

# Plans, actions, and sentences

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# One observation

The relation between plans–actions, and discourse–sentences,  
  
seems to be too close to be of coincidence.

# Introduction

It has to do with **thematic structure**, or lack of it.

- ① The closer we look at the relation between **plans** and **scripts**,
  - the closer it looks like the relation between **discourse** and **sentences**.
- ② The closer we look at the relation between discourse and sentences,
  - the closer it looks like the relation between plans and scripts.

- ③ Can there be common mechanisms?  
for discourse and planning  
for clauses and scripts

# I have a plan!

- ① Plans are functions to map states of the world to states of the world by a planner.
- ② An AI classic: painting the ladder and painting the ceiling.  
Sacerdoti (1975)
  - **plan** : needspainting  $x \rightarrow$  painted  $x$
  - **scripts**:
    - get-paint: getter, gotten, beneficiary
    - get-ladder: getter, beneficiary
    - paint-x: painter, x-ee, wet-x
    - climb-x: climber, busy-x
- ③ **Plan**: no thematic structure (but goals and states)
- ④ **Script**: thematic structure (predicates rather than goals)states?  
Schank and Abelson (1977)

# 1 Syntax and thematic structure (from Bozşahin, 2017)

1 The window broke.  $init'(broken'w')$

2 The stone broke the window.  $\lambda z.cause'(init'(broken'w'))st' z$

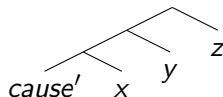
3 The man broke the window with a stone.  
 $cause'(init'(broken'w'))st' man'$

## 2 1 The stone broke the window in a storm. (noncausative agent?)

2 ~~the~~ the storm broke the window with a stone.

3 the storm broke the window.

## 3 Where is syntax?



- ① The window broke.  $S \backslash NP: \lambda x. init'(broken'x) \quad init'(broken'w')$
- ② The stone broke the window.  
 $(S \backslash NP) / NP: \lambda x \lambda y \lambda z. cause'(init'(broken'x)yz$   
 $\lambda z. cause'(init'(broken'w'))st' z$  (causer?)
- ③ The man broke the window with a stone.  
 $(S \backslash NP) / PP / NP: \lambda x \lambda y \lambda z. cause'(init'(broken'x)yz$   
 $cause'(init'(broken'w'))st' man'$
- ④ Thematic difference corresponds with syntactic type.

- ① Steedman and Bozşahin (2017) :

All natural grammars can be radically lexicalized this way.

- ① maintain constituency while projecting thematic structure and logical form
- ② Projecting structure from the lexicon must rise above function application.

The stone                      broke                      the window.

$$\overline{NP: st'} \quad \overline{(S \setminus NP) / NP: \lambda x \lambda y \lambda z. \text{cause}'(\text{init}'(\text{broken}'x)yz)} \quad \overline{NP: w'}$$

$S \backslash NP: \lambda y \lambda z. cause'(init'(broken' w') y) z$

$S: \lambda z. \text{cause}'(\text{init}'(\text{broken}' w') \text{st}' z)$

$$\begin{array}{c}
 \text{Mary} \quad \text{likes} \quad \text{and John hates} \quad \text{cats.} \\
 \hline
 S/(S \backslash NP_{3s}) \quad (S \backslash NP_{3s})/NP \quad S \backslash (S/NP) \\
 : \lambda p.p \ m' \quad : \lambda x \lambda y.like'xy \\
 \hline
 S/NP \quad \text{---} > \mathbf{B} \\
 : \lambda x.like'xmary'
 \end{array}$$

