

# Category theory in context

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Monsoon, second year of the plague

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# CATEGORIES, FUNCTORS, NATURAL TRANSFORMATIONS

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## 1.1 ABSTRACT AND CONCRETE CATEGORIES

## 1.2 DUALITY

### 1.2.1 *Musing*

How does one remember  $gk = gl \implies k = l$  and vice versa?

### 1.2.2 *Solutions*

**Question: Lemma 1.2.3.**  $f : x \rightarrow y$  is an isomorphism iff it defines a bijection  $f_* : C(c, x) \rightarrow C(c, y)$ .

**Proof** [( $f$  is iso  $\implies$  post composition with  $f$  induces bijection)] Let  $f : x \rightarrow y$  be an isomorphism. Thus we have an inverse arrow  $g : y \rightarrow x$  such that  $fg = id_y$ ,  $gf = id_x$ . The map:

$$C(c, x) \xrightarrow{f_*} C(c, y) : (\alpha : c \rightarrow x) \mapsto (f\alpha : c \rightarrow y)$$

has a two sided inverse:

$$C(c, y) \xrightarrow{g_*} C(c, x) : (\beta : c \rightarrow y) \mapsto (g\beta : c \rightarrow x)$$

which can be checked as  $g_*(f_*(\alpha)) = g_*(f\alpha) = gf\alpha = id_x\alpha = \alpha$ , and similarly for  $f_*(g_*(\beta))$ . Hence we are done, as the iso induces a bijection of hom-sets.  $\square$

**Proof** [(post-composition with  $f$  is bijection implies  $f$  is iso)] We are given that the post composition by  $f$ ,  $f_* : C(c, x) \rightarrow C(c, y)$  is a bijection. We need to show that  $f$  is an isomorphism, which means that there exists a function  $g$  such that  $fg = id_y$  and  $gf = id_x$ . Since post-composition is a bijection for all  $c$ , pick  $c = y$ . This tells us that the post-composition  $f_* : C(y, x) \rightarrow C(y, y)$  is a bijection. Since  $id_y \in C(y, y)$ ,  $id_y$  an inverse image  $g \equiv f_*^{-1}(id_y)$ . [We choose to call this map  $g$ ]. By definition of  $f_*^{-1}$ , we have that  $f_*(f_*^{-1}(id_y)) = id_y$ , which means that  $fg = id_y$ . We also need to show that  $gf = id_x$ . To show this, consider  $f_*(gf) = fgf = (fg)f = (1_y)f = f$ . We also have that  $f_*(id_x) = fid_x = f$ . Since  $f_*$  is a bijection, we have that  $id_x = gf$  and we are done.  $\square$

$$\begin{array}{ccc}
 C(y, x) & \xrightarrow{f_*} & C(y, y) \\
 \downarrow \psi & & \downarrow \text{id}_y \\
 g = f_*^{-1}(id_y) & \xleftarrow{f_*^{-1}} & id_y \\
 & \uparrow f_* & \\
 & f_* & \\
 & \text{is bijective.} &
 \end{array}$$

by defn:

$$\textcircled{a} \quad f_* (f_*^{-1}(id_y)) = id_y \Rightarrow f_* g = id_y$$

$$\textcircled{b} \quad f_* (g b) = f_* g b = (f_* g) b = id_y b = b = f_* id_x = f_* (id_x)$$

$$f_* (g b) = f_* (id_x) \Rightarrow g b = id_x$$

$f_*$  is injective

Iso is bijection of hom-sets

**Question: Q 1.2.ii.** Show that  $f : x \rightarrow y$  is split epi iff for all  $c \in C$ , post composition  $f \circ - : C(c, x) \rightarrow C(c, y)$  is a surjection.

**Proof** [(split epi implies post composition is surjective)] Let  $f : e \rightarrow b$  be split epi, and thus possess a section  $s : b \rightarrow e$  such that  $fs = id_b$ . We wish to show that post composition  $C(c, e) \xrightarrow{f_*} C(c, b)$  is surjective. So pick any  $g \in C(c, b)$ . Define  $sg \in C(c, e)$ . See:

$$f_*(sg) = fsg = (fs)g = id_b g = g$$

. Hence, for all  $g \in C(c, b)$  there exists a pre-image under  $f_*$ ,  $sg \in C(c, e)$ . Thus,  $f_*$  is surjective since every element of codomain has a pre-image.  $\square$

**Proof** [(post composition is surjective implies split epi)] Let  $f : e \rightarrow b$  be a morphism such that for all  $c \in C$ , we have  $C(c, e) \xrightarrow{f_*} C(c, b)$  is surjective. We need to show that there exists a morphism  $s : b \rightarrow e$  such that  $fs = id_b$ . Set  $c = b$ . This gives us a surjection  $C(b, e) \xrightarrow{f_*} C(b, b)$ . Pick an inverse image of  $id_b \in C(b, b)$ . That is, pick any function  $s \in f_*^{-1}(id_b)$ . By definition, of  $s$  being in the fiber of  $id_b$ , we have that  $f_*(s) = fs = id_b$ . Thus means that we have found a function  $s$  such that  $fs = id_b$ . Thus we are done.  $\square$

**Question: Q 1.2.iii.** Mono is closed under composition, and if  $gf$  is monic then so is  $f$ .

**Proof** [(Mono is closed under composition)] Let  $f : x \rightarrow y, g : y \rightarrow z$  be monomorphisms (Recall that  $f$  is a monomorphism iff for any  $\alpha, \beta$ , if  $f\alpha = f\beta$  then  $\alpha = \beta$ ). We are to show that  $gf : x \rightarrow z$  is monic. Consider this diagram which shows that  $gfk = gfl$  for arbitrary  $k, l : a \rightarrow x$ . We wish to show that  $k = l$ .

$$\begin{array}{ccccc}
 a & \xrightarrow{k} & x & \xrightarrow{f} & y & \xrightarrow{g} & z \\
 a & \xrightarrow{l} & x & \xrightarrow{f} & y & \xrightarrow{g} & z
 \end{array}$$

Since  $g$  is mono, we can cancel it from  $gfk = gfl$ , giving us  $fk = fl$ . Since  $f$  is mono, we can once again cancel it, giving us  $k = l$  as desired. Hence, we are done.  $\square$ .

**Proof** [(If  $gf$  is monic then so is  $f$ )] Let us assume that  $fk = fl$  for arbitrary  $l$ . We wish to show that  $k = l$ . We show this by applying  $g$ , giving us  $fk = fl \implies gfk = gfl$ . As  $gf$  is monic, we can cancel, giving us  $gfk = gfl \implies k = l$ .  $\square$ .

