# Category theory in context

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Monsoon, second year of the plague

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## CATEGORIES, FUNCTORS, NATURAL TRANSFORMATIONS

#### 1.1 ABSTRACT AND CONCRETE CATEGORIES

#### 1.2 DUALITY

#### 1.2.1 Musing

How does one remember mono is is  $gk = gl \implies k = l$  and vice versa?

1.2.2 Solutions

**Question Lemma 1.2.3.**  $f: x \to y$  is an isomorphism iff it defines a bijection  $f_*: C(c,x) \to C(c,y)$ .

**Proof** [(f is iso  $\Longrightarrow$  post composition with f induces bijection)] Let  $f: x \to y$  be an isomorphism. Thus we have an inverse arrow  $g: y \to x$  such that  $fg = id_y$ ,  $gf = id_x$ . The map:

$$C(c,x) \xrightarrow{f*} C(c,y) : (\alpha : c \to x) \mapsto (f\alpha : c \to y)$$

has a two sided inverse:

$$C(c,y) \xrightarrow{g*} C(c,x) : (\beta:c \to y) \mapsto (g\beta:c \to x)$$

which can be checked as  $g_*(f_*(\alpha)) = g_*(f\alpha) = gf\alpha = id_x\alpha = \alpha$ , and similarly for  $f_*(g_*(\beta))$ . Hence we are done, as the iso induces a bijection of hom-sets.  $\square$ 

**Proof** [(post-composition with f is bijection implies f is iso)] We are given that the post composition by f,  $f_*: C(c,x) \to C(c,y)$  is a bijection. We need to show that f is an isomorphism, which means that there exists a function g such that  $fg = id_y$  and  $gf = id_x$ . Since post-composition is a bijection for all c, pick c = y. This tells us that the post-composition  $f_*: C(y,x) \to C(y,y)$  is a bijection. Since  $id_y \in C(y,y)$ ,  $id_y$  an inverse image  $g \equiv f_*^{-1}(id_y)$ . [We choose to call this map g]. By definition of  $f_*^{-1}$ , we have that  $f_*(f_*^{-1}(id_y)) = id_y$ , which means that  $fg = id_y$ . We also need to show that  $gf = id_x$ . To show this, consider  $f_*(gf) = fgf = (fg)f = (1_y)f = f$ . We also have that  $f_*(id_x) = fid_x = f$ . Since  $f_*$  is a bijection, we have that  $id_x = gf$  and we are done.  $\Box$ 

Iso is bijection of hom-sets

**Question Q 1.2.ii:.** Show that  $f: x \to y$  is split epi iff for all  $c \in C$ , post composition  $f \circ -: C(c, x) \to C(c, y)$  is a surjection.

**Proof** [(split epi implies post composition is surjective)] Let  $f: e \to b$  be split epi, and thus possess a section  $s: b \to e$  such that  $fs = id_b$ . We wish to show that post composition  $C(c,e) \xrightarrow{f_*} C(c,b)$  is surjective. So pick any  $g \in C(c,b)$ . Define  $sg \in C(c,e)$ . See:

$$f_*(sg) = fsg = (fs)g = id_bg = g$$

. Hence, for all  $g \in C(c, b)$  there exists a pre-image under  $f_*$ ,  $sg \in C(c, e)$ . Thus,  $f_*$  is surjective since every element of codomain has a pre-image.  $\square$ 

**Proof** [(post composition is surjective implies split epi)] Let  $f: e \to b$  be a morphism such that for all  $c \in C$ , we have  $C(c,e) \xrightarrow{f_*} C(c,b)$  is surjective. We need to show that there exists a morphism  $s: b \to e$  such that  $fs = id_b$ . Set c = b. This gives us a surjection  $C(b,e) \xrightarrow{f_*} C(b,b)$ . Pick an inverse image of  $id_b \in C(b,b)$ . That is, pick any function  $s \in f_*^{-1}(id_b)$ . By definition, of s being in the fiber of  $id_b$ , we have that  $f_*(s) = fs = id_b$ . Thus means that we have found a function s such that  $fs = id_b$ . Thus we are done.  $\Box$ 

**Question Q 1.2.iii:.** Mono is closed under composition, and if gf is monic then so is f.

**Proof** [(Mono is closed under composition)] Let  $f: x \to y, g: y \to z$  be monomorphisms (Recall that f is a monomorphism iff for any  $\alpha, \beta$ , if  $f\alpha = f\beta$  then  $\alpha = \beta$ ). We are to show that  $gf: x \to z$  is monic. Consider this diagram which shows that gfk = gfl for arbitrary  $k, l: a \to x$ . We wish to show that k = l.

