

Certified Software Development with Dependent Types in Idris

Lecture 10. Effectful Computations

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- 1 Basic Ideas
- 2 Simple Effects
- 3 Combining Effects
- 4 Dependent Effects

Content

- 1 Basic Ideas
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Pure and effectful computations

- Pure computations
- Effectful computations:
 - input/output (console, files)
 - managing state
 - random numbers
 - non-determinism
 - raising/handling exceptions
- Combining effects
- Changing effects (due to the results of previous effectful computations)

Effectful “Hello world”

“Hello world” example (`hello.idr`)

```
module Main
```

```
import Effects
```

```
import Effect.StdIO
```

```
hello : Eff () [STDIO]
```

```
hello = putStrLn "Hello world"
```

```
main : IO ()
```

```
main = run hello
```

- Effects — library for effectful computations
- `Eff result_type effects`
- `STDIO` — name of effect for console I/O (defined in `Effect.StdIO`)
- `putStrLn` — function defined for `STDIO` effect
- `run` — “runner” of an effectful computation

```
$ idris hello.idr -o hello -p effects
```

```
$ ./hello
```

```
Hello world
```

Type function Eff

```
SimpleEff.Eff : (t : Type) ->
                (input_effs : List EFFECT) -> Type
TransEff.Eff   : (t : Type) ->
                (input_effs : List EFFECT) ->
                (output_effs : List EFFECT) -> Type
DepEff.Eff     : (t : Type) ->
                (input_effs : List EFFECT) ->
                (output_effs_fn : t -> List EFFECT) -> Type
```

```
EffM : (m : Type -> Type) -> (t : Type)
      -> (List EFFECT)
      -> (t -> List EFFECT) -> Type
```

SimpleEff.Eff implementation

```
Eff : (x : Type) -> (es : List EFFECT) -> Type
Eff x es = {m : Type -> Type} -> EffM m x es (\v => es)
```

Effect and EFFECT

`Effect : Type`

`Effect = (x : Type) -> Type -> (x -> Type) -> Type`

- The return type of the computation.
- The input resource.
- The computation to run on the resource given the return value.

`data EFFECT : Type where`

`MkEff : Type -> Effect -> EFFECT`

- The input resource.
- The effect.

Running Effectful Computation

Automatically inferred environment

```
runPure : {env : Env id xs} -> Eff a xs -> a
run : Applicative m => {env : Env m xs} -> Eff a xs -> m a
```

- Environment should contain resources for all effects.

Default values for environment

```
interface Default a where
  default : a
```

Setting explicit environment

```
runPureInit : Env id xs -> Eff a xs -> a
runInit : Applicative m => Env m xs -> Eff a xs -> m a
```


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- Stateful Computations
- Questioning Operating System
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- Exceptions Handling
- Non-deterministic Computations

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STDIO Effect

```
module Effect.StdIO
```

```
STDIO : EFFECT
```

```
putChar  : Char -> Eff () [STDIO]
```

```
putStr   : String -> Eff () [STDIO]
```

```
putStrLn : String -> Eff () [STDIO]
```

```
getStr   : Eff String [STDIO]
```

```
getChar  : Eff Char [STDIO]
```

```
print    : Show a => a -> Eff () [STDIO]
```

```
println  : Show a => a -> Eff () [STDIO]
```

```
Handler StdIO IO where
```

Simple Example (`name.idr`)

```
hello : Eff () [STDIO]
hello = do
  putStr "Name? "
  x <- getStr
  putStrLn ("Hello " ++ trim x ++ "!")

main : IO ()
main = run hello
```

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Stateful Computations

- Computation is called stateful if there is an ability to keep and update some state (variable).
- This state variable normally has initial value which can be changed any number of times in the course of a computation.
- This ability is an effect implemented by several functions (get/put and others).