**Question: Q 1.2.iv.** What are monomorphisms in category of fields?

**Proof** Claim: All morphisms are monomorphisms in the category of fields. Let  $f : K \rightarrow L$  be an arbitrary field morphism. Consider the kernel of  $f$ . It can either be  $\{0\}$  or  $K$ , since those are the only two ideals of  $K$ . However, the kernel can't be  $K$ , since that would send 1 to 0 which is an illegal ring map. Thus, the map  $f$  has trivial kernel, therefore is an injection, is left-cancellable, is a monomorphism.  $\square$

**Question: Q 1.2.v.** Show that the ring map  $i : \mathbb{Z} \rightarrow \mathbb{Q}$  is both monic and epic but not iso.

**Proof** [ $i$  is not iso] No ring map  $i : \mathbb{Z} \rightarrow \mathbb{Q}$  can be iso since the rings are different (eg.  $\mathbb{Q}$  is a field).  $\square$

**Proof** [ $i$  is epic] To show that it's epic, we must show that given for arbitrary  $f, g : \mathbb{Q} \rightarrow R$  that  $fi = gi$ :

$$\mathbb{Z} \xrightarrow{i} \mathbb{Q} \xrightarrow{f} R$$

$$\mathbb{Z} \xrightarrow{i} \mathbb{Q} \xrightarrow{g} R$$

implies that  $f = g$ . Let  $fi : \mathbb{Z} \rightarrow R = gi$ . Then, the functions  $f, g$  are uniquely determined since  $\mathbb{Q}$  is the field of fractions of  $\mathbb{Z}$ , thus a ring map  $\mathbb{Z} \rightarrow R$  extends uniquely to a ring map  $\mathbb{Q} \rightarrow R$ . Let's assume that  $f(i(z)) = g(i(z))$  for all  $z$ , and show that  $f = g$ . Consider arbitrary  $p/q \in \mathbb{Q}$  for  $p, q \in \mathbb{Z}$ . Let's evaluate:

$$f(p/q) = f(p)f(q)^{-1} = f(i(p)) \cdot f(i(q))^{-1} = g(i(p)) \cdot g(i(q))^{-1} = g(p/q)$$

which shows that  $f(p/q) = g(p/q)$  for all  $p, q$ . Thus, we can extend a ring function defined on the integers to rationals uniquely, hence  $fi = gi \implies f = g$  showing that  $i$  is epic.  $\square$

**Proof** [ $i$  is monic] given two arbitrary maps  $k, l : R \rightarrow \mathbb{Z}$ , if  $ik = il$  then we must have  $k = l$ . Given  $ik = il$ , since  $i$  is an injection of  $\mathbb{Z}$  into  $\mathbb{Q}$ , we must have  $k = l$ .

**Question: Q 1.2.vi.** Mono + split epi iff iso.

**Proof** [Iso is mono + split epi] Iso is both left and right cancellable. Hence it's mono and epi. It splits because the inverse of the iso splits it.  $\square$ .

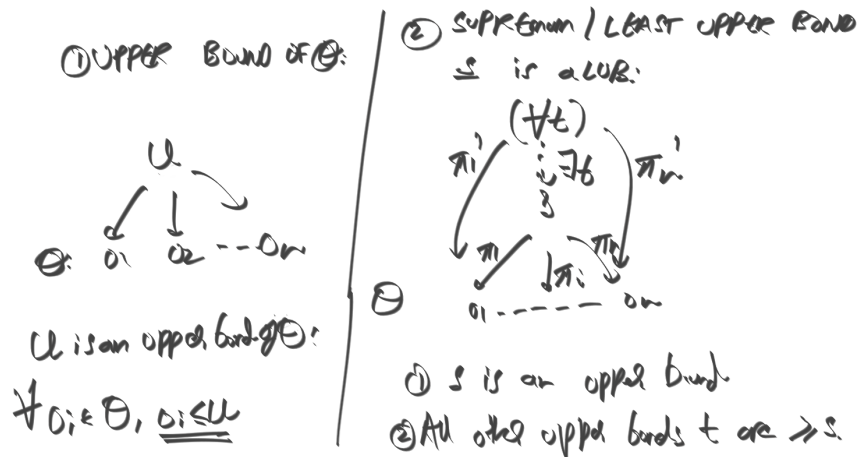
**Proof** [mono + split epi is iso] Let  $f : e \rightarrow b$  be mono (for all  $k, l : p \rightarrow e$ ,  $fk = fl \implies k = l$ ) and split epi (there exists  $s : b \rightarrow e$  such that  $fs : b \rightarrow b = id_b$ ). We need to show it's iso. That is, there exists a  $g : b \rightarrow e$  such that  $fg = id_b$  and  $gf = id_e$ . I claim that  $g \equiv s$ . We already know that  $fg = fs = id_b$  from  $f$  being split epi. We need to check that  $gf = sf = id_e$ . Consider:

$$f s f = (f s) f = id_b f = f = f id_e$$

Hence, we have that  $f(sf) = f(id_e)$ . Since  $f$  is mono, we conclude that  $sf = id_e$ . We are done since we have found a map  $s$  such that  $fs = id_b, sf = id_e$ .

**Question: 1.2.vii.** Regarding a poset a category, define the supremum of a subcollection, such that the dual gives the infimum.

**Proof** We regard an arrow  $a \rightarrow b$  as witnessing that  $a \leq b$ . First define an upper bound of a set  $O$  to be an object  $u$  such that for all  $o \in O$ , we have  $o \leq u$ . Now, the supremum of  $O$  is the least upper bound of  $O$ . That is,  $s$  is a supremum iff  $s$  is an upper bound, and for all other upper bounds  $t$  of  $O$ , we have that  $s \leq t$ . So we draw a diagram showing upper bounds and suprema:



Upper bound and supremum

### 1.3 FUNCTORS

**Question: Exercise 1.3.i.** What is a functor between groups, when regarded as one-object categories?

**Proof** It's going to be a group homomorphism. Since, a functor preserves composition, we have that a functor  $F : C \rightarrow D$  preserves the group structure; for elements of the group / isos  $f, g \in \text{Hom}(G, G)$ , we have that the functor obeys  $F(f \circ_G g) = (Ff) \circ_H (Fg)$ , which is exactly the equation we need to preserve group structure. For example, since a functor preserves isomorphisms, an element of the group  $f \in \text{Hom}(G, G)$  is mapped to an invertible element  $F(f) \in \text{Hom}(H, H)$ .  $\square$

**Question: Exercise 1.3.ii.** What is a functor between preorders, regarded as a category?

**Proof** Going to be a preorder morphism. I don't know what these are called; If we had a partial order, these would be called monotone maps. Recall that  $a \rightarrow b$  is the encoding of  $a \leq b$  within the category. Suppose we have a functors between preorders (encoded as categories)  $F : C \rightarrow D$ . Since  $F$  preserves identity arrows, and  $a \leq a$  is encoded as  $\text{id}_a$ , we have that  $F(a) \leq F(a)$  as:

$$F(a \leq a) = F(\text{id}_a) = \text{id}_{F(a)} = F(a) \leq F(a)$$

Similarly, since functors take arrows to arrows, the fact that  $a \leq b$  which is witnessed by an arrow  $a \xrightarrow{f} b$  translates to an arrow  $F(a) \xrightarrow{Ff} F(b)$ , which stands for the relation  $F(a) \leq F(b)$ . Thus, the map indeed preserves the preorder structure. Preservation of composition of arrows preserves transitivity of the order relation.  $\square$

**Question: Exercise 1.3.iii.** Objects and morphisms in the image of a functor  $F : C \rightarrow D$  do not necessarily define a subcategory of  $D$ .

