

# CHAPTER 1

What's It All About?

# Outline

- ✦ Data mining and machine learning
- ✦ Simple examples
- ✦ fielded applications
- ✦ Data mining and ethics



# Data mining and machine learning (1/3)

## ✧ Data mining

- The process of discovering patterns, automatically or semiautomatically, in large quantities of data—and the patterns must be useful
- People frequently use data mining to gain knowledge, not just predictions

## ✧ Machine learning

- Most of techniques for finding and describing structural patterns in data

# Data mining and machine learning (2/3)

## ✧ Describing structural patterns

- Rules
- Decision trees
- Association rules
- Regression function
- Networks
- .....



中年

Table 1.1 Contact Lens Data

| Age            | Spectacle Prescription | 散光<br>Astigmatism | 淚量<br>Tear Production Rate | Recommended Lenses |
|----------------|------------------------|-------------------|----------------------------|--------------------|
| young          | myope                  | no                | reduced                    | none               |
| young          | myope                  | no                | normal                     | soft               |
| young          | myope                  | yes               | reduced                    | none               |
| young          | myope                  | yes               | normal                     | hard               |
| young          | 遠視<br>hypermetrope     | no                | reduced                    | none               |
| young          | 遠視<br>hypermetrope     | no                | normal                     | soft               |
| young          | 遠視<br>hypermetrope     | yes               | reduced                    | none               |
| young          | hypermetrope           | yes               | normal                     | hard               |
| pre-presbyopic | myope                  | no                | reduced                    | none               |
| pre-presbyopic | myope                  | no                | normal                     | soft               |
| pre-presbyopic | myope                  | yes               | reduced                    | none               |
| pre-presbyopic | myope                  | yes               | normal                     | hard               |
| pre-presbyopic | hypermetrope           | no                | reduced                    | none               |
| pre-presbyopic | hypermetrope           | no                | normal                     | soft               |
| pre-presbyopic | hypermetrope           | yes               | reduced                    | none               |
| pre-presbyopic | hypermetrope           | yes               | normal                     | none               |
| presbyopic     | myope                  | no                | reduced                    | none               |
| presbyopic     | myope                  | no                | normal                     | none               |
| presbyopic     | myope                  | yes               | reduced                    | none               |
| presbyopic     | 老<br>myope             | yes               | normal                     | hard               |
| presbyopic     | 老<br>hypermetrope      | no                | reduced                    | none               |
| presbyopic     | hypermetrope           | no                | normal                     | soft               |
| presbyopic     | hypermetrope           | yes               | reduced                    | none               |
| presbyopic     | hypermetrope           | yes               | normal                     | none               |

nominal or  
categorical

All combinations  
of possible values  
(not always)

IF tear-production-rate=reduced THEN recommended-lenses=none (I2/I2)  
ELSEIF age=young and astigmatism=no THEN recommended-lenses=soft (2/2)

# Simple examples: weather (1/7)

**Table 1.2** Weather Data

| Outlook  | Temperature | Humidity | Windy | Play |
|----------|-------------|----------|-------|------|
| Sunny    | hot         | high     | false | no   |
| Sunny    | hot         | high     | true  | no   |
| Overcast | hot         | high     | false | yes  |
| Rainy    | mild        | high     | false | yes  |
| Rainy    | cool        | normal   | false | yes  |
| Rainy    | cool        | normal   | true  | no   |
| Overcast | cool        | normal   | true  | yes  |
| Sunny    | mild        | high     | false | no   |
| Sunny    | cool        | normal   | false | yes  |
| Rainy    | mild        | normal   | false | yes  |
| Sunny    | mild        | normal   | true  | yes  |
| Overcast | mild        | high     | true  | yes  |
| Overcast | hot         | normal   | false | yes  |
| Rainy    | mild        | high     | true  | no   |

nominal or  
categorical

## Classification Rule

**If** outlook=sunny and humidity=high **then** play=no  
**If** outlook=rainy and windy=true **then** play=no  
**If** outlook=overcast **then** play=yes  
**If** humidity=normal **then** play=yes  
**If** none of the above **then** play=yes

