

# Category theory in context

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Monsoon, second year of the plague

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# CATEGORIES, FUNCTORS, NATURAL TRANSFORMATIONS

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## 1.1 ABSTRACT AND CONCRETE CATEGORIES

## 1.2 DUALITY

### 1.2.1 *Musing*

How does one remember mono is is  $gk = gl \implies k = l$  and vice versa?

### 1.2.2 *Solutions*

**Question Lemma 1.2.3.**  $f : x \rightarrow y$  is an isomorphism iff it defines a bijection  $f_* : C(c, x) \rightarrow C(c, y)$ .

**Proof** [( $f$  is iso  $\implies$  post composition with  $f$  induces bijection)]  
Let  $f : x \rightarrow y$  be an isomorphism. Thus we have an inverse arrow  $g : y \rightarrow x$  such that  $fg = id_y$ ,  $gf = id_x$ . The map:

$$C(c, x) \xrightarrow{f_*} C(c, y) : (\alpha : c \rightarrow x) \mapsto (f\alpha : c \rightarrow y)$$

has a two sided inverse:

$$C(c, y) \xrightarrow{g_*} C(c, x) : (\beta : c \rightarrow y) \mapsto (g\beta : c \rightarrow x)$$

which can be checked as  $g_*(f_*(\alpha)) = g_*(f\alpha) = gf\alpha = id_x\alpha = \alpha$ , and similarly for  $f_*(g_*(\beta))$ . Hence we are done, as the iso induces a bijection of hom-sets.  $\square$

**Proof** [(post-composition with  $f$  is bijection implies  $f$  is iso)] We are given that the post composition by  $f$ ,  $f_* : C(c, x) \rightarrow C(c, y)$  is a bijection. We need to show that  $f$  is an isomorphism, which means that there exists a function  $g$  such that  $fg = id_y$  and  $gf = id_x$ . Since post-composition is a bijection for all  $c$ , pick  $c = y$ . This tells us that the post-composition  $f_* : C(y, x) \rightarrow C(y, y)$  is a bijection. Since  $id_y \in C(y, y)$ ,  $id_y$  an inverse image  $g \equiv f_*^{-1}(id_y)$ . [We choose to call this map  $g$ ]. By definition of  $f_*^{-1}$ , we have that  $f_*(f_*^{-1}(id_y)) = id_y$ , which means that  $fg = id_y$ . We also need to show that  $gf = id_x$ . To show this, consider  $f_*(gf) = fgf = (fg)f = (1_y)f = f$ . We also have

that  $f_*(id_x) = fid_x = f$ . Since  $f_*$  is a bijection, we have that  $id_x = gf$  and we are done.  $\square$

$$\begin{array}{c}
 \begin{array}{ccc}
 C(y,x) & \xrightarrow{f_*} & C(y,y) \\
 \downarrow & & \downarrow \\
 g \equiv f_*^{-1}(id_y) & \xleftarrow{id_y} & id_y \\
 \uparrow f_*^{-1} & & \uparrow \\
 & & f_* \text{ is bijective.}
 \end{array}
 \end{array}
 \quad
 \begin{array}{l}
 \text{by def:} \\
 \textcircled{a} \quad f_*(f_*^{-1}(id_y)) = id_y \Rightarrow f_* g = id_y \\
 \textcircled{b} \quad f_*(g_b) = f_* g_b = (f_*)b = id_y b = b = f_* id_x = f_*(id_x) \\
 \quad \quad \quad \underbrace{f_*(g_b) = f_*(id_x)}_{f_* \text{ is injective}} \Rightarrow g_b = id_x
 \end{array}$$

Iso is bijection of hom-sets

**Question Q 1.2.ii.** Show that  $f : x \rightarrow y$  is split epi iff for all  $c \in C$ , post composition  $f \circ - : C(c, x) \rightarrow C(c, y)$  is a surjection.

**Proof** [(split epi implies post composition is surjective)] Let  $f : e \rightarrow b$  be split epi, and thus possess a section  $s : b \rightarrow e$  such that  $fs = id_b$ . We wish to show that post composition  $C(c, e) \xrightarrow{f_*} C(c, b)$  is surjective. So pick any  $g \in C(c, b)$ . Define  $sg \in C(c, e)$ . See:

$$f_*(sg) = fsg = (fs)g = id_b g = g$$

. Hence, for all  $g \in C(c, b)$  there exists a pre-image under  $f_*$ ,  $sg \in C(c, e)$ . Thus,  $f_*$  is surjective since every element of codomain has a pre-image.  $\square$