$$X/Y : f \quad Y/Z : g \Rightarrow X/Z : \lambda x.f(gx) \quad (> \mathbf{B})$$



$$\begin{array}{c}
 \frac{\text{the}}{(S/(S \setminus NP))/N} \quad \frac{\text{man}}{N} \quad \frac{\text{who}}{(N \setminus N)/(S_{\text{fin}}/NP)} \quad \frac{\text{Mary}}{S/(S \setminus NP_{3s})} \quad \frac{\text{loved}}{(S_{\text{fin},3s} \setminus NP)/NP} \\
 : \lambda P \lambda Q.(\text{the}'x)\text{and}'(Px)(Qx) : \text{man}' : \lambda P \lambda Q \lambda x.\text{and}'(Px)(Qx) : \lambda P.P\text{mary}' : \lambda x \lambda y.\text{loved}'xy \\
 \frac{S_{\text{fin}}/NP : \lambda y.\text{loved}'y \text{mary}'}{N \setminus N : \lambda Q \lambda x.\text{and}'(\text{loved}'x \text{mary}')(Qx)} \quad \text{B} \\
 \frac{N : \lambda x.\text{and}'(\text{loved}'x \text{mary}')(man'x)}{S/(S \setminus NP) : \lambda Q.(\text{the}'x)\text{and}'(\text{and}'(\text{loved}'x \text{mary}')(man'x))(Qx)}
 \end{array}$$

This book I think Mary knows John claims the library owned

.. makes a fine read if you can find it.

## Other rules of projection

*(the books) which I have read without understanding*

$$\begin{array}{c}
 \overline{VP/NP} \quad \overline{(VP \setminus VP)/C_{ing}} \quad \overline{C_{ing}/NP} \\
 \hline
 \quad \quad \quad \overline{(VP \setminus VP)/NP} \quad \text{B} \\
 \hline
 \quad \quad \quad \quad \quad \quad \overline{VP/NP} \quad \text{S}
 \end{array}$$

$$Y/Z : g \quad (X \setminus Y)/Z : f \quad \Rightarrow \quad X/Z : \lambda x. f \mathbf{x}(g \mathbf{x}) \quad (< \mathbf{S}_x)$$

## ① Combinatory Categorical Grammar

Steedman (2012); Bozşahin (2012); Steedman and Bozşahin (2017)

- ① Conjecture: These combinators are all that is needed to project constituency and thematic structure from lexicon onto surface structure.
- ② Child CCG grammars are learnable from expression-meaning pairs (Abend et al., 2017).

# What about discourse?

- ① Do we see same kind of projection of thematic structure?
- ② Zikánová et al. (2015):22  
“ The fact that the discourse project in Prague is based on the previous annotation of underlying syntax reflects the basic assumption, that, **from the cognitive viewpoint, the semantics within a sentence is the same as the semantics of discourse relations.**”
- ③ This is not corroborated empirically.
- ④ It is a methodological assumption.
- ⑤ The distinction seems similar to distinction in Plans and Scripts.

# Tree structure in discourse: syntactic?

Summarizing from Demirşahin (2015)

- ① Hobbs (1985): coherence relations build **successive** trees connected at **peripheries**.
- ② RST (Mann and Thompson, 1988): **single** tree with predefined **rhetorical relations**.
  - ① Rhetorical relations are **adjacent**.
  - ② They can be asymmetric (nucleus vs satellite), or **symmetric** (among nuclei).
- ③ DLTAG/PDTB (Webber, 2004; Prasad et al., 2014): **discourse connectives** drive discourse. They **all take two arguments**.
- ④ Asher (1993): Presupposition and entailment among **abstract objects** drive discourse.
- ⑤ Halliday and Hasan (1976) **Non-structural ties** (reference, substitution, lex cohesion etc) hold discourse together.

## PDTB investigation of discourse trees

- 1 Lee et al. (2006, 2008): instances of apparent tree violations (partially overlapping arguments, crossing) can be explained away by anaphora and attribution.
- 2 Aktaş et al. (2010); Zeyrek et al. (2017) concur from Turkish written discourse.
- 3 Demirşahin (2015) from spoken dialogs.

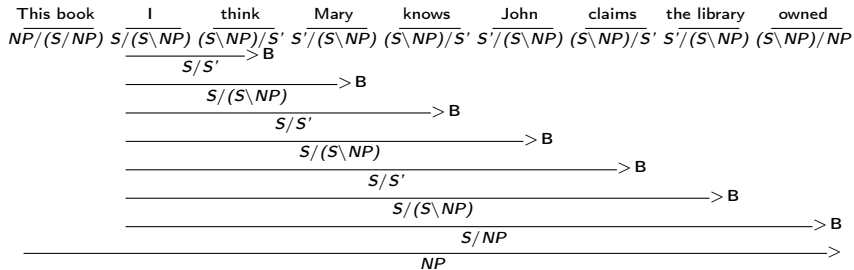
Example from Zeyrek et al. (2017):

**Ceza**, Telekom'un iki farklı internet alt yapısı pazarında tekeli konumunu kötüye kullandığı için ve uydu istasyonu işletmeciliği pazarında artık tekeli hakkı kalmadığı halde rakiplerinin faaliyetlerini zorlaştırdığı için **verildi**.