## Association Rule

**If** temperature=cool **then** humidity=normal  
**If** humidity=normal and windy=false **then** play=yes  
**If** outlook=sunny and play=no **then** humidity=high  
**If** windy=false and play=no **then** outlook=sunny and humidity=high

decision list  
interpreted in sequence



# Simple examples: weather (2/7)

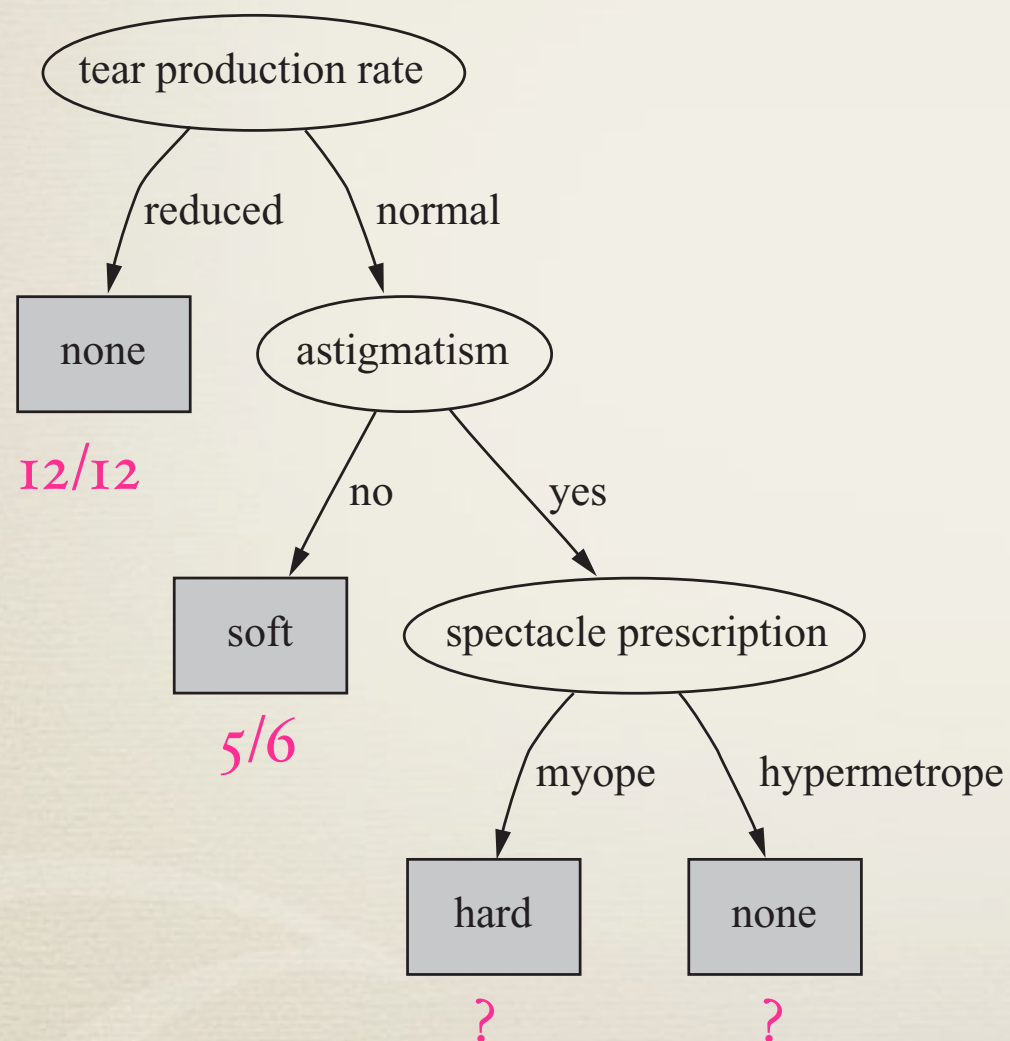
**Table 1.3** Weather Data with Some Numeric Attributes

| Outlook  | Temperature | Humidity | Windy | Play |
|----------|-------------|----------|-------|------|
| Sunny    | 85          | 85       | false | no   |
| Sunny    | 80          | 90       | true  | no   |
| Overcast | 83          | 86       | false | yes  |
| Rainy    | 70          | 96       | false | yes  |
| Rainy    | 68          | 80       | false | yes  |
| Rainy    | 65          | 70       | true  | no   |
| Overcast | 64          | 65       | true  | yes  |
| Sunny    | 72          | 95       | false | no   |
| Sunny    | 69          | 70       | false | yes  |
| Rainy    | 75          | 80       | false | yes  |
| Sunny    | 75          | 70       | true  | yes  |
| Overcast | 72          | 90       | true  | yes  |
| Overcast | 81          | 75       | false | yes  |
| Rainy    | 71          | 91       | true  | no   |