**Proof** [(post composition is surjective implies split epi)] Let  $f : e \rightarrow b$  be a morphism such that for all  $c \in C$ , we have  $C(c, e) \xrightarrow{f_*} C(c, b)$  is surjective. We need to show that there exists a morphism  $s : b \rightarrow e$  such that  $fs = id_b$ . Set  $c = b$ . This gives us a surjection  $C(b, e) \xrightarrow{f_*} C(b, b)$ . Pick an inverse image of  $id_b \in C(b, b)$ . That is, pick any function  $s \in f_*^{-1}(id_b)$ . By definition, of  $s$  being in the fiber of  $id_b$ , we have that  $f_*(s) = fs = id_b$ . Thus means that we have found a function  $s$  such that  $fs = id_b$ . Thus we are done.  $\square$

**Question Q 1.2.iii.** Mono is closed under composition, and if  $gf$  is monic then so is  $f$ .

**Proof** [(Mono is closed under composition)] Let  $f : x \rightarrow y, g : y \rightarrow z$  be monomorphisms (Recall that  $f$  is a monomorphism iff for any  $\alpha, \beta$ , if  $f\alpha = f\beta$  then  $\alpha = \beta$ ). We are to show that  $gf : x \rightarrow z$  is monic. Consider this diagram which shows that  $gfk = gfl$  for arbitrary  $k, l : a \rightarrow x$ . We wish to show that  $k = l$ .

$$\begin{array}{ccccc}
 a & \xrightarrow{k} & x & \xrightarrow{f} & y & \xrightarrow{g} & z \\
 a & \xrightarrow{l} & x & \xrightarrow{f} & y & \xrightarrow{g} & z
 \end{array}$$

Since  $g$  is mono, we can cancel it from  $gfk = gfl$ , giving us  $fk = fl$ . Since  $f$  is mono, we can once again cancel it, giving us  $k = l$  as desired. Hence, we are done.  $\square$ .

**Proof** [(If  $gf$  is monic then so is  $f$ )] Let us assume that  $fk = fl$  for arbitrary  $l$ . We wish to show that  $k = l$ . We show this by applying  $g$ , giving us  $fk = fl \implies gfk = gfl$ . As  $gf$  is monic, we can cancel, giving us  $gfk = gfl \implies k = l$ .  $\square$ .

**Question Q 1.2.iv.** What are monomorphisms in category of fields?

**Proof** Claim: All morphisms are monomorphisms in the category of fields. Let  $f : K \rightarrow L$  be an arbitrary field morphism. Consider the kernel of  $f$ . It can either be  $\{0\}$  or  $K$ , since those are the only two ideals of  $K$ . However, the kernel can't be  $K$ , since that would send 1 to 0 which is an illegal ring map. Thus, the map  $f$  has trivial kernel, therefore is an injection, is left-cancellable, is a monomorphism.  $\square$

**Question Q 1.2.v.** Show that the ring map  $i : \mathbb{Z} \rightarrow \mathbb{Q}$  is both monic and epic but not iso.

**Proof** [ $i$  is not iso] No ring map  $i : \mathbb{Z} \rightarrow \mathbb{Q}$  can be iso since the rings are different (eg.  $\mathbb{Q}$  is a field).  $\square$

**Proof** [ $i$  is epic] To show that it's epic, we must show that given for arbitrary  $f, g : \mathbb{Q} \rightarrow R$  that  $fi = gi$ :

$$\begin{aligned} \mathbb{Z} &\xrightarrow{i} \mathbb{Q} \xrightarrow{f} R \\ \mathbb{Z} &\xrightarrow{i} \mathbb{Q} \xrightarrow{g} R \end{aligned}$$

implies that  $f = g$ . Let  $fi : \mathbb{Z} \rightarrow R = gi$ . Then, the functions  $f, g$  are uniquely determined since  $\mathbb{Q}$  is the field of fractions of  $\mathbb{Z}$ , thus a ring map  $\mathbb{Z} \rightarrow R$  extends uniquely to a ring map  $\mathbb{Q} \rightarrow R$ . Let's assume that  $f(i(z)) = g(i(z))$  for all  $z$ , and show that  $f = g$ . Consider arbitrary  $p/q \in \mathbb{Q}$  for  $p, q \in \mathbb{Z}$ . Let's evaluate:

$$f(p/q) = f(p)f(q)^{-1} = f(i(p)) \cdot f(i(q))^{-1} = g(i(p)) \cdot g(i(q))^{-1} = g(p/q)$$

which shows that  $f(p/q) = g(p/q)$  for all  $p, q$ . Thus, we can extend a ring function defined on the integers to rationals uniquely, hence  $fi = gi \implies f = g$  showing that  $i$  is epic.  $\square$

**Proof** [ $i$  is monic] given two arbitrary maps  $k, l : R \rightarrow \mathbb{Z}$ , if  $ik = il$  then we must have  $k = l$ . Given  $ik = il$ , since  $i$  is an injection of  $\mathbb{Z}$  into  $\mathbb{Q}$ , we must have  $k = l$ .

