

# Filter-resistant Code Injection on ARM

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## ABSTRACT

Code injections attacks are one of the most powerful and important classes of attacks on software. In such attacks, the attacker sends malicious input to a software application, where it is stored in memory. The malicious input is chosen in such a way that its representation in memory is also a valid representation of a machine code program that performs actions chosen by the attacker. The attacker then triggers a bug in the application to divert the control flow to this injected machine code. A typical action of the injected code is to launch a command interpreter shell, and hence the malicious input is often called *shellcode*.

Attacks are usually performed against network facing applications, and such applications often perform validations or encodings on input. Hence, a typical hurdle for attackers, is that the shellcode has to pass one or more filtering methods before it is stored in the vulnerable application's memory space. Clearly, for a code injection attack to succeed, the malicious input must survive such validations and transformations. Alphanumeric input (consisting only of letters and digits) is typically very robust for this purpose: it passes most filters and is untouched by most transformations.

This paper studies the power of alphanumeric shellcode on the ARM 32 bit RISC processor. It shows that the subset of ARM machine code programs that (when interpreted as data) consist only of alphanumeric characters is a Turing complete subset. This is a non-trivial result, as the number of instructions that consist only of alphanumeric characters is very limited. To craft useful exploit code (and to achieve Turing completeness), several tricks are needed, including the use of self-modifying code.

## Categories and Subject Descriptors

D.4.6 [Operating systems]: Security and Protection

## General Terms

Security

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## Keywords

Alphanumeric shellcode, Filter-resistance, Turing completeness

## 1. INTRODUCTION

With the rapid spread of mobile devices, the ARM processor has become the most widespread 32-bit CPU core in the world. ARM processors offer a great trade-off between power consumption and processing power, which makes them an excellent candidate for mobile and embedded devices. About 98% of mobile phones and personal digital assistants feature at least one ARM processor.

Only recently, however, have these devices become powerful enough to let users connect over the internet to various services, and to share information as we are used to on desktop PCs. Unfortunately, this introduces a number of security risks: mobile devices are more and more subject to external attacks that aim to control the behavior of the device.

A very important class of such attacks is code injection attacks. These attacks conceptually consist of two steps. First, the attacker sends data to the device. This data is stored somewhere in memory by the software application receiving it. The data is chosen such that, when stored in memory, it also represents a valid machine code program: if the processor were to jump to the start address of the data, it would execute it. Such data is often called *shellcode*, since a typical goal of an attacker is launching a command interpreter shell.

In a second step, the attacker triggers a vulnerability in the device software to divert the control flow to his shellcode. There is a wide variety of techniques to achieve this, ranging from the classic stack-based buffer overflow where the return address of a function call is overwritten, to virtual function pointer overwrites, indirect pointer overwrites, and so forth. An example of such an attack on a mobile phone is Moore's attack [25] against the Apple iPhone. This attack exploits LibTIFF vulnerabilities [27, 28], and it could be triggered from both the phone's mail client and its web browser, making it remotely exploitable. A similar vulnerability was found in the way GIF files were handled by the Android web browser [29].

A typical hurdle for exploit writers, is that the shellcode has to pass one or more filtering methods before reaching the vulnerable buffer. The shellcode enters the system as data, and various validations and transformations can be applied to this data. An example is an input validation filter that matches the input with a given regular expression, and blocks any input that does not match. A popular regular expression for example is `[a-zA-Z0-9]` (possibly extended by "space"). Another example is an encoding filter that encodes input to make sure that it is valid HTML.

Clearly, for a code injection attack to succeed, the data must survive all these validations and transformations. The key contribution of this paper is that it shows that it is possible to write powerful shellcode that passes such filters. More specifically, we show that the subset of ARM machine code programs that (when interpreted as data) consist only of alphanumeric characters (i.e. letters and digits) is a Turing complete subset. This is a non-trivial result, as the ARM is a RISC architecture with fixed width instructions of 32 bits, and hence the number of instructions that consist only of alphanumeric characters is very limited.

The rest of this paper is structured as follows. In Section 2 we provide sufficient background information on code injection attacks and on the ARM architecture to understand the rest of the paper. In Section 3 we identify the instructions that can be used when one restricts memory to only contain alphanumeric characters. Section 4 shows by means of a number of examples that this severely limited instruction set can still do useful things, and Section 5 shows that it is actually a Turing complete subset of the ARM instruction set. Finally, we discuss related work and conclude in Sections 6 and 7.

## 2. BACKGROUND

This section provides some background information that is needed for understanding the rest of the paper.

### 2.1 Code injection attacks and countermeasures

Several vulnerabilities can exist in software written in unsafe languages such as C that can allow attackers to perform a code injection attack. During such an attack, control flow is redirected to memory where the attacker has placed data that the processor will interpret as code. The most commonly exploited type of vulnerability that allows code injection is the stack-based buffer overflow [2]. However, buffer overflows in other memory regions like the heap [4] or the data segment [6] are also possible. Attackers have also been able to exploit format string vulnerabilities [35], dangling pointer references [15] and integer errors [10] to achieve similar results.

Many different countermeasures [46, 17] focus on defending applications against these types of attacks. Some aim to prevent the vulnerability from becoming exploitable by verifying that an exploitation attempt has occurred: via bounds checking [21, 34, 48]; by inserting secret cookies, which must remain unmodified, before important memory locations [14, 18]. Others will make it harder for an attacker to execute injected code by randomizing the base address of memory regions [7, 9], encrypting pointers [13], code [5, 22] or even all objects [8] while in memory and decrypting them before use. While yet other types of countermeasures will try and ensure that the program adheres to some predetermined policy [1, 23, 30].