a --k-
$$\dot{\iota}$$
 x --f-- $\dot{\iota}$  y --g-- $\dot{\iota}$  z a --l- $\dot{\iota}$  x --f-- $\dot{\iota}$  y --g-- $\dot{\iota}$  z

Since g is mono, we can cancel it from gfk = gfl, giving us fk = fl. Since f is mono, we can once again cancel it, giving us k = l as desired. Hence, we are done.  $\Box$ .

**Proof** [(If gf is monic then so is f)] Let us assume that fk = fl for arbitrary l. We wish to show that k = l. We show this by applying g, giving us  $fk = fl \implies gfk = gfl$ . As gf is monic, we can cancel, giving us  $gfk = gfl \implies k = l$ .  $\square$ .

Question Q 1.2.iv. What are monomorphisms in category of fields?

**Proof** Claim: All morphisms are monomorphisms in the category of fields. Let  $f: K \to L$  be an arbitrary field morphism. Consider the kernel of f. It can either be  $\{0\}$  or K, since those are the only two ideals of K. However, the kernel can't be K, since that would send 1 to 0 which is an illegal ring map. Thus, the map f has trivial kernel, therefore is an injection, is left-cancellable, is a monomorphism.  $\square$ 

**Question Q 1.2.v.** Show that the ring map  $i : \mathbb{Z} \to \mathbb{Q}$  is both monic and epic but not iso.

**Proof** [i is not iso] No ring map  $i : \mathbb{Z} \to \mathbb{Q}$  can be iso since the rings are different (eg.  $\mathbb{Q}$  is a field).  $\square$ 

**Proof** [*i* is epic] To show that it's epic, we must show that given for arbitrary  $f, g : \mathbb{Q} \to R$  that fi = gi:

implies that f=g. Let  $fi:\mathbb{Z}\to R=gi$ . Then, the functions f,g are uniquely determined since  $\mathbb{Q}$  is the field of fractions of  $\mathbb{Z}$ , thus a ring map  $\mathbb{Z}\to R$  extends uniquely to a ring map  $\mathbb{Q}\to R$ . Let's assume that f(i(z))=g(i(z)) for all z, and show that f=g. Consider arbitrary  $p/q\in\mathbb{Q}$  for  $p,q\in\mathbb{Z}$ . Let's evaluate:

$$f(p/q) = f(p)f(q)^{-1} = f(i(p)) \cdot f(i(q))^{-1} = g(i(p)) \cdot g(i(q))^{-1} = g(p/q)$$

which shows that f(p/q) = g(p/q) for all p,q. Thus, we can extend a ring function defined on the integers to rationals uniquely, hence  $fi = gi \implies f = g$  showing that i is epic.  $\square$ 

**Proof** [i is monic] given two arbitrary maps  $k, l : R \to \mathbb{Z}$ , if ik = il then we must have k = l. Given ik = il, since i is an injection of  $\mathbb{Z}$  into  $\mathbb{Q}$ , we must have k = l.

**Question Q 1.2.vi.** Mono + split epi iff iso.

**Proof** [Iso is mono + split epi] Iso is both left and right cancellable. Hence it's mono and epi. It splits because the inverse of the iso splits it.  $\Box$ .

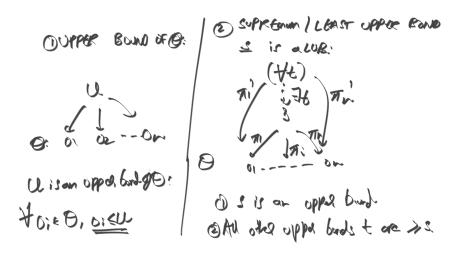
**Proof** [mono + split epi is iso] Let  $f: e \to b$  be mono (for all  $k, l: p \to e$ ,  $fk = fl \implies k = l$ ) and split epi (there exists  $s: b \to e$  such that  $fs: b \to b = id_b$ . We need to show it's iso. That is, there exists a  $g: b \to e$  such that  $fg = id_b$  and  $gf = id_e$ . I claim that  $g \equiv s$ . We already know that  $fg = fs = id_b$  from f being split epi. We need to check that  $gf = sf = id_a$ . Consider:

$$fsf = (fs)f = id_h f = f = fid_e$$

Hence, we have that  $f(sf) = f(id_e)$ . Since f is mono, we conclude that  $sf = id_e$ . We are done since we have found a map s such that  $fs = id_b$ ,  $sf = id_e$ .

