# Category theory in context: 4.4 — Calculus of Adjunctions

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Monsoon, second year of the plague

## 1 PROPOSITION 4.4.1

If F, F' are both left adjoint to G, then  $F \simeq F'$ . Moreover, there is a unique iso  $\theta : F \simeq F'$  commuting with units and counits of adjunctions:

$$1_{C} \xrightarrow{\eta} GF \qquad FG \xrightarrow{\epsilon} 1_{D}$$

$$\downarrow^{G\theta} \qquad \thetaG \downarrow \qquad \uparrow^{\epsilon'}$$

$$GF' \qquad F'G$$

# 1.1 Proof by unit/counit

Let's consider the data we need to define for an iso  $\theta: F \Rightarrow F'$ . Drawing out the naturality square, we need the arrows:

$$Fc - \theta_c \to F'c$$

$$\downarrow^{Ff} \qquad \downarrow^{F'f}$$

$$Fc' - \theta_{c'} \to F'c'$$

By adjunction, defining a commutative diagram with  $Fc \to d$  is the same as defining a commutative diagram with  $c \to Gd$ :

$$c \xrightarrow{\theta_c^{\#}} GF'c$$

$$f \downarrow \qquad \qquad \downarrow GF'f$$

$$c' \xrightarrow{\theta_{c'}^{\#}} GF'c'$$

We define  $\theta^{\#} \equiv \eta': 1 \to GF'$ , since the types match. Using this, we compute a formula for  $\theta$  as the transpose of  $\theta^{\#}$ . [TODO: how did we compute this in the first place?]

$$\theta \equiv F \xrightarrow{F\eta'} FGF' \xrightarrow{\epsilon F'} F'$$

Exchanging the roles of F with F',  $\eta$  with  $\eta'$ , and  $\epsilon$  with  $\epsilon'$ , this also computes a formula for  $\theta'$  given by:

$$\theta' \equiv F' \xrightarrow{F'\eta} F'GF \xrightarrow{\epsilon'F} F$$

The hope is that  $\theta$  and  $\theta'$  are inverse natural transforms. We need to check that  $\theta' \circ \theta = 1_F$ . We claim that it suffices to check that  $GF(\theta' \circ \theta) \circ \eta = \eta$ . [TODO: why does this suffice?]

Writing out  $G(\theta' \circ \theta) \circ \eta$ , which is equal to  $G\theta' \circ G\theta \circ \eta$ :

$$1 \xrightarrow{\eta} GF \xrightarrow{G\theta} GF' \xrightarrow{G\theta'} GF$$

$$1 \xrightarrow{\eta} GF \xrightarrow{GF\eta'} GFGF' \xrightarrow{GeF'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

We wish to swap  $\eta$  with  $GF\eta'$  (at the first two terms) to bring the  $\eta$  and  $\epsilon$  close together (at the first three terms) so we can use the triangle identities. To do this, we consider the commutative square, where we transport the morphism  $c \xrightarrow{\eta'_c} GF'c$  along  $\eta: 1_C x \to GF x$  to give:

- See that this square contains  $1 \xrightarrow{\eta} GF \xrightarrow{GF\eta'} GFGF'$ , by following right and top. The commutativity
- of the square witnesses that this is equal to  $1 \xrightarrow{\eta'} GF' \xrightarrow{\eta_{GF'}} GFGF'$ .
- See that  $\eta_{GF'}$  equals  $\eta GF'$  since  $\eta GF'(x) \equiv \eta_{GF'}GF'x$ , which is the same as  $\eta_{GF'}(GF'x)$ .
- So, in total, the commutativity of this naturality square allows us to rewrite the segment  $1 \stackrel{\eta}{\Rightarrow} GF' \stackrel{GF\eta'}{\Longrightarrow} GFGF'$  with  $1 \stackrel{\eta'}{\Longrightarrow} GF' \stackrel{\eta GF'}{\Longrightarrow} GFGF'$ .

This gives us the diagram:

$$1 \xrightarrow{\eta} GF \xrightarrow{GF\eta'} GFGF' \xrightarrow{GeF'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta'} GF' \xrightarrow{\eta GF'} GFGF' \xrightarrow{GeF'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

This is regrouped using  $G\epsilon \circ \eta G = 1_G$  into:

$$1 \xrightarrow{\eta'} GF' \xrightarrow{\eta GF'} GFGF' \xrightarrow{GeF'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta'} GF' \xrightarrow{\eta GF'} GFGF' \xrightarrow{GeF'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta'} GF' \xrightarrow{(\eta G;Ge)F'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

Next, we use the naturality of  $\eta$  to swap eta' with  $GF'\eta$ :

$$1 \xrightarrow{\eta'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta'} GF' \xrightarrow{GF'\eta} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta} GF \xrightarrow{\eta'GF} GF'GF \xrightarrow{Ge'F} GF$$

Finally, we use the identity  $G\epsilon' \circ \eta'G = 1_G$  to reduce the equation:

$$1 \xrightarrow{\eta} GF \xrightarrow{\eta'GF} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta} GF \xrightarrow{\eta'GF} GF'GF \xrightarrow{Ge'F} GF$$

$$1 \xrightarrow{\eta} GF \xrightarrow{(\eta'G;Ge)F} GF$$

$$1 \xrightarrow{\eta} GF$$

## 1.2 Proof by Yoneda

- Since  $F \vdash G$ , we have that  $D(Fc, d) \simeq C(c, Gd)$ .
- Similarly, since  $F' \vdash G$ , we have  $C(c, Gd) \simeq D(F'c, d)$ .
- Together, this gives  $D(Fc, d) \simeq D(F'c, d)$ , natural in both c and d.
- This implies that  $D(Fc,-) \simeq D(F'c,-)$ , natural in c, or by Yoneda, that  $Fc \simeq F'c$ , natural in c.
- The naturality in *c* allows us to deduce that  $F \simeq F'$ .
- We can identify the morphism which sends Fc to F'c by choosing d = Fc. This will start at D(Fc, d = Fc) and ends at D(F'c, d = Fc).