Attackers have found ways of bypassing many of these countermeasures. These bypasses range from overwriting control flow information not protected by the countermeasure [12, 32], to guessing or leaking the secret associated with countermeasures [37, 43, 44], to executing existing code rather than injecting code [40, 45, 36, 11], to performing intricate attacks that make use of properties of higher level languages (like JavaScript in the webbrowser) to create an environment suitable to exploit a low-level vulnerability [42]. One example of such an attack is a heap-spraying attack, that fills the heap with shellcode via JavaScript, thereby severely increasing the likelihood of successfully executing injected code even if address space layout randomization is used [31].

Ensuring that all memory is set to be non-executable would pre-

vent the attacker from executing injected code and would thus prevent the code discussed in this paper from being executed. However, several attacks exist that can bypass non-executable memory [38, 3], allowing attackers to mark the memory where they injected their code as executable. Moreover, setting all memory non-executable causes incompatibilities with some programs: notably the Java VM expects an executable stack. Some implementations of non-executable memory also limit this to only set the stack non-executable, but leave the heap or other memory regions executable, providing the attacker with a place to store and execute injected code. By default, Linux does not set any memory to be non-executable for compatibility reasons. As a result, code injection attacks are still realistic threats [41].

### 2.2 The ARM architecture

The ARM architecture [39] is the dominating processor architecture for cell phones and other embedded devices. It is a 32-bit RISC architecture developed by ARM Ltd. and licensed to a number of processor manufacturers. Due to its low power consumption and architectural simplicity, it is particularly suitable for resource constrained and embedded devices.

The ARM processor features sixteen general purpose registers, numbered `r0` to `r15`. Apart from the program counter register, `r15` or its alias `pc`, all registers can be used for any purpose. There are, however, conventional roles assigned to some particular registers. In addition to these general purpose registers, ARM processors also contain the *Current Program Status Register (CPSR)*. This register stores different types of flags and condition values. This register cannot be addressed directly.

This section will explain some of the features of the ARM architecture, and the key differences between this and other architectures such as the Intel x86 architecture.

#### 2.2.1 Function calls

Due to the large number of registers, the ARM application binary interface stipulates that the first four parameters of a function should be passed via registers `r0` to `r3`. If there are more than four parameters, the subsequent parameters will be pushed on the stack. Likewise, the return address of a function is not always pushed on the stack. The `BL` instruction, which calculates the return address and jumps to a specified subroutine, will store the return address in a register.

#### 2.2.2 Addressing modes

ARM instructions share common ways to calculate memory addresses or values to be used as operands for instructions. These calculations of memory address are called *addressing modes*. A number of different addressing modes exist, some of which will be explained in this section.

The ARM architecture is a 32-bit architecture, hence it is imperative that the operands of instructions must be able to span the entire 32-bit addressing range. However, since ARM instructions are 32 bits and a number of these bits are used to encode the instruction OP code, operands and parameters, operands that represent immediate values will never be able to store a full 32-bit value. To overcome this problem, some addressing modes support different types of shifts and rotations. These operations make it possible to quickly generate large numbers (via bit shifting), without having to specify them as immediate values.

The following subsections will describe a number of addressing modes that are used on ARM. These addressing modes are selected because they will be used extensively in the rest of the paper.

### Addressing modes for data processing.

The first type of addressing mode is the mode that is used for the data processing instructions. This includes the instructions that perform arithmetic operations, the instructions that copy values into registers, and the instructions that copy values between registers.

In the general case, a data processing instruction looks like this:

```
<instruction> <Rd>, <Rn>, <shifter_operand>
```

In this example, *Rd* is a placeholder for the destination register, and *Rn* represents the base register.

The addressing mode is denoted in the above listing as the *shifter\_operand*. It is twelve bits large and can be one of eleven subcategories. These subcategories perform all kinds of different operations on the operand, such as logical and arithmetic bit shifts, bit rotations, or no additional computation at all. Some examples are given below:

```
MOV  r1, #1
ADD  r5, r6, r1, LSL #2
SUB  r3, r5, #1
MOV  r0, r3, ROR r1
```

The first MOV instruction simply copies the value one into register *r1*. The form of the MOV instruction is atypical for data processing instructions, because it doesn't use the base register *Rn*.

The ADD instruction uses an addressing mode that shifts the value in *r1* left by two places. This result is added to the value stored in *r6*, and the result is written to register *r5*.

The SUB instruction uses the same addressing mode as the first MOV instruction, but also uses the base register *Rn*. In this case, the value one is subtracted from the value in base register *r5*, and the result is stored in *r3*.

Finally, a second MOV operation rotates the value in *r3* right by a number of places as determined by the value in *r1*. The result is stored in *r0*.

### Addressing modes for load/store.

The second type of addressing mode is used for instructions that load data from memory and store data to memory. The general syntax of these instructions is:

```
<LDR instr> <Rd>, addr_mode
<STR instr> <Rd>, addr_mode
```

The *addr\_mode* operand is the memory address where the data resides, and can be calculated with one of nine addressing mode variants. Addresses can come from immediate values and registers (potentially scaled by shifting the contents), and can be post- or pre-incremented.

### Addressing modes for load/store multiple.

The third type of addressing mode is used with the instructions that perform multiple loads and stores at once. The LDM and STM instructions take a list of registers, and will either load data into the registers in this list, or store data from these registers in memory. The general syntax for multiple loads and stores looks like this:

```
<LDM instr><addr_mode> <Rn>{!}, <registers>
<STM instr><addr_mode> <Rn>{!}, <registers>
```

The *addr\_mode* operand can be one of the following four possibilities: *increment after (IA)*, *increment before (IB)*, *decrement after (DA)*, or *decrement before (DB)*. In all cases, *Rn* is used as the base register to start computing memory addresses where the selected registers will be stored. The different addressing modes specify different schemes of computing these addresses.