**If** outlook=sunny and humidity>83 **then** play=no

# Simple examples: contact lens (3/7)

## Decision tree



**Table 1.1** Contact Lens Data

| Age            | Spectacle Prescription | Astigmatism | Tear Production Rate | Recommended Lenses |
|----------------|------------------------|-------------|----------------------|--------------------|
| young          | myope                  | no          | reduced              | none               |
| young          | myope                  | no          | normal               | soft               |
| young          | myope                  | yes         | reduced              | none               |
| young          | myope                  | yes         | normal               | hard               |
| young          | hypermetrope           | no          | reduced              | none               |
| young          | hypermetrope           | no          | normal               | soft               |
| young          | hypermetrope           | yes         | reduced              | none               |
| young          | hypermetrope           | yes         | normal               | hard               |
| pre-presbyopic | myope                  | no          | reduced              | none               |
| pre-presbyopic | myope                  | no          | normal               | soft               |
| pre-presbyopic | myope                  | yes         | reduced              | none               |
| pre-presbyopic | myope                  | yes         | normal               | hard               |
| pre-presbyopic | hypermetrope           | no          | reduced              | none               |
| pre-presbyopic | hypermetrope           | no          | normal               | soft               |
| pre-presbyopic | hypermetrope           | yes         | reduced              | none               |
| pre-presbyopic | hypermetrope           | yes         | normal               | none               |
| presbyopic     | myope                  | no          | reduced              | none               |
| presbyopic     | myope                  | no          | normal               | none               |
| presbyopic     | myope                  | yes         | reduced              | none               |
| presbyopic     | myope                  | yes         | normal               | hard               |
| presbyopic     | hypermetrope           | no          | reduced              | none               |
| presbyopic     | hypermetrope           | no          | normal               | soft               |
| presbyopic     | hypermetrope           | yes         | reduced              | none               |
| presbyopic     | hypermetrope           | yes         | normal               | none               |



# Rules

If tear production rate = reduced then recommendation = none.

If age = young and astigmatic = no and tear production rate = normal then recommendation = soft

If age = pre-presbyopic and astigmatic = no and tear production rate = normal then recommendation = soft

If age = presbyopic and spectacle prescription = myope and astigmatic = no then recommendation = none

If spectacle prescription = hypermetrope and astigmatic = no and tear production rate = normal then recommendation = soft

If spectacle prescription = myope and astigmatic = yes and tear production rate = normal then recommendation = hard

If age = young and astigmatic = yes and tear production rate = normal then recommendation = hard

If age = pre-presbyopic and spectacle prescription = hypermetrope and astigmatic = yes then recommendation = none

If age = presbyopic and spectacle prescription = hypermetrope and astigmatic = yes then recommendation = none

**Table 1.1** Contact Lens Data

| Age            | Spectacle Prescription | Astigmatism | Tear Production Rate | Recommended Lenses |
|----------------|------------------------|-------------|----------------------|--------------------|
| young          | myope                  | no          | reduced              | none               |
| young          | myope                  | no          | normal               | soft               |
| young          | myope                  | yes         | reduced              | none               |
| young          | myope                  | yes         | normal               | hard               |
| young          | hypermetrope           | no          | reduced              | none               |
| young          | hypermetrope           | no          | normal               | soft               |
| young          | hypermetrope           | yes         | reduced              | none               |
| young          | hypermetrope           | yes         | normal               | hard               |
| pre-presbyopic | myope                  | no          | reduced              | none               |
| pre-presbyopic | myope                  | no          | normal               | soft               |
| pre-presbyopic | myope                  | yes         | reduced              | none               |
| pre-presbyopic | myope                  | yes         | normal               | hard               |
| pre-presbyopic | hypermetrope           | no          | reduced              | none               |
| pre-presbyopic | hypermetrope           | no          | normal               | soft               |
| pre-presbyopic | hypermetrope           | yes         | reduced              | none               |
| pre-presbyopic | hypermetrope           | yes         | normal               | none               |
| presbyopic     | myope                  | no          | reduced              | none               |
| presbyopic     | myope                  | no          | normal               | none               |
| presbyopic     | myope                  | yes         | reduced              | none               |
| presbyopic     | myope                  | yes         | normal               | hard               |
| presbyopic     | hypermetrope           | no          | reduced              | none               |
| presbyopic     | hypermetrope           | no          | normal               | soft               |
| presbyopic     | hypermetrope           | yes         | reduced              | none               |
| presbyopic     | hypermetrope           | yes         | normal               | none               |

