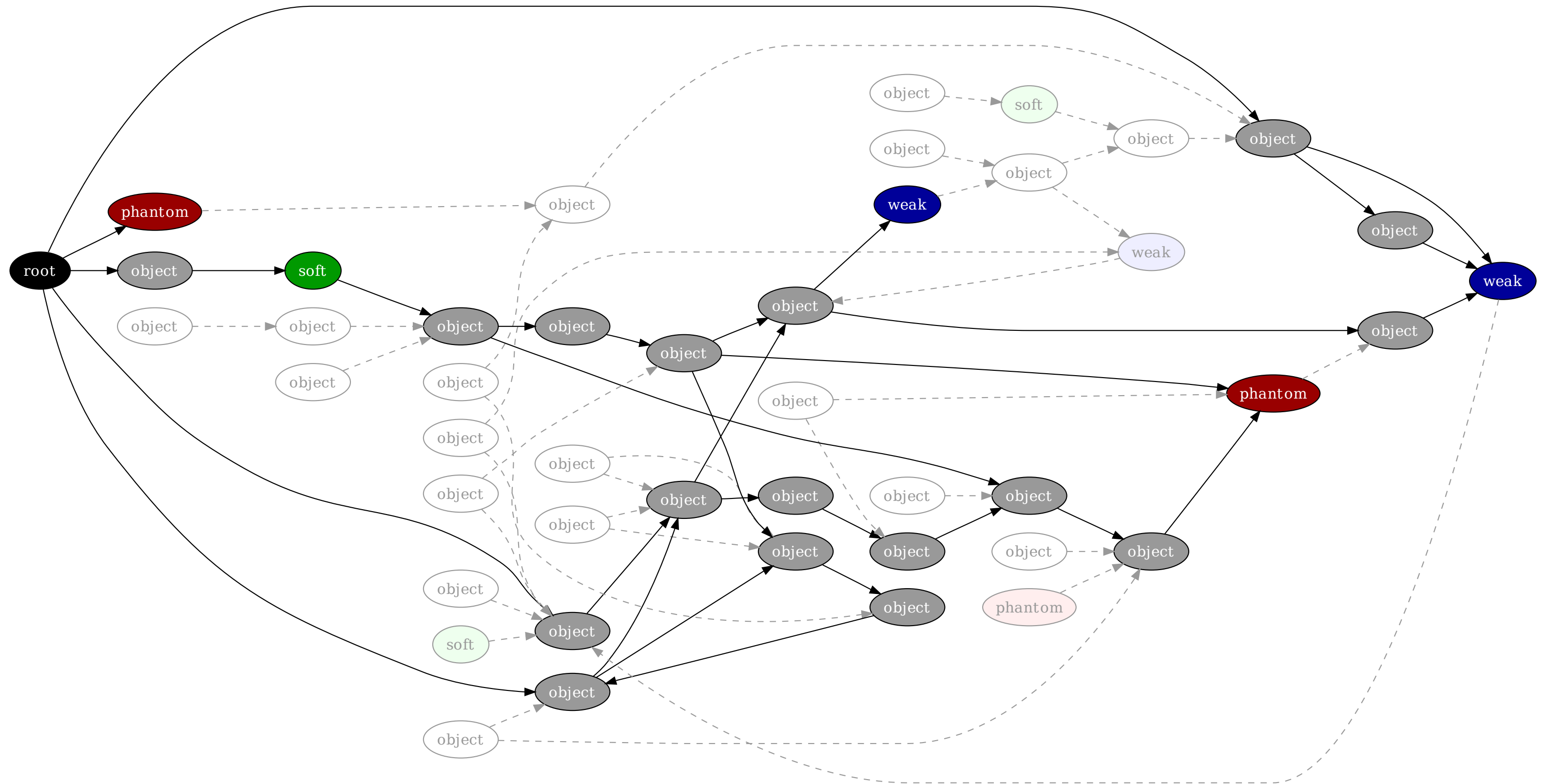
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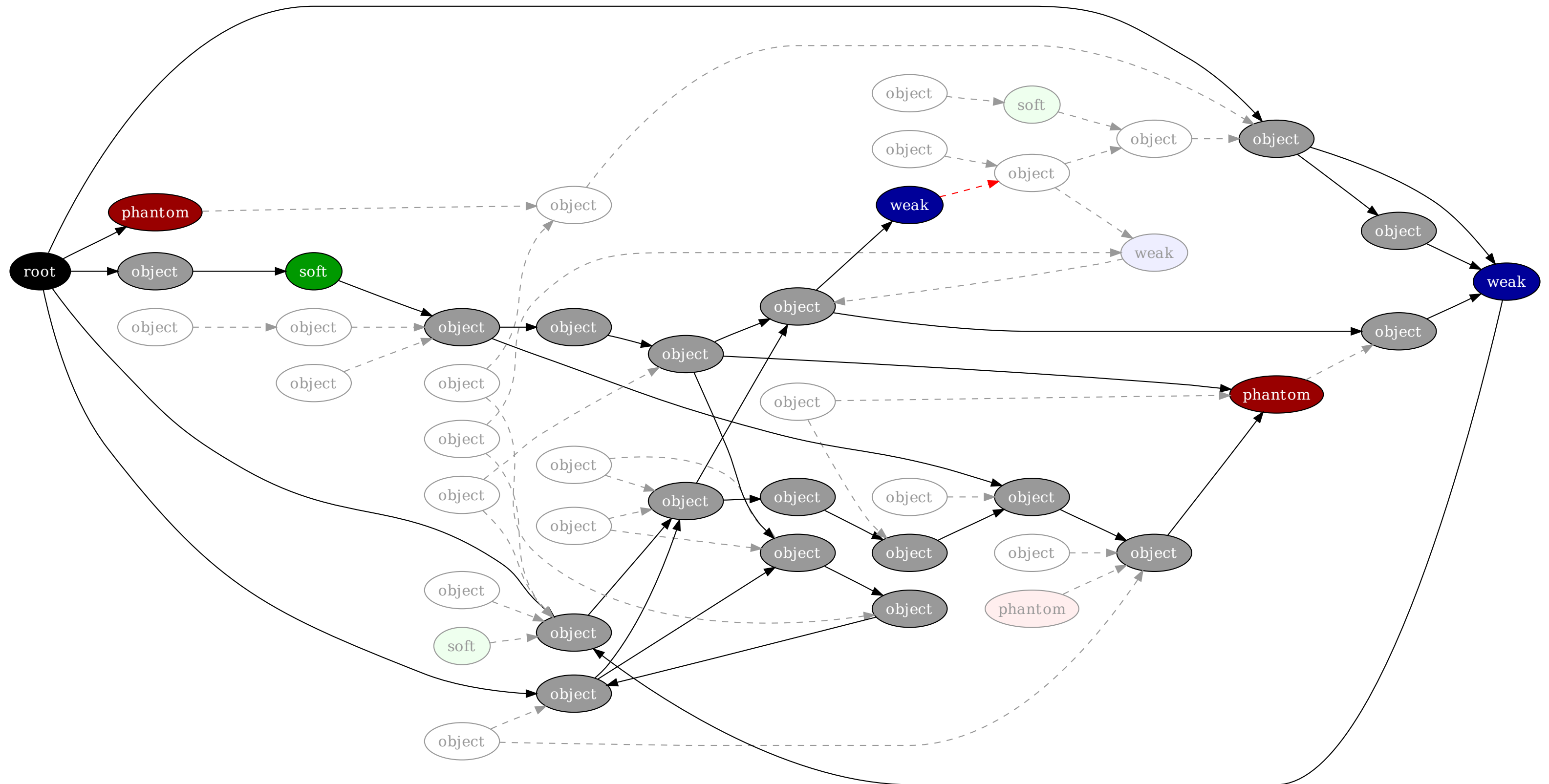
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