Stateful Computation in General

$f : (x1 : a1) \rightarrow (x2 : a2) \rightarrow \dots \rightarrow \text{Eff } t \ [\dots, \text{STATE } \text{state}, \dots]$

- t — type for the result of computation
- STATE — name of an effect in Idris ($\text{STATE} : \text{Type} \rightarrow \text{EFFECT}$)
- state — type of state variable (resource)

State Effect

```
module Effect.State
```

```
STATE : Type -> EFFECT
```

```
get      :                      Eff x  [STATE x]  
put      : x ->                Eff ()  [STATE x]  
putM     : y ->                Eff ()  [STATE x] [STATE y]  
update   : (x -> x) -> Eff ()  [STATE x]  
updateM  : (x -> y) -> Eff ()  [STATE x] [STATE y]
```

```
Handler State m where
```

Stack of Integers as State

```
Stack : Type
```

```
Stack = List Int
```

```
push : Int -> Eff () [STATE Stack]
```

```
pop : Eff Int [STATE Stack]
```

```
push : Int ->  
      Eff () [STATE Stack]
```

```
push a = do  
  st <- get  
  put (a :: st)
```

```
pop : Eff Int [STATE Stack]
```

```
pop = do  
  (x :: xs) <- get  
  put xs  
  pure x
```

- do-blocks are used for sequencing effectful computations
- `x <- e` binds the result of an effectful operation `e` to a variable `x`.
- `pure e` turns a pure value `e` into the result of an effectful operation.

We can implement `push` in different ways

Original Version

```
push : Int -> Eff () [STATE Stack]
```

```
push a = do
```

```
  st <- get
```

```
  put (a :: st)
```

get and put

```
get :      Eff t [STATE t]
```

```
put : t -> Eff () [STATE t]
```

!-notation

```
push a = put $ a :: !get
```

Via update : `(x -> x) -> Eff () [STATE x]`

```
push = update . (::)
```

Example: Expression in Reverse Polish Notation

4 19 2 * + === 4 + (19 * 2)

Evaluation algorithm using stack

- ❶ Process string word by word from left to right
 - ❶ Every number goes to the stack
 - ❷ For any operation
 - ❶ pop two numbers off the stack
 - ❷ perform operation over them
 - ❸ push result back to the stack
- ❷ When the string is over result is found at the top of the stack (assuming input string is correct)

Implementing Task Components

Operation Processing

```
process_tops : (Int -> Int -> Int) -> Eff () [STATE Stack]
process_tops op = do
  x <- pop
  y <- pop
  push (x 'op' y)
```

Or

```
process_tops op = update (\(x::y::xs) => (x 'op' y) :: xs)
```

Or even

```
process_tops op = push (!pop 'op' !pop)
```

Implementing Task Components (2)

Processing one word

```
step : String -> Eff () [STATE Stack]
step "+" = process_tops (+)
step "*" = process_tops (*)
step n   = push (cast n)
```

Splitting string and running computation

```
evalRPN : String -> Int
evalRPN s = runPure $ do
  mapE (\s => step s) (words s)
  pop -- result is found at the top of the stack
```

```
main : IO ()
main = putStrLn $ cast $ evalRPN "4 19 2 * +"
```

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SYSTEM Effect

```
module Effect.System
```

```
import Effects
```

```
import System
```

```
SYSTEM : EFFECT
```

```
getArgs : Eff (List String) [SYSTEM]
```

```
time    : Eff Int [SYSTEM]
```

```
getEnv  : String -> Eff (Maybe String) [SYSTEM]
```

```
system  : String -> Eff Int [SYSTEM]
```

```
Handler System IO where
```

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RND Effect

```
module Effect.Random
```

```
RND : EFFECT
```

```
srand      : Integer -> Eff () [RND]  
rndInt     : Integer -> Integer -> Eff Integer [RND]  
rndFin     : (k : Nat) -> Eff (Fin (S k)) [RND]  
rndSelect  : List a -> Eff (Maybe a) [RND]  
rndSelect' : Vect (S k) a -> Eff a [RND]
```

```
Handler Random m where
```