# Simple examples: iris (5/7)



Table 1.4 Iris Data

|     | Sepal 花萼<br>Length (cm) | Sepal<br>Width (cm) | Petal 花瓣<br>Length (cm) | Petal<br>Width (cm) | Type                   |
|-----|-------------------------|---------------------|-------------------------|---------------------|------------------------|
| 1   | 5.1                     | 3.5                 | 1.4                     | 0.2                 | <i>Iris setosa</i>     |
| 2   | 4.9                     | 3.0                 | 1.4                     | 0.2                 | <i>Iris setosa</i>     |
| 3   | 4.7                     | 3.2                 | 1.3                     | 0.2                 | <i>Iris setosa</i>     |
| 4   | 4.6                     | 3.1                 | 1.5                     | 0.2                 | <i>Iris setosa</i>     |
| 5   | 5.0                     | 3.6                 | 1.4                     | 0.2                 | <i>Iris setosa</i>     |
| ... |                         |                     |                         |                     |                        |
| 51  | 7.0                     | 3.2                 | 4.7                     | 1.4                 | <i>Iris versicolor</i> |
| 52  | 6.4                     | 3.2                 | 4.5                     | 1.5                 | <i>Iris versicolor</i> |
| 53  | 6.9                     | 3.1                 | 4.9                     | 1.5                 | <i>Iris versicolor</i> |
| 54  | 5.5                     | 2.3                 | 4.0                     | 1.3                 | <i>Iris versicolor</i> |
| 55  | 6.5                     | 2.8                 | 4.6                     | 1.5                 | <i>Iris versicolor</i> |
| ... |                         |                     |                         |                     |                        |
| 101 | 6.3                     | 3.3                 | 6.0                     | 2.5                 | <i>Iris virginica</i>  |
| 102 | 5.8                     | 2.7                 | 5.1                     | 1.9                 | <i>Iris virginica</i>  |
| 103 | 7.1                     | 3.0                 | 5.9                     | 2.1                 | <i>Iris virginica</i>  |
| 104 | 6.3                     | 2.9                 | 5.6                     | 1.8                 | <i>Iris virginica</i>  |
| 105 | 6.5                     | 3.0                 | 5.8                     | 2.2                 | <i>Iris virginica</i>  |
| ... |                         |                     |                         |                     |                        |

50 examples  
for each

## Rules

If petal-length  $< 2.45$  then Iris-setosa  
If sepal-width  $< 2.10$  then Iris-versicolor  
If sepal-width  $< 2.45$  and petal-length  $< 4.55$  then Iris-versicolor  
If sepal-width  $< 2.95$  and petal-width  $< 1.35$  then Iris-versicolor  
If petal-length  $\geq 2.45$  and petal-length  $< 4.45$  then Iris-versicolor  
If sepal-length  $\geq 5.85$  and petal-length  $< 4.75$  then Iris-versicolor  
If sepal-width  $< 2.55$  and petal-length  $< 4.95$  and  
petal-width  $< 1.55$  then Iris-versicolor



# Simple examples: CPU performance (6/7)

## Numeric prediction

**Table 1.5** CPU Performance Data

|     | Main Memory (Kb) |             |             |             | Channels     |              | Performance |
|-----|------------------|-------------|-------------|-------------|--------------|--------------|-------------|
|     | Cycle Time (ns)  | Min         | Max         | Cache (KB)  | Min          | Max          |             |
|     | <i>MYCT</i>      | <i>MMIN</i> | <i>MMAX</i> | <i>CACH</i> | <i>CHMIN</i> | <i>CHMAX</i> |             |
| 1   | 125              | 256         | 6000        | 256         | 16           | 128          | 198         |
| 2   | 29               | 8000        | 32,000      | 32          | 8            | 32           | 269         |
| 3   | 29               | 8000        | 32,000      | 32          | 8            | 32           | 220         |
| 4   | 29               | 8000        | 32,000      | 32          | 8            | 32           | 172         |
| 5   | 29               | 8000        | 16,000      | 32          | 8            | 16           | 132         |
| ... |                  |             |             |             |              |              |             |
| 207 | 125              | 2000        | 8000        | 0           | 2            | 14           | 52          |
| 208 | 480              | 512         | 8000        | 32          | 0            | 0            | 67          |
| 209 | 480              | 1000        | 4000        | 0           | 0            | 0            | 45          |