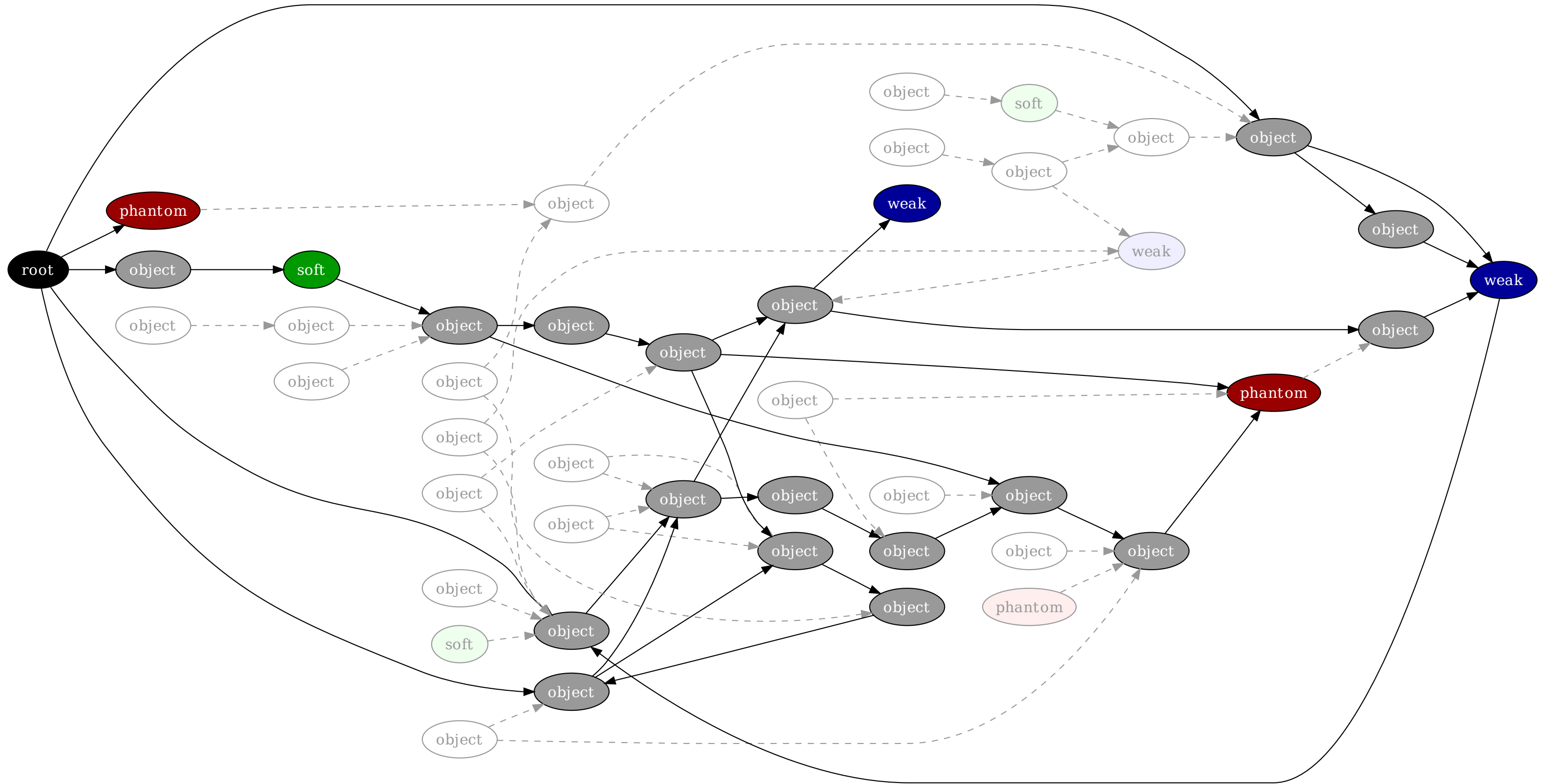
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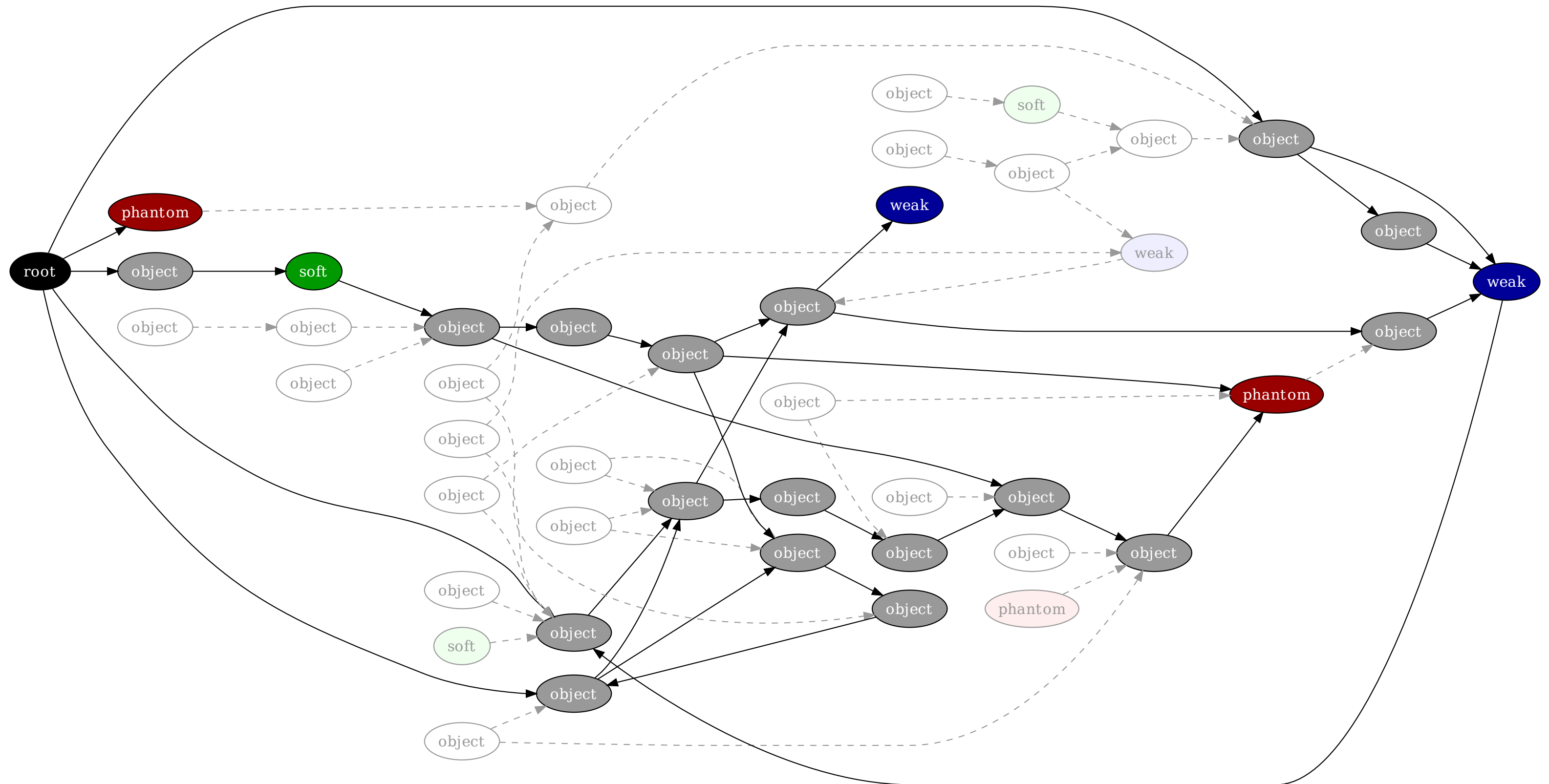


