

Response Shift in People Awaiting Spine Surgery: **Investigating Quality of Life Using Mixed Methods**

Joel Finkelstein, M.D., M.Sc.¹, Michael Kallen, Ph.D., M.P.H.², Brian Quaranto, B.S.³, Bruce Rapkin, Ph.D.⁴, Carolyn Schwartz, Sc.D.^{3,5}



I Sunnybrook Health Sciences Centre, Toronto, ON, Canada; 2 University of Texas, MD Anderson Cancer Center, TX, USA; 3 DeltaQuest Foundation, Concord, MA, USA; 4 Albert Einstein College of Medicine, Bronx, NY, USA; 5 Tufts University School of Medicine, Boston, MA, USA **CONTACT:** joel.finkelstein@sunnybrook.ca | carolyn.schwartz@deltaquest.org

AIMS

- Aristotle recognized that people in similar health states may report very different levels of quality-of-life (QOL) if they appraise differently.
- · Currently used QOL measures assume that people use similar criteria for assessing QOL, however a growing evidence base suggests individual differences in appraisal, affect our ability to predict/explain individual differences in QOL.

THE QOL APPRAISAL PROFILE

- · Uses open-ended (qualitative) and close-ended items to assess the following cognitive processes underlying individual answers to QOL questionnaires:
 - (I) frame of reference
 - (2) experience sampling
 - (3) standards of comparison
 - (4) combinatory algorithm

From Rapkin & Schwartz, 2004

This study investigates the structure of the QOL Appraisal Profile and its relationship with standard patientreported outcome (PRO) scales used in spinal surgery.

STUDY SAMPLE

- N = 199
- Mean age = 54.7
- · Gender: 60% females
- 67% married
- 33% employed
- 6% on worker's compensation

METHODS

Design: Cross-sectional study using (baseline) pre-operative data

Sample and Measures: People awaiting lumbar spine surgery completed the following patient-reported outcome scales:

- -Rand Short-Form-36
- -Oswestry Disability Index (ODI)
- -PROMIS Pain Impact Short-Form
- -The QOL Appraisal Profile

Analysis:

- Correlations among PRO scales ascertained and construct overlap.
- · Open-ended text from the QOL Appraisal Profile were coded by at least 2 raters (mean Kappa=0.62).
- · Data reduction was done using Principal Components Analysis with 1000 Monte Carlo replications to avoid capitalizing on random error (Watkins, 2010). This yielded an eigenvalue threshold for retention.
- Computed inter-correlations among outcome measures to ascertain construct overlap.

DATA REDUCTION

- · QOL Contingent True Score at time $t = F(\{FR\}_t, E_t, S_t, C_t)$
- {FR}, Frame of Reference
 - 4 codes on the meaning of QOL:

 - Problems/ Circumstances; Contribution/Family/Friends; Positive Attitude/Contentmen Independence/Health
 - 4 goal codes:

 - Interpersonal Gous,
 Health- and Orthopedic-related Problem res
 Leisure/Independence/Travel/Living Situation,
- · E, Experience Sampling
 - 3 principal components:
 - Challenging experiences
 Ist reaction / Doctor Told / Not Complaining / Future
- S_r Standards of Comparison
- · I principal component
- · C_t Combinatory Algorithm
 - · 4 principal components
- · Components were orthogonal, and explained ~50% of the variance.

RESULTS

- · The inter-correlations between **QOLAP** and outcome scores were generally low (r =0.10-0.20), with notable exceptions.
- The Rand-36 Physical and Mental Composite Scores and the ODI were moderately correlated with **Experience Sampling focused** on challenging experiences (r = -0.24, -0.55, and 0.31, respectively), and Combinatory Algorithm focused on difficulties (r = -0.20, -0.37, and 0.29, respectively).

CONCLUSIONS

- Appraisal assessment taps constructs that are distinct from QOL.
- Only appraisal processes that were focused on negative or burdensome challenges were moderately associated with QOL measures, representing only 2 of 4 appraisal parameters.
- Many appraisal components tapped more positive content and domains relevant to patients' cognitive processes.
- · Future analyses will evaluate whether QOL Appraisal Profile variables moderate the relationship of treatment measures to QOL.

BIBLIOGRAPHY

Rapkin BD, Schwartz CE. Toward a theoretical model of qualityof-life appraisal: Implications of findings from studies of response shift. Health and Quality of Life Outcomes. 2004; 2:14

Watkins, M.W. (2000). Monte Carlo PCA for Parallel Analysis [computer software]. State College, PA: Ed & Psych Associates.