# Mathematical structures for word embeddings

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#### What's word2vec?

```
def train(corpus: list, DIMSIZE: int):
  train word2vec of dimension DIMSIZE on the given corpus (list of words).
  Eq:train(["the", "man", "was" "tall", "the", "quick", "brown", "fox"], 20)
  vocab = set(corpus); VOCABSIZE = len(vocab)
  # map each unique word to an index for array indexing.
  vocab2ix = dict([(word, ix) for (ix, word) in enumerate(corpus)])
  # +ve and -ve sample vectors.
  # +ve vectors are random initialized, -ve vectors are zero initialized
  poss = np.rand((VOCABSIZE, DIMSIZE)); negs = np.zeros((VOCABSIZE, DIMSIZE))
  for wix in range(len(corpus)): # for every location in the corpus
    w = vocab2ix[corpus[wix]] # find word at location,
    1 = max(wix-WINDOWSIZE, 0): r = min(wix+WINDOWSIZE, len(corpus)-1) # take a window
    for w2ix in range(1, r+1): # word in window
        w2 = vocab2ix[corpus[w2ix]] # prallel.
        learn(l=poss[w], r=negs[w2], target=1.0)
    for _ in range(NNEGSAMPLES): # random words outside window.
        w2ix = random.randint(0, len(corpus)-1) # random word.
        w2 = vocab2ix[corpus[w2ix]]
      learn(l=poss[w], r=negs[w2], target=0.0) # perpendicular
  return { v: poss[vocab2ix[v]] for v in vocab }
```

#### What's word2vec?

```
def learn(1: np.array, r:np.array, target: float):
  aradient descent on
  loss = (target - dot(l. r))^2 where l = larr[lix]: r = rarr[rix]
  .....
  dot = np.dot(1, r): grad loss = 2 * (target - out)
  \#dloss/dl = 2 * (target - dot(l. r)) r
  \#dloss/dr = 2 * (target - dot(l, r)) l
  lgrad = EPSILON * grad_loss * r; rgrad = EPSILON * grad_loss * 1
  # l -= eps * dloss/dl; r -= eps * dloss/dr
  1 += EPSILON * grad_loss * r;
  r += EPSILON * grad_loss * 1
def train(corpus: list, DIMSIZE: int):
    for w2ix in range(1, r+1): # positive samples, parallell
        w2 = vocab2ix[corpus[w2ix]] # word in window
        learn(l=poss[w], r=negs[w2], target=1.0)
    for _ in range(NNEGSAMPLES): # negative samples: perpendicular.
        w2ix = random.randint(0, len(corpus)-1) # random word outside window.
        learn(l=poss[w], r=negs[w2], target=0.0) # perpendicular
```

■ Dot products capture similarity.

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- In the sense that we have "vectors" elements of the space  $[-1,1]^N$  with a normalization condition  $(\sum_i x_i^2 = 1)$ .
- Can we ascribe a different meaning to these "vectors"?

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- Set: binary membership.  $(1 \in \{1, 2\} = T, 3 \notin \{1, 2\} = F)$ .
- Fuzzy set: probabilistic membership.  $(1 \in_{fuz} F = 0.1, 2 \in_{fuz} F = 0.5)$ .

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# What does this buy us anyway? (Set operations)

$$\begin{split} (A \cap B)[i] &\equiv A[i] \times B[i] \quad \text{(set intersection)} \\ (A \cup B)[i] &\equiv A[i] + B[i] - A[i] \times B[i] \text{ (set union)} \\ (A \sqcup B)[i] &\equiv \max(1, \min(0, A[i] + B[i])) \text{ (disjoint union)} \\ (\neg A)[i] &\equiv 1 - A[i] \quad \text{(complement)} \\ (A \setminus B)[i] &\equiv A[i] - \min(A[i], B[i]) \quad \text{(set difference)} \\ (A \subseteq B) &\equiv \forall x \in \Omega : \mu_A(x) \leqslant \mu_B(x) \text{ (set inclusion)} \\ |A| &\equiv \sum_{i \in \Omega} \mu_A(i) \quad \text{(cardinality)} \end{split}$$

# What does this buy us anyway? (Entropy)

Fuzzy entropy is a measure of the uncertainty of the elements belonging to the set.