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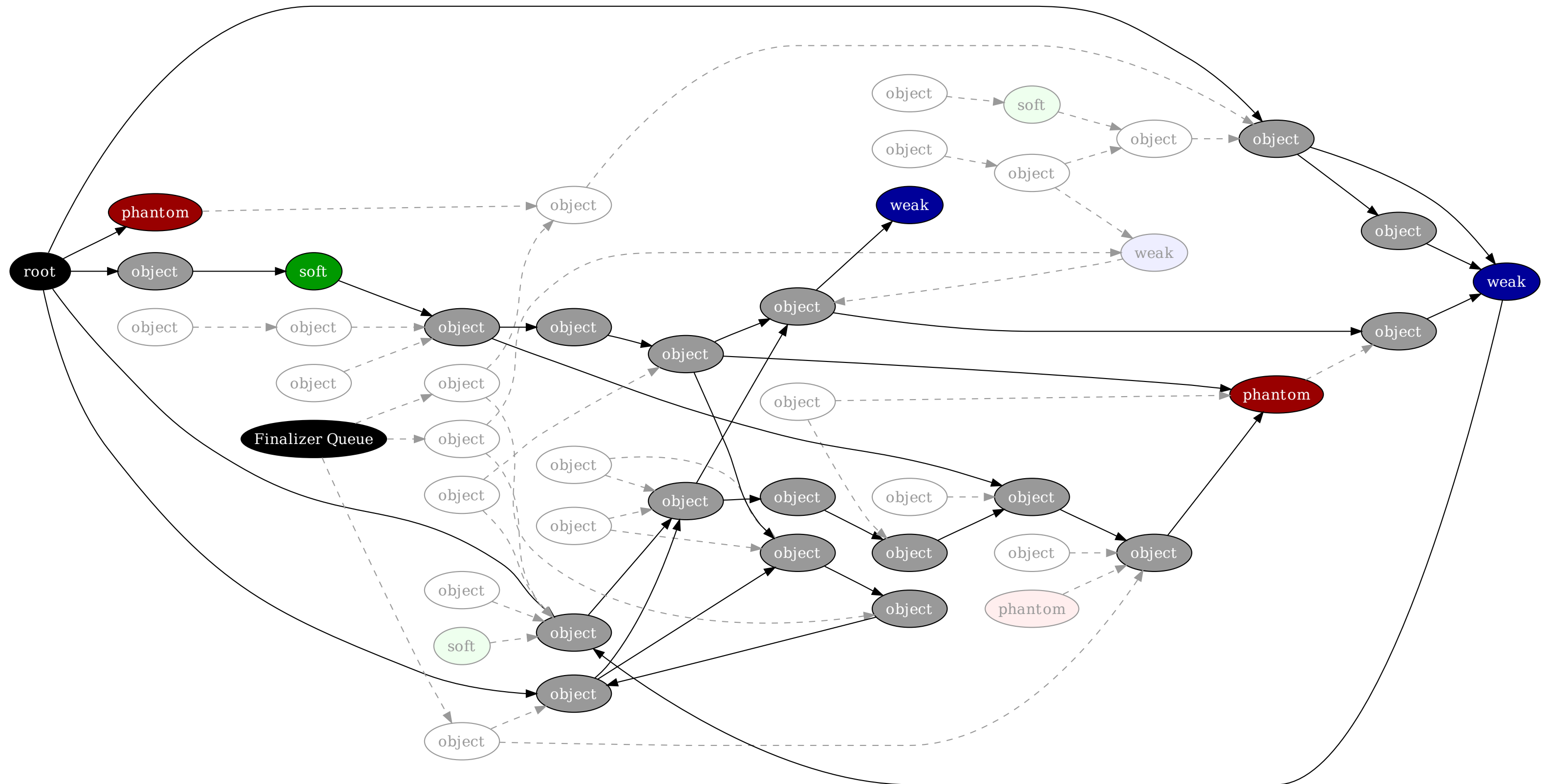