**Question 1.2.vii.** Regarding a poset a category, define the supremum of a subcollection, such that the dual gives the infimum.

**Proof** We regard an arrow  $a \to b$  as witnessing that  $a \le b$ . First define an upper bound of a set O to be an object u such that for all  $o \in O$ , we have  $o \le u$ . Now, the supremum of O is the least upper bound of O. That is, s is a supremum iff s is an upper bound, and for all other upper bounds t of O, we have that  $s \le t$ . So we draw a diagram showing upper bounds and suprema:



Upper bound and supremum

#### 1.3 FUNCTORS

**Question Exercise 1.3.i.** What is a functor between groups, when regarded as one-object categories?

**Proof** It's going to be a group homomorphism. Since, a functor preserves composition, we have that a functor  $F:C\to D$  preserves the group structure; for elements of the group / isos  $f,g\in Hom(G,G)$ , we have that the functor obeys  $F(f\circ_G g)=(Ff)\circ_H(Fg)$ , which is exactly the equation we need to preserve group structure. For example, since a functor preserves isomorphisms, an element of the group  $f\in Hom(G,G)$  is mapped to an inverbile element  $F(f)\in Hom(H,H)$ .  $\square$ 

**Question Exercise 1.3.ii.** What is a functor between preorders, regarded as a category?

**Proof** Going to be a preorder morphism. I don't know what these are called; If we had a partial order, these would be called monotone maps. Recall that  $a \to b$  is the encoding of  $a \le b$  within the category. Suppose we have a functors between preorders (encoded as categories)  $F: C \to D$ . Since F preserves identity arrows, and  $a \le a$  is encoded as  $id_a$ , we have that  $F(a) \le F(a)$  as:

$$F(a \le a) = F(id_a) = id_{F(a)} = F(a) \le F(a)$$

Similarly, since functors take arrows to arrows, the fact that  $a \leq b$  which is witnessed by an arrow  $a \xrightarrow{f} b$  translates to an arrow  $F(a) \xrightarrow{Ff} F(b)$ , which stands for the relation  $F(a) \leq F(b)$ . Thus, the map indeed preserves the preorder structure. Preservation of composition of arrows preserves transitivity of the order relation.  $\Box$ 

**Question Exercise 1.3.iii.** Objects and morphisms in the image of a functor  $F: C \to D$  do not necessarily define a subcategory of D.

**Proof** Recall that a morphism can *smoosh* objects, thereby creating coalescing the domains and codomains of arrows that used to be disjoint. Concretely, consider the diagram:

$$a \stackrel{f}{\longrightarrow} b$$

$$c \stackrel{g}{\longrightarrow} d$$

Where we have a category of four objects a, b, c, d with two disconnected arrow  $f: a \to b$ , and  $g: c \to d$ . This is the domain of the functor we will build. The codomain is a three object category:

$$\begin{array}{c|c}
x & \xrightarrow{k} y \\
\downarrow l & \downarrow l \\
z
\end{array}$$

The functor will smoosh the four objects into three with a functor, which sends a to x, both b, c to y, and d to z. Now the image of the functor only has the arrows k, l, but not the composite  $l \circ k$ , which makes the image NOT a subcategory.

$$x: a \xrightarrow{k:f} y: b, c$$

$$\downarrow l:g$$

$$z: d$$

Question Exercise 1.3.iv. Very that the Hom-set construction is functorial.

**Question Exercise 1.3.v.** What is the difference between a functor  $F: C^{op} \to D$  and a functor  $F: C \to D^{op}$ ?