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Fuzzy entropy is a measure of the uncertainty of the elements belonging to the set.

$$\begin{split} H(A) &\equiv \sum_i H(X_i^A) \\ &\equiv \sum_i -p_i^A \ln p_i^A - (1-p_i^A) \ln \left(1-p_i^A\right) \\ &\equiv \sum_i -A[i] \ln A[i] - (1-A[i]) \ln (1-A[i]) \end{split}$$

and	the	in	one	which	to	however	two	for	eight
this	of	of	in	the	zero	to	is	а	for
as	and	only	а	also	nine	it	as	but	s

- Function words are words which are largely syntactic rather than semantic.
- lacktriangledown On the left: Top 15 words with highest entropy with frequency  $\geqslant$  100. (note that all of them are function words).
- On the right: Top 15 words with the highest frequency.
- Non-function words are emphasized for comparison.

## What does this buy us anyway? (KL divergence)

- K-L (Kullback Leibler) divergence is an asymmetric measure of similarity.
- Given data d which follows distribution P, the extra bits need to store it under the false assumption that the data d follows distribution Q is the K-L divergence between the distributions P and Q.
- Let *P* be the distribution that assigns 0.25 probability to *a*, *b*, *c*, *d*. Since all are equiprobable, we use 2 bits per character.
- Let *Q* be the distribution that assigns 0.5 probability to *a*, *b* and 0 probability to *c*, *d*. We use 1 bit to represent if we are storing *a* or *b*.
- If the real distribution is Q and we store data using P, then we really need only  $\{a,b\}$ , but we are trying to store  $\{a,b,c,d\}$ . P(false assumption) needs twice as many bits as Q(true distribution) to store the message c.
- If the real distribution is P and we store data using Q, then we really need  $\{a, b, c, d\}$ , but we can only store  $\{a, b\}$ . Q(false assumption) need infinitely more bits to store the message c than P (true distribution).

## What does this buy us anyway? (KL divergence)

$$KL(S, T) \equiv \sum_{i} KL(X_{i}^{S}, X_{i}^{T}) = \sum_{i} p_{i}^{S} \log \left( p_{i}^{S} / p_{i}^{T} \right)$$

Example 1	KL(ganges, delta)	6.3105
	KL(delta, ganges)	6.3040
Evamola 2	$KL(north \cap korea, china)$	1.02923
Example 2	$KL(china, north \cap korea)$	10.60665

- K-L divergence shows the relation between two words.
- Can also consider phrases when composed using feature intersection as in the case of north korea.
- We demonstrate human annotator judgement of the distance between China and North Korea, where human annotators considered "North Korea" to be very similar to "China", while the reverse relationship was rated as significantly less strong ("China" is not very similar to "North Korea")

## What does this buy us anyway? (Cross entropy)

TODO: add defn	N nobility isotope fujwara feudal clan	$\hat{M}$ metal fusible ductility with alnico	$\hat{G}$ bad manners happiness evil excellent	$\hat{N} \cap \hat{M}$ fusible unreactive metalloids ductility heavy	$\hat{N} \cap \hat{G}$ good dharma morals virtue righteous
TODO: and dem	N noblest auctoritas abies eightfold vojt	$\vec{M}$ trivalent carbides metallic corrodes alloying	G bad natured humoured selfless gracious	$\vec{N} + \vec{M}$ fusible metals sulfides finntroll rhodium	$\vec{N} + \vec{G}$ gracious virtuous believeth savages hedonist

- Polysemy of the word noble, in the context of the words good and metal.
- noble is represented by N, metal by M and good by G.
- We also provide the word2vec analogues of the same, under  $\vec{N}$ ,  $\vec{M}$ , and  $\vec{G}$ .
- See that word2vec has no analogue for set-intersection. We use the closest possible analogue (addition), which performs worse semantically.