**Proof** Recall that a morphism can *smoosh* objects, thereby creating coalescing the domains and codomains of arrows that used to be disjoint. Concretely, consider the diagram:

$$a \xrightarrow{f} b$$

$$c \xrightarrow{g} d$$

Where we have a category of four objects  $a, b, c, d$  with two disconnected arrow  $f : a \rightarrow b$ , and  $g : c \rightarrow d$ . This is the domain of the functor we will build. The codomain is a three object category:

$$\begin{array}{ccc} x & \xrightarrow{k} & y \\ l \circ k \downarrow & \swarrow l & \\ z & & \end{array}$$

The functor will smoosh the four objects into three with a functor, which sends  $a$  to  $x$ , both  $b, c$  to  $y$ , and  $d$  to  $z$ . Now the image of the functor only has the arrows  $k, l$ , but not the composite  $l \circ k$ , which makes the image NOT a subcategory.

$$\begin{array}{ccc} x : a & \xrightarrow{k:f} & y : b, c \\ l \circ k : \downarrow & \swarrow l:g & \\ z : d & & \end{array}$$

**Question: Exercise 1.3.iv.** Verify that the Hom-set construction is functorial.

**Question: Exercise 1.3.v.** What is the difference between a functor  $F : C^{op} \rightarrow D$  and a functor  $F : C \rightarrow D^{op}$ ?

**Proof** There is no difference. The functor  $C^{op} \rightarrow D$  looks like:

$$\begin{array}{ccc} a & & b \longrightarrow Fa \\ f \downarrow & \Downarrow f_{op} & \downarrow Ff_{op} \\ b & & a \longrightarrow Fb \end{array}$$

while the functor  $G : D \rightarrow C^{op}$  looks like:

$$\begin{array}{ccc} p \longrightarrow Gp & & Gp \\ \downarrow f & Gf \Downarrow & \uparrow Gf \\ q \longrightarrow Gq & & Gq \end{array}$$

Given a functor  $F : C^{op} \rightarrow D$ , we can build an associated functor  $G_F : C \rightarrow D^{op}$ . Consider an arrow  $x \rightarrow fy \in C$ . Dualize it, giving us an arrow  $y_{op} \xrightarrow{f_{op}} x_{op} \in C^{op}$ . Find its image under  $F$ , which gives us an arrow  $F(y_{op}) \xrightarrow{F(f_{op})} F(x_{op}) \in D$ . Dualize this in  $D$ , giving us  $F(x_{op})_{op} \xrightarrow{F(f_{op})_{op}} F(y_{op})_{op} \in D^{op}$ . See that the arrow direction coincides with the domain arrow direction  $x \rightarrow fy \in C$ . So we can build a functor  $H$  which sends the arrow  $x \rightarrow fy \in C$  to the arrow  $F(x_{op})_{op} \xrightarrow{F(f_{op})_{op}} F(y_{op})_{op} \in D^{op}$ . Hence,  $H : C \rightarrow D^{op}$ , defined by  $H(x) \equiv F(x_{op})_{op}$  and  $H(f) \equiv F(f_{op})_{op}$ . By duality, we get the other direction where we start from  $F' : C \rightarrow D^{op}$  and end at  $H' : C^{op} \rightarrow D$ . Thus, the two are equivalent.

In a nutshell, the diagram is:

$$\begin{array}{ccccc}
 a & b \longrightarrow Fb & \Longrightarrow & a \longrightarrow Fa & Fb \\
 f \downarrow & \downarrow f_{op} & & \downarrow f & \downarrow (Ff)_{op} \\
 b & a \longrightarrow Fa & \Longrightarrow & b \longrightarrow Fb & Fa
 \end{array}$$

**Question: Exercise 1.3.vi.** Given the comma category  $F \downarrow G$ , define the domain and codomain projection functors  $dom : F \downarrow G \rightarrow F$  and  $codom : F \downarrow G \rightarrow G$ .

Recall that an object in the comma category is a triple  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$ , or diagrammatically:

$$\begin{array}{ccc}
 d \in D & & e \in E \\
 F: D \downarrow & & \downarrow G \\
 Fd \in C & \xrightarrow{f} & Ge \in C
 \end{array}$$

and a morphism in such a category is a diagram:

$$\begin{array}{ccc}
 (d, e, f) & & Fd \xrightarrow{f} Ge \\
 \downarrow (\alpha \downarrow \beta) & & \downarrow \alpha \quad \downarrow \beta \\
 (d', e', f') & & Fd' \xrightarrow{f'} Ge'
 \end{array}$$

We construct the domain functor  $dom$  as a functor that sends an object  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$  to an object  $d \in D$ . It sends the morphism between  $(d, e, f)$  and  $(d', e', f')$ , given by  $(\alpha : Fd \rightarrow Fd', \beta : Ge \rightarrow Ge')$  to the arrow  $Fd \xrightarrow{\alpha} Fd' \in D$ .

In a diagram, this looks like:

$$\begin{array}{ccc}
 (d, e, f) & Fd \xrightarrow{f} Ge & Fd \\
 \downarrow (\alpha \downarrow \beta) & \downarrow \alpha \quad \downarrow \beta & \downarrow \alpha \\
 (d', e', f') & Fd' \xrightarrow{f'} Ge' & Fd'
 \end{array} \xrightarrow{dom}$$



*codom* will do the same thing, by stripping out the codomain of the comma instead of the domain.  $\square$

**Question: Exercise 1.3.vii.** Define slice category as special case of the comma category.

**Proof** To define the slice  $C/c$  whose objects are of the form  $d \rightarrow c$  for varying  $d \in C$ , we pick the category  $D = C, E = C$ , and functors  $F : C \rightarrow C = id$ ,  $G : C \rightarrow C = \delta_c$ , that is, the constant functor which smooshes the entire  $C$  category into the object  $c \in C$  by mapping all objects to  $c$  and all arrows to  $id_c$ .

This causes the diagram to collapse down to objects of the form  $d \rightarrow c$ , and the arrows to be what we'd expect  $\square$ .

**Question: Exercise 1.3.viii.** Show that functors need not reflect isomorphisms. for a functor  $F : C \rightarrow D$ , and a morphisms  $f \in C$  such that  $Ff$  is an isomorphism in  $D$  but  $f$  is not an isomorphism in  $C$ .