**Question Q 1.2.vi.** Mono + split epi iff iso.

**Proof** [Iso is mono + split epi] Iso is both left and right cancellable. Hence it's mono and epi. It splits because the inverse of the iso splits it.  $\square$ .

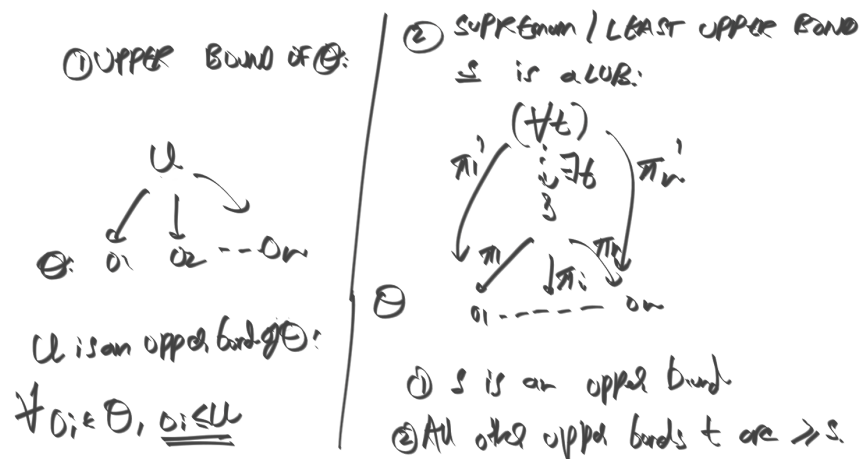
**Proof** [mono + split epi is iso] Let  $f : e \rightarrow b$  be mono (for all  $k, l : p \rightarrow e$ ,  $fk = fl \implies k = l$ ) and split epi (there exists  $s : b \rightarrow e$  such that  $fs : b \rightarrow b = id_b$ ). We need to show it's iso. That is, there exists a  $g : b \rightarrow e$  such that  $fg = id_b$  and  $gf = id_e$ . I claim that  $g \equiv s$ . We already know that  $fg = fs = id_b$  from  $f$  being split epi. We need to check that  $gf = sf = id_e$ . Consider:

$$fsf = (fs)f = id_b f = f = fid_e$$

Hence, we have that  $f(sf) = f(id_e)$ . Since  $f$  is mono, we conclude that  $sf = id_e$ . We are done since we have found a map  $s$  such that  $fs = id_b, sf = id_e$ .

**Question 1.2.vii.** Regarding a poset a category, define the supremum of a subcollection, such that the dual gives the infimum.

**Proof** We regard an arrow  $a \rightarrow b$  as witnessing that  $a \leq b$ . First define an upper bound of a set  $O$  to be an object  $u$  such that for all  $o \in O$ , we have  $o \leq u$ . Now, the supremum of  $O$  is the least upper bound of  $O$ . That is,  $s$  is a supremum iff  $s$  is an upper bound, and for all other upper bounds  $t$  of  $O$ , we have that  $s \leq t$ . So we draw a diagram showing upper bounds and suprema:



Upper bound and supremum

## 1.3 FUNCTORS

**Question Exercise 1.3.i.** What is a functor between groups, when regarded as one-object categories?

**Proof** It's going to be a group homomorphism. Since, a functor preserves composition, we have that a functor  $F : C \rightarrow D$  preserves the group structure; for elements of the group / isos  $f, g \in \text{Hom}(G, G)$ , we have that the functor obeys  $F(f \circ_G g) = (Ff) \circ_H (Fg)$ , which is exactly the equation we need to preserve group structure. For example, since a functor preserves isomorphisms, an element of the group  $f \in \text{Hom}(G, G)$  is mapped to an invertible element  $F(f) \in \text{Hom}(H, H)$ .  $\square$

**Question Exercise 1.3.ii.** What is a functor between preorders, regarded as a category?

**Proof** Going to be a preorder morphism. I don't know what these are called; If we had a partial order, these would be called monotone maps. Recall that  $a \rightarrow b$  is the encoding of  $a \leq b$  within the category. Suppose we have a functors between preorders (encoded as categories)  $F : C \rightarrow D$ . Since  $F$  preserves identity arrows, and  $a \leq a$  is encoded as  $id_a$ , we have that  $F(a) \leq F(a)$  as:

$$F(a \leq a) = F(id_a) = id_{F(a)} = F(a) \leq F(a)$$

Similarly, since functors take arrows to arrows, the fact that  $a \leq b$  which is witnessed by an arrow  $a \xrightarrow{f} b$  translates to an arrow  $F(a) \xrightarrow{Ff} F(b)$ , which stands for the relation  $F(a) \leq F(b)$ . Thus, the map indeed preserves the preorder structure. Preservation of composition of arrows preserves transitivity of the order relation.  $\square$

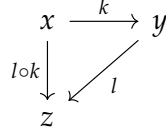
**Question Exercise 1.3.iii.** Objects and morphisms in the image of a functor  $F : C \rightarrow D$  do not necessarily define a subcategory of  $D$ .