## 6. Enqueue finalizable objects.

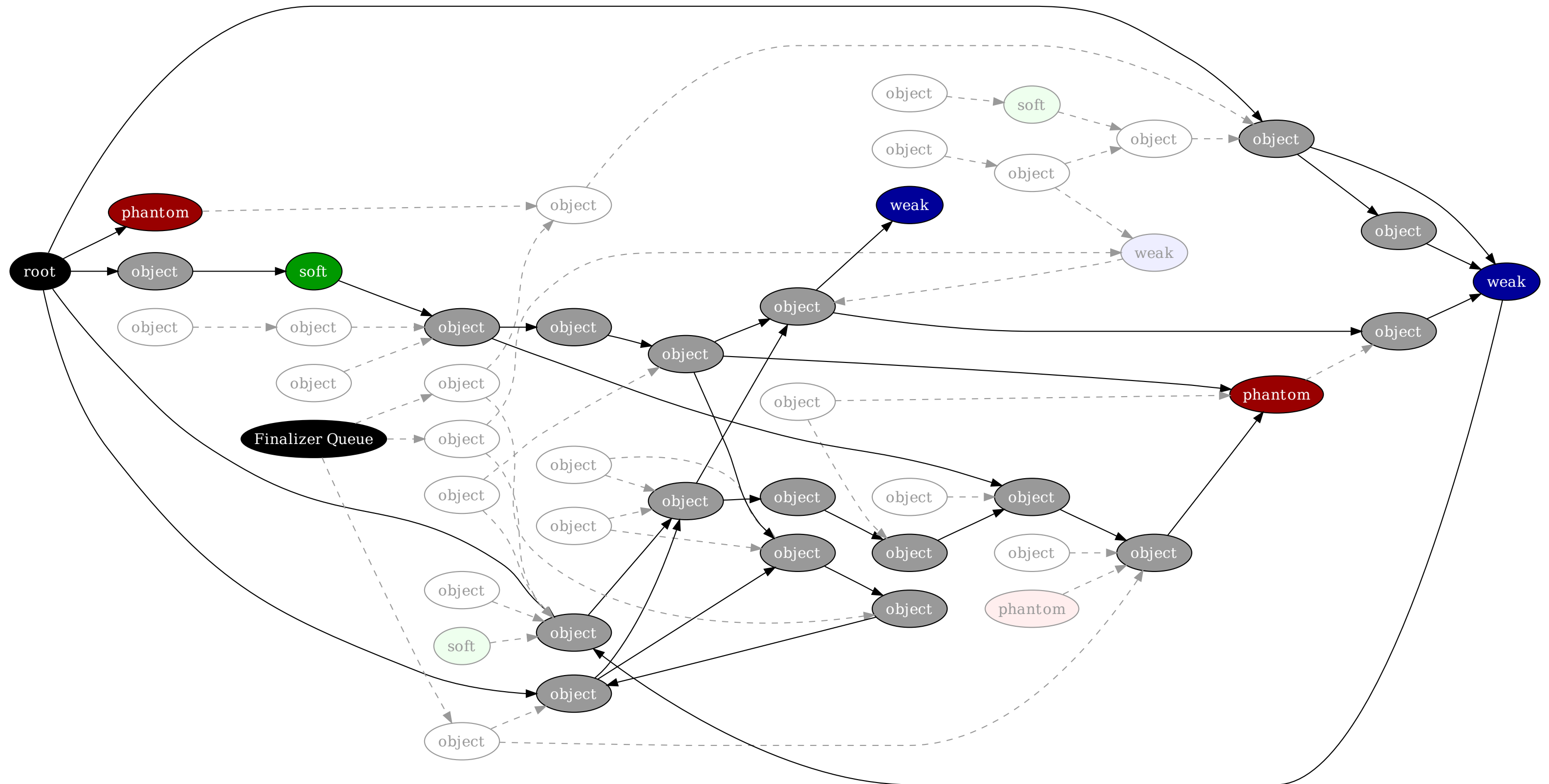


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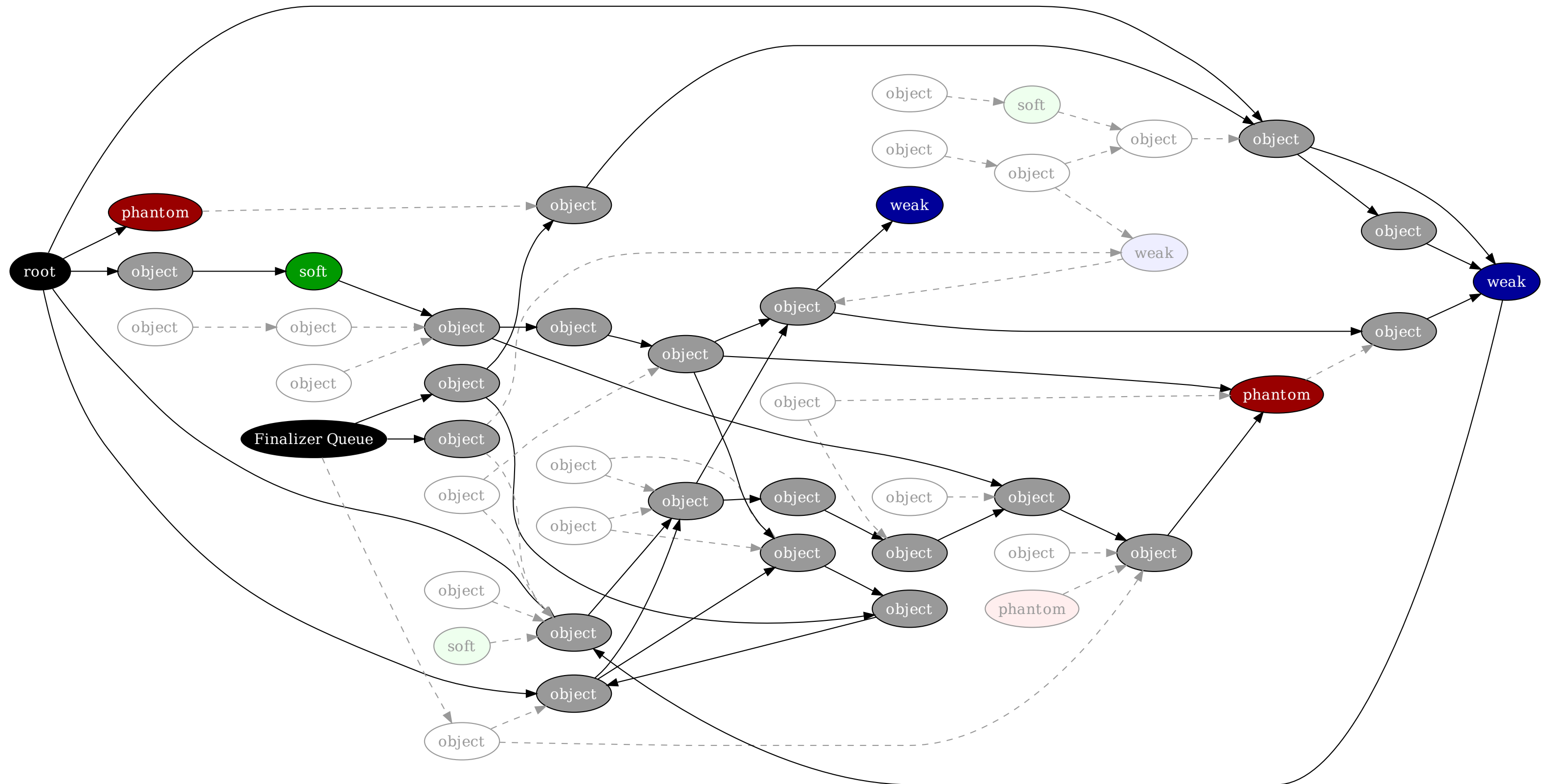