The penalty was given because Telekom abused its monopoly status in the two different internet infrastructure markets and because it caused difficulties with its rivals' activities although it did not have a monopoly status in the satellite management market anymore.

- 1 Zeyrek et al. (2017): **Ceza verildi** wraps in the inner material. It is still function application. **Ceza** moves away from **rheme** to **theme**.
- 2 Demirşahin et al. (2013) All violations of tree structure are apparent: all are function application.





① Forbes-Riley et al. 2006:68

“It is only in an approach to discourse which has a syntax that is distinct from its semantics [...] that the concept of a syntax-semantic interface is relevant. For example, rhetorical structure theory [...] does not have a semantics that is distinct from its syntax.”

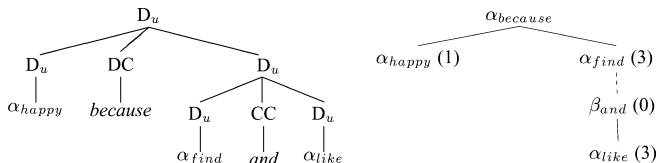
② They take discourse connectives to be [predicates](#).

③ with same mechanism of compositionality as clause-level semantics.

- 1 Associating propositional labels ( $l$ ) and variables ( $s$ ) with the semantics of **discourse units**.
- 2 These predicates are always binary.
- 3 Syntactic predicates are not.
- 4 It seems that they are not because we cannot fix for all of them how many arguments would make them propositions.
- 5 Discourse trees do not embed like clause-level trees.

## Example DLTAG/PDTB analysis (ibid.)

- (20) a. (What are two reasons for Mary being happy?)  
b. *Mary is happy because [she found a job] and [she likes it].*



**Figure 16** D-LTAG derived and derivation trees and semantic representation for (20).

$$\begin{array}{c}
 \frac{\text{the}}{(S/(S \setminus NP))/N} \quad \frac{\text{man}}{N} \quad \frac{\text{who}}{(N \setminus N)/(S_{\text{fin}}/NP)} \quad \frac{\text{Mary}}{S/(S \setminus NP_{3s})} \quad \frac{\text{loved}}{(S_{\text{fin},3s} \setminus NP)/NP} \\
 : \lambda P \lambda Q.(\text{the}'x) \text{and}'(Px)(Qx) : \text{man}' : \lambda P \lambda Q \lambda x. \text{and}'(Px)(Qx) : \lambda P. P \text{mary}' : \lambda x \lambda y. \text{loved}'_{xy} \\
 \frac{S_{\text{fin}}/NP : \lambda y. \text{loved}' y \text{mary}'}{N \setminus N : \lambda Q \lambda x. \text{and}'(\text{loved}' x \text{mary}')(Qx)} \quad \text{B} \\
 \frac{N : \lambda x. \text{and}'(\text{loved}' x \text{mary}')( \text{man}' x)}{S/(S \setminus NP) : \lambda Q. (\text{the}'x) \text{and}'(\text{and}'(\text{loved}' x \text{mary}')( \text{man}' x))(Qx)}
 \end{array}$$

Discursive 'because' and 'and' have no thematic structure.

This is not what we get in the semantics of clause predicates.

*(the books) which I have read without understanding*

$$\begin{array}{c}
 \overline{VP/NP} \quad \overline{(VP \backslash VP)/C_{ing}} \quad \overline{C_{ing}/NP} \\
 \hline
 \overline{(VP \backslash VP)/NP} \quad \text{B} \\
 \hline
 \overline{VP/NP} \quad \text{S}
 \end{array}$$

## Interim summary and suggestion

- ① Discourse predicates are always binary.
- ② Syntactic predicates are not.
- ③ It seems that they are not because **we cannot fix for all of them** how many arguments would make them propositions.
  - ① Plans are like discourse predicates. They are always binary functions.

**planner** × **soa** → **planner** × **soa**

- ② Scripts are not. They need to know who does what to whom.
- ③ Discourse trees do not embed like clause-level trees.
- ④ Neither do plans. Scripts do.