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EXCEPTION Effect

```
module Effect.Exception
```

```
EXCEPTION : Type -> EFFECT
```

```
raise : a -> Eff b [EXCEPTION a]
```

```
    Handler (Exception a) Maybe where
```

```
    Handler (Exception a) List where
```

```
    Handler (Exception a) (Either a) where
```

```
    Handler (Exception a) (IOExcept a) where
```

```
Show a => Handler (Exception a) IO where
```

Example: Parsing Number (`exc.idr`)

```
data EErr = NotANumber | OutOfRange
```

```
parseNumber : Int -> String -> Eff Int [EXCEPTION EErr]  
parseNumber lim str = do  
  when (not (all isDigit (unpack str))) (raise NotANumber)  
  let x = cast str  
  if (0 <= x && x <= lim)  
    then pure x  
    else raise OutOfRange
```

```
Idris> the (Either EErr Int) (run (parseNumber 42 "20"))  
Right 20 : Either EErr Int  
Idris> the (Either EErr Int) (run (parseNumber 42 "50"))  
Left OutOfRange : Either EErr Int  
Idris> the (Either EErr Int) (run (parseNumber 42 "xxx"))  
Left NotANumber : Either EErr Int  
Idris> the (Maybe Int) (run (parseNumber 42 "xxx"))  
Nothing : Maybe Int
```

Using parseNumber

```
work : Int -> String -> Eff Int [EXCEPTION EErr]
```

```
work up s = do
```

```
  n <- parseNumber up s
```

```
  pure (n + 1)
```

```
io : Eff () [STDIO]
```

```
io = do
```

```
  putStr "Number (0-10)? "
```

```
  s <- getStr
```

```
  case run (work 10 s) of
```

```
    Right n => putStrLn $ "OK: " ++ show n
```

```
    Left _ => putStrLn "Error"
```

```
main : IO ()
```

```
main = run io
```

Executing Program

```
$ ./exc
```

```
Number (0-10)? 5
```

```
OK: 6
```

```
$ ./exc
```

```
Number (0-10)? xxx
```

```
Error
```

```
$ ./exc
```

```
Number (0-10)? 42
```

```
Error
```

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SELECT Effect

```
import Effects
import Effect.Select
```

```
SELECT : EFFECT
```

```
select : List a -> Eff a [SELECT]
```

```
Handler Selection Maybe where
```

```
Handler Selection List where
```

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- Simple Examples
- Labelled Effects
- Example: An Expression Calculator (`expr.idr`)

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4 Dependent Effects

STATE and STDIO (stateful-hello.idr)

```
hello : Eff () [STATE Int, STDIO]
hello = do
  putStr "Name? "
  putStrLn ("Hello " ++ trim !getStr ++ "!")
  update (+1)
  putStrLn ("I've said hello to: " ++ show !get ++ " people")
  hello

main : IO ()
main = run hello
```

STDIO and SYSTEM (sys.idr)

```
module Main
```

```
import Effects
```

```
import Effect.StdIO
```

```
import Effect.System
```

```
printArgs : Eff () [STDIO, SYSTEM]
```

```
printArgs = do
```

```
  args <- getArgs
```

```
  println args
```

```
main : IO ()
```

```
main = run printArgs
```

```
$ idris sys.idr -o sys -p effects
```

```
$ ./sys arg1 "arg 2" arg3
```

```
["./sys", "arg1", "arg 2", "arg3"]
```

RND, STDIO, and SYSTEM (rnd.idr)

```
dice3 : Eff (Integer, Integer, Integer) [RND]
```

```
dice3 = do
```

```
  a <- rndInt 1 6
```

```
  b <- rndInt 1 6
```

```
  c <- rndInt 1 6
```

```
  pure (a,b,c)
```

```
cast_dice : Eff () [RND,STDIO,SYSTEM]
```

```
cast_dice = do
```

```
  t <- time
```

```
  srand t
```

```
  (a, b, c) <- dice3
```

```
  println (a,b,c)
```

```
main : IO ()
```

```
main = run cast_dice
```