## Regression equation

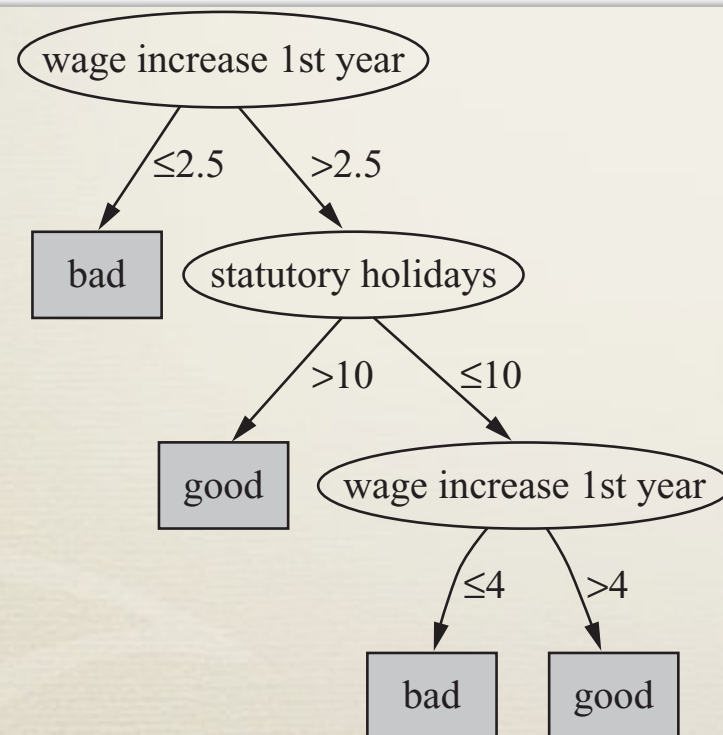
$$\begin{aligned} \text{PRP} = & -55.9 + 0.0489 \text{ MYCT} + 0.0153 \text{ MMIN} + 0.0056 \text{ MMAX} \\ & + 0.6410 \text{ CACH} - 0.2700 \text{ CHMIN} + 1.480 \text{ CHMAX} \end{aligned}$$