Pick a category  $C$  and an object  $o \in C$ . Build the constant functor  $\delta_o : C \rightarrow C$ . The image of every arrow  $c \xrightarrow{a} c'$  is the identity arrow  $id_o$  which is an iso. The arrow  $a$  need not be iso. The functor  $\delta_o$  does not reflect isos.  $\square$

**Question: Exercise 1.3.ix.** Consider the not-yet-functors  $Grp \rightarrow Grp$  that sends a group to its center, comutator subgroup, and automorphism group. Are these functors if we limit the category  $Grp$  to have (a) only isomorphisms? (b) only epimorphisms? (c) all homomorphisms?

**Proof [(isos)]** If we have (a) only isomorphisms, then these are indeed functors, since an isomorphism  $G \simeq H$  implies that their group theoretic properties are identical. Thus, we will have  $Z(G) \simeq Z(H)$ , ie, isomorphic centers. Thus, an iso arrow  $f : G \rightarrow H$  becomes an iso arrow  $Z(f) : Z(G) \rightarrow Z(H)$ . The exact same happens for commutator and automorphism.  $\square$

**Proof [(epis)]** If we only have epimorphisms, we first invoke given footnote 29, that all epis in Group are surjections. Thus, given an epi (surjection)  $\phi : G \twoheadrightarrow H$ , we identify  $im(\phi) \simeq G/ker(\phi)$  or  $H \simeq G/ker(\phi)$ , since  $H \simeq im(\phi)$  by  $\phi$  being a surjection. So we can choose to study only quotient maps  $\phi : G \rightarrow G/ker\phi$ .

For the center, consider the determinant map  $|\cdot| : GL(2, \mathbb{R}) \rightarrow \mathbb{R}^\times$ . This map is surjective since we can pick the matrix  $\begin{bmatrix} 1 & 0 \\ 0 & r \end{bmatrix}$  to get all possible determinants for arbitrary  $r \in \mathbb{R}$ . The center of the group of matrices is scalar multiples of the identity, thus  $Z(GL(2, \mathbb{R})) = \{kI : k \in \mathbb{R}\}$ . The center of the reals  $Z(\mathbb{R}^\times)$  is the reals themselves since it's an abelian group. Now see that the determinant of a matrix  $kI$  must be  $k^2$ , since we get two copies of  $k$  along the diagonal. Thus, the image  $\phi(Z(GL(2, \mathbb{R}))) = \{k^2 : k \in \mathbb{R}\} = \mathbb{R}_{\geq 0}$  which is smaller than the center of the image,  $Z(\phi(GL(2, \mathbb{R}))) = Z(\mathbb{R}^\times) = \mathbb{R}^\times$ . Thus, **the center not functorial on epis.**

## 1.4 NATURAL TRANSFORMATIONS

1.4.1 *Musing**Torsion decomposition*

Let  $TA$  be the subgroup of  $A$  that have finite order.

- The idea is to first show that any natural transformation of the identity functor  $\eta : 1 \Rightarrow 1$  is multiplication by some  $n \in \mathbb{Z}$  (recall that every abelian group is a  $\mathbb{Z}$ -module, so this is a sensible thing to say).
- Let's study the component of  $\eta$  at  $\mathbb{Z}$ . This means that we have an arrow at  $1(\mathbb{Z}) \xrightarrow{\eta(id)} 1(\mathbb{Z})$ , which is  $\mathbb{Z} \rightarrow \eta(id)\mathbb{Z}$  since identity functor leaves objects and arrow invariant. Any arrow  $\mathbb{Z} \xrightarrow{\eta(id)} \mathbb{Z}$  is a multiplication by some natural number.
- Now consider a homomorphism  $f : \mathbb{Z} \rightarrow A$ . This is determined entirely by  $f(1) \in A$ , so any such map is the same as picking an element  $a \in A$ .
- Let's now consider the isomorphism  $A \twoheadrightarrow A/TA \hookrightarrow TA \oplus (A/TA) \simeq A$ . If this isomorphism were natural, then we would have a natural endomorphism of the identity functor  $\alpha : 1 \rightarrow 1$ .
- Let's observe  $\alpha$  at  $\mathbb{Z}$ . We already know that such a transformation is given by  $\mathbb{Z} \xrightarrow{\alpha} \mathbb{Z}$ , which is multiplication by a number  $n \neq 0$  (can't be zero since we need an isomorphism).
- Now consider  $C \equiv \mathbb{Z}/2n\mathbb{Z}$  where  $n$  is the  $\alpha$  scale factor. See that  $T(\mathbb{Z}/2n\mathbb{Z}) = \mathbb{Z}/2n\mathbb{Z}$ . So we get the factoring as  $\mathbb{Z}/2n\mathbb{Z} \twoheadrightarrow 0 \hookrightarrow \mathbb{Z}/2n\mathbb{Z} \oplus 0 \simeq \mathbb{Z}/2n\mathbb{Z}$ . Since we factor through zero, the full map is the zero map. However, we know from the natural transformation that the natural transformation must scale all elements by  $n \neq 0$ . So we break naturality

The big thing I don't understand in this is why we need to factor *through* the epi. If I directly define  $A \rightarrow (A/TA) \oplus TA$ , given by the exact sequence  $0 \hookrightarrow TA \hookrightarrow A \twoheadrightarrow A/TA \rightarrow 0$ ? Ah I see, this sequence need not always split.

*Walking arrow for unnatural isomorphism*

Consider the category  $I \equiv (0 \rightarrow 1)$ . Consider functors  $F : I \rightarrow \text{Vec}(\mathbb{R})$ . The functor picks out morphisms between real vector spaces. If we consider endomorphisms, I could consider a functor  $F_{id}$  that picked out the identity map from  $\mathbb{R}$  to  $\mathbb{R}$ , and another  $F_0$  that picked out the constant linear function  $f(x) = 0$  from  $\mathbb{R}$  to  $\mathbb{R}$ . These have the same domain and range, but the actual action of the arrow is wildly different. So, for a natural transformation to be natural, it's not enough to have the same action on objects (clearly!)

*Permutations and total orderings for unnatural isomorphism*

Consider a subcategory of  $\text{Set}$  containing only bijections. Define the functor  $\text{Perm} : \text{Set} \rightarrow \text{Set}$  which takes a set  $S$  to its set of permutations, where a permutation is a bijection  $S \rightarrow S$ , and the functor  $\text{Ord} : \text{Set} \rightarrow \text{Set}$  which takes a set  $S$  to its total orderings, where a total ordering is a bijection  $\{1, 2, \dots, |S|\} \rightarrow S$ . We claim that there is no natural transformation between

these two functors. To see why, let us study the situation on the smallest non-trivial case, a two element set  $\{a, b\}$ .