# How can we show that it is scripts that embed, not plans

- ① Planning in AI/Cogsci is formalisms galore (see Ghallab et al. 2004, 2016).  
One classic: Miller et al. (1960)
- ② CCG has been used for planning before:
  - ① for explanation (Steedman, 2002; Geib, 2015)
  - ② modeling (Geib et al., 2006; Geib and Goldman, 2009; McMichael et al., 2006)
- ③ What we need is **not** a mechanism distinct from linguistic CCG.

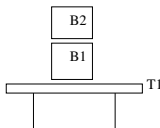


## Conjecture: meaningful sequences

- 1  $B \backslash A$  means  $A$  may enable  $B$ .
- 2  $B / A$  means  $A$  may lead to  $B$ .
- 3 Two basic **plan** categories: **States** (S) and **Terms** (T)
- 4 When these **modals** are **lexically** specified, they begin to bear thematic roles.
- 5 For that we need a script to realize a plan.

- ① STRIPS (Fikes and Nilsson, 1971) is the grandfather of all planners.
- ② Mainly because it handled the **Frame Problem** with **procedural semantics**.

John  
B1  
pick-up



pick-up :=  $(S \setminus T) \setminus T : \lambda x \lambda y \lambda z. \text{pickup}'xy$   
 pre:  $\text{inhand}(y, \text{nil}), \text{clear}(x), \text{block}(x), \text{on}(x, z)$   
 add:  $\text{inhand}(y, x), \text{clear}(z)$   
 del:  $\text{inhand}(y, \text{nil}), \text{on}(x, z)$

- ① Agents, patients are inferred from LF of the script, not from plan structure (conditions of the world).
- ② Plan states and script structure are distinct: e.g. no  $(\text{Pick-up} \setminus \text{Obj}) \setminus \text{Agt}$

## forward-backward reasoning may be optional

John	B2	pick-up
$T: john'$	$T: b2'$	$(S \setminus T) \setminus T: \lambda x \lambda y \lambda z. pickup' xy$
pre:-	pre:-	pre: $inhand(y, nil), clear(x), block(x), on(x, z)$
add:-	add:-	add: $inhand(y, x), clear(z)$
del:-	del:-	del: $inhand(y, nil), on(x, z)$
		—apply
		$S \setminus T: \lambda y \lambda z. pickup' b2' y$
		pre: $inhand(y, nil), clear(b2'), block(b2'), on(b2', z)$
		add: $inhand(y, b2'), clear(z)$
		del: $inhand(y, nil), on(b2', z)$
		—apply
		$S: \lambda z. pickup' b2' john'$
		pre: $inhand(john', nil), clear(b2'), block(b2'), on(b2', z)$
		add: $inhand(john', b2'), clear(z)$
		del: $inhand(john', nil), on(b2', z)$

# backward chaining may be required

pick-up	B2	John
$(S/T)/T: \lambda x \lambda y \lambda z. pickup' xy$	$T: b2'$	$T: john'$
pre: $inhand(y, nil), clear(x), block(x), on(x, z)$	pre:-	pre:-
add: $inhand(y, x), clear(z)$	add:-	add:-
del: $inhand(y, nil), on(x, z)$	del:-	del:-
—apply		
$S/T: \lambda y \lambda z. pickup' b2' y$		
pre: $inhand(y, nil), clear(b2'), block(b2'), on(b2', z)$		
add: $inhand(y, b2'), clear(z)$		
del: $inhand(y, nil), on(b2', z)$		
—apply		
$S: \lambda z. pickup' b2' john'$		
pre: $inhand(john', nil), clear(b2'), block(b2'), on(b2', z)$		
add: $inhand(john', b2'), clear(z)$		
del: $inhand(john', nil), on(b2', z)$		

The inference mechanism depends on the sequence.

# Planning can rise above applicative structures too

Suppose that John wants to fly after someone buys him a ticket.