**Proof** Recall that a morphism can *smoosh* objects, thereby creating coalescing the domains and codomains of arrows that used to be disjoint. Concretely, consider the diagram:

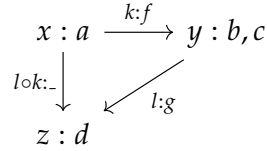
$$a \xrightarrow{f} b$$

$$c \xrightarrow{g} d$$

Where we have a category of four objects  $a, b, c, d$  with two disconnected arrow  $f : a \rightarrow b$ , and  $g : c \rightarrow d$ . This is the domain of the functor we will build. The codomain is a three object category:



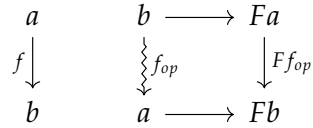
The functor will smoosh the four objects into three with a functor, which sends  $a$  to  $x$ , both  $b, c$  to  $y$ , and  $d$  to  $z$ . Now the image of the functor only has the arrows  $k, l$ , but not the composite  $l \circ k$ , which makes the image NOT a subcategory.



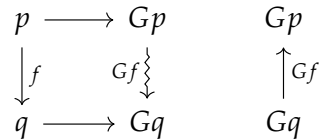
**Question Exercise 1.3.iv.** Very that the Hom-set construction is functorial.

**Question Exercise 1.3.v.** What is the difference between a functor  $F : C^{op} \rightarrow D$  and a functor  $F : C \rightarrow D^{op}$ ?

**Proof** There is no difference. The functor  $C^{op} \rightarrow D$  looks like:



while the functor  $G : D \rightarrow C^{op}$  looks like:



Given a functor  $F : C^{op} \rightarrow D$ , we can build an associated functor  $G_F : C \rightarrow D^{op}$ . Consider an arrow  $x \rightarrow fy \in C$ . Dualize it, giving us an arrow  $y_{op} \xrightarrow{f_{op}} x_{op} \in C^{op}$ . Find its image under  $F$ , which gives us an arrow  $F(y_{op}) \xrightarrow{F(f_{op})} F(x_{op}) \in D$ . Dualize this in  $D$ , giving us  $F(x_{op})_{op} \xrightarrow{F(f_{op})_{op}} F(y_{op})_{op} \in D^{op}$ . See that the arrow direction coincides with the domain arrow direction  $x \rightarrow fy \in C$ . So we can build a functor  $H$  which sends the arrow  $x \rightarrow fy \in C$  to the arrow  $F(x_{op})_{op} \xrightarrow{F(f_{op})_{op}} F(y_{op})_{op} \in D^{op}$ . Hence,  $H : C \rightarrow D^{op}$ , defined by  $H(x) \equiv F(x_{op})_{op}$  and  $H(f) \equiv F(f_{op})_{op}$ . By duality, we get the other direction where we start from  $F' : C \rightarrow D^{op}$  and end at  $H' : C^{op} \rightarrow D$ . Thus, the two are equivalent.

In a nutshell, the diagram is:



$$\begin{array}{ccccc}
a & b \longrightarrow Fb & \Longrightarrow & a \longrightarrow Fa & Fb \\
f \downarrow & \downarrow f_{op} & & \downarrow f & \downarrow (Ff)_{op} \\
b & a \longrightarrow Fa & \Longrightarrow & b \longrightarrow Fb & Fa \\
& & & & \downarrow Ff_{op}
\end{array}$$

**Question Exercise 1.3.vi.** Given the comma category  $F \downarrow G$ , define the domain and codomain projection functors  $dom : F \downarrow G \rightarrow F$  and  $codom : F \downarrow G \rightarrow G$ .

Recall that an object in the comma category is a triple  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$ , or diagrammatically:

$$\begin{array}{ccc}
d \in D & & e \in E \\
F:D \downarrow & & \downarrow G \\
Fd \in C & \xrightarrow{f} & Ge \in C
\end{array}$$

and a morphism in such a category is a diagram:

$$\begin{array}{ccc}
(d, e, f) & Fd \xrightarrow{f} Ge \\
\downarrow (\alpha \downarrow \beta) & \downarrow \alpha \quad \downarrow \beta \\
(d', e', f') & Fd' \xrightarrow{f'} Ge'
\end{array}$$

We construct the domain functor  $dom$  as a functor that sends an object  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$  to an object  $d \in D$ . It sends the morphism between  $(d, e, f)$  and  $(d', e', f')$ , given by  $(\alpha : Fd \rightarrow Fd', \beta : Ge \rightarrow Ge')$  to the arrow  $Fd \xrightarrow{\alpha} Fd' \in D$ .