When the optional exclamation mark after the base register is present, the processor will update the value in *Rn* to contain the newly computed memory address.

### 2.2.3 Conditional Execution

Almost every instruction on an ARM processor can be executed conditionally. The four most-significant bits of these instructions encode a condition code that specifies which condition should be met before executing the instruction. Prior to actually executing an instruction, the processor will first check the CPSR register to ensure that its contents corresponds to the status encoded in the condition bits of the instruction. If the condition code does not match, the instruction is discarded.

The CPSR state can be updated by calling the CMP instruction, much like on the Intel x86 architecture. This instruction compares a value from a register to a value calculated in a *shifter\_operand* and updates the CPSR bits accordingly. In addition to this, every other instruction that uses the addressing mode for dataprocessing can also optionally update the CPSR register. In this case, the result of the instruction is compared to the value 0.

When writing ARM assembly, the conditional execution of an instruction is represented by adding a suffix to the name of the instruction that denotes in which circumstances it will be executed. Without this suffix, the instruction will always be executed. If the instruction supports updating the CPSR register, the additional suffix 'S' indicates that the instruction should update the CPSR register.

The main advantage of conditional execution is the support for more compact program code. As a short example, consider the following C fragment:

```
if (err != 0)
    printf("Errorcode = %i\n", err);
else
    printf("OK!\n");
```

By default, GCC compiles the above code to:

```
CMP  r1, #0
BEQ  .L4
LDR  r0, <string_1_address>
BL   printf
B    .L8
.L4:
LDR  r0, <string_2_address>
BL   printf
.L8:
```

The value in *r1* contains the value of the *err* variable, and is compared to the value 0. If the contents of *r1* is zero, the code branches to the label *.L4*, where the string 'OK!' is printed out. If the value in *r1* isn't zero, the BEQ instruction is not executed, and the code continues to print out the *ErrorCode* string. Finally, it branches to label *.L8*.

With conditional execution, the above code could be rewritten as:

```
CMP  r1, #0
LDRNE r0, <string_1_address>
LDREQ r0, <string_2_address>
BL   printf
```

The 'NE' suffix means that the instruction will only be executed if the contents of, in this case, *r1* is not equal to zero. Similarly, the 'EQ' suffix means that the instructions will be executed if the contents of *r1* is equal to zero.

### 2.2.4 Thumb Instructions

In order to further increase code density, most ARM processors support a second instruction set called the Thumb instruction set. These Thumb instructions are 16 bits in size, compared to the 32 bits of ordinary ARM instructions. Prior to ARMv6, only the T variants of the ARM processor supported this mode (e.g. ARM4T). However, as of ARMv6, Thumb support is mandatory.

Instructions executed in 32 bit mode are called ARM instructions, whereas instructions executed in 16 bit mode are called Thumb instructions. Unlike ARM instructions, Thumb instructions do not support conditional execution.

Since instructions are only two bytes large in Thumb mode, it is easier to satisfy the alphanumeric constraints for instructions because we only need to get two bytes alphanumeric instead of four. To this end, we will discuss how to get into Thumb mode from ARM mode using only alphanumeric instructions. For programs already running in Thumb mode, a way of going back to ARM mode is also discussed. In order to achieve the broadest possible compatibility with earlier versions of ARM that do not support Thumb mode, Thumb instructions will not be used as part of our shellcode.

## 3. ALPHANUMERIC CODE

In most cases, alphanumeric bytes are likely to get through conversions and filters unmodified. Therefore, having shellcode with only alphanumeric instructions is sometimes necessary and often preferred.

An alphanumeric instruction is an instruction where each of the four bytes of the instruction is either an upper case or lower case letter, or a digit. In particular, the bit patterns of these bytes must always conform to the following constraints:

- The most significant bit, bit 7, must be set to 0
- Bit 6 or 5 must be set to 1
- If bit 5 is set to 1, but bit 6 is set to 0, then bit 4 must also be set to 1

These constraints do not eliminate all non-alphanumeric characters, but they can be used as a rule of thumb to quickly dismiss most of the invalid bytes. Each instruction will have to be checked whether its bit pattern follows these conditions and under which circumstances.

It is worth emphasizing that these constraints are tough: only 0.34% of the 32 bit words consist of 4 alphanumeric bytes.

This section will discuss some of the difficulties of writing alphanumeric code. When we discuss the bits in a byte, we will maintain the definition as introduced above: the most significant bit in a byte is bit 7 and the least significant bit is bit 0. The first byte of an ARM instruction is bits 31 to 24 and the last byte is bits 7 to 0.

### 3.1 Alphanumeric Instructions

The ARM processor (in its v5 incarnation) has 147 instructions. Most of these instructions cannot be used in alphanumeric code, because at least one of the four bytes of the instruction is not alphanumeric. In addition, we have also filtered out instructions that require a specific version of the ARM processor, in order to keep our work as broadly applicable as possible.

After filtering the instructions, only 18 instructions remain: B/BL, CDP, EOR, LDC, LDM(1), LDM(2), LDR, LDRB, LDRBT, LDRT, MCR, MRC, RSB, STM(2), STRB, STRBT, SUB, SWI.

Even though they can be used alphanumerically, some of the instructions have no or only limited use in the context of shellcode: CDP, LDC, MCR and MRC all perform operations on coprocessors, however since we can not be sure which coprocessors will be available on a specific platform, we discard these instructions. The B/BL (branch) instruction is also of limited use to us in most cases: the last 24 bits are used as an offset to the program counter to calculate the destination of the jump. After making these bits alphanumeric, the instruction would have to jump at least 12MB<sup>1</sup> from the current location, far beyond the scope of our shellcode.