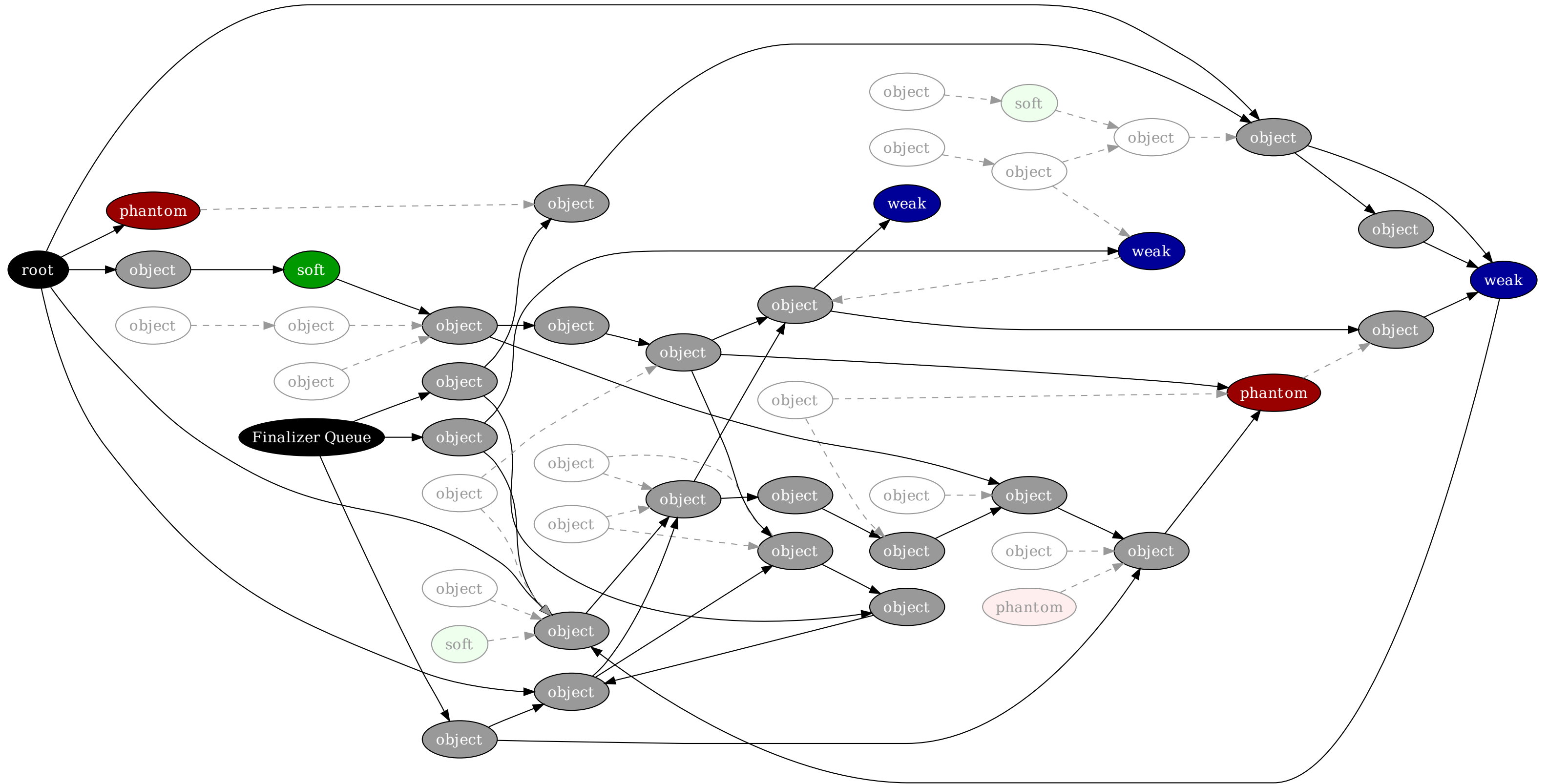
## 7. Repeat steps 1 through 5 for the queue.