With the chosen arrow as  $id : [a \mapsto a; b \mapsto b]$ , we get the commutative diagram for the naturality square as:

$$\begin{array}{ccc}
 [a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a] & \xrightarrow{Perm(id_A)(f)=id_A \circ f \circ id_A^{-1}=f} & [a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a] \\
 \downarrow \eta_A & & \downarrow \eta_A \\
 [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a] & \xrightarrow{Ord(id_A)(f)=id_A \circ f=f} & [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a] \\
 & & \downarrow \text{equal} \\
 & & [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a]
 \end{array}$$

While with the chosen arrow as  $\sigma : [a \mapsto b; b \mapsto a]$  we get the non-commuting diagram for the naturality square as:

$$\begin{array}{ccc}
 [a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a] & \xrightarrow{Perm(\sigma)(f)=\sigma \circ f \circ \sigma^{-1}} & [b \mapsto b; a \mapsto a][b \mapsto a; a \mapsto b] \\
 \downarrow \eta_A & & \downarrow \eta_A \\
 [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a] & \xrightarrow{Ord(\sigma)(f)=\sigma \circ f} & [2 \mapsto b; 1 \mapsto a][2 \mapsto a; 1 \mapsto b] \\
 & & \downarrow \text{not equal} \\
 & & [1 \mapsto b; 2 \mapsto a][1 \mapsto a; 2 \mapsto b]
 \end{array}$$

We see that we cannot define a single  $\eta_A$  that works in both cases.

#### Group as category v/s poset category

in poset as category, objects carry most of the structure, not many arrows. In group as category, only one object, many arrows.

#### 1.4.2 Exercises

**Question: Exercise 1.4.i.** Let  $\alpha : F \Rightarrow G$  be a natural isomorphism. Show that the inverses of the components define a natural isomorphism  $\alpha^{-1} : G \Rightarrow F$ .

We need to show that the square with ? in it commutes, given the square on top:

$$\begin{array}{ccccc}
x & & Fx & \xrightarrow{\eta(x)} & Gx \\
a \downarrow & & Fa \downarrow & & \downarrow Ga \\
y & & Fy & \xrightarrow{\eta(y)} & Gy
\end{array}$$
  

$$\begin{array}{ccccc}
Gx & \xrightarrow{\eta^{-1}(x)} & Fx \\
Ga \downarrow & & \downarrow Fa \\
& ? & \\
Gy & \xrightarrow{\eta^{-1}(y)} & Fy
\end{array}$$

From the square, we know that  $Ga \circ \eta(x) = \eta(y) \circ Fa$ . Using inverses, we derive:

$$\begin{aligned}
Ga \circ \eta(x) &= \eta(y) \circ Fa \\
Ga \circ \eta(x) \circ \eta^{-1}(x) &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
Ga \circ id_x &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
Ga &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
\eta^{-1}(y) \circ Ga &= \eta^{-1}(y) \circ \eta(y) \circ Fa \circ \eta^{-1}(x) \\
\eta^{-1}(y) \circ Ga &= id_y \circ Fa \circ \eta^{-1}(x) \\
\eta^{-1}(y) \circ Ga &= Fa \circ \eta^{-1}(x)
\end{aligned}$$

which is exactly the diagram:

$$\begin{array}{ccccc}
x & & Gx & \xrightarrow{\eta^{-1}(x)} & Fx \\
a \downarrow & & Ga \downarrow & & \downarrow Fa \\
y & & Gy & \xrightarrow{\eta^{-1}(y)} & Fy
\end{array}$$

$\eta^{-1}(y) \circ Ga = Fa \circ \eta^{-1}(x)$

**Question: Exercise 1.4.ii.** What is a natural transformation between a parallel pair of functors between groups regarded as one object categories?

**Proof** Let  $G, H$  be groups regarded as one object categories, so elements are arrows. A functor  $F : G \rightarrow H$  is a group homomorphism. Two functors  $F, F' : G \rightarrow H$  are two group homomorphisms. A natural transformation is a map  $\eta : G \rightarrow H$  which for every (the only) object  $*_G \in G$ , assigns an arrow  $\eta(*_G) : F(*_G) \xrightarrow{\eta(*_G)} G(*_G)$  which is compatible with all arrows:

$$\begin{array}{ccc}
F(*_G) \in H & \xrightarrow{\eta(*_G)} & F'(*_G) \in H \\
\downarrow F(g) & & \downarrow F'(g) \\
F(*_G) \in H & \xrightarrow{\eta(*_G)} & F'(*_G) \in H
\end{array}$$

Simplifying the diagram by substituting  $F(*) = F'(*) = *$ , and setting  $\alpha \equiv \eta(*_G) \in \text{Hom}(*_H, *_H)$ , we get:

$$\begin{array}{ccc}
*_H & \xrightarrow{\alpha \equiv \eta(*_G)} & *_H \\
F(g) \downarrow & & \downarrow F'(g) \\
*_H & \xrightarrow{\alpha \equiv \eta(*_G)} & *_H
\end{array}$$

So we are looking for an arrow (group element)  $\alpha \in H$  such that for all  $g \in G$ ,  $F'(g) \cdot \alpha = \alpha \cdot F(g)$ . On rearranging:  $\alpha^{-1} \cdot F'(g) \cdot \alpha = F(g)$ . So it gives a sort of “inner automorphism” from  $F$  to  $F'$ .  $\square$

**Question: Exercise 1.4.iii.** What is a natural transformation between a parallel pair of functors between preorders regarded as categories?

**Proof** We regard preorders as thin categories, where there is an most arrow from  $p \rightarrow p'$  if  $p \leq p'$ . A functor from  $(P, \leq)$  to  $(Q, \leq)$  is a monotone map. A pair of functors  $F, G : P \rightarrow Q$  is a pair of monotone maps. A natural transformation  $\eta : F \Rightarrow G$  makes for each  $p \in P$  the diagram commute:

$$\begin{array}{ccccc}
p & & F(p) & \xrightarrow{\eta(p)} & G(p) \\
\downarrow p < p' & & \downarrow F(p < p') & & \downarrow G(p < p') \\
p' & & F(p') & \xrightarrow{\eta(p')} & G(p')
\end{array}$$

So, for every  $p \leq p'$ , the functor  $F$  maps us to elements  $F(p) \leq F(p')$ , and  $G$  maps us to elements  $G(p) \leq G(p')$ . The natural transformation  $\eta$  asks to witness an arrow  $F(p) \xrightarrow{\eta(p)} G(p)$ , which means that we must have  $F(p) \leq G(p)$  within the category  $Q$ , and similarly for  $p'$ . Thus, it witnesses that  $G$  is always *above*  $F$ . For any element  $p \in P$ , we will always have  $F(p) \leq G(p)$ , in a way that is consistent with the monotonicity of  $F, G$ .

**Question: Exercise 1.4.iv.** Prove that distinct parallel morphisms  $f, g : c \rightrightarrows d$  define distinct natural transformations  $f_*, g_* : C(-, c) \Rightarrow C(-, d)$  by precomposition.