The remaining thirteen instructions can be categorized in groups that contain instructions with the same basic functionality but that only differ in the details. For instance, LDR loads a word from memory into a register whereas LDRB loads a byte into the least significant bytes of a register. Even though these are two different instructions, they perform essentially the same operation.

We can distinguish the following seven categories:

- **EOR** Exclusive OR
- **LDM (LDM(1), LDM(2))** Load multiple registers from a consecutive memory locations
- **LDR (LDR, LDRB, LDRBT, LDRT)** Load value from memory into a register
- **STM** Store multiple registers to consecutive memory locations
- **STRB (STRB, STRBT)** Store a register to memory
- **SUB (SUB, RSB)** Subtract
- **SWI** Software Interrupt a.k.a. do a system call

Unfortunately, the instructions in the list above are not always alphanumeric. Depending on which operands are used, these functions may still generate non-alphanumeric characters. Hence, additional constraints apply to each instruction. These constraints are discussed further in the following subsections.

### 3.2 Registers

In alphanumeric shellcode, not all instructions that take registers as operands can use any register for any operand. In particular, none of the data-processing instructions can take registers r0 to r2 and r8 to r15 as the destination register Rd. The reason is that the destination register is encoded in the four most significant bits of the third byte of an instruction. If these bits are set to the value 0, 1 or 2, this would generate a byte that is too small to be alphanumeric. If the bits are set to a value greater than 7, the resulting byte will be too high.

If these registers cannot be set as the destination registers, this essentially means that any calculated value cannot be copied into one of these registers using the data-processing instructions. However, being able to set the contents of some of these registers is very important. As explained in Section 2.2, ARM uses registers r0 to r3 to transfer parameters to functions and system calls.

In addition, registers r4 and r6 can in some cases also generate non-alphanumeric characters. The only registers that can be used without restrictions are limited to r3, r5 and r7. This means that we only have three registers that we can use freely throughout the program.

<sup>1</sup>The branch instruction will first shift the 24 bit offset left twice because all instructions start on a 4 byte boundary, this means that the smallest possible value we can provide as offset: 0x303030 will in fact be an offset of 12632256.

### 3.3 Self-modifying code

ARM processors have an instruction cache, which makes writing self-modifying code a hard thing to do since all the instructions that are being executed will most likely already have been cached. The Intel architecture has a specific requirement to be compatible with self-modifying code, and as such will make sure that when code is modified in memory the cache that possibly contains those instructions is invalidated. ARM has no such requirement, meaning that the instructions that have been modified in memory could be different from the instructions that are actually executed. Given the size of the instruction cache and the proximity of the modified instructions, it is very hard to write self-modifying shellcode without having to flush the instruction cache. We discuss how to do this in section 4.7

### 3.4 Conditional execution

Because the condition code of an instruction is encoded in the most significant bits of the first byte of the instruction (bits 31-28), the value of the condition code has a direct impact on the alphanumeric properties of the instruction. As a result, only a limited set of condition codes can be used in alphanumeric shellcode.

Unfortunately, the condition code `AL`, which specifies that an instruction should always be executed, cannot be used. This means that all alphanumeric ARM instructions must be executed conditionally. From the 15 possible condition codes, only five can be used: `CC` (Carry clear), `MI` (Negative), `PL` (Positive), `VS` (Overflow) and `VC` (No overflow). This means that we can only execute instructions if the correct condition codes are set and that the conditions that can be used when attempting conditional control flow are limited.

## 4. FILTER-RESISTANT SHELLCODE

In the previous sections, we've sketched some of the features of the ARM processor, and some of the problems that arise when writing alphanumeric shellcode. When the shellcode starts up, we know nothing about the program state, we do not know the value of any registers (including `CPSR`), the state of memory or anything else. This presents us with a number of important challenges to solve. This section will introduce a number of solutions for these problems. In addition, this section will show how to use the limited instructions that are available to simulate the operations of a much richer instruction set.

### 4.1 Conditional execution

In our implementation, we've chosen the condition codes `PL` and `MI`. Instructions marked with `PL` will only be executed if the condition status is positive or zero. In contrast, `MI` instructions will only be executed if the condition status is negative.

When our shellcode starts up, we can not be sure what state the `CPSR` register is in. However, because `PL` and `MI` are mutually exclusive, we can always ensure that an instruction gets executed by simply adding the same instruction twice to the shellcode, once with the `PL` suffix and once with the `MI` suffix.

Once we gain more knowledge about the program state, we can execute an instruction that we know the result of, and mark it as an instruction that must update the `CPSR` register. This can be done, for example, by setting the `S` bit in a calculation with `SUB` or `EOR`. Setting the `S` bit on either instruction will still allow them to be represented alphanumerically.

### 4.2 Registers

When the processor starts executing the alphanumeric shellcode, the contents of all the registers is unknown. However, in order to

do any useful calculations, the value of at least some registers must be known. In addition, a solution must be found to set the contents of registers `r0` to `r2`. Without these registers, the shellcode will not be able to do system calls or execute library functions.

#### *Getting a constant in a register.*

None of the traditional instructions are available to place a known value in a register, making this a non-trivial problem. The `MOV` instruction cannot be used, because it is never alphanumeric. The only data processing instructions that are available are `EOR` and `SUB`, but these instructions can only be used in conjunction with addressing modes that use immediate values or involve shifting and rotating. Because the result of a subtraction or exclusive OR between an unknown value and a known value is still unknown, these instructions are not useful. Given that these are the only arithmetic instructions that are supported in alphanumeric code, it is impossible to arithmetically get a known value into a register.