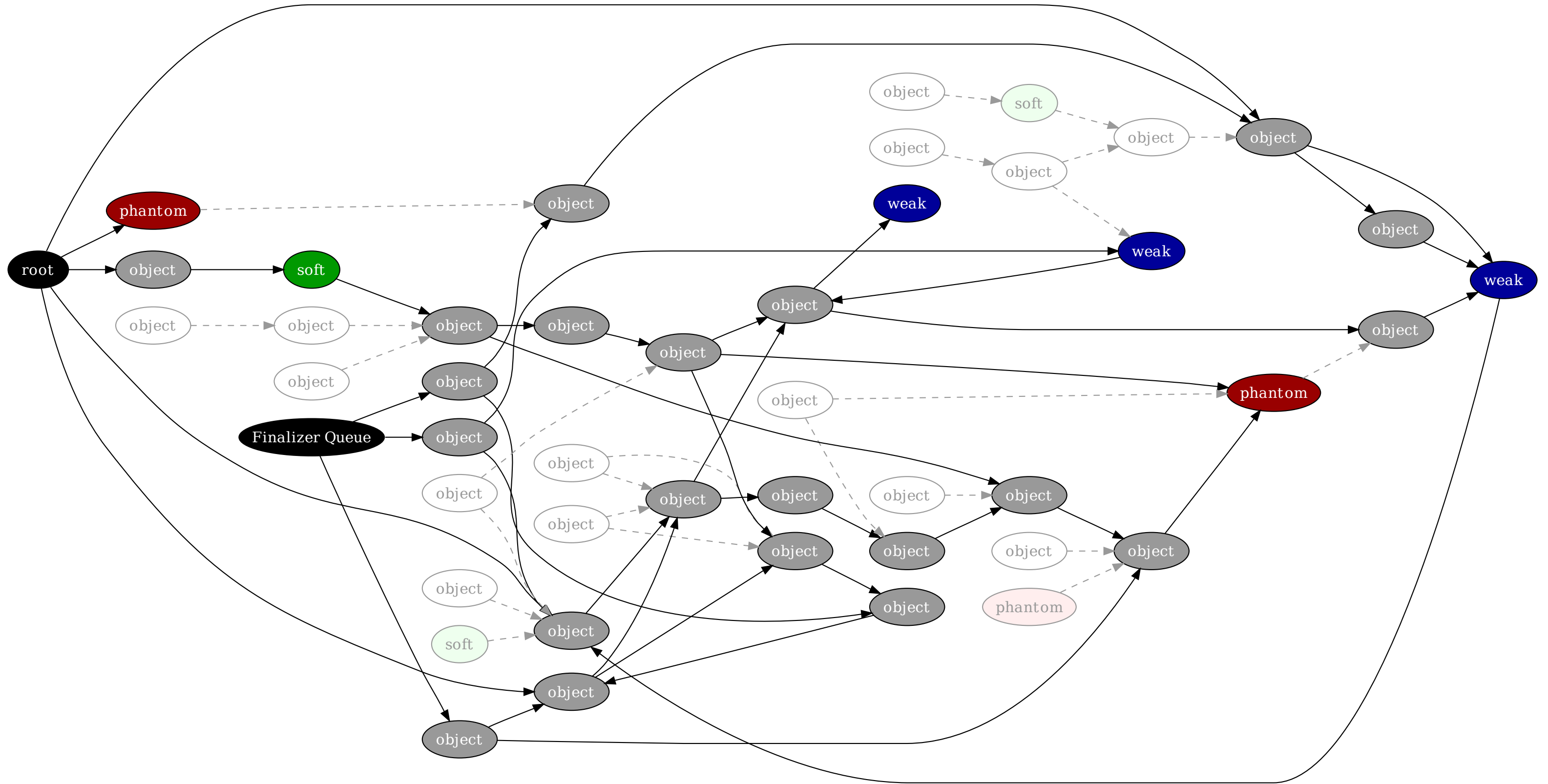
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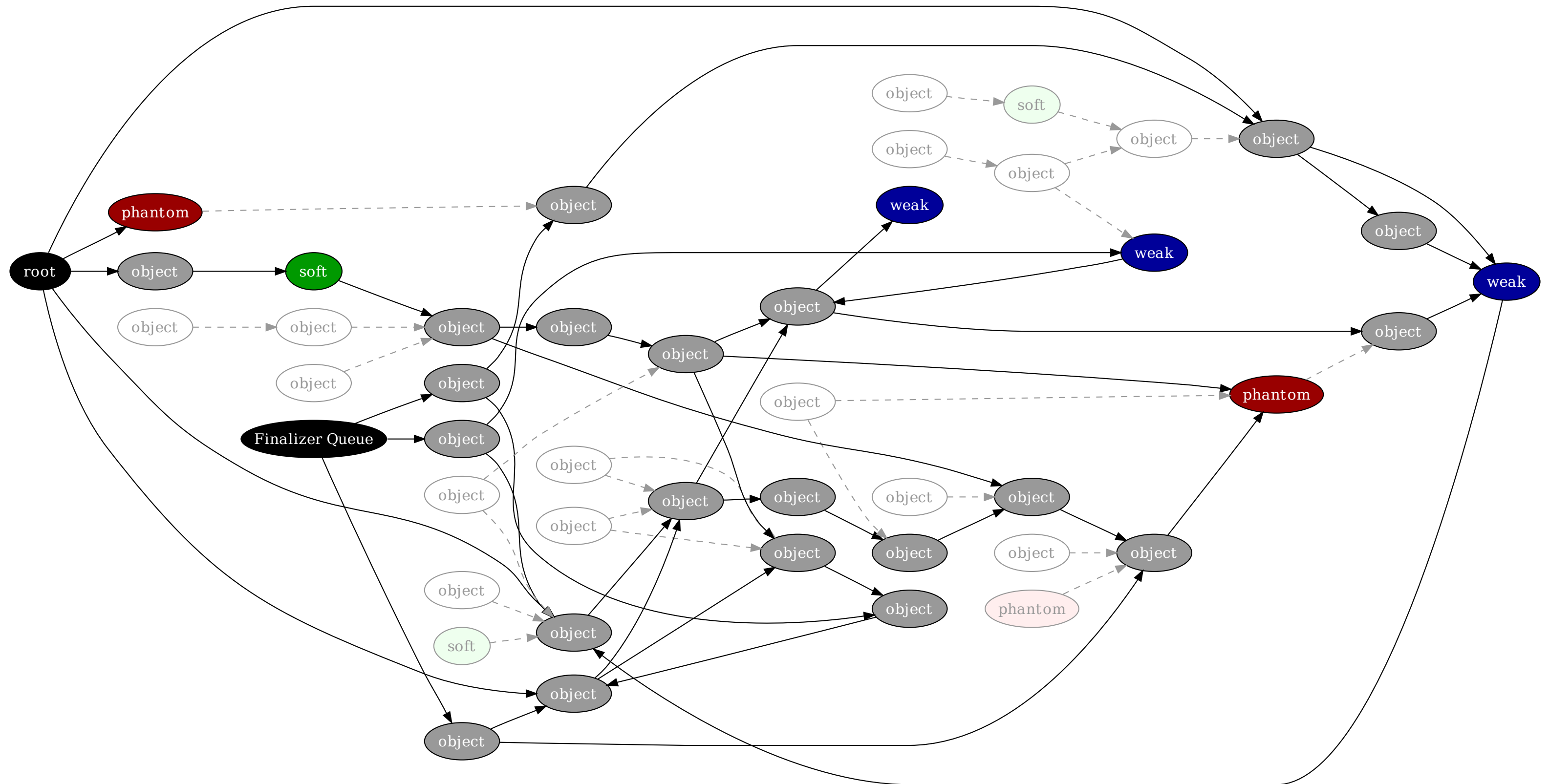
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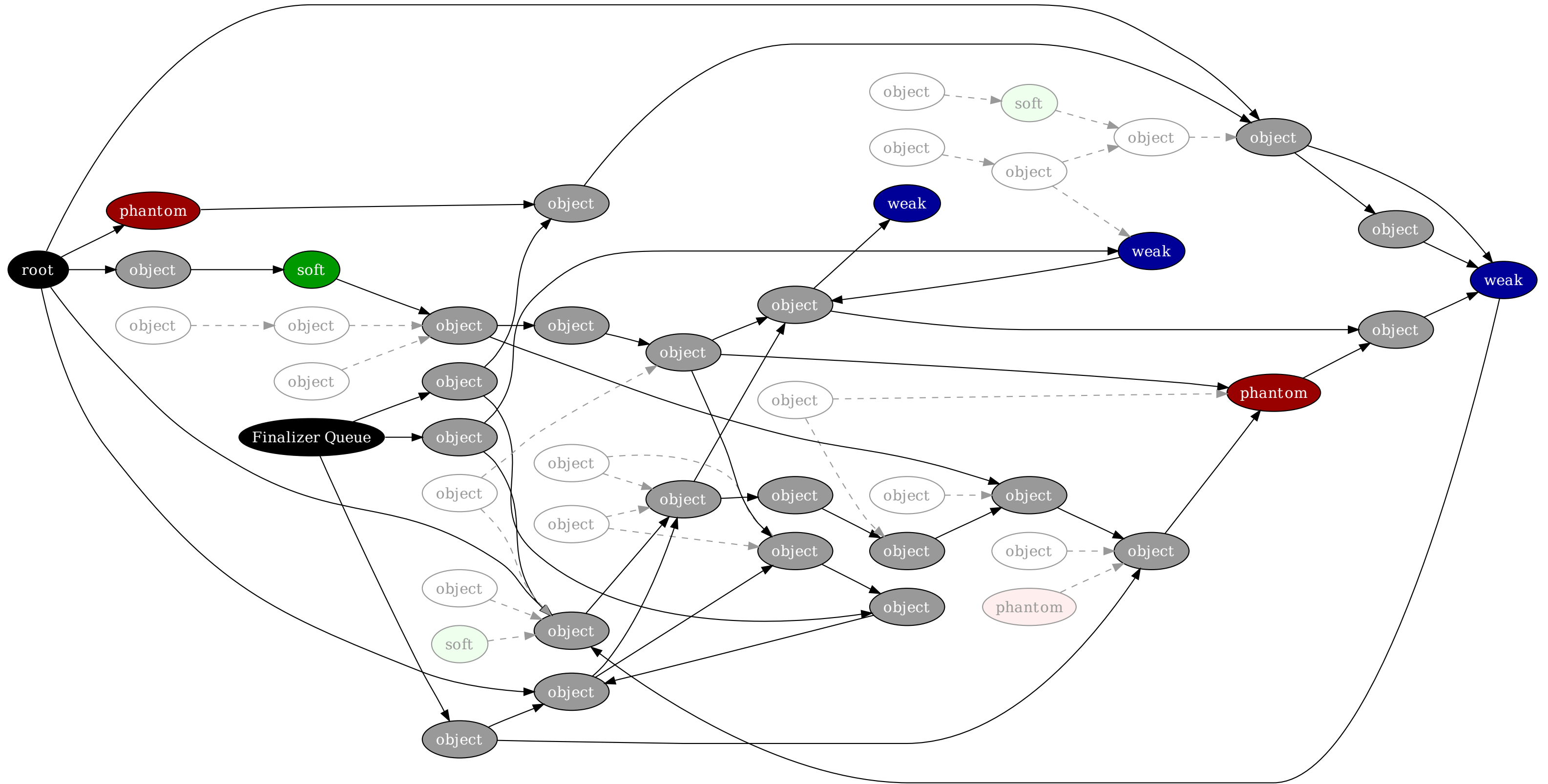