In a diagram, this looks like:

$$\begin{array}{ccc}
\begin{array}{ccc}
(d, e, f) & Fd \xrightarrow{f} Ge \\
\downarrow (\alpha \downarrow \beta) & \downarrow \alpha \quad \downarrow \beta \\
(d', e', f') & Fd' \xrightarrow{f'} Ge'
\end{array} & \xrightarrow{dom} & \begin{array}{c} Fd \\ \downarrow \alpha \\ Fd' \end{array}
\end{array}$$

$codom$  will do the same thing, by stripping out the codomain of the comma instead of the domain.  $\square$

**Question Exercise 1.3.vii.** Define slice category as special case of the comma category.

**Proof** To define the slice  $C/c$  whose objects are of the form  $d \rightarrow c$

for varying  $d \in C$ , we pick the category  $D = C, E = C$ , and functors  $F : C \rightarrow C = id, G : C \rightarrow C = \delta_c$ , that is, the constant functor which smooshes the entire  $C$  category into the object  $c \in C$  by mapping all objects to  $c$  and all arrows to  $id_c$ .

This causes the diagram to collapse down to objects of the form  $d \rightarrow c$ , and the arrows to be what we'd expect  $\square$ .

**Question Exercise 1.3.viii.** Show that functors need not reflect isomorphisms. for a functor  $F : C \rightarrow D$ , and a morphisms  $f \in C$  such that  $Ff$  is an isomorphism in  $D$  but  $f$  is not an isomorphism in  $C$ .

Pick a category  $C$  and an object  $o \in C$ . Build the constant functor  $\delta_o : C \rightarrow C$ . The image of every arrow  $c \xrightarrow{a} c'$  is the identity arrow  $id_o$  which is an iso. The arrow  $a$  need not be iso. The functor  $\delta_o$  does not reflect isos.  $\square$

**Question Exercise 1.3.ix.** Consider the not-yet-functors  $Grp \rightarrow Grp$  that sends a group to its center, comutator subgroup, and automorphism group. Are these functors if we limit the category  $Grp$  to have (a) only isomorphisms? (b) only epimorphisms? (c) all homomorphisms?

**Proof [(isos)]** If we have (a) only isomorphisms, then these are indeed functors, since an isomorphism  $G \simeq H$  implies that their group theoretic properties are identical. Thus, we will have  $Z(G) \simeq Z(H)$ , ie, isomorphic centers. Thus, an iso arrow  $f : G \rightarrow H$  becomes an iso arrow  $Z(f) : Z(G) \rightarrow Z(H)$ . The exact same happens for commutator and automorphism.  $\square$

**Proof [(epis)]** If we only have epimorphisms, we first invoke given footnote 29, that all epis in Group are surjections. Thus, given an epi (surjection)  $\phi : G \twoheadrightarrow H$ , we identify  $im(\phi) \simeq G/ker(\phi)$  or  $H \simeq G/ker(\phi)$ , since  $H \simeq im(\phi)$  by  $\phi$  being a surjection. So we can choose to study only quotient maps  $\phi : G \rightarrow G/ker\phi$ .

For the center, consider the determinant map  $|\cdot| : GL(2, \mathbb{R}) \rightarrow \mathbb{R}^\times$ .

This map is surjective since we can pick the matrix  $\begin{bmatrix} 1 & 0 \\ 0 & r \end{bmatrix}$  to get all possible determinants for arbitrary  $r \in \mathbb{R}$ . The center of the group of matrices is scalar multiples of the identity, thus  $Z(GL(2, \mathbb{R})) = \{kI : k \in \mathbb{R}\}$ . The center of the reals  $Z(\mathbb{R}^\times)$  is the reals themselves since it's an abelian group. Now see that the determinant of a matrix  $kI$  must be  $k^2$ , since we get two copies of  $k$  along the diagonal. Thus, the image  $\phi(Z(GL(2, \mathbb{R}))) = \{k^2 : k \in \mathbb{R}\} = \mathbb{R}_{\geq 0}$  which is smaller than the center of the image,  $Z(\phi(GL(2, \mathbb{R}))) = Z(\mathbb{R}^\times) = \mathbb{R}^\times$ . Thus, **the center not functorial on epis.**

## 1.4 NATURAL TRANSFORMATIONS

1.4.1 *Musing**Torsion decomposition*

Let  $TA$  be the subgroup of  $A$  that have finite order.