Fortunately, there is some knowledge about the running code that can be exploited in order to get a constant value into a register. Even though the exact value of the program counter, register `r15`, is unknown, it will always point to the executing shellcode. Hence, by using the program counter as an operand for the `LDRB` instruction, one of the bytes of the shellcode can be loaded into a register. This is done as follows:

```
SUBPL    r3, pc, #56
LDRPLB   r3, [r3, #-48]
```

`pc` cannot be used directly in an `LDR` instruction as this would result in non-alphanumeric code. So its contents is copied to register `r3` by subtracting 56 from `pc`. The value 56 is chosen to make the instruction alphanumeric. Then, register `r3` is used in the `LDRB` instruction to load a known byte from the shellcode into `r3`. The immediate offset -48 is used to ensure that the `LDRB` instruction is alphanumeric. Once this is done, `r3` can be used to load other values into other registers by subtracting an immediate value.

#### *Loading values in arbitrary registers.*

As explained in Section 3.2, it is not possible to use registers `r0` to `r2` as the destination registers of arithmetic operations. There is, however, one operation that can be used to write to the three lowest registers, without generating non-alphanumeric instructions. The `LDM` instruction loads values from the stack into multiple registers. It encodes the list of registers it needs to write to in the last two bytes of the instruction. If bit *n* is set, register `Rn` is included in the list and data is written to it. In order to get the bytes of the instruction to become alphanumeric, other registers have to be added to the list.

That is, the following code

```
MOV r0, r3
MOV r1, r4
MOV r2, r6
```

has to be transformed as following to be alphanumeric:

```
STMPLDB r5, {r3, r4, r6, r8, r9, lr}^
RSBPL r3, r8, #72
SUBPL r5, r5, r3, ROR #2
LDMP LDA r5!, {r0, r1, r2, r6, r9, lr}
```

In the example above, the registers `r3`, `r4` and `r6` are stored on the stack using the `STM` instruction and then read from the stack into registers `r0`, `r1`, `r2` using the `LDM` instruction. In order to make the `STM` instruction alphanumeric, the dummy registers `r8`,

`r9` and `lr` are added to the list, which will write them to the stack. Similarly the `LDM` instruction adds `r6`, `r9` and `lr`. This will replace the value of `r6` with the value of `r8`. The caret symbol is also necessary to make the instruction alphanumeric. This symbol sets a bit that is only used if the processor is executing in privileged mode. In unprivileged mode, the bit is ignored.

The *decrement before* addressing mode that is used for the `STM` instruction results in an invalid bit pattern when used in conjunction with `LDM`. Hence, we use a different addressing mode for the `STM` instruction. This requires, however, that we modify the starting address slightly for it to work as expected, which we do by subtracting 4 from `r5` using the `RSB` and `SUB` instructions above.

### 4.3 Arithmetic operations

The `ADD` instruction is not alphanumeric, so it must be simulated using other instructions. After generating a negative number by subtracting from our known value, an addition can be performed by subtracting that negative value from another register. However, one caveat is that when the `SUB` instruction is used with two registers as operands, an additional rotate right (`ROR`) on the second operand must be done in order to make the bytes alphanumeric. This effect can be countered by either rotating the second operand with an immediate value that will result in a (different) known value, or by rotating the second operand with a register that contains the value 0.

```
SUBPL    r7, r3, #57
SUBPL    r3, r3, #56
SUBPL    r5, r5, r7 ROR r3
```

If we assume that register `r3` contains the value 56, using the trick explained in Section 4.2, the code above starts by setting register `r7` to -1 and sets register `r3` to 0. One is added to the value in register `r5` by subtracting the value -1 from it and rotating this value by 0 bits.

Subtract works in a similar fashion except a positive value is used as argument.

```
SUBPL    r7, r3, #55
SUBPL    r3, r3, #56
SUBPL    r5, r5, r7 ROR r3
```

The above examples show the +1 and -1 operations respectively. While these would be enough to calculate arbitrary values given enough applications, it is possible to use larger values by setting `r7` to a larger positive or negative value. However, for even larger values it is also possible to set `r3` to a nonzero value. For example, if `r3` is set to 20, then the last instruction will not subtract one, but will instead subtract 4096.

As can be seen from the example above, we can also subtract and add registers to and from each other (for addition, we of course need to subtract the register from 0 first).

Multiplication and division follow from repeated application of addition and subtraction.

### 4.4 Bitwise operations

This section discusses the different bitwise operations.

#### 4.4.1 Rotating and shifting

Instructions on ARM that use the arithmetic addressing mode, explained in Section 2.2.2, can perform all kinds of shifts and rotations on the last operand prior to using it in a calculation. However, not all variants can be used in alphanumeric instructions. In particular, none of the left shift and left rotate variants can be used. Of course, left shifting can be emulated by multiplying by a power of 2, and left rotates can be emulated with right rotates.

#### 4.4.2 Exclusive OR

The representation of the Exclusive OR (`EOR`) instruction is alphanumeric and is thus one of the instructions that can be used in our shellcode. However the same restrictions apply as for `subtract`.

#### 4.4.3 Complement

By applying an Exclusive OR with the value -1 we can achieve a `NOT` operation.

#### 4.4.4 Conjunction and disjunction

Conjunction can be emulated as follows: for every bit of the two registers being conjoined, first shift both registers left<sup>2</sup> by 31 minus the location of the current bit, then shift the results to the right so the current bit becomes the least significant bit. We can now multiply the registers. We have now performed an `AND` over those bits. Shifting the result left by the amount of bits we shifted right will place the bit in the correct location. We can now add this result to the register that will contain the final result (this register is initialized to 0 before performing the `AND` operation). This is a rather complex operation, which turns out not to be necessary for proving Turing completeness or for implementing shell-spawning shellcode, but it can be useful if an attacker must perform an `AND` operation.