**Proof** There is no difference. The functor  $C^{op} \rightarrow D$  looks like:

$$\begin{array}{cccc} a & & b & \longrightarrow Fa \\ f \downarrow & & & \downarrow^{Ff_{op}} & \downarrow^{Ff_{op}} \\ b & & a & \longrightarrow Fb \end{array}$$

while the functor  $G: D \to C^{op}$  looks like:

$$\begin{array}{ccc}
p & \longrightarrow Gp & Gp \\
\downarrow f & Gf \\
q & \longrightarrow Gq & Gq
\end{array}$$

Given a functor  $F: C^{op} \to D$ , we can build an associated functor  $G_F: C \to D^{op}$ . Consider an arrow  $x \to fy \in C$ . Dualize it, giving us an arrow  $y_{op} \xrightarrow{f_{op}} x_{op} \in C^{op}$ . Find it image under F, which gives us an arrow  $F(y_{op}) \xrightarrow{F(f_{op})} F(x_{op}) \in D$ . Dualize this in D, giving us  $F(x_{op})_{op} \xrightarrow{F(f_{op})} c_{op} F(y_{op}) \in D^{op}$ . See that the arrow direction coincides with the domain arrow direction  $x \to fy \in C$ . So we can build a functor H which sends the arrow  $x \to fy \in C$  to the arrow  $F(x_{op})_{op} \xrightarrow{F(f_{op})} c_{op} F(y_{op}) \in D^{op}$ . Hence,  $H: C \to D^{op}$ , defined by  $H(x) \equiv F(x_{op})_{op}$  and  $H(f) \equiv F(f_{op})_{op}$ . By duality, we get the other direction where we start from  $F': C \to D^{op}$  and end at  $H': C^{op} \to D$ . Thus, the two are equivalent.

In a nutshell, the diagram is:

**Question Exercise 1.3.vi.** Given the comma category  $F \downarrow G$ , define the domain and codomain projection functors  $dom : F \downarrow G \rightarrow F$  and  $codom : F \downarrow G \rightarrow G$ .

Recall that an object in the comma category is a a triple  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$ , or diagramatically:

$$d \in D \qquad e \in E$$

$$f:D \downarrow \qquad \qquad \downarrow G$$

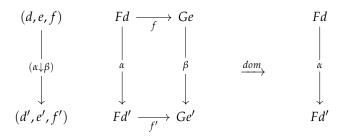
$$fd \in C \xrightarrow{f} Ge \in C$$

and a morphism in such a category is a diagram:

$$\begin{array}{cccc} (d,e,f) & & Fd & \longrightarrow & Ge \\ & | & & | & & | \\ (\alpha \downarrow \beta) & & \alpha & & \beta \\ \downarrow & & \downarrow & & \downarrow \\ (d',e',f') & & Fd' & \longrightarrow & Ge' \\ \end{array}$$

We construct the domain functor dom as a functor that sends an object  $(d \in D, e \in E, F(d) \xrightarrow{f} F(e))$  to an object  $d \in D$ . It sends the morphism between (d, e, f) and (d', e', f'), given by  $(\alpha : Fd \to Fd', \beta : Ge \to Ge')$  to the arrow  $Fd \xrightarrow{\alpha} Fd' \in D$ .

In a diagram, this looks like:



codom will do the same thing, by stripping out the codomain of the comma instead of the domain.  $\Box$ 

**Question Exercise 1.3.vii.** Define slice category as special case of the comma category.

**Proof** To define the slice C/c whose objects are of the form  $d \to c$  for varying  $d \in C$ , we pick the category D = C, E = C, and functors  $F : C \to C = id$ ,  $G : C \to C = \delta_c$ , that is, the constant functor which smooshes the entire C category into the object  $c \in C$  by mapping all objects to c and all arrows to  $id_c$ .

This causes the diagram to collapse down to objects of the form  $d \to c$ , and the arrows to be what we'd expect  $\Box$ .

**Question Exercise 1.3.viii.** Show that functors need not reflect isomorphisms. for a functor  $F: C \to D$ , and a morphisms  $f \in C$  such that Ff is an isomorphism in D but f is not an isomorphism in C.

Pick a category C and an object  $o \in C$ . Build the constant functor  $\delta_o : C \to C$ . The image of every arrow  $c \xrightarrow{a} c'$  is the identity arrow  $id_o$  which is an iso. The arrow a need not be iso. The functor  $\delta_o$  does not reflect isos.  $\square$ 

**Question Exercise 1.3.ix.** Consider the not-yet-functors  $Grp \rightarrow Grp$  that sends a group to its center, comutator subgroup, and automorphism group. Are these functors if we limit the category Grp to have (a) only isomorphisms? (b) only epimorphisms? (c) all homomorphisms?