SELECT and EXCEPTION (`select.idr`)

```
triple : Int ->
         Eff (Int, Int, Int) [SELECT, EXCEPTION String]
triple max = do
  z <- select [1..max]
  y <- select [1..z]
  x <- select [1..y]
  if (x * x + y * y == z * z)
    then pure (x, y, z)
    else raise "No triple"
```

Executing in Different Contexts

```
main : IO ()
main = do
  print $ the (Maybe _) $ run (triple 10)
  print $ the (List _) $ run (triple 10)
```

```
$ ./select
Just (3, (4, 5))
[(3, (4, 5)), (6, (8, 10))]
```

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- **Labelled Effects**
- Example: An Expression Calculator (`expr.idr`)

4 Dependent Effects

Labelled Effects (calc.idr)

```
calc_step : Int -> Eff () ['Sum ::: STATE Int,  
                           'Prod ::: STATE Int]  
  
calc_step a = do  
  'Sum :- update (+a)  
  'Prod :- update (*a)
```

- Symbols 'Sum and 'Prod
- Labelling effect and operations over it

```
main : IO ()  
main = println $ runPureInit ['Sum := 0, 'Prod := 1]  
                           (calc_step 5)
```

- Labelling initial state

Creating Labelled Effects

```
(:::) : lbl -> EFFECT -> EFFECT  
(:-)  : (l : lbl) -> Eff a [x] -> Eff a [l ::: x]  
(:=)  : (l : lbl) -> res -> LRes l res
```

Using Labelled Effects

```
calc : Eff (Int, Int) ['Sum ::: STATE Int,  
                  'Prod ::: STATE Int]  
  
calc = do  
  calc_step 5  
  calc_step 10  
  calc_step 20  
  s <- 'Sum :- get  
  p <- 'Prod :- get  
  pure (s, p)  
  
main : IO ()  
main = println $ runPureInit ['Sum := 0, 'Prod := 1] calc
```

Labelled Effects and STDIO

```
calc_IO : Eff () ['Sum ::: STATE Int,  
                  'Prod ::: STATE Int,  
                  STDIO]  
  
calc_IO = do  
  let x = trim !getStr  
  case all isDigit (unpack x) of  
    False => println (!('Sum :- get), !('Prod :- get))  
    True  => do  
      calc_step (cast x)  
      calc_IO  
  
main : IO ()  
main = runInit ['Sum := 0, 'Prod := 1, ()] calc_IO
```

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- Example: An Expression Calculator (expr.idr)

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Simple Arithmetic Expressions

```
data Expr = Val Integer
          | Add Expr Expr
```

```
eval : Expr -> Integer
eval (Val x) = x
eval (Add l r) = eval l + eval r
```

```
Idris> eval (Add (Val 10) (Val 50))
60 : Integer
```

Expressions with Variables

```
data Expr = Val Integer
          | Var String
          | Add Expr Expr
```

```
Env : Type
```

```
Env = List (String, Integer)
```

```
eval : Expr -> Eff Integer [EXCEPTION String, STATE Env]
```

```
eval (Val x) = pure x
```

```
eval (Add l r) = pure $ !(eval l) + !(eval r)
```

```
eval (Var x) = case lookup x !get of
                  Nothing => raise $
                        "No such variable " ++ x
                  Just val => pure val
```

Running Expressions with Variables

```
runEval : List (String, Integer) -> Expr -> Maybe Integer
runEval args expr = run (eval' expr)
  where
    eval' : Expr -> Eff Integer [EXCEPTION String, STATE Env]
    eval' e = do
      put args
      eval e
```

Expressions with Random Numbers

```
data Expr = Val Integer
          | Var String
          | Add Expr Expr
          | Random Integer
```

```
eval : Expr -> Eff Integer [EXCEPTION String, RND, STATE Env]
...
eval (Random upper) = rndInt 0 upper
```