Given this implementation of `AND` and the previously discussed `NOT` operation, `OR` follows from the application of De Morgan's law.

### 4.5 Memory access

Arbitrary values can be read from memory by using the `LDR` or `LDRB` instruction with a register which points 48 bytes further than the memory we wish to access:

```
LDRPL    r5, [r3, #-48]!
LDRPLB   r3, [r3, #-48]
```

The first instruction will load the four bytes stored at memory location `r3` minus 48 into `r5`. The offset calculation is written back into `r3` in order to make the instruction alphanumeric. The second instruction will load the byte pointed to by `r3` minus 48 into `r3`.

Storing bytes to memory can be done with the `STRB` instruction:

```
STRPLB   r5, [r3, #-48]
```

In the above example, `STRB` will store the least significant byte of `r5` at the memory location pointed to by `r3` minus 48.

The `STR` instruction cannot be used alphanumerically. An alternative to using `STR` is to use the `STM` instruction, which stores multiple registers to memory. This instruction stores the full contents of the registers to memory, but it cannot be used to store a single register to memory, as this would result in non-alphanumeric code.

Another possibility to store the entire register to memory is to use multiple `STRB` instructions and use the shift right capability that was discussed earlier to get each byte into the correct location

```
MOV      r5, #0
MOV      r3, #16
SUBPL    r3, r5, r7, ROR r3
SUBPL    r3, r5, r3, ROR r5
STRPLB   r3, [r13, #-50]
MOV      r3, #24
SUBPL    r3, r5, r7, ROR r3
SUBPL    r3, r5, r3, ROR r5
```

<sup>2</sup>Left shifting is done by multiplying by the correct power of 2, as discussed in 4.4.1.

```
STRPLB r3, [r13, #-49]
```

The code above shows how to store the 2 most significant bytes of  $r7^3$  to  $r13$  minus 49 and  $r13$  minus 50 respectively.

## 4.6 Control flow

This section discussed unconditional and conditional branches.

### 4.6.1 Unconditional branches

As discussed in Section 3.1, the branch instruction requires a 24 bit offset from `pc` as argument, which is shifted two bits to the left and sign extended to a 32 bit value. The smallest alphanumeric offset that can be provided to branch corresponds to an offset of 12MB. In the context of shellcode, this offset is clearly not very useful. Instead, we will use self-modifying code to rewrite the argument to the branch before reaching this branching instruction. This is done by calculating each byte of the argument separately and using `STRB` with an offset to `pc` to overwrite the correct instruction.

```
SUBPL r3, pc, #48
SUBPL r5, r8, #56
SUBPL r7, r8, #108
SUBPL r3, r3, r7, ROR r5
SUBPL r3, r3, r7, ROR r5
SUBPL r3, r3, r7, ROR r5
```

```
SUBPL r7, r8, #54
STRPLB r7, [r3, #-48]
```

```
.byte 0x30, 0x30, 0x30, 0x90
```

The above code copies `pc` minus 48 to `r3` and sets `r5` to 0 (we assume `r8` contains 56). It then sets `r7` to -52, subtracts this 3 times from `r3`. This will result in `r3` containing the value `pc` plus 108. When we subsequently write the value `r7` to `r3` minus 48 we will in effect be writing to `pc` plus 60. Using this technique we can rewrite the arguments to the branch instruction (0x30 in the above example).

This must be done for every branch in the program before the branch is reached. However as discussed in section 3.3 we can't simply write self-modifying code for ARM due to the instruction cache: this cache will prevent the processor from seeing our modifications. In section 4.7 we discuss how we were still able to flush the cache to allow our self-modifications to be seen by the processor once all branches have been rewritten.

### 4.6.2 Conditional branches

In order to restrict the different types of instructions that should be rewritten, compare instructions and the corresponding conditional branch are replaced with a sequence of two branches that use only the `PL` and `MI` condition codes. Some additional instructions must be added to simulate the conditional behavior that is expected.

As an example, imagine we want to execute the following instructions which will branch to the `endinter` label if `r5` is equal to 0:

```
CMP r5, #0
BEQ endinter
```

These two instructions can be rewritten as (`r8` contains 56):

```
SUBPL r3, r8, #52
```

<sup>3</sup>The code is slightly simplified for better readability in that we use `MOV`, which is not alphanumeric, to load the values to `r3` and `r5`

```
SUBPLS r3, r5, r3, ROR #2
BPL notnull
SUBMI r5, r8, #57
SUBMIS r7, r8, #56
SUBPLS r5, r3, r5, ROR #2
BPL endinter
SUBMIS r7, r8, #56
notnull:
```

By observing whether the processor changes condition state after subtracting and adding one to the original value, we can deduce whether the original value was equal to zero or not. If we subtract one, and the state of the processor remains positive, the value must be greater than zero. If the processor changes state, the value was either zero or a negative number. By adding one again, and verifying that the processor state changes to positive again, we can ensure that the original value was indeed zero.

As with the unconditional branch, the actual branching instruction is not available in alphanumeric code, so again we must overwrite the actual branch instruction in the code above.

## 4.7 System calls

As described in Section 3.3, the instruction cache of the ARM processor will hamper self-modifying code. One way of ensuring that this cache can be bypassed, is by turning it off programmatically. This can be done by using the alphanumeric `MRC` instruction, and specifying the correct operand that turns the cache off. However, as this instruction is privileged before ARMv6, we will not use this approach in our shellcode.

Another option is to execute a system call that flushes the cache. This can be done using the `SWI` instruction, given the correct operand. The first byte of a `SWI` instruction encodes the condition code and the opcode of the instruction. The other three bytes encode the number of the system call that needs to be executed. Fortunately, the first byte can be made alphanumeric by choosing the `MI` condition code for the `SWI` instruction.