**Proof** [(isos)] If we have (a) only isomorphisms, then these are indeed functors, since an isomorphism  $G \simeq H$  implies that their group theoretic properties are identical. Thus, we will have  $Z(G) \simeq Z(H)$ , ie, isomorphic centers. Thus, an iso arrow  $f: G \to H$  becomes an iso arrow  $Z(f): Z(G) \to Z(H)$ . The exact same happens for commutator and automorphism.  $\square$ 

**Proof** [(epis)] If we only have epimorphisms, we first invoke given footnote 29, that all epis in Group are surjections. Thus, given an epi (surjection)  $\phi: G \twoheadrightarrow H$ , we identify  $im(\phi) \simeq G/ker(\phi)$  or  $H \simeq G/ker(\phi)$ , since  $H \simeq im(\phi)$  by  $\phi$  being a surjection. So we can choose to study only quotient maps  $\phi: G \to G/ker\phi$ .

For the center, consider the determinant map  $|\cdot|:GL(2,\mathbb{R})\to\mathbb{R}^\times$ . This map is surjective since we can pick the matrix  $\begin{bmatrix} 1 & 0 \\ 0 & r \end{bmatrix}$  to get all possible determinants for arbitrary  $r\in\mathbb{R}$ . The center of the group of matrices is scalar multiples of the identity, thus  $Z(GL(2,\mathbb{R}))=\{kI:k\in\mathbb{R}\}$ . The center of the reals  $Z(\mathbb{R}^\times)$  is the reals themselves since it's an abelian group. Now see that the determinant of a matrix kI must be  $k^2$ , since we get two copies of k along the diagonal. Thus, the image  $\phi(Z(GL(2,\mathbb{R})))=\{k^2:k\in\mathbb{R}\}=\mathbb{R}_{\geq 0}$  which is smaller than the center of the image,  $Z(\phi(GL(2,\mathbb{R})))=Z(\mathbb{R}^\times)=\mathbb{R}^\times$ . Thus, the center not functorial on epis.

#### 1.4 NATURAL TRANSFORMATIONS

#### 1.4.1 Musing

Torsion decomposition

Let *TA* be the subgroup of *A* that have finite order.

- The idea is to first show that any natural transformation of the identity functor  $\eta: 1 \Longrightarrow 1$  is multiplication by some  $n \in \mathbb{Z}$  (recall that every abelian group is a  $\mathbb{Z}$ -module, so this is a sensible thing to say).
- Let's study the component of  $\eta$  at  $\mathbb{Z}$ . This means that we have an arrow at  $1(\mathbb{Z}) \xrightarrow{\eta(id)} 1(\mathbb{Z})$ , which is  $\mathbb{Z} \to \eta(id)\mathbb{Z}$  since identity functor leaves objects and arrow invariant. Any arrow  $\mathbb{Z} \xrightarrow{\eta(id)} \mathbb{Z}$  is a multiplication by some natural number.
- Now consider a homomorphism  $f : \mathbb{Z} \to A$ . This is determined entirely by  $f(1) \in A$ , so any such map is the same as picking an element  $a \in A$ .
- Let's now consider the isomorphism  $A \rightarrow A/TA \rightarrow TA \oplus (A/TA) \simeq A$ . If this isomorphism were natural, then we would have a natural endomorphism of the identity functor  $\alpha : 1 \rightarrow 1$ .
- Let's observe  $\alpha$  at  $\mathbb{Z}$ . We already know that such a transformation is given by  $\mathbb{Z} \xrightarrow{\alpha} \mathbb{Z}$ , which is multiplication b a number  $n \neq 0$  (can't be zero since we need an isomorphism).
- Now consider  $C \equiv \mathbb{Z}/2n\mathbb{Z}$  where n is the  $\alpha$  scale factor. See that  $T(\mathbb{Z}/2n\mathbb{Z}) = \mathbb{Z}/2n\mathbb{Z}$ . So we get the factoring as  $\mathbb{Z}/2n\mathbb{Z} \to 0 \to \mathbb{Z}/2n\mathbb{Z} \oplus 0 \simeq \mathbb{Z}/2n\mathbb{Z}$ . Since we factor through zero, the full map is the zero map. However, we know from the natural transformation that the natural transformation must scale all elements by  $n \neq 0$ . So we break naturality

The big thing I don't understand in this is why we need to factor *through* the epi. If I directly define  $A \to (A/TA) \oplus TA$ , given by the exact sequence  $0 \rightarrowtail TA \rightarrowtail A \twoheadrightarrow A/TA \twoheadrightarrow 0$ ? Ah I see, this sequence need not always split.