Recall that the natural transformation by  $f_*$  is given for a fixed  $o \xrightarrow{a} o'$  by  $\text{Hom}(o, c) \xrightarrow{f_* \equiv f \circ -} \text{Hom}(o, d)$ , and similarly for  $g_*$  by  $\text{Hom}(o, c) \xrightarrow{g_* \equiv g \circ -} \text{Hom}(o, d)$ . If we choose  $o = c$ , then we can consider  $\text{Hom}(c, c)$ . Let's then see where  $\text{id}_c \in \text{Hom}(c, c)$  gets mapped to:

$$\begin{aligned}
\text{Hom}(o, c) &\xrightarrow{f_* \equiv f \circ -} \text{Hom}(o, d) \\
\text{Hom}(o = c, c) &\xrightarrow{f_* \equiv f \circ -} \text{Hom}(o = c, d) \\
\text{Hom}(c, c) &\xrightarrow{f_* \equiv f \circ -} \text{Hom}(c, d) \\
id_c \in \text{Hom}(c, c) &\xrightarrow{f_* \equiv f \circ -} f \circ id_c \in \text{Hom}(c, d) \\
id_c \in \text{Hom}(c, c) &\xrightarrow{f_* \equiv f \circ -} f \in \text{Hom}(c, d)
\end{aligned}$$

So we map  $id \in \text{Hom}(c, c)$  into  $f \in \text{Hom}(c, d)$  by  $f_*$ . Since there was nothing special about  $f$ , we similarly map  $id \in \text{Hom}(c, c)$  into  $g \in \text{Hom}(c, d)$  by  $g_*$ . Since the two morphisms are distinct, we have  $f \neq g$ . Thus, the two distinct parallel morphisms  $f, g$  natural transformations  $f_*$  and  $g_*$  are inequivalent since they have different components on the element  $c$ :  $f_*(c) : \text{Hom}(c, c) \rightarrow \text{Hom}(c, d)$  is not the same action as  $g_*(c) : \text{Hom}(c, c) \rightarrow \text{Hom}(c, d)$ , since they act differently on  $id_c \in \text{Hom}(c, c)$ , as  $f_*(c)(id_c) = f \neq g = g_*(c)(id_c)$ .

**Question: Exercise 1.4.v.** Consider the comma category  $F \downarrow G$  for  $F : D \rightarrow C, G : E \rightarrow C$ . Construct a canonical natural transformation  $\alpha : F \circ \text{dom} \rightarrow G \circ \text{codom}$ :

$$\begin{array}{ccc}
F \downarrow G & \xrightarrow{\quad \text{codom} \quad} & E \\
\uparrow \text{dom} & \nearrow \eta & \downarrow G \\
D & \xleftarrow{\quad F \quad} & C
\end{array}$$

**Proof**

Recall that elements  $k, k' \in F \downarrow G$  and arrows  $k \xrightarrow{a} k'$  is given by:

$$\begin{array}{ccc}
k \equiv (d, e, Fd \xrightarrow{a_k} Ge) & & Fd \xrightarrow{a_k} Ge \\
\downarrow a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e') & & \begin{array}{ccc} F(a_d) \downarrow & & \downarrow G(a_e) \\ Fd' & \xrightarrow{a'_k} & Ge' \end{array} \\
k' \equiv (d', e', Fd' \xrightarrow{a'_k} Ge') & & 
\end{array}$$

We need to make this diagram commute for all  $k, k' \in F \downarrow G$

$$\begin{array}{ccc}
F \circ \text{dom}(k) & \xrightarrow{\eta(k)} & G \circ \text{codom}(k) \\
\downarrow F \circ \text{dom}(a) & & \downarrow G \circ \text{codom}(k) \\
F \circ \text{dom}(k') & \xrightarrow{\eta(k')} & G \circ \text{codom}(k')
\end{array} = \begin{array}{ccc}
d & \xrightarrow{\eta(k)} & e \\
\downarrow Fa_d & & \downarrow Ga_e \\
d' & \xrightarrow{\eta(k')} & e'
\end{array}$$

To show the equality between the left square and right square, we simplify using the definitions of  $k, k'$ :

- $k \equiv (d, e, Fd \xrightarrow{a_k} Ge), k' \equiv (d', e', Fd' \xrightarrow{a'_k} Ge')$ .
- $a : k \rightarrow k'$  is given by  $a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e')$  such that the diagram commutes.
- $\text{dom}(a) = a_d. F(\text{dom}(a)) = Fa_d.$  Similarly,  $\text{codom}(a) = a_e,$  and  $G(\text{codom}(a)) = Ga_e.$
- $\text{dom}(k) = d. F(\text{dom}(k)) = Fd. \text{codom}(k) = e. G(\text{codom}(k)) = Ge.$

By comparing the simplified naturality square to the square in the *definition of arrow in the comma category*, we find that we can pick  $\eta(k) \equiv a_k,$  and  $\eta(k') \equiv a'_k,$  the only data of  $k$  and  $k'$  we have not used so far! This causes the diagram to commute by definition of what it means to have a morphism in a comma category. To be crystal clear, we compare the two diagrams:

condition for $a$ in $C$	in $F \downarrow G$
$  \begin{array}{ccc}  Fd & \xrightarrow{\quad a_k \quad} & Ge \\  \downarrow Fa_d & & \downarrow Ga_e \\  Fd' & \xrightarrow{\quad a'_k \quad} & Ge'  \end{array}  $	$  \begin{array}{c}  k \equiv (d, e, Fd \xrightarrow{a_k} Ge) \\  \downarrow a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e') \\  k' \equiv (d', e', Fd' \xrightarrow{a'_k} Ge')  \end{array}  $
condition for $\eta$ in $C$	
$  \begin{array}{ccc}  Fd & \xrightarrow{\quad \eta(k) \quad} & Ge \\  \downarrow Fa_d & & \downarrow Ga_e \\  Fd' & \xrightarrow{\quad \eta(k') \quad} & Ge'  \end{array}  $	

**Question: Exercise 1.4.vi.** Why do extranatural transforms need a common target?

I don't understand the question. We need the same common target category to have a common space for the diagrams to live. But this feels too naive, so I'm not sure what it is I'm missing.

## 1.5 1.5: EQUIVALENCE OF CATEGORIES

### 1.5.1 Musings

**Proof: Equivalence of categories implies full, faithful, essentially surjective**

I reproduce the proof in a way that makes sense to me, since this feels like the first somewhat non-trivial theorem we have proven.