# Simple examples: labor negotiations (7/7)

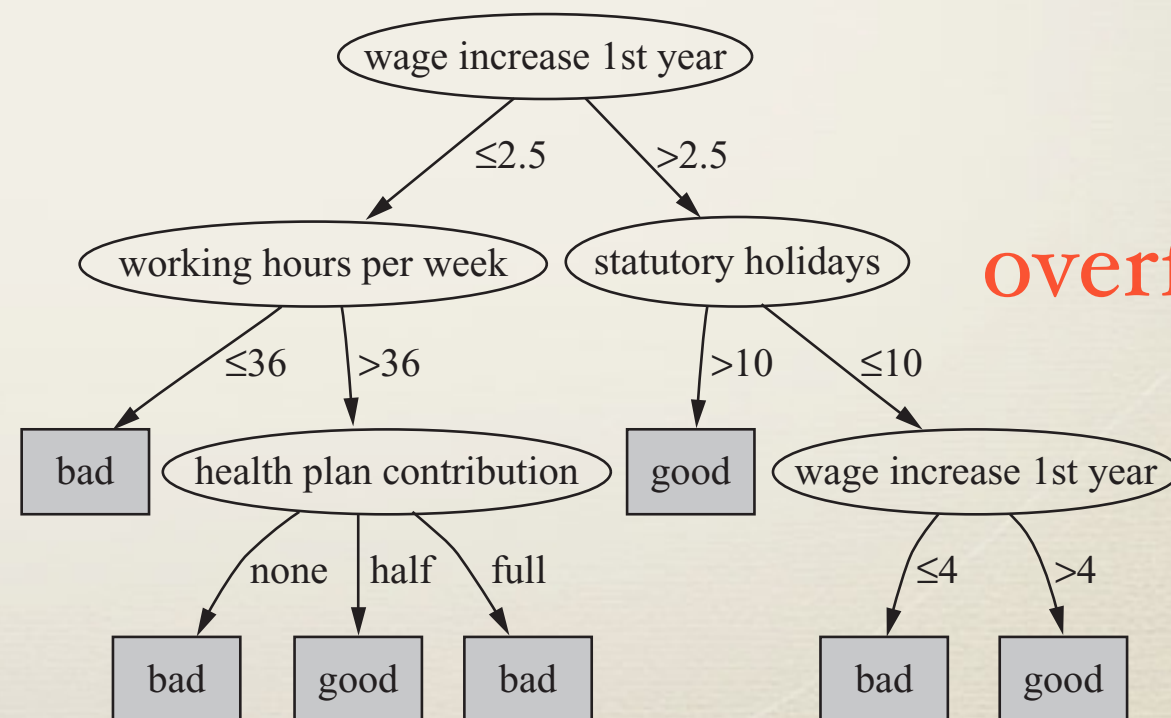
**Table 1.6** Labor Negotiations Data

| Attribute                       | Type                        | 1    | 2    | 3    | ... | 40   |
|---------------------------------|-----------------------------|------|------|------|-----|------|
| duration                        | (number of years)           | 1    | 2    | 3    |     | 2    |
| wage increase 1st year          | percentage                  | 2%   | 4%   | 4.3% |     | 4.5  |
| wage increase 2nd year          | percentage                  | ?    | 5%   | 4.4% |     | 4.0  |
| wage increase 3rd year          | percentage                  | ?    | ?    | ?    |     | ?    |
| cost-of-living adjustment       | {none, tcf, tc}             | none | tcf  | ?    |     | none |
| working hours per week          | (number of hours)           | 28   | 35   | 38   |     | 40   |
| pension                         | {none, ret-allw, empl-cntr} | none | ?    | ?    |     | ?    |
| standby pay                     | percentage                  | ?    | 13%  | ?    |     | ?    |
| shift-work supplement           | percentage                  | ?    | 5%   | 4%   |     | 4    |
| education allowance             | {yes, no}                   | yes  | ?    | ?    |     | ?    |
| statutory holidays              | (number of days)            | 11   | 15   | 12   |     | 12   |
| vacation                        | {below-avg, avg, gen}       | avg  | gen  | gen  |     | avg  |
| long-term disability assistance | {yes, no}                   | no   | ?    | ?    |     | yes  |
| dental plan contribution        | {none, half, full}          | none | ?    | full |     | full |
| bereavement assistance          | {yes, no}                   | no   | ?    | ?    |     | yes  |
| health plan contribution        | {none, half, full}          | none | ?    | full |     | half |
| acceptability of contract       | {good, bad}                 | bad  | good | good |     | good |

missing or  
unknown



(a)



(b)

overfitting



# Fielded Applications (1 / 3)

## ✧ Web mining

- Ranking the results of your search
- Advanced query
- Advertisements
- e-commerce
  - Market basket analysis
  - Recommendations
- Social network analysis

# Fielded Applications (2/3)

- ✦ Decisions involving judgment
  - Loan companies
  - Credit card companies
- ✦ Screening images
  - Detect oil slicks from satellite images
- ✦ Load forecasting
  - In the electricity supply industry, it is important to determine future demand for power as far in advance as possible



# Fielded Applications (3/3)

## ✦ Diagnosis

- Preventative maintenance of electromechanical devices such as motors and generators

## ✦ Marketing and sales

- Credit assessment
- Customer loyalty
- Market basket analysis
- Direct marketing

# Data Mining and Ethics (1 / 2)

- ✦ The use of data—particularly data about people—for data mining has serious ethical implications
- ✦ Re-identification techniques
  - 85% of Americans can be identified using five-digit zip code, birth date, and sex
  - 50% of Americans can be identified using city, birth date, and sex
  - If you really do remove all possible identification information from a database, you will probably be left with nothing useful



# Data Mining and Ethics (2/2)

- ✿ When presented with data, you need to ask who is permitted to have access to it, for what purpose it was collected, and what kind of conclusions are legitimate to draw from it
- ✿ data -> information -> knowledge -> wisdom