On ARM/Linux, the system call for a cache flush is 0x9F0002. None of these bytes are alphanumeric and since they are issued as part of an instruction this could mean that they cannot be rewritten with self-modifying code. However, `SWI` generates a software interrupt and to the interrupt handler 0x9F0002 is actually data. As a result, it will not be read via the instruction cache, so any modifications made to it prior to the `SWI` call will be reflected correctly, since these modifications will have been done via the data cache (any write or read to/from memory goes via the data cache, only instruction execution goes via the instruction cache).

In non-alphanumeric code, the instruction cache would be flushed with this sequence of operations:

```
MOV r0, #0
MOV r1, #-1
MOV r2, #0
SWI 0x9F0002
```

Since these instructions generate a number of non-alphanumeric characters, the previously mentioned code techniques will have to be applied to make this alphanumeric (i.e., writing to `r0` to `r2` via `LDM` and `STM` and rewriting the argument to `SWI` via self-modifying code). Given that the `SWI` instruction's argument is seen as data, overwriting the argument can be done via self-modification. If we also overwrite all the branches in the program prior to performing the `SWI`, then all self-modified code will now be seen correctly by the processor and our program can continue.

## 4.8 Thumb mode

Although the Thumb instruction set is not used to prove that alphanumeric ARM code is Turing complete, it might nevertheless be interesting to know that it is possible to switch between the two modes in an alphanumeric way.

### Entering Thumb mode.

Changing the processor state from ARM mode to Thumb mode is done by calling the *branch and exchange* instruction `BX`. ARM instructions are always exactly four bytes and Thumb instructions are exactly two bytes. Hence, all instructions are aligned on either a two or four byte alignment. Consequently, the least-significant bit of a code address will never be set in either mode. It is this bit that is used to indicate to which mode the processor must switch.

If the least significant bit of a code address is set, the processor will switch to Thumb mode, clear the bit and jump to the resulting address. If the bit is not set, the processor will switch to ARM mode. Below is an example that switches the processor from ARM to Thumb state.

```
SUBPL r6, pc, #-1
BX    r6
<Thumb instructions>
```

In ARM mode, `pc` points to the address of the current instruction plus 8. The `BX` instruction is not alphanumeric, so it must be overwritten in order to execute the correct instruction. The techniques presented in Section 4.7 can be used to accomplish this.

### Exiting Thumb mode.

If the program that is being exploited is running in Thumb mode when the vulnerability is triggered, the attacker can either choose to continue with shellcode that uses Thumb instructions, or he can switch to ARM mode. The `SWI` instruction is not alphanumeric in Thumb mode, making self-modifying code impossible with only Thumb instructions. The alternative is to switch to ARM mode, where system calls *can* be performed.

```
BX    pc
ADD   r7, #50
<ARM instructions>
```

In Thumb mode, `pc` points to the address of the current instruction, plus 4. Since Thumb instructions are 2 bytes long, we must add a dummy instruction after the `BX` instruction. Also note that a dummy instruction before `BX` might be necessary in order to correct the Thumb alignment to ARM alignment.

## 5. TURING COMPLETENESS

In this section we argue that with our alphanumeric ARM shellcode we are able to perform all useful computations. We are going to show that the shellcode is *Turing complete*. Our argument runs as follows: we take a known Turing-complete programming language and build an interpreter for this language in alphanumeric shellcode.

The language of choice is BrainF\*ck (BF) [26], which has been proven to be Turing complete [24]. BF is a very simple language that mimics the behavior of a Turing machine. It assumes that it has access to unlimited memory, and that the memory is initialized to zero at program start. It also has a pointer into this memory, which we call the memory pointer. The language supports 8 different operations, each symbolized by a single character. Table 5 describes the meaning of each character that is part of the BF alphabet and gives the equivalent meaning in C (assuming that `p` is the memory pointer of type `char*`).

Table 1: The BF language

BF	Meaning	C equivalent
>	increases the memory pointer to point to the next memory location.	<code>p++;</code>
<	decreases the memory pointer to point to the previous memory location.	<code>p--;</code>
+	increases the value of the memory location that the memory pointer is pointing to by one.	<code>(*p)++;</code>
-	decreases the value of the memory location that the memory pointer is pointing to by one.	<code>(*p)--;</code>
.	write the memory location that the memory pointer is pointing to stdout.	<code>write(1, p, 1);</code>
,	read from stdin and store the value in the memory location that the pointer is pointing to.	<code>read(0, p, 1);</code>
[	starts a loop if the memory pointed to by the memory pointer is not 0. If it is 0, execution continues after the matching ] (the loop operator allows for nested loops).	<code>while (*p) {</code>
]	continue the loop if the memory pointed to by the memory pointer is not 0, if it is 0, execution continues after the ].	<code>if (!*p) break; }</code>

We implemented a mapping of BF to alphanumeric shellcode as an interpreter written in alphanumeric ARM shellcode. The interpreter takes as input any BF program and simulates the behavior of this program. The details of the interpreter are discussed below.

Several issues had to be addressed in our implementation.

- Because we wanted the BF program that must be executed to be part of the interpreter shellcode, we remapped all BF operations to alphanumeric characters: `> ... ]` are mapped to the characters `J ... C` respectively.
- We extended the BF language (since this is a superset of BF, it is still Turing complete), with a character to do program termination. We use the character “B” for this purpose. While this is not necessary to show Turing completeness, having a termination character simplifies our implementation.
- As with BF we assume that we have unlimited memory, our implementation provides for an initial memory area of 1024 bytes but this can be increased as needed.
- The memory required by our interpreter to run the BF program is initialized to 0 at startup of the interpreter.