**Equivalence is faithful:** Let us have two arrows  $c \xrightarrow{p} d$  and  $c \xrightarrow{q} d$ . We wish to show that if  $Fc \xrightarrow{Fp} Fd$  equals  $Fc \xrightarrow{Fq} Fd$ , then  $p$  equals  $q$ . So  $Fp = Fq \implies p = q$ . The idea is to apply  $G$  to get  $GFp = GFq$ , at which point we can apply  $\eta : 1_C \rightarrow GF$  to convert from  $GFp, GFq$  into  $p, q$ . Witness the diagram:

$$\begin{array}{ccccc}
 & & c \xrightarrow{1p} d & & c \xrightarrow{p} d & & c \xrightarrow{p} d \\
 & & \eta_c \downarrow & & \uparrow \eta_c^{-1} & & \uparrow \text{id}_c \\
 Fc & \xrightarrow{Fp} & Fd & & GFc & & GFd \\
 = \downarrow & & & & \downarrow \eta_d & & \downarrow \eta_d^{-1} \\
 Fc & \xrightarrow{Fq} & Fd & & GFc & & GFd \\
 & & \uparrow \eta_c & & \uparrow \eta_c & & \uparrow \eta_d \\
 & & c \xrightarrow{q} d & & c \xrightarrow{q} d & & c \xrightarrow{q} d
 \end{array}$$

In text, the proof proceeds as:

- Start by  $Fc \xrightarrow{Fp} Fd = Fc \xrightarrow{Fq} Fd$
- Augment by applying  $\eta : 1 \Rightarrow FG$ ,  $\eta^{-1} : FG \Rightarrow 1$  to the left and the right, giving

$$(c \xrightarrow{p} d) \xRightarrow{\eta} (Fc \xrightarrow{Fp} Fd) = (Fc \xrightarrow{Fq} Fd) \xRightarrow{\eta^{-1}} (c \xrightarrow{q} d)$$

- Collapse along the equality, apply composition  $\eta^{-1} \circ \eta = \text{id}$  giving:

$$(c \xrightarrow{p} d) \xRightarrow{\text{id}} (c \xrightarrow{q} d)$$

- Thus, we derive  $p = q$  starting from  $Fp = Fq$ .  $\square$

**Equivalence is full:** Suppose we are given an arrow  $(Fc \xrightarrow{q} Fd)$  (Note that this **does not** give us an arrow  $(d \xrightarrow{q} d')$  — we know that the objects in question are in the image of the functor). We must show that there is a pre-image of the arrow  $q$ , so we expect an arrow  $(c \xrightarrow{p} d)$  such that  $Fp = q$ . Let's do the obvious thing, and pull back along  $G$  to get:

$$\begin{array}{ccc}
 Fc & \xrightarrow{q} & Fd \\
 \\ 
 c & \xrightarrow{?} & d \\
 \eta_c \downarrow & & \downarrow \eta_d \\
 GFc & \xrightarrow{Gq} & GFd
 \end{array}
 \qquad
 \begin{array}{ccc}
 c & \xrightarrow{p = \eta_d^{-1} \circ Gq \circ \eta_c} & d \\
 \eta_c \downarrow & & \uparrow \eta_d^{-1} \\
 GFc & \xrightarrow{GFp = Gq} & GFd
 \end{array}$$

So we define an arrow  $p \equiv \eta_d^{-1} \circ Gq \circ \eta_c$  since it seems to be the "right arrow" for our use case. By the commutativity of the diagram, we have that



$GFp = Gq$ . Since  $G$  is faithful (as proven above), we have  $Fp = q$  and so we are done, as we have established a pre-image arrow  $p$  for the given  $q$ .

**Equivalence is essentially surjective:** Let  $d \in D$ . We must find a  $c \in C$  such that  $F(c) \simeq d$ . Let's try the obvious candidate,  $G(d) \in C$ . We get  $F(G(d))$ , which we must show is isomorphic to  $d$ . Recall that we have a natural isomorphism  $\epsilon : FG \Rightarrow 1_D$ . We invoke  $\epsilon_d$  to get the isomorphism  $FGd \xrightarrow{\epsilon_d} d$ . It is invertible since the isomorphism  $\epsilon$  is invertible, with inverse arrow  $d \xrightarrow{\epsilon_d^{-1}} FGd$  such that they are inverses of each other.

### 1.5.2 Exercises 1.5

#### Question: Exercise 1.5.i.

First, let's recall the category  $\mathbf{2}$ :

$$0 \xrightarrow{(0 \rightarrow 1)} 1$$

Now when we take the product of some category  $C$  with  $\mathbf{2}$ , get as objects  $\cup_{c \in C} \{(c, 0), (c, 1)\}$  and as arrows we get three types:

- Cross arrows from  $(-, 0)$  to  $(-, 1)$ :  $\{(c, 0) \xrightarrow{(a, 0 \rightarrow 1)} (d, 1) : c, d \in C; a \in \text{Hom}(c, d)\}$
- Arrows within the component  $(-, 0)$ :  $\{(c, 0) \xrightarrow{(a, \text{id}_0)} (d, 0) : c, d \in C; a \in \text{Hom}(c, d)\}$
- Arrows within the component  $(-, 1)$ :  $\{(c, 1) \xrightarrow{(a, \text{id}_1)} (d, 1) : c, d \in C; a \in \text{Hom}(c, d)\}$

If we now have a functor  $H : C \times \mathbf{2} \rightarrow D$ , we can recover the functors  $F, G$  by considering the commutative square:

$$\begin{array}{ccc} H(c, 0) & \xrightarrow{H(f, \text{id}_0)} & H(d, 0) \\ \downarrow H(\text{id}_c, 0 \rightarrow 1) & & \downarrow H(\text{id}_d, 0 \rightarrow 1) \\ H(c, 1) & \xrightarrow{H(f, \text{id}_1)} & H(d, 1) \end{array}$$

Where the top row is  $F$ , bottom row is  $G$ , and top-to-bottom morphism is the natural transformation  $\eta$ :

$$\begin{array}{ccc} Fc \simeq H(c, 0) & \xrightarrow{Ff \simeq H(f, \text{id}_0)} & H(d, 0) \simeq Fd \\ \downarrow \eta_c \simeq H(\text{id}_c, 0 \rightarrow 1) & & \downarrow H(\text{id}_d, 0 \rightarrow 1) \simeq \eta_d \\ Gc \simeq H(c, 1) & \xrightarrow{Gf \simeq H(f, \text{id}_1)} & H(d, 1) \simeq Gd \end{array}$$

I haven't drawn one arrow, that of  $H(f, 0 \rightarrow 1)$ . The diagram we have above only tells us that the arrows have the right shape. It does not tell us that the diagram actually *commutes*. We need to prove that  $Gf \circ \eta_c = \eta_d \circ Ff$ .