Walking arrow for unnatural isomorphism

Consider the category  $I \equiv (0 \to 1)$ . Consider functors  $F: I \to Vec(\mathbb{R})$ . The functor picks out morphsisms between real vector spaces. If we consider endomorphisms, I could consider a functor  $F_{id}$  that picked out the identity map from  $\mathbb{R}$  to  $\mathbb{R}$ , and another  $F_0$  that picked out the constant linear function f(x) = 0 from  $\mathbb{R}$  to  $\mathbb{R}$ . These have the same domain and range, but the actual action of the arrow is wildly different. So, for a natural transformation to be natural, it's not enough to have the same action on objects (clearly!)

Permutations and total orderings for unnatural isomorphism

Consider a subcategory of *Set* containing only bijections. Define the functor  $Perm: Set \rightarrow Set$  which takes a set S to its set of permutations, where a permutation is a bijection  $S \rightarrow S$ , and the functor  $Ord: Set \rightarrow Set$  which takes a set S to its total orderings, where a total ordering is a bijection  $\{1,2,\ldots |S|\} \rightarrow S$ . We claim that there is no natural transformation between

these two functors. To see why, let us study the situation on the smallest non-trivial case, a two element set  $\{a, b\}$ .

With the chosen arrow as  $id : [a \mapsto a; b \mapsto b]$ , we get the commutative diagram for the naturality square as:

$$id_A \equiv [a \mapsto a; b \mapsto b]$$

$$[a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a] \xrightarrow{\qquad \qquad Perm(id_A)(f) = id_A \circ f \circ id_A^{-1} = f} \qquad [a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a]$$
 
$$\qquad \qquad \qquad [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a]$$
 
$$\qquad \qquad Ord(id_A)(f) = id_A \circ f = f$$
 
$$[1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a]$$

While with the chosen arrow as  $\sigma: [a \mapsto b; b \mapsto a]$  we get the non-commuting diagram for the naturality square as:

$$\sigma \equiv [a \mapsto b; b \mapsto a]$$

$$[a \mapsto a; b \mapsto b][a \mapsto b; b \mapsto a] \xrightarrow{Perm(\sigma)(f) = \sigma \circ f \circ \sigma^{-1}} [b \mapsto b; a \mapsto a][b \mapsto a; a \mapsto b]$$

$$\uparrow_{A} \qquad \qquad [2 \mapsto b; 1 \mapsto a][2 \mapsto a; 1 \mapsto b]$$

$$\uparrow_{A} \qquad \qquad [1 \mapsto a; 2 \mapsto b][1 \mapsto b; 2 \mapsto a] \xrightarrow{Ord(\sigma)(f) = \sigma \circ f} [1 \mapsto b; 2 \mapsto a][1 \mapsto a; 2 \mapsto b]$$

We see that we cannot define a single  $\eta_A$  that works in both cases.

#### 1.4.2 Exercises

**Question Exercise 1.4.i.** Let  $\alpha : F \Rightarrow G$  be a natural isomorphism. Show that the inverses of the components define a natural isomorphism  $\alpha^{-1} : G \Rightarrow F$ .

We need to show that the square with ? in it commutes, given the square on top:

$$\begin{array}{cccc}
x & Fx & \xrightarrow{\eta(x)} & Gx \\
\downarrow a & & \downarrow Ga \\
y & Fy & \xrightarrow{\eta(y)} & Gy
\end{array}$$

$$\begin{array}{cccc}
Gx & \xrightarrow{\eta^{-1}(x)} & Fx \\
\downarrow Ga & & \uparrow Fx
\end{array}$$

$$\begin{array}{cccc}
Ga & & ? & \downarrow Fa \\
\downarrow Gy & \xrightarrow{\eta^{-1}(y)} & Fy
\end{array}$$

From the square, we know that  $Ga \circ \eta(x) = \eta(y) \circ Fa$ . Using inverses, we derive:

$$Ga \circ \eta(x) = \eta(y) \circ Fa$$

$$Ga \circ \eta(x) \circ \eta^{-1}(x) = \eta(y) \circ Fa \circ \eta^{-1}(x)$$

$$Ga \circ id_x = \eta(y) \circ Fa \circ \eta^{-1}(x)$$

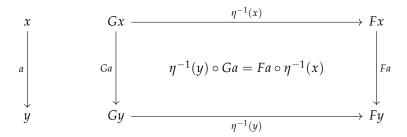
$$Ga = \eta(y) \circ Fa \circ \eta^{-1}(x)$$

$$\eta^{-1}(y) \circ Ga = \eta^{-1}(y) \circ \eta(y) \circ Fa \circ \eta^{-1}(x)$$

$$\eta^{-1}(y) \circ Ga = id_y \circ Fa \circ \eta^{-1}(x)$$

$$\eta^{-1}(y) \circ Ga = Fa \circ \eta^{-1}(x)$$

which is exactly the diagram:



**Question Exercise 1.4.ii.** What is a natural transformation between a parallel pair of functors between groups regarded as one object categories?

**Proof** Let G, H be groups regarded as one object categories, so elements are arrows. A functor  $F: G \to H$  is a group homomorphism. Two functors  $F, F': G \to H$  are two group homomorphisms. An natural transformation is a map  $\eta: G \to H$  which for every (the only) object  $*_G \in G$ , assigns an arrow  $\eta(*_G): F(*_G) \xrightarrow{\eta(*_G)} G(*_G)$  which is compatible with all arrows:

$$F(*_{G}) \in H \xrightarrow{\eta(*_{G})} F'(*_{G}) \in H$$

$$F(g) \qquad F'(g) \qquad F'(g)$$

$$F(*_{G}) \in H \xrightarrow{\eta(*_{G})} F'(*_{G}) \in H$$

Simplifying the diagram by substituting F(\*) = F'(\*) = \*, and setting  $\alpha \equiv \eta(*G) \in Hom(*_H, *_H)$ , we get:

$$*_{H} \xrightarrow{\alpha \equiv \eta(*_{G})} *_{H}$$

$$F(g) \downarrow \qquad \qquad \downarrow F'(g)$$

$$*_{H} \xrightarrow{\alpha \equiv \eta(*_{G})} *_{H}$$

So we are looking for an arrow (group element)  $\alpha \in H$  such that for all  $g \in G$ ,  $F'(g) \cdot \alpha = \alpha \cdot F(g)$ . On rearranging:  $\alpha^{-1} \cdot F'(g) \cdot \alpha = F(g)$ . So it gives a sort of "inner automorphism" from F to F'.  $\square$ 

**Question Exercise 1.4.iii.** What is a natural transformation between a parallel pair of functors between preorders regarded as categories?

**Proof** We regard preorders as thin categories, where there is an most arrow from  $p \to p'$  if  $p \le p'$ . A functor from  $(P, \le)$  to  $(Q, \le)$  is a monotone map. A pair of functors  $F, G: P \to Q$  is a pair of monotone maps. A natural transformation  $\eta: F \Rightarrow G$  makes for each  $p \in P$  the diagram commute:

$$\begin{array}{ccc}
p & F(p) & \xrightarrow{\eta(p)} G(p) \\
\downarrow^{p < p'} & F(p < p') \downarrow & \downarrow^{G(p < p')} \\
p' & F(p') & \xrightarrow{\eta(p)} G(p')
\end{array}$$

So, for every  $p \leq p'$ , the functor F maps us to elements  $F(p) \leq F(p')$ , and G maps us to elements  $G(p) \leq G(p')$ . The natural transformation  $\eta$  asks to witness an arrow  $F(p) \xrightarrow{\eta(p)} G(p)$ , which means that we must have  $F(p) \leq G(p)$  within the category Q, and similarly for p'. Thus, it witnesses that G is always *above* F. For any element  $p \in P$ , we will always have  $F(p) \leq G(p)$ , in a way that is consistent with the monotonicity of F, G.

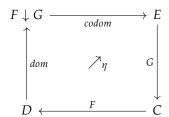
**Question Exercise 1.4.iv.** Prove that distinct parallel morphisms  $f, g : c \to d$  define distinct natural transformations  $f_*, g_* : C(-, c) \Rightarrow C(-, d)$  by precomposition.