- The idea is to first show that any natural transformation of the identity functor  $\eta : 1 \Rightarrow 1$  is multiplication by some  $n \in \mathbb{Z}$  (recall that every abelian group is a  $\mathbb{Z}$ -module, so this is a sensible thing to say).
- Let's study the component of  $\eta$  at  $\mathbb{Z}$ . This means that we have an arrow at  $1(\mathbb{Z}) \xrightarrow{\eta(id)} 1(\mathbb{Z})$ , which is  $\mathbb{Z} \rightarrow \eta(id)\mathbb{Z}$  since identity functor leaves objects and arrow invariant. Any arrow  $\mathbb{Z} \xrightarrow{\eta(id)} \mathbb{Z}$  is a multiplication by some natural number.
- Now consider a homomorphism  $f : \mathbb{Z} \rightarrow A$ . This is determined entirely by  $f(1) \in A$ , so any such map is the same as picking an element  $a \in A$ .
- Let's now consider the isomorphism  $A \twoheadrightarrow A/TA \hookrightarrow TA \oplus (A/TA) \simeq A$ . If this isomorphism were natural, then we would have a natural endomorphism of the identity functor  $\alpha : 1 \rightarrow 1$ .
- Let's observe  $\alpha$  at  $\mathbb{Z}$ . We already know that such a transformation is given by  $\mathbb{Z} \xrightarrow{\alpha} \mathbb{Z}$ , which is multiplication by a number  $n \neq 0$  (can't be zero since we need an isomorphism).
- Now consider  $C \equiv \mathbb{Z}/2n\mathbb{Z}$  where  $n$  is the  $\alpha$  scale factor. See that  $T(\mathbb{Z}/2n\mathbb{Z}) = \mathbb{Z}/2n\mathbb{Z}$ . So we get the factoring as  $\mathbb{Z}/2n\mathbb{Z} \twoheadrightarrow 0 \hookrightarrow \mathbb{Z}/2n\mathbb{Z} \oplus 0 \simeq \mathbb{Z}/2n\mathbb{Z}$ . Since we factor through zero, the full map is the zero map. However, we know from the natural transformation that the natural transformation must scale all elements by  $n \neq 0$ . So we break naturality.

The big thing I don't understand in this is why we need to factor *through* the epi. If I directly define  $A \rightarrow (A/TA) \oplus TA$ , given by the exact sequence  $0 \hookrightarrow TA \hookrightarrow A \twoheadrightarrow A/TA \rightarrow 0$ ? Ah I see, this sequence need not always split.

1.4.2 *Exercises*

**Question Exercise 1.4.i.** Let  $\alpha : F \Rightarrow G$  be a natural isomorphism. Show that the inverses of the components define a natural isomorphism  $\alpha^{-1} : G \Rightarrow F$ .

We need to show that the square with ? in it commutes, given the square on top:

$$\begin{array}{ccccc}
 x & & Fx & \xrightarrow{\eta(x)} & Gx \\
 a \downarrow & & Fa \downarrow & & \downarrow Ga \\
 y & & Fy & \xrightarrow{\eta(y)} & Gy
 \end{array}$$
  

$$\begin{array}{ccccc}
 & & Gx & \xrightarrow{\eta^{-1}(x)} & Fx \\
 & & \downarrow Ga & & \downarrow Fa \\
 & & Gy & \xrightarrow{\eta^{-1}(y)} & Fy
 \end{array}$$

?

From the square, we know that  $Ga \circ \eta(x) = \eta(y) \circ Fa$ . Using inverses, we derive:

$$\begin{aligned}
 Ga \circ \eta(x) &= \eta(y) \circ Fa \\
 Ga \circ \eta(x) \circ \eta^{-1}(x) &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
 Ga \circ id_x &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
 Ga &= \eta(y) \circ Fa \circ \eta^{-1}(x) \\
 \eta^{-1}(y) \circ Ga &= \eta^{-1}(y) \circ \eta(y) \circ Fa \circ \eta^{-1}(x) \\
 \eta^{-1}(y) \circ Ga &= id_y \circ Fa \circ \eta^{-1}(x) \\
 \eta^{-1}(y) \circ Ga &= Fa \circ \eta^{-1}(x)
 \end{aligned}$$

which is exactly the diagram:

$$\begin{array}{ccccc}
 x & & Gx & \xrightarrow{\eta^{-1}(x)} & Fx \\
 a \downarrow & & \downarrow Ga & & \downarrow Fa \\
 y & & Gy & \xrightarrow{\eta^{-1}(y)} & Fy
 \end{array}$$

$\eta^{-1}(y) \circ Ga = Fa \circ \eta^{-1}(x)$

**Question Exercise 1.4.ii.** What is a natural transformation between a parallel pair of functors between groups regarded as one object categories?