The crux is to show that both of these are equal to  $H(f, 0 \rightarrow 1)$  by functoriality of  $H$ :

$$\begin{array}{ccc}
 Fc \simeq H(c, 0) & \xrightarrow{Ff \simeq H(f, id_0)} & H(d, 0) \simeq Fd \\
 \eta_c \simeq H(id_c, 0 \rightarrow 1) \downarrow & \searrow H(f, 0 \rightarrow 1) & \downarrow H(id_d, 0 \rightarrow 1) \simeq \eta_d \\
 Gc \simeq H(c, 1) & \xrightarrow{Gf \simeq H(f, id_1)} & H(d, 1) \simeq Gd
 \end{array}$$

Since in the original category we have  $f \circ id_c = f$  and  $id_1 \circ (0 \rightarrow 1) = id_1$ , we combine these equations to get  $(f, id_1) \circ (id_c, 0 \rightarrow 1) = (f, 0 \rightarrow 1)$ . Similarly, we show that  $(id_d, 0 \rightarrow 1) \circ (f, id_0) = (f, 0 \rightarrow 1)$ . Thus, the diagram does indeed commute, and what we have is a natural transformation.

**Question: Exercise 1.5.ii.** Define a category  $\Gamma$  whose objects are finite sets, and whose morphisms from  $S$  to  $T$  are maps  $\theta : S \rightarrow 2^T$  where  $\theta(\alpha)$  and  $\theta(\beta)$  are disjoint when  $\alpha \neq \beta$ . The composite map is given by  $\psi(\alpha) = \cup_{\beta \in \theta(\alpha)} \phi(\beta)$  [set/list monad]. Prove that  $\Gamma$  is equivalent to the opposite of the category  $Fin_*$  of finite pointed sets.

- I can see why it is the opposite of finite sets.
- The arrow  $\theta : S \rightarrow 2^T$  records the data of fibers of maps  $T \rightarrow S$ .
- Define  $f_\theta : (T, t_*) \rightarrow (S, s_*)$  given by  $f(t) = s$  when  $t \in \theta(s)$ . We are guaranteed such an  $s$  is unique since all sets  $\theta(s)$  is disjoint.
- At this stage, we also see why we need pointed sets. If there is no  $s$  such that  $t \in \theta(s)$ , then define  $f(t) = s_*$ , the basepoint of  $S$ . This is the “basepoint encoding” of partial functions.
- The above shows that the functor is full and faithful (each arrow in  $Fin_*$  has a corresponding unique arrow in  $\Gamma$ ), and surjective (not just essentially surjective), and thus the functor is an equivalence of categories.

**Question: Exercise 1.5.iii.**

Recall that the data of the isomorphism of objects  $a \simeq a'$  is given by morphisms  $\alpha : a \rightarrow a'$  and  $\alpha^{-1} : a' \rightarrow a$  such that  $\alpha^{-1} \circ \alpha : a \rightarrow a \simeq id_a$  and  $\alpha \circ \alpha^{-1} : a' \rightarrow a' \simeq id_{a'}$ . Similarly, posit a  $\beta$  to witness  $b \simeq b'$ . Now the square on the left gives us the equation  $\beta \circ f \circ \alpha^{-1} = f'$ . We compose with  $\beta^{-1}, \alpha$  to get the other squares:

$$\begin{array}{ccc}
 \alpha \circ \alpha^{-1} = id & a \xrightleftharpoons[\alpha^{-1}]{\alpha} a' & \begin{array}{ccc} a & \xleftarrow{\alpha^{-1}} & a' \\ \downarrow f & \beta \circ f \circ \alpha^{-1} = f' & \downarrow f' \\ f & \xrightarrow{\beta} & f' \end{array} \\
 \beta \circ \beta^{-1} = id & b \xrightleftharpoons[\beta^{-1}]{\beta} b' &
 \end{array}$$

- $\beta \circ f \circ \alpha^{-1} = f'$  implies  $f \circ \alpha^{-1} = \beta^{-1} \circ f'$ .
- $\beta \circ f \circ \alpha^{-1} = f'$  implies  $\beta \circ f = f' \circ \alpha$ .
- $\beta \circ f \circ \alpha^{-1} = f'$  implies  $f = \beta^{-1} \circ f' \circ \alpha$ .

**Question: Exercise 1.5.iv.**

**Question: 1.5.v A faithful functor need not reflect isos.**

- High level idea: take a faithful functor  $F : C \rightarrow D$  adjoin arrows into  $D$  to make arrows in  $D$  isos, see that this does not reflect.
- consider a category  $C \equiv (a \xrightarrow{p} b)$ . Map into a category  $D$  with arrows  $x \xrightarrow{s} y$  and  $y \xrightarrow{s^{-1}} x$  where  $s, s^{-1}$  are inverses of each other.
- The functor  $F : C \equiv (a \xrightarrow{p} b) \rightarrow (x \xrightarrow{s} y)$  is faithful but does not reflect isos.

**Question: 1.5.vii Construct inverse of inclusion of automorphism of some object of groupoid into groupoid.**

- Let  $O$  be a connected groupoid and let  $G$  the automorphism group of some object in  $O$ .
- The inclusion  $I : BG \hookrightarrow O$  defines an equivalence of categories.
- We need to define the inverse functor  $G : O \rightarrow BG$ .
- First define the basepoint  $b \equiv I(*)$ , the image of the unique object in the category  $BG$ .
- To define the inverse functor  $F : O \rightarrow BG$ , send all objects of  $O$  to the object  $*$ . This defines  $F(-) = *$  on objects.
- For each object  $o \in O$ , pick a special "path morphism"  $path(o, b) \in Hom(o, b)$ .
- Send each morphism  $a \in Hom(o, o)$  to the morphism  $path(o, b) \circ a \circ path(o, b)^{-1} \in Hom(b, b)$  (conjugate all loops to move basepoint). Send all other morphisms in  $Hom(o, o')$  where  $o \neq o'$  to the identity morphism  $id_* \in Hom(*, *)$ .
- TODO: prove that this is functorial. This is tedious: draw the right pictures.

**Question: 1.5.viii.**

**Question: 1.5.ix.**

- Let  $F : C \rightleftarrows D : G$  be an equivalence of categories. Let  $D$  be locally small. We must show that  $C$  is locally small.
- Recall that we must have  $G : D \rightarrow C$  to be full, faithful, and essentially surjective as it witnesses an equivalence of categories. As  $D$  is locally small, all hom-sets  $Hom_D(X, Y)$  are small.

- Since  $G : D \rightarrow C$  is full, the image  $\text{Hom}_C(Gx, Gy)$  is surjective, and thus  $\text{Hom}_C(Gx, Gy)$  can have cardinality at most that of  $\text{Hom}_D(x, y)$  which is already small. Thus  $\text{Hom}_C(Gx, Gy)$  is also locally small. This settles the question for all Hom-sets in the image of  $G$ .
- Consider elements  $c, d \in C$  which are not in the image of  $G : D \rightarrow C$ . Since the functor  $G$  is essentially surjective, we must have elements  $Gx, Gy$  such that  $c \simeq Gx$  and  $d \simeq Gy$ . In particular, this implies that  $\text{Hom}_D(c, d) = \text{Hom}_D(Gx, Gy)$ . This reduces this case to the previous case, showing that these Hom-sets too are locally small.