## What does this buy us anyway? (Analogy)

$$a:b::x:y_?$$
  
 $y_?=b-a+x \implies y_?=(b+x)-a$   
 $y=(b\sqcup x)\setminus a$  (Set-theoretic interpretation)

- given a pairing (a:b), and a prior x, we are asked to compute an unknown word  $y_?$  such that  $a:b::x:y_?$
- In the vector space model, analogy is computed based on vector distances. But this is semantically incoherent, as we must then re-normalize vectors.

Word 1	Word 2	Word 3	word2vec	Our representation
bacteria	tuberculosis	virus	polio	hiv
cold	freezing	hot	evaporates	boiling
ds	nintendo	dreamcast	playstation	sega
pool	billiards	karate	taekwondo	judo

 Examples of analogy compared to the analogy in word2vec. We see here that the comparisons constructed by feature representations are similar to those given by the standard word vectors.

## **Evaluation: Similarity**

Dims.		word2vec	Our Representation			
		Wordzvec	K-L Divergence	Cross-Entropy		
	20	0.2478	0.2690	0.2744		
	50	0.2916	0.2966	0.2981		
	100	0.2960	0.3124	0.3206		
	200	0.3259	0.3253	0.3298		

- Similarity scores on the SimLex-999 dataset for various dimension sizes (Dims.). The scores are provided according to the Spearman Correlation to incorporate higher precision.
- While the similarity scores are generally higher, we see a reduction in the degree of similarity beyond 100 dimension vectors.

# Evaluation: Analogy

Category		word2vec		Our representation		
	Category		50	100	50	100
	Capital Common C	ountries	21.94	37.55	39.13	47.23
	Capital World		13.02	20.10	27.30	26.54
	Currency		12.24	18.60	25.27	24.90
	City-State		10.38	16.70	23.24	23.51
	Family		10.61	17.34	23.67	23.88
		Syntactic	4.74	3.23	7.26	3.83
	Adjective-Adverb	Semantic	10.61	17.34	23.67	23.88
		Overall	9.92	15.68	21.73	21.52
		Syntactic	4.06	3.66	7.61	4.92
	Opposite	Semantic	10.61	17.34	23.67	23.88
		Overall	9.36	14.73	20.60	20.26
		Syntactic	8.86	12.63	16.88	15.39
	Comparative	Semantic	10.61	17.34	23.67	23.88
		Overall	10.10	15.96	21.67	21.39
		Syntactic	7.59	11.30	14.32	13.36
	Superlative	Semantic	10.61	17.34	23.67	23.88
		Overall	9.54	15.20	20.35	20.15
		Syntactic	7.51	10.96	14.31	13.14
	Present-Participle	Semantic	10.61	17.34	23.67	23.88
		Overall	9.34	14.73	19.84	19.49
		Syntactic	12 51	10.07	21 64	21 96

#### Evaluation: Function word detection

top $n$ words	word2vec	Our Representation
15	10	15
30	21	30
50	39	47

- Function word detection using entropy (in our representation) and by frequency in word2vec.
- We see that we consistently detect more function words than word2vec, based on the 176 function word list (Making and Using Word Lists for Language Learning and Teaching).
- The metric is *number of words*, i.e. the number of words chosen by frequency for word2vec and entropy for our representation.
- We detect more function words than the baseline frequency based methods.

#### Evaluation: Compositionality detection

Dims.	Metric	word2vec	Our Representation
50	Spearman	0.3946	0.4117
50	Pearson	0.4058	0.4081
100	Spearman	0.4646	0.4912
100	Pearson	0.4457	0.4803
200	Spearman	0.4479	0.4549
∠00	Pearson	0.4163	0.4091

- Predict whether two words combine to create a phrase or not (eg. monkey business, silver bullet)
- We decide that a phrase  $w_1w_2$  is a phrase if  $|KL(w_1, w_2) KL(w_2, w_1)|$  is large, as this implies information asymmetry.
- We see that almost across the board, we perform better.

#### Conclusion

- word2vec is performant but poorly understood.
- We extract fuzzy set embeddings from word2vec, giving richer, understandable variants of vector-based operations!
- TL;DR: Mathematical modelling (fuzzy sets) is useful to extend empirical results (word2vec)!
- https://www.aclweb.org/anthology/2020.repl4nlp-1.4/