Recall that the natural transformation by  $f_*$  is given for a fixed  $o \xrightarrow{a} o'$  by  $Hom(o,c) \xrightarrow{f_* \equiv f \circ -} Hom(o,d)$ , and similarly for  $g_*$  by  $Hom(o,c) \xrightarrow{g_* \equiv g \circ -} Hom(o,d)$ . If we choose o = c, then we can consider Hom(c,c). Let' then see where  $id_c \in Hom(c,c)$  gets mapped to:

$$\begin{split} & Hom(o,c) \xrightarrow{f_* \equiv f \circ -} Hom(o,d) \\ & Hom(o=c,c) \xrightarrow{f^* \equiv f \circ -} Hom(o=c,d) \\ & Hom(c,c) \xrightarrow{f_* \equiv f \circ -} Hom(c,d) \\ & id_c \in Hom(c,c) \xrightarrow{f_* \equiv f \circ -} f \circ id_c \in Hom(c,d) \\ & id_c \in Hom(c,c) \xrightarrow{f_* \equiv f \circ -} f \in Hom(c,d) \end{split}$$

So we map  $id \in Hom(c,c)$  into  $f \in Hom(c,d)$  by  $f_*$ . Since there was nothing special about f, we similarly map  $id \in Hom(c,c)$  into  $g \in Hom(c,d)$  by  $g_*$ . Since the two morphisms are distinct, we have  $f \neq g$ . Thus, the two distinct parallel morphisms f,g. natural transformations  $f_*$  and  $g_*$  are inequivalent since they have different components on the element c:  $f_*(c): Hom(c,c) \to Hom(c,d)$  is not the same action as  $g_*(c): Hom(c,c) \to Hom(c,d)$ , since they act differently on  $id_c \in Hom(c,c)$ , as  $f_*(c)(id_c) = f \neq g = g_*(c)(id_c)$ .

**Question Exercise 1.4.v.** Consider the comma cataegory  $F \downarrow G$  for  $F : D \rightarrow C$ ,  $G : E \rightarrow C$ . Construct a canonical natural transformation  $\alpha : F \circ dom \rightarrow G \circ codom$ :



#### **Proof**

Recall that elements  $k, k \in F \downarrow G$  and arrows  $k \xrightarrow{a} k'$  is given by:

$$k \equiv (d, e, Fd \xrightarrow{a_k} Ge) \qquad Fd \xrightarrow{a_k} Ge$$

$$\downarrow a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e') \qquad F(a_d) \downarrow \qquad \downarrow G(a_e)$$

$$k' \equiv (d', e', Fd' \xrightarrow{a_{k'}} Ge') \qquad Fd' \xrightarrow{a_{k'}} Ge'$$

We need to make this diagram commute for all  $k, k' \in F \downarrow G$ 

$$F \circ dom(k) \xrightarrow{\eta(k)} G \circ codom(k) \qquad \qquad d \xrightarrow{\eta(k)} e \\ \downarrow G \circ codom(k) \qquad \qquad \downarrow F \circ dom(k') \xrightarrow{\eta(k')} G \circ codom(k') \qquad \qquad d' \xrightarrow{\eta(k')} e'$$

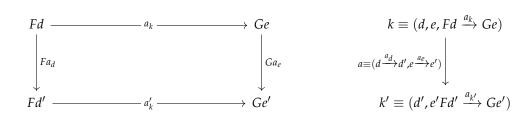
To show the equality between the left square and right square, we simplify using the definitions of k, k':

- $k \equiv (d, e, Fd \xrightarrow{a_k} Ge), k' \equiv (d', e'Fd' \xrightarrow{a'_k} Ge').$
- $a: k \to k'$  is given by  $a \equiv (d \xrightarrow{a_d} d', e \xrightarrow{a_e} e')$  such that the diagram commutes.
- $dom(a) = a_d$ .  $F(dom(a)) = Fa_d$ . Similarly,  $codom(a) = a_e$ , and  $G(codom(a)) = G(a_e)$ .
- dom(k) = d. F(dom(k)) = Fd. codom(k) = e. G(codom(k)) = G(e).

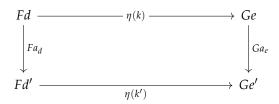
By comparing the simplified naturality square to the square in the *definition* of arrow in the comma category, we find that we can pick  $\eta(k) \equiv a_k$ , and  $\eta(k') \equiv a_k'$ , the only data of k and k' we have not used so far! This causes the diagram to commute by definition of what it means to have a morphism in a comma category. To be crystal clear, we compare the two diagrams:

condition for a in C

in  $F \downarrow G$ 



condition for  $\eta$  in C



**Question Exercise 1.4.vi.** Why do extranatural transforms need a common target?

I don't understand the question. We need the same common target category to have a common space for the diagrams to live. But this feels too naive, so I'm not sure what it is I'm missing.