Expressions with Random Numbers and Printing

```
eval (Random upper) = do val <- rndInt 0 upper
                        putStrLn $ "Random: " ++ (show val)
                        pure val
```

Can't solve goal

```
SubList [STDIO]
[EXCEPTION String,
 RND,
 STATE (List (String, Integer))]
```

Giving alias to effects collection

```
EvalEff : Type -> Type
```

```
EvalEff t = Eff t [STDIO, EXCEPTION String, RND, STATE Env]
```

```
eval : Expr -> EvalEff Integer
```

Running eval

```
runEval : List (String, Integer) -> Expr -> IO Integer
runEval args expr = run (eval' expr)
  where eval' : Expr -> EvalEff Integer
        eval' e = do put args
                      eval e

main : IO ()
main = do
  r <- runEval [("a", 5)] (Add (Var "a") (Random 10))
  println r
```

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 - Intro
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 - **Intro**
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Type function Eff

```
SimpleEff.Eff : (t : Type) ->
                (input_effs : List EFFECT) -> Type
TransEff.Eff   : (t : Type) ->
                (input_effs : List EFFECT) ->
                (output_effs : List EFFECT) -> Type
DepEff.Eff     : (t : Type) ->
                (input_effs : List EFFECT) ->
                (output_effs_fn : x -> List EFFECT) -> Type
```

```
EffM : (m : Type -> Type) -> (t : Type)
      -> (List EFFECT)
      -> (t -> List EFFECT) -> Type
```

SimpleEff.Eff

```
Eff : (x : Type) -> (es : List EFFECT) -> Type
Eff x es = {m : Type -> Type} -> EffM m x es (\v => es)
```

List as a State

```
readInt : Eff () [STATE (List Int), STDIO]  
readInt = do let x = trim !getStr  
            put (cast x :: !get)
```

Vect as a State

```
readInt : Eff () [STATE (Vect n Int), STDIO]  
readInt = do let x = trim !getStr  
            put (cast x :: !get)
```

Wrong!

- We change not only state but also its type!

```
readInt : Eff () [STATE (Vect n Int), STDIO]  
           [STATE (Vect (S n) Int), STDIO]  
readInt = do let x = trim !getStr  
            putM (cast x :: !get)
```

```
putM : y -> Eff () [STATE x] [STATE y]
```

Problem

- If we have read not a number can we extend vector?

```
readInt : DepEff.Eff Bool [STATE (Vect n Int), STDIO]  
        (\ok => if ok then [STATE (Vect (S n) Int), STDIO]  
                  else [STATE (Vect n Int), STDIO])
```

```
readInt = do let x = trim !getStr  
             case all isDigit (unpack x) of  
               False => pureM False  
               True  => do putM (cast x :: !get)  
                          pureM True
```

```
pureM : (val : a) -> EffM m a (f val) f
```

Using readInt

```
readN : (n : Nat) ->
  Eff () [STATE (Vect m Int), STDIO]
        [STATE (Vect (n + m) Int), STDIO]
readN Z = pure ()
readN {m} (S k) = case !readInt of
  True => readN k
  False => readN (S k)
```

Error!

...

Specifically:

Type mismatch between
plus k (S m)
and
S (plus k m)

Using readInt: correct implementation (read.idr)

```
readN : (n : Nat) ->
  Eff () [STATE (Vect m Int), STDIO]
        [STATE (Vect (n + m) Int), STDIO]
readN Z = pure ()
readN {m} (S k) =
  case !readInt of
    True => rewrite plusSuccRightSucc k m in readN k
    False => readN (S k)
```

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 - **File Management with Dependent Effects**

File Management Protocol

- It is necessary to open a file for reading before reading it
- Opening may fail, so the programmer should check whether opening was successful
- A file which is opened for reading must not be written to, and vice versa
- When finished, an open file handle should be closed
- When a file is closed, its handle should no longer be used