## 8. Possibly enqueue phantom references.

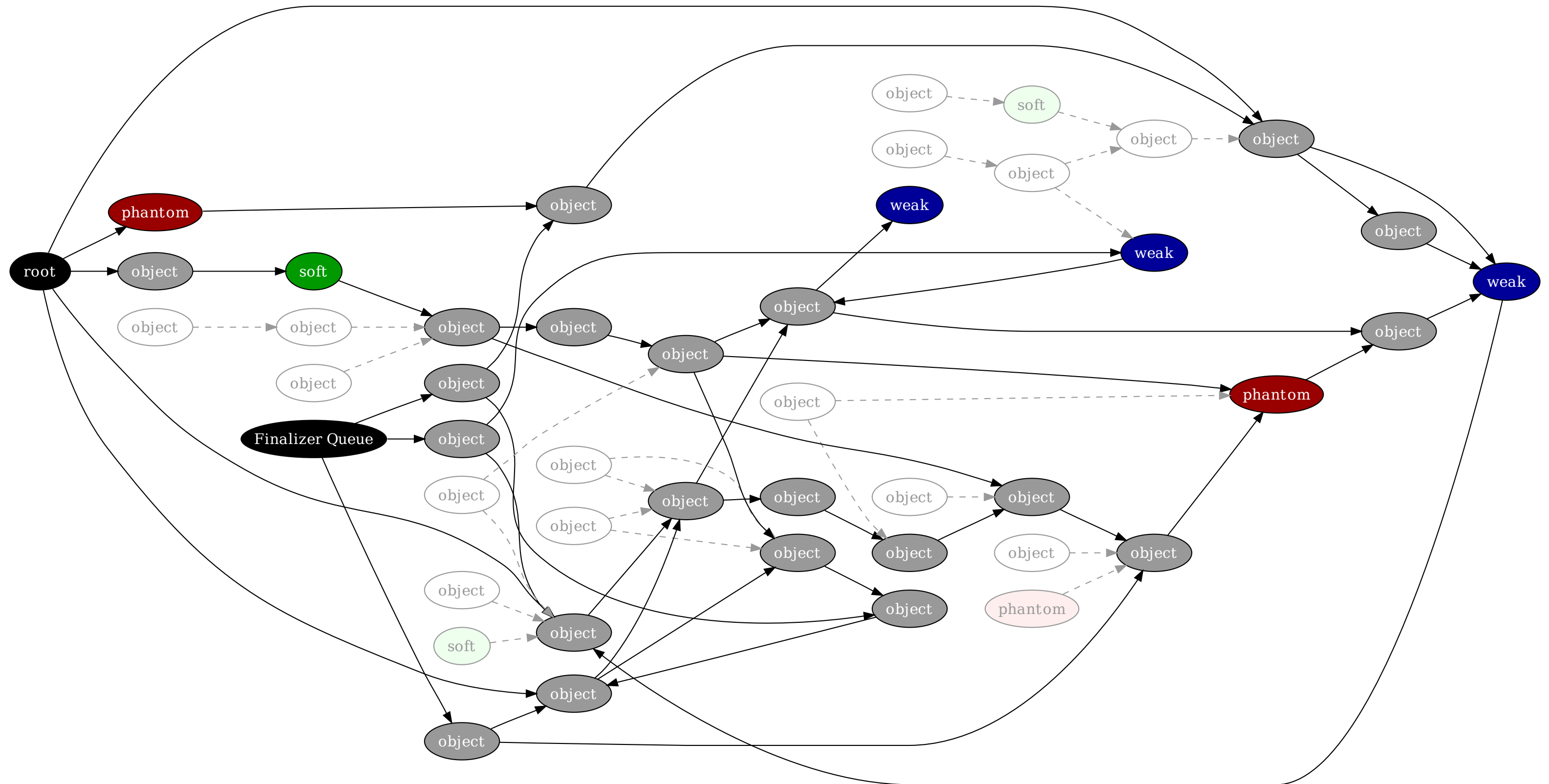




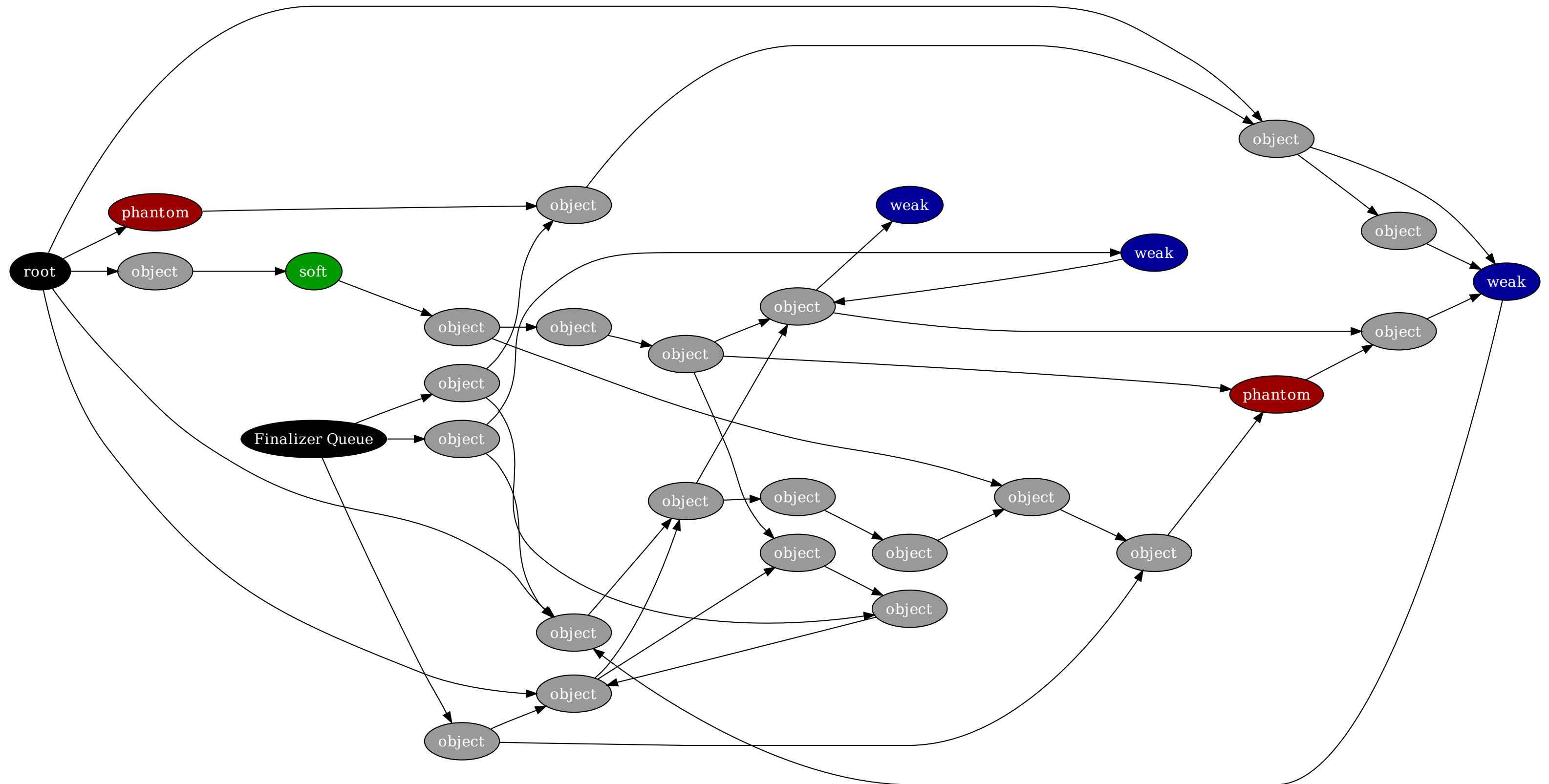
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## 9. The remaining objects are dead.

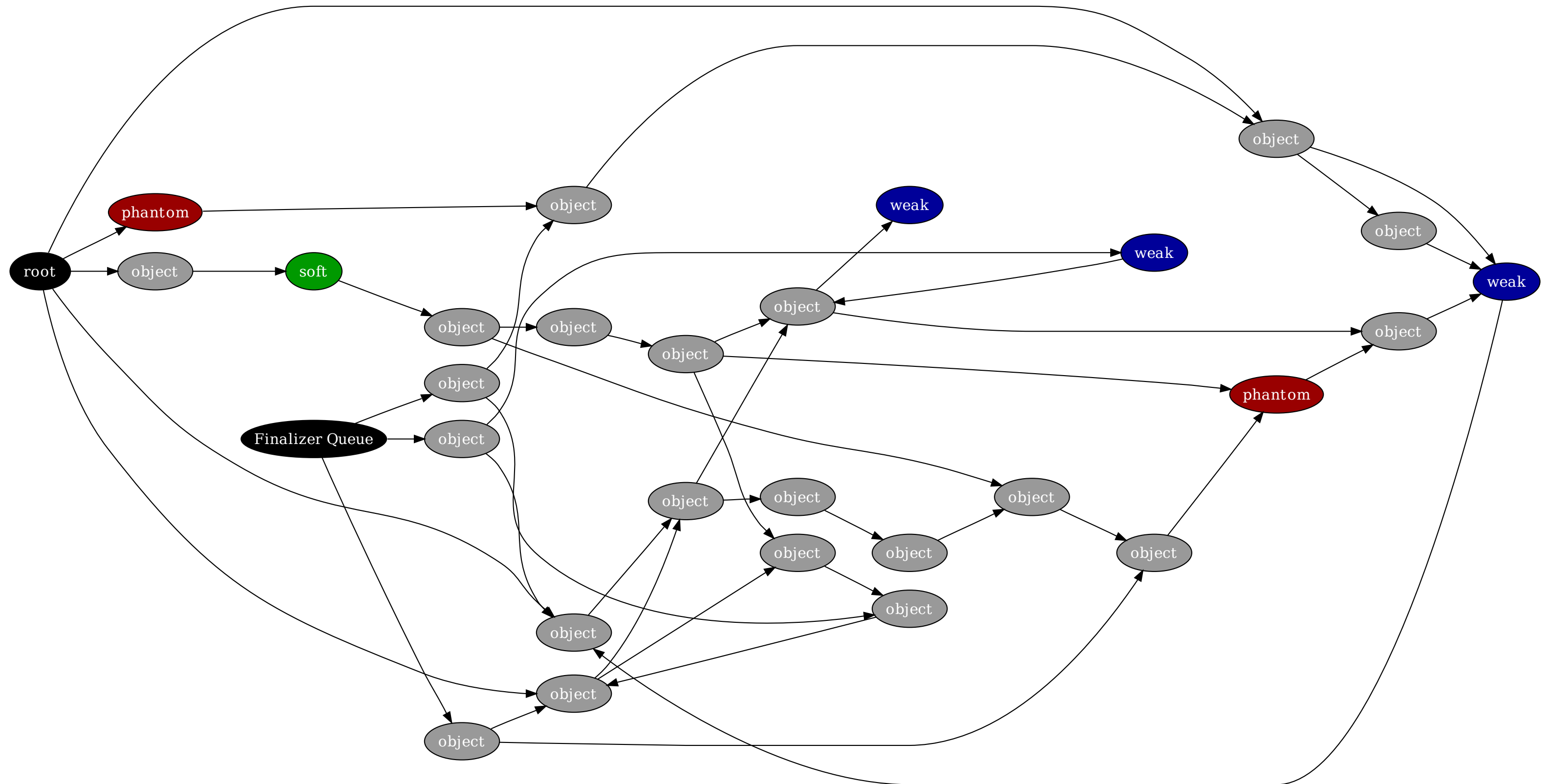


## 9. The remaining objects are dead.





# 10. Repeat.



# Recap

1. Start at a root.
2. Trace and mark strongly-referenced objects.
3. Optionally clear soft references.
4. Trace and mark softly-referenced objects.
5. Clear weak references.
6. Enqueue finalizable objects.
7. Repeat steps 1 through 5 for the queue.
8. Possibly enqueue phantom references.
9. The remaining objects are dead.
10. Repeat.

# Weak references aren't for caching!

- > Many collectors will reclaim weak refs immediately.
- > Use soft reference for caching, as intended:

*“Virtual machine implementations are encouraged to bias against clearing recently-created or recently-used soft references.”*

- The `SoftReference` documentation