### 5.1 Initialization

To support the BF language, we use three areas of memory: one which contains the code of the BF programming (we will refer to this as the *BF-code* area) that we are executing, a second which serves as the memory of the program (the *BF-memory* area), and a third which we use as a stack to support nested loops (the *loop-memory* area). Memory for these areas is assumed to be part of the shellcode and each area is assumed to be 1024 bytes large.

We store pointers to each of these memory areas in registers `r10`, `r9` and `r11` respectively. These pointers are calculated by subtracting from the `pc` register. Once these registers are initialized,



the contents of BF-memory is initialized to 0. Since it's part of our shellcode, the BF-memory contains only alphanumeric characters by default. The memory is cleared by looping (using a conditional branch) over the value of `r9` and setting each memory location to 0 until it reaches the end of the buffer. The memory size can be increased by adding more bytes to the BF-memory region in the shellcode, and by making minor modifications to the initialization of the registers `r9` to `r11`.

## 5.2 Parsing

Parsing the BF program is done by taking the current character and executing the expected behavior. To simplify the transition of the control flow from the code that is interpreting each BF code character to the actual implementation of the function, we use a jump table. The implementation of every BF operation is assumed to start 256 bytes from the other. By subtracting 'A' from the character we are interpreting and then subtracting that number multiplied by 256 from the program counter, we generate the address that contains the start of the implementation of that operation. To be able to end the program correctly we need the program termination character that was added to the BF language earlier ("B"). Because the implementation of a BF operation must be exactly 256 bytes, the actual implementation code is padded with dummy instructions.

## 5.3 BF Operations

The first four BF operations: ">", "<", "+" and "-" (or "J", "I", "H", "G") are easily implemented using the code discussed in Section 4. The instructions for "." and "," ("F" and "E") are system calls to respectively read and write. As was discussed in Section 4.7, we need to rewrite the argument of the SWI instruction to correspond with the arguments for read and write (0x00900004 and 0x00900003), which can not be represented alphanumerically.

Loops in BF work in the following way: everything between "[" and "]" is executed repeatedly until the contents of the memory that the memory pointer is pointing to is equal to 0 when reaching either character. This scheme allows for nested loops. To implement these nested loops, we store the current pointer to the BF-code memory (contained in register `r10`) in the loop-memory area. Register `r11` acts as a stack pointer into this area. When a new loop is started, `r11` will point to the top of the stack. When we reach "]", we compare the memory pointed to by the memory pointer to 0. If the loop continues, a recursive function call is made to the interpreted function. If the result was in fact 0, then the loop has ended and we can remove the top value of the loop-memory by modifying the `r11` register.

## 5.4 Branches and system calls

As discussed in Section 4.6.1, we can not use branches directly: the argument for the branch instruction is a 24 bit offset from PC. Instead of overwriting the argument, however, we chose to instead calculate the address we would need to jump to and store the result in a register. We then insert a dummy instruction that will later be overwritten with the `BX <register>` instruction. Each possible branch instruction is fixed up in this way: at the end of a BF operation when we must jump to the end of the function, for the branches used to implement the loop instructions, ...

As discussed in Section 4.7, the arguments to system calls also need to be overwritten. This is also done by our self-modifying code.

All this self-modification is done right after the shellcode has

started executing. Once we have overwritten all necessary memory locations, a cache flush is performed, which ensures that the new instructions will be read correctly when the processor reaches them.

## 6. RELATED WORK

Building regular shellcode for ARM exists for both Linux [19] and Windows [20]. To facilitate NULL-byte avoidance, self-modification is also discussed in [19]. However, because only the arguments to SWI are modified, no cache flush is needed in this case, simplifying the shellcode considerably.

Alphanumeric shellcode exists for Intel architectures [33]. Due to the variable length instructions used on this architecture, it is easier to achieve alphanumeric shellcode because many more instructions can be used compared to ARM architectures (jumps, for instance, are no problem), and the code is also not cached. Eller [16] discusses an encoder that will encode instructions as ASCII characters, that when executed on an Intel processor will decode the original instructions and execute them.

In Shacham [36] and Buchanan [11], the authors describe how to use the instructions provided by libc on both Intel and RISC architectures to perform return-into-libc attacks that are also Turing complete. By returning to a memory location which performs the desired instruction and subsequently executes a return, attackers can string together a number of return-into-libc attacks which can execute arbitrary code. The addresses returned to in that approach, however, may not be alphanumeric, which can result in problems when confronted with filters that prevent the use of any type of value.

## 7. CONCLUSION

In this paper we discussed how an attacker can use purely alphanumeric characters to insert shellcode into the memory space of an application running on a RISC processor. Given the fact that all instructions on a 32-bit RISC architecture are 4 bytes large, this turns out to be a non-trivial task: only 0.34% of the 32 bit words consist of 4 alphanumeric characters. However, we show that even with these severe constraints, it is possible to build an interpreter for a Turing complete language, showing that this alphanumeric shellcode is Turing complete. While the fact that the alphanumeric shellcode is Turing complete means that any program written in another Turing complete language can be represented in alphanumeric shellcode, an attacker may opt to simplify the task of writing alphanumeric shellcode in ARM by building a stager in alphanumeric shellcode that decodes the real payload, which can then be written non-alphanumerically.

In [47], we present real-world alphanumeric ARM shellcode that executes a pre-existing executable, demonstrating the practical applicability of the shellcode.

Using alphanumeric shellcode, an attacker can bypass filters that filter out non-alphanumeric characters, while still being able to inject code that can perform arbitrary operations. It may also help an attacker in evading intrusion detection systems that try to detect the existence of shellcode in input coming from the network.

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