FILE_IO Effect

```
module Effect.File

import Effects
import Control.IOExcept

FILE_IO : Type -> EFFECT

data OpenFile : Mode -> Type

open      : ???
close     : ???
readLine  : ???
writeLine : ???
eof       : ???

Handler FileIO IO where
```

- Modes: Read | Write
- Effect is parameterized over open file
- OpenFile encapsulates file handle and is parameterized over Mode
- open should result in OpenFile with specified Mode
- readLine and writeLine should check Mode of OpenFile

File Opening

```
open : (fname : String)
      -> (m : Mode)
      -> Eff Bool [FILE_IO ()]
          (\res => [FILE_IO (case res of
                           True => OpenFile m
                           False => ())])
```

Other Functions

```
close : Eff () [FILE_IO (OpenFile m)] [FILE_IO ()]

readLine  : Eff String [FILE_IO (OpenFile Read)]
writeLine : String -> Eff () [FILE_IO (OpenFile Write)]
eof       : Eff Bool [FILE_IO (OpenFile Read)]
```

Example

Reading file to a list of strings

```
readFile : Eff (List String) [FILE_IO (OpenFile Read)]
readFile = readAcc [] where
  readAcc : List String ->
    Eff (List String) [FILE_IO (OpenFile Read)]
  readAcc acc = if (not !eof)
    then readAcc (!readLine :: acc)
    else pure (reverse acc)
```

Dumping file

```
dumpFile : String -> Eff () [FILE_IO (), STDIO]
dumpFile name = case !(open name Read) of
  True => do putStrLn (show !readFile)
           close
  False => putStrLn ("Error!")
```

No direct work
with file handle!

Let's try to make a mistake!

```
dumpFile : String -> Eff () [FILE_IO (), STDIO]
dumpFile name = case !(open name Read) of
    True => putStrLn (show !readFile)
    False => putStrLn ("Error!")
```

Type Checking Error!

Type checking ./files.idr

files.idr:16:56:

When checking right hand side of Main.case block in dumpFile

...

Specifically:

Type mismatch between

()

and

OpenFile Read

Let's try to make another mistake...

```
dumpFile : String -> Eff () [FILE_IO (), STDIO]
dumpFile name = case !(open name Read) of
    False => do putStrLn (show !readFile)
              close
    True  => putStrLn ("Error!")
```

Type checking ./files.idr

files.idr:17:33:

When checking right hand side of Main.case block in dumpFile

...

Specifically:

Type mismatch between

OpenFile m

and

()

More mistakes are on the way!

```
dumpFile : String -> Eff () [FILE_IO (), STDIO]
dumpFile name = case !(open name Write) of
    True => do putStrLn (show !readFile)
              close
    False => putStrLn ("Error!")
```

Type checking ./files.idr

files.idr:17:32:

When checking right hand side of Main.case block in dumpFile

...

Specifically:

Type mismatch between

OpenFile m

and

()

Pattern-matching bind

Another implementation for dumpFile

```
dumpFile : String -> Eff () [FILE_IO (), STDIO]  
dumpFile name = do  
  True <- open name Read | False => putStrLn "Error"  
  putStrLn (show !readFile)  
  close
```

Pattern Matching

```
do  
  pat <- val | <alternatives>  
  p
```

Desugared Variant

```
do  
  x <- val  
  case x of  
    pat => p  
    <alternatives>
```

Example: checking command line arguments

```
emain : Eff () [FILE_IO (), SYSTEM, STDIO]
emain = do
  [prog, name] <- getArgs | [] => putStrLn "Can't happen!"
                  | [prog] =>
                      putStrLn "No arguments!"
                  | _ =>
                      putStrLn "Too many arguments!"

  dumpFile name

main : IO ()
main = run emain
```

① The Effects Tutorial

<http://docs.idris-lang.org/en/latest/effects/index.html>