**Proof** Let  $G, H$  be groups regarded as one object categories, so elements are arrows. A functor  $F : G \rightarrow H$  is a group homomorphism.

Two functors  $F, F' : G \rightarrow H$  are two group homomorphisms. An natural transformation is a map  $\eta : G \rightarrow H$  which for every (the only) object  $*_G \in G$ , assigns an arrow  $\eta(*_G) : F(*_G) \xrightarrow{\eta(*_G)} G(*_G)$  which is compatible with all arrows:

$$\begin{array}{ccc} F(*_G) \in H & \xrightarrow{\eta(*_G)} & F'(*_G) \in H \\ \downarrow F(g) & & \downarrow F'(g) \\ F(*_G) \in H & \xrightarrow{\eta(*_G)} & F'(*_G) \in H \end{array}$$

Simplifying the diagram by substituting  $F(*) = F'(*) = *$ , and setting  $\alpha \equiv \eta(*_G) \in \text{Hom}(*_H, *_H)$ , we get:

$$\begin{array}{ccc} *_H & \xrightarrow{\alpha \equiv \eta(*_G)} & *_H \\ F(g) \downarrow & & \downarrow F'(g) \\ *_H & \xrightarrow{\alpha \equiv \eta(*_G)} & *_H \end{array}$$

So we are looking for an arrow (group element)  $\alpha \in H$  such that for all  $g \in G$ ,  $F'(g) \cdot \alpha = \alpha \cdot F(g)$ . On rearranging:  $\alpha^{-1} \cdot F'(g) \cdot \alpha = F(g)$ . So it gives a sort of “inner automorphism” from  $F$  to  $F'$ .  $\square$

**Question Exercise 1.4.iii.** What is a natural transformation between a parallel pair of functors between preorders regarded as categories?

**Proof** We regard preorders as thin categories, where there is an most arrow from  $p \rightarrow p'$  if  $p \leq p'$ . A functor from  $(P, \leq)$  to  $(Q, \leq)$  is a monotone map. A pair of functors  $F, G : P \rightarrow Q$  is a pair of monotone maps. A natural transformation  $\eta : F \Rightarrow G$  makes for each  $p \in P$  the diagram commute:

$$\begin{array}{ccccc} p & & F(p) & \xrightarrow{\eta(p)} & G(p) \\ \downarrow p < p' & & \downarrow F(p < p') & & \downarrow G(p < p') \\ p' & & F(p') & \xrightarrow{\eta(p')} & G(p') \end{array}$$

So, for every  $p \leq p'$ , the functor  $F$  maps us to elements  $F(p) \leq F(p')$ , and  $G$  maps us to elements  $G(p) \leq G(p')$ . The natural transformation  $\eta$  asks to witness an arrow  $F(p) \xrightarrow{\eta(p)} G(p)$ , which means that we must have  $F(p) \leq G(p)$  within the category  $Q$ , and similarly for  $p'$ . Thus, it witnesses that  $G$  is always *above*  $F$ . For any element  $p \in P$ , we will always have  $F(p) \leq G(p)$ , in a way that is consistent with the monotonicity of  $F, G$ .

**Question Exercise 1.4.iv.** Prove that distinct parallel morphisms  $f, g : c \rightrightarrows d$  define distinct natural transformations  $f_*, g_* : C(-, c) \Rightarrow C(-, d)$  by pre-composition.

Recall that the natural transformation by  $f_*$  is given for a fixed  $o \xrightarrow{a} o'$  by  $Hom(o, c) \xrightarrow{f_* \equiv f \circ -} Hom(o, d)$ , and similarly for  $g_*$  by  $Hom(o, c) \xrightarrow{g_* \equiv g \circ -} Hom(o, d)$ . If we choose  $o = c$ , then we can consider  $Hom(c, c)$ . Let's then see where  $id_c \in Hom(c, c)$  gets mapped to:

$$\begin{aligned} Hom(o, c) &\xrightarrow{f_* \equiv f \circ -} Hom(o, d) \\ Hom(o = c, c) &\xrightarrow{f_* \equiv f \circ -} Hom(o = c, d) \\ Hom(c, c) &\xrightarrow{f_* \equiv f \circ -} Hom(c, d) \\ id_c \in Hom(c, c) &\xrightarrow{f_* \equiv f \circ -} f \circ id_c \in Hom(c, d) \\ id_c \in Hom(c, c) &\xrightarrow{f_* \equiv f \circ -} f \in Hom(c, d) \end{aligned}$$