We compute  $\theta_c$  by contemplating the diagram below, and setting d = Fc to arrive at a morphism from  $1_{Fc} \in D(Fc, d = Fc)$  to  $\theta'_c \in D(F'c, d = Fc)$ : [TODO: fill in the ?]

$$D(Fc,d) \longrightarrow C(c,Gd) \longrightarrow D(F'c,d)$$

$$f: Fc \to d \longmapsto c \xrightarrow{\eta_c} GFc \xrightarrow{Gf} Gd$$

$$g: c \to Gd \longmapsto F'c \xrightarrow{F'g} F'Gd \xrightarrow{\epsilon'_d} d$$

$$1_{Fc} \in D(Fc, Fc)$$
  $\longrightarrow$  ?

#### 2 PROPOSITION 4.4.4

Given adjunctions  $F \vdash G$  and  $F' \vdash G'$ , their composite FF' is left adjoint to the composite GG':

$$C \xrightarrow{F \atop L} D \xrightarrow{F' \atop L} E \qquad \rightsquigarrow \qquad C \xrightarrow{F'F \atop L} E$$

## 2.1 Proof by unit/counit

• The only "reasonable" definition of  $\overline{\eta}: 1_C \Rightarrow GG'F'F$  is given by:

$$\overline{\eta} \equiv 1_c \stackrel{\eta}{\Rightarrow} GF \stackrel{G\eta'F}{\Longrightarrow} GG'FF'$$

- A point to note: morally, the reason we build *Gη'F* is for the types to work; η': 1<sub>D</sub> → G'F'. To mutate *GF*, it is the only type valid choice among (η'GF, Gη'F, and GFη').
- Similarly, the only reasonable definition of  $\overline{\epsilon}: F'FGG' \Rightarrow 1_E$  is given by the other expression as in the text.
- I dare not perform the "entertaining" diagram chase.

#### 2.2 Proof by Yoneda

The pleasant proof by yoneda:

$$E(F'Fc,e) \simeq D(F'c,Gd) \quad (F \vdash G)$$
  
 $D(F'c,d) \simeq C(c,G'Gd) \quad (F' \vdash G')$ 

which establishes a natural bijection  $E(FF'c,e) \simeq C(c,G'Gd)$ , which means  $FF' \vdash G'G$  by the Hom-set definition of Yoneda.

#### 3 4.4.5

Any equivalence  $F: C \leftrightarrow D: G$  with  $\eta: 1_C \simeq GF$  and  $\epsilon: FG \simeq 1_D$  can be promoted into an adjoint equivalence. This promotion involves defining  $\epsilon'$ , where the natural isos  $(\eta, \epsilon')$  now obey the triangle inequalities.

# 3.1 Proof by unit/counit

- If it really were an adjunction, then  $G\epsilon \circ \eta G = 1_G$ .
- since we don't have an adjunction, measure the defect via  $\gamma: G \Rightarrow \eta GGFG \Rightarrow G\epsilon G$
- Define  $\epsilon' \equiv FG \Rightarrow F\gamma^{-1}FG \Rightarrow \epsilon 1_G$

We will show that the following diagram commutes:

$$G \xrightarrow{\eta G} GFG \xrightarrow{Ge'} G$$

$$G \xrightarrow{\eta G} GFG \xrightarrow{GF} GFG \xrightarrow{Ge} G$$

$$\uparrow G$$

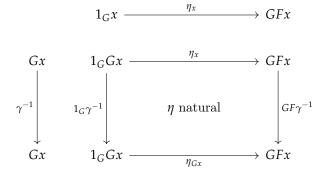
$$\downarrow G$$

$$\uparrow G$$

$$\downarrow G$$

$$\uparrow G$$

- The top row is  $G \Rightarrow \eta GGFG \Rightarrow G\epsilon'G$
- The bottom is  $G \Rightarrow \gamma^{-1}G \Rightarrow \gamma G = 1_G$ .
- Thus, if the diagram commutes, then top equals bottom, or  $G\epsilon' \circ \eta G = 1_G$ , implying one of the triangle identities hold.
- The triangle to the right commutes by the definition of  $\gamma$ ;  $\gamma = G\epsilon \circ \eta G$ .
- The "triangle" to the left (which actually contains 4 elements) commutes because of *naturality* of *eta*. To see this, redraw the triangle as a commutative square:



# 3.2 Example of equivalence that is not adjoint equivalence

• Intuition: Pick an automorphism of a category, with  $aut : C \to C$  on one side, and  $aut^{-1} : C \to C$  on the other. These two should witness an equivalence, but they need not be adjoint.

# 4 EXERCISES