John	fly	buy	ticket
$\frac{}{T}$	$\frac{}{(S/S) \setminus T}$	$\frac{}{(S/T) / T}$	$\frac{}{T}$
: $john'$	: $\lambda x \lambda s \lambda z. takeflight' s z x$	: $\lambda x \lambda y \lambda z. buy' zxy$	: $ticket'$
pre:-	pre: $able(x, s), flight(z)$	pre: $payable(x), funds(F), have(y, F)$	pre:-
add:-	add: $at(x, dest(z)), at(z, dest(z))$	add: $have(y, x), able(y, z)$	add:-
del:-	del: $at(z, orig(z)), at(x, here)$	del:-	del:-
	$\frac{}{S/S}$ : $\lambda s \lambda z. takeflight' s z john'$ pre: $able(john', s), flight(z)$ add: $at(john', dest(z)), at(z, dest(z))$ del: $at(z, orig(z)), at(john', here)$		
		$\frac{}{S/T}$ : $\lambda y \lambda z. buy' z ticket' y$ pre: $payable(ticket'), funds(F), have(y, F)$ add: $have(y, ticket'), able(y, z)$ del:-	
		$\frac{}{S/T}$ : $\lambda x \lambda y \lambda z. takeflight' (buy' z ticket' x) y john'$ pre: $able(john', buy'(z, ticket', x)), flight(y), payable(ticket'), funds(F), have(x, F)$ add: $at(john', dest(y)), at(y, dest(y)), have(x, ticket'), able(x, z)$ del: $at(y, orig(y)), at(john', here)$	

## John-fly-buy-ticket

- 1 Now consider the case in which the sequence does not give rise to an expectation that someone else will buy the ticket.
- 2 John appears once in the sequence, and does two acts.
- 3 This is not always an intrinsic property of the scripts involved. It may arise from the semantics of sequencing itself.

John	fly	buy	ticket
$\overline{T}$	$(S/S)\backslash T$	$(S\backslash T)/T$	$\overline{T}$
: $john'$	: $\lambda x \lambda s \lambda z. takeflight' s z x$	: $\lambda x \lambda y \lambda z. buy' z x y$	: $ticket'$
pre:-	pre: $able(x, s), flight(z)$	pre: $payable(x), funds(F), have(y, F)$	pre:-
add:-	add: $at(x, dest(z)), at(z, dest(z))$	add: $have(y, x), able(y, z)$	add:-
del:-	del: $at(z, orig(z)), at(x, here)$	del:-	del:-
		$S\backslash T$	—apply
		: $\lambda y \lambda z. buy' z ticket' y$	
		pre: $payable(ticket'), funds(F), have(y, F)$	
		add: $have(y, ticket'), able(y, z)$	
		del:-	
		—substitute	
	$S\backslash T$		
	: $\lambda x \lambda y \lambda z. takeflight' (buy' z ticket' x) y x$		
	pre: $able(x, buy'(z, ticket', x)), flight(y), payable(ticket'), funds(F), have(x, F)$		
	add: $at(x, dest(y)), at(y, dest(y)), have(x, ticket'), able(x, z)$		
	del: $at(y, orig(y)), at(x, here)$		
	—apply		
	$S : \lambda y \lambda z. takeflight' (buy' z ticket' john') y john'$		
	pre: $able(john', buy'(z, ticket', john')), flight(y), payable(ticket'), funds(F), have(john', F)$		
	add: $at(john', dest(y)), at(y, dest(y)), have(john', ticket'), able(john', z)$		
	del: $at(y, orig(y)), at(john', here)$		

$$(X/Y)\backslash Z: f \quad Y\backslash Z: g \rightarrow X\backslash Z: \lambda x. fx(gx)$$

(S)



- ① Scripts can be used in various orders, leading to different states.
- ② Plans are states of the derivational process.
- ③ We face the same lexicalized knowledge acquisition problem for plans as we do for child language acquisition.
  - Abend et al. (2017); Pasula et al. (2007)

## Conclusion: some suggestions

We need to explain

- ① why discourse can take abstract objects but not participants,
- ② why discourse structures cannot embed like clauses,
- ③ why clause-level embedding requires thematic structure,
- ④ how recipes can give rise to different planning states with the same recipe.

Sequencing itself seems to have its own semantics.

- 1 The closer we look at the relation between discourse and sentences,
  - the closer it looks like the relation between plans and scripts.

Projection of argument structure, thematic structure and plan structure

to complex behavior seems to be a simple and similar computational mechanism.

Motor and sequence control areas of the brain have been taken over by language to the point of co-existence. (Deacon, 1997, 2012).

It seems possible that language piggybacked on planning.

Thanks.



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