So we map  $id \in Hom(c, c)$  into  $f \in Hom(c, d)$  by  $f_*$ . Since there was nothing special about  $f$ , we similarly map  $id \in Hom(c, c)$  into  $g \in Hom(c, d)$  by  $g_*$ . Since the two morphisms are distinct, we have  $f \neq g$ . Thus, the two distinct parallel morphisms  $f, g$ . natural transformations  $f_*$  and  $g_*$  are inequivalent since they have different components on the element  $c$ :  $f_*(c) : Hom(c, c) \rightarrow Hom(c, d)$  is not the same action as  $g_*(c) : Hom(c, c) \rightarrow Hom(c, d)$ , since they act differently on  $id_c \in Hom(c, c)$ :  $f_*(c)(id_c) = f \neq g = g_*(c)(id_c)$ .

**Question Exercise 1.4.v.** Consider the comma category  $F \downarrow G$  for  $F : D \rightarrow C, G : E \rightarrow C$ . Construct a canonical natural transformation  $\alpha : F \circ dom \rightarrow G \circ codom$ :

$$\begin{array}{ccc} F \downarrow G & \xrightarrow{\quad codom \quad} & E \\ \uparrow dom & \nearrow \eta & \downarrow G \\ D & \xleftarrow{\quad F \quad} & C \end{array}$$

**Proof**

Recall that elements  $k, k' \in F \downarrow G$  and arrows  $k \xrightarrow{a} k'$  is given by:

$$\begin{array}{ccc}
k \equiv (d, e, Fd \xrightarrow{a_k} Ge) & & Fd \xrightarrow{a_k} Ge \\
\downarrow a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e') & & \downarrow F(a_d) \quad \downarrow G(a_e) \\
k' \equiv (d', e', Fd' \xrightarrow{a_{k'}} Ge') & & Fd' \xrightarrow{a'_{k'}} Ge'
\end{array}$$

We need to make this diagram commute for all  $k, k' \in F \downarrow G$

$$\begin{array}{ccc}
F \circ \text{dom}(k) & \xrightarrow{\eta(k)} & G \circ \text{codom}(k) \\
\downarrow F \circ \text{dom}(a) & & \downarrow G \circ \text{codom}(a) \\
F \circ \text{dom}(k') & \xrightarrow{\eta(k')} & G \circ \text{codom}(k')
\end{array}
=
\begin{array}{ccc}
d & \xrightarrow{\eta(k)} & e \\
\downarrow Fa_d & & \downarrow Ga_e \\
d' & \xrightarrow{\eta(k')} & e'
\end{array}$$

To show the equality between the left square and right square, we simplify using the definitions of  $k, k'$ :

- $k \equiv (d, e, Fd \xrightarrow{a_k} Ge), k' \equiv (d', e', Fd' \xrightarrow{a'_{k'}} Ge')$ .
- $a : k \rightarrow k'$  is given by  $a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e')$  such that the diagram commutes.
- $\text{dom}(a) = a_d$ .  $F(\text{dom}(a)) = Fa_d$ . Similarly,  $\text{codom}(a) = a_e$ , and  $G(\text{codom}(a)) = Ga_e$ .
- $\text{dom}(k) = d$ .  $F(\text{dom}(k)) = Fd$ .  $\text{codom}(k) = e$ .  $G(\text{codom}(k)) = Ge$ .

By comparing the simplified naturality square to the square in the *definition of arrow in the comma category*, we find that we can pick  $\eta(k) \equiv a_k$ , and  $\eta(k') \equiv a'_{k'}$ , the only data of  $k$  and  $k'$  we have not used so far! This causes the diagram to commute by definition of what it means to have a morphism in a comma category. To be crystal clear, we compare the two diagrams:

condition for  $a$  in  $C$ 

$$\begin{array}{ccc}
 Fd & \xrightarrow{\quad a_k \quad} & Ge \\
 \downarrow Fa_d & & \downarrow Ga_e \\
 Fd' & \xrightarrow{\quad a'_k \quad} & Ge'
 \end{array}$$

in  $F \downarrow G$ 

$$\begin{array}{c}
 k \equiv (d, e, Fd \xrightarrow{a_k} Ge) \\
 \downarrow a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e') \\
 k' \equiv (d', e', Fd' \xrightarrow{a_{k'}} Ge')
 \end{array}$$

condition for  $\eta$  in  $C$ 

$$\begin{array}{ccc}
 Fd & \xrightarrow{\quad \eta(k) \quad} & Ge \\
 \downarrow Fa_d & & \downarrow Ga_e \\
 Fd' & \xrightarrow{\quad \eta(k') \quad} & Ge'
 \end{array}$$

**Question Exercise 1.4.vi.** Define extranatural transformations.